

# ***Topic 8***

## Full Abstraction

## Proof principle

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For all types  $\tau$  and closed terms  $M_1, M_2 \in \text{PCF}_\tau$ ,

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket \implies M_1 \cong_{\text{ctx}} M_2 : \tau .$$

Hence, to prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket .$$

*Is this complete?  
No!*

## Full abstraction

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A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

## Full abstraction

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A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

- ▶ The domain model of **PCF** is *not* fully abstract.

In other words, there are contextually equivalent **PCF** terms with different denotations.

There are  $T_1$  and  $T_2$  such that  
 $T_1 \stackrel{\text{ctx}}{=} T_2$  but  $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$

We are looking for  $T_1, T_2$  s.t.

$$T_1 \cong_{\text{ctx}} T_2 \quad \& \quad \llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$$

Can we find such  $T_1, T_2$  of ground type?  
bool or not

Recall

$$M_1 \cong_{\text{ctx}} M_2 : \gamma \text{ iff } \forall V. M_1 \Downarrow V \Leftrightarrow M_2 \Downarrow V$$

Every higher PCF type is of the form  
 $\tau_1 \rightarrow \tau_2 \rightarrow \dots \rightarrow \tau_n \rightarrow \gamma$

Can we find such  $T_1, T_2$  of type  $\gamma' \rightarrow \gamma$ ?

Recall

$$M_1 \cong_{\text{ctx}} M_2 : \tau_1 \rightarrow \tau_2 \rightarrow \dots \rightarrow \tau_n \rightarrow \delta$$

iff  $\forall N_1, N_2, \dots, N_n$

$$M_1 N_1 N_2 \dots N_n \Downarrow \checkmark$$

$\Leftrightarrow$

$$M_2 N_1 N_2 \dots N_n \Downarrow \checkmark$$

Can we find such  $T_1, T_2 : \text{bool} \rightarrow \text{bool}$ ?

Want  $T_1 \cong_{\text{ctx}} T_2 : \text{bool} \rightarrow \text{bool}$

$\Rightarrow \forall N : \text{bool}. T_1 N \Downarrow V \Leftrightarrow T_2 N \Downarrow V$

Can we have  $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket : B_{\perp} \rightarrow B_{\perp}$  ?

It can we have  $\llbracket T_1 \rrbracket (d) \neq \llbracket T_2 \rrbracket (d)$  for some  $d \in B_{\perp}$ .

Let's try with  $d = \text{true} \in B$ .

But  $\text{true} = \llbracket \text{true} \rrbracket$

Every element of  $B_{\perp}$  is PCF definable

$\text{false} = \llbracket \text{false} \rrbracket$

$\perp = \llbracket \text{fix } (f \lambda x.x) \rrbracket$

$$\text{So } \llbracket T_1 \rrbracket(d) = \llbracket T_1 \rrbracket \llbracket \text{true} \rrbracket = \llbracket T_1(\text{true}) \rrbracket$$

$$\llbracket T_2 \rrbracket(d) = \llbracket T_2 \rrbracket \llbracket \text{true} \rrbracket = \llbracket V \rrbracket$$

for  $T_1 \Downarrow V$  and  
 $T_2 \Downarrow V$

We try higher types one level up:

$(\text{bool} \rightarrow \text{bool} \rightarrow \text{bool}) \rightarrow \text{bool}$



And can it find a non-definable  
element of  $(B_{\perp} \rightarrow (B_{\perp} \rightarrow B_{\perp}))$ ?

say  $d$ .

$\forall M \in PCF_{\text{bool} \rightarrow \text{bool} \rightarrow \text{bool}} . \llbracket M \rrbracket \neq d$ .

## Failure of full abstraction, idea

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We will construct two closed terms

$$T_1, T_2 \in \text{PCF}_{(bool \rightarrow (bool \rightarrow bool)) \rightarrow bool}$$

such that

$$T_1 \cong_{\text{ctx}} T_2$$

and

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$$

► We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \Downarrow_{\text{bool}} \& T_2 M \Downarrow_{\text{bool}} )$$

► We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \Downarrow_{\text{bool}} \ \& \ T_2 M \Downarrow_{\text{bool}} )$$

Hence,

$$\llbracket T_1 \rrbracket (\llbracket M \rrbracket) = \perp = \llbracket T_2 \rrbracket (\llbracket M \rrbracket)$$

for all  $M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$ .

- We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \not\Downarrow_{\text{bool}} \ \& \ T_2 M \not\Downarrow_{\text{bool}} )$$

Hence,

$$\llbracket T_1 \rrbracket (\llbracket M \rrbracket) = \perp = \llbracket T_2 \rrbracket (\llbracket M \rrbracket)$$

for all  $M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$ .

- We achieve  $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$  by making sure that

$$\llbracket T_1 \rrbracket (\text{por}) \neq \llbracket T_2 \rrbracket (\text{por})$$

for some *non-definable* continuous function

$$\text{por} \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) .$$

## Parallel-or function

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is the unique continuous function  $por : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)$  such that

$$por \ true \ \perp \quad = \ true$$

$$por \ \perp \ true \quad = \ true$$

$$por \ false \ false \quad = \ false$$

## Parallel-or function

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is the unique continuous function  $por : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)$  such that

$$por \ true \ \perp \quad = \ true$$

$$por \ \perp \ true \quad = \ true$$

$$por \ false \ false \quad = \ false$$

In which case, it necessarily follows by monotonicity that

$$por \ true \ true \quad = \ true \qquad por \ false \ \perp \quad = \ \perp$$

$$por \ true \ false \quad = \ true \qquad por \ \perp \ false \quad = \ \perp$$

$$por \ false \ true \quad = \ true \qquad por \ \perp \ \perp \quad = \ \perp$$

Claim  $\rho_{\text{OT}}$  is not PCF-definable

$\forall M. \llbracket M \rrbracket \neq \rho_{\text{OT}}$

Define a STABLE function model

↓ continuity  
+ minimal w.r.t. for output  
representation.



## Undefinability of parallel-or

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**Proposition.** *There is no closed PCF term*

$$P : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$$

*satisfying*

$$\llbracket P \rrbracket = \text{por} : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp) .$$

## Parallel-or test functions

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Define  $T_1, T_2$  s.t.  $\llbracket T_1 \rrbracket(\text{par}) \neq \llbracket T_2 \rrbracket(\text{par})$

and

$T_1 M \#$  &  $T_2 M \# \forall M.$



$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$

## Parallel-or test functions

For  $i = 1, 2$  define

$T_i \stackrel{\text{def}}{=} \text{fn } f : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool}).$   
 if ( $f \text{ true } \Omega$ ) then  
   if ( $f \ \Omega \ \text{true}$ ) then  
     if ( $f \ \text{false} \ \text{false}$ ) then  $\Omega$  else  $B_i$   
   else  $\Omega$   
 else  $\Omega$

where  $B_1 \stackrel{\text{def}}{=} \text{true}$ ,  $B_2 \stackrel{\text{def}}{=} \text{false}$ ,  
 and  $\Omega \stackrel{\text{def}}{=} \text{fix}(\text{fn } x : \text{bool} . x)$ .

$$\llbracket T_1 \rrbracket(\text{par}) = \text{true}$$

$$\llbracket T_2 \rrbracket(\text{par}) = \text{false}$$

otherwise

$$\llbracket T_i \rrbracket(d) = \perp$$

$$T_1 \text{ M } \Downarrow \ \& \ T_2 \text{ M } \Downarrow$$

$$\llbracket \Omega \rrbracket = \perp$$



$$T_1 \stackrel{\text{def}}{=} \text{par } T_2$$

## Failure of full abstraction

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**Proposition.**

$$T_1 \cong_{\text{ctx}} T_2 : (\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}$$

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) \rightarrow \mathbb{B}_\perp$$

## PCF+por

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Expressions  $M ::= \dots \mid \mathbf{por}(M, M)$

Typing 
$$\frac{\Gamma \vdash M_1 : \mathit{bool} \quad \Gamma \vdash M_2 : \mathit{bool}}{\Gamma \vdash \mathbf{por}(M_1, M_2) : \mathit{bool}}$$

Evaluation

$$\frac{M_1 \Downarrow_{\mathit{bool}} \mathbf{true}}{\mathbf{por}(M_1, M_2) \Downarrow_{\mathit{bool}} \mathbf{true}} \quad \frac{M_2 \Downarrow_{\mathit{bool}} \mathbf{true}}{\mathbf{por}(M_1, M_2) \Downarrow_{\mathit{bool}} \mathbf{true}}$$
$$\frac{M_1 \Downarrow_{\mathit{bool}} \mathbf{false} \quad M_2 \Downarrow_{\mathit{bool}} \mathbf{false}}{\mathbf{por}(M_1, M_2) \Downarrow_{\mathit{bool}} \mathbf{false}}$$

## Plotkin's full abstraction result

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The denotational semantics of PCF+por is given by extending that of PCF with the clause

$$\llbracket \Gamma \vdash \mathbf{por}(M_1, M_2) \rrbracket(\rho) \stackrel{\text{def}}{=} \mathit{por}(\llbracket \Gamma \vdash M_1 \rrbracket(\rho))(\llbracket \Gamma \vdash M_2 \rrbracket(\rho))$$

*This denotational semantics is fully abstract for contextual equivalence of PCF+por terms:*

$$\Gamma \vdash M_1 \cong_{\text{ctx}} M_2 : \tau \Leftrightarrow \llbracket \Gamma \vdash M_1 \rrbracket = \llbracket \Gamma \vdash M_2 \rrbracket.$$