Complexity Theory

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Lecture 7

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http://www.cl.cam.ac.uk/teaching/1112/Complexity/

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#### Sets, Numbers and Scheduling

It is not just problems about formulas and graphs that turn out to be NP-complete.

Literally hundreds of naturally arising problems have been proved NP-complete, in areas involving network design, scheduling, optimisation, data storage and retrieval, artificial intelligence and many others.

Such problems arise naturally whenever we have to construct a solution within constraints, and the most effective way appears to be an exhaustive search of an exponential solution space.

We now examine three more NP-complete problems, whose significance lies in that they have been used to prove a large number of other problems NP-complete, through reductions.

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# **Travelling Salesman**

As with other optimisation problems, we can make a decision problem version of the Travelling Salesman problem.

The problem TSP consists of the set of triples

$$(V, c: V \times V \to \mathbb{N}, t)$$

such that there is a tour of the set of vertices V, which under the cost matrix c, has cost t or less.

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### 3D Matching

The decision problem of 3D Matching is defined as:

Given three disjoint sets X, Y and Z, and a set of triples  $M \subseteq X \times Y \times Z$ , does M contain a matching?

I.e. is there a subset  $M' \subseteq M$ , such that each element of X, Y and Z appears in exactly one triple of M'?

We can show that 3DM is NP-complete by a reduction from 3SAT.

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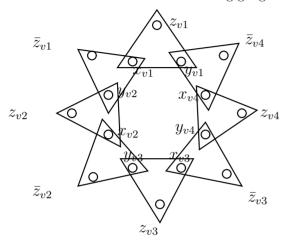
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#### Reduction

If a Boolean expression  $\phi$  in 3CNF has n variables, and m clauses, we construct for each variable v the following gadget.



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In addition, for every clause c, we have two elements  $x_c$  and  $y_c$ .

If the literal v occurs in c, we include the triple

$$(x_c, y_c, z_{vc})$$

in M.

Similarly, if  $\neg v$  occurs in c, we include the triple

$$(x_c, y_c, \bar{z}_{vc})$$

in M.

Finally, we include extra dummy elements in X and Y to make the numbers match up.

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# **Exact Set Covering**

Two other well known problems are proved NP-complete by immediate reduction from 3DM.

Exact Cover by 3-Sets is defined by:

Given a set U with 3n elements, and a collection  $S = \{S_1, \ldots, S_m\}$  of three-element subsets of U, is there a sub collection containing exactly n of these sets whose union is all of U?

The reduction from 3DM simply takes  $U = X \cup Y \cup Z$ , and S to be the collection of three-element subsets resulting from M.

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# **Set Covering**

More generally, we have the *Set Covering* problem:

Given a set U, a collection of  $S = \{S_1, \ldots, S_m\}$  subsets of U and an integer budget B, is there a collection of B sets in S whose union is U?

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# Knapsack

KNAPSACK is a problem which generalises many natural scheduling and optimisation problems, and through reductions has been used to show many such problems NP-complete.

In the problem, we are given n items, each with a positive integer value  $v_i$  and weight  $w_i$ .

We are also given a maximum total weight W, and a minimum total value V.

Can we select a subset of the items whose total weight does not exceed W, and whose total value exceeds V?

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### **Scheduling**

Some examples of the kinds of scheduling tasks that have been proved NP-complete include:

Timetable Design

Given a set H of work periods, a set W of workers each with an associated subset of H (available periods), a set T of tasks and an assignment  $r: W \times T \to \mathbb{N}$  of required work, is there a mapping  $f: W \times T \times H \to \{0,1\}$  which completes all tasks?

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#### Reduction

The proof that KNAPSACK is NP-complete is by a reduction from the problem of Exact Cover by 3-Sets.

Given a set  $U = \{1, ..., 3n\}$  and a collection of 3-element subsets of  $U, S = \{S_1, ..., S_m\}$ .

We map this to an instance of KNAPSACK with m elements each corresponding to one of the  $S_i$ , and having weight and value

$$\sum_{i \in S_i} (m+1)^{j-1}$$

and set the target weight and value both to

$$\sum_{j=0}^{3n-1} (m+1)^j$$

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## **Scheduling**

Sequencing with Deadlines

Given a set T of tasks and for each task a  $length \ l \in \mathbb{N}$ , a release time  $r \in \mathbb{N}$  and a deadline  $d \in \mathbb{N}$ , is there a work schedule which completes each task between its release time and its deadline?

Job Scheduling

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Given a set T of tasks, a number  $m \in \mathbb{N}$  of processors a length  $l \in \mathbb{N}$  for each task, and an overall deadline  $D \in \mathbb{N}$ , is there a multi-processor schedule which completes all tasks by the deadline?

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