# The Halting Problem

**Definition.** A register machine H decides the Halting Problem if for all  $e, a_1, \ldots, a_n \in \mathbb{N}$ , starting H with

$$R_0 = 0$$
  $R_1 = e$   $R_2 = \lceil [a_1, \dots, a_n] \rceil$ 

and all other registers zeroed, the computation of H always halts with  $R_0$  containing 0 or 1; moreover when the computation halts,  $R_0 = 1$  if and only if

the register machine program with index e eventually halts when started with  $R_0 = 0$ ,  $R_1 = a_1, \ldots, R_n = a_n$  and all other registers zeroed.

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**Theorem.** No such register machine H can exist.

Assume we have a RM  $\boldsymbol{H}$  that decides the Halting Problem and derive a contradiction, as follows:

▶ Let H' be obtained from H by replacing START → by START →  $Z := R_1$  →  $push Z to R_2$  → (where Z is a register not mentioned in H's program).

Let C be obtained from H' by replacing each HALT (& each erroneous halt) by  $R_0^- \longrightarrow R_0^+$ .

▶ Let  $c \in \mathbb{N}$  be the index of C's program.

Assume we have a RM H that decides the Halting Problem and derive a contradiction, as follows:

C started with  $R_1=c$  eventually halts if & only if H' started with  $R_1=c$  halts with  $R_0=0$ 

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```
C started with R_1=c eventually halts if & only if H' \text{ started with } R_1=c \text{ halts with } R_0=0 if & only if H \text{ started with } R_1=c , R_2=\lceil c\rceil halts with R_0=0
```

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C started with R_1 = c eventually halts
                         if & only if
      H' started with R_1 = c halts with R_0 = 0
                         if & only if
H started with R_1 = c, R_2 = \lceil \lfloor c \rfloor \rceil halts with R_0 = 0
                         if & only if
     prog(c) started with R_1 = c does not halt
                         if & only if
          C started with R_1 = c does not halt
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                         if & only if
     prog(c) started with R_1 = c does not halt
                         if & only if
         C started with R_1 = c does not halt
                     —contradiction!
```

## Computable functions

#### Recall:

```
Definition. f \in \mathbb{N}^n \rightarrow \mathbb{N} is (register machine)
computable if there is a register machine M with at least
n+1 registers R_0, R_1, \ldots, R_n (and maybe more)
such that for all (x_1, \ldots, x_n) \in \mathbb{N}^n and all y \in \mathbb{N},
     the computation of M starting with R_0 = 0,
     R_1 = x_1, \ldots, R_n = x_n and all other registers
     set to 0, halts with R_0 = y
if and only if f(x_1, \ldots, x_n) = y.
```

Note that the same RM M could be used to compute a unary function (n=1), or a binary function (n=2), etc. From now on we will concentrate on the unary case...

## Enumerating computable functions

For each  $e \in \mathbb{N}$ , let  $\varphi_e \in \mathbb{N} \rightarrow \mathbb{N}$  be the unary partial function computed by the RM with program prog(e). So for all  $x, y \in \mathbb{N}$ :

 $\varphi_e(x) = y$  holds iff the computation of prog(e) started with  $R_0 = 0$ ,  $R_1 = x$  and all other registers zeroed eventually halts with  $R_0 = y$ .

Thus

$$e \mapsto \varphi_e$$

defines an <u>onto</u> function from  $\mathbb{N}$  to the collection of all computable partial functions from  $\mathbb{N}$  to  $\mathbb{N}$ .

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sothis is

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Thus

So IV -> IV (uncountable, by Cantor) Contains uncomputable functions 63/171

## An uncomputable function

Let  $f \in \mathbb{N} \rightarrow \mathbb{N}$  be the partial function with graph  $\{(x,0) \mid \varphi_x(x) \uparrow \}$ .

Thus 
$$f(x) = \begin{cases} 0 & \varphi_x(x) \uparrow \\ undefined & \varphi_x(x) \downarrow \end{cases}$$

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 be the partial function with graph  $\{(x,0) \mid \varphi_x(x) \uparrow \}.$  Thus  $f(x) = \begin{cases} 0 & \varphi_x(x) \uparrow \\ \textit{undefined} & \varphi_x(x) \downarrow \end{cases}$ 

f is not computable, because if it were, then  $f=\varphi_e$  for some  $e\in\mathbb{N}$  and hence

- ▶ if  $\varphi_e(e)\uparrow$ , then f(e)=0 (by def. of f); so  $\varphi_e(e)=0$  (by def. of e), i.e.  $\varphi_e(e)\downarrow$
- if  $\varphi_e(e)\downarrow$ , then  $f(e)\uparrow$  (by def. of e); so  $\varphi_e(e)\uparrow$  (by def. of f)

—contradiction! So f cannot be computable.

f(e) ↓

### (Un)decidable sets of numbers

Given a subset  $S \subseteq \mathbb{N}$ , its characteristic function

$$\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$$
 is given by:  $\chi_S(x) \triangleq \begin{cases} 1 & \text{if } x \in S \\ 0 & \text{if } x \notin S. \end{cases}$ 

## (Un)decidable sets of numbers

**Definition.**  $S \subseteq \mathbb{N}$  is called (register machine) decidable if its characteristic function  $\chi_S \in \mathbb{N} \to \mathbb{N}$  is a register machine computable function. Otherwise it is called undecidable.

So S is decidable iff there is a RM M with the property: for all  $x \in \mathbb{N}$ , M started with  $R_0 = 0$ ,  $R_1 = x$  and all other registers zeroed eventually halts with  $R_0$  containing 1 or 0; and  $R_0 = 1$  on halting iff  $x \in S$ .

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So S is decidable iff there is a RM M with the property: for all  $x \in \mathbb{N}$ , M started with  $R_0 = 0$ ,  $R_1 = x$  and all other registers zeroed eventually halts with  $R_0$  containing  $\mathbf{1}$  or  $\mathbf{0}$ ; and  $R_0 = \mathbf{1}$  on halting iff  $x \in S$ .

Basic strategy: to prove  $S \subseteq \mathbb{N}$  undecidable, try to show that decidability of S would imply decidability of the Halting Problem. For example. . .

Claim:  $S_0 \triangleq \{e \mid \varphi_e(0) \downarrow \}$  is undecidable.

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**Proof (sketch):** Suppose  $M_0$  is a RM computing  $\chi_{S_0}$ . From  $M_0$ 's program (using the same techniques as for constructing a universal RM) we can construct a RM H to carry out:

```
let e = R_1 and \lceil [a_1, \ldots, a_n] \rceil = R_2 in R_1 := \lceil (R_1 := a_1); \cdots; (R_n := a_n); prog(e) \rceil; R_2 := 0; run M_0
```

Then by assumption on  $M_0$ , H decides the Halting Problem—contradiction. So no such  $M_0$  exists, i.e.  $\chi_{S_0}$  is uncomputable, i.e.  $S_0$  is undecidable.

**Claim:**  $S_1 \triangleq \{e \mid \varphi_e \text{ a total function}\}$  is undecidable.

#### **Claim:** $S_1 \triangleq \{e \mid \varphi_e \text{ a total function}\}$ is undecidable.

**Proof (sketch):** Suppose  $M_1$  is a RM computing  $\chi_{S_1}$ . From  $M_1$ 's program we can construct a RM  $M_0$  to carry out:

let 
$$e = R_1$$
 in  $R_1 := \lceil R_1 := 0$ ;  $prog(e) \rceil$ ; run  $M_1$ 

Then by assumption on  $M_1$ ,  $M_0$  decides membership of  $S_0$  from previous example (i.e. computes  $\chi_{S_0}$ )—contradiction. So no such  $M_1$  exists, i.e.  $\chi_{S_1}$  is uncomputable, i.e.  $S_1$  is undecidable.