

Partially ordered sets

A binary relation \sqsubseteq on a set D is a partial order iff it is

- ▶ reflexive: $d \sqsubseteq d$
- ▶ transitive: $d \sqsubseteq d' \sqsubseteq d'' \supset d \sqsubseteq d''$
- ▶ anti-symmetric: $d \sqsubseteq d' \sqsubseteq d \supset d = d'$.

Such a pair (D, \sqsubseteq) is called a partially ordered set, or poset.

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Cpo's and domains

A(n ω -)chain complete poset, or (ω -)cpo for short, is a poset (D, \sqsubseteq) in which all countable increasing chains $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$ have least upper bounds, $\bigsqcup_{n \geq 0} d_n$:

$$\forall m \geq 0. d_m \sqsubseteq \bigsqcup_{n \geq 0} d_n$$

$$\forall d \in D. (\forall m \geq 0. d_m \sqsubseteq d) \supset \bigsqcup_{n \geq 0} d_n \sqsubseteq d$$

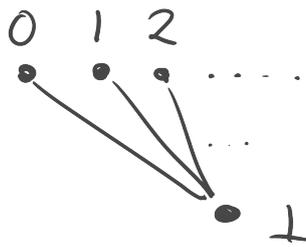
A domain is a cpo that possesses a least element, \perp :

$$\forall d \in D. \perp \sqsubseteq d$$

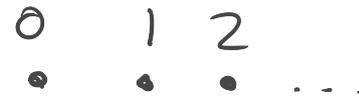
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Domains

Examples



Non-examples



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Partial functions

The set $X \rightarrow Y$ of partial functions from a set X to a set Y is a domain with

- ▶ Partial order: $f \sqsubseteq g$ iff $\text{dom}(f) \subseteq \text{dom}(g)$ and $\forall x \in \text{dom}(f). f(x) = g(x)$.
- ▶ Lub of chain $f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq \dots$ is the partial function f with $\text{dom}(f) = \bigcup_{n \geq 0} \text{dom}(f_n)$ and

$$f(x) = \begin{cases} f_n(x) & \text{if } x \in \text{dom}(f_n) \text{ for some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

- ▶ Least element $\perp =$ totally undefined partial function.

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Monotonicity, continuity, strictness

- ▶ A function $f : D \rightarrow E$ between posets is monotone iff $\forall d, d' \in D. d \sqsubseteq d' \supset f(d) \sqsubseteq f(d')$.
- ▶ If D and E are cpos, the function f is continuous iff it is monotone and preserves lubs of chains, i.e. for all chains $d_0 \sqsubseteq d_1 \sqsubseteq \dots$ in D , it is the case that

$$f\left(\bigsqcup_{n \geq 0} d_n\right) = \bigsqcup_{n \geq 0} f(d_n) \quad \text{in } E$$

- ▶ If D and E have least elements, then the function f is strict iff $f(\perp) = \perp$.

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Least pre-fixed points

Let D be a poset and $f : D \rightarrow D$ be a function.

An element $d \in D$ is a pre-fixed point of f if it satisfies $f(d) \sqsubseteq d$.

The least pre-fixed point of f , if it exists, will be written $\text{fix}(f)$. It is thus (uniquely) specified by the two properties:

$$\begin{aligned} f(\text{fix}(f)) &\sqsubseteq \text{fix}(f) \\ \forall d \in D. f(d) \sqsubseteq d &\supset \text{fix}(f) \sqsubseteq d \end{aligned}$$

These imply that $\text{fix}(f)$ is a fixed point of f , that is,

$$f(\text{fix}(f)) = \text{fix}(f)$$

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Tarski's Fixed Point Theorem

Let $f : D \rightarrow D$ be a continuous function on a domain D . Then f possesses a least pre-fixed point, given by

$$\text{fix}(f) = \bigsqcup_{n \geq 0} f^n(\perp)$$

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Tarski's Fixed Point Theorem

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Proof. By continuity of f ,

$$f(\bigsqcup_{n \geq 0} f^n(\perp)) = \bigsqcup_{n \geq 0} f(f^n(\perp)) = \bigsqcup_{n \geq 0} f^{n+1}(\perp) = \bigsqcup_{n \geq 1} f^n(\perp) = \bigsqcup_{n \geq 0} f^n(\perp); \text{ and if } f(d) \sqsubseteq d, \text{ then}$$

$$\triangleright f^0(\perp) = \perp \sqsubseteq d$$

$$\triangleright f^n(\perp) \sqsubseteq d \text{ implies } f^{n+1}(\perp) = f(f^n(\perp)) \sqsubseteq f(d) \sqsubseteq d$$

so $\bigsqcup_{n \geq 0} f^n(\perp) \sqsubseteq d$.

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Plotkin's Uniformity Principle

Suppose μ is an operation assigning to each domain D and continuous function $f : D \rightarrow D$ an element $\mu_D(f) \in D$. Then $\mu = \text{fix}$ if and only if μ satisfies properties (F) and (U).

$$(F) \quad f(\mu_D(f)) = \mu_D(f)$$

$$(U) \quad \text{If } \begin{array}{ccc} D & \xrightarrow{s} & D' \\ f \downarrow & & \downarrow f' \\ D & \xrightarrow{s} & D' \end{array} \text{ commutes (i.e. } f' \circ s = s \circ f)$$

with f, f', s continuous and s strict,
then $s(\mu_D(f)) = \mu_{D'}(f')$.

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$\mu = \text{fix} \supset \mu \text{ satisfies (F) \& (U)}$

(F) – least pre-fixed points are fixed points.

(U):

$$\begin{aligned} s(\text{fix}(f)) &= s(\bigcup_{n \geq 0} f^n(\perp)) \\ &= \bigcup_{n \geq 0} s(f^n(\perp)) \text{ since } s \text{ continuous} \\ &= \bigcup_{n \geq 0} (f')^n(s(\perp)) \text{ since } s \circ f = f' \circ s \\ &= \bigcup_{n \geq 0} (f')^n(\perp) \text{ since } s \text{ strict} \\ &= \text{fix}(f') \end{aligned}$$

μ satisfies (F)&(U) $\supset \mu = \text{fix}$

Let Ω be the domain $\{0 \sqsubseteq 1 \sqsubseteq 2 \sqsubseteq \dots \sqsubseteq \omega\}$
and $s: \Omega \rightarrow \Omega$ the continuous function

$$\begin{cases} s(n) = n+1 \\ s(\omega) = \omega \end{cases}$$

NB ω is the unique fixed point of s , so by (F)
we must have $\mu_{\Omega}(s) = \omega$.

μ satisfies (F)&(U) $\supset \mu = \text{fix}$

Given any continuous $f: D \rightarrow D$, define a
strict continuous function $\hat{f}: \Omega \rightarrow D$ by

$$\begin{cases} \hat{f}(n) = f^n(\perp) \\ \hat{f}(\omega) = \text{fix}(f). \end{cases}$$

Thus $\begin{array}{ccc} \Omega & \xrightarrow{\hat{f}} & D \\ s \downarrow & & \downarrow f \\ \Omega & \xrightarrow{\hat{f}} & D \end{array}$ commutes, so by (U) we have

$$\mu_D(f) = \hat{f}(\mu_{\Omega}(s)) = \hat{f}(\omega) = \text{fix}(f)$$

□