

# Partially ordered sets

A binary relation  $\sqsubseteq$  on a set  $D$  is a **partial order** iff it is

- ▶ **reflexive**:  $d \sqsubseteq d$
- ▶ **transitive**:  $d \sqsubseteq d' \sqsubseteq d'' \supset d \sqsubseteq d''$
- ▶ **anti-symmetric**:  $d \sqsubseteq d' \sqsubseteq d \supset d = d'$ .

Such a pair  $(D, \sqsubseteq)$  is called a **partially ordered set**, or **poset**.

# Cpo's and domains

A (n  $\omega$ -)chain complete poset, or ( $\omega$ -)cpo for short, is a poset  $(D, \sqsubseteq)$  in which all countable increasing chains  $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$  have least upper bounds,  $\bigsqcup_{n \geq 0} d_n$ :

$$\forall m \geq 0. d_m \sqsubseteq \bigsqcup_{n \geq 0} d_n$$

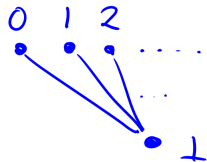
$$\forall d \in D. (\forall m \geq 0. d_m \sqsubseteq d) \supset \bigsqcup_{n \geq 0} d_n \sqsubseteq d$$

A domain is a cpo that possesses a least element,  $\perp$ :

$$\forall d \in D. \perp \sqsubseteq d$$

# Domains

Examples



Non-examples



# Partial functions

The set  $X \rightarrow Y$  of partial functions from a set  $X$  to a set  $Y$  is a domain with

- ▶ Partial order:  $f \sqsubseteq g$  iff  $\text{dom}(f) \subseteq \text{dom}(g)$  and  $\forall x \in \text{dom}(f). f(x) = g(x)$ .
- ▶ Lub of chain  $f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq \dots$  is the partial function  $f$  with  $\text{dom}(f) = \bigcup_{n \geq 0} \text{dom}(f_n)$  and

$$f(x) = \begin{cases} f_n(x) & \text{if } x \in \text{dom}(f_n) \text{ for some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

- ▶ Least element  $\perp$  = totally undefined partial function.

# Monotonicity, continuity, strictness

- ▶ A function  $f : D \rightarrow E$  between posets is **monotone** iff  $\forall d, d' \in D. d \sqsubseteq d' \supset f(d) \sqsubseteq f(d')$ .
- ▶ If  $D$  and  $E$  are cpos, the function  $f$  is **continuous** iff it is monotone and preserves lubs of chains, i.e. for all chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots$  in  $D$ , it is the case that

$$f\left(\bigsqcup_{n \geq 0} d_n\right) = \bigsqcup_{n \geq 0} f(d_n) \quad \text{in } E$$

- ▶ If  $D$  and  $E$  have least elements, then the function  $f$  is **strict** iff  $f(\perp) = \perp$ .

# Least pre-fixed points

Let  $D$  be a poset and  $f : D \rightarrow D$  be a function.

An element  $d \in D$  is a **pre-fixed point of  $f$**  if it satisfies  $f(d) \sqsubseteq d$ .

The least pre-fixed point of  $f$ , if it exists, will be written  $fix(f)$ . It is thus (uniquely) specified by the two properties:

$$\begin{aligned} f(fix(f)) &\sqsubseteq fix(f) \\ \forall d \in D. f(d) \sqsubseteq d &\supset fix(f) \sqsubseteq d \end{aligned}$$

These imply that  $fix(f)$  is a **fixed point** of  $f$ , that is,

$$f(fix(f)) = fix(f)$$

# Tarski's Fixed Point Theorem

Let  $f : D \rightarrow D$  be a continuous function on a domain  $D$ . Then  $f$  possesses a least pre-fixed point, given by

$$\text{fix}(f) = \bigsqcup_{n \geq 0} f^n(\perp)$$

# Tarski's Fixed Point Theorem

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**Proof.** By continuity of  $f$ ,

$$f(\bigsqcup_{n \geq 0} f^n(\perp)) = \bigsqcup_{n \geq 0} f(f^n(\perp)) = \bigsqcup_{n \geq 0} f^{n+1}(\perp) = \bigsqcup_{n \geq 1} f^n(\perp) = \bigsqcup_{n \geq 0} f^n(\perp); \text{ and if } f(d) \sqsubseteq d, \text{ then}$$

- ▶  $f^0(\perp) = \perp \sqsubseteq d$
- ▶  $f^n(\perp) \sqsubseteq d$  implies  $f^{n+1}(\perp) = f(f^n(\perp)) \sqsubseteq f(d) \sqsubseteq d$

so  $\bigsqcup_{n \geq 0} f^n(\perp) \sqsubseteq d$ .



# Plotkin's Uniformity Principle

Suppose  $\mu$  is an operation assigning to each domain  $D$  and continuous function  $f : D \rightarrow D$  an element  $\mu_D(f) \in D$ . Then  $\mu = \text{fix}$  if and only if  $\mu$  satisfies properties (F) and (U).

$$(F) \quad f(\mu_D(f)) = \mu_D(f)$$

$$(U) \quad \text{If } \begin{array}{ccc} D & \xrightarrow{s} & D' \\ f \downarrow & & \downarrow f' \\ D & \xrightarrow{s} & D' \end{array} \text{ commutes (i.e. } f' \circ s = s \circ f)$$

with  $f, f', s$  continuous and  $s$  strict,  
then  $s(\mu_D(f)) = \mu_{D'}(f')$ .

$\mu = \text{fix} \supset \mu$  satisfies (F) & (U)

(F) — least pre-fixed points are fixed points.

(U):

$$s(\text{fix}(f)) = s(\bigcup_{n \geq 0} f^n(\perp))$$

$$= \bigcup_{n \geq 0} s(f^n(\perp)) \text{ since } s \text{ continuous}$$

$$= \bigcup_{n \geq 0} (f')^n(s(\perp)) \text{ since } s \circ f = f' \circ s$$

$$= \bigcup_{n \geq 0} (f')^n(\perp) \text{ since } s \text{ strict}$$

$$= \text{fix}(f')$$

$\mu$  satisfies (F) & (U)  $\supset \mu = \text{fix}$

Let  $\Omega$  be the domain  $\{0 \sqsubseteq 1 \sqsubseteq 2 \sqsubseteq \dots \sqsubseteq \omega\}$   
and  $s: \Omega \rightarrow \Omega$  the continuous function

$$\begin{cases} s(n) = n+1 \\ s(\omega) = \omega \end{cases}$$

NB  $\omega$  is the unique fixed point of  $s$ , so by (F)  
we must have  $\mu_{\Omega}(s) = \omega$ .

$\mu$  satisfies (F) & (U)  $\supset \mu = \text{fix}$

Given any continuous  $f: D \rightarrow D$ , define a strict continuous function  $\hat{f}: \Omega \rightarrow D$  by

$$\begin{cases} \hat{f}(n) = f^n(\perp) \\ \hat{f}(\omega) = \text{fix}(f). \end{cases}$$

Thus  $\begin{array}{ccc} \Omega & \xrightarrow{\hat{f}} & D \\ s \downarrow & & \downarrow f \\ \Omega & \xrightarrow{\hat{f}} & D \end{array}$  commutes, so by (U) we have

$$\mu_D(f) = \hat{f}(\mu_\Omega(s)) = \hat{f}(\omega) = \text{fix}(f)$$

