

Fundamental Property of LR for \leq_{id_w}

If $\Gamma \vdash e : ty'$ with $\text{loc}(e) \subseteq \omega$,

then $\Gamma \vdash e \leq_{id_w} e : ty$.

More generally, if $\Gamma, x : ty \vdash e : ty'$ with $\text{loc}(e) \subseteq \omega$, then

$$\Gamma \vdash e_1 \leq_{id_w} e_2 \supset \Gamma \vdash e[e_1/x] \leq_{id_w} e[e_2/x] : ty'$$

Proved by showing that each syntactic construct of the language preserves $\Gamma \vdash e_1 \leq_r e_2 : ty$
(see [15] Prop. 4.8).

For example...

If $\Gamma, f : ty_1 \rightarrow ty_2, x : ty_1 \vdash e \leq_r e' : ty_2$,

then

$\Gamma \vdash (\text{fun } f = (x : ty_1) \rightarrow e) \leq_r (\text{fun } f = (x : ty_1) \rightarrow e') : ty_1 \rightarrow ty_2$

This is proved via an important "compactness property" of $\langle S, \mathcal{F}_S, e \rangle \downarrow$, namely ...

An unwinding theorem

Given $f : ty_1 \rightarrow ty_2$, $x : ty_1 \vdash e_2 : ty_2$,

for each $0 \leq n \leq \omega$ define $f_n \in \text{Prog}_{ty_1 \rightarrow ty_2}$ by:

$$\begin{cases} f_0 & \triangleq \text{fun } f = (x : ty_1) \rightarrow f x \\ f_{n+1} & \triangleq \text{fun } (x : ty_1) \rightarrow e_2[f_n/f] \\ f_\omega & \triangleq \text{fun } f = (x : ty_1) \rightarrow e_2. \end{cases}$$

Then for all $f : ty_1 \rightarrow ty_2 \vdash e : ty$ and all states s

$$s, e[f_\omega/f] \Downarrow \text{ iff } \exists n \geq 0. s, e[f_n/f] \Downarrow.$$

(proof : see OS & PE, Theorem 5.3)

Unwinding Theorem implies

$$f_w \leq_{\text{ctx}} g \equiv \forall n (f_n \leq_{\text{ctx}} g)$$

and more generally

$$f_w \leq_r g \equiv \forall n (f_n \leq_r g)$$

Unwinding Theorem implies

$$e[f_w/f] \leq_{\alpha x} g \equiv \forall n (e[f_n/f] \leq_{\alpha x} g)$$

and more generally

$$e[f_w/f] \leq_r g \equiv \forall n (e[f_n/f] \leq_r g)$$

These "syntactic admissibility" properties provide a direct link with the use of chain-complete partial orders in denotational semantics.

Some observations

- Simple operational semantics does not imply simple properties!
(in particular, properties of recursion can be subtle)
- Not all SOS's are equally convenient for proofs
- The "ghost" of Domain Theory in operationally-based proof methods.

Second part of the course is based on
section 3 of

AMP, "Relational Properties of Domains",
Information & Computation 127(1996)66-90.

(see also the Abramsky - Jung handbook
chapter on Domain Theory)

Recursive Domain Equations

- why do we (semanticists) need to solve them? ...
- and why is it hard to do so?

Denotational semantics as a tool for reasoning about contextual equiv. \cong_{ctx}

Require: mathematical structure D plus operations on D for the prog. lang. constructs

permitting **compositional** definition of

$\llbracket e \rrbracket \in D$ denotation of program phrase e

that is at least **computationally adequate**:

$\llbracket e_1 \rrbracket = \llbracket e_2 \rrbracket \in D \supset e_1 \cong_{ctx} e_2$

($\llbracket _ \rrbracket = \llbracket _ \rrbracket$ coinciding with \cong_{ctx} is called **full abstraction**)

Denotational semantics as a tool for reasoning about contextual equiv. \cong_{ctx}

Require: mathematical structure D plus operations on D for the prog. lang. constructs
→ often(?) lead to use of

recursively defined domains

given domain construction $D \mapsto \Phi(D)$
seek domain $D = \text{rec } X. \Phi(X)$ which is "minimal" with property $D \cong \Phi(D)$

isomorphism

Denotational semantics as a tool for reasoning about contextual equiv. \cong_{ctx}

Require: mathematical structure D plus operations on D for the prog. lang. constructs
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needed for computational adequacy results

Example

Domain E for denotations of expressions
calculating an int using a storage location
for holding codes of functions $\text{int} \rightarrow \text{int}$

E.g. of such an expression in OCaml

```
let y = ref (fun (x: int) -> x) in
```

```
  y := (fun (x: int) -> if x=0 then 1 else x * (!y)(x-1));
```

```
  (!y) 42
```

computes 42!

Example

Domain E for denotations of expressions
calculating an int using a storage location
for holding codes of functions $\text{int} \rightarrow \text{int}$

{ denotations of expressions

$$E \cong S \rightarrow (\mathbb{Z} \times S)$$

{ denotations of states

$$S \cong \mathbb{Z} \rightarrow E$$

partial
functions

Example

Domain E for denotations of expressions calculating an int using a storage location for holding codes of functions $\text{int} \rightarrow \text{int}$

$$\left\{ \begin{array}{l} \text{denotations of expressions} \\ \text{denotations of states} \end{array} \right. \quad \begin{array}{l} E \cong S \rightarrow (\mathbb{Z} \times S) \\ S \cong \mathbb{Z} \rightarrow E \end{array} \quad \begin{array}{l} \text{partial} \\ \text{functions} \end{array}$$

So need $E \cong \Phi(E)$ where

$$\Phi(-) \triangleq (\mathbb{Z} \rightarrow (-)) \rightarrow (\mathbb{Z} \times (\mathbb{Z} \rightarrow (-)))$$

(If \rightarrow means all partial fns, then no such set E exists, by Cantor.)

Classic example: untyped λ -calculus

Given iso $i: D \cong D \rightarrow D$ one can give denotations to λ -terms

$$t ::= x \mid \lambda x.t \mid tt$$

as elements $\llbracket t \rrbracket \rho \in D$

environment mapping variables to elements of D

- $\llbracket x \rrbracket \rho \equiv \rho(x)$
- $\llbracket \lambda x.t \rrbracket \rho \equiv i^{-1}(d \in D \mapsto \llbracket t \rrbracket (\rho[x \mapsto d]))$
- $\llbracket tt' \rrbracket \rho \equiv i(\llbracket t \rrbracket \rho)(\llbracket t' \rrbracket \rho)$

Classic example: untyped λ -calculus

Given iso $i: D \cong D \rightarrow D$ one can give denotations to λ -terms

$$t ::= x \mid \lambda x.t \mid tt$$

as elements $\llbracket t \rrbracket \rho \in D$

but there is no such set

($0 \not\cong 1 \cong 0 \rightarrow 0$; and if $|D| \geq 1$, then

$$|D \rightarrow D| \geq |D \rightarrow 1| = |\emptyset \times D| > |D|$$

\uparrow (Cantor)

History - selected highlights

Scott | Plotkin (1969)

Denotational semantics in categories of domains = partially ordered sets with least element, **lubs of chains**, ...

& **continuous** functions = monotone functions preserving lubs of chains

fewer functions allows possibility of things like $D \cong D \rightarrow D$

cf properties of ctx

History - selected highlights

Scott || Plotkin (1969)

"Limit-colimit" construction of $\text{rec } X. \Phi(X)$

as inverse limit of posets

$$\begin{array}{ccccccc} D_0 & \xleftarrow{\pi_0} & D_1 & \xleftarrow{\pi_1} & D_2 & \xleftarrow{\pi_2} & \dots & \text{rec } X. \Phi(X) \\ & & \parallel & & \parallel & & & \parallel \\ \{\perp\} & & \Phi(D_0) & & \Phi(D_1) & & \dots & \{d \in \prod_n D_n \mid \\ & & & & & & & \forall n. \pi_n(d_{n+1}) = d_n\} \end{array}$$

History - selected highlights

Ward ; Lehmann ; Smyth-Plotkin (1982)

Use of **order-enriched category theory** to provide a general framework for the limit-colimit construction of $\text{rec } X. \Phi(X)$.

⇒ generalization to solving domain equations with parameters and recursively defined domain constructions ("nested datatypes", GADTs, ...)

History - selected highlights

Freyd (1992) Categorical axiomatization of $\text{rec } X. \Phi(X)$ via notion of "algebraic compactness" & "free dialgebras".

\Rightarrow Simplified proofs of adequacy
w.r.t. operational semantics (AMP)

induction/coinduction principles
for recursive domains (Fiore, AMP)