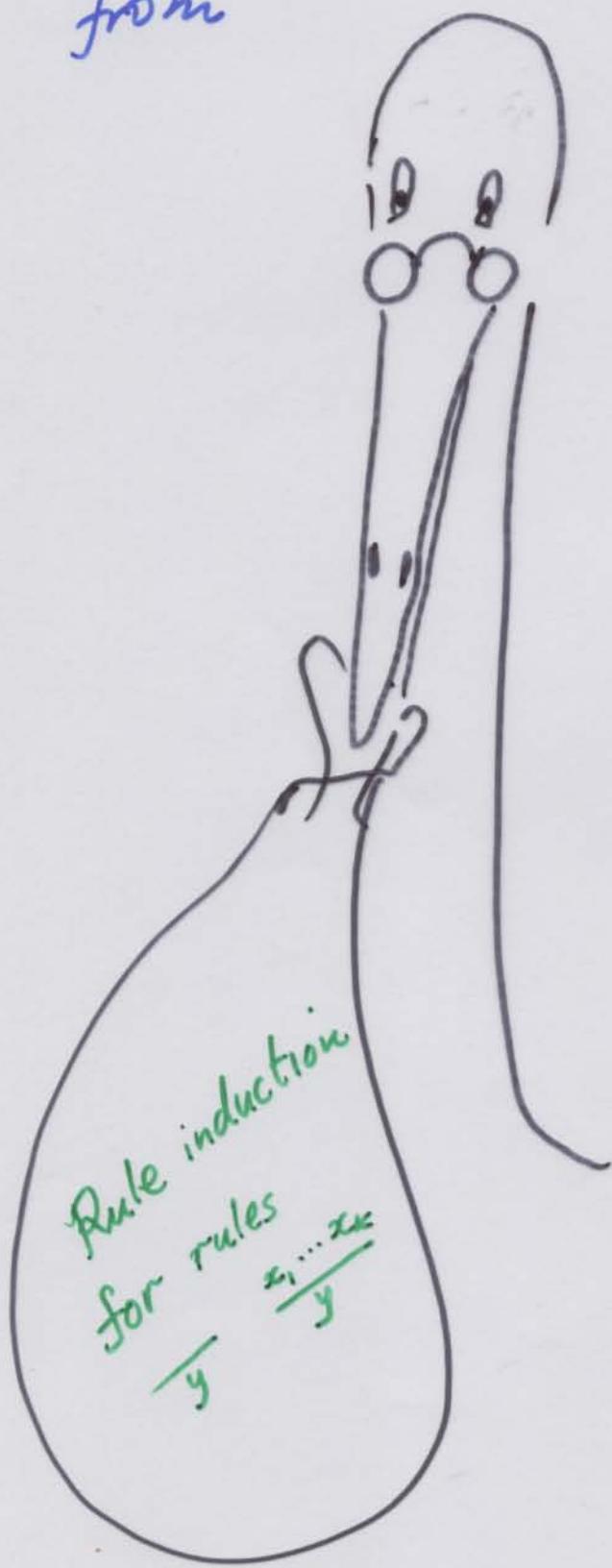


## Ch. 5 Inductive definitions

Where induction principles come from

## Ch. 5 Inductive definitions

Where induction principles come from



## Boolean propositions from rules

$A, B, \dots ::= a, b, c, \dots \mid \text{true} \mid \text{false} \mid A \wedge B \mid A \vee B \mid \neg A$

$a, b, c, \dots \in \text{Var}$

## Boolean propositions from rules

$A, B, \dots ::= a, b, c, \dots \mid \text{true} \mid \text{false} \mid A \wedge B \mid A \vee B \mid \neg A$   
 $a, b, c, \dots \in \text{Var}$

$$\frac{}{a} \quad a \in \text{Var}$$

$$\frac{}{\text{true}}$$

$$\frac{}{\text{false}}$$

$$\frac{A \quad B}{A \wedge B}$$

$$\frac{A \quad B}{A \vee B}$$

$$\frac{A}{\neg A}$$

## Boolean propositions from rules

$A, B, \dots ::= a, b, c, \dots \mid \text{true} \mid \text{false} \mid A \wedge B \mid A \vee B \mid \neg A$

$a, b, c, \dots \in \text{Var}$

$$\frac{}{\alpha} \quad a \in \text{Var}$$

$$\frac{}{\text{true}}$$

$$\frac{}{\text{false}}$$

$$\frac{A \quad B}{A \wedge B}$$

$$\frac{A \quad B}{A \vee B}$$

$$\frac{A}{\neg A}$$

$$\neg a \wedge (b \vee \text{true}) \quad \text{a boolean propn. ?}$$

A derivation:

$$\frac{\frac{\overline{a}}{\overline{\neg a}} \quad \frac{\overline{b} \quad \overline{\text{true}}}{\overline{b \vee \text{true}}}}{\overline{\neg a \wedge (b \vee \text{true})}}$$

$$\neg \text{dog} \wedge (b \vee \text{true})$$

a boolean proposition  
(assuming  $\text{dog} \notin \text{Var}$ ) ?

The set of Boolean propositions is the

- set of elements for which there is a derivation [induction on derivations § 5.4]
- set built up by repeatedly applying the rules [least fixed points § 5.6]
- least set closed under the rules. [rule induction § 5.3]

Non-negative integers  $\mathbb{N}_0$  from rules

- $0 \in \mathbb{N}_0$
- If  $n \in \mathbb{N}_0$ , then  $n+1 \in \mathbb{N}_0$

$$\overline{0} \quad \frac{n}{n+1}$$

$\mathbb{N}_0$  is the least set closed under  
the rules.

Alternative rules for  $\mathbb{N}_0$

If  $0, 1, \dots, n-1$  are in  $\mathbb{N}_0$ ,

then  $n \in \mathbb{N}_0$ .

$$\frac{0, 1, \dots, n-1}{n}$$

Strings  $\Sigma^*$

$\Sigma$  is a set of symbols, the alphabet

empty string

$$\varepsilon \in \Sigma^*$$

concatenation

If  $x \in \Sigma^*$  and  $a \in \Sigma$ ,  
then  $ax \in \Sigma^*$

$$\underline{\varepsilon}$$

$$\frac{xc}{a\; xc} \quad a \in \Sigma$$

An instance of a rule:

$$\frac{x_1, x_2, \dots, x_i, \dots}{y} \text{ Conclusion}$$

↑ Premise

a pair  $(X/y)$  where

$$X = \{x_1, x_2, \dots, x_i, \dots\}.$$

When  $X$  is finite, the rule is finitary.

NB. Can have  $X = \emptyset$ .

Rule induction:

$\forall x \in I_R. P(x)$  if

for all rules  $(X/y) \in R$  s.t.  $X \subseteq I_R$

$(\forall x \in X. P(x)) \Rightarrow P(y).$

$R$  a set of rules

A set  $Q$  is  $R$ -closed iff

$$\forall (X/y) \in R. X \subseteq Q \Rightarrow y \in Q$$

Define



$$I_R = \bigcap \{ Q \mid Q \text{ is } R\text{-closed} \}$$

need non-empty; is  $\because R$  is a set.

### Proposition 5.3

(i)  $I_R$  is  $R$ -closed

(ii)  $Q$  is  $R$ -closed  $\Rightarrow I_R \subseteq Q$ .

Rule induction:

$\forall x \in I_R. P(x)$  if

for all rules  $(X/y) \in R$  s.t.  $X \subseteq I_R$

$(\forall x \in X. P(x)) \Rightarrow P(y).$

$R$  a set of rules

A set  $Q$  is  $R$ -closed iff

$$\forall (X/y) \in R. X \subseteq Q \Rightarrow y \in Q$$

Define set inductively defined by  $R$



$$I_R = \bigcap \{ Q \mid Q \text{ is } R\text{-closed} \}$$

*(need non-empty; is: } R \text{ is a set.)*

### Proposition 5.3

(i)  $I_R$  is  $R$ -closed

(ii)  $Q$  is  $R$ -closed  $\Rightarrow I_R \subseteq Q$ .

### Rule induction:

$$\forall x \in I_R. P(x) \quad \text{if}$$

for all rules  $(X/y) \in R$  s.t.  $X \subseteq I_R$

$$(\forall x \in X. P(x)) \Rightarrow P(y).$$

## Transitive closure of a relation

Let  $R \subseteq U \times U$ .

Its transitive closure  $R^+ \subseteq U \times U$   
is given by:

$$\frac{(a,b)}{(a,b) \in R} \quad \frac{(a,b) \quad (b,c)}{(a,c)}$$

$R^+ = \{ (a,b) \in U \times U \mid \text{there is an } R\text{-chain from } a \text{ to } b \}$

$\{ a = a_1 R a_2 R a_3 \dots R a_n = b \}$

## Transitive closure of a relation

Let  $R \subseteq U \times U$ .

Its transitive closure  $R^+ \subseteq U \times U$   
is given by:

$$\frac{(a,b) \in R}{(a,c)} \quad \frac{(a,b) \quad (b,c)}{(a,c)}$$

$R^+ = \{ (a,b) \in U \times U \mid \text{there is an } R\text{-chain from } a \text{ to } b \}$

$\{ a = a_1 R a_2 R a_3 \dots R a_n = b \}$

$R^* = R^+ \cup \text{id}_U$  reflexive,  
transitive closure.

## Transitive closure of a relation

Let  $R \subseteq U \times U$ .

Its transitive closure  $R^+ \subseteq U \times U$   
is given by:

$$\frac{(a,b) \in R}{(a,c)} \quad \frac{(a,b) \quad (b,c)}{(a,c)}$$

$R^+ = \{ (a,b) \in U \times U \mid \text{there is an } R\text{-chain from } a \text{ to } b \}$

??

$a = a_1 R a_2 R a_3 \dots R a_n = b$

$\xrightarrow{k} a_{n+1}$

$R^* = R^+ \cup id_U$  reflexive,  
transitive closure.

Rule instances R

y

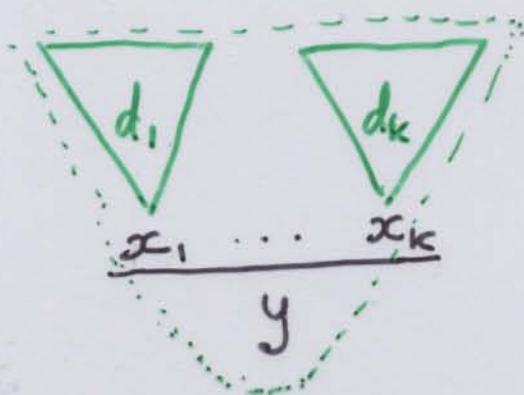
$\frac{x_1 \dots x_k}{y}$

Rule instances

R

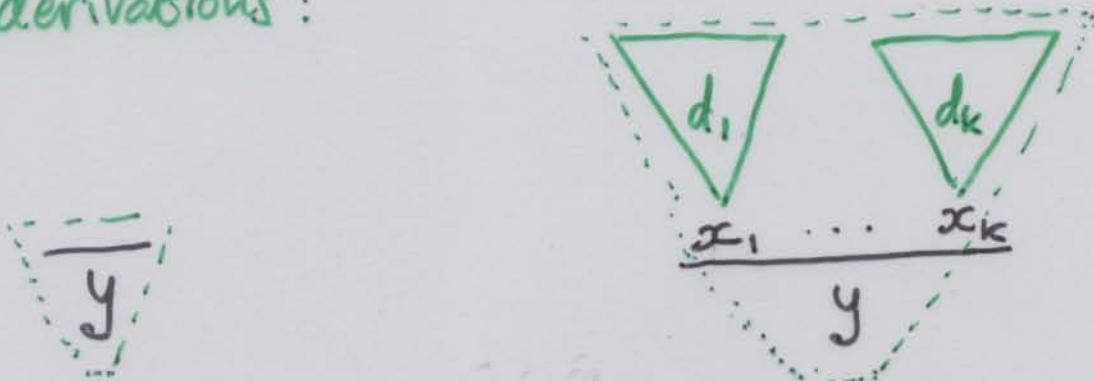
R-derivations:

$$\frac{}{y}$$



Rule instances  $R$

$R$ -derivations:



- rules for building derivations!

Induction on derivations:

$P(d)$  for all  $R$ -derivations  $d$ .

if for all rule instances  $\{x_1, \dots, x_k\}/y$  in  $R$   
and  $R$ -derivations  $d_1$  of  $x_1, \dots, d_k$  of  $x_k$

$P(d_1) \wedge \dots \wedge P(d_k) \Rightarrow P(\{d_1, \dots, d_k\}/y).$

---

Important when property  $P(d)$  depends on  
whole derivation  $d$ .

Theorem 5.15  $I_R = \{y \mid \exists R\text{-derivation } d \text{ of } y\}$ .

Alphabet  $a, b, c, d, \dots \in \Sigma$ .  
The subset of  $\Sigma^*$  of 'words' is given by  
the rules:

- (1)  $ab$  is a word;
- (2) if  $ax$  is a word, then  
 $a\bar{x}x$  is a word;
- (3) if  $abbbx$  is a word, then  
 $ax$  is a word.

Alphabet  $a, b, c, d, \dots \in \Sigma$ .

The subset of  $\Sigma^*$  of 'words' is given by  
the rules:

(1)  $ab$  is a word;

(2) if  $ax$  is a word, then  
 $a\cancel{x}x$  is a word;

(3) if  $\cancel{abb}bx$  is a word, then  $\frac{\cancel{abb}bx}{ax}$   
 $ax$  is a word.

Alphabet  $a, b, c, d, \dots \in \Sigma$ .

The subset of  $\Sigma^*$  of 'words' is given by the rules:

(1)  $ab$  is a word;

(2) if  $ax$  is a word, then  $axx$  is a word;

(3) if  $abbbx$  is a word, then  $\frac{abbbx}{ax}$   $ax$  is a word.

Set of rules (rule instances):

$$R = \left\{ (\emptyset / ab) \right\} \cup \\ \left\{ (\{ax\} / axx) \mid x \in \Sigma^* \right\} \cup \\ \left\{ (\{abbbx\} / ax) \mid x \in \Sigma^* \right\}.$$

\* The set of words consists of those strings for which there is a derivation.

- \* The set of words consists of those strings for which there is a derivation.
- \* The set of words is the least subset of  $\Sigma^*$  for which (1), (2) & (3), i.e. closed under the rules.