

a variety of IoT applications, supported by cloud services.

Any closed subsystem (see §II-B), e.g. which might represent a low-level sensor network, or a group of devices behind a firewall/access-point is assumed to have a gateway (a.k.a. edge-server or hub). The support for connectivity and open sharing via cloud services allows, for example, emergency services to interact with traffic control, power (utility) providers, home monitoring, the ambulance service and hospitals, as appropriate. A traveller might find out about a new city environment with a mobile phone interface. An IoT architecture allows *different applications* to be built using the same set of sensors, actuators and devices.

Many IoT-enabled services are developed with a single application in mind, with little consideration of security issues beyond local concerns, e.g. security might exist within a sensor network, but not when data is passed outside. Further, IoT applications are linked to the physical world, and can directly influence and change it. For example, Leverett [7] discusses systems that were believed to be within a secured network but were in fact directly accessible through the Internet. They were often poorly protected e.g. through a simple password scheme, or sometimes not at all. Ventilation and temperature management systems for hospitals were compromised, putting patients' lives at risk, through error or deliberate attack.

As the number of connected devices increases and their usage becomes an important part of everyday life, security, privacy and personal safety issues will arise.

Security concerns are already seen to inhibit the uptake of cloud services, especially by public bodies with responsibility for sensitive data, such as healthcare services [8]. Similar concerns arise for IoT-Cloud, exacerbated by the sheer scale of IoT. As the number of sources/sinks increases, managing and securing these appropriately becomes a challenge. Privacy is also a real concern—personal data could be collected from a wide range of sources. Benign sources and “anonymised” data may reveal little in isolation, but combining data from a number of sources can result in privacy-invading inference [9]—a wider challenge for IoT is a fuller awareness of the possible consequences of open connectivity.

This paper focuses on the security considerations for IoT-Cloud, given that cloud services act as ‘glue’ that can integrate and mediate ‘things’, as well as provide data processing, storage and management for individual ‘things’. In this context, we analyse the current state of cloud service offerings for IoT and consider their security provision from the perspectives of cloud tenants, end-users and cloud providers, focusing on the interplay between them.

The core contribution of this paper is to identify a range of security concerns specific to IoT's use of cloud services: we present *20 key security considerations* for IoT-Cloud. Each section operates to encapsulate a number of considerations, which are summarised in Table I at the end of the paper.

We first consider issues accessing the cloud (§III), exploring issues of secure transport (1) and cloud access controls (2), before considering the range of data management concerns in §IV, 3 to 8. We then discuss issues of identity management (§V, 9 and 10) followed by the issues of scale (§VI, 11 and 12) that are inherent to IoT-Cloud. Dealing with malicious ‘things’

and associated attacks is explored in §VII, 13 and 14. The focus then turns to the integrity of cloud services, considering certification and trustworthiness (§VIII, 15 and 16), and related issues of compliance, transparency and responsibility in §IX, 17 to 19. Finally, in §X we survey the emerging directions in cloud computing, including fog, edge and decentralised clouds, and examine the associated IoT security concerns (20).

§XI summarises the considerations in Table I with respect to the “CIA” security properties (Confidentiality, Integrity and Availability), in each case indicating where ‘off-the-shelf’ security mechanisms can be used (green), where additional but tractable work is needed (amber) and where significant research is required (red).

We now begin by providing background and establishing the context for these considerations.

II. ARCHITECTURE OVERVIEW: CLOUDS AND THINGS

A. Cloud Computing Terminology

Advances in networking, bandwidth, resource management and virtualisation technologies have resulted in service models that involve provisioning computing-as-a-service. Cloud computing, “the cloud”, involves **cloud service providers** (providers): those offering the service, provisioning and managing a set of technical resources, among **tenants**: those consuming the cloud services through direct relationships with providers. The providers' business model is generally to leverage economies of scale by sharing resources between tenants, while tenants gain from being able to pay only for the resources they require, thus removing a costly start-up base and being able to acquire service elasticity—to rapidly scale up and/or scale down resources in response to fluctuations in demand—and more generally, improving access to storage and computational services. The **end-user** of a system may interact with a cloud provider either directly or indirectly via tenant-provided services.

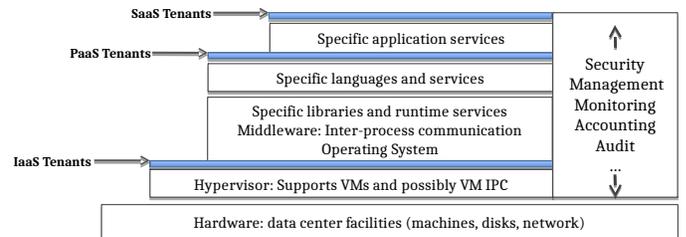


Fig. 2. Cloud service provision architectural overview [10]. For each service model, a tenant is provided all that below the blue line.

Cloud service offerings are generally divided into three main categories: Infrastructure as a Service (*IaaS*), Platform as a Service (*PaaS*) and Software as a Service (*SaaS*) as shown in Fig. 2. In *IaaS*, the cloud service provider is responsible for the management of the network, hardware and hypervisor. *PaaS* service providers offer, in addition, the managed OS and application environment. *SaaS* service providers manage everything on behalf of the tenants, including the application. There are other categories emerging, including Network as a Service, Brokers as a Service, Sensors as a Service, etc.,

though most of these focus on offering a particular component in service composition, rather than a larger scale platform.

Another common division of cloud systems is *private* and *public*. Public clouds are the most common, where the cloud provider shares resources (hardware or perhaps software such as databases) between tenants. *Virtual machines* (VMs) or *containers* are used to ensure a separation between tenants and their resources. The public cloud brings benefits in terms of economies of scale, and is of most interest and with most potential, moving forward. In a private cloud model, the tenant is offered a dedicated (unshared) set of resources. This is analogous to ‘in-house’ management, giving the tenant greater control and an increased sense of security. *Hybrid* clouds bridge the two, where some resources (e.g. potentially sensitive data) might be processed in a private cloud, others on the public cloud. Data and processing may be transferred between the two, when and where appropriate, e.g. for scaling, analytics, etc.

For example, in the UK there is a National Cancer Registration Service (NCRS)² that holds cancer-related health records in a private datacenter to comply with national regulations on safeguarding patient confidentiality. Patients can see their own data, but only through a public web portal. The NCRS makes datasets available for medical research, but given the sensitive, personal nature of the data, it must be anonymised before leaving the private cloud. Strong audit is required to manage the anonymisation and data migration processes.

B. IoT-Cloud Components

The term the ‘Internet of Things’ is broad, often used in a number of technical contexts to focus on very specific concerns, such as wireless (radio) communication aspects, sensor-networks, machine-to-machine (M2M) communication, human/environmental and technical interactions, and so forth. For the purposes of this paper, we consider IoT in terms of supporting the wider vision of pervasive/ubiquitous computing, whereby the whole range of sensors, devices, applications, systems, servers, clouds (i.e. anything) has the potential to interact in order to realise some functionality.³

We refer to IoT *subsystems* in order to represent a closed and/or self-contained network of ‘things’. These subsystems generally have a *gateway* component (a.k.a. hub or edge-server) with the functionality of masking heterogeneity and controlling the data flowing in/out, and in some cases, mediating the ‘things’. Subsystems may be application-centric networks, either fixed or rapidly instantiated and/or temporary (ad-hoc) in nature, e.g. supporting a smart home, emergency services during a catastrophe; or those comprising a particular technology domain (ecosystem), such as a proprietary sensor network, or control system in an industrial assembly line.

To facilitate a wide-ranging discussion, in this paper we consider a ‘**thing**’ as any entity, physical or virtual, capable of *interaction* (data exchange) [11]. Our focus is particularly on ‘things’ interacting with cloud services. Some ‘things’ will be

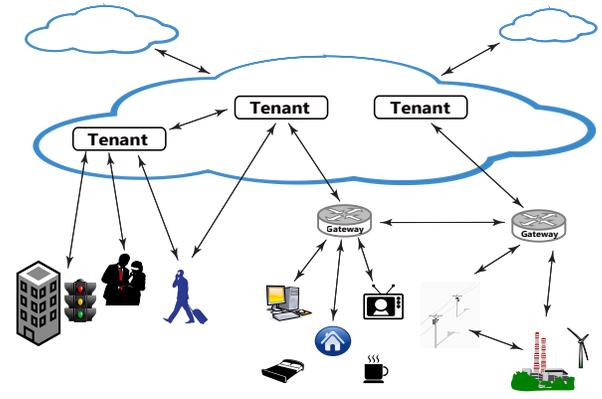


Fig. 3. An illustration of the interactions within an IoT-Cloud.

individual items such as IP-enabled video cameras, fridges, etc. A subsystem is also considered a ‘thing’, because the cloud provider sees and interacts (only) with the subnetwork’s gateway component; the gateway represents the end-point of the cloud interaction, mediating between the subsystem and the cloud. In line with this definition, it is possible that a single device could be considered, from the cloud perspective, as several ‘things’. For example, a smartphone has the potential to host a range of different applications, each of which are capable of direct cloud interaction, but these would act (separately) as gateways for the data collected by the phone’s sensors. Fig. 3 depicts the interaction of clouds and ‘things’, including those through IoT subsystems.

As introduced in §I, ‘things’ are typically developed for particular applications, or within technical domains (in terms of radio, communication protocols, APIs, etc.) Therefore, in practice most of the security engineering focus is on protection against specific, targeted attacks; with little consideration given to security issues beyond these domains. However, harnessing the full potential of IoT involves using/reusing system components, when and where appropriate, to realise new, possibly previously unforeseen functionality and services. Hence, this paper focuses on a (mostly overlooked) area by *considering security with respect to the interplay of ‘things’ and their interactions with cloud services*.

C. Leveraging the Cloud for IoT-Cloud

In the introduction we presented a historical IoT perspective, where various communication and lower-level sensor management networks were developed for specific purposes. Early work in such areas often mentioned offloading computing or data onto a ‘server’. Moving forward, we saw ‘server’ being replaced by ‘cloud’, and we now see many IoT solutions as tightly integrated with cloud services. For instance, a recent survey showed that among the 38 IoT platforms surveyed, 33 relied on cloud or other centralised services [12].

There are good reasons for using cloud services to support IoT. In terms of general resourcing:

- Cloud services are ‘always on’, and globally accessible, so ‘things’ can be located anywhere, be mobile, can transmit different data at different times.

²<http://www.ncr.nhs.uk>, (Accessed Apr 2015).

³Of course, this is only the *potential*. There are practical limits in terms of application/network boundaries, economic and ownership considerations, etc.

- Cloud services are built to scale rapidly, which ideally suits IoT in which many ‘things’ can communicate at different data rates, at different times.
- They help manage resource constraints. Many ‘things’ will be limited in terms of computational power, battery, storage capacity, etc. The ability to shift some of this load to the cloud helps to alleviate these limitations.

Further, cloud services can easily *operate across a range of ‘things’*. Cloud services can be used to mediate between different ‘things’, to enable 1) wide-ranging data sharing, and 2) to manage and control a range of different ‘things’ as appropriate. Therefore, using the cloud to support IoT naturally provides cross-thing **management**. It enables data and control flows (e.g. coordination policy) to move *horizontally*, working across a wide-range of ‘things’. This is crucial to the wider vision of IoT, enabling pervasive computing more generally. Indeed, this leads to ‘*big data*’-proper, by providing the means for personalisation, customisation and automated/intelligent actions across a range of different applications, ‘things’, and physical environments [13].

1) *IoT-Cloud Interactions*: We are primarily concerned with the interactions between ‘things’ and the cloud, see Fig. 3.

The cloud provider offers services and infrastructure for data storage, computation, etc. The service model could be IaaS, PaaS or SaaS, depending on the specific service offerings and requirements. For IaaS or PaaS services, tenants might be applications serving a number of different ‘things’, and therefore end-users might also be tenants, e.g. for a user’s “quantified self” data. The particular service model is often not directly relevant to the considerations we explore.

As discussed above, in order to leverage the full power of the IoT, we need the possibility for data to be shared across a range of applications, i.e. horizontally, between ‘things’. This has not been the vision of many existing IoT systems, developed as one-off services in a closed and/or limited application space (i.e. a vertical silo). *Nor was inter-application sharing the vision of cloud service providers*; strong isolation between applications was their prime concern, achieved through tenant isolation technologies (e.g. VMs and containers).

A motivating example representative of the wider vision is the concept of a *smart city*, where data is generated on local weather conditions, car park occupancy, traffic congestion, bus location, pollution, building usage, etc. The vision is for this wide range of data to be made available, via cloud-hosted platforms, for services (public or private) to be built on top. For this, data will need to be shared, and analytics operate over a range of data sources, repositories and ‘things’. For instance, there may be services that analyse data in combination and issue emergency alerts, e.g. when weather conditions are extreme and/or traffic is congested, and emergency services must be routed to an accident. We discuss this further in §IV

2) *Scope of IoT-Cloud Security Considerations*: Security remains an ongoing challenge for systems generally. Indeed, there are many problems to be solved in the IoT world [14]—i.e. within the IoT subsystems that we have mentioned—including network protocols, radio management, standardization, internal security and privacy [15]. Similarly, there are

ongoing security issues for cloud services [16], many of which are concerned with provider trust.

The focus of this paper differs as it specifically explores security at the level where ‘things’ and the cloud interact. We do not consider lower-level, subsystem-specific security aspects/attacks, and further, only consider general cloud security issues when relevant to the IoT-Cloud. Through the rest of the paper we introduce security considerations that should be taken into account when cloud services are integrated with IoT, and in §XI highlight those considerations that require further research.

III. ACCESSING THE CLOUD

Communication underpins the interactions between ‘things’ and the cloud. There is a bi-directional flow of information. Data might flow from ‘things’ to the cloud, perhaps for storage or analytics. The cloud may also be the mediator and/or conduit through which data (including actuating commands) are sent to ‘things’. Much data will be sensitive, whether alone or in aggregate. It is therefore important that communication is secure, and user-access to cloud services is properly controlled.

Consideration 1: Secure communications. There are two motivations for securing communication: 1) secrecy: preventing eavesdropping and data leakage, and 2) integrity: protecting data from corruption/interference. Note that here we do not consider communication within subsystems, but rather are concerned with the interaction of ‘things’ with cloud services. *Communications Technology*: Secure communication is required to prevent unauthorised access to data (or metadata) that might be sensitive. Transport Layer Security (TLS) [17] uses cryptography to establish a secure channel to protect transmissions (including metadata such as protocol state, thus limiting side-channels) from both eavesdropping and interference. TLS employs a certificate-based model, relying on PKI and certificate authorities for authentication.

TLS is a common feature of cloud-provider offerings, and can be used to secure the confidentiality and integrity of communications between ‘things’ and the cloud provider.

With a general view to making secure communication more commonplace, there is recent work on enabling TLS over protocol stacks other than TCP/IP to better suit the requirements of ‘things’, in terms of complexity and resource requirements. Examples include DTLS (Datagram Transport Layer Security [18], [19]) for datagram oriented protocols such as UDP, and LLCPS [20] that applies TLS over the Near Field Communications LLCPS (Logical Link Control Protocol). Depending on the deployment, architecture and interfaces to cloud services, these technologies could facilitate new forms of secure ‘thing’-cloud interactions.

Apart from TLS there are, of course, other mechanisms of securing ‘thing’-cloud communication. Data can be encrypted by applications, which protects data not only in transit, but also beyond. Sharing secrets naturally entails management and engineering considerations [21]. We explore this aspect in consideration 7.

Aside from any vulnerabilities inherent in the approach, the protection offered by any secure communication mechanism is only as good as its implementation. For example, the recent

Heartbleed vulnerability in the widely-used OpenSSL library is estimated to have left 24–55% of TLS/SSL protected endpoints open to attack [22]. Extra care and consideration must be given to the newer schemes and implementations currently being developed to support IoT, especially those that may not have been widely scrutinised or deployed.

Consideration 2: Access controls for IoT-Cloud. It is important that (external) access to cloud resources is regulated. *Access controls* [21] operate to govern the actions that may be taken on objects, be they accessing particular data (a file, record, data stream), issuing a query, performing some computation, and so forth. Controls are typically *principal* focused, in the sense that control policy governing a particular action is defined to regulate those undertaking the action, enforced when they attempt to take that action.

There are two aspects to access control: *authentication* and *authorisation*. Authentication refers to verifying who a principal is, i.e. are they who they say they are? Authorisation rules follow authentication; once a principal is identified, what are their rights and privileges; what actions are they authorised to undertake?

In a general cloud context, the provider will offer access controls to ensure that only the correct tenants/users (the principals) access the appropriate data and services. Cloud providers often have login/credential-based services for authenticating tenants/users. Authorisation policy will be enforced as a principal attempts to take an action, based on their level of privilege, which might allow them to access storage and files held by the provider, initiate computation services, etc. The precise controls will depend on the specific service offering, but often include *access control lists*, *role-based access controls*, *capabilities* etc. See [21] for an overview of a number of security engineering techniques.

In an IoT context, a challenge for any access control regime is accounting for the fact that the interactions between ‘things’ may involve encounters with ‘things’ never before seen, or owned and operated by others. Towards this, *Trusted Platform Modules* [23] offer promise by providing strong guarantees, for example, with respect to device identity [24] and configuration [25], which access control mechanisms can leverage.

IoT-Cloud poses extra challenges. The first concerns authentication, given the size and scale of the IoT vision, correctly identifying the ‘things’ and determining the relevant cloud services/tenant applications is a real concern; §V is dedicated to issues of identity. There are also difficulties in the fact that infrastructure and data may be shared. Currently, cloud policy is focused: authorisation rules are to ensure that a tenant accesses only its own resources, i.e. their files, VMs, databases, etc. However, for the IoT-Cloud, the lines are blurred. The data and resources of a tenant may be relevant to a number of different principals, and/or may control and coordinate a number of ‘things’. Policy must be able to be consistently defined and applied across both of these dimensions.

Access controls may be contextual, e.g. people may in general only access data concerning themselves. In exceptional circumstances, such as medical emergencies [26], wider access may be desirable, as specified by “break-glass policies”.

Mechanisms are required to enable flexible access control policies to be defined by different parties, while also being able to identify and resolve potential policy conflicts. Such concerns are non-trivial, and will likely require some external constraints, such as ownership or economic incentives (e.g. those paying for the service) to help make access control policy more manageable.

Note that access controls govern the tenant/user↔provider interactions at the interface between them. These mechanisms typically do not, by themselves, offer users control beyond that point, e.g. how their data is managed internally by the provider(s) (see consideration 6).

Controlling and coordinating ‘things’: The cloud will play a role in mediating and coordinating ‘things’, where actuating commands, the initiation/cessation of data flows, and so forth will be initiated from the cloud. It is clear that ‘things’ will need to maintain some form of access control, to prevent potentially anyone from taking over. This is illustrated, for example, by an access control vulnerability discovered in a consumer lighting system, allowing an attacker to issue lighting commands (causing blackout) by masquerading as a user-device [27].

The role of the cloud as a mediator of ‘things’, brings several considerations. First is that the access controls are not necessarily symmetric, in that the process by which a ‘thing’ may access the cloud is not necessarily the same as how the cloud can initiate access to the ‘thing’. Because there will be far more ‘things’ than cloud services, there will likely also be a far greater range of access control implementations, credential services, etc., employed by ‘things’. The cloud provider must be able to account for these. As such, standardisation is clearly an important issue, and the role of gateway components will assist in limiting the diversity.

Secondly, any cloud-based mediation and coordination will be driven by policy components, many of which reside within the cloud. To realise the wider IoT vision, policy enforcement mechanisms must be sufficiently flexible to be defined across the range of devices, while accounting for the differences in access control models. That is, the cloud-deployed policy enforcement components must be able to dynamically switch between them to enable context-aware coordination when/where appropriate, e.g. to adapt security levels based on a perceived risk [28].

Care must also be taken to ensure that coordination policy does not lead to further vulnerabilities—see consideration 18, and [27] for a practical demonstration.

IV. DATA MANAGEMENT IN THE CLOUD

The IoT-Cloud is such that ‘things’ upload their data to the cloud, and the provider then offers various storage, computational, or other services. In addition, the cloud is the natural location for policy enforcement that has broader scope than a single ‘thing’; in terms of affecting a range of ‘things’ or due to external changes in context. The cloud provider becomes responsible,⁴ to some degree, for managing and acting on the

⁴In a practical, technical enabling sense—their terms of service may disclaim all liability [29].

data it holds and processes, regardless of the service level (IaaS, PaaS or SaaS).

We have considered the interactions between cloud services and ‘things’, regarding secure data transmission, and how access to resources can be regulated. We now explore security considerations regarding data management within the cloud.

Consideration 3: Identifying sensitive data. In an IoT context, it will often be the case that data is considered sensitive. This is because data will encapsulate various aspects of the physical environment, including highly personal information about individuals, groups and companies, and can also have physical consequences, e.g. actuating commands.

It is therefore important that security mechanisms are designed to take account of the potential sensitivity of the data. A recent example illustrating a failure of such involved a baby monitor, where an (iOS) device on the local network could listen in without being subjected to access controls [30]. Further, any device that had ever accessed the monitor could then *remotely* listen in, anytime, anywhere. This represents a clear failure to recognise and/or account for the sensitivity of the audio feed.

Identifying the ‘thing’ that produces data may not always be sufficient to determine how sensitive its data. For example, a location sensor may be considered as generating sensitive data when representing the movements of a particular person, but the data produced by the same sensor may be less sensitive when it is attached to freight in transit. Further, sometimes, only specific items/data-instances are highly sensitive, even when produced by the same ‘thing’, e.g. a facial recognition device in a public space could provide the current location of the Prime Minister, thus having national security implications.

Note also that the combination of data can raise the level of sensitivity: we explore this in consideration 8.

Consideration 4: Cloud architectures: public, private or hybrid? Where particularly sensitive, there may be decisions to prevent data being placed on a public cloud [31], as is the case for health records in general or some specific category such as cancer records [32]. The type of cloud architecture is relevant as it determines the ability for data and resources to be shared.

Taking IoT and health records as an example: (1) health monitoring data from IoT devices may augment health records; (2) emergency detection based on multiple monitoring streams (heart-rate, pulse rate, temperature, fall-detection) may need an emergency response. The monitored streams may be sent to care services such as ambulances and hospitals. Here, we may have health records hosted in private clouds while IoT-style health monitoring data and policy are hosted in public clouds. A healthcare practitioner will need access to both. However, this needs to be carefully regulated: ensuring the practitioner may only access the clinical records (private cloud) for patients they treat, and that the only monitoring data (public cloud) accessible to the practitioner is that which the patient has authorised, and may depend on the circumstances.

A research goal is to make public clouds sufficiently trustworthy, in order to meet the requirements of those, such as

health services and Government, that deal with particularly sensitive data. Mechanisms providing strong data management assurances and controls (which we explore below), enables a wide range of new possibilities for applications and services.

In the meantime, however, the kind of scenario presented above motivates hybrid-clouds, where tenants manage the more sensitive aspects on their own (or dedicated) systems under their control, using a cloud-compatible service stack to integrate/interact with publicly accessible clouds. Clearly, the hybrid cloud approach is rather blunt, as it entails physical infrastructure partitioning, and thus can preclude the nuanced sharing required by many application scenarios.

Consideration 5: In-cloud data protection. This concerns the cloud provider protecting data within their service, by preventing data leakage: (1) during transmission; (2) during processing; (3) when data is stored “in the cloud”. In all cases, data should not flow to unauthorised parties, including cloud-insiders as well as cloud users.

With respect to communication, some cloud providers now apply TLS internally within their infrastructure, including data centres, to protect against any internal threats or security breaches.⁵ This appears largely in response to recent highly-publicised security breaches, such as those carried out by the US National Security Agency.

The business model of cloud service provision is based on economies of scale, through services that share resources. For example, tenants may share the same physical machine by running above separate VMs during processing. Therefore, cloud providers ensure strong *isolation* between cloud tenants/users to prevent the leakage of data between them. This isolation can occur at different levels, including the OS (containers) [33], VM (hypervisor) [34], and in hardware (e.g. by leveraging Intel’s proposed SGX CPU extensions [35]).

If storage is provided, depending on the level of isolation, the service offering might implicitly segregate all resources from others. Other levels of isolation may involve shared data storage infrastructure and software, such as shared databases, and thus rely on standard access control technologies (authentication and authorisation)—see consideration 2.

Cloud providers invest significant resources into ensuring strong access controls and complete isolation. Some are important for IoT-Cloud, as well as for cloud service provision in general, but see consideration 6. Concerns over the extent of provider access (by cloud insiders) do not only concern data that can objectively be considered highly sensitive. Rather, there may be laws that lead to particular data management obligations. Or, simply, there may be little trust in the cloud provider, e.g. if they reside in a jurisdiction that lacks a robust data protection regime. In this case, the ‘thing’ may decide to encrypt the data it uploads to the cloud, see consideration 7.

Consideration 6: In-cloud data sharing. We argued in §II that the IoT vision entails *data sharing*, as required by applications and as controlled by their policy. Closed application “silos” should no longer be the norm and data should be able

⁵For example, see <http://wapo.st/1adFyAe>, (Accessed Apr 2015).

to flow as needed. For example, a heart-rate monitor and a motion detector may be separate ‘things’ that upload their data to the cloud. In one usage, each ‘thing’s’ data stream is stored for the person being monitored, only accessible separately, and isolated from other ‘things’ and other people’s data. But policy-enforcing, management software for such medical applications may also be cloud-hosted and may need to input and process heart-rate, motion and other data to monitor patient wellbeing and detect and respond to emergencies, such as a collapse due to a heart attack.

In short, the benefits from the IoT vision are dependent on wide-ranging, open information sharing.

If each ‘thing’s’ data is uploaded to the cloud and isolated from other ‘things’, as is the case in current cloud offerings (consideration 5), the policy-enforcing agent described has no means of processing the data from multiple streams. To enable such a service, the system would be architected to suit some particular application; thus favouring the very “silos” that preclude the wider vision.

We therefore have a requirement for both protection and sharing, according to policy, whereas cloud designs so far target strong protection without sharing.

There is ongoing research towards this. One approach being investigated is *Information Flow Control* (IFC), where policy is defined to manage, specify and control requirements for isolation and data sharing and to enforce them as data flows throughout a system [8]. IFC provides non-interference and non-leakage guarantees. Our own work has demonstrated IFC in a cloud context [36] to enforce data policy constraints within and between cloud applications and services; where flows are protected within an OS (at process level) [37] and across machines [38]. Enforcement is end-to-end, where the audit/provenance logs generated during IFC enforcement [37] can be used to demonstrate that policy has been complied with, whether user/application-specified, contractual or regulatory.

IFC could help reassure people that even though their personal data has been uploaded to the cloud, it is protected and shared as they specify. This is an important concept, allowing users to retain control over their data, even when it has left their hands. Other relevant research is in the area of differential privacy and homomorphic encryption (see below), that aim to protect raw data while acknowledging the need for data sharing/processing.

The means by which tenants/users specify data sharing policy is also a concern. This may be in the form of standard templates, e.g. perhaps in line with service contracts, such as a contract between an individual and their healthcare provider, that can be adjusted to account for specific preferences [39].

Consideration 7: Encryption by ‘things’.

‘Things’, users and tenants could encrypt data before uploading to the cloud to: a) prevent the provider having access to intelligible data; b) prevent the provider being forced to disclose intelligible data to others, such as law enforcement agencies; c) ensure protection against the provider leaking data, due to misconfiguration, bugs, malicious insiders, etc.; d) deal with differences in sensitivity for different data items; and/or e) to protect data while in transit (specific data items c.f. the

entire channel as per consideration 1).

This approach results in the ‘things’ having to manage all the security/data concerns, including key management which can be complex, particularly when many principals (in an IoT context, both users and ‘things’) are involved [21]. For example, the data from a location sensor may be relevant to a number of applications. Assuming the sensor data can be encrypted before distribution, each time the set of authorised applications changes, all keys must be revoked, and new keys issued to all the relevant applications. This management burden hinders scalability. Further, the issues concerning the resources required for encryption, as discussed in consideration 1, are also relevant.

Cloud providers offer a range of services, typically relating to storage, analytics, and processing. Limiting a cloud provider’s access to data reduces the range of services they can potentially offer. The wide-scale benefits of analytics over big data, cross-silo processing, etc, generally require access to intelligible data. Essentially, ‘thing’-encrypted data means that the provider can offer no more than a storage/IaaS service (or PaaS without any processing).

There is ongoing research into homomorphic encryption, which enables computation to be performed on encrypted data without access to plaintext [40], [41]; however, this is currently far from practicable. Therefore for a provider to offer processing services, it must either have access to the data in intelligible form, or have access to decryption keys. In this case, encryption protects only against inadvertent leakage, and puts an onus on the provider to properly manage the keys.

In summary, ‘thing’ managed encryption should be used with care since it may prevent the beneficial data composition and sharing described above in consideration 6.

Consideration 8: Data combination. While advocating both protection and beneficial sharing of ‘things’ data, as in the examples above (considerations 4, 6), care should be taken over sharing. In IoT, ‘things’ will act as data producers and consumers, generating or processing data of various levels of sensitivity. Some streams might be inherently sensitive, e.g. a location sensor on a personal device, or a person’s heart-rate sensor. However, even if individual data streams are themselves benign, the application of data *in combination* can raise serious privacy and security concerns [42]. Such problems may be exacerbated by the use of cloud for IoT, as one of the motivations for cloud uptake is explicitly to enable data to be aggregated and used for a range of purposes, across the range of ‘things’. Again, the motivation is to enable the wider, more imaginative IoT vision, by having more data for more accurate analysis, inferences, associations, personalisation and customisation.

This concern relates to the tradeoff between the functional benefits of combining data, and the danger of revealing potentially sensitive information. From a privacy perspective: “*Any information that distinguishes one person from another can be used for re-identifying anonymous data*” [42]. There are technical approaches that can be used to limit the risks of data combination. For example, differential privacy techniques [43] aim at addressing the tradeoff by regulating the queries on

a dataset to balance the provision of useful results with the probability of identifying individual records. Such techniques are beginning to be offered by cloud providers [44]. Further, as homomorphic encryption techniques (consideration 7) become more practicable, more value can be leveraged from data without access to the specifics. These techniques will contribute towards facilitating the wide-scale information sharing vision.

Although the cloud acting as data aggregator adds a risk of privacy violation through enabling richer datasets, and entails highly trusted providers, it also restricts data access to fewer places. This form of data “centralisation”, where data is accessed through the cloud yet may be distributed throughout the network, could enable aggregated, more focused *data management policy*, applicable across datasets. That is, this centralisation of data access means that such policy could apply more generally, accounting for data-combination concerns, and be enforced through a common regime. This is in contrast to the fragmented approach where such policy might apply only within a particular IoT subsystem.

However, there is a more general problem in that it is difficult to anticipate all possible information leaks that might arise from combining data, and information sharing in general. There is a clear need for some level of verifiable trust in the parties with which data is shared, including those hosting data.

Note that although we discuss this issue in an IoT-Cloud context, the concerns extend far beyond, raising questions for society as a whole. Indeed, while there is much ongoing technical research into privacy in big data [45], the answers will not be purely technical, but also require properly aligned economic incentives, laws/regulation, and other social reforms [10].

V. IDENTITY MANAGEMENT

The management of identity becomes an interesting problem for cloud-enabled IoT. In §IV we described how data is managed, a key aspect of which involves access controls, which tend to involve *authentication* and *authorisation*, see consideration 2. In the cloud-enabled IoT context, we identify two umbrella requirements with respect to identity management: from the provider and ‘thing’ (tenant) perspectives.

Consideration 9: Identifying ‘things’. Identity management has been the subject of much work in terms of current enterprise services. That is, there have been identity management schemes, often single sign-on [46], [47], across cloud service and application providers such as Microsoft Services, Google Services, Facebook, etc. For example, consider identity federation technology such as Microsoft Passport and CardSpace, Information Card, OpenID, Liberty Alliance, and Higgins [48].

However, all of these assume cloud services as they are today. Users interact with the tenant’s application, and the tenant is hosted by a cloud provider. Issues of identity concern who interacts with the applications, and cloud resources.

The IoT brings additional considerations, as it involves more than the well-defined tenant-software-provider relationship. In IoT the provider could potentially receive the data of a number of ‘things’, that belong to and/or produce data on a tenant/end user. That is, an individual could have several hundred data sources uploading to the provider. Some of these might go

through applications dedicated to them (in a similar manner to today—which may simplify the problem); others might be uploading to shared applications or directly to the cloud platform. It follows that there must be a mechanism for providers to determine to which tenants and/or end-users the data streams belong.

The first step is to be able to identify the ‘things’, which might be a new subsystem comprising a large number of nodes [49]. There is work in the area, for example, having an architecture that groups ‘things’ to enable the common application of policy [50]. This is akin to an IoT subsystem, but where the group exists purely for identity/policy management purposes. Trusted Platform Modules [23], as mentioned in consideration 2 may also assist.

After authentication, it must be possible to both specify and identify to which tenant/user the ‘thing’ belongs. That is, there must be suitably flexible, scalable identity mechanisms that tie the ‘thing’ to the relevant tenant/user account. Authorisation and other management policy is built on this.

A consideration is that some ‘things’ could a) be shared and/or b) generate data that is relevant to a number of different tenants. For example, home monitoring and control (domotic) systems have user-specific policies, requiring people to be identified. A proximity sensor in a house could identify when different members of the family are near to it—there needs to be some way of determining the context (e.g. relating to which family member) in which the sensor is operating. Each person might have different preferences and uses for the data generated by the device. It may also be necessary to temporarily account for ‘strangers’, such as visiting tradesman.

Issues are further complicated by actuators: knowing which ‘things’ to actuate, and when, to effect some change in the physical environment. It becomes particularly important that the right actions are triggered for the right person. Also, conflicts might arise, since physical changes can affect different people, who might have different preferences. In the home example, different members of the family may have different temperature preferences, and thus policies over thermostat control could conflict. In the case of simultaneous policies applying and conflicting, detection and resolution mechanisms are needed.

In the cloud there is an intrinsic tension between the end-users’ requirement for privacy and the application providers’ economic interests (as the sayings goes: *if you are not paying for it, you’re not the customer; you’re the product being sold.*)⁶ While data is valuable, so too is identity since it can ground various attributes and inferences, leading to targeted advertising, changes in health premiums, and so forth [51]. These are general, identity-based concerns, based on identities that exist in the real world (e.g. identifying an individual, group of people (family) or business). However, even the identity of ‘things’ can release sensitive information. For instance, the fact that someone owns a particular device could imply they have some medical condition [26].

From a human rights/legal point of view it has been argued

⁶This quote is generally attributed to Andrew Lewis: <https://twitter.com/andlewis/status/24380177712>. (Accessed Apr 2015).

[52], [53] that IoT information should be considered as part of an individual's identity and protected in the same manner as their physical identity. Cameron [54] defined seven fundamental laws for digital identities: user control and consent, minimal disclosure for constrained use, justifiable parties, directed identity, interoperability, human integration and consistent experience across context.

Consideration 10: Identifying the provider. The inverse consideration is that 'things' must interact with the correct cloud service. Making sure the correct 'thing' (or the relevant gateway component) sends the information to the right cloud service *a priori* is typically a configuration issue, where fixed/common configuration mechanisms are appropriate for some situations; e.g. for the range of 'things' owned by the same individual or business.

However, there are nuances. For example, if a 'thing' generates data relevant to multiple applications (hosted on different cloud providers), how should the 'thing' know which data to send where, and when? The 'thing' would need the capability (credentials) to effect the relevant cloud interactions, and maintain policy determining with which cloud services to interact. Alternatively, this could be managed by the cloud service, coordinating and distributing data across 'things', applications, and clouds, but this requires shared resources that can account for, and resolve policies of multiple actors.

Further, there will also be occasions when these concerns will require runtime negotiation, e.g. when an individual first interacts with a sensor. How do they transfer their policies, and dictate where that data should flow? How should it be managed? These are complex issues all of which need consideration.

VI. MANAGING SCALE FOR THE IOT-CLOUD

Cloud services exist to exploit economies of scale. A key offering of the cloud is *elasticity*, where resources can be rapidly scaled up or down in response to changes in demand. This functionality is highly attractive to tenants, as it allows for cost-effective improvements in application/service availability.

Consideration 11: Increase in load. Traditionally, the elasticity of cloud services was aimed at resourcing web applications, where an 'end-user' represents a thread or instance of a web-application. In the IoT space, there is a vast increase not only in the number of clients (i.e. 'things') that the cloud must interact with, but also in terms of data volume, velocity and variety [55]. Cloud services must therefore be able to manage a range and scale of devices that potentially produce data far in excess of today's volume and peak loads. The failure to scale leads to availability issues, which can have serious implications by limiting access to data or preventing the cloud from coordinating and mediating the 'things'.

Scale represents a real challenge. We currently see that cloud enabled applications are often unable to rely on elasticity alone to deal with periods of extreme demand even in a web context, e.g. many clients attempting to book popular event tickets at the moment of release [56]. In such situations, other techniques (e.g. queueing systems and/or customised architectures) need

to be employed to manage such loads. For IoT, issues of managing at such scale could well be the norm.

It is also important to account for any performance overhead brought by the security mechanisms.

Consideration 12: Logging at large scale. Logs are important for ensuring that systems are functioning as expected, and for demonstrating compliance with regulations, laws and contracts (see §IX).

Since many more 'things' may be interacting with cloud services, logging and audit suffer from problems of scale. This is from a number of perspectives: in terms of what the cloud provider must record; the fact that logs might be decentralised amongst the 'things'; that different systems/verticals will vary in what is (and needs to be) recorded; and what can sensibly be interpreted from log data, which may be large, federated, and potentially in different formats. In such a context, it makes some sense to push the log data from 'things' to the cloud, to provide a better overview of state, but this will necessarily incur cost, in terms of processing, storage and transmission.

It becomes important to be able to define policy that captures the audit goals or the legal requirements through the different layers of the cloud stack, while minimising the amount of data captured to acquire the relevant information [57]. However, most of the logs available in the cloud are an aggregation of the logs of various cloud components, coming from webservers, the OS, databases etc. These logs are system-centric. In terms of the wider IoT vision, tenants and users will also require logs pertaining to their data, not just system status. Thus, logging mechanisms must evolve to capture information in a more data-centric fashion [58].

Another consideration is managing the location of log information across the range of 'things'. One approach is to centralise log information, e.g. [59] proposes an approach to reliably collect logs from various sources, removing duplicate/unnecessary information, while accounting for failure or disconnections. Such an approach seems highly suited to cloud service. The alternative is to develop analysis tools that can work over decentralised log data [60]. This shows promise as it accounts for the coordination and *ad hoc* aspects of IoT. Perhaps a hybrid approach is sensible.

There is also the tension between the volume of log information and the associated storage and processing overheads. Towards this, work includes dynamically modifying the verbosity of the log when there is a potential threat [61], or *a posteriori* editing of the log to remove unnecessary information [62].

In general, log analysis in large complex distributed systems still presents many unsolved challenges [61]. Certainly, more work is needed in addressing such issues in the context of an IoT-Cloud.

VII. MALICIOUS THINGS

The previous sections broadly consider aspects of management. As mentioned (see §IV), cloud providers already protect their infrastructure from a range of different attacks, through having appropriate access controls, isolation, encryption and sanitisation functionality (for PaaS/SaaS), etc. To reiterate, cloud providers have clear incentives to maintain a secure

infrastructure, because a) their business model depends on sharing infrastructure, and b) failure to provide adequate security measures will result in negative publicity and thus a loss in reputation and business.

Given our focus on IoT-Cloud, we do not explore the protection measures that apply to cloud-computing services in general; [63] and [64] provide overviews. Note also that any security mechanisms developed to address the IoT-Cloud security considerations we raise, may be subjected to attack. However, such attacks would be solution-specific, thus any analysis would only be relevant within the context of the specific approach and implementation. Therefore, in this section we focus on two situations, specific to cloud-enabled IoT, where the attacks come from malicious (or compromised) ‘things’. This is a real concern; for instance, a wide variety of smart home appliances have been discovered to be the source of large-scale spam attacks.⁷

Consideration 13: Malicious ‘things’—protection of provider. The cloud provider will maintain various access, and other controls, to protect against specific attacks, e.g. a rogue ‘thing’ attempting to exploit the service, perhaps through some sort of injection attack. Even if attacks are successful, cloud isolation mechanisms offer containment, limiting their fallout. Such attacks are not unlike the security concerns of the cloud as it is today.

Previous sections have explored how IoT dramatically increases scale, where there is the potential for a vast number of ‘things’ to interact directly with a provider. Thus one clear IoT-Cloud vulnerability is cloud *denial of service* (DoS), which could potentially be launched from a large number of compromised ‘things’. Cloud services are naturally *elastic*, designed to rapidly scale up/down resources in response to increases in demand, but still remain vulnerable to DoS [56]. Therefore, there is a need to explore more advanced DoS techniques in light of the fact that IoT greatly increases the scope of such an attack, particularly as ‘things’ become more integrated with cloud services.

Consideration 14: Malicious ‘things’—protection of others. Since the cloud can operate as a mediator and coordinator between ‘things’, it offers potential in terms of improving security across the IoT ecosystem. This is because the cloud provides a natural “choke-point” between ‘things’, in which security policy can be implemented and enforced.⁸

Requiring input data to pass through a validation process allows the cloud to effectively disconnect (or ignore inputs from) ‘things’ that are detected as compromised. This also helps ensure data integrity, as only valid data (in terms of rate/format)—rather than that from a faulty, compromised (rogue), or inappropriate (but perhaps non-malicious) ‘thing’—can enter a (possibly shared) database or flow to others via the cloud. Further, there is scope for the cloud to be used

more proactively, for example, by issuing control messages to ‘things’ to turn them off (or adjust some parameters) where necessary, or perhaps to trigger software/firmware updates.

Warnings could also be issued to alert those that own, use or rely on those ‘things’ that are determined to be faulty or compromised. These could be high-level (human-readable) or low-level (in a machine-to-machine context), as appropriate.

A fundamental consideration is in determining the ‘things’ that have been compromised. This will be relevant at different levels, depending on the circumstances; for instance, approaches could involve determining the malicious or untrustworthy nodes in a network [65], analysing the data outputs, patterns of behaviour or reputation of a ‘thing’ [66], or perhaps involve human intervention, e.g. reporting a device as stolen. Work will be required on developing such techniques, in line with new developments in technologies and their uses.

VIII. TRUST IN THE CLOUD PROVIDER: CERTIFICATION

Any prospective tenant, before committing to the use of cloud services, needs to consider the trustworthiness of the provider. This has many dimensions, as discussed throughout this paper. Here we focus on aspects of certification—what should be certified and how? §IX extends this discussion to the demonstration of compliance with regulations and laws.

Consideration 15: Certification of cloud service providers. Certification can be about system configuration, and the associated management processes (particularly management of risk), both at a human level (e.g. engineer involvement, regulating physical access) and a more technical level (e.g. whether security standards are adhered to). A number of regulated sectors, such as in Government and health, may only use cloud service offerings that are certified as being compliant across the relevant regulatory landscape, UK’s G-Cloud,⁹ and in the US FedRAMP¹⁰ and HIPAA,¹¹ being representative. Even those operating in less regulated sectors will have an interest in their provider demonstrating compliance with various standards, such as ISO/IEC 27001:2013 [67] on information security, to provide a degree of assurance.

Currently, certification is often the only available way to demonstrate compliance with regulations [68]. The automating of certification processes has been considered [69]–[71], but certification is currently a human-centred process that assesses system behaviour at the time of the audit. Any changes to a deployment can trigger the need for recertification, which is often a timely and costly process. Further, the advent or installation of new technology or architecture needs to go through a certification process, thus introducing similar inefficiencies. Overall such constraints do not align to the general, flexible vision of the cloud, let alone IoT-Cloud.

It may be possible to formalise some aspects of compliance, for example with regard to some aspects of security [72], [73]; however, such work explicitly recognises the difficulties given

⁷<http://investors.proofpoint.com/releasedetail.cfm?releaseid=819799>, (Accessed Apr 2015).

⁸Of course, things may also interact directly, without the use of cloud services. But architectures and services could leverage cloud-based protection capabilities when/where appropriate to limit the scope of attacks, even if the cloud does not mediate every interaction between ‘things’. See also §X.

⁹<https://www.gov.uk/government/publications/g-cloud-security-accreditation-application>, (Accessed Apr 2015).

¹⁰<http://cloud.cio.gov/fedramp>, (Accessed Apr 2015).

¹¹<http://www.hhs.gov/ocr/privacy>, (Accessed Apr 2015).

the lack of cloud provider transparency. Another issue concerns service composition, in the sense that even if two systems are individually secure, the composition of the services may not be [73]. This is particularly relevant in a cloud context, where cloud services may be composed (see consideration 18). Further, if the cloud operates as a coordinator of ‘things’, then the provider may bear extra responsibility to ensure such coordinations are appropriate, e.g. in terms of data combination (see consideration 8).

Therefore, more technical means of defining the appropriate cloud-provider behaviour and demonstrating compliance is needed, as discussed in the rest of this section and in §IX.

Consideration 16: Trustworthiness of cloud services. A general concern is how much trust can be placed in a cloud service provider; that is, that they will properly i) secure their service, ii) ensure it is correctly configured, iii) report leakages/issues, iv) use data only for their intended purposes. Key to building trust is providing some degree of visibility/transparency over the cloud service. §IX discusses how this might be enhanced through audit, including when using external, third-party cloud services and controlling where data is located in order to abide by regulations.

Recent developments in hardware technologies [74] enable new levels of trust, providing Trusted Platform Modules (TPM) [23] and remote attestation for cloud computing [75]. These can work to increase the level of trust that tenants have in the provider; for instance by enabling data integrity and confidentiality to be guaranteed regardless of the platform on which the data is processed [76], or to provide guarantees concerning the physical location of data [77] (see consideration 19). Such techniques are reaching maturity, e.g. IBM is rolling out a scalable TPM-based cloud platform [75], [78].

It is often the case that end-users are more willing to trust well-established and known cloud providers, rather than those with little history or reputation, such as startups offering cloud-hosted applications. Several projects have focused on preventing the misuse or leakage of data by cloud applications through complex isolation mechanisms [79], or by incorporating Information Flow Control (IFC) [37], [80] (see consideration 6), which enables the control policy to be attached to data (potentially by ‘things’, tenants or providers) in order to control the flow of data, and to generate audit logs. More generally, having mechanisms that limit data mismanagement are crucial to enabling the wide-scale vision of information sharing underpinning the IoT.

IX. PROVIDER TRANSPARENCY: COMPLIANCE

Some data management constraints arise from the nature and functionality of the applications and services. Others are a result of regulation (e.g. data protection legislation) and contractual obligation (e.g. service-level agreements). Rather than the cloud-provider being a ‘black-box’, in both situations it is advantageous to have some visibility into a provider’s operations, be it for compliance purposes, or more generally, to give some surety that data is properly managed.

For all the considerations in this section, the concerns will become particularly pertinent for the IoT-Cloud, given it entails

a vast increase in data producers, consumers, and service providers; where data and services may be used/reused for a number of purposes.

Consideration 17: Demonstrating compliance using audit. Cloud service providers issue contracts indicating the terms and conditions of cloud tenants’ usage, i.e. service-level agreements (SLA). There is currently often little or no provision for negotiation of the service conditions [29], nor any automated means of demonstrating compliance with all the terms within a contract. More generally, tenants may have obligations with respect to data management; e.g. data protection regulations in the EU apply to data considered personal [29].

Trustworthy audit services are relevant for cloud tenants, end-users and providers. Tenants and users can be assured that the platform is performing as it should be (and that they are getting what they pay for), and for providers such services help detect data leaks, misconfigurations and other security issues. Audit is also relevant for verifying compliance with law/regulation [81]. Clearly, such information helps reinforce accountability [82], be it to show some fault of the provider, or conversely to absolve their responsibility, when a leak has been claimed falsely. Further, such data would also be useful more generally, e.g. by public-sector bodies charged with advising on and enforcing information-related policy (such as the UK Information Commissioner’s Office).

The recent surge in cloud uptake, and the evolving IoT market has meant there is beginning to be some work on audit. For example, Massonet et al. [83] propose a framework whereby a cloud provider generates an audit log so that the cloud tenant is able to demonstrate his compliance with location-related regulation, and in an IoT context, the Infineon Trusted Platform Module¹² uses hardware-based cryptography to produce tamper-proof audit logs. It is important that audit mechanisms are developed, not only to handle the scale of the IoT vision (§VI), but also to ensure that all relevant aspects are captured, and that access to audit information is properly regulated (log data can be sensitive). All of these pose challenges, given the way IoT services are composed, where data (and services) can be used/reused for different purposes.

Consideration 18: Responsibility for composite services. It is common for cloud service providers to leverage a number of third-party services. Other cloud platforms could be involved in service provision, for example, building a PaaS offering over IaaS provider, as is the case for Heroku PaaS that runs over Amazon IaaS, providing the feature set for tenants to build SaaS applications.¹³ Other third-party services, may also be involved such as those providing log archiving and analytic tools. It follows that the legal obligations between tenants, end-users, providers, and the providers’ entire supply chain can be unclear [84]. Policies related to data location (see consideration 19) may be relevant, in addition to the more general concern of who has access to data.

¹²<https://www.infineon.com/cms/en/applications/chip-card-security/internet-of-things-security/audit-and-accountability>, (Accessed Apr 2015)

¹³See <https://www.heroku.com/customers>, (Accessed Apr 2015) for a list of commercial entities already running over such services.

Some recent work is addressing these concerns, for example, Henze et al. [85] propose an annotation, audit and negotiation system for multi-party layered cloud offering (SaaS, PaaS and IaaS) to meet tenant specified requirements. However, such issues become even more complex in an IoT context, where services will be composed more dynamically.

As an initial step forward, more transparency and visibility as to the specifics of how cloud services are composed and provisioned would assist in determining the appropriate responsibility and regulation frameworks, to which technical composition mechanisms can aspire.

A further consideration is *application level* composition. That is, where ‘things’, including those cloud based, are brought together by application/user-level concerns; particularly where it is the *composition itself* that brings about a vulnerability. In this context, issues concerning policy authoring, validation and conflict resolution are relevant; for details see [86], consideration 2, and [27] for a practical illustration involving a lighting system, IFTT¹⁴ and Facebook. The possibility for dynamic, perhaps unforeseen compositions raises interesting risk and obligation management challenges.

Consideration 19: Compliance with data location regulations. The broad IoT vision is for ‘things’ to interact, wherever they are, when and where appropriate. There are, however, real concerns relating to the physical (geo)location of data.

This issue is less apparent when considering ‘things’ in isolation, as ‘things’ tend naturally to be grounded in some physical environment, space (e.g. sensor networks in a building or city) or coupled with an individual (e.g. a mobile phone). Cloud services, however, deliberately aim to be *globally centralised* [87], generally accessible from anywhere and everywhere. Thus, in mediating between ‘things’, the nature of cloud provisioning means that data could potentially be moved and stored, and ‘things’ orchestrated and controlled, across geographic boundaries. There are practical concerns, most obviously in terms of law, when data (or control) flows span national borders.

As a result, we have seen much political rhetoric calling for regional clouds (such as a Europe-only cloud), particularly post-Snowden, in an attempt to circumvent various Governmental agencies and for competitive advantage—see [84] for a full analysis of the related societal issues. Practically, laws and best practices that constrain data-flows based on geography are an attempt to give certainty and visibility as to the legal regime and management principles that apply to data. We have explored the technical considerations of constraining data by location for legal purposes in [10], [88].

Hybrid-clouds are marketed as a solution, where data with location-based constraints remains on the tenant’s self-managed infrastructure. While this addresses issues of location, this can be costly and limits the wider benefits of the cloud. Further, they hinder the flexible sharing underpinning the wider vision of IoT (consideration 4).

It is apparent that more control mechanisms are needed to address the fact that ‘things’ are local, but the cloud-based data services and analytics are potentially global. We raise this

issue here as it represents a real, practical hurdle that must be overcome in order to realise wider IoT vision.

X. DECENTRALISED CLOUDS: A FUTURE TREND

Our discussion so far has concerned the cloud of today, where the cloud in effect represents—from the tenant perspective—a global, but centralised infrastructure [87]. This is our focus as it represents the current state of the art, which is already beginning to be used to support IoT and big data applications.

Moving forward, however, there is ongoing research into decentralised cloud computing. In general terms, this involves pushing the cloud services towards the edges of the network, towards and closer to the ‘things’. Key motivations of such research are to reduce the latency, delay, jitter, network congestion, and resource usage that naturally arise from local/mobile ‘things’ interacting with the global centralised cloud. Work on decentralisation is not considered a replacement for the global cloud—which will still have a place as aggregator, coordinator and a pool of resources—but rather represents the means to better deal with the challenges associated with the local (‘thing’)-global interplay.

There are differences among the proposed approaches. Fog computing [87], [89] describes more of a distributed computation approach, akin to edge [90] or grid computing, where certain service functionality is composed from among ‘things’ and cloud services, at various levels, data flowing where appropriate (e.g. pre/post computation). Cloudlets [91] are concerned with mobile cloud computing, where personal VMs (e.g. stored on mobile devices) can be offloaded onto more fixed infrastructure in the environment, e.g. that situated in a cafe or shopping mall, in order to leverage general cloud resources, when possible. This is to bring various efficiencies over the device acting either by itself or in conjunction with the more distant global cloud. Droplets [92] enable similar capabilities, but focus specifically on small, well-defined, highly customised virtual machines (*unikernels* [93]), that can enable personal- or even application/service-specific clouds. As well as efficiency, an explicit design goal of droplets is to enable a user to be in control of their personal data and services: an individual could precisely define the functionality and content of each droplet, and decide when/where and by whom each droplet is hosted.

Consideration 20: Impact of cloud decentralisation on security. The concept of the decentralised cloud raises interesting security considerations. It could reduce the attack surface of the global cloud, and perhaps the vulnerability to DoS, because fewer ‘things’ would directly interact with remote cloud services.

Conversely, the smaller, decentralised entities are likely to be less robust, e.g. in terms of the security mechanisms that can be applied, and more vulnerable to DoS, due to the lack of resource elasticity. Further, decentralisation paves the way for more targeted attacks, e.g. directed towards an individual c.f. the global cloud provider; and the data flows moving in/out of the more controlled, global cloud infrastructure will occur more frequently, thus raising additional management concerns.

¹⁴<https://iftt.com>, (Accessed Apr 2015).

TABLE I. CONSIDERATIONS, SECURITY FOCUS (C=CONFIDENTIALITY, I=INTEGRITY, A=AVAILABILITY) AND CURRENT STATUS (GREEN=SOME MATURITY IN APPROACHES; AMBER=SOME RESEARCH EXISTS, MORE WORK NEEDED; RED=RELATIVELY UNEXPLORED AREA)

| # | Consideration | Focus | Status |
|----|---|---------|--|
| 1 | Secure communications | C, I | Work is advanced and existing techniques can be leveraged. IoT could benefit from lighter-weight schemes, particularly where cryptography is involved. |
| 2 | Access controls for IoT-Cloud | C | Standard mechanisms can be used. IoT adds complexity due to the scale and dynamism of 'thing' access. |
| 3 | Identifying sensitive data | C | Largely a non-technical concern, but has an impact on how policies are defined. |
| 4 | Public, private or hybrid? | C, A | Currently blunt partitioning is supported, but emerging research will allow for more flexible deployments that facilitate data sharing. |
| 5 | In-cloud data protection | C | There are strong isolation techniques available and providers employ general access controls. More flexible approaches are needed for inter-application sharing to be possible (see 6, below). |
| 6 | In-cloud data sharing | C, A | Inter-application sharing is needed for IoT but currently is not part of the cloud philosophy. |
| 7 | Encryption by 'things' | C, I | Encryption techniques are mature, but this approach precludes most computations on protected data and involves complex key management. Ongoing work into homomorphic encryption will assist. Work on lightweight encryption mechanisms are being developed and will therefore require robust testing and analysis. |
| 8 | Data combination | C | Some techniques exist to prevent user re-identification, but much more work is needed. |
| 9 | Identifying 'things' | C | Existing work on identity management can be leveraged for IoT, but more experience at a larger scale is needed to determine suitability and/or limitations. |
| 10 | Identifying the provider | C | The basic issues are mostly architectural or configuration concerns. Some outstanding issues remain when resources are shared or where decisions need to be made at runtime. |
| 11 | Increase in interactions and data load | A | Cloud services manage elasticity well, but resource expansion is not unlimited. Peak IoT loads are unknown, but possibly controlled by economics (payment/ownership). |
| 12 | Logging at large scale | C, I, A | Currently logging is low-level and system-centered. More work is needed on logging and processing tools for applications and users. |
| 13 | Malicious 'things'—protection of provider | C, I, A | Existing techniques can be deployed. |
| 14 | Malicious 'things'—protection of others | C, I, A | There are potentially techniques that can assist. Experience is needed of cloud services operating across IoT subsystems. |
| 15 | Certification of cloud service providers | C, I, A | This is currently manual and static, leading to delays when updates are required. Research is needed on automatic certification processes, possibly including hardware-based solutions. |
| 16 | Trustworthiness of cloud services | C, I, A | An emerging field with ongoing research. Experience of practical implementation is needed. |
| 17 | Demonstrating compliance using audit | C, I, A | Currently, the compliance of cloud providers to their contractual obligations is not demonstrated convincingly. Research is needed, and IoT will add additional complexity. |
| 18 | Responsibility for composite services | C, I, A | The legal implications of the use of third-party and other services are unresolved. Such usage is not as yet transparent to tenants and clients. More work is needed concerning user and application-level policy aspects. |
| 19 | Compliance with data location regulations | C, I, A | Currently not enforceable except at coarse granularity. There is research in IFC that can assist, but the concepts are not yet commercially deployed. |
| 20 | Impact of cloud decentralisation | C, I, A | This is an emerging field, where the current focus is on functionality. More attention is needed regarding security. |

Coordinating security mechanisms, such as software updates and security patches, and identity management present real challenges in highly federated environments. Depending on how decentralised clouds come to be realised, 'things' possibly may become more embedded within the cloud service, which could increase the severity of an attack.

More generally, as the systems environment becomes decentralised, it may be the case that more 'things' *directly* interact, rather than rely on cloud-services—particularly as 'things' become more powerful. Such interactions require the means for flexible management. There is work on infrastructure towards this, such as SBUS [94]: a decentralised, peer-to-peer based communications infrastructure that aims at policy-driven interactions. Such functionality appears useful in managing all combinations of 'things' interacting with other 'things', 'things' with clouds, and clouds with clouds.

XI. SUMMARY

Concern over data security in cloud computing is already seen as inhibiting the adoption of public cloud services for a number of sectors and organisations [31]. Legal and regulatory issues are also emerging, concerning the location of data and identifying the jurisdictions under which they fall [84].

With this background, we have considered the use of cloud technology for IoT, to reduce the propensity for application "silos" and enable the beneficial sharing of data. Cloud services can clearly hold and process the data of 'things', and

components that manage 'things' and combine data streams from 'things' are highly amenable to being hosted within the cloud. Cloud and IoT potentially present vast scope for considering security. In this paper we have identified and described twenty security-related considerations within the following broad range of concerns:

- Issues of data transport to/from cloud services and data management in the cloud (§III, §IV).
- Issues associated with identity management (§V).
- Issues associated with the scale of IoT (§VI).
- Issues arising from malicious 'things' (§VII).
- Issues of certification, trust and compliance with regulations and contractual obligations (§VIII, §IX).
- Issues arising from further decentralisation into multiple clouds, fog services, etc. (§X).

Table I lists these twenty considerations, indicating where current, standard existing technologies can be used (green), where more work is required but the problems are reasonably well understood (amber), and where significant research is needed to understand and solve the problems (red).

We see data sharing as an intrinsic part of the IoT philosophy, yielding many benefits. Of course, sharing must be controlled according to policy, which must be informed by the possible consequences of unconsidered data sharing. Cloud services have been designed with *protection* (isolation) as the dominant concern, with far less consideration given to *sharing*. A promising approach to providing both data protection and sharing is to augment principal-centered access control technologies with those that focus on the properties of the data. Information Flow Control, for instance, can prevent data leakage while relaxing the strong isolation that currently prevents data sharing between applications [8], [37]. Only if controlled data sharing can be supported by public cloud services can the wider IoT-vision be realised.

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