

Miscellaneous Isabelle/Isar examples

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Abstract

Isar offers a high-level proof (and theory) language for Isabelle. We give various examples of Isabelle/Isar proof developments, ranging from simple demonstrations of certain language features to a bit more advanced applications. The “real” applications of Isabelle/Isar are found elsewhere.

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1 Structured statements within Isar proofs

`theory` *Structured-Statements*

```
imports Main
begin
```

1.1 Introduction steps

```
notepad
begin
  fix A B :: bool
  fix P :: 'a ⇒ bool

  have A ⟶ B
  proof
    show B if A using that ⟨proof⟩
  qed

  have ¬ A
  proof
    show False if A using that ⟨proof⟩
  qed

  have ∀ x. P x
  proof
    show P x for x ⟨proof⟩
  qed
end
```

1.2 If-and-only-if

```
notepad
begin
  fix A B :: bool

  have A ⟷ B
  proof
    show B if A ⟨proof⟩
    show A if B ⟨proof⟩
  qed
next
  fix A B :: bool

  have iff-comm: (A ∧ B) ⟷ (B ∧ A)
  proof
    show B ∧ A if A ∧ B
    proof
      show B using that ..
      show A using that ..
    qed
    show A ∧ B if B ∧ A
    proof
      show A using that ..
    qed
  qed
end
```

```

    show B using that ..
  qed
qed

```

Alternative proof, avoiding redundant copy of symmetric argument.

```

have iff-comm: (A ∧ B) ↔ (B ∧ A)
proof
  show B ∧ A if A ∧ B for A B
  proof
    show B using that ..
    show A using that ..
  qed
  then show A ∧ B if B ∧ A
  by this (rule that)
qed
end

```

1.3 Elimination and cases

```

notepad
begin
  fix A B C D :: bool
  assume *: A ∨ B ∨ C ∨ D

  consider (a) A | (b) B | (c) C | (d) D
    using * by blast
  then have something
  proof cases
    case a thm ⟨A⟩
    then show ?thesis ⟨proof⟩
  next
    case b thm ⟨B⟩
    then show ?thesis ⟨proof⟩
  next
    case c thm ⟨C⟩
    then show ?thesis ⟨proof⟩
  next
    case d thm ⟨D⟩
    then show ?thesis ⟨proof⟩
  qed
next
  fix A :: 'a ⇒ bool
  fix B :: 'b ⇒ 'c ⇒ bool
  assume *: (∃ x. A x) ∨ (∃ y z. B y z)

  consider (a) x where A x | (b) y z where B y z
    using * by blast
  then have something
  proof cases

```

```

    case a thm ⟨A x⟩
    then show ?thesis ⟨proof⟩
next
    case b thm ⟨B y z⟩
    then show ?thesis ⟨proof⟩
qed
end

```

1.4 Induction

```

notepad
begin
  fix P :: nat ⇒ bool
  fix n :: nat

  have P n
  proof (induct n)
    show P 0 ⟨proof⟩
    show P (Suc n) if P n for n thm ⟨P n⟩
    using that ⟨proof⟩
  qed
end

```

1.5 Suffices-to-show

```

notepad
begin
  fix A B C
  assume r: A ⇒ B ⇒ C

  have C
  proof -
    show ?thesis when A (is ?A) and B (is ?B)
      using that by (rule r)
    show ?A ⟨proof⟩
    show ?B ⟨proof⟩
  qed
next
  fix a :: 'a
  fix A :: 'a ⇒ bool
  fix C

  have C
  proof -
    show ?thesis when A x (is ?A) for x :: 'a — abstract x
      using that ⟨proof⟩
    show ?A a — concrete a
      ⟨proof⟩
  qed
end

```

end

2 Basic logical reasoning

```
theory Basic-Logic
  imports Main
begin
```

2.1 Pure backward reasoning

In order to get a first idea of how Isabelle/Isar proof documents may look like, we consider the propositions I , K , and S . The following (rather explicit) proofs should require little extra explanations.

```
lemma I:  $A \longrightarrow A$ 
proof
  assume A
  show A by fact
qed
```

```
lemma K:  $A \longrightarrow B \longrightarrow A$ 
proof
  assume A
  show  $B \longrightarrow A$ 
  proof
    show A by fact
  qed
qed
```

```
lemma S:  $(A \longrightarrow B \longrightarrow C) \longrightarrow (A \longrightarrow B) \longrightarrow A \longrightarrow C$ 
proof
  assume  $A \longrightarrow B \longrightarrow C$ 
  show  $(A \longrightarrow B) \longrightarrow A \longrightarrow C$ 
  proof
    assume  $A \longrightarrow B$ 
    show  $A \longrightarrow C$ 
    proof
      assume A
      show C
      proof (rule mp)
        show  $B \longrightarrow C$  by (rule mp) fact+
        show B by (rule mp) fact+
      qed
    qed
  qed
qed
```

Isar provides several ways to fine-tune the reasoning, avoiding excessive de-

tail. Several abbreviated language elements are available, enabling the writer to express proofs in a more concise way, even without referring to any automated proof tools yet.

Concluding any (sub-)proof already involves solving any remaining goals by assumption¹. Thus we may skip the rather vacuous body of the above proof.

```
lemma  $A \longrightarrow A$   
proof  
qed
```

Note that the **proof** command refers to the *rule* method (without arguments) by default. Thus it implicitly applies a single rule, as determined from the syntactic form of the statements involved. The **by** command abbreviates any proof with empty body, so the proof may be further pruned.

```
lemma  $A \longrightarrow A$   
by rule
```

Proof by a single rule may be abbreviated as double-dot.

```
lemma  $A \longrightarrow A$  ..
```

Thus we have arrived at an adequate representation of the proof of a tautology that holds by a single standard rule.²

Let us also reconsider *K*. Its statement is composed of iterated connectives. Basic decomposition is by a single rule at a time, which is why our first version above was by nesting two proofs.

The *intro* proof method repeatedly decomposes a goal's conclusion.³

```
lemma  $A \longrightarrow B \longrightarrow A$   
proof (intro impI)  
  assume  $A$   
  show  $A$  by fact  
qed
```

Again, the body may be collapsed.

```
lemma  $A \longrightarrow B \longrightarrow A$   
by (intro impI)
```

Just like *rule*, the *intro* and *elim* proof methods pick standard structural rules, in case no explicit arguments are given. While implicit rules are usually just fine for single rule application, this may go too far with iteration. Thus in practice, *intro* and *elim* would be typically restricted to certain structures by giving a few rules only, e.g. **proof** (*intro impI allI*) to strip implications and universal quantifiers.

¹This is not a completely trivial operation, as proof by assumption may involve full higher-order unification.

²Apparently, the rule here is implication introduction.

³The dual method is *elim*, acting on a goal's premises.

Such well-tuned iterated decomposition of certain structures is the prime application of *intro* and *elim*. In contrast, terminal steps that solve a goal completely are usually performed by actual automated proof methods (such as **by** *blast*).

2.2 Variations of backward vs. forward reasoning

Certainly, any proof may be performed in backward-style only. On the other hand, small steps of reasoning are often more naturally expressed in forward-style. Isar supports both backward and forward reasoning as a first-class concept. In order to demonstrate the difference, we consider several proofs of $A \wedge B \longrightarrow B \wedge A$.

The first version is purely backward.

```
lemma A ∧ B ⟶ B ∧ A
proof
  assume A ∧ B
  show B ∧ A
  proof
    show B by (rule conjunct2) fact
    show A by (rule conjunct1) fact
  qed
qed
```

Above, the projection rules *conjunct1* / *conjunct2* had to be named explicitly, since the goals *B* and *A* did not provide any structural clue. This may be avoided using **from** to focus on the $A \wedge B$ assumption as the current facts, enabling the use of double-dot proofs. Note that **from** already does forward-chaining, involving the *conjE* rule here.

```
lemma A ∧ B ⟶ B ∧ A
proof
  assume A ∧ B
  show B ∧ A
  proof
    from ⟨A ∧ B⟩ show B ..
    from ⟨A ∧ B⟩ show A ..
  qed
qed
```

In the next version, we move the forward step one level upwards. Forward-chaining from the most recent facts is indicated by the **then** command. Thus the proof of $B \wedge A$ from $A \wedge B$ actually becomes an elimination, rather than an introduction. The resulting proof structure directly corresponds to that of the *conjE* rule, including the repeated goal proposition that is abbreviated as *?thesis* below.

```
lemma A ∧ B ⟶ B ∧ A
```



```

proof
  assume  $A \wedge B$ 
  then show  $B \wedge A$ 
  proof — rule conjE of  $A \wedge B$ 
    assume  $B \wedge A$ 
    then show ?thesis .. — rule conjI of  $B \wedge A$ 
  qed
qed

```

In the subsequent version we flatten the structure of the main body by doing forward reasoning all the time. Only the outermost decomposition step is left as backward.

```

lemma  $A \wedge B \longrightarrow B \wedge A$ 
proof
  assume  $A \wedge B$ 
  from  $\langle A \wedge B \rangle$  have  $A$  ..
  from  $\langle A \wedge B \rangle$  have  $B$  ..
  from  $\langle B \rangle \langle A \rangle$  show  $B \wedge A$  ..
qed

```

We can still push forward-reasoning a bit further, even at the risk of getting ridiculous. Note that we force the initial proof step to do nothing here, by referring to the – proof method.

```

lemma  $A \wedge B \longrightarrow B \wedge A$ 
proof –
  {
    assume  $A \wedge B$ 
    from  $\langle A \wedge B \rangle$  have  $A$  ..
    from  $\langle A \wedge B \rangle$  have  $B$  ..
    from  $\langle B \rangle \langle A \rangle$  have  $B \wedge A$  ..
  }
  then show ?thesis .. — rule impI
qed

```

With these examples we have shifted through a whole range from purely backward to purely forward reasoning. Apparently, in the extreme ends we get slightly ill-structured proofs, which also require much explicit naming of either rules (backward) or local facts (forward).

The general lesson learned here is that good proof style would achieve just the *right* balance of top-down backward decomposition, and bottom-up forward composition. In general, there is no single best way to arrange some pieces of formal reasoning, of course. Depending on the actual applications, the intended audience etc., rules (and methods) on the one hand vs. facts on the other hand have to be emphasized in an appropriate way. This requires the proof writer to develop good taste, and some practice, of course.

For our example the most appropriate way of reasoning is probably the

middle one, with conjunction introduction done after elimination.

lemma $A \wedge B \longrightarrow B \wedge A$

proof

assume $A \wedge B$

then show $B \wedge A$

proof

assume $B \wedge A$

then show *?thesis ..*

qed

qed

2.3 A few examples from “Introduction to Isabelle”

We rephrase some of the basic reasoning examples of [4], using HOL rather than FOL.

2.3.1 A propositional proof

We consider the proposition $P \vee P \longrightarrow P$. The proof below involves forward-chaining from $P \vee P$, followed by an explicit case-analysis on the two *identical* cases.

lemma $P \vee P \longrightarrow P$

proof

assume $P \vee P$

then show P

proof

assume P **show** P *by fact*

next

assume P **show** P *by fact*

qed

qed

$$\text{— rule } disjE: \frac{A \vee B \quad \begin{array}{c} [A] \\ \vdots \\ C \end{array} \quad \begin{array}{c} [B] \\ \vdots \\ C \end{array}}{C}$$

Case splits are *not* hardwired into the Isar language as a special feature. The **next** command used to separate the cases above is just a short form of managing block structure.

In general, applying proof methods may split up a goal into separate “cases”, i.e. new subgoals with individual local assumptions. The corresponding proof text typically mimics this by establishing results in appropriate contexts, separated by blocks.

In order to avoid too much explicit parentheses, the Isar system implicitly opens an additional block for any new goal, the **next** statement then closes one block level, opening a new one. The resulting behaviour is what one would expect from separating cases, only that it is more flexible. E.g. an induction base case (which does not introduce local assumptions) would *not* require **next** to separate the subsequent step case.

In our example the situation is even simpler, since the two cases actually coincide. Consequently the proof may be rephrased as follows.

lemma $P \vee P \longrightarrow P$

proof

assume $P \vee P$

then show P

proof

assume P

show P *by fact*

show P *by fact*

qed

qed

Again, the rather vacuous body of the proof may be collapsed. Thus the case analysis degenerates into two assumption steps, which are implicitly performed when concluding the single rule step of the double-dot proof as follows.

lemma $P \vee P \longrightarrow P$

proof

assume $P \vee P$

then show P ..

qed

2.3.2 A quantifier proof

To illustrate quantifier reasoning, let us prove $(\exists x. P (f x)) \longrightarrow (\exists y. P y)$. Informally, this holds because any a with $P (f a)$ may be taken as a witness for the second existential statement.

The first proof is rather verbose, exhibiting quite a lot of (redundant) detail. It gives explicit rules, even with some instantiation. Furthermore, we encounter two new language elements: the **fix** command augments the context by some new “arbitrary, but fixed” element; the **is** annotation binds term abbreviations by higher-order pattern matching.

lemma $(\exists x. P (f x)) \longrightarrow (\exists y. P y)$

proof

assume $\exists x. P (f x)$

then show $\exists y. P y$

proof (*rule exE*)

fix a

assume $P (f a)$ (**is** P ?*witness*)

then show ?*thesis* **by** (*rule exI* [*of* P ?*witness*])

qed

qed

$[A(x)]_x$

\vdots

$\exists x. A(x)$ B

— *rule exE*: $\frac{B}{B}$

B

While explicit rule instantiation may occasionally improve readability of certain aspects of reasoning, it is usually quite redundant. Above, the basic

proof outline gives already enough structural clues for the system to infer both the rules and their instances (by higher-order unification). Thus we may as well prune the text as follows.

lemma $(\exists x. P (f x)) \longrightarrow (\exists y. P y)$

proof

assume $\exists x. P (f x)$

then show $\exists y. P y$

proof

fix a

assume $P (f a)$

then show *?thesis* ..

qed

qed

Explicit \exists -elimination as seen above can become quite cumbersome in practice. The derived Isar language element “**obtain**” provides a more handsome way to do generalized existence reasoning.

lemma $(\exists x. P (f x)) \longrightarrow (\exists y. P y)$

proof

assume $\exists x. P (f x)$

then obtain a **where** $P (f a)$..

then show $\exists y. P y$..

qed

Technically, **obtain** is similar to **fix** and **assume** together with a soundness proof of the elimination involved. Thus it behaves similar to any other forward proof element. Also note that due to the nature of general existence reasoning involved here, any result exported from the context of an **obtain** statement may *not* refer to the parameters introduced there.

2.3.3 Deriving rules in Isabelle

We derive the conjunction elimination rule from the corresponding projections. The proof is quite straight-forward, since Isabelle/Isar supports non-atomic goals and assumptions fully transparently.

theorem *conjE*: $A \wedge B \Longrightarrow (A \Longrightarrow B \Longrightarrow C) \Longrightarrow C$

proof –

assume $A \wedge B$

assume $r: A \Longrightarrow B \Longrightarrow C$

show C

proof (*rule r*)

show A **by** (*rule conjunct1*) *fact*

show B **by** (*rule conjunct2*) *fact*

qed

qed

end

3 Correctness of a simple expression compiler

```
theory Expr-Compiler
  imports Main
begin
```

This is a (rather trivial) example of program verification. We model a compiler for translating expressions to stack machine instructions, and prove its correctness wrt. some evaluation semantics.

3.1 Binary operations

Binary operations are just functions over some type of values. This is both for abstract syntax and semantics, i.e. we use a “shallow embedding” here.

```
type-synonym 'val binop = 'val  $\Rightarrow$  'val  $\Rightarrow$  'val
```

3.2 Expressions

The language of expressions is defined as an inductive type, consisting of variables, constants, and binary operations on expressions.

```
datatype (dead 'adr, dead 'val) expr =
  Variable 'adr
| Constant 'val
| Binop 'val binop ('adr, 'val) expr ('adr, 'val) expr
```

Evaluation (wrt. some environment of variable assignments) is defined by primitive recursion over the structure of expressions.

```
primrec eval :: ('adr, 'val) expr  $\Rightarrow$  ('adr  $\Rightarrow$  'val)  $\Rightarrow$  'val
where
  eval (Variable x) env = env x
| eval (Constant c) env = c
| eval (Binop f e1 e2) env = f (eval e1 env) (eval e2 env)
```

3.3 Machine

Next we model a simple stack machine, with three instructions.

```
datatype (dead 'adr, dead 'val) instr =
  Const 'val
| Load 'adr
| Apply 'val binop
```

Execution of a list of stack machine instructions is easily defined as follows.

```
primrec exec :: (('adr, 'val) instr) list  $\Rightarrow$  'val list  $\Rightarrow$  ('adr  $\Rightarrow$  'val)  $\Rightarrow$  'val list
where
  exec [] stack env = stack
| exec (instr # instrs) stack env =
```

```

(case instr of
  Const c ⇒ exec instrs (c # stack) env
| Load x ⇒ exec instrs (env x # stack) env
| Apply f ⇒ exec instrs (f (hd stack) (hd (tl stack))) # (tl (tl stack))) env)

```

definition *execute* :: (('adr, 'val) instr) list ⇒ ('adr ⇒ 'val) ⇒ 'val
where *execute instrs env* = hd (exec instrs [] env)

3.4 Compiler

We are ready to define the compilation function of expressions to lists of stack machine instructions.

```

primrec compile :: ('adr, 'val) expr ⇒ (('adr, 'val) instr) list
where
  compile (Variable x) = [Load x]
| compile (Constant c) = [Const c]
| compile (Binop f e1 e2) = compile e2 @ compile e1 @ [Apply f]

```

The main result of this development is the correctness theorem for *compile*. We first establish a lemma about *exec* and list append.

lemma *exec-append*:

```

exec (xs @ ys) stack env =
  exec ys (exec xs stack env) env
proof (induct xs arbitrary: stack)
  case Nil
  show ?case by simp
next
  case (Cons x xs)
  show ?case
  proof (induct x)
    case Const
    from Cons show ?case by simp
  next
  case Load
  from Cons show ?case by simp
  next
  case Apply
  from Cons show ?case by simp
  qed
qed

```

theorem *correctness*: *execute* (*compile* e) env = *eval* e env
proof –
 have \bigwedge stack. *exec* (*compile* e) stack env = *eval* e env # stack
proof (induct e)
 case Variable
 show ?case by simp
next

```

    case Constant
    show ?case by simp
next
    case Binop
    then show ?case by (simp add: exec-append)
qed
then show ?thesis by (simp add: execute-def)
qed

```

In the proofs above, the *simp* method does quite a lot of work behind the scenes (mostly “functional program execution”). Subsequently, the same reasoning is elaborated in detail — at most one recursive function definition is used at a time. Thus we get a better idea of what is actually going on.

lemma *exec-append'*:

$exec (xs @ ys) stack env = exec ys (exec xs stack env) env$

proof (*induct xs arbitrary: stack*)

case (*Nil s*)

have $exec ([] @ ys) s env = exec ys s env$

by *simp*

also have $\dots = exec ys (exec [] s env) env$

by *simp*

finally show ?case .

next

case (*Cons x xs s*)

show ?case

proof (*induct x*)

case (*Const val*)

have $exec ((Const val \# xs) @ ys) s env = exec (Const val \# xs @ ys) s env$

by *simp*

also have $\dots = exec (xs @ ys) (val \# s) env$

by *simp*

also from *Cons* have $\dots = exec ys (exec xs (val \# s) env) env$.

also have $\dots = exec ys (exec (Const val \# xs) s env) env$

by *simp*

finally show ?case .

next

case (*Load adr*)

from *Cons* show ?case

by *simp* — same as above

next

case (*Apply fn*)

have $exec ((Apply fn \# xs) @ ys) s env =$

$exec (Apply fn \# xs @ ys) s env$ by *simp*

also have $\dots =$

$exec (xs @ ys) (fn (hd s) (hd (tl s)) \# (tl (tl s))) env$

by *simp*

also from *Cons* have $\dots =$

$exec ys (exec xs (fn (hd s) (hd (tl s)) \# tl (tl s)) env) env$.

also have $\dots = \text{exec } ys \ (\text{exec } (\text{Apply } fn \ \# \ xs) \ s \ env) \ env$
by simp
finally show $?case$.
qed
qed

theorem correctness': $\text{execute } (\text{compile } e) \ env = \text{eval } e \ env$

proof –

have exec-compile : $\bigwedge stack. \text{exec } (\text{compile } e) \ stack \ env = \text{eval } e \ env \ \# \ stack$

proof (*induct e*)

case (*Variable adr s*)

have $\text{exec } (\text{compile } (\text{Variable } adr)) \ s \ env = \text{exec } [\text{Load } adr] \ s \ env$

by simp

also have $\dots = env \ adr \ \# \ s$

by simp

also have $env \ adr = \text{eval } (\text{Variable } adr) \ env$

by simp

finally show $?case$.

next

case (*Constant val s*)

show $?case$ **by simp** — same as above

next

case (*Binop fn e1 e2 s*)

have $\text{exec } (\text{compile } (\text{Binop } fn \ e1 \ e2)) \ s \ env =$

$\text{exec } (\text{compile } e2 \ @ \ \text{compile } e1 \ @ \ [\text{Apply } fn]) \ s \ env$

by simp

also have $\dots = \text{exec } [\text{Apply } fn]$

$(\text{exec } (\text{compile } e1) \ (\text{exec } (\text{compile } e2) \ s \ env) \ env) \ env$

by (*simp only: exec-append*)

also have $\text{exec } (\text{compile } e2) \ s \ env = \text{eval } e2 \ env \ \# \ s$

by fact

also have $\text{exec } (\text{compile } e1) \ \dots \ env = \text{eval } e1 \ env \ \# \ \dots$

by fact

also have $\text{exec } [\text{Apply } fn] \ \dots \ env =$

$fn \ (hd \ \dots) \ (hd \ (tl \ \dots)) \ \# \ (tl \ (tl \ \dots))$

by simp

also have $\dots = fn \ (\text{eval } e1 \ env) \ (\text{eval } e2 \ env) \ \# \ s$

by simp

also have $fn \ (\text{eval } e1 \ env) \ (\text{eval } e2 \ env) =$

$\text{eval } (\text{Binop } fn \ e1 \ e2) \ env$

by simp

finally show $?case$.

qed

have $\text{execute } (\text{compile } e) \ env = hd \ (\text{exec } (\text{compile } e) \ [] \ env)$

by (*simp add: execute-def*)

also from exec-compile **have** $\text{exec } (\text{compile } e) \ [] \ env = [\text{eval } e \ env]$.

also have $hd \ \dots = \text{eval } e \ env$

by simp

finally show *?thesis* .
qed

end

4 Fib and Gcd commute

theory *Fibonacci*
imports *HOL-Computational-Algebra.Primes*
begin⁴

4.1 Fibonacci numbers

fun *fib* :: *nat* \Rightarrow *nat*
where
 fib 0 = 0
 | *fib* (*Suc* 0) = 1
 | *fib* (*Suc* (*Suc* *x*)) = *fib* *x* + *fib* (*Suc* *x*)

lemma [*simp*]: *fib* (*Suc* *n*) > 0
by (*induct* *n* rule: *fib.induct*) *simp-all*

Alternative induction rule.

theorem *fib-induct*: $P\ 0 \Longrightarrow P\ 1 \Longrightarrow (\bigwedge n. P\ (n + 1) \Longrightarrow P\ n \Longrightarrow P\ (n + 2)) \Longrightarrow P\ n$
for *n* :: *nat*
by (*induct* rule: *fib.induct*) *simp-all*

4.2 Fib and gcd commute

A few laws taken from [1].

lemma *fib-add*: $fib\ (n + k + 1) = fib\ (k + 1) * fib\ (n + 1) + fib\ k * fib\ n$
(is *?P n*)

— see [1, page 280]

proof (*induct* *n* rule: *fib-induct*)

 show *?P* 0 by *simp*

 show *?P* 1 by *simp*

 fix *n*

 have *fib* (*n* + 2 + *k* + 1)

 = *fib* (*n* + *k* + 1) + *fib* (*n* + 1 + *k* + 1) by *simp*

 also assume $fib\ (n + k + 1) = fib\ (k + 1) * fib\ (n + 1) + fib\ k * fib\ n$ (is - = *?R1*)

 also assume $fib\ (n + 1 + k + 1) = fib\ (k + 1) * fib\ (n + 1 + 1) + fib\ k * fib\ (n + 1)$

 (is - = *?R2*)

⁴Isar version by Gertrud Bauer. Original tactic script by Larry Paulson. A few proofs of laws taken from [1].

also have $?R1 + ?R2 = \text{fib } (k + 1) * \text{fib } (n + 2 + 1) + \text{fib } k * \text{fib } (n + 2)$
by (*simp add: add-mult-distrib2*)
finally show $?P (n + 2)$.
qed

lemma *coprime-fib-Suc: coprime (fib n) (fib (n + 1))*
(is ?P n)
proof (*induct n rule: fib-induct*)
show $?P 0$ **by** *simp*
show $?P 1$ **by** *simp*
fix n
assume $P: \text{coprime } (\text{fib } (n + 1)) (\text{fib } (n + 1 + 1))$
have $\text{fib } (n + 2 + 1) = \text{fib } (n + 1) + \text{fib } (n + 2)$
by *simp*
also have $\dots = \text{fib } (n + 2) + \text{fib } (n + 1)$
by *simp*
also have $\text{gcd } (\text{fib } (n + 2)) \dots = \text{gcd } (\text{fib } (n + 2)) (\text{fib } (n + 1))$
by (*rule gcd-add2*)
also have $\dots = \text{gcd } (\text{fib } (n + 1)) (\text{fib } (n + 1 + 1))$
by (*simp add: gcd.commute*)
also have $\dots = 1$
using P **by** *simp*
finally show $?P (n + 2)$
by (*simp add: coprime-iff-gcd-eq-1*)
qed

lemma *gcd-mult-add: (0::nat) < n \implies gcd (n * k + m) n = gcd m n*
proof –
assume $0 < n$
then have $\text{gcd } (n * k + m) n = \text{gcd } n (m \bmod n)$
by (*simp add: gcd-non-0-nat add.commute*)
also from $\langle 0 < n \rangle$ **have** $\dots = \text{gcd } m n$
by (*simp add: gcd-non-0-nat*)
finally show $?thesis$.
qed

lemma *gcd-fib-add: gcd (fib m) (fib (n + m)) = gcd (fib m) (fib n)*
proof (*cases m*)
case 0
then show $?thesis$ **by** *simp*
next
case (*Suc k*)
then have $\text{gcd } (\text{fib } m) (\text{fib } (n + m)) = \text{gcd } (\text{fib } (n + k + 1)) (\text{fib } (k + 1))$
by (*simp add: gcd.commute*)
also have $\text{fib } (n + k + 1) = \text{fib } (k + 1) * \text{fib } (n + 1) + \text{fib } k * \text{fib } n$
by (*rule fib-add*)
also have $\text{gcd } \dots (\text{fib } (k + 1)) = \text{gcd } (\text{fib } k * \text{fib } n) (\text{fib } (k + 1))$
by (*simp add: gcd-mult-add*)
also have $\dots = \text{gcd } (\text{fib } n) (\text{fib } (k + 1))$

using *coprime-fib-Suc* [of k] *gcd-mult-left-right-cancel* [of $\text{fib } (k + 1) \text{ fib } k \text{ fib } n$]
by (*simp add: ac-simps*)
also have $\dots = \text{gcd } (\text{fib } m) (\text{fib } n)$
using *Suc* **by** (*simp add: gcd.commute*)
finally show ?thesis .
qed

lemma *gcd-fib-diff*: $\text{gcd } (\text{fib } m) (\text{fib } (n - m)) = \text{gcd } (\text{fib } m) (\text{fib } n)$ **if** $m \leq n$
proof –
have $\text{gcd } (\text{fib } m) (\text{fib } (n - m)) = \text{gcd } (\text{fib } m) (\text{fib } (n - m + m))$
by (*simp add: gcd-fib-add*)
also from $\langle m \leq n \rangle$ **have** $n - m + m = n$
by *simp*
finally show ?thesis .
qed

lemma *gcd-fib-mod*: $\text{gcd } (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd } (\text{fib } m) (\text{fib } n)$ **if** $0 < m$
proof (*induct n rule: nat-less-induct*)
case *hyp*: (1 n)
show ?case
proof –
have $n \bmod m = (\text{if } n < m \text{ then } n \text{ else } (n - m) \bmod m)$
by (*rule mod-if*)
also have $\text{gcd } (\text{fib } m) (\text{fib } \dots) = \text{gcd } (\text{fib } m) (\text{fib } n)$
proof (*cases n < m*)
case *True*
then show ?thesis **by** *simp*
next
case *False*
then have $m \leq n$ **by** *simp*
from $\langle 0 < m \rangle$ **and** *False* **have** $n - m < n$
by *simp*
with *hyp* **have** $\text{gcd } (\text{fib } m) (\text{fib } ((n - m) \bmod m))$
 $= \text{gcd } (\text{fib } m) (\text{fib } (n - m))$ **by** *simp*
also have $\dots = \text{gcd } (\text{fib } m) (\text{fib } n)$
using $\langle m \leq n \rangle$ **by** (*rule gcd-fib-diff*)
finally have $\text{gcd } (\text{fib } m) (\text{fib } ((n - m) \bmod m)) =$
 $\text{gcd } (\text{fib } m) (\text{fib } n)$.
with *False* **show** ?thesis **by** *simp*
qed
finally show ?thesis .
qed
qed

theorem *fib-gcd*: $\text{fib } (\text{gcd } m \ n) = \text{gcd } (\text{fib } m) (\text{fib } n)$
(is ?*P* $m \ n$)
proof (*induct m n rule: gcd-nat-induct*)
fix $m \ n :: \text{nat}$
show $\text{fib } (\text{gcd } m \ 0) = \text{gcd } (\text{fib } m) (\text{fib } 0)$

```

    by simp
  assume n:  $0 < n$ 
  then have  $\gcd m n = \gcd n (m \bmod n)$ 
    by (simp add: gcd-non-0-nat)
  also assume hyp:  $\text{fib } \dots = \gcd (\text{fib } n) (\text{fib } (m \bmod n))$ 
  also from n have  $\dots = \gcd (\text{fib } n) (\text{fib } m)$ 
    by (rule gcd-fib-mod)
  also have  $\dots = \gcd (\text{fib } m) (\text{fib } n)$ 
    by (rule gcd.commute)
  finally show  $\text{fib } (\gcd m n) = \gcd (\text{fib } m) (\text{fib } n)$  .
qed

end

```

5 Basic group theory

```

theory Group
  imports Main
begin

```

5.1 Groups and calculational reasoning

Groups over signature $(* :: \alpha \Rightarrow \alpha \Rightarrow \alpha, 1 :: \alpha, \text{inverse} :: \alpha \Rightarrow \alpha)$ are defined as an axiomatic type class as follows. Note that the parent classes *times*, *one*, *inverse* is provided by the basic HOL theory.

```

class group = times + one + inverse +
  assumes group-assoc:  $(x * y) * z = x * (y * z)$ 
    and group-left-one:  $1 * x = x$ 
    and group-left-inverse:  $\text{inverse } x * x = 1$ 

```

The group axioms only state the properties of left one and inverse, the right versions may be derived as follows.

```

theorem (in group) group-right-inverse:  $x * \text{inverse } x = 1$ 

```

proof –

```

  have  $x * \text{inverse } x = 1 * (x * \text{inverse } x)$ 
    by (simp only: group-left-one)
  also have  $\dots = 1 * x * \text{inverse } x$ 
    by (simp only: group-assoc)
  also have  $\dots = \text{inverse } (\text{inverse } x) * \text{inverse } x * x * \text{inverse } x$ 
    by (simp only: group-left-inverse)
  also have  $\dots = \text{inverse } (\text{inverse } x) * (\text{inverse } x * x) * \text{inverse } x$ 
    by (simp only: group-assoc)
  also have  $\dots = \text{inverse } (\text{inverse } x) * 1 * \text{inverse } x$ 
    by (simp only: group-left-inverse)
  also have  $\dots = \text{inverse } (\text{inverse } x) * (1 * \text{inverse } x)$ 
    by (simp only: group-assoc)
  also have  $\dots = \text{inverse } (\text{inverse } x) * \text{inverse } x$ 
    by (simp only: group-left-one)

```

```

also have ... = 1
  by (simp only: group-left-inverse)
finally show ?thesis .
qed

```

With *group-right-inverse* already available, *group-right-one* is now established much easier.

```

theorem (in group) group-right-one:  $x * 1 = x$ 
proof –
  have  $x * 1 = x * (\text{inverse } x * x)$ 
    by (simp only: group-left-inverse)
  also have ... =  $x * \text{inverse } x * x$ 
    by (simp only: group-assoc)
  also have ... =  $1 * x$ 
    by (simp only: group-right-inverse)
  also have ... =  $x$ 
    by (simp only: group-left-one)
  finally show ?thesis .
qed

```

The calculational proof style above follows typical presentations given in any introductory course on algebra. The basic technique is to form a transitive chain of equations, which in turn are established by simplifying with appropriate rules. The low-level logical details of equational reasoning are left implicit.

Note that “...” is just a special term variable that is bound automatically to the argument⁵ of the last fact achieved by any local assumption or proven statement. In contrast to *?thesis*, the “...” variable is bound *after* the proof is finished.

There are only two separate Isar language elements for calculational proofs: “**also**” for initial or intermediate calculational steps, and “**finally**” for exhibiting the result of a calculation. These constructs are not hardwired into Isabelle/Isar, but defined on top of the basic Isar/VM interpreter. Expanding the **also** and **finally** derived language elements, calculations may be simulated by hand as demonstrated below.

```

theorem (in group)  $x * 1 = x$ 
proof –
  have  $x * 1 = x * (\text{inverse } x * x)$ 
    by (simp only: group-left-inverse)

  note calculation = this
  — first calculational step: init calculation register

  have ... =  $x * \text{inverse } x * x$ 

```

⁵The argument of a curried infix expression happens to be its right-hand side.

```

    by (simp only: group-assoc)

note calculation = trans [OF calculation this]
  — general calculational step: compose with transitivity rule

have ... = 1 * x
  by (simp only: group-right-inverse)

note calculation = trans [OF calculation this]
  — general calculational step: compose with transitivity rule

have ... = x
  by (simp only: group-left-one)

note calculation = trans [OF calculation this]
  — final calculational step: compose with transitivity rule ...
from calculation
  — ... and pick up the final result

show ?thesis .
qed

```

Note that this scheme of calculations is not restricted to plain transitivity. Rules like anti-symmetry, or even forward and backward substitution work as well. For the actual implementation of **also** and **finally**, Isabelle/Isar maintains separate context information of “transitivity” rules. Rule selection takes place automatically by higher-order unification.

5.2 Groups as monoids

Monoids over signature $(* :: \alpha \Rightarrow \alpha \Rightarrow \alpha, 1 :: \alpha)$ are defined like this.

```

class monoid = times + one +
  assumes monoid-assoc:  $(x * y) * z = x * (y * z)$ 
  and monoid-left-one:  $1 * x = x$ 
  and monoid-right-one:  $x * 1 = x$ 

```

Groups are *not* yet monoids directly from the definition. For monoids, *right-one* had to be included as an axiom, but for groups both *right-one* and *right-inverse* are derivable from the other axioms. With *group-right-one* derived as a theorem of group theory (see $?x * (1::?'a) = ?x$), we may still instantiate $group \subseteq monoid$ properly as follows.

```

instance group  $\subseteq$  monoid
  by intro-classes
    (rule group-assoc,
     rule group-left-one,
     rule group-right-one)

```

The **instance** command actually is a version of **theorem**, setting up a goal that reflects the intended class relation (or type constructor arity). Thus any Isar proof language element may be involved to establish this statement. When concluding the proof, the result is transformed into the intended type signature extension behind the scenes.

5.3 More theorems of group theory

The one element is already uniquely determined by preserving an *arbitrary* group element.

```

theorem (in group) group-one-equality:
  assumes eq:  $e * x = x$ 
  shows  $1 = e$ 
proof -
  have  $1 = x * \text{inverse } x$ 
    by (simp only: group-right-inverse)
  also have  $\dots = (e * x) * \text{inverse } x$ 
    by (simp only: eq)
  also have  $\dots = e * (x * \text{inverse } x)$ 
    by (simp only: group-assoc)
  also have  $\dots = e * 1$ 
    by (simp only: group-right-inverse)
  also have  $\dots = e$ 
    by (simp only: group-right-one)
  finally show ?thesis .
qed

```

Likewise, the inverse is already determined by the cancel property.

```

theorem (in group) group-inverse-equality:
  assumes eq:  $x' * x = 1$ 
  shows  $\text{inverse } x = x'$ 
proof -
  have  $\text{inverse } x = 1 * \text{inverse } x$ 
    by (simp only: group-left-one)
  also have  $\dots = (x' * x) * \text{inverse } x$ 
    by (simp only: eq)
  also have  $\dots = x' * (x * \text{inverse } x)$ 
    by (simp only: group-assoc)
  also have  $\dots = x' * 1$ 
    by (simp only: group-right-inverse)
  also have  $\dots = x'$ 
    by (simp only: group-right-one)
  finally show ?thesis .
qed

```

The inverse operation has some further characteristic properties.

```

theorem (in group) group-inverse-times:  $\text{inverse } (x * y) = \text{inverse } y * \text{inverse } x$ 

```

```

proof (rule group-inverse-equality)
  show (inverse y * inverse x) * (x * y) = 1
  proof -
    have (inverse y * inverse x) * (x * y) =
      (inverse y * (inverse x * x)) * y
    by (simp only: group-assoc)
    also have ... = (inverse y * 1) * y
    by (simp only: group-left-inverse)
    also have ... = inverse y * y
    by (simp only: group-right-one)
    also have ... = 1
    by (simp only: group-left-inverse)
    finally show ?thesis .
  qed
qed

theorem (in group) inverse-inverse: inverse (inverse x) = x
proof (rule group-inverse-equality)
  show x * inverse x = one
  by (simp only: group-right-inverse)
qed

theorem (in group) inverse-inject:
  assumes eq: inverse x = inverse y
  shows x = y
proof -
  have x = x * 1
  by (simp only: group-right-one)
  also have ... = x * (inverse y * y)
  by (simp only: group-left-inverse)
  also have ... = x * (inverse x * y)
  by (simp only: eq)
  also have ... = (x * inverse x) * y
  by (simp only: group-assoc)
  also have ... = 1 * y
  by (simp only: group-right-inverse)
  also have ... = y
  by (simp only: group-left-one)
  finally show ?thesis .
qed

end

```

6 Some algebraic identities derived from group axioms – theory context version

```

theory Group-Context
  imports Main

```


begin

hypothetical group axiomatization

context

fixes $prod :: 'a \Rightarrow 'a \Rightarrow 'a$ (**infixl** \odot 70)
and $one :: 'a$
and $inverse :: 'a \Rightarrow 'a$
assumes $assoc: (x \odot y) \odot z = x \odot (y \odot z)$
and $left-one: one \odot x = x$
and $left-inverse: inverse\ x \odot x = one$

begin

some consequences

lemma *right-inverse*: $x \odot inverse\ x = one$

proof –

have $x \odot inverse\ x = one \odot (x \odot inverse\ x)$
by (*simp only: left-one*)
also have $\dots = one \odot x \odot inverse\ x$
by (*simp only: assoc*)
also have $\dots = inverse\ (inverse\ x) \odot inverse\ x \odot x \odot inverse\ x$
by (*simp only: left-inverse*)
also have $\dots = inverse\ (inverse\ x) \odot (inverse\ x \odot x) \odot inverse\ x$
by (*simp only: assoc*)
also have $\dots = inverse\ (inverse\ x) \odot one \odot inverse\ x$
by (*simp only: left-inverse*)
also have $\dots = inverse\ (inverse\ x) \odot (one \odot inverse\ x)$
by (*simp only: assoc*)
also have $\dots = inverse\ (inverse\ x) \odot inverse\ x$
by (*simp only: left-one*)
also have $\dots = one$
by (*simp only: left-inverse*)
finally show *?thesis* .

qed

lemma *right-one*: $x \odot one = x$

proof –

have $x \odot one = x \odot (inverse\ x \odot x)$
by (*simp only: left-inverse*)
also have $\dots = x \odot inverse\ x \odot x$
by (*simp only: assoc*)
also have $\dots = one \odot x$
by (*simp only: right-inverse*)
also have $\dots = x$
by (*simp only: left-one*)
finally show *?thesis* .

qed

lemma *one-equality*:

assumes $eq: e \odot x = x$

```

shows one = e
proof -
  have one = x  $\odot$  inverse x
    by (simp only: right-inverse)
  also have ... = (e  $\odot$  x)  $\odot$  inverse x
    by (simp only: eq)
  also have ... = e  $\odot$  (x  $\odot$  inverse x)
    by (simp only: assoc)
  also have ... = e  $\odot$  one
    by (simp only: right-inverse)
  also have ... = e
    by (simp only: right-one)
  finally show ?thesis .
qed

```

```

lemma inverse-equality:
  assumes eq: x'  $\odot$  x = one
  shows inverse x = x'
proof -
  have inverse x = one  $\odot$  inverse x
    by (simp only: left-one)
  also have ... = (x'  $\odot$  x)  $\odot$  inverse x
    by (simp only: eq)
  also have ... = x'  $\odot$  (x  $\odot$  inverse x)
    by (simp only: assoc)
  also have ... = x'  $\odot$  one
    by (simp only: right-inverse)
  also have ... = x'
    by (simp only: right-one)
  finally show ?thesis .
qed

```

end

end

7 Some algebraic identities derived from group axioms – proof notepad version

```

theory Group-Notepad
  imports Main
begin

notepad
begin

hypothetical group axiomatization
  fix prod :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\odot$  70)

```

```

and one :: 'a
and inverse :: 'a ⇒ 'a
assume assoc: (x ⊙ y) ⊙ z = x ⊙ (y ⊙ z)
and left-one: one ⊙ x = x
and left-inverse: inverse x ⊙ x = one
for x y z

```

some consequences

```

have right-inverse: x ⊙ inverse x = one for x
proof –
  have x ⊙ inverse x = one ⊙ (x ⊙ inverse x)
    by (simp only: left-one)
  also have ... = one ⊙ x ⊙ inverse x
    by (simp only: assoc)
  also have ... = inverse (inverse x) ⊙ inverse x ⊙ x ⊙ inverse x
    by (simp only: left-inverse)
  also have ... = inverse (inverse x) ⊙ (inverse x ⊙ x) ⊙ inverse x
    by (simp only: assoc)
  also have ... = inverse (inverse x) ⊙ one ⊙ inverse x
    by (simp only: left-inverse)
  also have ... = inverse (inverse x) ⊙ (one ⊙ inverse x)
    by (simp only: assoc)
  also have ... = inverse (inverse x) ⊙ inverse x
    by (simp only: left-one)
  also have ... = one
    by (simp only: left-inverse)
  finally show ?thesis .
qed

```

```

have right-one: x ⊙ one = x for x
proof –
  have x ⊙ one = x ⊙ (inverse x ⊙ x)
    by (simp only: left-inverse)
  also have ... = x ⊙ inverse x ⊙ x
    by (simp only: assoc)
  also have ... = one ⊙ x
    by (simp only: right-inverse)
  also have ... = x
    by (simp only: left-one)
  finally show ?thesis .
qed

```

```

have one-equality: one = e if eq: e ⊙ x = x for e x
proof –
  have one = x ⊙ inverse x
    by (simp only: right-inverse)
  also have ... = (e ⊙ x) ⊙ inverse x
    by (simp only: eq)
  also have ... = e ⊙ (x ⊙ inverse x)

```

```

    by (simp only: assoc)
  also have ... = e  $\odot$  one
    by (simp only: right-inverse)
  also have ... = e
    by (simp only: right-one)
  finally show ?thesis .
qed

```

```

have inverse-equality: inverse x = x' if eq: x'  $\odot$  x = one for x x'
proof -
  have inverse x = one  $\odot$  inverse x
    by (simp only: left-one)
  also have ... = (x'  $\odot$  x)  $\odot$  inverse x
    by (simp only: eq)
  also have ... = x'  $\odot$  (x  $\odot$  inverse x)
    by (simp only: assoc)
  also have ... = x'  $\odot$  one
    by (simp only: right-inverse)
  also have ... = x'
    by (simp only: right-one)
  finally show ?thesis .
qed

```

end

end

8 Hoare Logic

```

theory Hoare
  imports HOL-Hoare.Hoare-Tac
begin

```

8.1 Abstract syntax and semantics

The following abstract syntax and semantics of Hoare Logic over WHILE programs closely follows the existing tradition in Isabelle/HOL of formalizing the presentation given in [8, §6]. See also `~/src/HOL/Hoare` and [3].

```

type-synonym 'a bexp = 'a set
type-synonym 'a assn = 'a set
type-synonym 'a var = 'a  $\Rightarrow$  nat

```

```

datatype 'a com =
  Basic 'a  $\Rightarrow$  'a
| Seq 'a com 'a com ((-/ -) [60, 61] 60)
| Cond 'a bexp 'a com 'a com
| While 'a bexp 'a assn 'a var 'a com

```

abbreviation *Skip* (*SKIP*)
where $SKIP \equiv Basic\ id$

type-synonym $'a\ sem = 'a \Rightarrow 'a \Rightarrow bool$

primrec $iter :: nat \Rightarrow 'a\ bexp \Rightarrow 'a\ sem \Rightarrow 'a\ sem$
where

$iter\ 0\ b\ S\ s\ s' \longleftrightarrow s \notin b \wedge s = s'$
 $| iter\ (Suc\ n)\ b\ S\ s\ s' \longleftrightarrow s \in b \wedge (\exists s''. S\ s\ s'' \wedge iter\ n\ b\ S\ s''\ s')$

primrec $Sem :: 'a\ com \Rightarrow 'a\ sem$
where

$Sem\ (Basic\ f)\ s\ s' \longleftrightarrow s' = f\ s$
 $| Sem\ (c1; c2)\ s\ s' \longleftrightarrow (\exists s''. Sem\ c1\ s\ s'' \wedge Sem\ c2\ s''\ s')$
 $| Sem\ (Cond\ b\ c1\ c2)\ s\ s' \longleftrightarrow (if\ s \in b\ then\ Sem\ c1\ s\ s'\ else\ Sem\ c2\ s\ s')$
 $| Sem\ (While\ b\ x\ y\ c)\ s\ s' \longleftrightarrow (\exists n. iter\ n\ b\ (Sem\ c)\ s\ s')$

definition $Valid :: 'a\ bexp \Rightarrow 'a\ com \Rightarrow 'a\ bexp \Rightarrow bool\ ((\exists \vdash - / (2-) / -) [100, 55, 100] 50)$

where $\vdash P\ c\ Q \longleftrightarrow (\forall s\ s'. Sem\ c\ s\ s' \longrightarrow s \in P \longrightarrow s' \in Q)$

lemma $ValidI\ [intro?]: (\bigwedge s\ s'. Sem\ c\ s\ s' \Longrightarrow s \in P \Longrightarrow s' \in Q) \Longrightarrow \vdash P\ c\ Q$
by (*simp add: Valid-def*)

lemma $ValidD\ [dest?]: \vdash P\ c\ Q \Longrightarrow Sem\ c\ s\ s' \Longrightarrow s \in P \Longrightarrow s' \in Q$
by (*simp add: Valid-def*)

8.2 Primitive Hoare rules

From the semantics defined above, we derive the standard set of primitive Hoare rules; e.g. see [8, §6]. Usually, variant forms of these rules are applied in actual proof, see also §8.4 and §8.5.

The *basic* rule represents any kind of atomic access to the state space. This subsumes the common rules of *skip* and *assign*, as formulated in §8.4.

theorem $basic: \vdash \{s. f\ s \in P\}\ (Basic\ f)\ P$

proof

fix $s\ s'$
assume $s: s \in \{s. f\ s \in P\}$
assume $Sem\ (Basic\ f)\ s\ s'$
then have $s' = f\ s$ **by** *simp*
with s **show** $s' \in P$ **by** *simp*

qed

The rules for sequential commands and semantic consequences are established in a straight forward manner as follows.

theorem $seq: \vdash P\ c1\ Q \Longrightarrow \vdash Q\ c2\ R \Longrightarrow \vdash P\ (c1; c2)\ R$

proof

```

assume  $cmd1: \vdash P \ c1 \ Q$  and  $cmd2: \vdash Q \ c2 \ R$ 
fix  $s \ s'$ 
assume  $s: s \in P$ 
assume  $Sem \ (c1; \ c2) \ s \ s'$ 
then obtain  $s''$  where  $sem1: Sem \ c1 \ s \ s''$  and  $sem2: Sem \ c2 \ s'' \ s'$ 
  by auto
from  $cmd1 \ sem1 \ s$  have  $s'' \in Q \ ..$ 
with  $cmd2 \ sem2$  show  $s' \in R \ ..$ 
qed

```

```

theorem conseq:  $P' \subseteq P \implies \vdash P \ c \ Q \implies Q \subseteq Q' \implies \vdash P' \ c \ Q'$ 
proof
  assume  $P'P: P' \subseteq P$  and  $QQ': Q \subseteq Q'$ 
  assume  $cmd: \vdash P \ c \ Q$ 
  fix  $s \ s' :: 'a$ 
  assume  $sem: Sem \ c \ s \ s'$ 
  assume  $s \in P'$  with  $P'P$  have  $s \in P \ ..$ 
  with  $cmd \ sem$  have  $s' \in Q \ ..$ 
  with  $QQ'$  show  $s' \in Q' \ ..$ 
qed

```

The rule for conditional commands is directly reflected by the corresponding semantics; in the proof we just have to look closely which cases apply.

```

theorem cond:
  assumes  $case-b: \vdash (P \ \cap \ b) \ c1 \ Q$ 
  and  $case-nb: \vdash (P \ \cap \ -b) \ c2 \ Q$ 
  shows  $\vdash P \ (Cond \ b \ c1 \ c2) \ Q$ 
proof
  fix  $s \ s'$ 
  assume  $s: s \in P$ 
  assume  $sem: Sem \ (Cond \ b \ c1 \ c2) \ s \ s'$ 
  show  $s' \in Q$ 
  proof cases
    assume  $b: s \in b$ 
    from  $case-b$  show ?thesis
    proof
      from  $sem \ b$  show  $Sem \ c1 \ s \ s'$  by simp
      from  $s \ b$  show  $s \in P \ \cap \ b$  by simp
    qed
  next
    assume  $nb: s \notin b$ 
    from  $case-nb$  show ?thesis
    proof
      from  $sem \ nb$  show  $Sem \ c2 \ s \ s'$  by simp
      from  $s \ nb$  show  $s \in P \ \cap \ -b$  by simp
    qed
  qed
qed

```

The *while* rule is slightly less trivial — it is the only one based on recursion, which is expressed in the semantics by a Kleene-style least fixed-point construction. The auxiliary statement below, which is by induction on the number of iterations is the main point to be proven; the rest is by routine application of the semantics of WHILE.

theorem *while*:

assumes *body*: $\vdash (P \cap b) \ c \ P$
shows $\vdash P \ (\text{While } b \ X \ Y \ c) \ (P \cap \neg b)$

proof

fix $s \ s'$ **assume** $s: s \in P$
assume $\text{Sem } (\text{While } b \ X \ Y \ c) \ s \ s'$
then obtain n **where** $\text{iter } n \ b \ (\text{Sem } c) \ s \ s'$ **by** *auto*
from *this* **and** s **show** $s' \in P \cap \neg b$
proof (*induct* n *arbitrary*: s)
 case 0
 then show *?case* **by** *auto*
next
 case (*Suc* n)
 then obtain s'' **where** $b: s \in b$ **and** $\text{sem}: \text{Sem } c \ s \ s''$
 and $\text{iter}: \text{iter } n \ b \ (\text{Sem } c) \ s'' \ s'$ **by** *auto*
 from *Suc* **and** b **have** $s \in P \cap b$ **by** *simp*
 with *body sem* **have** $s'' \in P$ **..**
 with *iter* **show** *?case* **by** (*rule Suc*)

qed

qed

8.3 Concrete syntax for assertions

We now introduce concrete syntax for describing commands (with embedded expressions) and assertions. The basic technique is that of semantic “quote-antiquote”. A *quotation* is a syntactic entity delimited by an implicit abstraction, say over the state space. An *antiquotation* is a marked expression within a quotation that refers the implicit argument; a typical antiquotation would select (or even update) components from the state.

We will see some examples later in the concrete rules and applications.

The following specification of syntax and translations is for Isabelle experts only; feel free to ignore it.

While the first part is still a somewhat intelligible specification of the concrete syntactic representation of our Hoare language, the actual “ML drivers” is quite involved. Just note that the we re-use the basic quote/antiquote translations as already defined in Isabelle/Pure (see `Syntax_Trans.quote_tr`, and `Syntax_Trans.antiquote_tr`’).

syntax

-quote $:: 'b \Rightarrow ('a \Rightarrow 'b)$
-antiquote $:: ('a \Rightarrow 'b) \Rightarrow 'b \ (\text{'- } [1000] \ 1000)$

```

-Subst :: 'a bexp ⇒ 'b ⇒ idt ⇒ 'a bexp (-['/'-] [1000] 999)
-Assert :: 'a ⇒ 'a set (({b}) [0] 1000)
-Assign :: idt ⇒ 'b ⇒ 'a com ((' - :=/ -) [70, 65] 61)
-Cond :: 'a bexp ⇒ 'a com ⇒ 'a com ⇒ 'a com
      ((OIF -/ THEN -/ ELSE -/ FI) [0, 0, 0] 61)
-While-inv :: 'a bexp ⇒ 'a assn ⇒ 'a com ⇒ 'a com
      ((OWHILE -/ INV - //DO - /OD) [0, 0, 0] 61)
-While :: 'a bexp ⇒ 'a com ⇒ 'a com ((OWHILE - //DO - /OD) [0, 0] 61)

```

translations

```

{b} → CONST Collect (-quote b)
B [a/'x] → {'(-update-name x (λ-. a)) ∈ B}
'x := a → CONST Basic (-quote ('(-update-name x (λ-. a))))
IF b THEN c1 ELSE c2 FI → CONST Cond {b} c1 c2
WHILE b INV i DO c OD → CONST While {b} i (λ-. 0) c
WHILE b DO c OD ⇒ WHILE b INV CONST undefined DO c OD

```

parse-translation <

```

let
  fun quote-tr [t] = Syntax-Trans.quote-tr syntax-const <-antiquote> t
    | quote-tr ts = raise TERM (quote-tr, ts);
in [(syntax-const <-quote>, K quote-tr)] end
>

```

As usual in Isabelle syntax translations, the part for printing is more complicated — we cannot express parts as macro rules as above. Don't look here, unless you have to do similar things for yourself.

print-translation <

```

let
  fun quote-tr' f (t :: ts) =
    Term.list-comb (f $ Syntax-Trans.quote-tr' syntax-const <-antiquote> t,
  ts)
    | quote-tr' - - = raise Match;

  val assert-tr' = quote-tr' (Syntax.const syntax-const <-Assert>);

  fun bexp-tr' name ((Const (const-syntax <Collect>, -) $ t) :: ts) =
    quote-tr' (Syntax.const name) (t :: ts)
    | bexp-tr' - - = raise Match;

  fun assign-tr' (Abs (x, -, f $ k $ Bound 0) :: ts) =
    quote-tr' (Syntax.const syntax-const <-Assign> $ Syntax-Trans.update-name-tr'
  f)
    (Abs (x, dummyT, Syntax-Trans.const-abs-tr' k) :: ts)
    | assign-tr' - - = raise Match;
in
  [(const-syntax <Collect>, K assert-tr'),
  (const-syntax <Basic>, K assign-tr'),
  (const-syntax <Cond>, K (bexp-tr' syntax-const <-Cond>)),

```



```

    (const-syntax <While>, K (bexp-tr' syntax-const <-While-inv>))]]
  end
>

```

8.4 Rules for single-step proof

We are now ready to introduce a set of Hoare rules to be used in single-step structured proofs in Isabelle/Isar. We refer to the concrete syntax introduced above.

Assertions of Hoare Logic may be manipulated in calculational proofs, with the inclusion expressed in terms of sets or predicates. Reversed order is supported as well.

```

lemma [trans]:  $\vdash P \text{ c } Q \implies P' \subseteq P \implies \vdash P' \text{ c } Q$ 

```

```

  by (unfold Valid-def) blast

```

```

lemma [trans]:  $P' \subseteq P \implies \vdash P \text{ c } Q \implies \vdash P' \text{ c } Q$ 

```

```

  by (unfold Valid-def) blast

```

```

lemma [trans]:  $Q \subseteq Q' \implies \vdash P \text{ c } Q \implies \vdash P \text{ c } Q'$ 

```

```

  by (unfold Valid-def) blast

```

```

lemma [trans]:  $\vdash P \text{ c } Q \implies Q \subseteq Q' \implies \vdash P \text{ c } Q'$ 

```

```

  by (unfold Valid-def) blast

```

```

lemma [trans]:

```

```

   $\vdash \{\!| P |\!\} \text{ c } Q \implies (\bigwedge s. P' s \longrightarrow P s) \implies \vdash \{\!| P' |\!\} \text{ c } Q$ 

```

```

  by (simp add: Valid-def)

```

```

lemma [trans]:

```

```

   $(\bigwedge s. P' s \longrightarrow P s) \implies \vdash \{\!| P |\!\} \text{ c } Q \implies \vdash \{\!| P' |\!\} \text{ c } Q$ 

```

```

  by (simp add: Valid-def)

```

```

lemma [trans]:

```

```

   $\vdash P \text{ c } \{\!| Q |\!\} \implies (\bigwedge s. Q s \longrightarrow Q' s) \implies \vdash P \text{ c } \{\!| Q' |\!\}$ 

```

```

  by (simp add: Valid-def)

```

```

lemma [trans]:

```

```

   $(\bigwedge s. Q s \longrightarrow Q' s) \implies \vdash P \text{ c } \{\!| Q |\!\} \implies \vdash P \text{ c } \{\!| Q' |\!\}$ 

```

```

  by (simp add: Valid-def)

```

Identity and basic assignments.⁶

```

lemma skip [intro?]:  $\vdash P \text{ SKIP } P$ 

```

```

proof –

```

```

  have  $\vdash \{s. \text{id } s \in P\} \text{ SKIP } P$  by (rule basic)

```

```

  then show ?thesis by simp

```

```

qed

```

```

lemma assign:  $\vdash P [a/x::'a] \ 'x := \ 'a P$ 

```

```

  by (rule basic)

```

⁶The *hoare* method introduced in §8.5 is able to provide proper instances for any number of basic assignments, without producing additional verification conditions.

Note that above formulation of assignment corresponds to our preferred way to model state spaces, using (extensible) record types in HOL [2]. For any record field x , Isabelle/HOL provides a functions x (selector) and $x\text{-update}$ (update). Above, there is only a place-holder appearing for the latter kind of function: due to concrete syntax $\text{' }x := \text{' }a$ also contains $x\text{-update}$.⁷

Sequential composition — normalizing with associativity achieves proper of chunks of code verified separately.

lemmas $[trans, intro?]$ = *seq*

lemma *seq-assoc* $[simp]: \vdash P\ c1;(c2;c3)\ Q \longleftrightarrow \vdash P\ (c1;c2);c3\ Q$
by (*auto simp add: Valid-def*)

Conditional statements.

lemmas $[trans, intro?]$ = *cond*

lemma $[trans, intro?]:$
 $\vdash \{\text{' }P \wedge \text{' }b\}\ c1\ Q$
 $\implies \vdash \{\text{' }P \wedge \neg \text{' }b\}\ c2\ Q$
 $\implies \vdash \{\text{' }P\}\ IF\ \text{' }b\ THEN\ c1\ ELSE\ c2\ FI\ Q$
by (*rule cond*) (*simp-all add: Valid-def*)

While statements — with optional invariant.

lemma $[intro?]: \vdash (P \cap b)\ c\ P \implies \vdash P\ (While\ b\ P\ V\ c)\ (P \cap \neg b)$
by (*rule while*)

lemma $[intro?]: \vdash (P \cap b)\ c\ P \implies \vdash P\ (While\ b\ undefined\ V\ c)\ (P \cap \neg b)$
by (*rule while*)

lemma $[intro?]:$
 $\vdash \{\text{' }P \wedge \text{' }b\}\ c\ \{\text{' }P\}$
 $\implies \vdash \{\text{' }P\}\ WHILE\ \text{' }b\ INV\ \{\text{' }P\}\ DO\ c\ OD\ \{\text{' }P \wedge \neg \text{' }b\}$
by (*simp add: while Collect-conj-eq Collect-neg-eq*)

lemma $[intro?]:$
 $\vdash \{\text{' }P \wedge \text{' }b\}\ c\ \{\text{' }P\}$
 $\implies \vdash \{\text{' }P\}\ WHILE\ \text{' }b\ DO\ c\ OD\ \{\text{' }P \wedge \neg \text{' }b\}$
by (*simp add: while Collect-conj-eq Collect-neg-eq*)

8.5 Verification conditions

We now load the *original* ML file for proof scripts and tactic definition for the Hoare Verification Condition Generator (see `~/src/HOL/Hoare`). As

⁷Note that due to the external nature of HOL record fields, we could not even state a general theorem relating selector and update functions (if this were required here); this would only work for any particular instance of record fields introduced so far.

far as we are concerned here, the result is a proof method *hoare*, which may be applied to a Hoare Logic assertion to extract purely logical verification conditions. It is important to note that the method requires WHILE loops to be fully annotated with invariants beforehand. Furthermore, only *concrete* pieces of code are handled — the underlying tactic fails ungracefully if supplied with meta-variables or parameters, for example.

lemma *SkipRule*: $p \subseteq q \implies \text{Valid } p \text{ (Basic id) } q$
by (*auto simp add: Valid-def*)

lemma *BasicRule*: $p \subseteq \{s. f s \in q\} \implies \text{Valid } p \text{ (Basic f) } q$
by (*auto simp: Valid-def*)

lemma *SeqRule*: $\text{Valid } P \text{ } c1 \text{ } Q \implies \text{Valid } Q \text{ } c2 \text{ } R \implies \text{Valid } P \text{ (} c1; c2 \text{)} R$
by (*auto simp: Valid-def*)

lemma *CondRule*:
 $p \subseteq \{s. (s \in b \implies s \in w) \wedge (s \notin b \implies s \in w')\}$
 $\implies \text{Valid } w \text{ } c1 \text{ } q \implies \text{Valid } w' \text{ } c2 \text{ } q \implies \text{Valid } p \text{ (Cond } b \text{ } c1 \text{ } c2 \text{)} q$
by (*auto simp: Valid-def*)

lemma *iter-aux*:
 $\forall s s'. \text{Sem } c \text{ } s \text{ } s' \implies s \in I \wedge s \in b \implies s' \in I \implies$
 $(\bigwedge s s'. s \in I \implies \text{iter } n \text{ } b \text{ (Sem } c \text{)} s \text{ } s' \implies s' \in I \wedge s' \notin b)$
by (*induct n*) *auto*

lemma *WhileRule*:
 $p \subseteq i \implies \text{Valid } (i \cap b) \text{ } c \text{ } i \implies i \cap (-b) \subseteq q \implies \text{Valid } p \text{ (While } b \text{ } i \text{ } v \text{ } c \text{)} q$
apply (*clarsimp simp: Valid-def*)
apply (*drule iter-aux*)
prefer 2
apply *assumption*
apply *blast*
apply *blast*
done

declare *BasicRule* [*Hoare-Tac.BasicRule*]
and *SkipRule* [*Hoare-Tac.SkipRule*]
and *SeqRule* [*Hoare-Tac.SeqRule*]
and *CondRule* [*Hoare-Tac.CondRule*]
and *WhileRule* [*Hoare-Tac.WhileRule*]

method-setup *hoare* =
 $\langle \text{Scan.succeed (fn } ctxt \text{ =>}$
 $(\text{SIMPLE-METHOD'}$
 $(\text{Hoare-Tac.hoare-tac } ctxt$
 $(\text{simp-tac (put-simpset HOL-basic-ss } ctxt \text{ addsimps } [@\{thm Record.K-record-comp\}]$
 $)))))) \rangle$
verification condition generator for Hoare logic

end

9 Using Hoare Logic

```
theory Hoare-Ex
  imports Hoare
begin
```

9.1 State spaces

First of all we provide a store of program variables that occur in any of the programs considered later. Slightly unexpected things may happen when attempting to work with undeclared variables.

```
record vars =
  I :: nat
  M :: nat
  N :: nat
  S :: nat
```

While all of our variables happen to have the same type, nothing would prevent us from working with many-sorted programs as well, or even polymorphic ones. Also note that Isabelle/HOL's extensible record types even provides simple means to extend the state space later.

9.2 Basic examples

We look at few trivialities involving assignment and sequential composition, in order to get an idea of how to work with our formulation of Hoare Logic.

Using the basic *assign* rule directly is a bit cumbersome.

```
lemma  $\vdash \{ (N\text{-update } (\lambda\cdot. (2 * 'N))) \in \{ 'N = 10 \} \} 'N := 2 * 'N \{ 'N = 10 \}$ 
  by (rule assign)
```

Certainly we want the state modification already done, e.g. by simplification. The *hoare* method performs the basic state update for us; we may apply the Simplifier afterwards to achieve “obvious” consequences as well.

```
lemma  $\vdash \{ True \} 'N := 10 \{ 'N = 10 \}$ 
  by hoare
```

```
lemma  $\vdash \{ 2 * 'N = 10 \} 'N := 2 * 'N \{ 'N = 10 \}$ 
  by hoare
```

```
lemma  $\vdash \{ 'N = 5 \} 'N := 2 * 'N \{ 'N = 10 \}$ 
  by hoare simp
```

lemma $\vdash \{\! \{ 'N + 1 = a + 1 \} \! \}' 'N := 'N + 1 \{\! \{ 'N = a + 1 \} \! \}$
by *hoare*

lemma $\vdash \{\! \{ 'N = a \} \! \}' 'N := 'N + 1 \{\! \{ 'N = a + 1 \} \! \}$
by *hoare simp*

lemma $\vdash \{a = a \wedge b = b\} 'M := a; 'N := b \{\! \{ 'M = a \wedge 'N = b \} \! \}$
by *hoare*

lemma $\vdash \{\! \{ True \} \! \}' 'M := a; 'N := b \{\! \{ 'M = a \wedge 'N = b \} \! \}$
by *hoare*

lemma
 $\vdash \{\! \{ 'M = a \wedge 'N = b \} \! \}$
 $\quad 'I := 'M; 'M := 'N; 'N := 'I$
 $\quad \{\! \{ 'M = b \wedge 'N = a \} \! \}$
by *hoare simp*

It is important to note that statements like the following one can only be proven for each individual program variable. Due to the extra-logical nature of record fields, we cannot formulate a theorem relating record selectors and updates schematically.

lemma $\vdash \{\! \{ 'N = a \} \! \}' 'N := 'N \{\! \{ 'N = a \} \! \}$
by *hoare*

lemma $\vdash \{\! \{ 'x = a \} \! \}' 'x := 'x \{\! \{ 'x = a \} \! \}$
oops

lemma
Valid $\{s. x s = a\}$ (*Basic* $(\lambda s. x\text{-update } (x s) s)$) $\{s. x s = n\}$
— same statement without concrete syntax
oops

In the following assignments we make use of the consequence rule in order to achieve the intended precondition. Certainly, the *hoare* method is able to handle this case, too.

lemma $\vdash \{\! \{ 'M = 'N \} \! \}' 'M := 'M + 1 \{\! \{ 'M \neq 'N \} \! \}$
proof —
have $\{\! \{ 'M = 'N \} \! \} \subseteq \{\! \{ 'M + 1 \neq 'N \} \! \}$
by *auto*
also have $\vdash \dots 'M := 'M + 1 \{\! \{ 'M \neq 'N \} \! \}$
by *hoare*
finally show *?thesis* .
qed

lemma $\vdash \{\! \{ 'M = 'N \} \! \}' 'M := 'M + 1 \{\! \{ 'M \neq 'N \} \! \}$
proof —
have $m = n \longrightarrow m + 1 \neq n$ **for** $m n :: nat$

— inclusion of assertions expressed in “pure” logic,
 — without mentioning the state space
 by *simp*
also have $\vdash \{\! \{ 'M + 1 \neq 'N \} \} 'M := 'M + 1 \{\! \{ 'M \neq 'N \} \}$
 by *hoare*
finally show *?thesis* .
qed

lemma $\vdash \{\! \{ 'M = 'N \} \} 'M := 'M + 1 \{\! \{ 'M \neq 'N \} \}$
 by *hoare simp*

9.3 Multiplication by addition

We now do some basic examples of actual WHILE programs. This one is a loop for calculating the product of two natural numbers, by iterated addition. We first give detailed structured proof based on single-step Hoare rules.

lemma
 $\vdash \{\! \{ 'M = 0 \wedge 'S = 0 \} \}$
 $\text{WHILE } 'M \neq a$
 $\text{DO } 'S := 'S + b; 'M := 'M + 1 \text{ OD}$
 $\{\! \{ 'S = a * b \} \}$

proof –
let $\vdash - \text{?while } - = \text{?thesis}$
let $\{\! \{ ' ?inv \} \} = \{\! \{ 'S = 'M * b \} \}$

have $\{\! \{ 'M = 0 \wedge 'S = 0 \} \} \subseteq \{\! \{ ' ?inv \} \}$ **by** *auto*
also have $\vdash \dots \text{?while } \{\! \{ ' ?inv \wedge \neg ('M \neq a) \} \}$

proof
let $?c = 'S := 'S + b; 'M := 'M + 1$
have $\{\! \{ ' ?inv \wedge 'M \neq a \} \} \subseteq \{\! \{ 'S + b = ('M + 1) * b \} \}$
by *auto*
also have $\vdash \dots ?c \{\! \{ ' ?inv \} \}$ **by** *hoare*
finally show $\vdash \{\! \{ ' ?inv \wedge 'M \neq a \} \} ?c \{\! \{ ' ?inv \} \}$.

qed
also have $\dots \subseteq \{\! \{ 'S = a * b \} \}$ **by** *auto*
finally show *?thesis* .
qed

The subsequent version of the proof applies the *hoare* method to reduce the Hoare statement to a purely logical problem that can be solved fully automatically. Note that we have to specify the WHILE loop invariant in the original statement.

lemma
 $\vdash \{\! \{ 'M = 0 \wedge 'S = 0 \} \}$
 $\text{WHILE } 'M \neq a$
 $\text{INV } \{\! \{ 'S = 'M * b \} \}$
 $\text{DO } 'S := 'S + b; 'M := 'M + 1 \text{ OD}$
 $\{\! \{ 'S = a * b \} \}$

by *hoare auto*

9.4 Summing natural numbers

We verify an imperative program to sum natural numbers up to a given limit. First some functional definition for proper specification of the problem.

The following proof is quite explicit in the individual steps taken, with the *hoare* method only applied locally to take care of assignment and sequential composition. Note that we express intermediate proof obligation in pure logic, without referring to the state space.

theorem

$$\begin{aligned} &\vdash \{\{True\}\} \\ &\quad 'S := 0; 'I := 1; \\ &\quad \text{WHILE } 'I \neq n \\ &\quad \text{DO} \\ &\quad \quad 'S := 'S + 'I; \\ &\quad \quad 'I := 'I + 1 \\ &\quad \text{OD} \\ &\quad \{\{ 'S = (\sum j < n. j) \}\} \\ &(\text{is } \vdash - (-; ?while) -) \end{aligned}$$

proof –

$$\begin{aligned} &\text{let } ?sum = \lambda k :: nat. \sum j < k. j \\ &\text{let } ?inv = \lambda s \ i :: nat. s = ?sum \ i \end{aligned}$$

$$\text{have } \vdash \{\{True\}\} \ 'S := 0; 'I := 1 \ \{\{ ?inv \ 'S \ 'I \}\}$$

proof –

$$\text{have } True \longrightarrow 0 = ?sum \ 1$$

by *simp*

$$\text{also have } \vdash \{\{..\}\} \ 'S := 0; 'I := 1 \ \{\{ ?inv \ 'S \ 'I \}\}$$

by *hoare*

finally show *?thesis* .

qed

$$\text{also have } \vdash \dots \ ?while \ \{\{ ?inv \ 'S \ 'I \wedge \neg \ 'I \neq n \}\}$$

proof

$$\text{let } ?body = 'S := 'S + 'I; 'I := 'I + 1$$

$$\text{have } ?inv \ s \ i \wedge \ i \neq n \longrightarrow ?inv \ (s + i) \ (i + 1) \ \text{for } s \ i$$

by *simp*

$$\text{also have } \vdash \{\{ 'S + 'I = ?sum \ ('I + 1) \}\} \ ?body \ \{\{ ?inv \ 'S \ 'I \}\}$$

by *hoare*

$$\text{finally show } \vdash \{\{ ?inv \ 'S \ 'I \wedge \ 'I \neq n \}\} \ ?body \ \{\{ ?inv \ 'S \ 'I \}\} .$$

qed

$$\text{also have } s = ?sum \ i \wedge \neg \ i \neq n \longrightarrow s = ?sum \ n \ \text{for } s \ i$$

by *simp*

finally show *?thesis* .

qed

The next version uses the *hoare* method, while still explaining the resulting proof obligations in an abstract, structured manner.

theorem

```
⊢ {True}
  'S := 0; 'I := 1;
  WHILE 'I ≠ n
  INV { 'S = (∑ j < 'I. j) }
  DO
    'S := 'S + 'I;
    'I := 'I + 1
  OD
  { 'S = (∑ j < n. j) }
```

proof –

```
let ?sum = λk::nat. ∑ j < k. j
let ?inv = λs i::nat. s = ?sum i
show ?thesis
proof hoare
  show ?inv 0 1 by simp
  show ?inv (s + i) (i + 1) if ?inv s i ∧ i ≠ n for s i
  using that by simp
  show s = ?sum n if ?inv s i ∧ ¬ i ≠ n for s i
  using that by simp
```

qed

qed

Certainly, this proof may be done fully automatic as well, provided that the invariant is given beforehand.

theorem

```
⊢ {True}
  'S := 0; 'I := 1;
  WHILE 'I ≠ n
  INV { 'S = (∑ j < 'I. j) }
  DO
    'S := 'S + 'I;
    'I := 'I + 1
  OD
  { 'S = (∑ j < n. j) }
```

by *hoare auto*

9.5 Time

A simple embedding of time in Hoare logic: function *timeit* inserts an extra variable to keep track of the elapsed time.

record *tstate* = *time* :: *nat*

type-synonym 'a *time* = (*time* :: *nat*, ... :: 'a)

primrec *timeit* :: 'a *time* com ⇒ 'a *time* com

where

timeit (*Basic* *f*) = (*Basic* *f*; *Basic*(λs. s(*time* := *Suc* (*time* s))))


```

| timeit (c1; c2) = (timeit c1; timeit c2)
| timeit (Cond b c1 c2) = Cond b (timeit c1) (timeit c2)
| timeit (While b iv v c) = While b iv v (timeit c)

```

```

record tvars = tstate +
  I :: nat
  J :: nat

```

```

lemma lem: (0::nat) < n  $\implies$  n + n  $\leq$  Suc (n * n)
by (induct n) simp-all

```

```

lemma
   $\vdash$   $\{i = 'I \wedge 'time = 0\}$ 
  (timeit
    (WHILE 'I  $\neq$  0
      INV  $\{2 * 'time + 'I * 'I + 5 * 'I = i * i + 5 * i\}$ 
      DO
        'J := 'I;
        WHILE 'J  $\neq$  0
          INV  $\{0 < 'I \wedge 2 * 'time + 'I * 'I + 3 * 'I + 2 * 'J - 2 = i * i + 5$ 
          * i\}
            DO 'J := 'J - 1 OD;
            'I := 'I - 1
          OD))
       $\{2 * 'time = i * i + 5 * i\}$ 
    apply simp
    apply hoare
      apply simp
      apply clarsimp
      apply clarsimp
      apply arith
      prefer 2
      apply clarsimp
      apply (clarsimp simp: nat-distrib)
      apply (frule lem)
      apply arith
    done

```

```

end

```

10 The Mutilated Checker Board Problem

```

theory Mutilated-Checkerboard
  imports Main
begin

```

The Mutilated Checker Board Problem, formalized inductively. See [5] for the original tactic script version.

10.1 Tilings

inductive-set *tiling* :: 'a set set \Rightarrow 'a set set **for** *A* :: 'a set set
where
empty: $\{\} \in \text{tiling } A$
| *Un*: $a \cup t \in \text{tiling } A$ **if** $a \in A$ **and** $t \in \text{tiling } A$ **and** $a \subseteq - t$

The union of two disjoint tilings is a tiling.

lemma *tiling-Un*:
assumes $t \in \text{tiling } A$
and $u \in \text{tiling } A$
and $t \cap u = \{\}$
shows $t \cup u \in \text{tiling } A$
proof –
let $?T = \text{tiling } A$
from $\langle t \in ?T \rangle$ **and** $\langle t \cap u = \{\} \rangle$
show $t \cup u \in ?T$
proof (*induct t*)
case *empty*
with $\langle u \in ?T \rangle$ **show** $\{\} \cup u \in ?T$ **by** *simp*
next
case (*Un a t*)
show $(a \cup t) \cup u \in ?T$
proof –
have $a \cup (t \cup u) \in ?T$
using $\langle a \in A \rangle$
proof (*rule tiling.Un*)
from $\langle (a \cup t) \cap u = \{\} \rangle$ **have** $t \cap u = \{\}$ **by** *blast*
then show $t \cup u \in ?T$ **by** (*rule Un*)
from $\langle a \subseteq - t \rangle$ **and** $\langle (a \cup t) \cap u = \{\} \rangle$
show $a \subseteq - (t \cup u)$ **by** *blast*
qed
also have $a \cup (t \cup u) = (a \cup t) \cup u$
by (*simp only: Un-assoc*)
finally show *?thesis* .
qed
qed
qed

10.2 Basic properties of “below”

definition *below* :: *nat* \Rightarrow *nat set*
where *below n* = $\{i. i < n\}$

lemma *below-less-iff* [*iff*]: $i \in \text{below } k \iff i < k$
by (*simp add: below-def*)

lemma *below-0*: *below 0* = $\{\}$
by (*simp add: below-def*)

lemma *Sigma-Suc1*: $m = n + 1 \implies \text{below } m \times B = (\{n\} \times B) \cup (\text{below } n \times B)$
by (*simp add: below-def less-Suc-eq*) *blast*

lemma *Sigma-Suc2*:
 $m = n + 2 \implies$
 $A \times \text{below } m = (A \times \{n\}) \cup (A \times \{n + 1\}) \cup (A \times \text{below } n)$
by (*auto simp add: below-def*)

lemmas *Sigma-Suc = Sigma-Suc1 Sigma-Suc2*

10.3 Basic properties of “evnodd”

definition *evnodd* :: $(\text{nat} \times \text{nat}) \text{ set} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ set}$
where $\text{evnodd } A \ b = A \cap \{(i, j). (i + j) \bmod 2 = b\}$

lemma *evnodd-iff*: $(i, j) \in \text{evnodd } A \ b \iff (i, j) \in A \ \wedge \ (i + j) \bmod 2 = b$
by (*simp add: evnodd-def*)

lemma *evnodd-subset*: $\text{evnodd } A \ b \subseteq A$
unfolding *evnodd-def* **by** (*rule Int-lower1*)

lemma *evnoddD*: $x \in \text{evnodd } A \ b \implies x \in A$
by (*rule subsetD*) (*rule evnodd-subset*)

lemma *evnodd-finite*: $\text{finite } A \implies \text{finite } (\text{evnodd } A \ b)$
by (*rule finite-subset*) (*rule evnodd-subset*)

lemma *evnodd-Un*: $\text{evnodd } (A \cup B) \ b = \text{evnodd } A \ b \cup \text{evnodd } B \ b$
unfolding *evnodd-def* **by** *blast*

lemma *evnodd-Diff*: $\text{evnodd } (A - B) \ b = \text{evnodd } A \ b - \text{evnodd } B \ b$
unfolding *evnodd-def* **by** *blast*

lemma *evnodd-empty*: $\text{evnodd } \{\} \ b = \{\}$
by (*simp add: evnodd-def*)

lemma *evnodd-insert*: $\text{evnodd } (\text{insert } (i, j) \ C) \ b =$
 $(\text{if } (i + j) \bmod 2 = b$
 $\text{then } \text{insert } (i, j) \ (\text{evnodd } C \ b) \ \text{else } \text{evnodd } C \ b)$
by (*simp add: evnodd-def*)

10.4 Dominoes

inductive-set *domino* :: $(\text{nat} \times \text{nat}) \text{ set set}$
where
 $\text{horiz}: \{(i, j), (i, j + 1)\} \in \text{domino}$
 $\text{vertl}: \{(i, j), (i + 1, j)\} \in \text{domino}$

lemma *dominoes-tile-row*:
 $\{i\} \times \text{below } (2 * n) \in \text{tiling } \text{domino}$

```

(is ?B n ∈ ?T)
proof (induct n)
  case 0
  show ?case by (simp add: below-0 tiling.empty)
next
  case (Suc n)
  let ?a = {i} × {2 * n + 1} ∪ {i} × {2 * n}
  have ?B (Suc n) = ?a ∪ ?B n
    by (auto simp add: Sigma-Suc Un-assoc)
  also have ... ∈ ?T
  proof (rule tiling.Un)
    have {(i, 2 * n), (i, 2 * n + 1)} ∈ domino
      by (rule domino.horiz)
    also have {(i, 2 * n), (i, 2 * n + 1)} = ?a by blast
    finally show ... ∈ domino .
  show ?B n ∈ ?T by (rule Suc)
  show ?a ⊆ - ?B n by blast
qed
finally show ?case .
qed

lemma dominoes-tile-matrix:
  below m × below (2 * n) ∈ tiling domino
  (is ?B m ∈ ?T)
proof (induct m)
  case 0
  show ?case by (simp add: below-0 tiling.empty)
next
  case (Suc m)
  let ?t = {m} × below (2 * n)
  have ?B (Suc m) = ?t ∪ ?B m by (simp add: Sigma-Suc)
  also have ... ∈ ?T
  proof (rule tiling.Un)
    show ?t ∈ ?T by (rule dominoes-tile-row)
    show ?B m ∈ ?T by (rule Suc)
    show ?t ∩ ?B m = {} by blast
  qed
  finally show ?case .
qed

lemma domino-singleton:
  assumes d ∈ domino
  and b < 2
  shows ∃ i j. evnodd d b = {(i, j)} (is ?P d)
  using assms
proof induct
  from ⟨b < 2⟩ have b-cases: b = 0 ∨ b = 1 by arith
  fix i j
  note [simp] = evnodd-empty evnodd-insert mod-Suc

```

from *b-cases* **show** $?P \{(i, j), (i, j + 1)\}$ **by** *rule auto*
from *b-cases* **show** $?P \{(i, j), (i + 1, j)\}$ **by** *rule auto*
qed

lemma *domino-finite*:
assumes $d \in \text{domino}$
shows *finite d*
using *assms*
proof *induct*
fix $i j :: \text{nat}$
show *finite* $\{(i, j), (i, j + 1)\}$ **by** (*intro finite.intros*)
show *finite* $\{(i, j), (i + 1, j)\}$ **by** (*intro finite.intros*)
qed

10.5 Tilings of dominoes

lemma *tiling-domino-finite*:
assumes $t: t \in \text{tiling domino}$ (**is** $t \in ?T$)
shows *finite t* (**is** $?F t$)
using t
proof *induct*
show $?F \{\}$ **by** (*rule finite.emptyI*)
fix $a t$ **assume** $?F t$
assume $a \in \text{domino}$
then have $?F a$ **by** (*rule domino-finite*)
from this and $\langle ?F t \rangle$ **show** $?F (a \cup t)$ **by** (*rule finite-UnI*)
qed

lemma *tiling-domino-01*:
assumes $t: t \in \text{tiling domino}$ (**is** $t \in ?T$)
shows $\text{card} (\text{evnodd } t \ 0) = \text{card} (\text{evnodd } t \ 1)$
using t
proof *induct*
case *empty*
show $?case$ **by** (*simp add: evnodd-def*)
next
case $(Un \ a \ t)$
let $?e = \text{evnodd}$
note $hyp = \langle \text{card} (?e \ t \ 0) = \text{card} (?e \ t \ 1) \rangle$
and $at = \langle a \subseteq - \ t \rangle$
have *card-suc*: $\text{card} (?e (a \cup t) \ b) = \text{Suc} (\text{card} (?e \ t \ b))$ **if** $b < 2$ **for** $b :: \text{nat}$
proof –
have $?e (a \cup t) \ b = ?e \ a \ b \cup ?e \ t \ b$ **by** (*rule evnodd-Un*)
also obtain $i \ j$ **where** $e: ?e \ a \ b = \{(i, j)\}$
proof –
from $\langle a \in \text{domino} \rangle$ **and** $\langle b < 2 \rangle$
have $\exists i \ j. ?e \ a \ b = \{(i, j)\}$ **by** (*rule domino-singleton*)
then show $?thesis$ **by** (*blast intro: that*)
qed

also have $\dots \cup ?e \ t \ b = \text{insert } (i, j) \ (?e \ t \ b)$ **by** *simp*
also have $\text{card } \dots = \text{Suc } (\text{card } (?e \ t \ b))$
proof (*rule card-insert-disjoint*)
from $\langle t \in \text{tiling domino} \rangle$ **have** *finite t*
by (*rule tiling-domino-finite*)
then show *finite (?e t b)*
by (*rule evnodd-finite*)
from *e* **have** $(i, j) \in ?e \ a \ b$ **by** *simp*
with at show $(i, j) \notin ?e \ t \ b$ **by** (*blast dest: evnoddD*)
qed
finally show *?thesis* .
qed
then have $\text{card } (?e \ (a \cup t) \ 0) = \text{Suc } (\text{card } (?e \ t \ 0))$ **by** *simp*
also from *hyp* **have** $\text{card } (?e \ t \ 0) = \text{card } (?e \ t \ 1)$.
also from *card-suc* **have** $\text{Suc } \dots = \text{card } (?e \ (a \cup t) \ 1)$
by *simp*
finally show *?case* .
qed

10.6 Main theorem

definition *mutilated-board* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \ \text{set}$
where *mutilated-board m n* =
 $\text{below } (2 * (m + 1)) \times \text{below } (2 * (n + 1)) - \{(0, 0)\} - \{(2 * m + 1, 2 * n + 1)\}$

theorem *mutil-not-tiling*: *mutilated-board m n* \notin *tiling domino*

proof (*unfold mutilated-board-def*)
let *?T* = *tiling domino*
let *?t* = $\text{below } (2 * (m + 1)) \times \text{below } (2 * (n + 1))$
let *?t'* = $?t - \{(0, 0)\}$
let *?t''* = $?t' - \{(2 * m + 1, 2 * n + 1)\}$

show $?t'' \notin ?T$

proof
have *t*: $?t \in ?T$ **by** (*rule dominoes-tile-matrix*)
assume *t''*: $?t'' \in ?T$

let *?e* = *evnodd*
have *fin*: *finite (?e ?t 0)*
by (*rule evnodd-finite, rule tiling-domino-finite, rule t*)

note [*simp*] = *evnodd-iff evnodd-empty evnodd-insert evnodd-Diff*

have $\text{card } (?e \ ?t'' \ 0) < \text{card } (?e \ ?t' \ 0)$

proof –
have $\text{card } (?e \ ?t' \ 0 - \{(2 * m + 1, 2 * n + 1)\})$
 $< \text{card } (?e \ ?t' \ 0)$
proof (*rule card-Diff1-less*)
from - *fin* **show** *finite (?e ?t' 0)*

```

    by (rule finite-subset) auto
  show  $(2 * m + 1, 2 * n + 1) \in ?e ?t' 0$  by simp
qed
then show ?thesis by simp
qed
also have ... < card (?e ?t 0)
proof -
  have  $(0, 0) \in ?e ?t 0$  by simp
  with fin have  $\text{card } (?e ?t 0 - \{(0, 0)\}) < \text{card } (?e ?t 0)$ 
    by (rule card-Diff1-less)
  then show ?thesis by simp
qed
also from t have ... = card (?e ?t 1)
  by (rule tiling-domino-01)
also have  $?e ?t 1 = ?e ?t'' 1$  by simp
also from t'' have  $\text{card } \dots = \text{card } (?e ?t'' 0)$ 
  by (rule tiling-domino-01 [symmetric])
finally have ... < ... . then show False ..
qed
qed
end

```

11 An old chestnut

```

theory Puzzle
  imports Main
begin8

```

Problem. Given some function $f: \mathbb{N} \rightarrow \mathbb{N}$ such that $f(f n) < f(\text{Suc } n)$ for all n . Demonstrate that f is the identity.

```

theorem
  assumes f-ax:  $\bigwedge n. f(f n) < f(\text{Suc } n)$ 
  shows  $f n = n$ 
proof (rule order-antisym)
  show  $ge: n \leq f n$  for  $n$ 
  proof (induct f n arbitrary: n rule: less-induct)
    case less
    show  $n \leq f n$ 
  proof (cases n)
    case (Suc m)
    from f-ax have  $f(f m) < f n$  by (simp only: Suc)
    with less have  $f m \leq f(f m)$  .
    also from f-ax have ... <  $f n$  by (simp only: Suc)
    finally have  $f m < f n$  .
    with less have  $m \leq f m$  .
  
```

⁸A question from “Bundeswettbewerb Mathematik”. Original pen-and-paper proof due to Herbert Ehler; Isabelle tactic script by Tobias Nipkow.

```

    also note  $\langle \dots < f n \rangle$ 
    finally have  $m < f n$  .
    then have  $n \leq f n$  by (simp only: Suc)
    then show ?thesis .
  next
    case 0
    then show ?thesis by simp
  qed
qed

have mono:  $m \leq n \implies f m \leq f n$  for  $m n :: nat$ 
proof (induct n)
  case 0
  then have  $m = 0$  by simp
  then show ?case by simp
next
  case (Suc n)
  from Suc.premis show  $f m \leq f (Suc n)$ 
  proof (rule le-SucE)
    assume  $m \leq n$ 
    with Suc.hyps have  $f m \leq f n$  .
    also from ge f-ax have  $\dots < f (Suc n)$ 
    by (rule le-less-trans)
    finally show ?thesis by simp
  next
    assume  $m = Suc n$ 
    then show ?thesis by simp
  qed
qed

show  $f n \leq n$ 
proof -
  have  $\neg n < f n$ 
  proof
    assume  $n < f n$ 
    then have  $Suc n \leq f n$  by simp
    then have  $f (Suc n) \leq f (f n)$  by (rule mono)
    also have  $\dots < f (Suc n)$  by (rule f-ax)
    finally have  $\dots < \dots$  . then show False ..
  qed
  then show ?thesis by simp
qed
qed

end

```

12 Summing natural numbers

theory *Summation*


```

imports Main
begin

```

Subsequently, we prove some summation laws of natural numbers (including odds, squares, and cubes). These examples demonstrate how plain natural deduction (including induction) may be combined with calculational proof.

12.1 Summation laws

The sum of natural numbers $0 + \dots + n$ equals $n \times (n + 1)/2$. Avoiding formal reasoning about division we prove this equation multiplied by 2.

theorem *sum-of-naturals*:

```

  2 * (∑ i::nat=0..n. i) = n * (n + 1)
  (is ?P n is ?S n = -)

```

proof (*induct n*)

```

  show ?P 0 by simp

```

next

```

  fix n have ?S (n + 1) = ?S n + 2 * (n + 1)

```

```

    by simp

```

```

  also assume ?S n = n * (n + 1)

```

```

  also have ... + 2 * (n + 1) = (n + 1) * (n + 2)

```

```

    by simp

```

```

  finally show ?P (Suc n)

```

```

    by simp

```

qed

The above proof is a typical instance of mathematical induction. The main statement is viewed as some $?P n$ that is split by the induction method into base case $?P 0$, and step case $?P n \implies ?P (Suc n)$ for arbitrary n .

The step case is established by a short calculation in forward manner. Starting from the left-hand side $?S (n + 1)$ of the thesis, the final result is achieved by transformations involving basic arithmetic reasoning (using the Simplifier). The main point is where the induction hypothesis $?S n = n \times (n + 1)$ is introduced in order to replace a certain subterm. So the “transitivity” rule involved here is actual *substitution*. Also note how the occurrence of “...” in the subsequent step documents the position where the right-hand side of the hypothesis got filled in.

A further notable point here is integration of calculations with plain natural deduction. This works so well in Isar for two reasons.

1. Facts involved in **also** / **finally** calculational chains may be just anything. There is nothing special about **have**, so the natural deduction element **assume** works just as well.
2. There are two *separate* primitives for building natural deduction contexts: **fix** x and **assume** A . Thus it is possible to start reasoning with

some new “arbitrary, but fixed” elements before bringing in the actual assumption. In contrast, natural deduction is occasionally formalized with basic context elements of the form $x:A$ instead.

We derive further summation laws for odds, squares, and cubes as follows. The basic technique of induction plus calculation is the same as before.

theorem *sum-of-odds*:

$$\left(\sum i::nat=0..<n. 2 * i + 1\right) = n \wedge Suc (Suc 0)$$

(is ?P n is ?S n = -)

proof (*induct n*)

show ?P 0 **by** *simp*

next

fix n

have ?S (n + 1) = ?S n + 2 * n + 1

by *simp*

also assume ?S n = n \wedge Suc (Suc 0)

also have ... + 2 * n + 1 = (n + 1) \wedge Suc (Suc 0)

by *simp*

finally show ?P (Suc n)

by *simp*

qed

Subsequently we require some additional tweaking of Isabelle built-in arithmetic simplifications, such as bringing in distributivity by hand.

lemmas *distrib = add-mult-distrib add-mult-distrib2*

theorem *sum-of-squares*:

$$6 * \left(\sum i::nat=0..n. i \wedge Suc (Suc 0)\right) = n * (n + 1) * (2 * n + 1)$$

(is ?P n is ?S n = -)

proof (*induct n*)

show ?P 0 **by** *simp*

next

fix n

have ?S (n + 1) = ?S n + 6 * (n + 1) \wedge Suc (Suc 0)

by (*simp add: distrib*)

also assume ?S n = n * (n + 1) * (2 * n + 1)

also have ... + 6 * (n + 1) \wedge Suc (Suc 0) =

$$(n + 1) * (n + 2) * (2 * (n + 1) + 1)$$

by (*simp add: distrib*)

finally show ?P (Suc n)

by *simp*

qed

theorem *sum-of-cubes*:

$$4 * \left(\sum i::nat=0..n. i \wedge 3\right) = (n * (n + 1)) \wedge Suc (Suc 0)$$

(is ?P n is ?S n = -)

proof (*induct n*)

show ?P 0 **by** (*simp add: power-eq-if*)

```

next
  fix  $n$ 
    have  $?S (n + 1) = ?S n + 4 * (n + 1) \wedge \mathcal{I}$ 
      by (simp add: power-eq-if distrib)
    also assume  $?S n = (n * (n + 1)) \wedge \text{Suc } (Suc\ 0)$ 
    also have  $\dots + 4 * (n + 1) \wedge \mathcal{I} = ((n + 1) * ((n + 1) + 1)) \wedge \text{Suc } (Suc\ 0)$ 
      by (simp add: power-eq-if distrib)
    finally show  $?P (Suc\ n)$ 
      by simp
qed

```

Note that in contrast to older traditions of tactical proof scripts, the structured proof applies induction on the original, unsimplified statement. This allows to state the induction cases robustly and conveniently. Simplification (or other automated) methods are then applied in terminal position to solve certain sub-problems completely.

As a general rule of good proof style, automatic methods such as *simp* or *auto* should normally be never used as initial proof methods with a nested sub-proof to address the automatically produced situation, but only as terminal ones to solve sub-problems.

end

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