

Examples of Inductive and Coinductive Definitions in ZF

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1 Sample datatype definitions

```
theory Datatypes imports ZF begin
```

1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters A and B .

consts

$data :: [i, i] \Rightarrow i$

datatype $data(A, B) =$

$Con0$
| $Con1 (a \in A)$
| $Con2 (a \in A, b \in B)$
| $Con3 (a \in A, b \in B, d \in data(A, B))$

lemma $data-unfold: data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$

by ($fast\ intro!$: $data.intros$ [$unfolded\ data.con-defs$]
 $elim$: $data.cases$ [$unfolded\ data.con-defs$])

Lemmas to justify using $data$ in other recursive type definitions.

lemma $data-mono: \llbracket A \subseteq C; B \subseteq D \rrbracket \Longrightarrow data(A, B) \subseteq data(C, D)$

unfolding $data.defs$
apply ($rule\ lfp-mono$)
apply ($rule\ data.bnd-mono$)
apply ($rule\ univ-mono\ Un-mono\ basic-monos$ | $assumption$)
done

lemma $data-univ: data(univ(A), univ(A)) \subseteq univ(A)$

unfolding $data.defs\ data.con-defs$
apply ($rule\ lfp-lowerbound$)
apply ($rule-tac$ [2] $subset-trans$ [$OF\ A-subset-univ\ Un-upper1, THEN\ univ-mono$])
apply ($fast\ intro!$: $zero-in-univ\ Inl-in-univ\ Inr-in-univ\ Pair-in-univ$)
done

lemma $data-subset-univ:$

$\llbracket A \subseteq univ(C); B \subseteq univ(C) \rrbracket \Longrightarrow data(A, B) \subseteq univ(C)$
by ($rule\ subset-trans$ [$OF\ data-mono\ data-univ$])

1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...
(back in 1994 that is).

consts

$enum :: i$

datatype $enum =$

$C00$ | $C01$ | $C02$ | $C03$ | $C04$ | $C05$ | $C06$ | $C07$ | $C08$ | $C09$
| $C10$ | $C11$ | $C12$ | $C13$ | $C14$ | $C15$ | $C16$ | $C17$ | $C18$ | $C19$
| $C20$ | $C21$ | $C22$ | $C23$ | $C24$ | $C25$ | $C26$ | $C27$ | $C28$ | $C29$
| $C30$ | $C31$ | $C32$ | $C33$ | $C34$ | $C35$ | $C36$ | $C37$ | $C38$ | $C39$
| $C40$ | $C41$ | $C42$ | $C43$ | $C44$ | $C45$ | $C46$ | $C47$ | $C48$ | $C49$

| C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59

end

2 Binary trees

theory *Binary-Trees* imports *ZF* begin

2.1 Datatype definition

consts

$bt :: i \Rightarrow i$

datatype $bt(A) =$

$Lf \mid Br (a \in A, t1 \in bt(A), t2 \in bt(A))$

declare $bt.intros$ [*simp*]

lemma *Br-neq-left*: $l \in bt(A) \Longrightarrow Br(x, l, r) \neq l$

by (*induct arbitrary: x r set: bt*) *auto*

lemma *Br-iff*: $Br(a, l, r) = Br(a', l', r') \longleftrightarrow a = a' \wedge l = l' \wedge r = r'$

— Proving a freeness theorem.

by (*fast elim!: bt.free-elim*s)

inductive-cases *BrE*: $Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using bt in other recursive type definitions.

lemma *bt-mono*: $A \subseteq B \Longrightarrow bt(A) \subseteq bt(B)$

unfolding $bt.defs$

apply (*rule lfp-mono*)

apply (*rule bt.bnd-mono*)⁺

apply (*rule univ-mono basic-monos | assumption*)⁺

done

lemma *bt-univ*: $bt(univ(A)) \subseteq univ(A)$

unfolding $bt.defs$ $bt.con-defs$

apply (*rule lfp-lowerbound*)

apply (*rule-tac* [2] *A-subset-univ [THEN univ-mono]*)

apply (*fast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)

done

lemma *bt-subset-univ*: $A \subseteq univ(B) \Longrightarrow bt(A) \subseteq univ(B)$

apply (*rule subset-trans*)

apply (*erule bt-mono*)

apply (*rule bt-univ*)

done

lemma *bt-rec-type*:

```
[[ t ∈ bt(A);
  c ∈ C(Lf);
  ∧ x y z r s. [[ x ∈ A; y ∈ bt(A); z ∈ bt(A); r ∈ C(y); s ∈ C(z) ] ] ⇒
  h(x, y, z, r, s) ∈ C(Br(x, y, z))
]] ⇒ bt-rec(c, h, t) ∈ C(t)
— Type checking for recursor – example only; not really needed.
apply (induct-tac t)
apply simp-all
done
```

2.2 Number of nodes, with an example of tail-recursion

consts *n-nodes* :: $i \Rightarrow i$

primrec

```
n-nodes(Lf) = 0
n-nodes(Br(a, l, r)) = succ(n-nodes(l) #+ n-nodes(r))
```

lemma *n-nodes-type* [*simp*]: $t \in bt(A) \Rightarrow n-nodes(t) \in nat$

by (induct set: bt) auto

consts *n-nodes-aux* :: $i \Rightarrow i$

primrec

```
n-nodes-aux(Lf) = ( $\lambda k \in nat. k$ )
n-nodes-aux(Br(a, l, r)) =
  ( $\lambda k \in nat. n-nodes-aux(r) \text{ ‘ } (n-nodes-aux(l) \text{ ‘ } succ(k))$ )
```

lemma *n-nodes-aux-eq*:

```
 $t \in bt(A) \Rightarrow k \in nat \Rightarrow n-nodes-aux(t) \text{ ‘ } k = n-nodes(t) \text{ #+ } k$ 
```

apply (induct arbitrary: k set: bt)

apply simp

apply (atomize, simp)

done

definition

```
n-nodes-tail ::  $i \Rightarrow i$  where
```

```
n-nodes-tail(t) ≡ n-nodes-aux(t) ‘ 0
```

lemma $t \in bt(A) \Rightarrow n-nodes-tail(t) = n-nodes(t)$

by (simp add: *n-nodes-tail-def* *n-nodes-aux-eq*)

2.3 Number of leaves

consts

```
n-leaves ::  $i \Rightarrow i$ 
```

primrec

```
n-leaves(Lf) = 1
```

```
n-leaves(Br(a, l, r)) = n-leaves(l) #+ n-leaves(r)
```

lemma *n-leaves-type* [*simp*]: $t \in \text{bt}(A) \implies \text{n-leaves}(t) \in \text{nat}$
by (*induct set: bt*) *auto*

2.4 Reflecting trees

consts

bt-reflect :: $i \Rightarrow i$

primrec

bt-reflect(*Lf*) = *Lf*

bt-reflect(*Br*(*a*, *l*, *r*)) = *Br*(*a*, *bt-reflect*(*r*), *bt-reflect*(*l*))

lemma *bt-reflect-type* [*simp*]: $t \in \text{bt}(A) \implies \text{bt-reflect}(t) \in \text{bt}(A)$
by (*induct set: bt*) *auto*

Theorems about *n-leaves*.

lemma *n-leaves-reflect*: $t \in \text{bt}(A) \implies \text{n-leaves}(\text{bt-reflect}(t)) = \text{n-leaves}(t)$
by (*induct set: bt*) (*simp-all add: add-commute*)

lemma *n-leaves-nodes*: $t \in \text{bt}(A) \implies \text{n-leaves}(t) = \text{succ}(\text{n-nodes}(t))$
by (*induct set: bt*) *simp-all*

Theorems about *bt-reflect*.

lemma *bt-reflect-bt-reflect-ident*: $t \in \text{bt}(A) \implies \text{bt-reflect}(\text{bt-reflect}(t)) = t$
by (*induct set: bt*) *simp-all*

end

3 Terms over an alphabet

theory *Term* **imports** *ZF* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

consts

term :: $i \Rightarrow i$

datatype *term*(*A*) = *Apply* ($a \in A, l \in \text{list}(\text{term}(A))$)

monos *list-mono*

type-elims *list-univ* [*THEN subsetD*, *elim-format*]

declare *Apply* [*TC*]

definition

term-rec :: $[i, [i, i, i] \Rightarrow i] \Rightarrow i$ **where**

term-rec(*t*, *d*) \equiv

$Vrec(t, \lambda t g. \text{term-case}(\lambda x zs. d(x, zs, \text{map}(\lambda z. g'z, zs)), t))$

definition

term-map :: $[i \Rightarrow i, i] \Rightarrow i$ **where**

$term\text{-}map(f,t) \equiv term\text{-}rec(t, \lambda x\ zs\ rs.\ Apply(f(x), rs))$

definition

$term\text{-}size :: i \Rightarrow i$ **where**
 $term\text{-}size(t) \equiv term\text{-}rec(t, \lambda x\ zs\ rs.\ succ(list\text{-}add(rs)))$

definition

$reflect :: i \Rightarrow i$ **where**
 $reflect(t) \equiv term\text{-}rec(t, \lambda x\ zs\ rs.\ Apply(x, rev(rs)))$

definition

$preorder :: i \Rightarrow i$ **where**
 $preorder(t) \equiv term\text{-}rec(t, \lambda x\ zs\ rs.\ Cons(x, flat(rs)))$

definition

$postorder :: i \Rightarrow i$ **where**
 $postorder(t) \equiv term\text{-}rec(t, \lambda x\ zs\ rs.\ flat(rs) @ [x])$

lemma *term-unfold*: $term(A) = A * list(term(A))$
by (*fast intro!*: $term.intros$ [*unfolded term.con-defs*]
 $elim$: $term.cases$ [*unfolded term.con-defs*])

lemma *term-induct2*:

$\llbracket t \in term(A);$
 $\quad \bigwedge x.\ \llbracket x \in A \rrbracket \Longrightarrow P(Apply(x, Nil));$
 $\quad \bigwedge x\ z\ zs.\ \llbracket x \in A; z \in term(A); zs: list(term(A)); P(Apply(x, zs)) \rrbracket$
 $\rrbracket \Longrightarrow P(Apply(x, Cons(z, zs)))$
 $\rrbracket \Longrightarrow P(t)$

— Induction on $term(A)$ followed by induction on $list$.

apply (*induct-tac t*)
apply (*erule list.induct*)
apply (*auto dest: list-CollectD*)
done

lemma *term-induct-eqn* [*consumes 1, case-names Apply*]:

$\llbracket t \in term(A);$
 $\quad \bigwedge x\ zs.\ \llbracket x \in A; zs: list(term(A)); map(f, zs) = map(g, zs) \rrbracket \Longrightarrow$
 $\quad \quad \quad f(Apply(x, zs)) = g(Apply(x, zs))$
 $\rrbracket \Longrightarrow f(t) = g(t)$

— Induction on $term(A)$ to prove an equation.

apply (*induct-tac t*)
apply (*auto dest: map-list-Collect list-CollectD*)
done

Lemmas to justify using $term$ in other recursive type definitions.

lemma *term-mono*: $A \subseteq B \Longrightarrow term(A) \subseteq term(B)$

unfolding $term.defs$
apply (*rule lfp-mono*)
apply (*rule term.bnd-mono*)**+**

apply (*rule univ-mono basic-monos* | *assumption*) +
done

lemma *term-univ*: $term(univ(A)) \subseteq univ(A)$
— Easily provable by induction also
unfolding *term.defs term.con-defs*
apply (*rule lfp-lowerbound*)
apply (*rule-tac* [2] *A-subset-univ* [THEN *univ-mono*])
apply *safe*
apply (*assumption* | *rule Pair-in-univ list-univ* [THEN *subsetD*]) +
done

lemma *term-subset-univ*: $A \subseteq univ(B) \implies term(A) \subseteq univ(B)$
apply (*rule subset-trans*)
apply (*erule term-mono*)
apply (*rule term-univ*)
done

lemma *term-into-univ*: $\llbracket t \in term(A); A \subseteq univ(B) \rrbracket \implies t \in univ(B)$
by (*rule term-subset-univ* [THEN *subsetD*])

term-rec – by *Vset* recursion.

lemma *map-lemma*: $\llbracket l \in list(A); Ord(i); rank(l) < i \rrbracket$
 $\implies map(\lambda z. (\lambda x \in Vset(i). h(x)) ' z, l) = map(h, l)$
— *map* works correctly on the underlying list of terms.
apply (*induct set: list*)
apply *simp*
apply (*subgoal-tac* $rank(a) < i \wedge rank(l) < i$)
apply (*simp add: rank-of-Ord*)
apply (*simp add: list.con-defs*)
apply (*blast dest: rank-rls* [THEN *lt-trans*])
done

lemma *term-rec* [*simp*]: $ts \in list(A) \implies$
 $term-rec(Apply(a, ts), d) = d(a, ts, map(\lambda z. term-rec(z, d), ts))$
— Typing premise is necessary to invoke *map-lemma*.
apply (*rule term-rec-def* [THEN *def-Vrec*, THEN *trans*])
unfolding *term.con-defs*
apply (*simp add: rank-pair2 map-lemma*)
done

lemma *term-rec-type*:
assumes $t: t \in term(A)$
and $a: \bigwedge x zs r. \llbracket x \in A; zs: list(term(A));$
 $r \in list(\bigcup t \in term(A). C(t)) \rrbracket$
 $\implies d(x, zs, r): C(Apply(x, zs))$
shows $term-rec(t, d) \in C(t)$
— Slightly odd typing condition on *r* in the second premise!
using *t*

apply *induct*
apply (*frule list-CollectD*)
apply (*subst term-rec*)
apply (*assumption | rule a*)
apply (*erule list.induct*)
apply *auto*
done

lemma *def-term-rec*:
 $\llbracket \bigwedge t. j(t) \equiv \text{term-rec}(t, d); \text{ ts}: \text{list}(A) \rrbracket \implies$
 $j(\text{Apply}(a, \text{ts})) = d(a, \text{ts}, \text{map}(\lambda Z. j(Z), \text{ts}))$
apply (*simp only*):
apply (*erule term-rec*)
done

lemma *term-rec-simple-type* [*TC*]:
 $\llbracket t \in \text{term}(A);$
 $\bigwedge x \text{ zs } r. \llbracket x \in A; \text{ zs}: \text{list}(\text{term}(A)); r \in \text{list}(C) \rrbracket$
 $\implies d(x, \text{zs}, r): C$
 $\rrbracket \implies \text{term-rec}(t, d) \in C$
apply (*erule term-rec-type*)
apply (*drule subset-refl [THEN UN-least, THEN list-mono, THEN subsetD]*)
apply *simp*
done

term-map.

lemma *term-map* [*simp*]:
 $\text{ts} \in \text{list}(A) \implies$
 $\text{term-map}(f, \text{Apply}(a, \text{ts})) = \text{Apply}(f(a), \text{map}(\text{term-map}(f), \text{ts}))$
by (*rule term-map-def [THEN def-term-rec]*)

lemma *term-map-type* [*TC*]:
 $\llbracket t \in \text{term}(A); \bigwedge x. x \in A \implies f(x): B \rrbracket \implies \text{term-map}(f, t) \in \text{term}(B)$
unfolding *term-map-def*
apply (*erule term-rec-simple-type*)
apply *fast*
done

lemma *term-map-type2* [*TC*]:
 $t \in \text{term}(A) \implies \text{term-map}(f, t) \in \text{term}(\{f(u). u \in A\})$
apply (*erule term-map-type*)
apply (*erule RepFunI*)
done

term-size.

lemma *term-size* [*simp*]:
 $\text{ts} \in \text{list}(A) \implies \text{term-size}(\text{Apply}(a, \text{ts})) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, \text{ts})))$
by (*rule term-size-def [THEN def-term-rec]*)

lemma *term-size-type* [TC]: $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$
by (*auto simp add: term-size-def*)

reflect.

lemma *reflect* [*simp*]:
 $ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$
by (*rule reflect-def [THEN def-term-rec]*)

lemma *reflect-type* [TC]: $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$
by (*auto simp add: reflect-def*)

preorder.

lemma *preorder* [*simp*]:
 $ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$
by (*rule preorder-def [THEN def-term-rec]*)

lemma *preorder-type* [TC]: $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$
by (*simp add: preorder-def*)

postorder.

lemma *postorder* [*simp*]:
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$
by (*rule postorder-def [THEN def-term-rec]*)

lemma *postorder-type* [TC]: $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$
by (*simp add: postorder-def*)

Theorems about *term-map*.

declare *map-compose* [*simp*]

lemma *term-map-ident*: $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$
by (*induct rule: term-induct-eqn simp*)

lemma *term-map-compose*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$
by (*induct rule: term-induct-eqn simp*)

lemma *term-map-reflect*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$
by (*induct rule: term-induct-eqn (simp add: rev-map-distrib [symmetric])*)

Theorems about *term-size*.

lemma *term-size-term-map*: $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$
by (*induct rule: term-induct-eqn simp*)

lemma *term-size-reflect*: $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib [symmetric] list-add-rev*)

lemma *term-size-length*: $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *length-flat*)

Theorems about *reflect*.

lemma *reflect-reflect-ident*: $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib*)

Theorems about *preorder*.

lemma *preorder-term-map*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f,t)) = \text{map}(f, \text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *map-flat*)

lemma *preorder-reflect-eq-rev-postorder*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$
by (*induct rule*: *term-induct-eqn*)
(*simp add*: *rev-app-distrib rev-flat rev-map-distrib [symmetric]*)

end

4 Datatype definition n-ary branching trees

theory *Ntree* **imports** *ZF* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

consts

ntree :: $i \Rightarrow i$
maptree :: $i \Rightarrow i$
maptree2 :: $[i, i] \Rightarrow i$

datatype *ntree*(A) = *Branch* ($a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$)
monos *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form
type-intros *nat-fun-univ* [*THEN subsetD*]
type-elims *UN-E*

datatype *maptree*(A) = *Sons* ($a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$)
monos *FiniteFun-mono1* — Use monotonicity in BOTH args
type-intros *FiniteFun-univ1* [*THEN subsetD*]

datatype *maptree2*(A, B) = *Sons2* ($a \in A, h \in B \rightarrow \text{maptree2}(A, B)$)
monos *FiniteFun-mono* [*OF subset-refl*]
type-intros *FiniteFun-in-univ'*

definition

$ntree-rec :: [[i, i, i] \Rightarrow i, i] \Rightarrow i$ **where**
 $ntree-rec(b) \equiv$
 $Vrecursor(\lambda pr. ntree-case(\lambda x h. b(x, h, \lambda i \in domain(h). pr'(h'i))))$

definition

$ntree-copy :: i \Rightarrow i$ **where**
 $ntree-copy(z) \equiv ntree-rec(\lambda x h r. Branch(x,r), z)$

ntree

lemma *ntree-unfold*: $ntree(A) = A \times (\bigcup n \in nat. n \rightarrow ntree(A))$
by (*blast intro: ntree.intros [unfolded ntree.con-defs]*)
elim: ntree.cases [unfolded ntree.con-defs]

lemma *ntree-induct* [*consumes 1, case-names Branch, induct set: ntree*]:

assumes $t: t \in ntree(A)$
and *step*: $\bigwedge x n h. \llbracket x \in A; n \in nat; h \in n \rightarrow ntree(A); \forall i \in n. P(h'i) \rrbracket \implies P(Branch(x,h))$
shows $P(t)$
— A nicer induction rule than the standard one.
using t
apply *induct*
apply (*erule UN-E*)
apply (*assumption | rule step*)
apply (*fast elim: fun-weaken-type*)
apply (*fast dest: apply-type*)
done

lemma *ntree-induct-eqn* [*consumes 1*]:

assumes $t: t \in ntree(A)$
and $f: f \in ntree(A) \rightarrow B$
and $g: g \in ntree(A) \rightarrow B$
and *step*: $\bigwedge x n h. \llbracket x \in A; n \in nat; h \in n \rightarrow ntree(A); f \circ h = g \circ h \rrbracket \implies f \circ Branch(x,h) = g \circ Branch(x,h)$
shows $f \circ t = g \circ t$
— Induction on $ntree(A)$ to prove an equation
using t
apply *induct*
apply (*assumption | rule step*)
apply (*insert f g*)
apply (*rule fun-extension*)
apply (*assumption | rule comp-fun*)
apply (*simp add: comp-fun-apply*)
done

Lemmas to justify using *Ntree* in other recursive type definitions.

lemma *ntree-mono*: $A \subseteq B \implies ntree(A) \subseteq ntree(B)$
unfolding *ntree.defs*
apply (*rule lfp-mono*)

apply (rule *ntree.bnd-mono*)+
apply (assumption | rule *univ-mono basic-monos*)+
done

lemma *ntree-univ*: $ntree(univ(A)) \subseteq univ(A)$
— Easily provable by induction also
unfolding *ntree.defs ntree.con-defs*
apply (rule *lfp-lowerbound*)
apply (rule-tac [2] *A-subset-univ [THEN univ-mono]*)
apply (blast intro: *Pair-in-univ nat-fun-univ [THEN subsetD]*)
done

lemma *ntree-subset-univ*: $A \subseteq univ(B) \implies ntree(A) \subseteq univ(B)$
by (rule *subset-trans [OF ntree-mono ntree-univ]*)

ntree recursion.

lemma *ntree-rec-Branch*:
function(*h*) \implies
 $ntree-rec(b, Branch(x,h)) = b(x, h, \lambda i \in domain(h). ntree-rec(b, h'i))$
apply (rule *ntree-rec-def [THEN def-Vrecursor, THEN trans]*)
apply (*simp add: ntree.con-defs rank-pair2 [THEN [2] lt-trans] rank-apply*)
done

lemma *ntree-copy-Branch* [*simp*]:
function(*h*) \implies
 $ntree-copy(Branch(x, h)) = Branch(x, \lambda i \in domain(h). ntree-copy(h'i))$
by (*simp add: ntree-copy-def ntree-rec-Branch*)

lemma *ntree-copy-is-ident*: $z \in ntree(A) \implies ntree-copy(z) = z$
by (*induct z set: ntree*)
(*auto simp add: domain-of-fun Pi-Collect-iff fun-is-function*)

maptree

lemma *maptree-unfold*: $maptree(A) = A \times (maptree(A) -||> maptree(A))$
by (*fast intro!: maptree.intros [unfolded maptree.con-defs]*)
elim: maptree.cases [unfolded maptree.con-defs])

lemma *maptree-induct* [*consumes 1, induct set: maptree*]:
assumes *t*: $t \in maptree(A)$
and step: $\bigwedge x n h. \llbracket x \in A; h \in maptree(A) -||> maptree(A);$
 $\forall y \in field(h). P(y)$
 $\rrbracket \implies P(Sons(x,h))$
shows $P(t)$
— A nicer induction rule than the standard one.
using *t*
apply *induct*
apply (assumption | rule *step*)+
apply (erule *Collect-subset [THEN FiniteFun-mono1, THEN subsetD]*)

```

apply (drule FiniteFun.dom-subset [THEN subsetD])
apply (drule Fin.dom-subset [THEN subsetD])
apply fast
done

```

maptree2

```

lemma maptree2-unfold: maptree2(A, B) = A × (B -||> maptree2(A, B))
  by (fast intro!: maptree2.intros [unfolded maptree2.con-defs]
    elim: maptree2.cases [unfolded maptree2.con-defs])

```

```

lemma maptree2-induct [consumes 1, induct set: maptree2]:
  assumes t: t ∈ maptree2(A, B)
    and step:  $\bigwedge x n h. \llbracket x \in A; h \in B -||> \text{maptree2}(A, B); \forall y \in \text{range}(h). P(y) \rrbracket \implies P(\text{Sons2}(x, h))$ 
  shows P(t)
  using t
  apply induct
  apply (assumption | rule step)+
  apply (erule FiniteFun-mono [OF subset-refl Collect-subset, THEN subsetD])
  apply (drule FiniteFun.dom-subset [THEN subsetD])
  apply (drule Fin.dom-subset [THEN subsetD])
  apply fast
  done

```

end

5 Trees and forests, a mutually recursive type definition

theory Tree-Forest **imports** ZF **begin**

5.1 Datatype definition

consts

```

tree :: i ⇒ i
forest :: i ⇒ i
tree-forest :: i ⇒ i

```

```

datatype tree(A) = Tcons (a ∈ A, f ∈ forest(A))
and forest(A) = Fnil | Fcons (t ∈ tree(A), f ∈ forest(A))

```

lemmas tree'induct =

```

tree-forest.mutual-induct [THEN conjunct1, THEN spec, THEN [2] rev-mp, of
concl: - t, consumes 1]

```

and forest'induct =

```

tree-forest.mutual-induct [THEN conjunct2, THEN spec, THEN [2] rev-mp, of
concl: - f, consumes 1]

```

```

for  $t f$ 

declare  $tree\text{-}forest.intros$  [ $simp$ ,  $TC$ ]

lemma  $tree\text{-}def$ :  $tree(A) \equiv Part(tree\text{-}forest(A), Inl)$ 
  by ( $simp$  only:  $tree\text{-}forest.defs$ )

lemma  $forest\text{-}def$ :  $forest(A) \equiv Part(tree\text{-}forest(A), Inr)$ 
  by ( $simp$  only:  $tree\text{-}forest.defs$ )

 $tree\text{-}forest(A)$  as the union of  $tree(A)$  and  $forest(A)$ .

lemma  $tree\text{-}subset\text{-}TF$ :  $tree(A) \subseteq tree\text{-}forest(A)$ 
  unfolding  $tree\text{-}forest.defs$ 
  apply ( $rule$   $Part\text{-}subset$ )
  done

lemma  $treeI$  [ $TC$ ]:  $x \in tree(A) \implies x \in tree\text{-}forest(A)$ 
  by ( $rule$   $tree\text{-}subset\text{-}TF$  [ $THEN$   $subsetD$ ])

lemma  $forest\text{-}subset\text{-}TF$ :  $forest(A) \subseteq tree\text{-}forest(A)$ 
  unfolding  $tree\text{-}forest.defs$ 
  apply ( $rule$   $Part\text{-}subset$ )
  done

lemma  $treeI'$  [ $TC$ ]:  $x \in forest(A) \implies x \in tree\text{-}forest(A)$ 
  by ( $rule$   $forest\text{-}subset\text{-}TF$  [ $THEN$   $subsetD$ ])

lemma  $TF\text{-}equals\text{-}Un$ :  $tree(A) \cup forest(A) = tree\text{-}forest(A)$ 
  apply ( $insert$   $tree\text{-}subset\text{-}TF$   $forest\text{-}subset\text{-}TF$ )
  apply ( $auto$   $intro!$ :  $equalityI$   $tree\text{-}forest.intros$   $elim$ :  $tree\text{-}forest.cases$ )
  done

lemma  $tree\text{-}forest\text{-}unfold$ :
   $tree\text{-}forest(A) = (A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$ 
  — NOT useful, but interesting ...
  supply  $rews = tree\text{-}forest.con\text{-}defs$   $tree\text{-}def$   $forest\text{-}def$ 
  unfolding  $tree\text{-}def$   $forest\text{-}def$ 
  apply ( $fast$   $intro!$ :  $tree\text{-}forest.intros$  [ $unfolded$   $rews$ ,  $THEN$   $PartD1$ ]
     $elim$ :  $tree\text{-}forest.cases$  [ $unfolded$   $rews$ ])
  done

lemma  $tree\text{-}forest\text{-}unfold'$ :
   $tree\text{-}forest(A) =$ 
   $A \times Part(tree\text{-}forest(A), \lambda w. Inr(w)) +$ 
   $\{0\} + Part(tree\text{-}forest(A), \lambda w. Inl(w)) * Part(tree\text{-}forest(A), \lambda w. Inr(w))$ 
  by ( $rule$   $tree\text{-}forest\text{-}unfold$  [ $unfolded$   $tree\text{-}def$   $forest\text{-}def$ ])

lemma  $tree\text{-}unfold$ :  $tree(A) = \{Inl(x). x \in A \times forest(A)\}$ 
  unfolding  $tree\text{-}def$   $forest\text{-}def$ 

```

apply (rule *Part-Inl* [THEN *subst*])
apply (rule *tree-forest-unfold'* [THEN *subst-context*])
done

lemma *forest-unfold*: $forest(A) = \{Inr(x). x \in \{0\} + tree(A)*forest(A)\}$
unfolding *tree-def forest-def*
apply (rule *Part-Inr* [THEN *subst*])
apply (rule *tree-forest-unfold'* [THEN *subst-context*])
done

Type checking for recursor: Not needed; possibly interesting?

lemma *TF-rec-type*:
 $\llbracket z \in tree-forest(A);$
 $\quad \bigwedge x f r. \llbracket x \in A; f \in forest(A); r \in C(f)$
 $\rrbracket \implies b(x,f,r) \in C(Tcons(x,f));$
 $\quad c \in C(Fnil);$
 $\quad \bigwedge t f r1 r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in C(f)$
 $\rrbracket \implies d(t,f,r1,r2) \in C(Fcons(t,f))$
 $\rrbracket \implies tree-forest-rec(b,c,d,z) \in C(z)$
by (*induct-tac z simp-all*)

lemma *tree-forest-rec-type*:
 $\llbracket \bigwedge x f r. \llbracket x \in A; f \in forest(A); r \in D(f)$
 $\rrbracket \implies b(x,f,r) \in C(Tcons(x,f));$
 $\quad c \in D(Fnil);$
 $\quad \bigwedge t f r1 r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in D(f)$
 $\rrbracket \implies d(t,f,r1,r2) \in D(Fcons(t,f))$
 $\rrbracket \implies (\forall t \in tree(A). tree-forest-rec(b,c,d,t) \in C(t)) \wedge$
 $\quad (\forall f \in forest(A). tree-forest-rec(b,c,d,f) \in D(f))$
— Mutually recursive version.
unfolding *Ball-def*
apply (rule *tree-forest.mutual-induct*)
apply *simp-all*
done

5.2 Operations

consts

map :: $[i \Rightarrow i, i] \Rightarrow i$
size :: $i \Rightarrow i$
preorder :: $i \Rightarrow i$
list-of-TF :: $i \Rightarrow i$
of-list :: $i \Rightarrow i$
reflect :: $i \Rightarrow i$

primrec

list-of-TF (*Tcons*(*x*,*f*)) = [*Tcons*(*x*,*f*)]
list-of-TF (*Fnil*) = []
list-of-TF (*Fcons*(*t*,*tf*)) = *Cons* (*t*, *list-of-TF*(*tf*))

primrec

$of_list([]) = Fnil$
 $of_list(Cons(t,l)) = Fcons(t, of_list(l))$

primrec

$map(h, Tcons(x,f)) = Tcons(h(x), map(h,f))$
 $map(h, Fnil) = Fnil$
 $map(h, Fcons(t,tf)) = Fcons(map(h,t), map(h,tf))$

primrec

$size(Tcons(x,f)) = succ(size(f))$
 $size(Fnil) = 0$
 $size(Fcons(t,tf)) = size(t) \# + size(tf)$

primrec

$preorder(Tcons(x,f)) = Cons(x, preorder(f))$
 $preorder(Fnil) = Nil$
 $preorder(Fcons(t,tf)) = preorder(t) @ preorder(tf)$

primrec

$reflect(Tcons(x,f)) = Tcons(x, reflect(f))$
 $reflect(Fnil) = Fnil$
 $reflect(Fcons(t,tf)) =$
 $of_list(list-of-TF(reflect(tf)) @ Cons(reflect(t), Nil))$

list-of-TF and *of-list*.

lemma *list-of-TF-type* [TC]:

$z \in tree_forest(A) \implies list_of_TF(z) \in list(tree(A))$
by (*induct set: tree-forest*) *simp-all*

lemma *of-list-type* [TC]: $l \in list(tree(A)) \implies of_list(l) \in forest(A)$

by (*induct set: list*) *simp-all*

map.

lemma

assumes $\bigwedge x. x \in A \implies h(x): B$
shows *map-tree-type*: $t \in tree(A) \implies map(h,t) \in tree(B)$
and *map-forest-type*: $f \in forest(A) \implies map(h,f) \in forest(B)$
using *assms*
by (*induct rule: tree'induct forest'induct*) *simp-all*

size.

lemma *size-type* [TC]: $z \in tree_forest(A) \implies size(z) \in nat$

by (*induct set: tree-forest*) *simp-all*

preorder.

lemma *preorder-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$
by (*induct set: tree-forest*) *simp-all*

Theorems about *list-of-TF* and *of-list*.

lemma *forest-induct* [*consumes 1, case-names Fnil Fcons*]:
 $\llbracket f \in \text{forest}(A);$
 $R(\text{Fnil});$
 $\bigwedge t f. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); R(f) \rrbracket \implies R(\text{Fcons}(t,f))$
 $\rrbracket \implies R(f)$
— Essentially the same as list induction.
apply (*erule tree-forest.mutual-induct*
[*THEN conjunct2, THEN spec, THEN [2] rev-mp*])
apply (*rule TrueI*)
apply *simp*
apply *simp*
done

lemma *forest-iso*: $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$
by (*induct rule: forest-induct*) *simp-all*

lemma *tree-list-iso*: $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$
by (*induct set: list*) *simp-all*

Theorems about *map*.

lemma *map-ident*: $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$
by (*induct set: tree-forest*) *simp-all*

lemma *map-compose*:
 $z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j,z)) = \text{map}(\lambda u. h(j(u)), z)$
by (*induct set: tree-forest*) *simp-all*

Theorems about *size*.

lemma *size-map*: $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h,z)) = \text{size}(z)$
by (*induct set: tree-forest*) *simp-all*

lemma *size-length*: $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$
by (*induct set: tree-forest*) (*simp-all add: length-app*)

Theorems about *preorder*.

lemma *preorder-map*:
 $z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h,z)) = \text{List.map}(h, \text{preorder}(z))$
by (*induct set: tree-forest*) (*simp-all add: map-app-distrib*)

end

6 Infinite branching datatype definitions

theory *Brouwer* imports *ZFC* begin

6.1 The Brouwer ordinals

consts

brouwer :: *i*

datatype \subseteq *Vfrom*(0, *csucc*(*nat*))

brouwer = *Zero* | *Suc* (*b* \in *brouwer*) | *Lim* (*h* \in *nat* \rightarrow *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* = {0} + *brouwer* + (*nat* \rightarrow *brouwer*)

by (*fast intro!*: *brouwer.intros* [*unfolded* *brouwer.con-defs*])

elim: *brouwer.cases* [*unfolded* *brouwer.con-defs*])

lemma *brouwer-induct2* [*consumes* 1, *case-names* *Zero Suc Lim*]:

assumes *b*: *b* \in *brouwer*

and *cases*:

P(*Zero*)

$\bigwedge b. \llbracket b \in \textit{brouwer}; P(b) \rrbracket \implies P(\textit{Suc}(b))$

$\bigwedge h. \llbracket h \in \textit{nat} \rightarrow \textit{brouwer}; \forall i \in \textit{nat}. P(h'i) \rrbracket \implies P(\textit{Lim}(h))$

shows *P*(*b*)

— A nicer induction rule than the standard one.

using *b*

apply *induct*

apply (*rule cases*(1))

apply (*erule* (1) *cases*(2))

apply (*rule cases*(3))

apply (*fast elim*: *fun-weaken-type*)

apply (*fast dest*: *apply-type*)

done

6.2 The Martin-Löf wellordering type

consts

Well :: [*i*, *i* \Rightarrow *i*] \Rightarrow *i*

datatype \subseteq *Vfrom*(*A* \cup ($\bigcup x \in A. B(x)$), *csucc*(*nat* \cup $|\bigcup x \in A. B(x)|$))

— The union with *nat* ensures that the cardinal is infinite.

Well(*A*, *B*) = *Sup* (*a* \in *A*, *f* \in *B*(*a*) \rightarrow *Well*(*A*, *B*))

monos *Pi-mono*

type-intros *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

lemma *Well-unfold*: *Well*(*A*, *B*) = ($\sum x \in A. B(x)$ \rightarrow *Well*(*A*, *B*))

by (*fast intro!*: *Well.intros* [*unfolded* *Well.con-defs*])

elim: *Well.cases* [*unfolded* *Well.con-defs*])

lemma *Well-induct2* [*consumes 1, case-names step*]:
assumes *w*: $w \in \text{Well}(A, B)$
and step: $\bigwedge a f. \llbracket a \in A; f \in B(a) \rightarrow \text{Well}(A, B); \forall y \in B(a). P(f'y) \rrbracket \implies P(\text{Sup}(a, f))$
shows $P(w)$
— A nicer induction rule than the standard one.
using *w*
apply *induct*
apply (*assumption* | *rule step*)
apply (*fast elim: fun-weaken-type*)
apply (*fast dest: apply-type*)
done

lemma *Well-bool-unfold*: $\text{Well}(\text{bool}, \lambda x. x) = 1 + (1 \rightarrow \text{Well}(\text{bool}, \lambda x. x))$
— In fact it's isomorphic to *nat*, but we need a recursion operator
— for *Well* to prove this.
apply (*rule Well-unfold* [*THEN trans*])
apply (*simp add: Sigma-bool succ-def*)
done

end

7 The Mutilated Chess Board Problem, formalized inductively

theory *Mutil* **imports** *ZF* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts

domino :: *i*
tiling :: *i* \Rightarrow *i*

inductive

domains *domino* $\subseteq \text{Pow}(\text{nat} \times \text{nat})$

intros

horiz: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \{\langle i, j \rangle, \langle i, \text{succ}(j) \rangle\} \in \text{domino}$

vertl: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \{\langle i, j \rangle, \langle \text{succ}(i), j \rangle\} \in \text{domino}$

type-intros *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

inductive

domains *tiling*(*A*) $\subseteq \text{Pow}(\bigcup(A))$

intros

empty: $0 \in \text{tiling}(A)$

Un: $\llbracket a \in A; t \in \text{tiling}(A); a \cap t = 0 \rrbracket \implies a \cup t \in \text{tiling}(A)$

type-intros *empty-subsetI Union-upper Un-least PowI*

type-elim *PowD* [*elim-format*]

definition

$evnodd :: [i, i] \Rightarrow i$ **where**
 $evnodd(A, b) \equiv \{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$

7.1 Basic properties of evnodd

lemma *evnodd-iff*: $\langle i, j \rangle : evnodd(A, b) \longleftrightarrow \langle i, j \rangle : A \wedge (i \# + j) \bmod 2 = b$
by (*unfold evnodd-def*) *blast*

lemma *evnodd-subset*: $evnodd(A, b) \subseteq A$
by (*unfold evnodd-def*) *blast*

lemma *Finite-evnodd*: $Finite(X) \Longrightarrow Finite(evnodd(X, b))$
by (*rule lepoll-Finite, rule subset-imp-lepoll, rule evnodd-subset*)

lemma *evnodd-Un*: $evnodd(A \cup B, b) = evnodd(A, b) \cup evnodd(B, b)$
by (*simp add: evnodd-def Collect-Un*)

lemma *evnodd-Diff*: $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$
by (*simp add: evnodd-def Collect-Diff*)

lemma *evnodd-cons* [*simp*]:
 $evnodd(\text{cons}(\langle i, j \rangle, C), b) =$
 $(\text{if } (i \# + j) \bmod 2 = b \text{ then } \text{cons}(\langle i, j \rangle, evnodd(C, b)) \text{ else } evnodd(C, b))$
by (*simp add: evnodd-def Collect-cons*)

lemma *evnodd-0* [*simp*]: $evnodd(0, b) = 0$
by (*simp add: evnodd-def*)

7.2 Dominoes

lemma *domino-Finite*: $d \in \text{domino} \Longrightarrow Finite(d)$
by (*blast intro!: Finite-cons Finite-0 elim: domino.cases*)

lemma *domino-singleton*:
 $\llbracket d \in \text{domino}; b < 2 \rrbracket \Longrightarrow \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$
apply (*erule domino.cases*)
apply (*rule-tac* [2] $k1 = i \# + j$ **in** *mod2-cases* [*THEN disjE*])
apply (*rule-tac* $k1 = i \# + j$ **in** *mod2-cases* [*THEN disjE*])
apply (*rule add-type* | *assumption*)

apply (*auto simp add: mod-succ succ-neq-self dest: ltD*)
done

7.3 Tilings

The union of two disjoint tilings is a tiling

lemma *tiling-UnI*:

```

  t ∈ tiling(A) ⇒ u ∈ tiling(A) ⇒ t ∩ u = 0 ⇒ t ∪ u ∈ tiling(A)
apply (induct set: tiling)
apply (simp add: tiling.intros)
apply (simp add: Un-assoc subset-empty-iff [THEN iff-sym])
apply (blast intro: tiling.intros)
done

```

```

lemma tiling-domino-Finite: t ∈ tiling(domino) ⇒ Finite(t)
apply (induct set: tiling)
apply (rule Finite-0)
apply (blast intro!: Finite-Un intro: domino-Finite)
done

```

```

lemma tiling-domino-0-1: t ∈ tiling(domino) ⇒ |evnodd(t,0)| = |evnodd(t,1)|
apply (induct set: tiling)
apply (simp add: evnodd-def)
apply (rule-tac b1 = 0 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
apply (rule-tac b1 = 1 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
apply safe
apply (subgoal-tac ∀ p b. p ∈ evnodd (a,b) → p ∉ evnodd (t,b))
apply (simp add: evnodd-Un Un-cons tiling-domino-Finite
  evnodd-subset [THEN subset-Finite] Finite-imp-cardinal-cons)
apply (blast dest!: evnodd-subset [THEN subsetD] elim: equalityE)
done

```

```

lemma dominoes-tile-row:
  [i ∈ nat; n ∈ nat] ⇒ {i} * (n #+ n) ∈ tiling(domino)
apply (induct-tac n)
apply (simp add: tiling.intros)
apply (simp add: Un-assoc [symmetric] Sigma-succ2)
apply (rule tiling.intros)
  prefer 2 apply assumption
apply (rename-tac n')
apply (subgoal-tac
  {i}*{succ (n'#+n')} ∪ {i}*{n'#+n'} =
  {<i,n'#+n'>, <i,succ (n'#+n') >})
  prefer 2 apply blast
apply (simp add: domino.horiz)
apply (blast elim: mem-irrefl mem-asym)
done

```

```

lemma dominoes-tile-matrix:
  [m ∈ nat; n ∈ nat] ⇒ m * (n #+ n) ∈ tiling(domino)

```

```

apply (induct-tac m)
apply (simp add: tiling.intros)
apply (simp add: Sigma-succ1)
apply (blast intro: tiling-UnI dominoes-tile-row elim: mem-irrefl)
done

```

```

lemma eq-lt-E:  $\llbracket x=y; x<y \rrbracket \implies P$ 
by auto

```

```

theorem mutil-not-tiling:  $\llbracket m \in \text{nat}; n \in \text{nat};$ 
   $t = (\text{succ}(m)\#+\text{succ}(m))*(\text{succ}(n)\#+\text{succ}(n));$ 
   $t' = t - \{ \langle 0,0 \rangle \} - \{ \langle \text{succ}(m\#+m), \text{succ}(n\#+n) \rangle \}$ 
 $\implies t' \notin \text{tiling}(\text{domino})$ 
apply (rule notI)
apply (drule tiling-domino-0-1)
apply (erule-tac x = |A| for A in eq-lt-E)
apply (subgoal-tac t \in tiling (domino))
prefer 2
apply (simp only: nat-succI add-type dominoes-tile-matrix)
apply (simp add: evnodd-Diff mod2-add-self mod2-succ-succ
  tiling-domino-0-1 [symmetric])
apply (rule lt-trans)
apply (rule Finite-imp-cardinal-Diff,
  simp add: tiling-domino-Finite Finite-evnodd Finite-Diff,
  simp add: evnodd-iff nat-0-le [THEN ltD] mod2-add-self) +
done

```

end

```

theory FoldSet imports ZF begin

```

```

consts fold-set ::  $[i, i, [i,i] \Rightarrow i, i] \Rightarrow i$ 

```

inductive

```

domains fold-set(A, B, f, e)  $\subseteq \text{Fin}(A)*B$ 

```

intros

```

  emptyI:  $e \in B \implies \langle 0, e \rangle \in \text{fold-set}(A, B, f, e)$ 
  consI:  $\llbracket x \in A; x \notin C; \langle C, y \rangle \in \text{fold-set}(A, B, f, e); f(x, y):B \rrbracket$ 
 $\implies \langle \text{cons}(x, C), f(x, y) \rangle \in \text{fold-set}(A, B, f, e)$ 

```

type-intros *Fin.intros*

definition

```

fold ::  $[i, [i,i] \Rightarrow i, i, i] \Rightarrow i$  ( $\langle \text{fold}[-]'(-,-,-) \rangle$ ) where
fold[B](f, e, A)  $\equiv \text{THE } x. \langle A, x \rangle \in \text{fold-set}(A, B, f, e)$ 

```

definition

```

setsum ::  $[i \Rightarrow i, i] \Rightarrow i$  where
setsum(g, C)  $\equiv \text{if } \text{Finite}(C) \text{ then}$ 

```

$fold[int](\lambda x y. g(x) \$+ y, \#0, C) \text{ else } \#0$

inductive-cases *empty-fold-setE*: $\langle 0, x \rangle \in fold\text{-set}(A, B, f, e)$
inductive-cases *cons-fold-setE*: $\langle cons(x, C), y \rangle \in fold\text{-set}(A, B, f, e)$

lemma *cons-lemma1*: $\llbracket x \notin C; x \notin B \rrbracket \implies cons(x, B) = cons(x, C) \longleftrightarrow B = C$
by (*auto elim: equalityE*)

lemma *cons-lemma2*: $\llbracket cons(x, B) = cons(y, C); x \neq y; x \notin B; y \notin C \rrbracket$
 $\implies B - \{y\} = C - \{x\} \wedge x \in C \wedge y \in B$
apply (*auto elim: equalityE*)
done

lemma *fold-set-mono-lemma*:
 $\langle C, x \rangle \in fold\text{-set}(A, B, f, e)$
 $\implies \forall D. A \leq D \longrightarrow \langle C, x \rangle \in fold\text{-set}(D, B, f, e)$
apply (*erule fold-set.induct*)
apply (*auto intro: fold-set.intros*)
done

lemma *fold-set-mono*: $C \leq A \implies fold\text{-set}(C, B, f, e) \subseteq fold\text{-set}(A, B, f, e)$
apply *clarify*
apply (*frule fold-set.dom-subset [THEN subsetD], clarify*)
apply (*auto dest: fold-set-mono-lemma*)
done

lemma *fold-set-lemma*:
 $\langle C, x \rangle \in fold\text{-set}(A, B, f, e) \implies \langle C, x \rangle \in fold\text{-set}(C, B, f, e) \wedge C \leq A$
apply (*erule fold-set.induct*)
apply (*auto intro!: fold-set.intros intro: fold-set-mono [THEN subsetD]*)
done

lemma *Diff1-fold-set*:
 $\llbracket \langle C - \{x\}, y \rangle \in fold\text{-set}(A, B, f, e); x \in C; x \in A; f(x, y) : B \rrbracket$
 $\implies \langle C, f(x, y) \rangle \in fold\text{-set}(A, B, f, e)$
apply (*frule fold-set.dom-subset [THEN subsetD]*)
apply (*erule cons-Diff [THEN subst], rule fold-set.intros, auto*)
done

locale *fold-typing* =
fixes *A and B and e and f*
assumes *ftype* [*intro, simp*]: $\llbracket x \in A; y \in B \rrbracket \implies f(x, y) \in B$

and *etype* [*intro,simp*]: $e \in B$
and *fcomm*: $\llbracket x \in A; y \in A; z \in B \rrbracket \implies f(x, f(y, z)) = f(y, f(x, z))$

lemma (**in** *fold-typing*) *Fin-imp-fold-set*:
 $C \in \text{Fin}(A) \implies (\exists x. \langle C, x \rangle \in \text{fold-set}(A, B, f, e))$
apply (*erule Fin-induct*)
apply (*auto dest: fold-set.dom-subset [THEN subsetD]*
intro: fold-set.intros etype ftype)
done

lemma *Diff-sing-imp*:
 $\llbracket C - \{b\} = D - \{a\}; a \neq b; b \in C \rrbracket \implies C = \text{cons}(b, D) - \{a\}$
by (*blast elim: equalityE*)

lemma (**in** *fold-typing*) *fold-set-determ-lemma* [*rule-format*]:

$n \in \text{nat}$
 $\implies \forall C. |C| < n \longrightarrow$
 $(\forall x. \langle C, x \rangle \in \text{fold-set}(A, B, f, e) \longrightarrow$
 $(\forall y. \langle C, y \rangle \in \text{fold-set}(A, B, f, e) \longrightarrow y = x))$

apply (*erule nat-induct*)
apply (*auto simp add: le-iff*)
apply (*erule fold-set.cases*)
apply (*force elim!: empty-fold-setE*)
apply (*erule fold-set.cases*)
apply (*force elim!: empty-fold-setE, clarify*)

apply (*frule-tac a = Ca in fold-set.dom-subset [THEN subsetD, THEN SigmaD1]*)
apply (*frule-tac a = Cb in fold-set.dom-subset [THEN subsetD, THEN SigmaD1]*)
apply (*simp add: Fin-into-Finite [THEN Finite-imp-cardinal-cons]*)
apply (*case-tac x = xb, auto*)
apply (*simp add: cons-lemma1, blast*)

case $x \neq xb$

apply (*drule cons-lemma2, safe*)
apply (*frule Diff-sing-imp, assumption+*)

* LEVEL 17

apply (*subgoal-tac |Ca| ≤ |Cb|*)
prefer 2
apply (*rule succ-le-imp-le*)
apply (*simp add: Fin-into-Finite Finite-imp-succ-cardinal-Diff*
Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (*rule-tac C1 = Ca - {xb} in Fin-imp-fold-set [THEN exE]*)
apply (*blast intro: Diff-subset [THEN Fin-subset]*)

* LEVEL 24 *

apply (*frule Diff1-fold-set, blast, blast*)
apply (*blast dest!: ftype fold-set.dom-subset [THEN subsetD]*)

```

apply (subgoal-tac ya = f(xb,xa) )
  prefer 2 apply (blast del: equalityCE)
apply (subgoal-tac <Cb-{x}, xa> ∈ fold-set(A,B,f,e))
  prefer 2 apply simp
apply (subgoal-tac yb = f (x, xa) )
  apply (drule-tac [2] C = Cb in Diff1-fold-set, simp-all)
  apply (blast intro: fcomm dest!: fold-set.dom-subset [THEN subsetD])
  apply (blast intro: ftype dest!: fold-set.dom-subset [THEN subsetD], blast)
done

```

```

lemma (in fold-typing) fold-set-determ:
  [[⟨C, x⟩ ∈ fold-set(A, B, f, e);
   ⟨C, y⟩ ∈ fold-set(A, B, f, e)] ⇒ y=x
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (drule Fin-into-Finite)
apply (unfold Finite-def, clarify)
apply (rule-tac n = succ (n) in fold-set-determ-lemma)
apply (auto intro: eqpoll-imp-lepoll [THEN lepoll-cardinal-le])
done

```

```

lemma (in fold-typing) fold-equality:
  ⟨C,y⟩ ∈ fold-set(A,B,f,e) ⇒ fold[B](f,e,C) = y
  unfolding fold-def
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (rule the-equality)
  apply (rule-tac [2] A=C in fold-typing.fold-set-determ)
apply (force dest: fold-set-lemma)
apply (auto dest: fold-set-lemma)
apply (simp add: fold-typing-def, auto)
apply (auto dest: fold-set-lemma intro: ftype etype fcomm)
done

```

```

lemma fold-0 [simp]: e ∈ B ⇒ fold[B](f,e,0) = e
  unfolding fold-def
apply (blast elim!: empty-fold-setE intro: fold-set.intros)
done

```

This result is the right-to-left direction of the subsequent result

```

lemma (in fold-typing) fold-set-imp-cons:
  [[⟨C, y⟩ ∈ fold-set(C, B, f, e); C ∈ Fin(A); c ∈ A; c ∉ C]
   ⇒ <cons(c, C), f(c,y)> ∈ fold-set(cons(c, C), B, f, e)
apply (frule FinD [THEN fold-set-mono, THEN subsetD])
  apply assumption
apply (frule fold-set.dom-subset [of A, THEN subsetD])
apply (blast intro!: fold-set.consI intro: fold-set-mono [THEN subsetD])
done

```

lemma (in *fold-typing*) *fold-cons-lemma* [rule-format]:
 $\llbracket C \in \text{Fin}(A); c \in A; c \notin C \rrbracket$
 $\implies \langle \text{cons}(c, C), v \rangle \in \text{fold-set}(\text{cons}(c, C), B, f, e) \iff$
 $(\exists y. \langle C, y \rangle \in \text{fold-set}(C, B, f, e) \wedge v = f(c, y))$

apply *auto*
prefer 2 **apply** (*blast intro: fold-set-imp-cons*)
apply (*frule-tac Fin.consI* [of *c*, THEN *FinD*, THEN *fold-set-mono*, THEN *subsetD*], *assumption+*)
apply (*frule-tac fold-set.dom-subset* [of *A*, THEN *subsetD*])
apply (*drule FinD*)
apply (*rule-tac A1 = cons(c, C) and f1=f and B1=B and C1=C and e1=e in fold-typing.Fin-imp-fold-set* [THEN *exE*])
apply (*blast intro: fold-typing.intro ftype etype fcomm*)
apply (*blast intro: Fin-subset* [of - *cons(c, C)*] *Finite-into-Fin*
dest: Fin-into-Finite)
apply (*rule-tac x = x in exI*)
apply (*auto intro: fold-set.intros*)
apply (*drule-tac fold-set-lemma* [of *C*], *blast*)
apply (*blast intro!: fold-set.consI*
intro: fold-set-determ fold-set-mono [THEN *subsetD*]
dest: fold-set.dom-subset [THEN *subsetD*])

done

lemma (in *fold-typing*) *fold-cons*:
 $\llbracket C \in \text{Fin}(A); c \in A; c \notin C \rrbracket$
 $\implies \text{fold}[B](f, e, \text{cons}(c, C)) = f(c, \text{fold}[B](f, e, C))$

unfolding *fold-def*
apply (*simp add: fold-cons-lemma*)
apply (*rule the-equality, auto*)
apply (*subgoal-tac* [2] $\langle C, y \rangle \in \text{fold-set}(A, B, f, e)$)
apply (*drule Fin-imp-fold-set*)
apply (*auto dest: fold-set-lemma simp add: fold-def* [*symmetric*] *fold-equality*)
apply (*blast intro: fold-set-mono* [THEN *subsetD*] *dest!: FinD*)
done

lemma (in *fold-typing*) *fold-type* [*simp, TC*]:
 $C \in \text{Fin}(A) \implies \text{fold}[B](f, e, C) : B$

apply (*erule Fin-induct*)
apply (*simp-all add: fold-cons ftype etype*)
done

lemma (in *fold-typing*) *fold-commute* [rule-format]:
 $\llbracket C \in \text{Fin}(A); c \in A \rrbracket$
 $\implies (\forall y \in B. f(c, \text{fold}[B](f, y, C)) = \text{fold}[B](f, f(c, y), C))$

apply (*erule Fin-induct*)
apply (*simp-all add: fold-typing.fold-cons* [of *A B - f*]
fold-typing.fold-type [of *A B - f*]
fold-typing-def fcomm)
done

lemma (*in fold-typing*) *fold-nest-Un-Int*:
 $\llbracket C \in \text{Fin}(A); D \in \text{Fin}(A) \rrbracket$
 $\implies \text{fold}[B](f, \text{fold}[B](f, e, D), C) =$
 $\text{fold}[B](f, \text{fold}[B](f, e, (C \cap D)), C \cup D)$
apply (*erule Fin-induct, auto*)
apply (*simp add: Un-cons Int-cons-left fold-type fold-commute*
fold-typing.fold-cons [of A - - f]
fold-typing-def fcomm cons-absorb)
done

lemma (*in fold-typing*) *fold-nest-Un-disjoint*:
 $\llbracket C \in \text{Fin}(A); D \in \text{Fin}(A); C \cap D = 0 \rrbracket$
 $\implies \text{fold}[B](f, e, C \cup D) = \text{fold}[B](f, \text{fold}[B](f, e, D), C)$
by (*simp add: fold-nest-Un-Int*)

lemma *Finite-cons-lemma*: $\text{Finite}(C) \implies C \in \text{Fin}(\text{cons}(c, C))$
apply (*drule Finite-into-Fin*)
apply (*blast intro: Fin-mono [THEN subsetD]*)
done

7.4 The Operator *setsum*

lemma *setsum-0* [*simp*]: $\text{setsum}(g, 0) = \#0$
by (*simp add: setsum-def*)

lemma *setsum-cons* [*simp*]:
 $\text{Finite}(C) \implies$
 $\text{setsum}(g, \text{cons}(c, C)) =$
 $(\text{if } c \in C \text{ then } \text{setsum}(g, C) \text{ else } g(c) \$+ \text{setsum}(g, C))$
apply (*auto simp add: setsum-def Finite-cons cons-absorb*)
apply (*rule-tac A = cons (c, C) in fold-typing.fold-cons*)
apply (*auto intro: fold-typing.intro Finite-cons-lemma*)
done

lemma *setsum-K0*: $\text{setsum}((\lambda i. \#0), C) = \#0$
apply (*case-tac Finite (C)*)
prefer 2 apply (*simp add: setsum-def*)
apply (*erule Finite-induct, auto*)
done

lemma *setsum-Un-Int*:
 $\llbracket \text{Finite}(C); \text{Finite}(D) \rrbracket$
 $\implies \text{setsum}(g, C \cup D) \$+ \text{setsum}(g, C \cap D)$
 $= \text{setsum}(g, C) \$+ \text{setsum}(g, D)$
apply (*erule Finite-induct*)
apply (*simp-all add: Int-cons-right cons-absorb Un-cons Int-commute Finite-Un*
Int-lower1 [THEN subset-Finite])

done

lemma *setsum-type* [*simp, TC*]: $setsum(g, C):int$
apply (*case-tac Finite (C)*)
prefer 2 apply (*simp add: setsum-def*)
apply (*erule Finite-induct, auto*)
done

lemma *setsum-Un-disjoint*:
[[*Finite(C); Finite(D); C ∩ D = 0*]]
⇒ $setsum(g, C ∪ D) = setsum(g, C) \text{ \$+ } setsum(g, D)$
apply (*subst setsum-Un-Int [symmetric]*)
apply (*subgoal-tac [3] Finite (C ∪ D)*)
apply (*auto intro: Finite-Un*)
done

lemma *Finite-RepFun* [*rule-format (no-asm)*]:
 $Finite(I) \implies (\forall i \in I. Finite(C(i))) \longrightarrow Finite(RepFun(I, C))$
apply (*erule Finite-induct, auto*)
done

lemma *setsum-UN-disjoint* [*rule-format (no-asm)*]:
 $Finite(I) \implies (\forall i \in I. Finite(C(i))) \longrightarrow$
 $(\forall i \in I. \forall j \in I. i \neq j \longrightarrow C(i) \cap C(j) = 0) \longrightarrow$
 $setsum(f, \bigcup i \in I. C(i)) = setsum(\lambda i. setsum(f, C(i)), I)$
apply (*erule Finite-induct, auto*)
apply (*subgoal-tac $\forall i \in B. x \neq i$*)
prefer 2 apply blast
apply (*subgoal-tac $C(x) \cap (\bigcup i \in B. C(i)) = 0$*)
prefer 2 apply blast
apply (*subgoal-tac $Finite(\bigcup i \in B. C(i)) \wedge Finite(C(x)) \wedge Finite(B)$*)
apply (*simp (no-asm-simp) add: setsum-Un-disjoint*)
apply (*auto intro: Finite-Union Finite-RepFun*)
done

lemma *setsum-addf*: $setsum(\lambda x. f(x) \text{ \$+ } g(x), C) = setsum(f, C) \text{ \$+ } setsum(g, C)$
apply (*case-tac Finite (C)*)
prefer 2 apply (*simp add: setsum-def*)
apply (*erule Finite-induct, auto*)
done

lemma *fold-set-cong*:
[[$A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y))$]]
⇒ $fold-set(A,B,f,e) = fold-set(A',B',f',e')$
apply (*simp add: fold-set-def*)

apply (*intro refl iff-refl lfp-cong Collect-cong disj-cong ex-cong, auto*)
done

lemma *fold-cong*:

$\llbracket B=B'; A=A'; e=e' \rrbracket$
 $\bigwedge x y. \llbracket x \in A'; y \in B' \rrbracket \implies f(x,y) = f'(x,y) \implies$
 $fold[B](f,e,A) = fold[B'](f', e', A')$

apply (*simp add: fold-def*)
apply (*subst fold-set-cong*)
apply (*rule-tac [5] refl, simp-all*)
done

lemma *setsum-cong*:

$\llbracket A=B; \bigwedge x. x \in B \implies f(x) = g(x) \rrbracket \implies$
 $setsum(f, A) = setsum(g, B)$

by (*simp add: setsum-def cong add: fold-cong*)

lemma *setsum-Un*:

$\llbracket Finite(A); Finite(B) \rrbracket$
 $\implies setsum(f, A \cup B) =$
 $setsum(f, A) \# + setsum(f, B) \# - setsum(f, A \cap B)$

apply (*subst setsum-Un-Int [symmetric], auto*)
done

lemma *setsum-zneg-or-0* [*rule-format (no-asm)*]:

$Finite(A) \implies (\forall x \in A. g(x) \# \leq \#0) \longrightarrow setsum(g, A) \# \leq \#0$

apply (*erule Finite-induct*)
apply (*auto intro: zneg-or-0-add-zneg-or-0-imp-zneg-or-0*)
done

lemma *setsum-succD-lemma* [*rule-format*]:

$Finite(A)$
 $\implies \forall n \in nat. setsum(f, A) = \# succ(n) \longrightarrow (\exists a \in A. \#0 \# < f(a))$

apply (*erule Finite-induct*)
apply (*auto simp del: int-of-0 int-of-succ simp add: not-zless-iff-zle int-of-0 [symmetric]*)
apply (*subgoal-tac setsum (f, B) \# \leq \#0*)
apply *simp-all*
prefer 2 **apply** (*blast intro: setsum-zneg-or-0*)
apply (*subgoal-tac \# 1 \# \leq f (x) \# + setsum (f, B))*)
apply (*drule zdiff-zle-iff [THEN iffD2]*)
apply (*subgoal-tac \# 1 \# \leq \# 1 \# - setsum (f, B))*)
apply (*drule-tac x = \# 1 in zle-trans*)
apply (*rule-tac [2] j = \#1 in zless-zle-trans, auto*)
done

lemma *setsum-succD*:

$\llbracket setsum(f, A) = \# succ(n); n \in nat \rrbracket \implies \exists a \in A. \#0 \# < f(a)$

apply (*case-tac Finite (A))*)

apply (*blast intro: setsum-succD-lemma*)
unfolding *setsum-def*
apply (*auto simp del: int-of-0 int-of-succ simp add: int-succ-int-1 [symmetric]*
int-of-0 [symmetric])
done

lemma *g-zpos-imp-setsum-zpos* [*rule-format*]:
 $Finite(A) \implies (\forall x \in A. \#0 \ \$ \leq g(x)) \longrightarrow \#0 \ \$ \leq setsum(g, A)$
apply (*erule Finite-induct*)
apply (*simp (no-asm)*)
apply (*auto intro: zpos-add-zpos-imp-zpos*)
done

lemma *g-zpos-imp-setsum-zpos2* [*rule-format*]:
 $\llbracket Finite(A); \forall x. \#0 \ \$ \leq g(x) \rrbracket \implies \#0 \ \$ \leq setsum(g, A)$
apply (*erule Finite-induct*)
apply (*auto intro: zpos-add-zpos-imp-zpos*)
done

lemma *g-zspos-imp-setsum-zspos* [*rule-format*]:
 $Finite(A) \implies (\forall x \in A. \#0 \ \$ < g(x)) \longrightarrow A \neq 0 \longrightarrow (\#0 \ \$ < setsum(g, A))$
apply (*erule Finite-induct*)
apply (*auto intro: zspos-add-zspos-imp-zspos*)
done

lemma *setsum-Diff* [*rule-format*]:
 $Finite(A) \implies \forall a. M(a) = \#0 \longrightarrow setsum(M, A) = setsum(M, A - \{a\})$
apply (*erule Finite-induct*)
apply (*simp-all add: Diff-cons-eq Finite-Diff*)
done

end

8 The accessible part of a relation

theory *Acc* **imports** *ZF* **begin**

Inductive definition of $acc(r)$; see [3].

consts

$acc :: i \Rightarrow i$

inductive

domains $acc(r) \subseteq field(r)$

intros

vmage: $\llbracket r - \{a\}; Pow(acc(r)); a \in field(r) \rrbracket \implies a \in acc(r)$

monos *Pow-mono*

The introduction rule must require $a \in field(r)$, otherwise $acc(r)$ would be

a proper class!

The intended introduction rule:

lemma *accI*: $\llbracket \bigwedge b. \langle b, a \rangle : r \implies b \in \text{acc}(r); a \in \text{field}(r) \rrbracket \implies a \in \text{acc}(r)$
by (*blast intro: acc.intros*)

lemma *acc-downward*: $\llbracket b \in \text{acc}(r); \langle a, b \rangle : r \rrbracket \implies a \in \text{acc}(r)$
by (*erule acc.cases*) *blast*

lemma *acc-induct* [*consumes 1, case-names vimage, induct set: acc*]:
 $\llbracket a \in \text{acc}(r);$
 $\bigwedge x. \llbracket x \in \text{acc}(r); \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \implies P(x)$
 $\rrbracket \implies P(a)$
by (*erule acc.induct*) (*blast intro: acc.intros*)

lemma *wf-on-acc*: $\text{wf}[\text{acc}(r)](r)$
apply (*rule wf-onI2*)
apply (*erule acc-induct*)
apply *fast*
done

lemma *acc-wfI*: $\text{field}(r) \subseteq \text{acc}(r) \implies \text{wf}(r)$
by (*erule wf-on-acc* [*THEN wf-on-subset-A, THEN wf-on-field-imp-wf*])

lemma *acc-wfD*: $\text{wf}(r) \implies \text{field}(r) \subseteq \text{acc}(r)$
apply (*rule subsetI*)
apply (*erule wf-induct2, assumption*)
apply (*blast intro: accI*)
done

lemma *wf-acc-iff*: $\text{wf}(r) \longleftrightarrow \text{field}(r) \subseteq \text{acc}(r)$
by (*rule iffI, erule acc-wfD, erule acc-wfI*)

end

theory *Multiset*
imports *FoldSet Acc*
begin

abbreviation (*input*)
— Short cut for multiset space
Mult :: $i \Rightarrow i$ **where**
 $\text{Mult}(A) \equiv A -||> \text{nat} - \{0\}$

definition

funrestrict :: $[i, i] \Rightarrow i$ **where**
 $\text{funrestrict}(f, A) \equiv \lambda x \in A. f'x$

definition

multiset :: $i \Rightarrow o$ **where**
multiset(M) $\equiv \exists A. M \in A \rightarrow \text{nat} - \{0\} \wedge \text{Finite}(A)$

definition

mset-of :: $i \Rightarrow i$ **where**
mset-of(M) $\equiv \text{domain}(M)$

definition

munion :: $[i, i] \Rightarrow i$ (**infixl** $\langle +\# \rangle$ 65) **where**
 $M +\# N \equiv \lambda x \in \text{mset-of}(M) \cup \text{mset-of}(N).$
 if $x \in \text{mset-of}(M) \cap \text{mset-of}(N)$ then $(M'x) \# + (N'x)$
 else (if $x \in \text{mset-of}(M)$ then $M'x$ else $N'x$)

definition

normalize :: $i \Rightarrow i$ **where**
normalize(f) \equiv
 if $(\exists A. f \in A \rightarrow \text{nat} \wedge \text{Finite}(A))$ then
 $\text{funrestrict}(f, \{x \in \text{mset-of}(f). 0 < f'x\})$
 else 0

definition

mdiff :: $[i, i] \Rightarrow i$ (**infixl** $\langle -\# \rangle$ 65) **where**
 $M -\# N \equiv \text{normalize}(\lambda x \in \text{mset-of}(M).$
 if $x \in \text{mset-of}(N)$ then $M'x \# - N'x$ else $M'x$)

definition

msingle :: $i \Rightarrow i$ ($\langle \{\#-\#\} \rangle$) **where**
 $\{\#a\# \equiv \{ \langle a, 1 \rangle \}$

definition

MCollect :: $[i, i \Rightarrow o] \Rightarrow i$ **where**
MCollect(M, P) $\equiv \text{funrestrict}(M, \{x \in \text{mset-of}(M). P(x)\})$

definition

mcount :: $[i, i] \Rightarrow i$ **where**
mcount(M, a) \equiv if $a \in \text{mset-of}(M)$ then $M'a$ else 0

definition

msize :: $i \Rightarrow i$ **where**
msize(M) $\equiv \text{setsum}(\lambda a. \#\# \text{mcount}(M, a), \text{mset-of}(M))$

abbreviation

melem :: $[i, i] \Rightarrow o$ ($\langle (-/ :\# -) \rangle$ [50, 51] 50) **where**

$a :\# M \equiv a \in \text{mset-of}(M)$

syntax

$\text{-MColl} :: [pttrn, i, o] \Rightarrow i \langle (1\{\# - \in -./ -\#\}) \rangle$

translations

$\{\#x \in M. P\# \} == \text{CONST MCollect}(M, \lambda x. P)$

definition

$\text{multirel1} :: [i, i] \Rightarrow i$ **where**
 $\text{multirel1}(A, r) \equiv$
 $\{ \langle M, N \rangle \in \text{Mult}(A) * \text{Mult}(A).$
 $\exists a \in A. \exists M0 \in \text{Mult}(A). \exists K \in \text{Mult}(A).$
 $N = M0 +\# \{ \#a\# \} \wedge M = M0 +\# K \wedge (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \}$

definition

$\text{multirel} :: [i, i] \Rightarrow i$ **where**
 $\text{multirel}(A, r) \equiv \text{multirel1}(A, r) \hat{+}$

definition

$\text{omultiset} :: i \Rightarrow o$ **where**
 $\text{omultiset}(M) \equiv \exists i. \text{Ord}(i) \wedge M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$

definition

$\text{mless} :: [i, i] \Rightarrow o$ (**infixl** $\langle \langle \# \rangle 50$) **where**
 $M <\# N \equiv \exists i. \text{Ord}(i) \wedge \langle M, N \rangle \in \text{multirel}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$

definition

$\text{mle} :: [i, i] \Rightarrow o$ (**infixl** $\langle \langle \# \Rightarrow \rangle 50$) **where**
 $M <\# = N \equiv (\text{omultiset}(M) \wedge M = N) \mid M <\# N$

8.1 Properties of the original "restrict" from ZF.thy

lemma *funrestrict-subset*: $\llbracket f \in \text{Pi}(C, B); A \subseteq C \rrbracket \Longrightarrow \text{funrestrict}(f, A) \subseteq f$
by (*auto simp add: funrestrict-def lam-def intro: apply-Pair*)

lemma *funrestrict-type*:

$\llbracket \bigwedge x. x \in A \Longrightarrow f'x \in B(x) \rrbracket \Longrightarrow \text{funrestrict}(f, A) \in \text{Pi}(A, B)$
by (*simp add: funrestrict-def lam-type*)

lemma *funrestrict-type2*: $\llbracket f \in \text{Pi}(C, B); A \subseteq C \rrbracket \Longrightarrow \text{funrestrict}(f, A) \in \text{Pi}(A, B)$
by (*blast intro: apply-type funrestrict-type*)

lemma *funrestrict [simp]*: $a \in A \Longrightarrow \text{funrestrict}(f, A) 'a = f'a$
by (*simp add: funrestrict-def*)

lemma *funrestrict-empty* [*simp*]: $\text{funrestrict}(f, 0) = 0$
by (*simp add: funrestrict-def*)

lemma *domain-funrestrict* [*simp*]: $\text{domain}(\text{funrestrict}(f, C)) = C$
by (*auto simp add: funrestrict-def lam-def*)

lemma *fun-cons-funrestrict-eq*:
 $f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f \text{ ` } a \rangle, \text{funrestrict}(f, b))$
apply (*rule equalityI*)
prefer 2 **apply** (*blast intro: apply-Pair funrestrict-subset [THEN subsetD]*)
apply (*auto dest!: Pi-memberD simp add: funrestrict-def lam-def*)
done

declare *domain-of-fun* [*simp*]
declare *domainE* [*rule del*]

A useful simplification rule

lemma *multiset-fun-iff*:
 $(f \in A \rightarrow \text{nat} - \{0\}) \longleftrightarrow f \in A \rightarrow \text{nat} \wedge (\forall a \in A. f \text{ ` } a \in \text{nat} \wedge 0 < f \text{ ` } a)$
apply *safe*
apply (*rule-tac [4] B1 = range (f) in Pi-mono [THEN subsetD]*)
apply (*auto intro!: Ord-0-lt*
dest: apply-type Diff-subset [THEN Pi-mono, THEN subsetD]
simp add: range-of-fun apply-iff)
done

lemma *multiset-into-Mult*: $\llbracket \text{multiset}(M); \text{mset-of}(M) \subseteq A \rrbracket \implies M \in \text{Mult}(A)$
apply (*simp add: multiset-def*)
apply (*auto simp add: multiset-fun-iff mset-of-def*)
apply (*rule-tac B1 = nat - {0} in FiniteFun-mono [THEN subsetD], simp-all*)
apply (*rule Finite-into-Fin [THEN [2] Fin-mono [THEN subsetD], THEN fun-FiniteFunI]*)
apply (*simp-all (no-asm-simp) add: multiset-fun-iff*)
done

lemma *Mult-into-multiset*: $M \in \text{Mult}(A) \implies \text{multiset}(M) \wedge \text{mset-of}(M) \subseteq A$
apply (*simp add: multiset-def mset-of-def*)
apply (*frule FiniteFun-is-fun*)
apply (*drule FiniteFun-domain-Fin*)
apply (*frule FinD, clarify*)
apply (*rule-tac x = domain (M) in exI*)
apply (*blast intro: Fin-into-Finite*)
done

lemma *Mult-iff-multiset*: $M \in \text{Mult}(A) \longleftrightarrow \text{multiset}(M) \wedge \text{mset-of}(M) \subseteq A$
by (*blast dest: Mult-into-multiset intro: multiset-into-Mult*)

lemma *multiset-iff-Mult-mset-of*: $\text{multiset}(M) \longleftrightarrow M \in \text{Mult}(\text{mset-of}(M))$

by (*auto simp add: Mult-iff-multiset*)

The *multiset* operator

lemma *multiset-0 [simp]: multiset(0)*

by (*auto intro: FiniteFun.intros simp add: multiset-iff-Mult-mset-of*)

The *mset-of* operator

lemma *multiset-set-of-Finite [simp]: multiset(M) \implies Finite(mset-of(M))*

by (*simp add: multiset-def mset-of-def, auto*)

lemma *mset-of-0 [iff]: mset-of(0) = 0*

by (*simp add: mset-of-def*)

lemma *mset-is-0-iff: multiset(M) \implies mset-of(M)=0 \longleftrightarrow M=0*

by (*auto simp add: multiset-def mset-of-def*)

lemma *mset-of-single [iff]: mset-of({#a#}) = {a}*

by (*simp add: msingle-def mset-of-def*)

lemma *mset-of-union [iff]: mset-of(M +# N) = mset-of(M) \cup mset-of(N)*

by (*simp add: mset-of-def munion-def*)

lemma *mset-of-diff [simp]: mset-of(M) \subseteq A \implies mset-of(M -# N) \subseteq A*

by (*auto simp add: mdiff-def multiset-def normalize-def mset-of-def*)

lemma *msingle-not-0 [iff]: {#a#} \neq 0 \wedge 0 \neq {#a#}*

by (*simp add: msingle-def*)

lemma *msingle-eq-iff [iff]: ({#a#} = {#b#}) \longleftrightarrow (a = b)*

by (*simp add: msingle-def*)

lemma *msingle-multiset [iff, TC]: multiset({#a#})*

apply (*simp add: multiset-def msingle-def*)

apply (*rule-tac x = {a} in exI*)

apply (*auto intro: Finite-cons Finite-0 fun-extend3*)

done

lemmas *Collect-Finite = Collect-subset [THEN subset-Finite]*

lemma *normalize-idem [simp]: normalize(normalize(f)) = normalize(f)*

apply (*simp add: normalize-def funrestrict-def mset-of-def*)

apply (*case-tac $\exists A. f \in A \rightarrow nat \wedge Finite(A)$*)

apply *clarify*

apply (*drule-tac x = {x \in domain (f) . 0 < f ' x} in spec*)

apply *auto*

apply (*auto intro!*: lam-type simp add: Collect-Finite)
done

lemma *normalize-multiset* [simp]: $\text{multiset}(M) \implies \text{normalize}(M) = M$
by (*auto simp add: multiset-def normalize-def mset-of-def funrestrict-def multiset-fun-iff*)

lemma *multiset-normalize* [simp]: $\text{multiset}(\text{normalize}(f))$
apply (*simp add: normalize-def*)
apply (*simp add: normalize-def mset-of-def multiset-def, auto*)
apply (*rule-tac x = {x ∈ A . 0 < f'x} in exI*)
apply (*auto intro: Collect-subset [THEN subset-Finite] funrestrict-type*)
done

lemma *munion-multiset* [simp]: $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies \text{multiset}(M \text{ +\# } N)$
apply (*unfold multiset-def munion-def mset-of-def, auto*)
apply (*rule-tac x = A ∪ Aa in exI*)
apply (*auto intro! lam-type intro: Finite-Un simp add: multiset-fun-iff zero-less-add*)
done

lemma *mdiff-multiset* [simp]: $\text{multiset}(M \text{ -\# } N)$
by (*simp add: mdiff-def*)

lemma *munion-0* [simp]: $\text{multiset}(M) \implies M \text{ +\# } 0 = M \wedge 0 \text{ +\# } M = M$
apply (*simp add: multiset-def*)
apply (*auto simp add: munion-def mset-of-def*)
done

lemma *munion-commute*: $M \text{ +\# } N = N \text{ +\# } M$
by (*auto intro! lam-cong simp add: munion-def*)

lemma *munion-assoc*: $(M \text{ +\# } N) \text{ +\# } K = M \text{ +\# } (N \text{ +\# } K)$
unfolding *munion-def mset-of-def*
apply (*rule lam-cong, auto*)
done

lemma *munion-lcommute*: $M \text{ +\# } (N \text{ +\# } K) = N \text{ +\# } (M \text{ +\# } K)$
unfolding *munion-def mset-of-def*

apply (*rule lam-cong, auto*)
done

lemmas *munion-ac = munion-commute munion-assoc munion-lcommute*

lemma *mdiff-self-eq-0 [simp]: $M -\# M = 0$*
by (*simp add: mdiff-def normalize-def mset-of-def*)

lemma *mdiff-0 [simp]: $0 -\# M = 0$*
by (*simp add: mdiff-def normalize-def*)

lemma *mdiff-0-right [simp]: $\text{multiset}(M) \implies M -\# 0 = M$*
by (*auto simp add: multiset-def mdiff-def normalize-def multiset-fun-iff mset-of-def funrestrict-def*)

lemma *mdiff-union-inverse2 [simp]: $\text{multiset}(M) \implies M +\# \{\#a\# \} -\# \{\#a\# \} = M$*

unfolding *multiset-def munion-def mdiff-def msingle-def normalize-def mset-of-def*
apply (*auto cong add: if-cong simp add: ltD multiset-fun-iff funrestrict-def subset-Un-iff2 [THEN iffD1]*)

prefer 2 **apply** (*force intro!: lam-type*)

apply (*subgoal-tac [2] $\{x \in A \cup \{a\} . x \neq a \wedge x \in A\} = A$*)

apply (*rule fun-extension, auto*)

apply (*drule-tac $x = A \cup \{a\}$ in spec*)

apply (*simp add: Finite-Un*)

apply (*force intro!: lam-type*)

done

lemma *mcount-type [simp,TC]: $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$*
by (*auto simp add: multiset-def mcount-def mset-of-def multiset-fun-iff*)

lemma *mcount-0 [simp]: $\text{mcount}(0, a) = 0$*
by (*simp add: mcount-def*)

lemma *mcount-single [simp]: $\text{mcount}(\{\#b\# \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$*
by (*simp add: mcount-def mset-of-def msingle-def*)

lemma *mcount-union [simp]: $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies \text{mcount}(M +\# N, a) = \text{mcount}(M, a) \#+ \text{mcount}(N, a)$*
apply (*auto simp add: multiset-def multiset-fun-iff mcount-def munion-def mset-of-def*)
done

lemma *mcount-diff [simp]: $\text{multiset}(M) \implies \text{mcount}(M -\# N, a) = \text{mcount}(M, a) \#- \text{mcount}(N, a)$*
apply (*simp add: multiset-def*)

```

apply (auto dest!: not-lt-imp-le
  simp add: mdiff-def multiset-fun-iff mcount-def normalize-def mset-of-def)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

```

```

lemma mcount-elem:  $\llbracket \text{multiset}(M); a \in \text{mset-of}(M) \rrbracket \implies 0 < \text{mcount}(M, a)$ 
apply (simp add: multiset-def, clarify)
apply (simp add: mcount-def mset-of-def)
apply (simp add: multiset-fun-iff)
done

```

```

lemma msize-0 [simp]:  $\text{msize}(0) = \#0$ 
by (simp add: msize-def)

```

```

lemma msize-single [simp]:  $\text{msize}(\{\#a\}) = \#1$ 
by (simp add: msize-def)

```

```

lemma msize-type [simp,TC]:  $\text{msize}(M) \in \text{int}$ 
by (simp add: msize-def)

```

```

lemma msize-zpositive:  $\text{multiset}(M) \implies \#0 \leq \text{msize}(M)$ 
by (auto simp add: msize-def intro: g-zpos-imp-setsum-zpos)

```

```

lemma msize-int-of-nat:  $\text{multiset}(M) \implies \exists n \in \text{nat}. \text{msize}(M) = \#n$ 
apply (rule not-zneg-int-of)
apply (simp-all (no-asm-simp) add: msize-type [THEN znegative-iff-zless-0] not-zless-iff-zle
  msize-zpositive)
done

```

```

lemma not-empty-multiset-imp-exist:
   $\llbracket M \neq 0; \text{multiset}(M) \rrbracket \implies \exists a \in \text{mset-of}(M). 0 < \text{mcount}(M, a)$ 
apply (simp add: multiset-def)
apply (erule not-emptyE)
apply (auto simp add: mset-of-def mcount-def multiset-fun-iff)
apply (blast dest!: fun-is-rel)
done

```

```

lemma msize-eq-0-iff:  $\text{multiset}(M) \implies \text{msize}(M) = \#0 \iff M = 0$ 
apply (simp add: msize-def, auto)
apply (rule-tac  $P = \text{setsum}(u, v) \neq \#0$  for  $u v$  in swap)
apply blast
apply (drule not-empty-multiset-imp-exist, assumption, clarify)
apply (subgoal-tac Finite (mset-of (M) - {a}) )
  prefer 2 apply (simp add: Finite-Diff)
apply (subgoal-tac  $\text{setsum}(\lambda x. \# \text{mcount}(M, x), \text{cons}(a, \text{mset-of}(M) - \{a\})) = \#0$ )
  prefer 2 apply (simp add: cons-Diff, simp)

```

```

apply (subgoal-tac #0 $≤ setsum (λx. $# mcount (M, x), mset-of (M) - {a}) )
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
apply (auto simp add: Finite-Diff not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0
[THEN iff-sym])
apply (rule not-zneg-int-of [THEN bexE])
apply (auto simp del: int-of-0 simp add: int-of-add [symmetric] int-of-0 [symmetric])
done

```

lemma *setsum-mcount-Int*:

$$\text{Finite}(A) \implies \text{setsum}(\lambda a. \#\text{mcount}(N, a), A \cap \text{mset-of}(N)) \\ = \text{setsum}(\lambda a. \#\text{mcount}(N, a), A)$$

```

apply (induct rule: Finite-induct)
apply auto
apply (subgoal-tac Finite (B ∩ mset-of (N)))
prefer 2 apply (blast intro: subset-Finite)
apply (auto simp add: mcount-def Int-cons-left)
done

```

lemma *mset-union [simp]*:

$$\llbracket \text{mset}(M); \text{mset}(N) \rrbracket \implies \text{mset}(M +\# N) = \text{mset}(M) \$+ \text{mset}(N)$$

```

apply (simp add: mset-def setsum-Un setsum-addf int-of-add setsum-mcount-Int)
apply (subst Int-commute)
apply (simp add: setsum-mcount-Int)
done

```

lemma *mset-eq-succ-imp-lem*: $\llbracket \text{mset}(M) = \#\text{succ}(n); n \in \text{nat} \rrbracket \implies \exists a. a \in \text{mset-of}(M)$

```

unfolding mset-def
apply (blast dest: setsum-succD)
done

```

lemma *equality-lemma*:

$$\llbracket \text{mset}(M); \text{mset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a) \rrbracket \\ \implies \text{mset-of}(M) = \text{mset-of}(N)$$

```

apply (simp add: mset-def)
apply (rule sym, rule equalityI)
apply (auto simp add: mset-fun-iff mcount-def mset-of-def)
apply (drule-tac [!] x=x in spec)
apply (case-tac [2] x ∈ Aa, case-tac x ∈ A, auto)
done

```

lemma *mset-equality*:

$$\llbracket \text{mset}(M); \text{mset}(N) \rrbracket \implies M = N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N, a))$$

```

apply auto
apply (subgoal-tac mset-of (M) = mset-of (N) )
prefer 2 apply (blast intro: equality-lemma)
apply (simp add: mset-def mset-of-def)

```



```

apply (auto simp add: multiset-fun-iff)
apply (rule fun-extension)
apply (blast, blast)
apply (drule-tac  $x = x$  in spec)
apply (auto simp add: mcount-def mset-of-def)
done

```

```

lemma munion-eq-0-iff [simp]:  $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (M \# N = 0) \longleftrightarrow (M=0 \wedge N=0)$ 
by (auto simp add: multiset-equality)

```

```

lemma empty-eq-munion-iff [simp]:  $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (0 = M \# N) \longleftrightarrow (M=0 \wedge N=0)$ 
apply (rule iffI, drule sym)
apply (simp-all add: multiset-equality)
done

```

```

lemma munion-right-cancel [simp]:
 $\llbracket \text{multiset}(M); \text{multiset}(N); \text{multiset}(K) \rrbracket \implies (M \# K = N \# K) \longleftrightarrow (M=N)$ 
by (auto simp add: multiset-equality)

```

```

lemma munion-left-cancel [simp]:
 $\llbracket \text{multiset}(K); \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (K \# M = K \# N) \longleftrightarrow (M = N)$ 
by (auto simp add: multiset-equality)

```

```

lemma nat-add-eq-1-cases:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies (m \# n = 1) \longleftrightarrow (m=1 \wedge n=0) \mid (m=0 \wedge n=1)$ 
by (induct-tac n) auto

```

```

lemma munion-is-single:
 $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (M \# N = \{a\}) \longleftrightarrow (M = \{a\} \wedge N=0) \mid (M = 0 \wedge N = \{a\})$ 
apply (simp (no-asm-simp) add: multiset-equality)
apply safe
apply simp-all
apply (case-tac aa=a)
apply (drule-tac [2]  $x = aa$  in spec)
apply (drule-tac  $x = a$  in spec)
apply (simp add: nat-add-eq-1-cases, simp)
apply (case-tac aaa=aa, simp)
apply (drule-tac  $x = aa$  in spec)
apply (simp add: nat-add-eq-1-cases)
apply (case-tac aaa=a)
apply (drule-tac [4]  $x = aa$  in spec)
apply (drule-tac [3]  $x = a$  in spec)

```

apply (*drule-tac* [2] $x = aaa$ **in** *spec*)
apply (*drule-tac* $x = aa$ **in** *spec*)
apply (*simp-all* *add: nat-add-eq-1-cases*)
done

lemma *msingle-is-union*: $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket$
 $\implies (\{ \#a\# \} = M +\# N) \longleftrightarrow (\{ \#a\# \} = M \wedge N=0 \mid M = 0 \wedge \{ \#a\# \} = N)$
apply (*subgoal-tac* ($\{ \#a\# \} = M +\# N) \longleftrightarrow (M +\# N = \{ \#a\# \})$)
apply (*simp* (*no-asm-simp*) *add: munion-is-single*)
apply *blast*
apply (*blast* *dest: sym*)
done

lemma *setsum-decr*:
 $\text{Finite}(A)$
 $\implies (\forall M. \text{multiset}(M) \longrightarrow$
 $(\forall a \in \text{mset-of}(M). \text{setsum}(\lambda z. \$\# \text{mcount}(M(a:=M'a \#- 1), z), A) =$
 $(\text{if } a \in A \text{ then } \text{setsum}(\lambda z. \$\# \text{mcount}(M, z), A) \$- \#1$
 $\text{ else } \text{setsum}(\lambda z. \$\# \text{mcount}(M, z), A))))$
unfolding *multiset-def*
apply (*erule* *Finite-induct*)
apply (*auto simp* *add: multiset-fun-iff*)
unfolding *mset-of-def* *mcount-def*
apply (*case-tac* $x \in A$, *auto*)
apply (*subgoal-tac* $\$ \# M ' x \$ + \# - 1 = \$ \# M ' x \$ - \$ \# 1$)
apply (*erule* *ssubst*)
apply (*rule* *int-of-diff*, *auto*)
done

lemma *setsum-decr2*:
 $\text{Finite}(A)$
 $\implies \forall M. \text{multiset}(M) \longrightarrow (\forall a \in \text{mset-of}(M).$
 $\text{setsum}(\lambda x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A) =$
 $(\text{if } a \in A \text{ then } \text{setsum}(\lambda x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$
 $\text{ else } \text{setsum}(\lambda x. \$\# \text{mcount}(M, x), A)))$
apply (*simp* *add: multiset-def*)
apply (*erule* *Finite-induct*)
apply (*auto simp* *add: multiset-fun-iff* *mcount-def* *mset-of-def*)
done

lemma *setsum-decr3*: $\llbracket \text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M) \rrbracket$
 $\implies \text{setsum}(\lambda x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\})$
 $=$
 $(\text{if } a \in A \text{ then } \text{setsum}(\lambda x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$
 $\text{ else } \text{setsum}(\lambda x. \$\# \text{mcount}(M, x), A))$
apply (*subgoal-tac* $\text{setsum}(\lambda x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\})$
 $= \text{setsum}(\lambda x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A)$)

```

apply (rule-tac [2] setsum-Diff [symmetric])
apply (rule sym, rule ssubst, blast)
apply (rule sym, drule setsum-decr2, auto)
apply (simp add: mcount-def mset-of-def)
done

```

```

lemma nat-le-1-cases:  $n \in \text{nat} \implies n \leq 1 \iff (n=0 \mid n=1)$ 
by (auto elim: natE)

```

```

lemma succ-pred-eq-self:  $\llbracket 0 < n; n \in \text{nat} \rrbracket \implies \text{succ}(n \#- 1) = n$ 
apply (subgoal-tac  $1 \leq n$ )
apply (drule add-diff-inverse2, auto)
done

```

Specialized for use in the proof below.

```

lemma multiset-funrestrict:
   $\llbracket \forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A) \rrbracket$ 
   $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$ 
apply (simp add: multiset-def multiset-fun-iff)
apply (rule-tac  $x=A-\{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type)
done

```

```

lemma multiset-induct-aux:
  assumes prem1:  $\bigwedge M a. \llbracket \text{multiset}(M); a \notin \text{mset-of}(M); P(M) \rrbracket \implies P(\text{cons}(\langle a, 1 \rangle, M))$ 
  and prem2:  $\bigwedge M b. \llbracket \text{multiset}(M); b \in \text{mset-of}(M); P(M) \rrbracket \implies P(M(b := M \text{ ' } b \#+ 1))$ 
  shows
     $\llbracket n \in \text{nat}; P(0) \rrbracket$ 
     $\implies (\forall M. \text{multiset}(M) \longrightarrow$ 
       $(\text{setsum}(\lambda x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M \text{ ' } x\}) = \$\# n) \longrightarrow P(M))$ 
apply (erule nat-induct, clarify)
apply (frule msize-eq-0-iff)
apply (auto simp add: mset-of-def multiset-def multiset-fun-iff msize-def)
apply (subgoal-tac setsum  $(\lambda x. \$\# \text{mcount}(M, x), A) = \$\# \text{succ}(x)$ )
apply (drule setsum-succD, auto)
apply (case-tac  $1 < M \text{ ' } a$ )
apply (drule-tac [2] not-lt-imp-le)
apply (simp-all add: nat-le-1-cases)
apply (subgoal-tac  $M = (M (a := M \text{ ' } a \#- 1)) (a := (M (a := M \text{ ' } a \#- 1)) \text{ ' } a \#+ 1)$ )
apply (rule-tac [2]  $A = A$  and  $B = \lambda x. \text{nat}$  and  $D = \lambda x. \text{nat}$  in fun-extension)
apply (rule-tac [3] update-type)+
apply (simp-all (no-asm-simp))
  apply (rule-tac [2] impI)
  apply (rule-tac [2] succ-pred-eq-self [symmetric])
apply (simp-all (no-asm-simp))
apply (rule subst, rule sym, blast, rule prem2)

```

```

apply (simp (no-asm) add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A$  in exI)
apply (force intro: update-type)
apply (simp (no-asm-simp) add: mset-of-def mcount-def)
apply (drule-tac  $x = M$  ( $a := M$  ‘  $a \# - 1$ ) in spec)
apply (drule mp, drule-tac [2] mp, simp-all)
apply (rule-tac  $x = A$  in exI)
apply (auto intro: update-type)
apply (subgoal-tac Finite ( $\{x \in \text{cons } (a, A) . x \neq a \rightarrow 0 < M'x\}$ ))
prefer 2 apply (blast intro: Collect-subset [THEN subset-Finite] Finite-cons)
apply (drule-tac  $A = \{x \in \text{cons } (a, A) . x \neq a \rightarrow 0 < M'x\}$  in setsum-decr)
apply (drule-tac  $x = M$  in spec)
apply (subgoal-tac multiset ( $M$ ))
prefer 2
apply (simp add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A$  in exI, force)
apply (simp-all add: mset-of-def)
apply (drule-tac  $\text{psi} = \forall x \in A. u(x)$  for  $u$  in asm-rl)
apply (drule-tac  $x = a$  in bspec)
apply (simp (no-asm-simp))
apply (subgoal-tac  $\text{cons } (a, A) = A$ )
prefer 2 apply blast
apply simp
apply (subgoal-tac  $M = \text{cons } (<a, M'a>, \text{funrestrict } (M, A - \{a\}))$ )
prefer 2
apply (rule fun-cons-funrestrict-eq)
apply (subgoal-tac  $\text{cons } (a, A - \{a\}) = A$ )
apply force
apply force
apply (rule-tac  $a = \text{cons } (<a, 1>, \text{funrestrict } (M, A - \{a\}))$  in ssubst)
apply simp
apply (frule multiset-funrestrict, assumption)
apply (rule prem1, assumption)
apply (simp add: mset-of-def)
apply (drule-tac  $x = \text{funrestrict } (M, A - \{a\})$  in spec)
apply (drule mp)
apply (rule-tac  $x = A - \{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type simp add: funrestrict)
apply (frule-tac  $A = A$  and  $M = M$  and  $a = a$  in setsum-decr3)
apply (simp (no-asm-simp) add: multiset-def multiset-fun-iff)
apply blast
apply (simp (no-asm-simp) add: mset-of-def)
apply (drule-tac  $b = \text{if } u \text{ then } v \text{ else } w$  for  $u$   $v$   $w$  in sym, simp-all)
apply (subgoal-tac  $\{x \in A - \{a\} . 0 < \text{funrestrict } (M, A - \{x\}) 'x\} = A - \{a\}$ )
apply (auto intro!: setsum-cong simp add: zdiff-eq-iff zadd-commute multiset-def multiset-fun-iff mset-of-def)
done

```

lemma *multiset-induct2*:

```

[[multiset(M); P(0);
  (∧ M a. [[multiset(M); a ∉ mset-of(M); P(M)] ⇒ P(cons(⟨a, 1⟩, M))];
  (∧ M b. [[multiset(M); b ∈ mset-of(M); P(M)] ⇒ P(M(b:= M*b #+ 1))]]
  ⇒ P(M)
apply (subgoal-tac ∃ n ∈ nat. setsum (λx. $# mcount (M, x), {x ∈ mset-of (M)
. 0 < M ' x} = $# n)
apply (rule-tac [2] not-zneg-int-of)
apply (simp-all (no-asm-simp) add: znegative-iff-zless-0 not-zless-iff-zle)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
prefer 2 apply (blast intro: multiset-set-of-Finite Collect-subset [THEN sub-
set-Finite])
prefer 2 apply (simp add: multiset-def multiset-fun-iff, clarify)
apply (rule multiset-induct-aux [rule-format], auto)
done

```

lemma *munion-single-case1*:

```

[[multiset(M); a ∉ mset-of(M)] ⇒ M +# {#a#} = cons(⟨a, 1⟩, M)
apply (simp add: multiset-def msingle-def)
apply (auto simp add: munion-def)
apply (unfold mset-of-def, simp)
apply (rule fun-extension, rule lam-type, simp-all)
apply (auto simp add: multiset-fun-iff fun-extend-apply)
apply (drule-tac c = a and b = 1 in fun-extend3)
apply (auto simp add: cons-eq Un-commute [of - {a}])
done

```

lemma *munion-single-case2*:

```

[[multiset(M); a ∈ mset-of(M)] ⇒ M +# {#a#} = M(a:=M'a #+ 1)
apply (simp add: multiset-def)
apply (auto simp add: munion-def multiset-fun-iff msingle-def)
apply (unfold mset-of-def, simp)
apply (subgoal-tac A ∪ {a} = A)
apply (rule fun-extension)
apply (auto dest: domain-type intro: lam-type update-type)
done

```

lemma *multiset-induct*:

```

assumes M: multiset(M)
and P0: P(0)
and step: ∧ M a. [[multiset(M); P(M)] ⇒ P(M +# {#a#})]
shows P(M)
apply (rule multiset-induct2 [OF M])
apply (simp-all add: P0)
apply (frule-tac [2] a = b in munion-single-case2 [symmetric])
apply (frule-tac a = a in munion-single-case1 [symmetric])
apply (auto intro: step)
done

```

lemma *MCollect-multiset* [*simp*]:
 $\text{multiset}(M) \implies \text{multiset}(\{\# x \in M. P(x)\# \})$
apply (*simp add: MCollect-def multiset-def mset-of-def, clarify*)
apply (*rule-tac x = {x ∈ A. P(x)} in exI*)
apply (*auto dest: CollectD1 [THEN [2] apply-type]*)
intro: Collect-subset [THEN subset-Finite] funrestrict-type)
done

lemma *mset-of-MCollect* [*simp*]:
 $\text{multiset}(M) \implies \text{mset-of}(\{\# x \in M. P(x)\# \}) \subseteq \text{mset-of}(M)$
by (*auto simp add: mset-of-def MCollect-def multiset-def funrestrict-def*)

lemma *MCollect-mem-iff* [*iff*]:
 $x \in \text{mset-of}(\{\#x \in M. P(x)\# \}) \iff x \in \text{mset-of}(M) \wedge P(x)$
by (*simp add: MCollect-def mset-of-def*)

lemma *mcount-MCollect* [*simp*]:
 $\text{mcount}(\{\# x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$
by (*simp add: mcount-def MCollect-def mset-of-def*)

lemma *multiset-partition*: $\text{multiset}(M) \implies M = \{\# x \in M. P(x)\# \} +\# \{\# x \in M. \neg P(x)\# \}$
by (*simp add: multiset-equality*)

lemma *natify-elem-is-self* [*simp*]:
 $\llbracket \text{multiset}(M); a \in \text{mset-of}(M) \rrbracket \implies \text{natify}(M'a) = M'a$
by (*auto simp add: multiset-def mset-of-def multiset-fun-iff*)

lemma *munion-eq-conv-diff*: $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket$
 $\implies (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \iff (M = N \wedge a = b \mid$
 $M = N -\# \{\#a\# \} +\# \{\#b\# \} \wedge N = M -\# \{\#b\# \} +\# \{\#a\# \})$
apply (*simp del: mcount-single add: multiset-equality*)
apply (*rule iffI, erule-tac [2] disjE, erule-tac [3] conjE*)
apply (*case-tac a=b, auto*)
apply (*drule-tac x = a in spec*)
apply (*drule-tac [2] x = b in spec*)
apply (*drule-tac [3] x = aa in spec*)
apply (*drule-tac [4] x = a in spec, auto*)
apply (*subgoal-tac [!] mcount(N, a) : nat*)
apply (*erule-tac [3] natE, erule natE, auto*)
done

lemma *melem-diff-single*:
 $\text{multiset}(M) \implies$

$k \in \text{mset-of}(M -\# \{\#a\}) \longleftrightarrow (k=a \wedge 1 < \text{mcount}(M,a)) \mid (k \neq a \wedge k \in \text{mset-of}(M))$
apply (*simp add: multiset-def*)
apply (*simp add: normalize-def mset-of-def msingle-def mdiff-def mcount-def*)
apply (*auto dest: domain-type intro: zero-less-diff [THEN iffD1]*
simp add: multiset-fun-iff apply-iff)
apply (*force intro!: lam-type*)
apply (*force intro!: lam-type*)
apply (*force intro!: lam-type*)
done

lemma *munion-eq-conv-exist*:
 $\llbracket M \in \text{Mult}(A); N \in \text{Mult}(A) \rrbracket$
 $\implies (M +\# \{\#a\} = N +\# \{\#b\}) \longleftrightarrow$
 $(M=N \wedge a=b \mid (\exists K \in \text{Mult}(A). M=K +\# \{\#b\} \wedge N=K +\# \{\#a\}))$
by (*auto simp add: Mult-iff-multiset melem-diff-single munion-eq-conv-diff*)

8.2 Multiset Orderings

lemma *multirel1-type*: $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$
by (*auto simp add: multirel1-def*)

lemma *multirel1-0* [*simp*]: $\text{multirel1}(0, r) = 0$
by (*auto simp add: multirel1-def*)

lemma *multirel1-iff*:
 $\langle N, M \rangle \in \text{multirel1}(A, r) \longleftrightarrow$
 $(\exists a. a \in A \wedge$
 $(\exists M0. M0 \in \text{Mult}(A) \wedge (\exists K. K \in \text{Mult}(A) \wedge$
 $M=M0 +\# \{\#a\} \wedge N=M0 +\# K \wedge (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r)))$)
by (*auto simp add: multirel1-def Mult-iff-multiset Bex-def*)

Monotonicity of *multirel1*

lemma *multirel1-mono1*: $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$
apply (*auto simp add: multirel1-def*)
apply (*auto simp add: Un-subset-iff Mult-iff-multiset*)
apply (*rule-tac x = a in bexI*)
apply (*rule-tac x = M0 in bexI, simp*)
apply (*rule-tac x = K in bexI*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *multirel1-mono2*: $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$
apply (*simp add: multirel1-def, auto*)
apply (*rule-tac x = a in bexI*)
apply (*rule-tac x = M0 in bexI*)
apply (*simp-all add: Mult-iff-multiset*)
apply (*rule-tac x = K in bexI*)
apply (*simp-all add: Mult-iff-multiset, auto*)

done

lemma *multirel1-mono*:

$\llbracket A \subseteq B; r \subseteq s \rrbracket \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, s)$
apply (rule subset-trans)
apply (rule multirel1-mono1)
apply (rule-tac [2] multirel1-mono2, auto)
done

8.3 Toward the proof of well-foundedness of multirel1

lemma *not-less-0* [iff]: $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$

by (auto simp add: multirel1-def Mult-iff-multiset)

lemma *less-munion*: $\llbracket \langle N, M0 +\# \{\#a\# \} \rangle \in \text{multirel1}(A, r); M0 \in \text{Mult}(A) \rrbracket$

\implies

$(\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \wedge N = M +\# \{\#a\# \}) \mid$
 $(\exists K. K \in \text{Mult}(A) \wedge (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \wedge N = M0 +\# K)$
apply (frule multirel1-type [THEN subsetD])
apply (simp add: multirel1-iff)
apply (auto simp add: munion-eq-conv-exist)
apply (rule-tac $x = Ka +\# K$ in *exI*, auto, simp add: Mult-iff-multiset)
apply (simp (no-asm-simp) add: munion-left-cancel munion-assoc)
apply (auto simp add: munion-commute)
done

lemma *multirel1-base*: $\llbracket M \in \text{Mult}(A); a \in A \rrbracket \implies \langle M, M +\# \{\#a\# \} \rangle \in \text{multirel1}(A, r)$

apply (auto simp add: multirel1-iff)
apply (simp add: Mult-iff-multiset)
apply (rule-tac $x = a$ in *exI*, clarify)
apply (rule-tac $x = M$ in *exI*, simp)
apply (rule-tac $x = 0$ in *exI*, auto)
done

lemma *acc-0*: $\text{acc}(0) = 0$

by (auto intro!: equalityI dest: acc.dom-subset [THEN subsetD])

lemma *lemma1*: $\llbracket \forall b \in A. \langle b, a \rangle \in r \longrightarrow$

$(\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r)))$;

$M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A$;

$\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r)) \rrbracket$

$\implies M0 +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

apply (subgoal-tac $M0 \in \text{Mult}(A)$)

prefer 2

apply (erule acc.cases)

apply (erule fieldE)

apply (auto dest: multirel1-type [THEN subsetD])

apply (rule accI)

apply (*rename-tac N*)
apply (*drule less-munion, blast*)
apply (*auto simp add: Mult-iff-multiset*)
apply (*erule-tac P = $\forall x \in \text{mset-of } (K) . \langle x, a \rangle \in r$ in rev-mp*)
apply (*erule-tac P = $\text{mset-of } (K) \subseteq A$ in rev-mp*)
apply (*erule-tac M = K in multiset-induct*)

apply (*simp (no-asm-simp)*)

apply (*simp add: Ball-def Un-subset-iff, clarify*)
apply (*drule-tac x = aa in spec, simp*)
apply (*subgoal-tac aa $\in A$*)
prefer 2 apply blast
apply (*drule-tac x = M0 +# M and P =*
 $\lambda x. x \in \text{acc}(\text{multirel1}(A, r)) \longrightarrow Q(x)$ **for Q in spec**)
apply (*simp add: munion-assoc [symmetric]*)

apply (*auto intro!: multirel1-base [THEN fieldI2] simp add: Mult-iff-multiset*)
done

lemma lemma2: $\llbracket \forall b \in A. \langle b, a \rangle \in r$
 $\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\} : \text{acc}(\text{multirel1}(A, r)));$
 $M \in \text{acc}(\text{multirel1}(A, r)); a \in A \rrbracket \Longrightarrow M +\# \{\#a\} \in \text{acc}(\text{multirel1}(A,$
 $r))$
apply (*erule acc-induct*)
apply (*blast intro: lemma1*)
done

lemma lemma3: $\llbracket \text{wf}[A](r); a \in A \rrbracket$
 $\Longrightarrow \forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#a\} \in \text{acc}(\text{multirel1}(A, r))$
apply (*erule-tac a = a in wf-on-induct, blast*)
apply (*blast intro: lemma2*)
done

lemma lemma4: $\text{multiset}(M) \Longrightarrow \text{mset-of}(M) \subseteq A \longrightarrow$
 $\text{wf}[A](r) \longrightarrow M \in \text{field}(\text{multirel1}(A, r)) \longrightarrow M \in \text{acc}(\text{multirel1}(A, r))$
apply (*erule multiset-induct*)

apply clarify
apply (*rule accI, force*)
apply (*simp add: multirel1-def*)

apply clarify
apply simp
apply (*subgoal-tac mset-of (M) $\subseteq A$*)
prefer 2 apply blast
apply clarify
apply (*drule-tac a = a in lemma3, blast*)

```

apply (subgoal-tac  $M \in \text{field} (\text{multirel1} (A,r))$ )
apply blast
apply (rule multirel1-base [THEN fieldI1])
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma all-accessible:  $\llbracket \text{wf}[A](r); M \in \text{Mult}(A); A \neq 0 \rrbracket \implies M \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule not-emptyE)
apply (rule lemma4 [THEN mp, THEN mp, THEN mp])
apply (rule-tac [4] multirel1-base [THEN fieldI1])
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma wf-on-multirel1:  $\text{wf}[A](r) \implies \text{wf}[A-||>\text{nat}-\{0\}](\text{multirel1}(A, r))$ 
apply (case-tac  $A=0$ )
apply (simp (no-asm-simp))
apply (rule wf-imp-wf-on)
apply (rule wf-on-field-imp-wf)
apply (simp (no-asm-simp) add: wf-on-0)
apply (rule-tac  $A = \text{acc} (\text{multirel1} (A,r))$  in wf-on-subset-A)
apply (rule wf-on-acc)
apply (blast intro: all-accessible)
done

```

```

lemma wf-multirel1:  $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$ 
apply (simp (no-asm-use) add: wf-iff-wf-on-field)
apply (drule wf-on-multirel1)
apply (rule-tac  $A = \text{field} (r) -||> \text{nat} - \{0\}$  in wf-on-subset-A)
apply (simp (no-asm-simp))
apply (rule field-rel-subset)
apply (rule multirel1-type)
done

```

```

lemma multirel-type:  $\text{multirel}(A, r) \subseteq \text{Mult}(A)*\text{Mult}(A)$ 
apply (simp add: multirel-def)
apply (rule trancl-type [THEN subset-trans])
apply (auto dest: multirel1-type [THEN subsetD])
done

```

```

lemma multirel-mono:
 $\llbracket A \subseteq B; r \subseteq s \rrbracket \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$ 
apply (simp add: multirel-def)
apply (rule trancl-mono)
apply (rule multirel1-mono, auto)
done

```

lemma *add-diff-eq*: $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$
by (*erule nat-induct, auto*)

lemma *mdiff-union-single-conv*: $\llbracket a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J) \rrbracket$
 $\implies I \# + J \# - \{\# a \# \} = I \# + (J \# - \{\# a \# \})$
apply (*simp (no-asm-simp) add: multiset-equality*)
apply (*case-tac a \notin \text{mset-of}(I)*)
apply (*auto simp add: mcount-def mset-of-def multiset-def multiset-fun-iff*)
apply (*auto dest: domain-type simp add: add-diff-eq*)
done

lemma *diff-add-commute*: $\llbracket n \leq m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat} \rrbracket \implies m \# - n \# + k = m \# + k \# - n$
by (*auto simp add: le-iff less-iff-succ-add*)

lemma *multirel-implies-one-step*:
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$
 $\text{trans}[A](r) \longrightarrow$
 $(\exists I J K.$
 $I \in \text{Mult}(A) \wedge J \in \text{Mult}(A) \wedge K \in \text{Mult}(A) \wedge$
 $N = I \# + J \wedge M = I \# + K \wedge J \neq 0 \wedge$
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r)$)
apply (*simp add: multirel-def Ball-def Bex-def*)
apply (*erule converse-trancl-induct*)
apply (*simp-all add: multirel1-iff Mult-iff-multiset*)

apply *clarify*
apply (*rule-tac x = M0 in exI, force*)

apply *clarify*
apply *hypsubst-thin*
apply (*case-tac a \in \text{mset-of}(Ka)*)
apply (*rule-tac x = I in exI, simp (no-asm-simp)*)
apply (*rule-tac x = J in exI, simp (no-asm-simp)*)
apply (*rule-tac x = (Ka \# - \{\# a \# \}) \# + K in exI, simp (no-asm-simp)*)
apply (*simp-all add: Un-subset-iff*)
apply (*simp (no-asm-simp) add: munion-assoc [symmetric]*)
apply (*drule-tac t = \lambda M. M \# - \{\# a \# \} in subst-context*)
apply (*simp add: mdiff-union-single-conv melem-diff-single, clarify*)
apply (*erule disjE, simp*)
apply (*erule disjE, simp*)
apply (*drule-tac x = a and P = \lambda x. x \# Ka \longrightarrow Q(x) for Q in spec*)
apply *clarify*

```

apply (rule-tac  $x = xa$  in  $exI$ )
apply (simp (no-asm-simp))
apply (blast dest: trans-onD)

apply (subgoal-tac  $a :# I$ )
apply (rule-tac  $x = I -\#\{a\}$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = J +\#\{a\}$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac  $x = Ka +\# K$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule conjI)
apply (simp (no-asm-simp) add: multiset-equality mcount-elem [THEN succ-pred-eq-self])
apply (rule conjI)
apply (drule-tac  $t = \lambda M. M -\#\{a\}$  in subst-context)
apply (simp add: mdiff-union-inverse2)
apply (simp-all (no-asm-simp) add: multiset-equality)
apply (rule diff-add-commute [symmetric])
apply (auto intro: mcount-elem)
apply (subgoal-tac  $a \in mset-of (I +\# Ka)$  )
apply (drule-tac [2] sym, auto)
done

```

lemma *melem-imp-eq-diff-union* [simp]: $\llbracket a \in mset-of(M); multiset(M) \rrbracket \implies M -\#\{a\} +\#\{a\} = M$
by (simp add: multiset-equality mcount-elem [THEN succ-pred-eq-self])

lemma *msize-eq-succ-imp-eq-union*:
 $\llbracket msize(M) = \$\# succ(n); M \in Mult(A); n \in nat \rrbracket$
 $\implies \exists a N. M = N +\#\{a\} \wedge N \in Mult(A) \wedge a \in A$
apply (drule msize-eq-succ-imp-elem, auto)
apply (rule-tac $x = a$ **in** exI)
apply (rule-tac $x = M -\#\{a\}$ **in** exI)
apply (frule Mult-into-multiset)
apply (simp (no-asm-simp))
apply (auto simp add: Mult-iff-multiset)
done

lemma *one-step-implies-multirel-lemma* [rule-format (no-asm)]:
 $n \in nat \implies$
 $(\forall I J K.$
 $I \in Mult(A) \wedge J \in Mult(A) \wedge K \in Mult(A) \wedge$
 $(msize(J) = \$\# n \wedge J \neq 0 \wedge (\forall k \in mset-of(K). \exists j \in mset-of(J). \langle k, j \rangle \in r))$
 $\longrightarrow \langle I +\# K, I +\# J \rangle \in multirel(A, r))$
apply (simp add: Mult-iff-multiset)
apply (erule nat-induct, clarify)
apply (drule-tac $M = J$ **in** msize-eq-0-iff, auto)

```

apply (subgoal-tac msize (J) = $# succ (x) )
  prefer 2 apply simp
apply (frule-tac A = A in msize-eq-succ-imp-eq-union)
apply (simp-all add: Mult-iff-multiset, clarify)
apply (rename-tac J', simp)
apply (case-tac J' = 0)
apply (simp add: multirel-def)
apply (rule r-into-trancl, clarify)
apply (simp add: multirel1-iff Mult-iff-multiset, force)

apply (drule sym, rotate-tac -1, simp)
apply (erule-tac V = $# x = msize (J') in thin-rl)
apply (frule-tac M = K and P =  $\lambda x. \langle x, a \rangle \in r$  in multiset-partition)
apply (erule-tac P =  $\forall k \in \text{mset-of}(K) . P(k)$  for P in rev-mp)
apply (erule ssubst)
apply (simp add: Ball-def, auto)
apply (subgoal-tac < (I +# {# x  $\in$  K.  $\langle x, a \rangle \in r$ #}) +# {# x  $\in$  K.  $\langle x, a \rangle \notin$ 
r#}, (I +# {# x  $\in$  K.  $\langle x, a \rangle \in r$ #}) +# J'>  $\in$  multirel(A, r) )
  prefer 2
  apply (drule-tac x = I +# {# x  $\in$  K.  $\langle x, a \rangle \in r$ #} in spec)
  apply (rotate-tac -1)
  apply (drule-tac x = J' in spec)
  apply (rotate-tac -1)
  apply (drule-tac x = {# x  $\in$  K.  $\langle x, a \rangle \notin r$ #} in spec, simp) apply blast
apply (simp add: munion-assoc [symmetric] multirel-def)
apply (rule-tac b = I +# {# x  $\in$  K.  $\langle x, a \rangle \in r$ #} +# J' in trancl-trans, blast)
apply (rule r-into-trancl)
apply (simp add: multirel1-iff Mult-iff-multiset)
apply (rule-tac x = a in exI)
apply (simp (no-asm-simp))
apply (rule-tac x = I +# J' in exI)
apply (auto simp add: munion-ac Un-subset-iff)
done

```

lemma one-step-implies-multirel:

$$\begin{aligned} & \llbracket J \neq 0; \forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r; \\ & \quad I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A) \rrbracket \\ & \implies \langle I + \# K, I + \# J \rangle \in \text{multirel}(A, r) \end{aligned}$$

```

apply (subgoal-tac multiset (J) )
  prefer 2 apply (simp add: Mult-iff-multiset)
apply (frule-tac M = J in msize-int-of-nat)
apply (auto intro: one-step-implies-multirel-lemma)
done

```

lemma multirel-irrefl-lemma:

$Finite(A) \implies part\text{-}ord(A, r) \longrightarrow (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \longrightarrow A=0$
apply (*erule Finite-induct*)
apply (*auto dest: subset-consI [THEN [2] part-ord-subset]*)
apply (*auto simp add: part-ord-def irrefl-def*)
apply (*drule-tac x = xa in bspec*)
apply (*drule-tac [2] a = xa and b = x in trans-onD, auto*)
done

lemma *irrefl-on-multirel:*

$part\text{-}ord(A, r) \implies irrefl(Mult(A), multirel(A, r))$
apply (*simp add: irrefl-def*)
apply (*subgoal-tac trans[A](r)*)
prefer 2 **apply** (*simp add: part-ord-def, clarify*)
apply (*drule multirel-implies-one-step, clarify*)
apply (*simp add: Mult-iff-multiset, clarify*)
apply (*subgoal-tac Finite (mset-of (K))*)
apply (*frule-tac r = r in multirel-irrefl-lemma*)
apply (*frule-tac B = mset-of (K) in part-ord-subset*)
apply *simp-all*
apply (*auto simp add: multiset-def mset-of-def*)
done

lemma *trans-on-multirel:* $trans[Mult(A)](multirel(A, r))$

apply (*simp add: multirel-def trans-on-def*)
apply (*blast intro: trancl-trans*)
done

lemma *multirel-trans:*

$\llbracket \langle M, N \rangle \in multirel(A, r); \langle N, K \rangle \in multirel(A, r) \rrbracket \implies \langle M, K \rangle \in multirel(A, r)$
apply (*simp add: multirel-def*)
apply (*blast intro: trancl-trans*)
done

lemma *trans-multirel:* $trans(multirel(A, r))$

apply (*simp add: multirel-def*)
apply (*rule trans-trancl*)
done

lemma *part-ord-multirel:* $part\text{-}ord(A, r) \implies part\text{-}ord(Mult(A), multirel(A, r))$

apply (*simp (no-asm) add: part-ord-def*)
apply (*blast intro: irrefl-on-multirel trans-on-multirel*)
done

lemma *munion-multirel1-mono:*

$\llbracket \langle M, N \rangle \in multirel1(A, r); K \in Mult(A) \rrbracket \implies \langle K +\# M, K +\# N \rangle \in multirel1(A, r)$
apply (*frule multirel1-type [THEN subsetD]*)

```

apply (auto simp add: multirel1-iff Mult-iff-multiset)
apply (rule-tac x = a in exI)
apply (simp (no-asm-simp))
apply (rule-tac x = K+#M0 in exI)
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac x = Ka in exI)
apply (simp (no-asm-simp) add: munion-assoc)
done

```

lemma *munion-multirel-mono2*:

```

[[⟨M, N⟩ ∈ multirel(A, r); K ∈ Mult(A)]] ⇒ ⟨K+#M, K+#N⟩ ∈ multirel(A,
r)
apply (frule multirel-type [THEN subsetD])
apply (simp (no-asm-use) add: multirel-def)
apply clarify
apply (drule-tac psi = ⟨M,N⟩ ∈ multirel1 (A, r) ^+ in asm-rl)
apply (erule rev-mp)
apply (erule rev-mp)
apply (erule rev-mp)
apply (erule trancl-induct, clarify)
apply (blast intro: munion-multirel1-mono r-into-trancl, clarify)
apply (subgoal-tac y ∈ Mult(A) )
prefer 2
apply (blast dest: multirel-type [unfolded multirel-def, THEN subsetD])
apply (subgoal-tac ⟨K+#y, K+#z⟩ ∈ multirel1 (A, r) )
prefer 2 apply (blast intro: munion-multirel1-mono)
apply (blast intro: r-into-trancl trancl-trans)
done

```

lemma *munion-multirel-mono1*:

```

[[⟨M, N⟩ ∈ multirel(A, r); K ∈ Mult(A)]] ⇒ ⟨M+#K, N+#K⟩ ∈
multirel(A, r)
apply (frule multirel-type [THEN subsetD])
apply (rule-tac P = λx. ⟨x,u⟩ ∈ multirel(A, r) for u in munion-commute [THEN
subst])
apply (subst munion-commute [of N])
apply (rule munion-multirel-mono2)
apply (auto simp add: Mult-iff-multiset)
done

```

lemma *munion-multirel-mono*:

```

[[⟨M,K⟩ ∈ multirel(A, r); ⟨N,L⟩ ∈ multirel(A, r)]]
⇒ ⟨M+#N, K+#L⟩ ∈ multirel(A, r)
apply (subgoal-tac M ∈ Mult(A) ∧ N ∈ Mult(A) ∧ K ∈ Mult(A) ∧ L ∈ Mult(A)
)
prefer 2 apply (blast dest: multirel-type [THEN subsetD])
apply (blast intro: munion-multirel-mono1 multirel-trans munion-multirel-mono2)
done

```

8.4 Ordinal Multisets

lemmas *field-Memrel-mono* = *Memrel-mono* [*THEN field-mono*]

lemmas *multirel-Memrel-mono* = *multirel-mono* [*OF field-Memrel-mono Memrel-mono*]

lemma *omultiset-is-multiset* [*simp*]: *omultiset*(*M*) \implies *multiset*(*M*)
apply (*simp add: omultiset-def*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *munion-omultiset* [*simp*]: $\llbracket \text{omultiset}(M); \text{omultiset}(N) \rrbracket \implies \text{omultiset}(M +\# N)$
apply (*simp add: omultiset-def, clarify*)
apply (*rule-tac x = i \cup ia in exI*)
apply (*simp add: Mult-iff-multiset Ord-Un Un-subset-iff*)
apply (*blast intro: field-Memrel-mono*)
done

lemma *mdiff-omultiset* [*simp*]: *omultiset*(*M*) \implies *omultiset*(*M* $-$ \# *N*)
apply (*simp add: omultiset-def, clarify*)
apply (*simp add: Mult-iff-multiset*)
apply (*rule-tac x = i in exI*)
apply (*simp (no-asm-simp)*)
done

lemma *irrefl-Memrel*: *Ord*(*i*) \implies *irrefl*(*field*(*Memrel*(*i*)), *Memrel*(*i*))
apply (*rule irreflI, clarify*)
apply (*subgoal-tac Ord (x)*)
prefer 2 **apply** (*blast intro: Ord-in-Ord*)
apply (*drule-tac i = x in ltI [THEN lt-irrefl], auto*)
done

lemma *trans-iff-trans-on*: *trans*(*r*) \longleftrightarrow *trans*[*field*(*r*)](*r*)
by (*simp add: trans-on-def trans-def, auto*)

lemma *part-ord-Memrel*: *Ord*(*i*) \implies *part-ord*(*field*(*Memrel*(*i*)), *Memrel*(*i*))
apply (*simp add: part-ord-def*)
apply (*simp (no-asm) add: trans-iff-trans-on [THEN iff-sym]*)
apply (*blast intro: trans-Memrel irrefl-Memrel*)
done

lemmas *part-ord-mless* = *part-ord-Memrel* [*THEN part-ord-multirel*]

lemma *mless-le-iff*: $M <\# N \longleftrightarrow (M <\#= N \wedge M \neq N)$
by (*simp add: mle-def, auto*)

lemma *munion-less-mono2*: $\llbracket M <\# N; \text{omultiset}(K) \rrbracket \Longrightarrow K +\# M <\# K +\# N$
apply (*simp add: mless-def omultiset-def, clarify*)
apply (*rule-tac x = i \cup ia in exI*)
apply (*simp add: Mult-iff-multiset Ord-Un Un-subset-iff*)
apply (*rule munion-multirel-mono2*)
apply (*blast intro: multirel-Memrel-mono [THEN subsetD]*)
apply (*simp add: Mult-iff-multiset*)
apply (*blast intro: field-Memrel-mono [THEN subsetD]*)
done

lemma *munion-less-mono1*: $\llbracket M <\# N; \text{omultiset}(K) \rrbracket \Longrightarrow M +\# K <\# N +\# K$
by (*force dest: munion-less-mono2 simp add: munion-commute*)

lemma *mless-imp-omultiset*: $M <\# N \Longrightarrow \text{omultiset}(M) \wedge \text{omultiset}(N)$
by (*auto simp add: mless-def omultiset-def dest: multirel-type [THEN subsetD]*)

lemma *munion-less-mono*: $\llbracket M <\# K; N <\# L \rrbracket \Longrightarrow M +\# N <\# K +\# L$
apply (*frule-tac M = M in mless-imp-omultiset*)
apply (*frule-tac M = N in mless-imp-omultiset*)
apply (*blast intro: munion-less-mono1 munion-less-mono2 mless-trans*)
done

lemma *mle-imp-omultiset*: $M <\#= N \Longrightarrow \text{omultiset}(M) \wedge \text{omultiset}(N)$
by (*auto simp add: mle-def mless-imp-omultiset*)

lemma *mle-mono*: $\llbracket M <\#= K; N <\#= L \rrbracket \Longrightarrow M +\# N <\#= K +\# L$
apply (*frule-tac M = M in mle-imp-omultiset*)
apply (*frule-tac M = N in mle-imp-omultiset*)
apply (*auto simp add: mle-def intro: munion-less-mono1 munion-less-mono2 munion-less-mono*)
done

lemma *omultiset-0 [iff]*: $\text{omultiset}(0)$
by (*auto simp add: omultiset-def Mult-iff-multiset*)

lemma *empty-leI [simp]*: $\text{omultiset}(M) \Longrightarrow 0 <\#= M$
apply (*simp add: mle-def mless-def*)
apply (*subgoal-tac $\exists i. \text{Ord}(i) \wedge M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$)*)
prefer 2 apply (*simp add: omultiset-def*)
apply (*case-tac M=0, simp-all, clarify*)

```

apply (subgoal-tac <0 +# 0, 0 +# M> ∈ multirel(field (Memrel(i)), Memrel(i)))
apply (rule-tac [2] one-step-implies-multirel)
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma munion-upper1:  $\llbracket \text{omultiset}(M); \text{omultiset}(N) \rrbracket \implies M <\# = M +\# N$ 
apply (subgoal-tac M +# 0 <\# = M +# N)
apply (rule-tac [2] mle-mono, auto)
done

```

end

9 An operator to “map” a relation over a list

theory Rmap imports ZF **begin**

consts

rmap :: $i \Rightarrow i$

inductive

domains *rmap*(*r*) $\subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

intros

NilI: $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

ConsI: $\llbracket \langle x, y \rangle; r; \langle xs, ys \rangle \in \text{rmap}(r) \rrbracket$
 $\implies \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

type-intros *domainI* *rangeI* *list.intros*

lemma *rmap-mono*: $r \subseteq s \implies \text{rmap}(r) \subseteq \text{rmap}(s)$

unfolding *rmap.defs*

apply (rule *lfp-mono*)

apply (rule *rmap.bnd-mono*)+

apply (assumption | rule *Sigma-mono list-mono domain-mono range-mono basic-monos*)+

done

inductive-cases

Nil-rmap-case [*elim!*]: $\langle \text{Nil}, zs \rangle \in \text{rmap}(r)$

and *Cons-rmap-case* [*elim!*]: $\langle \text{Cons}(x, xs), zs \rangle \in \text{rmap}(r)$

declare *rmap.intros* [*intro*]

lemma *rmap-rel-type*: $r \subseteq A \times B \implies \text{rmap}(r) \subseteq \text{list}(A) \times \text{list}(B)$

apply (rule *rmap.dom-subset* [*THEN subset-trans*])

apply (assumption |

rule *domain-rel-subset range-rel-subset Sigma-mono list-mono*)+

done

```

lemma rmap-total:  $A \subseteq \text{domain}(r) \implies \text{list}(A) \subseteq \text{domain}(\text{rmap}(r))$ 
  apply (rule subsetI)
  apply (erule list.induct)
  apply blast+
done

```

```

lemma rmap-functional:  $\text{function}(r) \implies \text{function}(\text{rmap}(r))$ 
  unfolding function-def
  apply (rule impI [THEN allI, THEN allI])
  apply (erule rmap.induct)
  apply blast+
done

```

If f is a function then $\text{rmap}(f)$ behaves as expected.

```

lemma rmap-fun-type:  $f \in A \rightarrow B \implies \text{rmap}(f): \text{list}(A) \rightarrow \text{list}(B)$ 
  by (simp add: Pi-iff rmap-rel-type rmap-functional rmap-total)

```

```

lemma rmap-Nil:  $\text{rmap}(f) \text{ `Nil} = \text{Nil}$ 
  by (unfold apply-def) blast

```

```

lemma rmap-Cons:  $\llbracket f \in A \rightarrow B; x \in A; xs: \text{list}(A) \rrbracket$ 
   $\implies \text{rmap}(f) \text{ `Cons}(x, xs) = \text{Cons}(f \text{ `}x, \text{rmap}(f) \text{ `}xs)$ 
  by (blast intro: apply-equality apply-Pair rmap-fun-type rmap.intros)

```

end

10 Meta-theory of propositional logic

```

theory PropLog imports ZF begin

```

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

```

consts

```

```

  propn :: i

```

```

datatype propn =

```

```

  Fls

```

```

  | Var ( $n \in \text{nat}$ ) ( $\langle \# \rightarrow [100] 100 \rangle$ )

```

```

  | Imp ( $p \in \text{propn}, q \in \text{propn}$ ) (infixr  $\langle \Rightarrow \rangle 90$ )

```

10.2 The proof system

consts *thms* :: $i \Rightarrow i$

abbreviation

thms-syntax :: $[i, i] \Rightarrow o$ (**infixl** $\langle |- \rangle$ 50)
where $H |- p \equiv p \in \text{thms}(H)$

inductive

domains $\text{thms}(H) \subseteq \text{propn}$

intros

H : $\llbracket p \in H; p \in \text{propn} \rrbracket \Longrightarrow H |- p$
 K : $\llbracket p \in \text{propn}; q \in \text{propn} \rrbracket \Longrightarrow H |- p \Rightarrow q \Rightarrow p$
 S : $\llbracket p \in \text{propn}; q \in \text{propn}; r \in \text{propn} \rrbracket$
 $\Longrightarrow H |- (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$
 DN : $p \in \text{propn} \Longrightarrow H |- ((p \Rightarrow \text{Fls}) \Rightarrow \text{Fls}) \Rightarrow p$
 MP : $\llbracket H |- p \Rightarrow q; H |- p; p \in \text{propn}; q \in \text{propn} \rrbracket \Longrightarrow H |- q$
type-intros *propn.intros*

declare *propn.intros* [*simp*]

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

is-true-fun :: $[i, i] \Rightarrow i$

primrec

$\text{is-true-fun}(\text{Fls}, t) = 0$
 $\text{is-true-fun}(\text{Var}(v), t) = (\text{if } v \in t \text{ then } 1 \text{ else } 0)$
 $\text{is-true-fun}(p \Rightarrow q, t) = (\text{if } \text{is-true-fun}(p, t) = 1 \text{ then } \text{is-true-fun}(q, t) \text{ else } 1)$

definition

is-true :: $[i, i] \Rightarrow o$ **where**
 $\text{is-true}(p, t) \equiv \text{is-true-fun}(p, t) = 1$
— this definition is required since predicates can't be recursive

lemma *is-true-Fls* [*simp*]: $\text{is-true}(\text{Fls}, t) \longleftrightarrow \text{False}$

by (*simp add: is-true-def*)

lemma *is-true-Var* [*simp*]: $\text{is-true}(\#v, t) \longleftrightarrow v \in t$

by (*simp add: is-true-def*)

lemma *is-true-Imp* [*simp*]: $\text{is-true}(p \Rightarrow q, t) \longleftrightarrow (\text{is-true}(p, t) \longrightarrow \text{is-true}(q, t))$

by (*simp add: is-true-def*)

10.3.2 Logical consequence

For every valuation, if all elements of H are true then so is p .

definition

logcon :: $[i,i] \Rightarrow o$ (**infixl** $\langle | \Rightarrow 50$) **where**
 $H \models p \equiv \forall t. (\forall q \in H. \text{is-true}(q,t)) \longrightarrow \text{is-true}(p,t)$

A finite set of hypotheses from t and the *Vars* in p .

consts

hyps :: $[i,i] \Rightarrow i$

primrec

hyps(*Fls*, t) = 0

hyps(*Var*(v), t) = (if $v \in t$ then $\{\#v\}$ else $\{\#v \Rightarrow \text{Fls}\}$)

hyps($p \Rightarrow q$, t) = *hyps*(p,t) \cup *hyps*(q,t)

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \subseteq H \Longrightarrow \text{thms}(G) \subseteq \text{thms}(H)$

unfolding *thms.defs*

apply (*rule lfp-mono*)

apply (*rule thms.bnd-mono*)+

apply (*assumption* | *rule univ-mono basic-monos*)+

done

lemmas *thms-in-pl* = *thms.dom-subset* [*THEN subsetD*]

inductive-cases *ImpE*: $p \Rightarrow q \in \text{propn}$

lemma *thms-MP*: $\llbracket H \mid - p \Rightarrow q; H \mid - p \rrbracket \Longrightarrow H \mid - q$

— Stronger Modus Ponens rule: no typechecking!

apply (*rule thms.MP*)

apply (*erule asm-rl thms-in-pl thms-in-pl* [*THEN ImpE*])+

done

lemma *thms-I*: $p \in \text{propn} \Longrightarrow H \mid - p \Rightarrow p$

— Rule is called *I* for Identity Combinator, not for Introduction.

apply (*rule thms.S* [*THEN thms-MP*, *THEN thms-MP*])

apply (*rule-tac* [5] *thms.K*)

apply (*rule-tac* [4] *thms.K*)

apply *simp-all*

done

10.4.1 Weakening, left and right

lemma *weaken-left*: $\llbracket G \subseteq H; G \mid - p \rrbracket \Longrightarrow H \mid - p$

— Order of premises is convenient with *THEN*

by (*erule thms-mono* [*THEN subsetD*])

lemma *weaken-left-cons*: $H \mid - p \Longrightarrow \text{cons}(a,H) \mid - p$

by (*erule subset-consI* [*THEN weaken-left*])

lemmas *weaken-left-Un1* = *Un-upper1* [*THEN weaken-left*]

lemmas *weaken-left-Un2* = *Un-upper2* [*THEN weaken-left*]

lemma *weaken-right*: $\llbracket H \mid - q; p \in \text{propn} \rrbracket \implies H \mid - p \Rightarrow q$
by (*simp-all add: thms.K [THEN thms-MP] thms-in-pl*)

10.4.2 The deduction theorem

theorem *deduction*: $\llbracket \text{cons}(p,H) \mid - q; p \in \text{propn} \rrbracket \implies H \mid - p \Rightarrow q$
apply (*erule thms.induct*)
apply (*blast intro: thms-I thms.H [THEN weaken-right]*)
apply (*blast intro: thms.K [THEN weaken-right]*)
apply (*blast intro: thms.S [THEN weaken-right]*)
apply (*blast intro: thms.DN [THEN weaken-right]*)
apply (*blast intro: thms.S [THEN thms-MP [THEN thms-MP]]*)
done

10.4.3 The cut rule

lemma *cut*: $\llbracket H \mid - p; \text{cons}(p,H) \mid - q \rrbracket \implies H \mid - q$
apply (*rule deduction [THEN thms-MP]*)
apply (*simp-all add: thms-in-pl*)
done

lemma *thms-FlsE*: $\llbracket H \mid - \text{Fls}; p \in \text{propn} \rrbracket \implies H \mid - p$
apply (*rule thms.DN [THEN thms-MP]*)
apply (*rule-tac [2] weaken-right*)
apply (*simp-all add: propn.intros*)
done

lemma *thms-notE*: $\llbracket H \mid - p \Rightarrow \text{Fls}; H \mid - p; q \in \text{propn} \rrbracket \implies H \mid - q$
by (*erule thms-MP [THEN thms-FlsE]*)

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H \mid - p \implies H \models p$
unfolding *logcon-def*
apply (*induct set: thms*)
apply *auto*
done

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *Fls-Imp*: $\llbracket H \mid - p \Rightarrow \text{Fls}; q \in \text{propn} \rrbracket \implies H \mid - p \Rightarrow q$
apply (*frule thms-in-pl*)
apply (*rule deduction*)
apply (*rule weaken-left-cons [THEN thms-notE]*)
apply (*blast intro: thms.H elim: ImpE*)
done

lemma *Imp-Fls*: $\llbracket H \mid - p; H \mid - q \Rightarrow Fls \rrbracket \Longrightarrow H \mid - (p \Rightarrow q) \Rightarrow Fls$
apply (*frule thms-in-pl*)
apply (*frule thms-in-pl [of concl: q \Rightarrow Fls]*)
apply (*rule deduction*)
apply (*erule weaken-left-cons [THEN thms-MP]*)
apply (*rule consI1 [THEN thms.H, THEN thms-MP]*)
apply (*blast intro: weaken-left-cons elim: ImpE*)
done

lemma *hyps-thms-if*:
 $p \in \text{propn} \Longrightarrow \text{hyps}(p,t) \mid - (\text{if is-true}(p,t) \text{ then } p \text{ else } p \Rightarrow Fls)$
— Typical example of strengthening the induction statement.
apply *simp*
apply (*induct-tac p*)
apply (*simp-all add: thms-I thms.H*)
apply (*safe elim!: Fls-Imp [THEN weaken-left-Un1] Fls-Imp [THEN weaken-left-Un2]*)
apply (*blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right Imp-Fls*)
done

lemma *logcon-thms-p*: $\llbracket p \in \text{propn}; 0 \mid = p \rrbracket \Longrightarrow \text{hyps}(p,t) \mid - p$
— Key lemma for completeness; yields a set of assumptions satisfying p
apply (*drule hyps-thms-if*)
apply (*simp add: logcon-def*)
done

For proving certain theorems in our new propositional logic.

lemmas *propn-SIs = propn.intros deduction*
and *propn-Is = thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*:
 $\llbracket p \in \text{propn}; q \in \text{propn} \rrbracket \Longrightarrow H \mid - (p \Rightarrow q) \Rightarrow ((p \Rightarrow Fls) \Rightarrow q) \Rightarrow q$
apply (*rule deduction [THEN deduction]*)
apply (*rule thms.DN [THEN thms-MP]*)
apply (*best intro!: propn-SIs intro: propn-Is*)
done

lemma *thms-excluded-middle-rule*:
 $\llbracket \text{cons}(p,H) \mid - q; \text{cons}(p \Rightarrow Fls,H) \mid - q; p \in \text{propn} \rrbracket \Longrightarrow H \mid - q$
— Hard to prove directly because it requires cuts
apply (*rule thms-excluded-middle [THEN thms-MP, THEN thms-MP]*)
apply (*blast intro!: propn-SIs intro: propn-Is*)
done

10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \mid - p$ we also have $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$.

lemma *hyps-Diff*:

$p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$

by (*induct set: propn*) *auto*

For the case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$ we also have $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$.

lemma *hyps-cons*:

$p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$

by (*induct set: propn*) *auto*

Two lemmas for use with *weaken-left*

lemma *cons-Diff-same*: $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$

by *blast*

lemma *cons-Diff-subset2*: $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$

by *blast*

The set $\text{hyps}(p, t)$ is finite, and elements have the form $\#v$ or $\#v \Rightarrow \text{Fls}$; could probably prove the stronger $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$.

lemma *hyps-finite*: $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$

by (*induct set: propn*) *auto*

lemmas *Diff-weaken-left = Diff-mono* [*OF - subset-refl, THEN weaken-left*]

Induction on the finite set of assumptions $\text{hyps}(p, t0)$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma* [*rule-format*]:

$\llbracket p \in \text{propn}; 0 \vdash p \rrbracket \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$

apply (*frule hyps-finite*)

apply (*erule Fin-induct*)

apply (*simp add: logcon-thms-p Diff-0*)

inductive step

apply *safe*

Case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$

apply (*rule thms-excluded-middle-rule*)

apply (*erule-tac* [3] *propn.intros*)

apply (*blast intro: cons-Diff-same* [*THEN weaken-left*])

apply (*blast intro: cons-Diff-subset2* [*THEN weaken-left*]
hyps-Diff [*THEN Diff-weaken-left*])

Case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$

apply (*rule thms-excluded-middle-rule*)

apply (*erule-tac* [3] *propn.intros*)

apply (*blast intro: cons-Diff-subset2* [*THEN weaken-left*])

hyps-cons [*THEN Diff-weaken-left*])
apply (*blast intro: cons-Diff-same* [*THEN weaken-left*])
done

10.5.3 Completeness theorem

lemma *completeness-0*: $\llbracket p \in \text{propn}; 0 \models p \rrbracket \implies 0 \vdash p$
 — The base case for completeness
apply (*rule Diff-cancel* [*THEN subst*])
apply (*blast intro: completeness-0-lemma*)
done

lemma *logcon-Imp*: $\llbracket \text{cons}(p,H) \models q \rrbracket \implies H \models p \implies q$
 — A semantic analogue of the Deduction Theorem
by (*simp add: logcon-def*)

lemma *completeness*:
 $H \in \text{Fin}(\text{propn}) \implies p \in \text{propn} \implies H \models p \implies H \vdash p$
apply (*induct arbitrary: p set: Fin*)
apply (*safe intro!: completeness-0*)
apply (*rule weaken-left-cons* [*THEN thms-MP*])
apply (*blast intro!: logcon-Imp propn.intros*)
apply (*blast intro: propn-Is*)
done

theorem *thms-iff*: $H \in \text{Fin}(\text{propn}) \implies H \vdash p \iff H \models p \wedge p \in \text{propn}$
by (*blast intro: soundness completeness thms-in-pl*)

end

11 Lists of n elements

theory *ListN* **imports** *ZF* **begin**

Inductive definition of lists of n elements; see [3].

consts *listn* :: $i \Rightarrow i$

inductive

domains *listn*(A) $\subseteq \text{nat} \times \text{list}(A)$

intros

NilI: $\langle 0, \text{Nil} \rangle \in \text{listn}(A)$

ConsI: $\llbracket a \in A; \langle n, l \rangle \in \text{listn}(A) \rrbracket \implies \langle \text{succ}(n), \text{Cons}(a,l) \rangle \in \text{listn}(A)$

type-intros *nat-typechecks list.intros*

lemma *list-into-listn*: $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$
by (*induct set: list*) (*simp-all add: listn.intros*)

lemma *listn-iff*: $\langle n, l \rangle \in \text{listn}(A) \iff l \in \text{list}(A) \wedge \text{length}(l) = n$
apply (*rule iffI*)

```

apply (erule listn.induct)
apply auto
apply (blast intro: list-into-listn)
done

lemma listn-image-eq: listn(A)“{n} = {l ∈ list(A). length(l)=n}
apply (rule equality-iffI)
apply (simp add: listn-iff separation image-singleton-iff)
done

lemma listn-mono: A ⊆ B ⇒ listn(A) ⊆ listn(B)
unfolding listn.defs
apply (rule lfp-mono)
apply (rule listn.bnd-mono)+
apply (assumption | rule univ-mono Sigma-mono list-mono basic-monos)+
done

lemma listn-append:
  [[⟨n,l⟩ ∈ listn(A); ⟨n',l'⟩ ∈ listn(A)] ⇒ ⟨n#+n', l@l'⟩ ∈ listn(A)
apply (erule listn.induct)
apply (frule listn.dom-subset [THEN subsetD])
apply (simp-all add: listn.intros)
done

inductive-cases
  Nil-listn-case: ⟨i,Nil⟩ ∈ listn(A)
and Cons-listn-case: ⟨i,Cons(x,l)⟩ ∈ listn(A)

inductive-cases
  zero-listn-case: ⟨0,l⟩ ∈ listn(A)
and succ-listn-case: ⟨succ(i),l⟩ ∈ listn(A)

end

```

12 Combinatory Logic example: the Church-Rosser Theorem

```

theory Comb
imports ZF
begin

```

Curiously, combinators do not include free variables.
 Example taken from [1].

12.1 Definitions

Datatype definition of combinators S and K .

consts $comb :: i$
datatype $comb =$
 K
 $| S$
 $| app (p \in comb, q \in comb) \text{ (infixl } \langle \cdot \rangle 90)$

Inductive definition of contractions, \rightarrow^1 and (multi-step) reductions, \rightarrow .

consts $contract :: i$
abbreviation $contract-syntax :: [i, i] \Rightarrow o \text{ (infixl } \langle \rightarrow^1 \rangle 50)$
where $p \rightarrow^1 q \equiv \langle p, q \rangle \in contract$

abbreviation $contract-multi :: [i, i] \Rightarrow o \text{ (infixl } \langle \rightarrow \rangle 50)$
where $p \rightarrow q \equiv \langle p, q \rangle \in contract^*$

inductive

domains $contract \subseteq comb \times comb$

intros

$K: \llbracket p \in comb; q \in comb \rrbracket \Longrightarrow K \cdot p \cdot q \rightarrow^1 p$
 $S: \llbracket p \in comb; q \in comb; r \in comb \rrbracket \Longrightarrow S \cdot p \cdot q \cdot r \rightarrow^1 (p \cdot r) \cdot (q \cdot r)$
 $Ap1: \llbracket p \rightarrow^1 q; r \in comb \rrbracket \Longrightarrow p \cdot r \rightarrow^1 q \cdot r$
 $Ap2: \llbracket p \rightarrow^1 q; r \in comb \rrbracket \Longrightarrow r \cdot p \rightarrow^1 r \cdot q$

type-intros $comb.intros$

Inductive definition of parallel contractions, \Rightarrow^1 and (multi-step) parallel reductions, \Rightarrow .

consts $parcontract :: i$

abbreviation $parcontract-syntax :: [i, i] \Rightarrow o \text{ (infixl } \langle \Rightarrow^1 \rangle 50)$
where $p \Rightarrow^1 q \equiv \langle p, q \rangle \in parcontract$

abbreviation $parcontract-multi :: [i, i] \Rightarrow o \text{ (infixl } \langle \Rightarrow \rangle 50)$
where $p \Rightarrow q \equiv \langle p, q \rangle \in parcontract^+$

inductive

domains $parcontract \subseteq comb \times comb$

intros

$refl: \llbracket p \in comb \rrbracket \Longrightarrow p \Rightarrow^1 p$
 $K: \llbracket p \in comb; q \in comb \rrbracket \Longrightarrow K \cdot p \cdot q \Rightarrow^1 p$
 $S: \llbracket p \in comb; q \in comb; r \in comb \rrbracket \Longrightarrow S \cdot p \cdot q \cdot r \Rightarrow^1 (p \cdot r) \cdot (q \cdot r)$
 $Ap: \llbracket p \Rightarrow^1 q; r \Rightarrow^1 s \rrbracket \Longrightarrow p \cdot r \Rightarrow^1 q \cdot s$

type-intros $comb.intros$

Misc definitions.

definition $I :: i$

where $I \equiv S \cdot K \cdot K$

definition $diamond :: i \Rightarrow o$

where $diamond(r) \equiv$

$\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \wedge \langle y', z \rangle \in r))$

12.2 Transitive closure preserves the Church-Rosser property

```

lemma diamond-strip-lemmaD [rule-format]:
  [[diamond(r);  $\langle x, y \rangle : r^{\wedge+}$ ]]  $\implies$ 
   $\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^{\wedge+} \wedge \langle y, z \rangle : r)$ 
  unfolding diamond-def
  apply (erule trancl-induct)
  apply (blast intro: r-into-trancl)
  apply clarify
  apply (drule spec [THEN mp], assumption)
  apply (blast intro: r-into-trancl trans-trancl [THEN transD])
  done

```

```

lemma diamond-trancl: diamond(r)  $\implies$  diamond( $r^{\wedge+}$ )
  apply (simp (no-asm-simp) add: diamond-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule trancl-induct)
  apply auto
  apply (best intro: r-into-trancl trans-trancl [THEN transD]
    dest: diamond-strip-lemmaD) $+$ 
  done

```

inductive-cases *Ap-E* [*elim!*]: $p \cdot q \in \text{comb}$

12.3 Results about Contraction

For type checking: replaces $a \rightarrow^1 b$ by $a, b \in \text{comb}$.

```

lemmas contract-combE2 = contract.dom-subset [THEN subsetD, THEN SigmaE2]
  and contract-combD1 = contract.dom-subset [THEN subsetD, THEN SigmaD1]
  and contract-combD2 = contract.dom-subset [THEN subsetD, THEN SigmaD2]

```

```

lemma field-contract-eq: field(contract) = comb
  by (blast intro: contract.K elim!: contract-combE2)

```

```

lemmas reduction-refl =
  field-contract-eq [THEN equalityD2, THEN subsetD, THEN rtrancl-refl]

```

```

lemmas rtrancl-into-rtrancl2 =
  r-into-rtrancl [THEN trans-rtrancl [THEN transD]]

```

```

declare reduction-refl [intro!] contract.K [intro!] contract.S [intro!]

```

```

lemmas reduction-rls =
  contract.K [THEN rtrancl-into-rtrancl2]
  contract.S [THEN rtrancl-into-rtrancl2]
  contract.Ap1 [THEN rtrancl-into-rtrancl2]
  contract.Ap2 [THEN rtrancl-into-rtrancl2]

```

lemma $p \in \text{comb} \implies I \cdot p \rightarrow p$
 — Example only: not used
unfolding $I\text{-def}$ **by** (*blast intro: reduction-rls*)

lemma $\text{comb}\text{-}I: I \in \text{comb}$
unfolding $I\text{-def}$ **by** *blast*

12.4 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases $K\text{-contract}E$ [*elim!*]: $K \rightarrow^1 r$
and $S\text{-contract}E$ [*elim!*]: $S \rightarrow^1 r$
and $Ap\text{-contract}E$ [*elim!*]: $p \cdot q \rightarrow^1 r$

lemma $I\text{-contract}\text{-}E: I \rightarrow^1 r \implies P$
by (*auto simp add: I-def*)

lemma $K1\text{-contract}D: K \cdot p \rightarrow^1 r \implies (\exists q. r = K \cdot q \wedge p \rightarrow^1 q)$
by *auto*

lemma $Ap\text{-reduce}1: \llbracket p \rightarrow q; r \in \text{comb} \rrbracket \implies p \cdot r \rightarrow q \cdot r$
apply (*frule rtrancl-type [THEN subsetD, THEN SigmaD1]*)
apply (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)
apply (*erule rtrancl-induct*)
apply (*blast intro: reduction-rls*)
apply (*erule trans-rtrancl [THEN transD]*)
apply (*blast intro: contract-combD2 reduction-rls*)
done

lemma $Ap\text{-reduce}2: \llbracket p \rightarrow q; r \in \text{comb} \rrbracket \implies r \cdot p \rightarrow r \cdot q$
apply (*frule rtrancl-type [THEN subsetD, THEN SigmaD1]*)
apply (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)
apply (*erule rtrancl-induct*)
apply (*blast intro: reduction-rls*)
apply (*blast intro: trans-rtrancl [THEN transD]*
contract-combD2 reduction-rls)
done

Counterexample to the diamond property for \rightarrow^1 .

lemma $KIII\text{-contract}1: K \cdot I \cdot (I \cdot I) \rightarrow^1 I$
by (*blast intro: comb-I*)

lemma $KIII\text{-contract}2: K \cdot I \cdot (I \cdot I) \rightarrow^1 K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$
by (*unfold I-def*) (*blast intro: contract.intros*)

lemma $KIII\text{-contract}3: K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) \rightarrow^1 I$
by (*blast intro: comb-I*)

lemma $\text{not-diamond-contract}: \neg \text{diamond}(\text{contract})$

```

  unfolding diamond-def
  apply (blast intro: KIII-contract1 KIII-contract2 KIII-contract3
    elim!: I-contract-E)
done

```

12.5 Results about Parallel Contraction

For type checking: replaces $a \Rightarrow^1 b$ by $a, b \in \text{comb}$

```

lemmas parcontract-combE2 = parcontract.dom-subset [THEN subsetD, THEN
SigmaE2]
  and parcontract-combD1 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD1]
  and parcontract-combD2 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD2]

```

```

lemma field-parcontract-eq: field(parcontract) = comb
  by (blast intro: parcontract.K elim!: parcontract-combE2)

```

Derive a case for each combinator constructor.

inductive-cases

```

  K-parcontractE [elim!]: K  $\Rightarrow^1$  r
  and S-parcontractE [elim!]: S  $\Rightarrow^1$  r
  and Ap-parcontractE [elim!]: p.q  $\Rightarrow^1$  r

```

```

declare parcontract.intros [intro]

```

12.6 Basic properties of parallel contraction

```

lemma K1-parcontractD [dest!]:
  K.p  $\Rightarrow^1$  r  $\implies$  ( $\exists p'. r = K.p' \wedge p \Rightarrow^1 p'$ )
  by auto

```

```

lemma S1-parcontractD [dest!]:
  S.p  $\Rightarrow^1$  r  $\implies$  ( $\exists p'. r = S.p' \wedge p \Rightarrow^1 p'$ )
  by auto

```

```

lemma S2-parcontractD [dest!]:
  S.p.q  $\Rightarrow^1$  r  $\implies$  ( $\exists p' q'. r = S.p'.q' \wedge p \Rightarrow^1 p' \wedge q \Rightarrow^1 q'$ )
  by auto

```

```

lemma diamond-parcontract: diamond(parcontract)
  — Church-Rosser property for parallel contraction
  unfolding diamond-def
  apply (rule impI [THEN allI, THEN allI])
  apply (erule parcontract.induct)
  apply (blast elim!: comb.free-elim intro: parcontract-combD2)+
done

```

Equivalence of $p \rightarrow q$ and $p \Rightarrow q$.

```

lemma contract-imp-parcontract:  $p \rightarrow^1 q \implies p \Rightarrow^1 q$ 
  by (induct set: contract) auto

lemma reduce-imp-parreduce:  $p \rightarrow q \implies p \Rightarrow q$ 
  apply (frule rtrancl-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
  apply (erule rtrancl-induct)
  apply (blast intro: r-into-trancl)
  apply (blast intro: contract-imp-parcontract r-into-trancl
    trans-trancl [THEN transD])
  done

lemma parcontract-imp-reduce:  $p \Rightarrow^1 q \implies p \rightarrow q$ 
  apply (induct set: parcontract)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: trans-rtrancl [THEN transD])
  Ap-reduce1 Ap-reduce2 parcontract-combD1 parcontract-combD2)
  done

lemma parreduce-imp-reduce:  $p \Rightarrow q \implies p \rightarrow q$ 
  apply (frule trancl-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-parcontract-eq [THEN equalityD1, THEN subsetD])
  apply (erule trancl-induct, erule parcontract-imp-reduce)
  apply (erule trans-rtrancl [THEN transD])
  apply (erule parcontract-imp-reduce)
  done

lemma parreduce-iff-reduce:  $p \Rightarrow q \iff p \rightarrow q$ 
  by (blast intro: parreduce-imp-reduce reduce-imp-parreduce)

end

```

13 Primitive Recursive Functions: the inductive definition

theory *Primrec* **imports** *ZF* **begin**

Proof adopted from [4].

See also [2, page 250, exercise 11].

13.1 Basic definitions

definition

$SC :: i$ **where**
 $SC \equiv \lambda l \in list(nat). list-case(0, \lambda x xs. succ(x), l)$

definition

$CONSTANT :: i \Rightarrow i$ **where**
 $CONSTANT(k) \equiv \lambda l \in list(nat). k$

definition

$PROJ :: i \Rightarrow i$ **where**
 $PROJ(i) \equiv \lambda l \in list(nat). list-case(0, \lambda x xs. x, drop(i,l))$

definition

$COMP :: [i,i] \Rightarrow i$ **where**
 $COMP(g,fs) \equiv \lambda l \in list(nat). g \text{ ' } map(\lambda f. f^l, fs)$

definition

$PREC :: [i,i] \Rightarrow i$ **where**
 $PREC(f,g) \equiv$
 $\lambda l \in list(nat). list-case(0,$
 $\lambda x xs. rec(x, f^xs, \lambda y r. g \text{ ' } Cons(r, Cons(y, xs))), l)$
— Note that g is applied first to $PREC(f, g) \text{ ' } y$ and then to $y!$

consts

$ACK :: i \Rightarrow i$

primrec

$ACK(0) = SC$
 $ACK(succ(i)) = PREC (CONSTANT (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

abbreviation

$ack :: [i,i] \Rightarrow i$ **where**
 $ack(x,y) \equiv ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

lemma SC : $\llbracket x \in nat; l \in list(nat) \rrbracket \Longrightarrow SC \text{ ' } (Cons(x,l)) = succ(x)$
by (*simp add: SC-def*)

lemma $CONSTANT$: $l \in list(nat) \Longrightarrow CONSTANT(k) \text{ ' } l = k$
by (*simp add: CONSTANT-def*)

lemma $PROJ-0$: $\llbracket x \in nat; l \in list(nat) \rrbracket \Longrightarrow PROJ(0) \text{ ' } (Cons(x,l)) = x$
by (*simp add: PROJ-def*)

lemma $COMP-1$: $l \in list(nat) \Longrightarrow COMP(g,[f]) \text{ ' } l = g \text{ ' } [f^l]$
by (*simp add: COMP-def*)

lemma $PREC-0$: $l \in list(nat) \Longrightarrow PREC(f,g) \text{ ' } (Cons(0,l)) = f^l$
by (*simp add: PREC-def*)

lemma $PREC-succ$:

$\llbracket x \in nat; l \in list(nat) \rrbracket$
 $\Longrightarrow PREC(f,g) \text{ ' } (Cons(succ(x),l)) =$
 $g \text{ ' } Cons(PREC(f,g) \text{ ' } (Cons(x,l)), Cons(x,l))$

by (*simp add: PREC-def*)

13.2 Inductive definition of the PR functions

consts

prim-rec :: *i*

inductive

domains *prim-rec* \subseteq *list*(*nat*) \rightarrow *nat*

intros

SC \in *prim-rec*

$k \in \text{nat} \implies \text{CONSTANT}(k) \in \text{prim-rec}$

$i \in \text{nat} \implies \text{PROJ}(i) \in \text{prim-rec}$

$\llbracket g \in \text{prim-rec}; fs \in \text{list}(\text{prim-rec}) \rrbracket \implies \text{COMP}(g,fs) \in \text{prim-rec}$

$\llbracket f \in \text{prim-rec}; g \in \text{prim-rec} \rrbracket \implies \text{PREC}(f,g) \in \text{prim-rec}$

monos *list-mono*

con-defs *SC-def* *CONSTANT-def* *PROJ-def* *COMP-def* *PREC-def*

type-intros *nat-typechecks* *list.intros*

lam-type *list-case-type* *drop-type* *map-type*

apply-type *rec-type*

lemma *prim-rec-into-fun* [*TC*]: $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$

by (*erule subsetD [OF prim-rec.dom-subset]*)

lemmas [*TC*] = *apply-type* [*OF prim-rec-into-fun*]

declare *prim-rec.intros* [*TC*]

declare *nat-into-Ord* [*TC*]

declare *rec-type* [*TC*]

lemma *ACK-in-prim-rec* [*TC*]: $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$

by (*induct set: nat*) *simp-all*

lemma *ack-type* [*TC*]: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{ack}(i,j) \in \text{nat}$

by *auto*

13.3 Ackermann's function cases

lemma *ack-0*: $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$

— PROPERTY A 1

by (*simp add: SC*)

lemma *ack-succ-0*: $\text{ack}(\text{succ}(i), 0) = \text{ack}(i,1)$

— PROPERTY A 2

by (*simp add: CONSTANT PREC-0*)

lemma *ack-succ-succ*:

$\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{ack}(\text{succ}(i), \text{succ}(j)) = \text{ack}(i, \text{ack}(\text{succ}(i), j))$

— PROPERTY A 3

by (*simp add: CONSTANT PREC-succ COMP-1 PROJ-0*)

lemmas [*simp*] = *ack-0 ack-succ-0 ack-succ-succ ack-type*
and [*simp del*] = *ACK.simps*

lemma *lt-ack2*: $i \in \text{nat} \implies j \in \text{nat} \implies j < \text{ack}(i, j)$
— PROPERTY A 4
apply (*induct i arbitrary: j set: nat*)
apply *simp*
apply (*induct-tac j*)
apply (*erule-tac [2] succ-leI [THEN lt-trans1]*)
apply (*rule nat-0I [THEN nat-0-le, THEN lt-trans]*)
apply *auto*
done

lemma *ack-lt-ack-succ2*: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{ack}(i, j) < \text{ack}(i, \text{succ}(j))$
— PROPERTY A 5-, the single-step lemma
by (*induct set: nat*) (*simp-all add: lt-ack2*)

lemma *ack-lt-mono2*: $\llbracket j < k; i \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{ack}(i, j) < \text{ack}(i, k)$
— PROPERTY A 5, monotonicity for <
apply (*frule lt-nat-in-nat, assumption*)
apply (*erule succ-lt-induct*)
apply *assumption*
apply (*rule-tac [2] lt-trans*)
apply (*auto intro: ack-lt-ack-succ2*)
done

lemma *ack-le-mono2*: $\llbracket j \leq k; i \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{ack}(i, j) \leq \text{ack}(i, k)$
— PROPERTY A 5', monotonicity for \leq
apply (*rule-tac f = $\lambda j. \text{ack}(i, j)$ in Ord-lt-mono-imp-le-mono*)
apply (*assumption | rule ack-lt-mono2 ack-type [THEN nat-into-Ord]*)
done

lemma *ack2-le-ack1*:
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$
— PROPERTY A 6
apply (*induct-tac j*)
apply *simp-all*
apply (*rule ack-le-mono2*)
apply (*rule lt-ack2 [THEN succ-leI, THEN le-trans]*)
apply *auto*
done

lemma *ack-lt-ack-succ1*: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$
— PROPERTY A 7-, the single-step lemma
apply (*rule ack-lt-mono2 [THEN lt-trans2]*)
apply (*rule-tac [4] ack2-le-ack1*)

```

    apply auto
  done

lemma ack-lt-mono1:  $\llbracket i < j; j \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{ack}(i, k) < \text{ack}(j, k)$ 
  — PROPERTY A 7, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
    apply assumption
    apply (rule-tac [2] lt-trans)
    apply (auto intro: ack-lt-ack-succ1)
  done

lemma ack-le-mono1:  $\llbracket i \leq j; j \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{ack}(i, k) \leq \text{ack}(j, k)$ 
  — PROPERTY A 7', monotonicity for  $\leq$ 
  apply (rule-tac  $f = \lambda j. \text{ack}(j, k)$  in Ord-lt-mono-imp-le-mono)
    apply (assumption | rule ack-lt-mono1 ack-type [THEN nat-into-Ord])+
  done

lemma ack-1:  $j \in \text{nat} \implies \text{ack}(1, j) = \text{succ}(\text{succ}(j))$ 
  — PROPERTY A 8
  by (induct set: nat) simp-all

lemma ack-2:  $j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j \# + j)))$ 
  — PROPERTY A 9
  by (induct set: nat) (simp-all add: ack-1)

lemma ack-nest-bound:
   $\llbracket i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat} \rrbracket$ 
   $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$ 
  — PROPERTY A 10
  apply (rule lt-trans2 [OF - ack2-le-ack1])
    apply simp
    apply (rule add-le-self [THEN ack-le-mono1, THEN lt-trans1])
    apply auto
  apply (force intro: add-le-self2 [THEN ack-lt-mono1, THEN ack-lt-mono2])
  done

lemma ack-add-bound:
   $\llbracket i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat} \rrbracket$ 
   $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2))))), j)$ 
  — PROPERTY A 11
  apply (rule-tac  $j = \text{ack}(1, \text{ack}(i1 \# + i2, j))$  in lt-trans)
    apply (simp add: ack-2)
    apply (rule-tac [2] ack-nest-bound [THEN lt-trans2])
    apply (rule add-le-mono [THEN leI, THEN leI])
    apply (auto intro: add-le-self add-le-self2 ack-le-mono1)
  done

lemma ack-add-bound2:

```

```

     $\llbracket i < \text{ack}(k,j); j \in \text{nat}; k \in \text{nat} \rrbracket$ 
     $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k))))), j$ 
  — PROPERTY A 12.
  — Article uses existential quantifier but the ALF proof used  $k \# + \#4$ .
  — Quantified version must be nested  $\exists k'. \forall i,j \dots$ 
apply (rule-tac  $j = \text{ack}(k,j) \# + \text{ack}(0,j)$  in lt-trans)
apply (rule-tac [2] ack-add-bound [THEN lt-trans2])
apply (rule add-lt-mono)
apply auto
done

```

13.4 Main result

```

declare list-add-type [simp]

```

```

lemma SC-case:  $l \in \text{list}(\text{nat}) \implies SC \text{ ' } l < \text{ack}(1, \text{list-add}(l))$ 
unfolding SC-def
apply (erule list.cases)
apply (simp add: succ-iff)
apply (simp add: ack-1 add-le-self)
done

```

```

lemma lt-ack1:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies i < \text{ack}(i,j)$ 
  — PROPERTY A 4'? Extra lemma needed for CONSTANT case, constant func-
  tions.
apply (induct-tac  $i$ )
apply (simp add: nat-0-le)
apply (erule lt-trans1 [OF succ-leI ack-lt-ack-succ1])
apply auto
done

```

```

lemma CONSTANT-case:
   $\llbracket l \in \text{list}(\text{nat}); k \in \text{nat} \rrbracket \implies \text{CONSTANT}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$ 
by (simp add: CONSTANT-def lt-ack1)

```

```

lemma PROJ-case [rule-format]:
   $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$ 
unfolding PROJ-def
apply simp
apply (erule list.induct)
apply (simp add: nat-0-le)
apply simp
apply (rule ballI)
apply (erule-tac  $n = i$  in natE)
apply (simp add: add-le-self)
apply simp
apply (erule bspec [THEN lt-trans2])
apply (rule-tac [2] add-le-self2 [THEN succ-leI])
apply auto

```

done

COMP case.

lemma *COMP-map-lemma*:

$fs \in \text{list}(\{f \in \text{prim-rec. } \exists kf \in \text{nat. } \forall l \in \text{list}(\text{nat}). f^l < \text{ack}(kf, \text{list-add}(l))\})$

$\implies \exists k \in \text{nat. } \forall l \in \text{list}(\text{nat}).$

$\text{list-add}(\text{map}(\lambda f. f^l, fs)) < \text{ack}(k, \text{list-add}(l))$

apply (*induct set: list*)

apply (*rule-tac x = 0 in beXI*)

apply (*simp-all add: lt-ack1 nat-0-le*)

apply *clarify*

apply (*rule ballI [THEN beXI]*)

apply (*rule add-lt-mono [THEN lt-trans]*)

apply (*rule-tac [5] ack-add-bound*)

apply *blast*

apply *auto*

done

lemma *COMP-case*:

$\llbracket kg \in \text{nat};$

$\forall l \in \text{list}(\text{nat}). g^l < \text{ack}(kg, \text{list-add}(l));$

$fs \in \text{list}(\{f \in \text{prim-rec.}$

$\exists kf \in \text{nat. } \forall l \in \text{list}(\text{nat}).$

$f^l < \text{ack}(kf, \text{list-add}(l))\}) \rrbracket$

$\implies \exists k \in \text{nat. } \forall l \in \text{list}(\text{nat}). \text{COMP}(g, fs)^l < \text{ack}(k, \text{list-add}(l))$

apply (*simp add: COMP-def*)

apply (*frule list-CollectD*)

apply (*erule COMP-map-lemma [THEN beXE]*)

apply (*rule ballI [THEN beXI]*)

apply (*erule bspec [THEN lt-trans]*)

apply (*rule-tac [2] lt-trans*)

apply (*rule-tac [3] ack-nest-bound*)

apply (*erule-tac [2] bspec [THEN ack-lt-mono2]*)

apply *auto*

done

PREC case.

lemma *PREC-case-lemma*:

$\llbracket \forall l \in \text{list}(\text{nat}). f^l \# + \text{list-add}(l) < \text{ack}(kf, \text{list-add}(l));$

$\forall l \in \text{list}(\text{nat}). g^l \# + \text{list-add}(l) < \text{ack}(kg, \text{list-add}(l));$

$f \in \text{prim-rec}; kf \in \text{nat};$

$g \in \text{prim-rec}; kg \in \text{nat};$

$l \in \text{list}(\text{nat}) \rrbracket$

$\implies \text{PREC}(f, g)^l \# + \text{list-add}(l) < \text{ack}(\text{succ}(kf \# + kg), \text{list-add}(l))$

unfolding *PREC-def*

apply (*erule list.cases*)

apply (*simp add: lt-trans [OF nat-le-refl lt-ack2]*)

apply *simp*

apply (*erule ssubst*) — get rid of the needless assumption
apply (*induct-tac a*)
apply *simp-all*

base case

apply (*rule lt-trans, erule bspec, assumption*)
apply (*simp add: add-le-self [THEN ack-lt-mono1]*)

ind step

apply (*rule succ-leI [THEN lt-trans1]*)
apply (*rule-tac j = g ‘ ll #+ mm for ll mm in lt-trans1*)
apply (*erule-tac [2] bspec*)
apply (*rule nat-le-refl [THEN add-le-mono]*)
apply *typecheck*
apply (*simp add: add-le-self2*)

final part of the simplification

apply *simp*
apply (*rule add-le-self2 [THEN ack-le-mono1, THEN lt-trans1]*)
apply (*erule-tac [4] ack-lt-mono2*)
apply *auto*
done

lemma *PREC-case*:

$\llbracket f \in \text{prim-rec}; kf \in \text{nat};$
 $g \in \text{prim-rec}; kg \in \text{nat};$
 $\forall l \in \text{list}(\text{nat}). f^l < \text{ack}(kf, \text{list-add}(l));$
 $\forall l \in \text{list}(\text{nat}). g^l < \text{ack}(kg, \text{list-add}(l)) \rrbracket$
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f, g)^l < \text{ack}(k, \text{list-add}(l))$
apply (*rule ballI [THEN beXI]*)
apply (*rule lt-trans1 [OF add-le-self PREC-case-lemma]*)
apply *typecheck*
apply (*blast intro: ack-add-bound2 list-add-type*)
done

lemma *ack-bounds-prim-rec*:

$f \in \text{prim-rec} \implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f^l < \text{ack}(k, \text{list-add}(l))$
apply (*induct set: prim-rec*)
apply (*auto intro: SC-case CONSTANT-case PROJ-case COMP-case PREC-case*)
done

theorem *ack-not-prim-rec*:

$(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x xs. \text{ack}(x, x), l)) \notin \text{prim-rec}$
apply (*rule notI*)
apply (*erule ack-bounds-prim-rec*)
apply *force*
done

end

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