

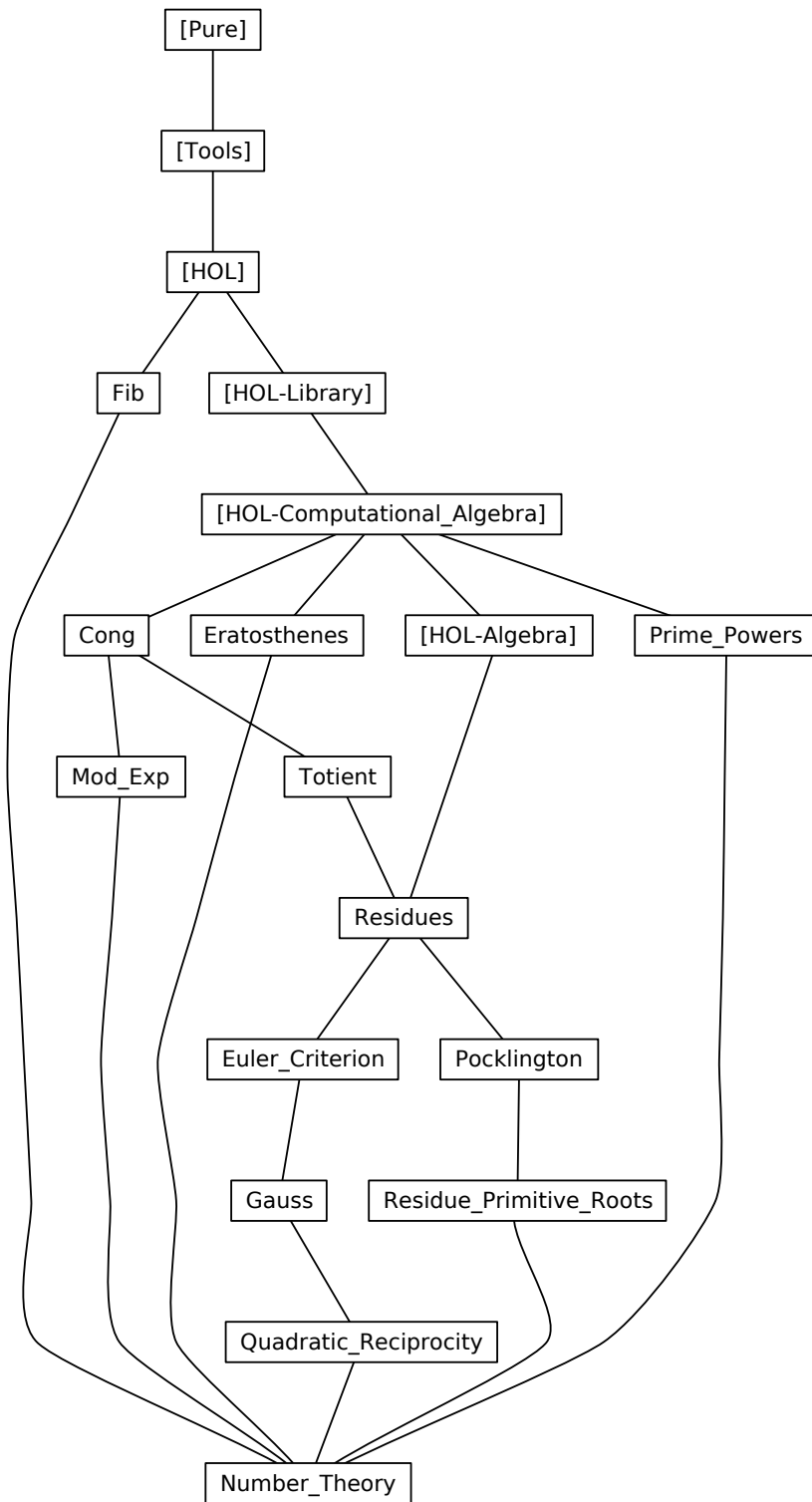
Various results of number theory

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1 The fibonacci function

```
theory Fib
  imports Complex-Main
begin
```

1.1 Fibonacci numbers

```
fun fib :: nat  $\Rightarrow$  nat
  where
    fib0: fib 0 = 0
  | fib1: fib (Suc 0) = 1
  | fib2: fib (Suc (Suc n)) = fib (Suc n) + fib n
```

1.2 Basic Properties

```
lemma fib-1 [simp]: fib 1 = 1
  <proof>
```

```
lemma fib-2 [simp]: fib 2 = 1
  <proof>
```

```
lemma fib-plus-2: fib (n + 2) = fib (n + 1) + fib n
  <proof>
```

```
lemma fib-add: fib (Suc (n + k)) = fib (Suc k) * fib (Suc n) + fib k * fib n
  <proof>
```

```
lemma fib-neq-0-nat: n > 0  $\implies$  fib n > 0
  <proof>
```

```
lemma fib-Suc-mono: fib m  $\leq$  fib (Suc m)
  <proof>
```

```
lemma fib-mono: m  $\leq$  n  $\implies$  fib m  $\leq$  fib n
  <proof>
```

1.3 More efficient code

The naive approach is very inefficient since the branching recursion leads to many values of *fib* being computed multiple times. We can avoid this by “remembering” the last two values in the sequence, yielding a tail-recursive version. This is far from optimal (it takes roughly $O(n \cdot M(n))$ time where $M(n)$ is the time required to multiply two n -bit integers), but much better than the naive version, which is exponential.

```
fun gen-fib :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    gen-fib a b 0 = a
  | gen-fib a b (Suc 0) = b
```

$$| \text{gen-fib } a \ b \ (\text{Suc } (\text{Suc } n)) = \text{gen-fib } b \ (a + b) \ (\text{Suc } n)$$

lemma *gen-fib-recurrence*: $\text{gen-fib } a \ b \ (\text{Suc } (\text{Suc } n)) = \text{gen-fib } a \ b \ n + \text{gen-fib } a \ b \ (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *gen-fib-fib*: $\text{gen-fib } (\text{fib } n) \ (\text{fib } (\text{Suc } n)) \ m = \text{fib } (n + m)$
 $\langle \text{proof} \rangle$

lemma *fib-conv-gen-fib*: $\text{fib } n = \text{gen-fib } 0 \ 1 \ n$
 $\langle \text{proof} \rangle$

declare *fib-conv-gen-fib* [code]

1.4 A Few Elementary Results

Concrete Mathematics, page 278: Cassini's identity. The proof is much easier using integers, not natural numbers!

lemma *fib-Cassini-int*: $\text{int } (\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n) - \text{int } ((\text{fib } (\text{Suc } n))^2) = -((-1)^n)$
 $\langle \text{proof} \rangle$

lemma *fib-Cassini-nat*:
 $\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n =$
 $(\text{if even } n \text{ then } (\text{fib } (\text{Suc } n))^2 - 1 \text{ else } (\text{fib } (\text{Suc } n))^2 + 1)$
 $\langle \text{proof} \rangle$

1.5 Law 6.111 of Concrete Mathematics

lemma *coprime-fib-Suc-nat*: $\text{coprime } (\text{fib } n) \ (\text{fib } (\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma *gcd-fib-add*:
 $\text{gcd } (\text{fib } m) \ (\text{fib } (n + m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$
 $\langle \text{proof} \rangle$

lemma *gcd-fib-diff*: $m \leq n \implies \text{gcd } (\text{fib } m) \ (\text{fib } (n - m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$
 $\langle \text{proof} \rangle$

lemma *gcd-fib-mod*: $0 < m \implies \text{gcd } (\text{fib } m) \ (\text{fib } (n \bmod m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$
 $\langle \text{proof} \rangle$

lemma *fib-gcd*: $\text{fib } (\text{gcd } m \ n) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$ — Law 6.111
 $\langle \text{proof} \rangle$

theorem *fib-mult-eq-sum-nat*: $\text{fib } (\text{Suc } n) * \text{fib } n = (\sum k \in \{..n\}. \text{fib } k * \text{fib } k)$
 $\langle \text{proof} \rangle$

1.6 Closed form

lemma *fib-closed-form*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
shows  $\text{of-nat } (\text{fib } n) = (\varphi ^ n - \psi ^ n) / \text{sqrt } 5$ 
<proof>

```

lemma *fib-closed-form'*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
assumes  $n > 0$ 
shows  $\text{fib } n = \text{round } (\varphi ^ n / \text{sqrt } 5)$ 
<proof>

```

lemma *fib-asymptotics*:

```

fixes  $\varphi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
shows  $(\lambda n. \text{real } (\text{fib } n) / (\varphi ^ n / \text{sqrt } 5)) \longrightarrow 1$ 
<proof>

```

1.7 Divide-and-Conquer recurrence

The following divide-and-conquer recurrence allows for a more efficient computation of Fibonacci numbers; however, it requires memoisation of values to be reasonably efficient, cutting the number of values to be computed to logarithmically many instead of linearly many. The vast majority of the computation time is then actually spent on the multiplication, since the output number is exponential in the input number.

lemma *fib-rec-odd*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
shows  $\text{fib } (\text{Suc } (2 * n)) = \text{fib } n ^ 2 + \text{fib } (\text{Suc } n) ^ 2$ 
<proof>

```

lemma *fib-rec-even*: $\text{fib } (2 * n) = (\text{fib } (n - 1) + \text{fib } (n + 1)) * \text{fib } n$

<proof>

lemma *fib-rec-even'*: $\text{fib } (2 * n) = (2 * \text{fib } (n - 1) + \text{fib } n) * \text{fib } n$

<proof>

lemma *fib-rec*:

```

fib  $n =$ 
  (if  $n = 0$  then 0 else if  $n = 1$  then 1
   else if even  $n$  then let  $n' = n \text{ div } 2$ ;  $fn = \text{fib } n'$  in  $(2 * \text{fib } (n' - 1) + fn) * fn$ 
   else let  $n' = n \text{ div } 2$  in  $\text{fib } n' ^ 2 + \text{fib } (\text{Suc } n') ^ 2$ )

```

$\langle proof \rangle$

1.8 Fibonacci and Binomial Coefficients

lemma *sum-drop-zero*: $(\sum k = 0..Suc\ n. \text{if } 0 < k \text{ then } (f\ (k - 1)) \text{ else } 0) = (\sum j = 0..n. f\ j)$
 $\langle proof \rangle$

lemma *sum-choose-drop-zero*:
 $(\sum k = 0..Suc\ n. \text{if } k = 0 \text{ then } 0 \text{ else } (Suc\ n - k) \text{ choose } (k - 1)) =$
 $(\sum j = 0..n. (n-j) \text{ choose } j)$
 $\langle proof \rangle$

lemma *ne-diagonal-fib*: $(\sum k = 0..n. (n-k) \text{ choose } k) = fib\ (Suc\ n)$
 $\langle proof \rangle$

end

2 Congruence

theory *Cong*
imports *HOL-Computational-Algebra.Primes*
begin

2.1 Generic congruences

context *unique-euclidean-semiring*
begin

definition *cong* :: $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$
 $(\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix } cong \rangle [- = -] '(\text{' mod -})) \rangle)$
where $[b = c] \text{ (mod } a) \longleftrightarrow b \text{ mod } a = c \text{ mod } a$

abbreviation *notcong* :: $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$
 $(\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix } notcong \rangle [- \neq -] '(\text{' mod -})) \rangle)$
where $[b \neq c] \text{ (mod } a) \equiv \neg \text{ cong } b\ c\ a$

lemma *cong-refl* [*simp*]:
 $[b = b] \text{ (mod } a)$
 $\langle proof \rangle$

lemma *cong-sym*:
 $[b = c] \text{ (mod } a) \implies [c = b] \text{ (mod } a)$
 $\langle proof \rangle$

lemma *cong-sym-eq*:
 $[b = c] \text{ (mod } a) \longleftrightarrow [c = b] \text{ (mod } a)$
 $\langle proof \rangle$

lemma *cong-trans* [*trans*]:
 $[b = c] \text{ (mod } a) \implies [c = d] \text{ (mod } a) \implies [b = d] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-mult-self-right*:
 $[b * a = 0] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-mult-self-left*:
 $[a * b = 0] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-mod-left* [*simp*]:
 $[b \text{ mod } a = c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-mod-right* [*simp*]:
 $[b = c \text{ mod } a] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-0* [*simp*, *presburger*]:
 $[b = c] \text{ (mod } 0) \longleftrightarrow b = c$
 $\langle \text{proof} \rangle$

lemma *cong-1* [*simp*, *presburger*]:
 $[b = c] \text{ (mod } 1)$
 $\langle \text{proof} \rangle$

lemma *cong-dvd-iff*:
 $a \text{ dvd } b \longleftrightarrow a \text{ dvd } c \text{ if } [b = c] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-0-iff*: $[b = 0] \text{ (mod } a) \longleftrightarrow a \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *cong-add*:
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b + d = c + e] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-mult*:
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b * d = c * e] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-scalar-right*:
 $[b = c] \text{ (mod } a) \implies [b * d = c * d] \text{ (mod } a)$
 $\langle \text{proof} \rangle$

lemma *cong-scalar-left*:
 $[b = c] \text{ (mod } a) \implies [d * b = d * c] \text{ (mod } a)$

$\langle proof \rangle$

lemma *cong-pow*:

$$[b = c] \text{ (mod } a) \implies [b \wedge n = c \wedge n] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *cong-sum*:

$$[sum\ f\ A = sum\ g\ A] \text{ (mod } a) \text{ if } \bigwedge x. x \in A \implies [f\ x = g\ x] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *cong-prod*:

$$[prod\ f\ A = prod\ g\ A] \text{ (mod } a) \text{ if } (\bigwedge x. x \in A \implies [f\ x = g\ x] \text{ (mod } a))$$

$\langle proof \rangle$

lemma *mod-mult-cong-right*:

$$[c \text{ mod } (a * b) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *mod-mult-cong-left*:

$$[c \text{ mod } (b * a) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$$

$\langle proof \rangle$

end

context *unique-euclidean-ring*

begin

lemma *cong-diff*:

$$[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b - d = c - e] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *cong-diff-iff-cong-0*:

$$[b - c = 0] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a) \text{ (is } ?P \longleftrightarrow ?Q)$$

$\langle proof \rangle$

lemma *cong-minus-minus-iff*:

$$[-\ b = -\ c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *cong-modulus-minus-iff* [iff]:

$$[b = c] \text{ (mod } -\ a) \longleftrightarrow [b = c] \text{ (mod } a)$$

$\langle proof \rangle$

lemma *cong-iff-dvd-diff*:

$$[a = b] \text{ (mod } m) \longleftrightarrow m\ \text{dvd}\ (a - b)$$

$\langle proof \rangle$

lemma *cong-iff-lin*:

$$[a = b] \text{ (mod } m) \longleftrightarrow (\exists k. b = a + m * k) \text{ (is } ?P \longleftrightarrow ?Q)$$

$\langle proof \rangle$

lemma *cong-add-lcancel*:

$[a + x = a + y] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$
 $\langle proof \rangle$

lemma *cong-add-rcancel*:

$[x + a = y + a] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$
 $\langle proof \rangle$

lemma *cong-add-lcancel-0*:

$[a + x = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$
 $\langle proof \rangle$

lemma *cong-add-rcancel-0*:

$[x + a = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$
 $\langle proof \rangle$

lemma *cong-dvd-modulus*:

$[x = y] \text{ (mod } n) \text{ if } [x = y] \text{ (mod } m) \text{ and } n \text{ dvd } m$
 $\langle proof \rangle$

lemma *cong-modulus-mult*:

$[x = y] \text{ (mod } m) \text{ if } [x = y] \text{ (mod } m * n)$
 $\langle proof \rangle$

end

lemma *cong-abs [simp]*:

$[x = y] \text{ (mod } |m|) \longleftrightarrow [x = y] \text{ (mod } m)$
for $x\ y :: 'a :: \{\text{unique-euclidean-ring, linordered-idom}\}$
 $\langle proof \rangle$

lemma *cong-square*:

$\text{prime } p \implies 0 < a \implies [a * a = 1] \text{ (mod } p) \implies [a = 1] \text{ (mod } p) \vee [a = -1] \text{ (mod } p)$
for $a\ p :: 'a :: \{\text{normalization-semidom, linordered-idom, unique-euclidean-ring}\}$
 $\langle proof \rangle$

lemma *cong-mult-rcancel*:

$[a * k = b * k] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$
if $\text{coprime } k\ m$ **for** $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
 $\langle proof \rangle$

lemma *cong-mult-lcancel*:

$[k * a = k * b] \text{ (mod } m) = [a = b] \text{ (mod } m)$
if $\text{coprime } k\ m$ **for** $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
 $\langle proof \rangle$

lemma *coprime-cong-mult*:

$[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m \ n \implies [a = b] \text{ (mod } m * n)$
for $a \ b :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$
 $\langle \text{proof} \rangle$

lemma *cong-gcd-eq*:

$\text{gcd } a \ m = \text{gcd } b \ m \text{ if } [a = b] \text{ (mod } m)$
for $a \ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
 $\langle \text{proof} \rangle$

lemma *cong-imp-coprime*:

$[a = b] \text{ (mod } m) \implies \text{coprime } a \ m \implies \text{coprime } b \ m$
for $a \ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
 $\langle \text{proof} \rangle$

lemma *cong-cong-prod-coprime*:

$[x = y] \text{ (mod } (\prod_{i \in A} m \ i)) \text{ if } (\forall i \in A. [x = y] \text{ (mod } m \ i))$
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)))$
for $x \ y :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$
 $\langle \text{proof} \rangle$

2.2 Congruences on *nat* and *int*

lemma *cong-int-iff*:

$[\text{int } m = \text{int } q] \text{ (mod int } n) \longleftrightarrow [m = q] \text{ (mod } n)$
 $\langle \text{proof} \rangle$

lemma *cong-Suc-0* [*simp, presburger*]:

$[m = n] \text{ (mod Suc } 0)$
 $\langle \text{proof} \rangle$

lemma *cong-diff-nat*:

$[a - c = b - d] \text{ (mod } m) \text{ if } [a = b] \text{ (mod } m) [c = d] \text{ (mod } m)$
and $a \geq c \ b \geq d \text{ for } a \ b \ c \ d \ m :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-diff-iff-cong-0-nat*:

$[a - b = 0] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m) \text{ if } a \geq b \text{ for } a \ b :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-diff-iff-cong-0-nat'*:

$[\text{nat } | \text{int } a - \text{int } b| = 0] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$
 $\langle \text{proof} \rangle$

lemma *cong-altdef-nat*:

$a \geq b \implies [a = b] \text{ (mod } m) \longleftrightarrow m \text{ dvd } (a - b)$
for $a \ b :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-altdef-nat'*:

$[a = b] \text{ (mod } m) \longleftrightarrow m \text{ dvd nat } | \text{int } a - \text{int } b |$
 $\langle \text{proof} \rangle$

lemma *cong-mult-rcancel-nat*:

$[a * k = b * k] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$
if *coprime* *k m* **for** *a k m :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-mult-lcancel-nat*:

$[k * a = k * b] \text{ (mod } m) = [a = b] \text{ (mod } m)$
if *coprime* *k m* **for** *a k m :: nat*
 $\langle \text{proof} \rangle$

lemma *coprime-cong-mult-nat*:

$[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m \ n \implies [a = b] \text{ (mod } m * n)$
for *a b :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-less-imp-eq-nat*: $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$

for *a b :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-less-imp-eq-int*: $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$

for *a b :: int*
 $\langle \text{proof} \rangle$

lemma *cong-less-unique-nat*: $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$

for *a m :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-less-unique-int*: $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$

for *a m :: int*
 $\langle \text{proof} \rangle$

lemma *cong-iff-lin-nat*: $[a = b] \text{ (mod } m) \longleftrightarrow (\exists k1 \ k2. b + k1 * m = a + k2 * m)$

for *a b :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-cong-mod-nat*: $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$

for *a b :: nat*
 $\langle \text{proof} \rangle$

lemma *cong-cong-mod-int*: $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$

```

for  $a\ b :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-add-lcancel-nat:  $[a + x = a + y] \ (\text{mod } n) \longleftrightarrow [x = y] \ (\text{mod } n)$ 
  for  $a\ x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-add-rcancel-nat:  $[x + a = y + a] \ (\text{mod } n) \longleftrightarrow [x = y] \ (\text{mod } n)$ 
  for  $a\ x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-add-lcancel-0-nat:  $[a + x = a] \ (\text{mod } n) \longleftrightarrow [x = 0] \ (\text{mod } n)$ 
  for  $a\ x :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-add-rcancel-0-nat:  $[x + a = a] \ (\text{mod } n) \longleftrightarrow [x = 0] \ (\text{mod } n)$ 
  for  $a\ x :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-dvd-modulus-nat:  $[x = y] \ (\text{mod } m) \implies n \text{ dvd } m \implies [x = y] \ (\text{mod } n)$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-to-1-nat:
  fixes  $a :: \text{nat}$ 
  assumes  $[a = 1] \ (\text{mod } n)$ 
  shows  $n \text{ dvd } (a - 1)$ 
   $\langle \text{proof} \rangle$ 

lemma cong-0-1-nat':  $[0 = \text{Suc } 0] \ (\text{mod } n) \longleftrightarrow n = \text{Suc } 0$ 
   $\langle \text{proof} \rangle$ 

lemma cong-0-1-nat:  $[0 = 1] \ (\text{mod } n) \longleftrightarrow n = 1$ 
  for  $n :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-0-1-int:  $[0 = 1] \ (\text{mod } n) \longleftrightarrow n = 1 \vee n = -1$ 
  for  $n :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-to-1'-nat:  $[a = 1] \ (\text{mod } n) \longleftrightarrow a = 0 \wedge n = 1 \vee (\exists m. a = 1 + m * n)$ 
  for  $a :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-le-nat:  $y \leq x \implies [x = y] \ (\text{mod } n) \longleftrightarrow (\exists q. x = q * n + y)$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

```

lemma *cong-solve-nat*:

fixes $a :: \text{nat}$

shows $\exists x. [a * x = \text{gcd } a \ n] \ (\text{mod } n)$

$\langle \text{proof} \rangle$

lemma *cong-solve-int*:

fixes $a :: \text{int}$

shows $\exists x. [a * x = \text{gcd } a \ n] \ (\text{mod } n)$

$\langle \text{proof} \rangle$

lemma *cong-solve-dvd-nat*:

fixes $a :: \text{nat}$

assumes $\text{gcd } a \ n \ \text{dvd } d$

shows $\exists x. [a * x = d] \ (\text{mod } n)$

$\langle \text{proof} \rangle$

lemma *cong-solve-dvd-int*:

fixes $a :: \text{int}$

assumes $b: \text{gcd } a \ n \ \text{dvd } d$

shows $\exists x. [a * x = d] \ (\text{mod } n)$

$\langle \text{proof} \rangle$

lemma *cong-solve-coprime-nat*:

$\exists x. [a * x = \text{Suc } 0] \ (\text{mod } n) \ \text{if } \text{coprime } a \ n$

$\langle \text{proof} \rangle$

lemma *cong-solve-coprime-int*:

$\exists x. [a * x = 1] \ (\text{mod } n) \ \text{if } \text{coprime } a \ n \ \text{for } a \ n \ x :: \text{int}$

$\langle \text{proof} \rangle$

lemma *coprime-iff-invertible-nat*:

$\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = \text{Suc } 0] \ (\text{mod } m)) \ (\text{is } ?P \longleftrightarrow ?Q)$

$\langle \text{proof} \rangle$

lemma *coprime-iff-invertible-int*:

$\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = 1] \ (\text{mod } m)) \ (\text{is } ?P \longleftrightarrow ?Q) \ \text{for } m :: \text{int}$

$\langle \text{proof} \rangle$

lemma *coprime-iff-invertible'-nat*:

assumes $m > 0$

shows $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = \text{Suc } 0] \ (\text{mod } m))$

$\langle \text{proof} \rangle$

lemma *coprime-iff-invertible'-int*:

fixes $m :: \text{int}$

assumes $m > 0$

shows $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = 1] \ (\text{mod } m))$

$\langle \text{proof} \rangle$

lemma *cong-cong-lcm-nat*: $[x = y] \text{ (mod } a) \implies [x = y] \text{ (mod } b) \implies [x = y] \text{ (mod lcm } a \text{ } b)$

for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-cong-lcm-int*: $[x = y] \text{ (mod } a) \implies [x = y] \text{ (mod } b) \implies [x = y] \text{ (mod lcm } a \text{ } b)$

for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *cong-cong-prod-coprime-nat*:

$[x = y] \text{ (mod } (\prod_{i \in A} m \ i))$ **if**
 $(\forall i \in A. [x = y] \text{ (mod } m \ i))$
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)))$
for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *binary-chinese-remainder-nat*:

fixes $m1 \ m2 :: \text{nat}$
assumes $a: \text{coprime } m1 \ m2$
shows $\exists x. [x = u1] \text{ (mod } m1) \wedge [x = u2] \text{ (mod } m2)$
 $\langle \text{proof} \rangle$

lemma *binary-chinese-remainder-int*:

fixes $m1 \ m2 :: \text{int}$
assumes $a: \text{coprime } m1 \ m2$
shows $\exists x. [x = u1] \text{ (mod } m1) \wedge [x = u2] \text{ (mod } m2)$
 $\langle \text{proof} \rangle$

lemma *cong-modulus-mult-nat*: $[x = y] \text{ (mod } m * n) \implies [x = y] \text{ (mod } m)$

for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-less-modulus-unique-nat*: $[x = y] \text{ (mod } m) \implies x < m \implies y < m \implies$

$x = y$
for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *binary-chinese-remainder-unique-nat*:

fixes $m1 \ m2 :: \text{nat}$
assumes $a: \text{coprime } m1 \ m2$
and $\text{nz}: m1 \neq 0 \ m2 \neq 0$
shows $\exists! x. x < m1 * m2 \wedge [x = u1] \text{ (mod } m1) \wedge [x = u2] \text{ (mod } m2)$
 $\langle \text{proof} \rangle$

lemma *chinese-remainder-nat*:

fixes $A :: 'a \text{ set}$
and $m :: 'a \Rightarrow \text{nat}$
and $u :: 'a \Rightarrow \text{nat}$

assumes *fin*: *finite A*
and *cop*: $\forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)$
shows $\exists x. \forall i \in A. [x = u \ i] \ (\text{mod } m \ i)$
 $\langle \text{proof} \rangle$

lemma *coprime-cong-prod-nat*: $[x = y] \ (\text{mod } (\prod_{i \in A} m \ i))$
if $\bigwedge i \ j. [i \in A; j \in A; i \neq j] \implies \text{coprime } (m \ i) \ (m \ j)$
and $\bigwedge i. i \in A \implies [x = y] \ (\text{mod } m \ i)$ **for** $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *chinese-remainder-unique-nat*:
fixes $A :: 'a \text{ set}$
and $m :: 'a \Rightarrow \text{nat}$
and $u :: 'a \Rightarrow \text{nat}$
assumes *fin*: *finite A*
and *nz*: $\forall i \in A. m \ i \neq 0$
and *cop*: $\forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)$
shows $\exists! x. x < (\prod_{i \in A} m \ i) \wedge (\forall i \in A. [x = u \ i] \ (\text{mod } m \ i))$
 $\langle \text{proof} \rangle$

lemma (*in semiring-1-cancel*) *of-nat-eq-iff-cong-CHAR*:
 $\text{of-nat } x = (\text{of-nat } y :: 'a) \longleftrightarrow [x = y] \ (\text{mod } \text{CHAR}('a))$
 $\langle \text{proof} \rangle$

lemma (*in ring-1*) *of-int-eq-iff-cong-CHAR*:
 $\text{of-int } x = (\text{of-int } y :: 'a) \longleftrightarrow [x = y] \ (\text{mod } \text{int } \text{CHAR}('a))$
 $\langle \text{proof} \rangle$

Thanks to Manuel Eberl

lemma *prime-cong-4-nat-cases* [*consumes 1, case-names 2 cong-1 cong-3*]:
assumes *prime* ($p :: \text{nat}$)
obtains $p = 2 \mid [p = 1] \ (\text{mod } 4) \mid [p = 3] \ (\text{mod } 4)$
 $\langle \text{proof} \rangle$

end

3 Fundamental facts about Euler's totient function

theory *Totient*
imports
Complex-Main
HOL-Computational-Algebra.Primes
Cong
begin

definition *totatives* $:: \text{nat} \Rightarrow \text{nat set}$ **where**
 $\text{totatives } n = \{k \in \{0 <..n\}. \text{coprime } k \ n\}$

lemma *in-totatives-iff*: $k \in \text{totatives } n \longleftrightarrow k > 0 \wedge k \leq n \wedge \text{coprime } k \ n$
 ⟨proof⟩

lemma *totatives-code* [code]: $\text{totatives } n = \text{Set.filter } (\lambda k. \text{coprime } k \ n) \ \{0 <..n\}$
 ⟨proof⟩

lemma *finite-totatives* [simp]: $\text{finite } (\text{totatives } n)$
 ⟨proof⟩

lemma *totatives-subset*: $\text{totatives } n \subseteq \{0 <..n\}$
 ⟨proof⟩

lemma *zero-not-in-totatives* [simp]: $0 \notin \text{totatives } n$
 ⟨proof⟩

lemma *totatives-le*: $x \in \text{totatives } n \implies x \leq n$
 ⟨proof⟩

lemma *totatives-less*:
 assumes $x \in \text{totatives } n \ n > 1$
 shows $x < n$
 ⟨proof⟩

lemma *totatives-0* [simp]: $\text{totatives } 0 = \{\}$
 ⟨proof⟩

lemma *totatives-1* [simp]: $\text{totatives } 1 = \{\text{Suc } 0\}$
 ⟨proof⟩

lemma *totatives-Suc-0* [simp]: $\text{totatives } (\text{Suc } 0) = \{\text{Suc } 0\}$
 ⟨proof⟩

lemma *one-in-totatives* [simp]: $n > 0 \implies \text{Suc } 0 \in \text{totatives } n$
 ⟨proof⟩

lemma *totatives-eq-empty-iff* [simp]: $\text{totatives } n = \{\} \longleftrightarrow n = 0$
 ⟨proof⟩

lemma *minus-one-in-totatives*:
 assumes $n \geq 2$
 shows $n - 1 \in \text{totatives } n$
 ⟨proof⟩

lemma *power-in-totatives*:
 assumes $m > 1 \ \text{coprime } m \ g$
 shows $g \wedge^i \text{ mod } m \in \text{totatives } m$
 ⟨proof⟩

lemma *totatives-prime-power-Suc*:

assumes *prime p*

shows $\text{totatives } (p \wedge \text{Suc } n) = \{0 <..p \wedge \text{Suc } n\} - (\lambda m. p * m) \text{ ` } \{0 <..p \wedge n\}$
<proof>

lemma *totatives-prime*: $\text{prime } p \implies \text{totatives } p = \{0 <..<p\}$

<proof>

lemma *bij-betw-totatives*:

assumes $m1 > 1 \ m2 > 1 \ \text{coprime } m1 \ m2$

shows $\text{bij-betw } (\lambda x. (x \bmod m1, x \bmod m2)) (\text{totatives } (m1 * m2))$
 $(\text{totatives } m1 \times \text{totatives } m2)$

<proof>

lemma *bij-betw-totatives-gcd-eq*:

fixes $n \ d :: \text{nat}$

assumes $d \ \text{dvd } n \ n > 0$

shows $\text{bij-betw } (\lambda k. k * d) (\text{totatives } (n \ \text{div } d)) \{k \in \{0 <..n\}. \ \text{gcd } k \ n = d\}$

<proof>

definition *totient* :: $\text{nat} \Rightarrow \text{nat}$ **where**

$\text{totient } n = \text{card } (\text{totatives } n)$

primrec *totient-naive* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ **where**

$\text{totient-naive } 0 \ \text{acc } n = \text{acc}$

| $\text{totient-naive } (\text{Suc } k) \ \text{acc } n =$

$(\text{if } \text{coprime } (\text{Suc } k) \ n \ \text{then } \text{totient-naive } k \ (\text{acc} + 1) \ n \ \text{else } \text{totient-naive } k \ \text{acc } n)$

lemma *totient-naive*:

$\text{totient-naive } k \ \text{acc } n = \text{card } \{x \in \{0 <..k\}. \ \text{coprime } x \ n\} + \text{acc}$

<proof>

lemma *totient-code-naive* [code]: $\text{totient } n = \text{totient-naive } n \ 0 \ n$

<proof>

lemma *totient-le*: $\text{totient } n \leq n$

<proof>

lemma *totient-less*:

assumes $n > 1$

shows $\text{totient } n < n$

<proof>

lemma *totient-0* [simp]: $\text{totient } 0 = 0$

<proof>

lemma *totient-Suc-0* [simp]: $\text{totient } (\text{Suc } 0) = \text{Suc } 0$

<proof>

lemma *totient-1* [*simp*]: *totient 1 = Suc 0*
 ⟨*proof*⟩

lemma *totient-0-iff* [*simp*]: *totient n = 0 \longleftrightarrow n = 0*
 ⟨*proof*⟩

lemma *totient-gt-0-iff* [*simp*]: *totient n > 0 \longleftrightarrow n > 0*
 ⟨*proof*⟩

lemma *totient-gt-1*:
 assumes *n > 2*
 shows *totient n > 1*
 ⟨*proof*⟩

lemma *card-gcd-eq-totient*:
*n > 0 \implies d dvd n \implies card {k \in {0<..*n*}. gcd k n = d} = totient (n div d)*
 ⟨*proof*⟩

lemma *totient-divisor-sum*: $(\sum d \mid d \text{ dvd } n. \text{ totient } d) = n$
 ⟨*proof*⟩

lemma *totient-mult-coprime*:
 assumes *coprime m n*
 shows *totient (m * n) = totient m * totient n*
 ⟨*proof*⟩

lemma *totient-prime-power-Suc*:
 assumes *prime p*
 shows *totient (p \wedge Suc n) = p \wedge n * (p - 1)*
 ⟨*proof*⟩

lemma *totient-prime-power*:
 assumes *prime p n > 0*
 shows *totient (p \wedge n) = p \wedge (n - 1) * (p - 1)*
 ⟨*proof*⟩

lemma *totient-imp-prime*:
 assumes *totient p = p - 1 p > 0*
 shows *prime p*
 ⟨*proof*⟩

lemma *totient-prime*:
 assumes *prime p*
 shows *totient p = p - 1*
 ⟨*proof*⟩

lemma *totient-2* [*simp*]: *totient 2 = 1*
 and *totient-3* [*simp*]: *totient 3 = 2*

```

and totient-5 [simp]: totient 5 = 4
and totient-7 [simp]: totient 7 = 6
⟨proof⟩

lemma totient-4 [simp]: totient 4 = 2
and totient-8 [simp]: totient 8 = 4
and totient-9 [simp]: totient 9 = 6
⟨proof⟩

lemma totient-6 [simp]: totient 6 = 2
⟨proof⟩

lemma totient-even:
  assumes  $n > 2$ 
  shows even (totient  $n$ )
⟨proof⟩

lemma totient-prod-coprime:
  assumes pairwise coprime ( $f \text{ ' } A$ ) inj-on  $f \ A$ 
  shows  $\text{totient } (\text{prod } f \ A) = (\prod_{a \in A} \text{totient } (f \ a))$ 
⟨proof⟩

lemma prime-power-eq-imp-eq:
  fixes  $p \ q :: 'a :: \text{factorial-semiring}$ 
  assumes prime  $p$  prime  $q$   $m > 0$ 
  assumes  $p \wedge^m = q \wedge^n$ 
  shows  $p = q$ 
⟨proof⟩

lemma totient-formula1:
  assumes  $n > 0$ 
  shows  $\text{totient } n = (\prod_{p \in \text{prime-factors } n} p \wedge^{(\text{multiplicity } p \ n - 1) * (p - 1)})$ 
⟨proof⟩

lemma totient-dvd:
  assumes  $m \text{ dvd } n$ 
  shows  $\text{totient } m \text{ dvd } \text{totient } n$ 
⟨proof⟩

lemma totient-dvd-mono:
  assumes  $m \text{ dvd } n$   $n > 0$ 
  shows  $\text{totient } m \leq \text{totient } n$ 
⟨proof⟩

lemma prime-factors-power:  $n > 0 \implies \text{prime-factors } (x \wedge^n) = \text{prime-factors } x$ 
⟨proof⟩

```

lemma *totient-formula2*:
 $\text{real } (\text{totient } n) = \text{real } n * (\prod_{p \in \text{prime-factors } n} 1 - 1 / \text{real } p)$
 $\langle \text{proof} \rangle$

lemma *totient-gcd*: $\text{totient } (a * b) * \text{totient } (\text{gcd } a \ b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *totient-mult*: $\text{totient } (a * b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b \text{ div } \text{totient } (\text{gcd } a \ b)$
 $\langle \text{proof} \rangle$

lemma *of-nat-eq-1-iff*: $\text{of-nat } x = (1 :: 'a :: \{\text{semiring-1}, \text{semiring-char-0}\}) \longleftrightarrow x = 1$
 $\langle \text{proof} \rangle$

lemma *odd-imp-coprime-nat*:
assumes $\text{odd } (n :: \text{nat})$
shows $\text{coprime } n \ 2$
 $\langle \text{proof} \rangle$

lemma *totient-double*: $\text{totient } (2 * n) = (\text{if even } n \text{ then } 2 * \text{totient } n \text{ else } \text{totient } n)$
 $\langle \text{proof} \rangle$

lemma *totient-power-Suc*: $\text{totient } (n \wedge \text{Suc } m) = n \wedge m * \text{totient } n$
 $\langle \text{proof} \rangle$

lemma *totient-power*: $m > 0 \implies \text{totient } (n \wedge m) = n \wedge (m - 1) * \text{totient } n$
 $\langle \text{proof} \rangle$

lemma *totient-gcd-lcm*: $\text{totient } (\text{gcd } a \ b) * \text{totient } (\text{lcm } a \ b) = \text{totient } a * \text{totient } b$
 $\langle \text{proof} \rangle$

end

4 Residue rings

theory *Residues*
imports
Cong
HOL-Algebra.Multiplicative-Group
Totient
begin

lemma **(in** *ring-1***)** *CHAR-dvd-CARD*: $\text{CHAR } ('a) \text{ dvd card } (UNIV :: 'a \text{ set})$
 $\langle \text{proof} \rangle$

definition *QuadRes* :: *int* \Rightarrow *int* \Rightarrow *bool*
where *QuadRes* *p a* = ($\exists y. ([y^2 = a] \text{ (mod } p))$)

definition *Legendre* :: *int* \Rightarrow *int* \Rightarrow *int*
where *Legendre* *a p* =
 (*if* ($[a = 0] \text{ (mod } p)$) *then* 0
 else if *QuadRes* *p a* *then* 1
 else -1)

4.1 A locale for residue rings

definition *residue-ring* :: *int* \Rightarrow *int ring*
where
 residue-ring *m* =
 (*carrier* = {0..*m* - 1},
 monoid.mult = $\lambda x y. (x * y) \text{ mod } m$,
 one = 1,
 zero = 0,
 add = $\lambda x y. (x + y) \text{ mod } m$)

locale *residues* =
 fixes *m* :: *int* **and** *R* (**structure**)
 assumes *m-gt-one*: *m* > 1
 defines *R-m-def*: *R* \equiv *residue-ring* *m*
begin

lemma *abelian-group*: *abelian-group* *R*
 \langle *proof* \rangle

lemma *comm-monoid*: *comm-monoid* *R*
 \langle *proof* \rangle

interpretation *comm-monoid* *R*
 \langle *proof* \rangle

lemma *cring*: *cring* *R*
 \langle *proof* \rangle

end

sublocale *residues* < *cring*
 \langle *proof* \rangle

context *residues*
begin

These lemmas translate back and forth between internal and external concepts.

lemma *res-carrier-eq*: $\text{carrier } R = \{0..m - 1\}$
 $\langle \text{proof} \rangle$

lemma *res-add-eq*: $x \oplus y = (x + y) \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *res-mult-eq*: $x \otimes y = (x * y) \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *res-zero-eq*: $\mathbf{0} = 0$
 $\langle \text{proof} \rangle$

lemma *res-one-eq*: $\mathbf{1} = 1$
 $\langle \text{proof} \rangle$

lemma *res-units-eq*: $\text{Units } R = \{x. 0 < x \wedge x < m \wedge \text{coprime } x \ m\}$ (**is** - = ?*rhs*)
 $\langle \text{proof} \rangle$

lemma *res-neg-eq*: $\ominus x = (- x) \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *finite [iff]*: $\text{finite } (\text{carrier } R)$
 $\langle \text{proof} \rangle$

lemma *finite-Units [iff]*: $\text{finite } (\text{Units } R)$
 $\langle \text{proof} \rangle$

The function $a \mapsto a \text{ mod } m$ maps the integers to the residue classes. The following lemmas show that this mapping respects addition and multiplication on the integers.

lemma *mod-in-carrier [iff]*: $a \text{ mod } m \in \text{carrier } R$
 $\langle \text{proof} \rangle$

lemma *add-cong*: $(x \text{ mod } m) \oplus (y \text{ mod } m) = (x + y) \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *mult-cong*: $(x \text{ mod } m) \otimes (y \text{ mod } m) = (x * y) \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *zero-cong*: $\mathbf{0} = 0$
 $\langle \text{proof} \rangle$

lemma *one-cong*: $\mathbf{1} = 1 \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *pow-cong*: $(x \text{ mod } m) [\wedge] n = x^n \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma *neg-cong*: $\ominus (x \bmod m) = (-x) \bmod m$
 ⟨proof⟩

lemma (*in residues*) *prod-cong*: $\text{finite } A \implies (\bigotimes_{i \in A} (f\ i) \bmod m) = (\prod_{i \in A} f\ i) \bmod m$
 ⟨proof⟩

lemma (*in residues*) *sum-cong*: $\text{finite } A \implies (\bigoplus_{i \in A} (f\ i) \bmod m) = (\sum_{i \in A} f\ i) \bmod m$
 ⟨proof⟩

lemma *mod-in-res-units* [*simp*]:
 assumes $1 < m$ and *coprime* $a\ m$
 shows $a \bmod m \in \text{Units } R$
 ⟨proof⟩

lemma *res-eq-to-cong*: $(a \bmod m) = (b \bmod m) \longleftrightarrow [a = b] \bmod m$
 ⟨proof⟩

Simplifying with these will translate a ring equation in R to a congruence.

lemmas *res-to-cong-simps* =
add-cong mult-cong pow-cong one-cong
prod-cong sum-cong neg-cong res-eq-to-cong

Other useful facts about the residue ring.

lemma *one-eq-neg-one*: $\mathbf{1} = \ominus \mathbf{1} \implies m = 2$
 ⟨proof⟩

end

4.2 Prime residues

locale *residues-prime* =
 fixes $p :: \text{nat}$ and R (**structure**)
 assumes *p-prime* [*intro*]: *prime* p
 defines $R \equiv \text{residue-ring } (\text{int } p)$

sublocale *residues-prime* < *residues* p
 ⟨proof⟩

context *residues-prime*
begin

lemma *p-coprime-left*:
coprime $p\ a \longleftrightarrow \neg p\ \text{dvd } a$
 ⟨proof⟩

lemma *p-coprime-right*:
coprime $a\ p \longleftrightarrow \neg p\ \text{dvd } a$

$\langle proof \rangle$

lemma *p-coprime-left-int*:

$\text{coprime } (int\ p)\ a \longleftrightarrow \neg\ int\ p\ dvd\ a$

$\langle proof \rangle$

lemma *p-coprime-right-int*:

$\text{coprime } a\ (int\ p) \longleftrightarrow \neg\ int\ p\ dvd\ a$

$\langle proof \rangle$

lemma *is-field*: *field* *R*

$\langle proof \rangle$

lemma *res-prime-units-eq*: $Units\ R = \{1..p - 1\}$

$\langle proof \rangle$

end

sublocale *residues-prime* < *field*

$\langle proof \rangle$

5 Test cases: Euler's theorem and Wilson's theorem

5.1 Euler's theorem

lemma (*in residues*) *totatives-eq*:

$\text{totatives } (nat\ m) = nat\ 'Units\ R$

$\langle proof \rangle$

lemma (*in residues*) *totient-eq*:

$\text{totient } (nat\ m) = card\ (Units\ R)$

$\langle proof \rangle$

lemma (*in residues-prime*) *prime-totient-eq*: $\text{totient } p = p - 1$

$\langle proof \rangle$

lemma (*in residues*) *euler-theorem*:

assumes *coprime* *a* *m*

shows $[a \wedge \text{totient } (nat\ m) = 1] \pmod{m}$

$\langle proof \rangle$

lemma *euler-theorem*:

fixes *a* *m* :: *nat*

assumes *coprime* *a* *m*

shows $[a \wedge \text{totient } m = 1] \pmod{m}$

$\langle proof \rangle$

lemma *fermat-theorem*:

fixes $p\ a :: \text{nat}$
assumes $\text{prime } p$ **and** $\neg p \text{ dvd } a$
shows $[a \wedge (p - 1) = 1] \pmod{p}$
 $\langle \text{proof} \rangle$

5.2 Wilson's theorem

lemma (*in field*) *inv-pair-lemma*: $x \in \text{Units } R \implies y \in \text{Units } R \implies$
 $\{x, \text{inv } x\} \neq \{y, \text{inv } y\} \implies \{x, \text{inv } x\} \cap \{y, \text{inv } y\} = \{\}$
 $\langle \text{proof} \rangle$

lemma (*in residues-prime*) *wilson-theorem1*:
assumes $a: p > 2$
shows $[fact\ (p - 1) = (-1::\text{int})] \pmod{p}$
 $\langle \text{proof} \rangle$

lemma *wilson-theorem*:
assumes $\text{prime } p$
shows $[fact\ (p - 1) = -1] \pmod{p}$
 $\langle \text{proof} \rangle$

This result can be transferred to the multiplicative group of $\mathbb{Z}/p\mathbb{Z}$ for p prime.

lemma *mod-nat-int-pow-eq*:
fixes $n :: \text{nat}$ **and** $p\ a :: \text{int}$
shows $a \geq 0 \implies p \geq 0 \implies (\text{nat } a \wedge n) \text{ mod } (\text{nat } p) = \text{nat } ((a \wedge n) \text{ mod } p)$
 $\langle \text{proof} \rangle$

theorem *residue-prime-mult-group-has-gen*:
fixes $p :: \text{nat}$
assumes $\text{prime-}p : \text{prime } p$
shows $\exists a \in \{1 \dots p - 1\}. \{1 \dots p - 1\} = \{a \wedge i \text{ mod } p \mid i \in \text{UNIV}\}$
 $\langle \text{proof} \rangle$

5.3 Upper bound for the number of n -th roots

lemma *roots-mod-prime-bound*:
fixes $n\ c\ p :: \text{nat}$
assumes $\text{prime } p$ $n > 0$
defines $A \equiv \{x \in \{..<p\}. [x \wedge n = c] \pmod{p}\}$
shows $\text{card } A \leq n$
 $\langle \text{proof} \rangle$

end

6 The sieve of Eratosthenes

```
theory Eratosthenes
  imports Main HOL-Computational-Algebra.Primes
begin
```

6.1 Preliminary: strict divisibility

```
context dvd
begin
```

```
abbreviation dvd-strict :: 'a ⇒ 'a ⇒ bool (infixl ‹dvd'-strict› 50)
where
  b dvd-strict a ≡ b dvd a ∧ ¬ a dvd b
```

```
end
```

6.2 Main corpus

The sieve is modelled as a list of booleans, where *False* means *marked out*.

```
type-synonym marks = bool list
```

```
definition numbers-of-marks :: nat ⇒ marks ⇒ nat set
where
  numbers-of-marks n bs = fst ‹ {x ∈ set (enumerate n bs). snd x} ›
```

```
lemma numbers-of-marks-simps [simp, code]:
  numbers-of-marks n [] = {}
  numbers-of-marks n (True # bs) = insert n (numbers-of-marks (Suc n) bs)
  numbers-of-marks n (False # bs) = numbers-of-marks (Suc n) bs
  ‹proof›
```

```
lemma numbers-of-marks-Suc:
  numbers-of-marks (Suc n) bs = Suc ‹ numbers-of-marks n bs ›
  ‹proof›
```

```
lemma numbers-of-marks-replicate-False [simp]:
  numbers-of-marks n (replicate m False) = {}
  ‹proof›
```

```
lemma numbers-of-marks-replicate-True [simp]:
  numbers-of-marks n (replicate m True) = {n.. $n+m$ }
  ‹proof›
```

```
lemma in-numbers-of-marks-eq:
  m ∈ numbers-of-marks n bs ⟷ m ∈ {n.. $n + \text{length } bs$ } ∧ bs ! (m - n)
  ‹proof›
```

```
lemma sorted-list-of-set-numbers-of-marks:
```

sorted-list-of-set (numbers-of-marks n bs) = map fst (filter snd (enumerate n bs))
<proof>

Marking out multiples in a sieve

definition *mark-out* :: *nat* \Rightarrow *marks* \Rightarrow *marks*

where

mark-out *n* *bs* = *map* ($\lambda(q, b). b \wedge \neg \text{Suc } n \text{ dvd } \text{Suc } (\text{Suc } q)$) (*enumerate* *n* *bs*)

lemma *mark-out-Nil* [*simp*]: *mark-out* *n* [] = []

<proof>

lemma *length-mark-out* [*simp*]: *length* (*mark-out* *n* *bs*) = *length* *bs*

<proof>

lemma *numbers-of-marks-mark-out*:

numbers-of-marks *n* (*mark-out* *m* *bs*) = {*q* \in *numbers-of-marks* *n* *bs*. $\neg \text{Suc } m \text{ dvd } \text{Suc } q - n$ }

<proof>

Auxiliary operation for efficient implementation

definition *mark-out-aux* :: *nat* \Rightarrow *nat* \Rightarrow *marks* \Rightarrow *marks*

where

mark-out-aux *n* *m* *bs* =

map ($\lambda(q, b). b \wedge (q < m + n \vee \neg \text{Suc } n \text{ dvd } \text{Suc } (\text{Suc } q) + (n - m \bmod \text{Suc } n))$) (*enumerate* *n* *bs*)

lemma *mark-out-code* [*code*]: *mark-out* *n* *bs* = *mark-out-aux* *n* *n* *bs*

<proof>

lemma *mark-out-aux-simps* [*simp*, *code*]:

mark-out-aux *n* *m* [] = []

mark-out-aux *n* 0 (*b* # *bs*) = *False* # *mark-out-aux* *n* *n* *bs*

mark-out-aux *n* (*Suc* *m*) (*b* # *bs*) = *b* # *mark-out-aux* *n* *m* *bs*

<proof>

Main entry point to sieve

fun *sieve* :: *nat* \Rightarrow *marks* \Rightarrow *marks*

where

sieve *n* [] = []

| *sieve* *n* (*False* # *bs*) = *False* # *sieve* (*Suc* *n*) *bs*

| *sieve* *n* (*True* # *bs*) = *True* # *sieve* (*Suc* *n*) (*mark-out* *n* *bs*)

There are the following possible optimisations here:

- *sieve* can abort as soon as *n* is too big to let *mark-out* have any effect.
- Search for further primes can be given up as soon as the search position exceeds the square root of the maximum candidate.

This is left as an constructive exercise to the reader.

lemma *numbers-of-marks-sieve*:

numbers-of-marks (Suc *n*) (*sieve n bs*) =
 $\{q \in \text{numbers-of-marks } (\text{Suc } n) \text{ bs. } \forall m \in \text{numbers-of-marks } (\text{Suc } n) \text{ bs. } \neg m \text{ dvd-strict } q\}$
 ⟨proof⟩

Relation of the sieve algorithm to actual primes

definition *primes-upto* :: *nat* \Rightarrow *nat list*

where

primes-upto n = *sorted-list-of-set* {*m. m* \leq *n* \wedge *prime m*}

lemma *set-primes-upto*: *set* (*primes-upto n*) = {*m. m* \leq *n* \wedge *prime m*}

⟨proof⟩

lemma *sorted-primes-upto* [iff]: *sorted* (*primes-upto n*)

⟨proof⟩

lemma *distinct-primes-upto* [iff]: *distinct* (*primes-upto n*)

⟨proof⟩

lemma *set-primes-upto-sieve*:

set (*primes-upto n*) = *numbers-of-marks* 2 (*sieve* 1 (*replicate* (*n* − 1) *True*))

⟨proof⟩

lemma *primes-upto-sieve* [code]:

primes-upto n = *map fst* (*filter snd* (*enumerate* 2 (*sieve* 1 (*replicate* (*n* − 1) *True*))))

⟨proof⟩

lemma *prime-in-primes-upto*: *prime n* \longleftrightarrow *n* \in *set* (*primes-upto n*)

⟨proof⟩

6.3 Application: smallest prime beyond a certain number

definition *smallest-prime-beyond* :: *nat* \Rightarrow *nat*

where

smallest-prime-beyond n = (*LEAST p. prime p* \wedge *p* \geq *n*)

lemma *prime-smallest-prime-beyond* [iff]: *prime* (*smallest-prime-beyond n*) (is ?P)

and *smallest-prime-beyond-le* [iff]: *smallest-prime-beyond n* \geq *n* (is ?Q)

⟨proof⟩

lemma *smallest-prime-beyond-smallest*: *prime p* \implies *p* \geq *n* \implies *smallest-prime-beyond n* \leq *p*

⟨proof⟩

lemma *smallest-prime-beyond-eq*:

$prime\ p \implies p \geq n \implies (\bigwedge q. prime\ q \implies q \geq n \implies q \geq p) \implies smallest\text{-}prime\text{-}beyond\ n = p$
 $\langle proof \rangle$

definition *smallest-prime-between* :: $nat \Rightarrow nat \Rightarrow nat\ option$

where

smallest-prime-between $m\ n =$
 (if $(\exists p. prime\ p \wedge m \leq p \wedge p \leq n)$ then *Some* (*smallest-prime-beyond* m) else *None*)

lemma *smallest-prime-between-None*:

smallest-prime-between $m\ n = None \iff (\forall q. m \leq q \wedge q \leq n \longrightarrow \neg prime\ q)$
 $\langle proof \rangle$

lemma *smallest-prime-between-Some*:

smallest-prime-between $m\ n = Some\ p \iff smallest\text{-}prime\text{-}beyond\ m = p \wedge p \leq n$
 $\langle proof \rangle$

lemma [code]: *smallest-prime-between* $m\ n = List.find\ (\lambda p. p \geq m)\ (primes\text{-}upto\ n)$
 $\langle proof \rangle$

definition *smallest-prime-beyond-aux* :: $nat \Rightarrow nat \Rightarrow nat$

where

smallest-prime-beyond-aux $k\ n = smallest\text{-}prime\text{-}beyond\ n$

lemma [code]:

smallest-prime-beyond-aux $k\ n =$
 (case *smallest-prime-between* $n\ (k * n)$ of
 Some $p \Rightarrow p$
 | *None* $\Rightarrow smallest\text{-}prime\text{-}beyond\text{-}aux\ (Suc\ k)\ n$)
 $\langle proof \rangle$

lemma [code]: *smallest-prime-beyond* $n = smallest\text{-}prime\text{-}beyond\text{-}aux\ 2\ n$
 $\langle proof \rangle$

end

7 Fast modular exponentiation

theory *Mod-Exp*

imports *Cong HOL-Library.Power-By-Squaring*

begin

context *euclidean-semiring-cancel*

begin

definition *mod-exp-aux* :: $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow nat \Rightarrow 'a$

where $\text{mod-exp-aux } m = \text{efficient-funpow } (\lambda x y. x * y \text{ mod } m)$

lemma $\text{mod-exp-aux-code } [\text{code}]$:

$\text{mod-exp-aux } m \ y \ x \ n =$
 (if $n = 0$ then y
 else if $n = 1$ then $(x * y) \text{ mod } m$
 else if even n then $\text{mod-exp-aux } m \ y \ ((x * x) \text{ mod } m) \ (n \text{ div } 2)$
 else $\text{mod-exp-aux } m \ ((x * y) \text{ mod } m) \ ((x * x) \text{ mod } m) \ (n \text{ div } 2)$)
 $\langle \text{proof} \rangle$

lemma $\text{mod-exp-aux-correct}$:

$\text{mod-exp-aux } m \ y \ x \ n \text{ mod } m = (x \wedge^n * y) \text{ mod } m$
 $\langle \text{proof} \rangle$

definition $\text{mod-exp} :: 'a \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow 'a$

where $\text{mod-exp } b \ e \ m = (b \wedge^e) \text{ mod } m$

lemma $\text{mod-exp-code } [\text{code}]$: $\text{mod-exp } b \ e \ m = \text{mod-exp-aux } m \ 1 \ b \ e \text{ mod } m$
 $\langle \text{proof} \rangle$

end

lemmas $[\text{code-abbrev}] = \text{mod-exp-def}[\text{where } ?'a = \text{nat}] \ \text{mod-exp-def}[\text{where } ?'a = \text{int}]$

lemma $\text{cong-power-nat-code } [\text{code-unfold}]$:

$[b \wedge^e = (x :: \text{nat})] \ (\text{mod } m) \longleftrightarrow \text{mod-exp } b \ e \ m = x \text{ mod } m$
 $\langle \text{proof} \rangle$

lemma $\text{cong-power-int-code } [\text{code-unfold}]$:

$[b \wedge^e = (x :: \text{int})] \ (\text{mod } m) \longleftrightarrow \text{mod-exp } b \ e \ m = x \text{ mod } m$
 $\langle \text{proof} \rangle$

The following rules allow the simplifier to evaluate mod-exp efficiently.

lemma $\text{eval-mod-exp-aux } [\text{simp}]$:

$\text{mod-exp-aux } m \ y \ x \ 0 = y$
 $\text{mod-exp-aux } m \ y \ x \ (\text{Suc } 0) = (x * y) \text{ mod } m$
 $\text{mod-exp-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit0 } n)) =$
 $\text{mod-exp-aux } m \ y \ (x^2 \text{ mod } m) \ (\text{numeral } n)$
 $\text{mod-exp-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit1 } n)) =$
 $\text{mod-exp-aux } m \ ((x * y) \text{ mod } m) \ (x^2 \text{ mod } m) \ (\text{numeral } n)$
 $\langle \text{proof} \rangle$

lemma $\text{eval-mod-exp } [\text{simp}]$:

$\text{mod-exp } b' \ 0 \ m' = 1 \text{ mod } m'$
 $\text{mod-exp } b' \ 1 \ m' = b' \text{ mod } m'$
 $\text{mod-exp } b' \ (\text{Suc } 0) \ m' = b' \text{ mod } m'$
 $\text{mod-exp } b' \ e' \ 0 = b' \wedge^{e'}$

```

    mod-exp b' e' 1 = 0
    mod-exp b' e' (Suc 0) = 0
    mod-exp 0 1 m' = 0
    mod-exp 0 (Suc 0) m' = 0
    mod-exp 0 (numeral e) m' = 0
    mod-exp 1 e' m' = 1 mod m'
    mod-exp (Suc 0) e' m' = 1 mod m'
    mod-exp (numeral b) (numeral e) (numeral m) =
      mod-exp-aux (numeral m) 1 (numeral b) (numeral e) mod numeral m
  <proof>

end

```

```

theory Euler-Criterion
imports Residues
begin

```

```

context
  fixes p :: nat
  fixes a :: int

  assumes p-prime: prime p
  assumes p-ge-2: 2 < p
  assumes p-a-relprime: [a ≠ 0](mod p)
begin

private lemma odd-p: odd p
  <proof> lemma p-minus-1-int:
    int (p - 1) = int p - 1
  <proof> lemma p-not-eq-Suc-0 [simp]:
    p ≠ Suc 0
  <proof> lemma one-mod-int-p-eq [simp]:
    1 mod int p = 1
  <proof> lemma E-1:
    assumes QuadRes (int p) a
    shows [a ^ ((p - 1) div 2) = 1] (mod int p)
  <proof> definition S1 :: int set where S1 = {0 <.. int p - 1}

private definition P :: int ⇒ int ⇒ bool where
  P x y ⟷ [x * y = a] (mod p) ∧ y ∈ S1

private definition f-1 :: int ⇒ int where
  f-1 x = (THE y. P x y)

private definition f :: int ⇒ int set where
  f x = {x, f-1 x}

private definition S2 :: int set set where S2 = f ` S1

```



```

private lemma P-lemma: assumes  $x \in S1$ 
  shows  $\exists! y. P\ x\ y$ 
<proof> lemma f-1-lemma-1: assumes  $x \in S1$ 
  shows  $P\ x\ (f-1\ x)$  <proof> lemma f-1-lemma-2: assumes  $x \in S1$ 
  shows  $f-1\ (f-1\ x) = x$ 
<proof> lemma f-lemma-1: assumes  $x \in S1$ 
  shows  $f\ x = f\ (f-1\ x)$  <proof> lemma l1: assumes  $\neg QuadRes\ p\ a\ x \in S1$ 
  shows  $x \neq f-1\ x$ 
<proof> lemma l2: assumes  $\neg QuadRes\ p\ a\ x \in S1$ 
  shows  $\prod (f\ x) = a \pmod p$ 
<proof> lemma l3: assumes  $x \in S2$ 
  shows  $finite\ x$  <proof> lemma l4:  $S1 = \bigcup S2$  <proof> lemma l5: assumes  $x \in S2\ y \in S2\ x \neq y$ 
  shows  $x \cap y = \{\}$ 
<proof> lemma l6:  $prod\ Prod\ S2 = \prod S1$ 
<proof> lemma l7:  $fact\ n = \prod \{0 <.. int\ n\}$ 
<proof> lemma l8:  $fact\ (p - 1) = \prod S1$  <proof> lemma l9:  $[prod\ Prod\ S2 = -1] \pmod p$ 
<proof> lemma l10: assumes  $card\ S = n \wedge x. x \in S \implies [g\ x = a] \pmod p$ 
  shows  $[prod\ g\ S = a \wedge n] \pmod p$  <proof> lemma l11: assumes  $\neg QuadRes\ p\ a$ 
  shows  $card\ S2 = (p - 1) \div 2$ 
<proof> lemma l12: assumes  $\neg QuadRes\ p\ a$ 
  shows  $[prod\ Prod\ S2 = a \wedge ((p - 1) \div 2)] \pmod p$ 
<proof> lemma E-2: assumes  $\neg QuadRes\ p\ a$ 
  shows  $[a \wedge ((p - 1) \div 2) = -1] \pmod p$  <proof>

lemma euler-criterion-aux:  $[(Legendre\ a\ p) = a \wedge ((p - 1) \div 2)] \pmod p$ 
  <proof>

end

theorem euler-criterion: assumes  $prime\ p\ 2 < p$ 
  shows  $[(Legendre\ a\ p) = a \wedge ((p - 1) \div 2)] \pmod p$ 
  <proof>

hide-fact euler-criterion-aux

end

```

8 Gauss' Lemma

```

theory Gauss
  imports Euler-Criterion
begin

```

```

lemma cong-prime-prod-zero-nat:
   $[a * b = 0] \pmod p \implies prime\ p \implies [a = 0] \pmod p \vee [b = 0] \pmod p$ 
  for  $a :: nat$ 

```

$\langle proof \rangle$

lemma *cong-prime-prod-zero-int*:

$[a * b = 0] \text{ (mod } p) \implies \text{prime } p \implies [a = 0] \text{ (mod } p) \vee [b = 0] \text{ (mod } p)$

for $a :: \text{int}$

$\langle proof \rangle$

locale *GAUSS* =

fixes $p :: \text{nat}$

fixes $a :: \text{int}$

assumes $p\text{-prime}$: $\text{prime } p$

assumes $p\text{-ge-2}$: $2 < p$

assumes $p\text{-a-relprime}$: $[a \neq 0] \text{ (mod } p)$

assumes $a\text{-nonzero}$: $0 < a$

begin

definition $A = \{0 :: \text{int} \mid 0 < (int\ p - 1) \text{ div } 2\}$

definition $B = (\lambda x. x * a) \text{ ` } A$

definition $C = (\lambda x. x \text{ mod } p) \text{ ` } B$

definition $D = C \cap \{.. (int\ p - 1) \text{ div } 2\}$

definition $E = C \cap \{(int\ p - 1) \text{ div } 2 < ..\}$

definition $F = (\lambda x. (int\ p - x)) \text{ ` } E$

8.1 Basic properties of p

lemma *odd-p*: $\text{odd } p$

$\langle proof \rangle$

lemma *p-minus-one-l*: $(int\ p - 1) \text{ div } 2 < p$

$\langle proof \rangle$

lemma *p-eq2*: $int\ p = (2 * ((int\ p - 1) \text{ div } 2)) + 1$

$\langle proof \rangle$

lemma *p-odd-int*: **obtains** $z :: \text{int}$ **where** $int\ p = 2 * z + 1$ $0 < z$

$\langle proof \rangle$

8.2 Basic Properties of the Gauss Sets

lemma *finite-A*: $\text{finite } A$

$\langle proof \rangle$

lemma *finite-B*: $\text{finite } B$

$\langle proof \rangle$

lemma *finite-C*: $\text{finite } C$

$\langle proof \rangle$

lemma *finite-D*: $\text{finite } D$

$\langle \text{proof} \rangle$

lemma *finite-E*: *finite E*
 $\langle \text{proof} \rangle$

lemma *finite-F*: *finite F*
 $\langle \text{proof} \rangle$

lemma *C-eq*: $C = D \cup E$
 $\langle \text{proof} \rangle$

lemma *A-card-eq*: $\text{card } A = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
 $\langle \text{proof} \rangle$

lemma *inj-on-xa-A*: *inj-on* $(\lambda x. x * a)$ *A*
 $\langle \text{proof} \rangle$

definition *ResSet* :: *int* \Rightarrow *int set* \Rightarrow *bool*
 where *ResSet m X* $\longleftrightarrow (\forall y1\ y2. y1 \in X \wedge y2 \in X \wedge [y1 = y2] \text{ (mod } m) \longrightarrow y1 = y2)$

lemma *ResSet-image*:
 $0 < m \implies \text{ResSet } m\ A \implies \forall x \in A. \forall y \in A. ([f\ x = f\ y] \text{ (mod } m) \longrightarrow x = y)$
 $\implies \text{ResSet } m\ (f\ ` A)$
 $\langle \text{proof} \rangle$

lemma *A-res*: *ResSet p A*
 $\langle \text{proof} \rangle$

lemma *B-res*: *ResSet p B*
 $\langle \text{proof} \rangle$

lemma *SR-B-inj*: *inj-on* $(\lambda x. x \text{ mod } p)$ *B*
 $\langle \text{proof} \rangle$

lemma *nonzero-mod-p*: $0 < x \implies x < \text{int } p \implies [x \neq 0] \text{ (mod } p)$
 for $x :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *A-ncong-p*: $x \in A \implies [x \neq 0] \text{ (mod } p)$
 $\langle \text{proof} \rangle$

lemma *A-greater-zero*: $x \in A \implies 0 < x$
 $\langle \text{proof} \rangle$

lemma *B-ncong-p*: $x \in B \implies [x \neq 0] \text{ (mod } p)$
 $\langle \text{proof} \rangle$

lemma *B-greater-zero*: $x \in B \implies 0 < x$

$\langle proof \rangle$

lemma *B-mod-greater-zero*:

$0 < x \bmod \text{int } p$ **if** $x \in B$

$\langle proof \rangle$

lemma *C-greater-zero*: $y \in C \implies 0 < y$

$\langle proof \rangle$

lemma *F-subset*: $F \subseteq \{x. 0 < x \wedge x \leq ((\text{int } p - 1) \text{ div } 2)\}$

$\langle proof \rangle$

lemma *D-subset*: $D \subseteq \{x. 0 < x \wedge x \leq ((p - 1) \text{ div } 2)\}$

$\langle proof \rangle$

lemma *F-eq*: $F = \{x. \exists y \in A. (x = p - ((y * a) \bmod p) \wedge (\text{int } p - 1) \text{ div } 2 < (y * a) \bmod p)\}$

$\langle proof \rangle$

lemma *D-eq*: $D = \{x. \exists y \in A. (x = (y * a) \bmod p \wedge (y * a) \bmod p \leq (\text{int } p - 1) \text{ div } 2)\}$

$\langle proof \rangle$

lemma *all-A-relprime*:

$\text{coprime } x \text{ } p$ **if** $x \in A$

$\langle proof \rangle$

lemma *A-prod-relprime*: $\text{coprime } (\text{prod id } A) \text{ } p$

$\langle proof \rangle$

8.3 Relationships Between Gauss Sets

lemma *StandardRes-inj-on-ResSet*: $\text{ResSet } m \text{ } X \implies \text{inj-on } (\lambda b. b \bmod m) \text{ } X$

$\langle proof \rangle$

lemma *B-card-eq-A*: $\text{card } B = \text{card } A$

$\langle proof \rangle$

lemma *B-card-eq*: $\text{card } B = \text{nat } ((\text{int } p - 1) \text{ div } 2)$

$\langle proof \rangle$

lemma *F-card-eq-E*: $\text{card } F = \text{card } E$

$\langle proof \rangle$

lemma *C-card-eq-B*: $\text{card } C = \text{card } B$

$\langle proof \rangle$

lemma *D-E-disj*: $D \cap E = \{\}$

$\langle proof \rangle$

lemma *C-card-eq-D-plus-E*: $\text{card } C = \text{card } D + \text{card } E$
 $\langle \text{proof} \rangle$

lemma *C-prod-eq-D-times-E*: $\text{prod id } E * \text{prod id } D = \text{prod id } C$
 $\langle \text{proof} \rangle$

lemma *C-B-zcong-prod*: $[\text{prod id } C = \text{prod id } B] \pmod{p}$
 $\langle \text{proof} \rangle$

lemma *F-Un-D-subset*: $(F \cup D) \subseteq A$
 $\langle \text{proof} \rangle$

lemma *F-D-disj*: $(F \cap D) = \{\}$
 $\langle \text{proof} \rangle$

lemma *F-Un-D-card*: $\text{card } (F \cup D) = \text{nat } ((p - 1) \text{ div } 2)$
 $\langle \text{proof} \rangle$

lemma *F-Un-D-eq-A*: $F \cup D = A$
 $\langle \text{proof} \rangle$

lemma *prod-D-F-eq-prod-A*: $\text{prod id } D * \text{prod id } F = \text{prod id } A$
 $\langle \text{proof} \rangle$

lemma *prod-F-zcong*: $[\text{prod id } F = ((-1) \wedge (\text{card } E)) * \text{prod id } E] \pmod{p}$
 $\langle \text{proof} \rangle$

8.4 Gauss' Lemma

lemma *aux*: $\text{prod id } A * (-1) \wedge \text{card } E * a \wedge \text{card } A * (-1) \wedge \text{card } E = \text{prod id } A * a \wedge \text{card } A$
 $\langle \text{proof} \rangle$

theorem *pre-gauss-lemma*: $[a \wedge \text{nat}((\text{int } p - 1) \text{ div } 2) = (-1) \wedge (\text{card } E)] \pmod{p}$
 $\langle \text{proof} \rangle$

theorem *gauss-lemma*: *Legendre* $a \text{ p} = (-1) \wedge (\text{card } E)$
 $\langle \text{proof} \rangle$

end

end

theory *Quadratic-Reciprocity*
imports *Gauss*
begin

The proof is based on Gauss's fifth proof, which can be found at <https://www.lehigh.edu/~shw2/q-recipe/gauss5.pdf>.

```

locale QR =
  fixes p :: nat
  fixes q :: nat
  assumes p-prime: prime p
  assumes p-ge-2: 2 < p
  assumes q-prime: prime q
  assumes q-ge-2: 2 < q
  assumes pq-neq: p ≠ q
begin

lemma odd-p: odd p
  <proof>

lemma p-ge-0: 0 < int p
  <proof>

lemma p-eq2: int p = (2 * ((int p - 1) div 2)) + 1
  <proof>

lemma odd-q: odd q
  <proof>

lemma q-ge-0: 0 < int q
  <proof>

lemma q-eq2: int q = (2 * ((int q - 1) div 2)) + 1
  <proof>

lemma pq-eq2: int p * int q = (2 * ((int p * int q - 1) div 2)) + 1
  <proof>

lemma pq-coprime: coprime p q
  <proof>

lemma pq-coprime-int: coprime (int p) (int q)
  <proof>

lemma qp-ineq: int p * k ≤ (int p * int q - 1) div 2 ⟷ k ≤ (int q - 1) div 2
  <proof>

lemma QRqp: QR q p
  <proof>

lemma pq-commute: int p * int q = int q * int p
  <proof>

lemma pq-ge-0: int p * int q > 0

```

$\langle proof \rangle$

definition $r = ((p - 1) \text{ div } 2) * ((q - 1) \text{ div } 2)$

definition $m = \text{card } (GAUSS.E \ p \ q)$

definition $n = \text{card } (GAUSS.E \ q \ p)$

abbreviation $Res \ k \equiv \{0 .. k - 1\} \text{ for } k :: int$

abbreviation $Res\text{-}ge\text{-}0 \ k \equiv \{0 <.. k - 1\} \text{ for } k :: int$

abbreviation $Res\text{-}0 \ k \equiv \{0::int\} \text{ for } k :: int$

abbreviation $Res\text{-}l \ k \equiv \{0 <.. (k - 1) \text{ div } 2\} \text{ for } k :: int$

abbreviation $Res\text{-}h \ k \equiv \{(k - 1) \text{ div } 2 <.. k - 1\} \text{ for } k :: int$

abbreviation $Sets\text{-}pq \ r0 \ r1 \ r2 \equiv$

$\{(x::int). x \in r0 \ (int \ p * int \ q) \wedge x \bmod p \in r1 \ (int \ p) \wedge x \bmod q \in r2 \ (int \ q)\}$

definition $A = Sets\text{-}pq \ Res\text{-}l \ Res\text{-}l \ Res\text{-}h$

definition $B = Sets\text{-}pq \ Res\text{-}l \ Res\text{-}h \ Res\text{-}l$

definition $C = Sets\text{-}pq \ Res\text{-}h \ Res\text{-}h \ Res\text{-}l$

definition $D = Sets\text{-}pq \ Res\text{-}l \ Res\text{-}h \ Res\text{-}h$

definition $E = Sets\text{-}pq \ Res\text{-}l \ Res\text{-}0 \ Res\text{-}h$

definition $F = Sets\text{-}pq \ Res\text{-}l \ Res\text{-}h \ Res\text{-}0$

definition $a = \text{card } A$

definition $b = \text{card } B$

definition $c = \text{card } C$

definition $d = \text{card } D$

definition $e = \text{card } E$

definition $f = \text{card } F$

lemma Gpq : $GAUSS \ p \ q$

$\langle proof \rangle$

lemma Gqp : $GAUSS \ q \ p$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}01$: $(\lambda x. x \bmod q) \text{ ' } E = GAUSS.E \ q \ p$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}02$: $e = n$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}03$: $f = m$

$\langle proof \rangle$

definition $f\text{-}1 :: int \Rightarrow int \times int$

where $f\text{-}1 \ x = ((x \bmod p), (x \bmod q))$

definition $P\text{-}1 :: int \times int \Rightarrow int \Rightarrow bool$

where $P\text{-}1 \ res \ x \longleftrightarrow x \bmod p = \text{fst } res \wedge x \bmod q = \text{snd } res \wedge x \in Res \ (int \ p * int \ q)$

$int\ q)$

definition $g-1 :: int \times int \Rightarrow int$
where $g-1\ res = (THE\ x.\ P-1\ res\ x)$

lemma $P-1$ -lemma:
fixes $res :: int \times int$
assumes $0 \leq fst\ res\ fst\ res < p\ 0 \leq snd\ res\ snd\ res < q$
shows $\exists!x.\ P-1\ res\ x$
 $\langle proof \rangle$

lemma $g-1$ -lemma:
fixes $res :: int \times int$
assumes $0 \leq fst\ res\ fst\ res < p\ 0 \leq snd\ res\ snd\ res < q$
shows $P-1\ res\ (g-1\ res)$
 $\langle proof \rangle$

definition $BuC = Sets-pq\ Res-ge-0\ Res-h\ Res-l$

lemma $finite-BuC$ [simp]:
 $finite\ BuC$
 $\langle proof \rangle$

lemma QR -lemma-04: $card\ BuC = card\ (Res-h\ p \times Res-l\ q)$
 $\langle proof \rangle$

lemma QR -lemma-05: $card\ (Res-h\ p \times Res-l\ q) = r$
 $\langle proof \rangle$

lemma QR -lemma-06: $b + c = r$
 $\langle proof \rangle$

definition $f-2 :: int \Rightarrow int$
where $f-2\ x = (int\ p * int\ q) - x$

lemma $f-2$ -lemma-1: $f-2\ (f-2\ x) = x$
 $\langle proof \rangle$

lemma $f-2$ -lemma-2: $[f-2\ x = int\ p - x] \ (mod\ p)$
 $\langle proof \rangle$

lemma $f-2$ -lemma-3: $f-2\ x \in S \Longrightarrow x \in f-2\ 'S$
 $\langle proof \rangle$

lemma QR -lemma-07:
 $f-2\ 'Res-l\ (int\ p * int\ q) = Res-h\ (int\ p * int\ q)$
 $f-2\ 'Res-h\ (int\ p * int\ q) = Res-l\ (int\ p * int\ q)$
 $\langle proof \rangle$

lemma *QR-lemma-08*:

$f-2 \ x \bmod p \in \text{Res-}l \ p \longleftrightarrow x \bmod p \in \text{Res-}h \ p$
 $f-2 \ x \bmod p \in \text{Res-}h \ p \longleftrightarrow x \bmod p \in \text{Res-}l \ p$
 $\langle \text{proof} \rangle$

lemma *QR-lemma-09*:

$f-2 \ x \bmod q \in \text{Res-}l \ q \longleftrightarrow x \bmod q \in \text{Res-}h \ q$
 $f-2 \ x \bmod q \in \text{Res-}h \ q \longleftrightarrow x \bmod q \in \text{Res-}l \ q$
 $\langle \text{proof} \rangle$

lemma *QR-lemma-10*: $a = c$

$\langle \text{proof} \rangle$

definition *BuD* = *Sets-pq Res-l Res-h Res-ge-0*

definition *BuD_uF* = *Sets-pq Res-l Res-h Res*

definition *f-3* :: *int* \Rightarrow *int* \times *int*

where *f-3* *x* = (*x mod p*, *x div p + 1*)

definition *g-3* :: *int* \times *int* \Rightarrow *int*

where *g-3* *x* = *fst x* + (*snd x - 1*) * *p*

lemma *QR-lemma-11*: $\text{card } \text{BuDuF} = \text{card } (\text{Res-}h \ p \times \text{Res-}l \ q)$

$\langle \text{proof} \rangle$

lemma *QR-lemma-12*: $b + d + m = r$

$\langle \text{proof} \rangle$

lemma *QR-lemma-13*: $a + d + n = r$

$\langle \text{proof} \rangle$

lemma *QR-lemma-14*: $(-1::\text{int}) \wedge (m + n) = (-1) \wedge r$

$\langle \text{proof} \rangle$

lemma *Quadratic-Reciprocity*:

Legendre p q * *Legendre q p* = $(-1::\text{int}) \wedge ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$

$\langle \text{proof} \rangle$

end

theorem *Quadratic-Reciprocity*:

assumes *prime p* 2 < *p* *prime q* 2 < *q* *p* \neq *q*

shows *Legendre p q* * *Legendre q p* = $(-1::\text{int}) \wedge ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$

$\langle \text{proof} \rangle$

theorem *Quadratic-Reciprocity-int*:

assumes *prime (nat p)* 2 < *p* *prime (nat q)* 2 < *q* *p* \neq *q*

shows *Legendre p q* * *Legendre q p* = $(-1::\text{int}) \wedge (\text{nat } ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$

1) div 2)))
 <proof>

end

9 Pocklington's Theorem for Primes

theory Pocklington
 imports Residues
 begin

9.1 Lemmas about previously defined terms

lemma prime-nat-iff'': prime (p::nat) \longleftrightarrow $p \neq 0 \wedge p \neq 1 \wedge (\forall m. 0 < m \wedge m < p \longrightarrow \text{coprime } p \ m)$
 <proof>

lemma finite-number-segment: card { m. $0 < m \wedge m < n$ } = $n - 1$
 <proof>

9.2 Some basic theorems about solving congruences

lemma cong-solve:
 fixes n :: nat
 assumes an: coprime a n
 shows $\exists x. [a * x = b] \pmod n$
 <proof>

lemma cong-solve-unique:
 fixes n :: nat
 assumes an: coprime a n and nz: $n \neq 0$
 shows $\exists! x. x < n \wedge [a * x = b] \pmod n$
 <proof>

lemma cong-solve-unique-nontrivial:
 fixes p :: nat
 assumes p: prime p
 and pa: coprime p a
 and x0: $0 < x$
 and xp: $x < p$
 shows $\exists! y. 0 < y \wedge y < p \wedge [x * y = a] \pmod p$
 <proof>

lemma cong-unique-inverse-prime:
 fixes p :: nat
 assumes prime p and $0 < x$ and $x < p$
 shows $\exists! y. 0 < y \wedge y < p \wedge [x * y = 1] \pmod p$
 <proof>

lemma *chinese-remainder-coprime-unique*:
fixes $a :: \text{nat}$
assumes ab : *coprime* a b **and** az : $a \neq 0$ **and** bz : $b \neq 0$
and ma : *coprime* m a **and** nb : *coprime* n b
shows $\exists!x. \text{coprime } x (a * b) \wedge x < a * b \wedge [x = m] \pmod{a} \wedge [x = n] \pmod{b}$
 $\langle \text{proof} \rangle$

9.3 Lucas's theorem

lemma *lucas-coprime-lemma*:
fixes $n :: \text{nat}$
assumes m : $m \neq 0$ **and** am : $[a^m = 1] \pmod{n}$
shows *coprime* a n
 $\langle \text{proof} \rangle$

lemma *lucas-weak*:
fixes $n :: \text{nat}$
assumes n : $n \geq 2$
and an : $[a^{n-1} = 1] \pmod{n}$
and nm : $\forall m. 0 < m \wedge m < n - 1 \longrightarrow \neg [a^m = 1] \pmod{n}$
shows *prime* n
 $\langle \text{proof} \rangle$

lemma *nat-exists-least-iff*: $(\exists (n::\text{nat}). P\ n) \longleftrightarrow (\exists n. P\ n \wedge (\forall m < n. \neg P\ m))$
 $\langle \text{proof} \rangle$

lemma *nat-exists-least-iff'*: $(\exists (n::\text{nat}). P\ n) \longleftrightarrow P\ (\text{Least } P) \wedge (\forall m < (\text{Least } P). \neg P\ m)$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

theorem *lucas*:
assumes $n2$: $n \geq 2$ **and** $an1$: $[a^{n-1} = 1] \pmod{n}$
and pn : $\forall p. \text{prime } p \wedge p \text{ dvd } n - 1 \longrightarrow [a^{(n-1) \text{ div } p} \neq 1] \pmod{n}$
shows *prime* n
 $\langle \text{proof} \rangle$

9.4 Definition of the order of a number mod n

definition $\text{ord } n\ a = (\text{if } \text{coprime } n\ a \text{ then } \text{Least } (\lambda d. d > 0 \wedge [a^d = 1] \pmod{n}) \text{ else } 0)$

This has the expected properties.

lemma *coprime-ord*:
fixes $n::\text{nat}$
assumes *coprime* n a
shows $\text{ord } n\ a > 0 \wedge [a^{\text{ord } n\ a} = 1] \pmod{n} \wedge (\forall m. 0 < m \wedge m < \text{ord } n\ a \longrightarrow [a^m \neq 1] \pmod{n})$
 $\langle \text{proof} \rangle$

With the special value 0 for non-coprime case, it's more convenient.

lemma *ord-works*: $[a \wedge (\text{ord } n \ a) = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n \ a \longrightarrow \neg [a \wedge m = 1] \pmod n)$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ord*: $[a \wedge (\text{ord } n \ a) = 1] \pmod n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ord-minimal*: $0 < m \implies m < \text{ord } n \ a \implies \neg [a \wedge m = 1] \pmod n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ord-eq-0*: $\text{ord } n \ a = 0 \longleftrightarrow \neg \text{coprime } n \ a$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *divides-rexp*: $x \text{ dvd } y \implies x \text{ dvd } (y \wedge \text{Suc } n)$
for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ord-divides*: $[a \wedge d = 1] \pmod n \longleftrightarrow \text{ord } n \ a \text{ dvd } d$
 $(\text{is } ?lhs \longleftrightarrow ?rhs)$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *order-divides-totient*:
 $\text{ord } n \ a \text{ dvd totient } n \text{ if coprime } n \ a$
 $\langle \text{proof} \rangle$

lemma *order-divides-expdiff*:
fixes $n::\text{nat}$ **and** $a::\text{nat}$ **assumes** $na: \text{coprime } n \ a$
shows $[a \wedge d = a \wedge e] \pmod n \longleftrightarrow [d = e] \pmod{(\text{ord } n \ a)}$
 $\langle \text{proof} \rangle$

lemma *ord-not-coprime* [simp]: $\neg \text{coprime } n \ a \implies \text{ord } n \ a = 0$
 $\langle \text{proof} \rangle$

lemma *ord-1* [simp]: $\text{ord } 1 \ n = 1$
 $\langle \text{proof} \rangle$

lemma *ord-1-right* [simp]: $\text{ord } (n::\text{nat}) \ 1 = 1$
 $\langle \text{proof} \rangle$

lemma *ord-Suc-0-right* [simp]: $\text{ord } (n::\text{nat}) \ (\text{Suc } 0) = 1$
 $\langle \text{proof} \rangle$

lemma *ord-0-nat* [simp]: $\text{ord } 0 \ (n :: \text{nat}) = (\text{if } n = 1 \text{ then } 1 \text{ else } 0)$

$\langle \text{proof} \rangle$

lemma *ord-0-right-nat* [simp]: $\text{ord } (n :: \text{nat}) \ 0 = (\text{if } n = 1 \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *ord-divides'*: $[a \wedge d = \text{Suc } 0] \ (\text{mod } n) = (\text{ord } n \ a \ \text{dvd } d)$
 $\langle \text{proof} \rangle$

lemma *ord-Suc-0* [simp]: $\text{ord } (\text{Suc } 0) \ n = 1$
 $\langle \text{proof} \rangle$

lemma *ord-mod* [simp]: $\text{ord } n \ (k \ \text{mod } n) = \text{ord } n \ k$
 $\langle \text{proof} \rangle$

lemma *ord-gt-0-iff* [simp]: $\text{ord } (n :: \text{nat}) \ x > 0 \longleftrightarrow \text{coprime } n \ x$
 $\langle \text{proof} \rangle$

lemma *ord-eq-Suc-0-iff*: $\text{ord } n \ (x :: \text{nat}) = \text{Suc } 0 \longleftrightarrow [x = 1] \ (\text{mod } n)$
 $\langle \text{proof} \rangle$

lemma *ord-cong*:
 assumes $[k1 = k2] \ (\text{mod } n)$
 shows $\text{ord } n \ k1 = \text{ord } n \ k2$
 $\langle \text{proof} \rangle$

lemma *ord-nat-code* [code-unfold]:
 $\text{ord } n \ a =$
 $(\text{if } n = 0 \text{ then if } a = 1 \text{ then } 1 \text{ else } 0 \text{ else}$
 $\text{if coprime } n \ a \text{ then Min (Set.filter } (\lambda k. [a \wedge k = 1] \ (\text{mod } n)) \ \{0 <..n\}) \text{ else}$
 $0)$
 $\langle \text{proof} \rangle$

theorem *ord-modulus-mult-coprime*:
 fixes $x :: \text{nat}$
 assumes $\text{coprime } m \ n$
 shows $\text{ord } (m * n) \ x = \text{lcm } (\text{ord } m \ x) \ (\text{ord } n \ x)$
 $\langle \text{proof} \rangle$

corollary *ord-modulus-prod-coprime*:
 assumes $\text{finite } A \ \bigwedge i \ j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f \ i) \ (f \ j)$
 shows $\text{ord } (\prod_{i \in A} f \ i :: \text{nat}) \ x = (\text{LCM } i \in A. \text{ord } (f \ i) \ x)$
 $\langle \text{proof} \rangle$

lemma *ord-power-aux*:
 fixes $m \ x \ k \ a :: \text{nat}$
 defines $l \equiv \text{ord } m \ a$
 shows $\text{ord } m \ (a \wedge^k) * \text{gcd } k \ l = l$
 $\langle \text{proof} \rangle$

theorem *ord-power*: $\text{coprime } m \ a \implies \text{ord } m \ (a \wedge^k :: \text{nat}) = \text{ord } m \ a \ \text{div } \text{gcd } k \ (\text{ord } m \ a)$
 <proof>

lemma *inj-power-mod*:
 assumes *coprime* $n \ (a :: \text{nat})$
 shows *inj-on* $(\lambda k. \ a \wedge^k \bmod n) \ \{..<\text{ord } n \ a\}$
 <proof>

lemma *ord-eq-2-iff*: $\text{ord } n \ (x :: \text{nat}) = 2 \iff [x \neq 1] \ (\bmod n) \wedge [x^2 = 1] \ (\bmod n)$
 <proof>

lemma *square-mod-8-eq-1-iff*: $[x^2 = 1] \ (\bmod 8) \iff \text{odd } (x :: \text{nat})$
 <proof>

lemma *ord-twopow-aux*:
 assumes $k \geq 3$ and *odd* $(x :: \text{nat})$
 shows $[x \wedge^{(2 \wedge (k - 2))} = 1] \ (\bmod (2 \wedge k))$
 <proof>

lemma *ord-twopow-3-5*:
 assumes $k \geq 3$ and $x \bmod 8 \in \{3, 5 :: \text{nat}\}$
 shows $\text{ord } (2 \wedge k) \ x = 2 \wedge (k - 2)$
 <proof>

lemma *ord-4-3 [simp]*: $\text{ord } 4 \ (3 :: \text{nat}) = 2$
 <proof>

lemma *elements-with-ord-1*: $n > 0 \implies \{x \in \text{totatives } n. \text{ord } n \ x = \text{Suc } 0\} = \{1\}$
 <proof>

lemma *residue-prime-has-primroot*:
 fixes $p :: \text{nat}$
 assumes *prime* p
 shows $\exists a \in \text{totatives } p. \text{ord } p \ a = p - 1$
 <proof>

9.5 Another trivial primality characterization

lemma *prime-prime-factor*: $\text{prime } n \iff n \neq 1 \wedge (\forall p. \text{prime } p \wedge p \ \text{dvd } n \longrightarrow p = n)$
 (is ?lhs \iff ?rhs)
 for $n :: \text{nat}$
 <proof>

lemma *prime-divisor-sqrt*: $\text{prime } n \iff n \neq 1 \wedge (\forall d. d \ \text{dvd } n \wedge d^2 \leq n \longrightarrow d = 1)$
 for $n :: \text{nat}$

$\langle \text{proof} \rangle$

lemma *prime-prime-factor-sqrt*:

prime ($n :: \text{nat}$) $\longleftrightarrow n \neq 0 \wedge n \neq 1 \wedge (\nexists p. \text{prime } p \wedge p \text{ dvd } n \wedge p^2 \leq n)$
 (is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

9.6 Pocklington theorem

lemma *pocklington-lemma*:

fixes $p :: \text{nat}$
assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$
and $an: [a^\wedge (n - 1) = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^\wedge ((n - 1) \text{ div } p) - 1) n$
and $pp: \text{prime } p$ **and** $pn: p \text{ dvd } n$
shows $[p = 1] \pmod q$
 $\langle \text{proof} \rangle$

theorem *pocklington*:

assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$ **and** $sqr: n \leq q^2$
and $an: [a^\wedge (n - 1) = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^\wedge ((n - 1) \text{ div } p) - 1) n$
shows *prime* n
 $\langle \text{proof} \rangle$

Variant for application, to separate the exponentiation.

lemma *pocklington-alt*:

assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$ **and** $sqr: n \leq q^2$
and $an: [a^\wedge (n - 1) = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow (\exists b. [a^\wedge ((n - 1) \text{ div } p) = b] \pmod n \wedge \text{coprime } (b - 1) n)$
shows *prime* n
 $\langle \text{proof} \rangle$

9.7 Prime factorizations

definition *primefact* $ps\ n \longleftrightarrow \text{foldr } (*)\ ps\ 1 = n \wedge (\forall p \in \text{set } ps. \text{prime } p)$

lemma *primefact*:

fixes $n :: \text{nat}$
assumes $n: n \neq 0$
shows $\exists ps. \text{primefact } ps\ n$
 $\langle \text{proof} \rangle$

lemma *primefact-contains*:

fixes $p :: \text{nat}$
assumes $pf: \text{primefact } ps\ n$
and $p: \text{prime } p$
and $pn: p \text{ dvd } n$

shows $p \in \text{set } ps$
 $\langle \text{proof} \rangle$

lemma *primefact-variant*: $\text{primefact } ps \ n \longleftrightarrow \text{foldr } (*) \ ps \ 1 = n \wedge \text{list-all prime } ps$
 $\langle \text{proof} \rangle$

Variant of Lucas theorem.

lemma *lucas-primefact*:
assumes $n: n \geq 2$ **and** $an: [a^{n-1} = 1] \pmod n$
and $psn: \text{foldr } (*) \ ps \ 1 = n - 1$
and $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \neg [a^{(n-1) \text{ div } p} = 1] \pmod n) \ ps$
shows $\text{prime } n$
 $\langle \text{proof} \rangle$

Variant of Pocklington theorem.

lemma *pocklington-primefact*:
assumes $n: n \geq 2$ **and** $qrn: q*r = n - 1$ **and** $nq2: n \leq q^2$
and $arnb: (a^r \pmod n = b \text{ and } psq: \text{foldr } (*) \ ps \ 1 = q$
and $bqn: (b^q \pmod n = 1$
and $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } ((b^{q \text{ div } p} \pmod n - 1) \ n) \ ps$
shows $\text{prime } n$
 $\langle \text{proof} \rangle$

end

10 Prime powers

theory *Prime-Powers*
imports *Complex-Main HOL-Computational-Algebra.Primes HOL-Library.FuncSet*
begin

definition *aprimedivisor* :: $'a :: \text{normalization-semidom} \Rightarrow 'a$ **where**
 $\text{aprimedivisor } q = (\text{SOME } p. \text{prime } p \wedge p \text{ dvd } q)$

definition *primepow* :: $'a :: \text{normalization-semidom} \Rightarrow \text{bool}$ **where**
 $\text{primepow } n \longleftrightarrow (\exists p \ k. \text{prime } p \wedge k > 0 \wedge n = p^k)$

definition *primepow-factors* :: $'a :: \text{normalization-semidom} \Rightarrow 'a \text{ set}$ **where**
 $\text{primepow-factors } n = \{x. \text{primepow } x \wedge x \text{ dvd } n\}$

lemma *primepow-gt-Suc-0*: $\text{primepow } n \implies n > \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma
assumes $\text{prime } p \ p \text{ dvd } n$
shows *prime-aprimedivisor*: $\text{prime } (\text{aprimedivisor } n)$
and *aprimedivisor-dvd*: $\text{aprimedivisor } n \text{ dvd } n$

<proof>

lemma

assumes $n \neq 0 \neg\text{is-unit } (n :: 'a :: \text{factorial-semiring})$

shows $\text{prime-aprime divisor}' : \text{prime } (\text{aprime divisor } n)$

and $\text{aprime divisor-dvd}' : \text{aprime divisor } n \text{ dvd } n$

<proof>

lemma *aprime divisor-of-prime* [simp]:

assumes $\text{prime } p$

shows $\text{aprime divisor } p = p$

<proof>

lemma *aprime divisor-pos-nat*: $(n :: \text{nat}) > 1 \implies \text{aprime divisor } n > 0$

<proof>

lemma *aprime divisor-primepow-power*:

assumes $\text{primepow } n \ k > 0$

shows $\text{aprime divisor } (n \wedge^k) = \text{aprime divisor } n$

<proof>

lemma *aprime divisor-prime-power*:

assumes $\text{prime } p \ k > 0$

shows $\text{aprime divisor } (p \wedge^k) = p$

<proof>

lemma *prime-factorization-primepow*:

assumes $\text{primepow } n$

shows $\text{prime-factorization } n =$

$\text{replicate-mset } (\text{multiplicity } (\text{aprime divisor } n) \ n) \ (\text{aprime divisor } n)$

<proof>

lemma *primepow-decompose*:

fixes $n :: 'a :: \text{factorial-semiring-multiplicative}$

assumes $\text{primepow } n$

shows $\text{aprime divisor } n \wedge^{\text{multiplicity } (\text{aprime divisor } n) \ n} n = n$

<proof>

lemma *prime-power-not-one*:

assumes $\text{prime } p \ k > 0$

shows $p \wedge^k \neq 1$

<proof>

lemma *zero-not-primepow* [simp]: $\neg \text{primepow } 0$

<proof>

lemma *one-not-primepow* [simp]: $\neg \text{primepow } 1$

<proof>

lemma *primepow-not-unit* [simp]: $\text{primepow } p \implies \neg \text{is-unit } p$
 ⟨proof⟩

lemma *not-primepow-Suc-0-nat* [simp]: $\neg \text{primepow } (\text{Suc } 0)$
 ⟨proof⟩

lemma *primepow-gt-0-nat*: $\text{primepow } n \implies n > (0::\text{nat})$
 ⟨proof⟩

lemma *unit-factor-primepow*:
 fixes $p :: 'a :: \text{factorial-semiring-multiplicative}$
 shows $\text{primepow } p \implies \text{unit-factor } p = 1$
 ⟨proof⟩

lemma *aprimedivisor-primepow*:
 assumes $\text{prime } p \text{ } p \text{ dvd } n \text{ } \text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$
 shows $\text{aprimedivisor } (p * n) = p \text{ } \text{aprimedivisor } n = p$
 ⟨proof⟩

lemma *power-eq-prime-powerD*:
 fixes $p :: 'a :: \text{factorial-semiring}$
 assumes $\text{prime } p \text{ } n > 0 \text{ } x \wedge^n = p \wedge^k$
 shows $\exists i. \text{normalize } x = \text{normalize } (p \wedge^i)$
 ⟨proof⟩

lemma *primepow-power-iff*:
 fixes $p :: 'a :: \text{factorial-semiring-multiplicative}$
 assumes $\text{unit-factor } p = 1$
 shows $\text{primepow } (p \wedge^n) \longleftrightarrow \text{primepow } p \wedge n > 0$
 ⟨proof⟩

lemma *primepow-power-iff-nat*:
 $p > 0 \implies \text{primepow } (p \wedge^n) \longleftrightarrow \text{primepow } (p :: \text{nat}) \wedge n > 0$
 ⟨proof⟩

lemma *primepow-prime* [simp]: $\text{prime } n \implies \text{primepow } n$
 ⟨proof⟩

lemma *primepow-prime-power* [simp]:
 $\text{prime } (p :: 'a :: \text{factorial-semiring-multiplicative}) \implies \text{primepow } (p \wedge^n) \longleftrightarrow n > 0$
 ⟨proof⟩

lemma *aprimedivisor-vimage*:
 assumes $\text{prime } (p :: 'a :: \text{factorial-semiring-multiplicative})$
 shows $\text{aprimedivisor } -' \{p\} \cap \text{primepow-factors } n = \{p \wedge^k \mid k. k > 0 \wedge p \wedge^k \text{ dvd } n\}$
 ⟨proof⟩

lemma *aprimedivisor-nat*:
 assumes $n \neq (\text{Suc } 0 :: \text{nat})$
 shows $\text{prime } (\text{aprimedivisor } n) \text{ aprimedivisor } n \text{ dvd } n$
 $\langle \text{proof} \rangle$

lemma *aprimedivisor-gt-Suc-0*:
 assumes $n \neq \text{Suc } 0$
 shows $\text{aprimedivisor } n > \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *aprimedivisor-le-nat*:
 assumes $n > \text{Suc } 0$
 shows $\text{aprimedivisor } n \leq n$
 $\langle \text{proof} \rangle$

lemma *bij-betw-primewords*:
 $\text{bij-betw } (\lambda(p,k). p \wedge \text{Suc } k :: 'a :: \text{factorial-semiring-multiplicative})$
 $(\text{Collect prime} \times \text{UNIV}) (\text{Collect primeword})$
 $\langle \text{proof} \rangle$

lemma *primeword-multD*:
 assumes $\text{primeword } (a * b :: \text{nat})$
 shows $a = 1 \vee \text{primeword } a \wedge b = 1 \vee \text{primeword } b$
 $\langle \text{proof} \rangle$

lemma *primeword-mult-aprimedivisorI*:
 assumes $\text{primeword } (n :: 'a :: \text{factorial-semiring-multiplicative})$
 shows $\text{primeword } (\text{aprimedivisor } n * n)$
 $\langle \text{proof} \rangle$

lemma *primeword-factors-altdef*:
 fixes $x :: 'a :: \text{factorial-semiring-multiplicative}$
 assumes $x \neq 0$
 shows $\text{primeword-factors } x = \{p \wedge k \mid p \text{ k. } p \in \text{prime-factors } x \wedge k \in \{0 < ..$
 $\text{multiplicity } p \ x\}\}$
 $\langle \text{proof} \rangle$

lemma *finite-primeword-factors*:
 assumes $x \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
 shows $\text{finite } (\text{primeword-factors } x)$
 $\langle \text{proof} \rangle$

lemma *aprimedivisor-primeword-factors-conv-prime-factorization*:
 assumes $[\text{simp}]: n \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
 shows $\text{image-mset aprimedivisor } (\text{mset-set } (\text{primeword-factors } n)) = \text{prime-factorization}$
 n
 $(\text{is } ?lhs = ?rhs)$

<proof>

lemma *prime-elem-aprime divisor-nat*: $d > \text{Suc } 0 \implies \text{prime-elem } (\text{aprime divisor } d)$
<proof>

lemma *aprime divisor-gt-0-nat [simp]*: $d > \text{Suc } 0 \implies \text{aprime divisor } d > 0$
<proof>

lemma *aprime divisor-gt-Suc-0-nat [simp]*: $d > \text{Suc } 0 \implies \text{aprime divisor } d > \text{Suc } 0$
<proof>

lemma *aprime divisor-not-Suc-0-nat [simp]*: $d > \text{Suc } 0 \implies \text{aprime divisor } d \neq \text{Suc } 0$
<proof>

lemma *multiplicity-aprime divisor-gt-0-nat [simp]*:
 $d > \text{Suc } 0 \implies \text{multiplicity } (\text{aprime divisor } d) \ d > 0$
<proof>

lemma *primepowI*:
 $\text{prime } p \implies k > 0 \implies p^k = n \implies \text{primepow } n \wedge \text{aprime divisor } n = p$
<proof>

lemma *not-primepowI*:
assumes $\text{prime } p \ \text{prime } q \ p \neq q \ p \ \text{dvd } n \ q \ \text{dvd } n$
shows $\neg \text{primepow } n$
<proof>

lemma *sum-prime-factorization-conv-sum-primepow-factors*:
fixes $n :: 'a :: \text{factorial-semiring-multiplicative}$
assumes $n \neq 0$
shows $(\sum_{q \in \text{primepow-factors } n} \text{f } (\text{aprime divisor } q)) = (\sum_{p \in \# \text{prime-factorization } n} \text{f } p)$
<proof>

lemma *multiplicity-aprime divisor-Suc-0-iff*:
assumes $\text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$
shows $\text{multiplicity } (\text{aprime divisor } n) \ n = \text{Suc } 0 \longleftrightarrow \text{prime } n$
<proof>

definition *mangoldt* :: $\text{nat} \Rightarrow 'a :: \text{real-algebra-1}$ **where**
 $\text{mangoldt } n = (\text{if } \text{primepow } n \text{ then of-real } (\ln (\text{real } (\text{aprime divisor } n))) \text{ else } 0)$

lemma *mangoldt-0 [simp]*: $\text{mangoldt } 0 = 0$
<proof>

lemma *mangoldt-Suc-0* [simp]: $\text{mangoldt } (\text{Suc } 0) = 0$
 ⟨proof⟩

lemma *of-real-mangoldt* [simp]: $\text{of-real } (\text{mangoldt } n) = \text{mangoldt } n$
 ⟨proof⟩

lemma *mangoldt-sum*:
 assumes $n \neq 0$
 shows $(\sum d \mid d \text{ dvd } n. \text{mangoldt } d :: 'a :: \text{real-algebra-1}) = \text{of-real } (\ln (\text{real } n))$
 ⟨proof⟩

lemma *mangoldt-primelow*:
 $\text{prime } p \implies \text{mangoldt } (p \wedge k) = (\text{if } k > 0 \text{ then } \text{of-real } (\ln (\text{real } p)) \text{ else } 0)$
 ⟨proof⟩

lemma *mangoldt-primelow'* [simp]: $\text{prime } p \implies k > 0 \implies \text{mangoldt } (p \wedge k) = \text{of-real } (\ln (\text{real } p))$
 ⟨proof⟩

lemma *mangoldt-prime* [simp]: $\text{prime } p \implies \text{mangoldt } p = \text{of-real } (\ln (\text{real } p))$
 ⟨proof⟩

lemma *mangoldt-nonneg*: $0 \leq (\text{mangoldt } d :: \text{real})$
 ⟨proof⟩

lemma *norm-mangoldt* [simp]:
 $\text{norm } (\text{mangoldt } n :: 'a :: \text{real-normed-algebra-1}) = \text{mangoldt } n$
 ⟨proof⟩

lemma *Re-mangoldt* [simp]: $\text{Re } (\text{mangoldt } n) = \text{mangoldt } n$
and *Im-mangoldt* [simp]: $\text{Im } (\text{mangoldt } n) = 0$
 ⟨proof⟩

lemma *abs-mangoldt* [simp]: $\text{abs } (\text{mangoldt } n :: \text{real}) = \text{mangoldt } n$
 ⟨proof⟩

lemma *mangoldt-le*:
 assumes $n > 0$
 shows $\text{mangoldt } n \leq \ln n$
 ⟨proof⟩

end

11 Primitive roots in residue rings and Carmichael's function

theory *Residue-Primitive-Roots*
 imports *Pocklington*

begin

This theory develops the notions of primitive roots (generators) in residue rings. It also provides a definition and all the basic properties of Carmichael's function $\lambda(n)$, which is strongly related to this. The proofs mostly follow Apostol's presentation

11.1 Primitive roots in residue rings

A primitive root of a residue ring modulo n is an element g that *generates* the ring, i.e. such that for each x coprime to n there exists an i such that $x = g^i$. A simpler definition is that g must have the same order as the cardinality of the multiplicative group, which is $\varphi(n)$.

Note that for convenience, this definition does *not* demand $g < n$.

inductive *residue-primroot* :: *nat* \Rightarrow *nat* \Rightarrow *bool* **where**
 $n > 0 \implies \text{coprime } n \ g \implies \text{ord } n \ g = \text{totient } n \implies \text{residue-primroot } n \ g$

lemma *residue-primroot-def* [code]:
 $\text{residue-primroot } n \ x \longleftrightarrow n > 0 \wedge \text{coprime } n \ x \wedge \text{ord } n \ x = \text{totient } n$
 <proof>

lemma *not-residue-primroot-0* [simp]: $\sim \text{residue-primroot } 0 \ x$
 <proof>

lemma *residue-primroot-mod* [simp]: $\text{residue-primroot } n \ (x \bmod n) = \text{residue-primroot } n \ x$
 <proof>

lemma *residue-primroot-cong*:
assumes $[x = x'] \ (mod \ n)$
shows $\text{residue-primroot } n \ x = \text{residue-primroot } n \ x'$
 <proof>

lemma *not-residue-primroot-0-right* [simp]: $\text{residue-primroot } n \ 0 \longleftrightarrow n = 1$
 <proof>

lemma *residue-primroot-1-iff*: $\text{residue-primroot } n \ (\text{Suc } 0) \longleftrightarrow n \in \{1, 2\}$
 <proof>

11.2 Primitive roots modulo a prime

For prime p , we now analyse the number of elements in the ring $\mathbb{Z}/p\mathbb{Z}$ whose order is precisely d for each d .

context

fixes $n :: \text{nat}$ **and** ψ
assumes $n: n > 1$
defines $\psi \equiv (\lambda d. \text{card } \{x \in \text{totatives } n. \text{ord } n \ x = d\})$

begin

lemma *elements-with-ord-restrict-totatives:*

$d > 0 \implies \{x \in \{..<n\}. \text{ord } n \ x = d\} = \{x \in \text{totatives } n. \text{ord } n \ x = d\}$
 $\langle \text{proof} \rangle$

lemma *prime-elements-with-ord:*

assumes $\psi \ d \neq 0$ **and** *prime* n
and $a: a \in \text{totatives } n \text{ ord } n \ a = d \ a \neq 1$
shows $\text{inj-on } (\lambda k. a \wedge^k \text{ mod } n) \ \{..<d\}$
and $\{x \in \{..<n\}. [x \wedge^d = 1] \ (\text{mod } n)\} = (\lambda k. a \wedge^k \text{ mod } n) \ ^\circ \ \{..<d\}$
and $\text{bij-betw } (\lambda k. a \wedge^k \text{ mod } n) \ (\text{totatives } d) \ \{x \in \{..<n\}. \text{ord } n \ x = d\}$
 $\langle \text{proof} \rangle$

lemma *prime-card-elements-with-ord:*

assumes $\psi \ d \neq 0$ **and** *prime* n
shows $\psi \ d = \text{totient } d$
 $\langle \text{proof} \rangle$

lemma *prime-sum-card-elements-with-ord-eq-totient:*

$(\sum d \mid d \text{ dvd totient } n. \psi \ d) = \text{totient } n$
 $\langle \text{proof} \rangle$

We can now show that the number of elements of order d is $\varphi(d)$ if $d \mid p-1$ and 0 otherwise.

theorem *prime-card-elements-with-ord-eq-totient:*

assumes *prime* n
shows $\psi \ d = (\text{if } d \text{ dvd } n-1 \text{ then totient } d \text{ else } 0)$
 $\langle \text{proof} \rangle$

As a corollary, we get that the number of primitive roots modulo a prime p is $\varphi(p-1)$. Since this number is positive, we also get that there *is* at least one primitive root modulo p .

lemma

assumes *prime* n
shows *prime-card-primitive-roots:* $\text{card } \{x \in \text{totatives } n. \text{ord } n \ x = n-1\} = \text{totient } (n-1)$
 $\text{card } \{x \in \{..<n\}. \text{ord } n \ x = n-1\} = \text{totient } (n-1)$
and *prime-primitive-root-exists:* $\exists x. \text{residue-primroot } n \ x$
 $\langle \text{proof} \rangle$

end

11.3 Primitive roots modulo powers of an odd prime

Any primitive root g modulo an odd prime p is also a primitive root modulo p^k for all $k > 0$ if $[g^{p-1} \neq 1] \pmod{p^2}$. To show this, we first need the following lemma.

lemma *residue-primroot-power-prime-power-neq-1*:

assumes $k \geq 2$

assumes p : prime p odd p **and** *residue-primroot* p g **and** $[g^{p-1} \neq 1] \pmod{p^2}$

shows $[g^{\text{totient}(p^k)} \neq 1] \pmod{p^k}$

<proof>

We can now show that primitive roots modulo p with the above condition are indeed also primitive roots modulo p^k .

proposition *residue-primroot-prime-lift-iff*:

assumes p : prime p odd p **and** *residue-primroot* p g

shows $(\forall k > 0. \text{residue-primroot}(p^k) g) \longleftrightarrow [g^{p-1} \neq 1] \pmod{p^2}$

<proof>

If p is an odd prime, there is always a primitive root g modulo p , and if g does not fulfil the above assumption required for it to be liftable to p^k , we can use $g + p$, which is also a primitive root modulo p and *does* fulfil the assumption.

This shows that any modulus that is a power of an odd prime has a primitive root.

theorem *residue-primroot-odd-prime-power-exists*:

assumes p : prime p odd p

obtains g **where** $\forall k > 0. \text{residue-primroot}(p^k) g$

<proof>

11.4 Carmichael's function

Carmichael's function $\lambda(n)$ gives the LCM of the orders of all elements in the residue ring modulo n – or, equivalently, the maximum order, as we will show later. Algebraically speaking, it is the exponent of the multiplicative group $(\mathbb{Z}/n\mathbb{Z})^*$.

It is not to be confused with Liouville's function, which is also denoted by $\lambda(n)$.

definition *Carmichael* **where**

Carmichael $n = (\text{LCM } a \in \text{totatives } n. \text{ord } n \ a)$

lemma *Carmichael-0* [simp]: *Carmichael* $0 = 1$

<proof>

lemma *Carmichael-1* [simp]: *Carmichael* $1 = 1$

<proof>

lemma *Carmichael-Suc-0* [simp]: *Carmichael* (*Suc* 0) = 1

<proof>

lemma *ord-dvd-Carmichael*:

assumes $n > 1$ *coprime* n k
shows $\text{ord } n \ k \ \text{dvd } \text{Carmichael } n$
 $\langle \text{proof} \rangle$

lemma *Carmichael-divides*:
assumes $\text{Carmichael } n \ \text{dvd } k$ *coprime* n a
shows $[a \wedge^k = 1] \ (\text{mod } n)$
 $\langle \text{proof} \rangle$

lemma *Carmichael-dvd-totient*: $\text{Carmichael } n \ \text{dvd } \text{totient } n$
 $\langle \text{proof} \rangle$

lemma *Carmichael-dvd-mono-coprime*:
assumes *coprime* m n $m > 1$ $n > 1$
shows $\text{Carmichael } m \ \text{dvd } \text{Carmichael } (m * n)$
 $\langle \text{proof} \rangle$

λ distributes over the product of coprime numbers similarly to φ , but with LCM instead of multiplication:

lemma *Carmichael-mult-coprime*:
assumes *coprime* m n
shows $\text{Carmichael } (m * n) = \text{lcm } (\text{Carmichael } m) (\text{Carmichael } n)$
 $\langle \text{proof} \rangle$

lemma *Carmichael-pos* [*simp*, *intro*]: $\text{Carmichael } n > 0$
 $\langle \text{proof} \rangle$

lemma *Carmichael-nonzero* [*simp*]: $\text{Carmichael } n \neq 0$
 $\langle \text{proof} \rangle$

lemma *power-Carmichael-eq-1*:
assumes $n > 1$ *coprime* n x
shows $[x \wedge^{\text{Carmichael } n} = 1] \ (\text{mod } n)$
 $\langle \text{proof} \rangle$

lemma *Carmichael-2* [*simp*]: $\text{Carmichael } 2 = 1$
 $\langle \text{proof} \rangle$

lemma *Carmichael-4* [*simp*]: $\text{Carmichael } 4 = 2$
 $\langle \text{proof} \rangle$

lemma *residue-primroot-Carmichael*:
assumes *residue-primroot* n g
shows $\text{Carmichael } n = \text{totient } n$
 $\langle \text{proof} \rangle$

lemma *Carmichael-odd-prime-power*:
assumes *prime* p *odd* p $k > 0$
shows $\text{Carmichael } (p \wedge^k) = p \wedge^{(k-1) * (p-1)}$

<proof>

lemma *Carmichael-prime:*

assumes *prime p*

shows $\text{Carmichael } p = p - 1$

<proof>

lemma *Carmichael-twopow-ge-8:*

assumes $k \geq 3$

shows $\text{Carmichael } (2 \wedge k) = 2 \wedge (k - 2)$

<proof>

lemma *Carmichael-twopow:*

$\text{Carmichael } (2 \wedge k) = (\text{if } k \leq 2 \text{ then } 2 \wedge (k - 1) \text{ else } 2 \wedge (k - 2))$

<proof>

lemma *Carmichael-prime-power:*

assumes *prime p k > 0*

shows $\text{Carmichael } (p \wedge k) =$

$(\text{if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k - 1) * (p - 1))$

<proof>

lemma *Carmichael-prod-coprime:*

assumes *finite A $\bigwedge i j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f i) (f j)$*

shows $\text{Carmichael } (\prod_{i \in A} f i) = (\text{LCM } i \in A. \text{Carmichael } (f i))$

<proof>

Since λ distributes over coprime factors and we know the value of $\lambda(p^k)$ for prime p , we can now give a closed formula for $\lambda(n)$ in terms of the prime factorisation of n :

theorem *Carmichael-closed-formula:*

$\text{Carmichael } n =$

$(\text{LCM } p \in \text{prime-factors } n. \text{let } k = \text{multiplicity } p \text{ in}$

$\text{if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k - 1) *$

$(p - 1))$

$(\text{is } - = \text{Lcm } ?A)$

<proof>

corollary *even-Carmichael:*

assumes $n > 2$

shows $\text{even } (\text{Carmichael } n)$

<proof>

lemma *eval-Carmichael:*

assumes *prime-factorization n = A*

shows $\text{Carmichael } n = (\text{LCM } p \in \text{set-mset } A.$

$\text{let } k = \text{count } A \text{ in if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k -$

$1) * (p - 1))$

<proof>

Any residue ring always contains a λ -root, i.e. an element whose order is $\lambda(n)$.

theorem *Carmichael-root-exists:*

assumes $n > (0::nat)$

obtains g **where** $g \in \text{totatives } n$ **and** $\text{ord } n \ g = \text{Carmichael } n$

<proof>

This also means that the Carmichael number is not only the LCM of the orders of the elements of the residue ring, but indeed the maximum of the orders.

lemma *Carmichael-altdef:*

$\text{Carmichael } n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{Max } (\text{ord } n \text{ ' totatives } n))$

<proof>

11.5 Existence of primitive roots for general moduli

We now related Carmichael's function to the existence of primitive roots and, in the end, use this to show precisely which moduli have primitive roots and which do not.

The first criterion for the existence of a primitive root is this: A primitive root modulo n exists iff $\lambda(n) = \varphi(n)$.

lemma *Carmichael-eq-totient-imp-primroot:*

assumes $n > 0$ **and** $\text{Carmichael } n = \text{totient } n$

shows $\exists g. \text{residue-primroot } n \ g$

<proof>

theorem *residue-primroot-iff-Carmichael:*

$(\exists g. \text{residue-primroot } n \ g) \longleftrightarrow \text{Carmichael } n = \text{totient } n \wedge n > 0$

<proof>

Any primitive root modulo mn for coprime m, n is also a primitive root modulo m and n . The converse does not hold in general.

lemma *residue-primroot-modulus-mult-coprimeD:*

assumes $\text{coprime } m \ n$ **and** $\text{residue-primroot } (m * n) \ g$

shows $\text{residue-primroot } m \ g \ \text{residue-primroot } n \ g$

<proof>

If a primitive root modulo mn exists for coprime m, n , then $\lambda(m)$ and $\lambda(n)$ must also be coprime. This is helpful in establishing that there are no primitive roots modulo mn by showing e.g. that $\lambda(m)$ and $\lambda(n)$ are both even.

lemma *residue-primroot-modulus-mult-coprime-imp-Carmichael-coprime:*

assumes $\text{coprime } m \ n$ **and** $\text{residue-primroot } (m * n) \ g$

shows $\text{coprime } (\text{Carmichael } m) \ (\text{Carmichael } n)$

<proof>

The following moduli are precisely those that have primitive roots.

definition *cyclic-moduli* :: nat set **where**

$$\text{cyclic-moduli} = \{1, 2, 4\} \cup \{p^k \mid p \text{ prime } p \wedge \text{odd } p \wedge k > 0\} \cup \{2 * p^k \mid p \text{ prime } p \wedge \text{odd } p \wedge k > 0\}$$

theorem *residue-primroot-iff-in-cyclic-moduli*:

$(\exists g. \text{residue-primroot } m \ g) \longleftrightarrow m \in \text{cyclic-moduli}$
 $\langle \text{proof} \rangle$

lemma *residue-primroot-is-generator*:

assumes $m > 1$ **and** $\text{residue-primroot } m \ g$
shows $\text{bij-betw } (\lambda i. g^i \bmod m) \{..<\text{totient } m\} (\text{totatives } m)$
 $\langle \text{proof} \rangle$

Given one primitive root g , all the primitive roots are powers g^i for $1 \leq i \leq \varphi(n)$ with $\gcd(i, \varphi(n)) = 1$.

theorem *residue-primroot-bij-betw-primroots*:

assumes $m > 1$ **and** $\text{residue-primroot } m \ g$
shows $\text{bij-betw } (\lambda i. g^i \bmod m) (\text{totatives } (\text{totient } m))$
 $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\}$
 $\langle \text{proof} \rangle$

It follows from the above statement that any residue ring modulo n that has primitive roots has exactly $\varphi(\varphi(n))$ of them.

corollary *card-residue-primroots*:

assumes $\exists g. \text{residue-primroot } m \ g$
shows $\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} = \text{totient } (\text{totient } m)$
 $\langle \text{proof} \rangle$

corollary *card-residue-primroots'*:

$\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} =$
 $(\text{if } m \in \text{cyclic-moduli} \text{ then } \text{totient } (\text{totient } m) \text{ else } 0)$
 $\langle \text{proof} \rangle$

As an example, we evaluate $\lambda(122200)$ using the prime factorisation.

lemma *Carmichael 122200 = 1380*

$\langle \text{proof} \rangle$

end

12 Comprehensive number theory

theory *Number-Theory*

imports

Fib

Residues
Eratosthenes
Mod-Exp
Quadratic-Reciprocity
Pocklington
Prime-Powers
Residue-Primitive-Roots
begin

end