

# ZF

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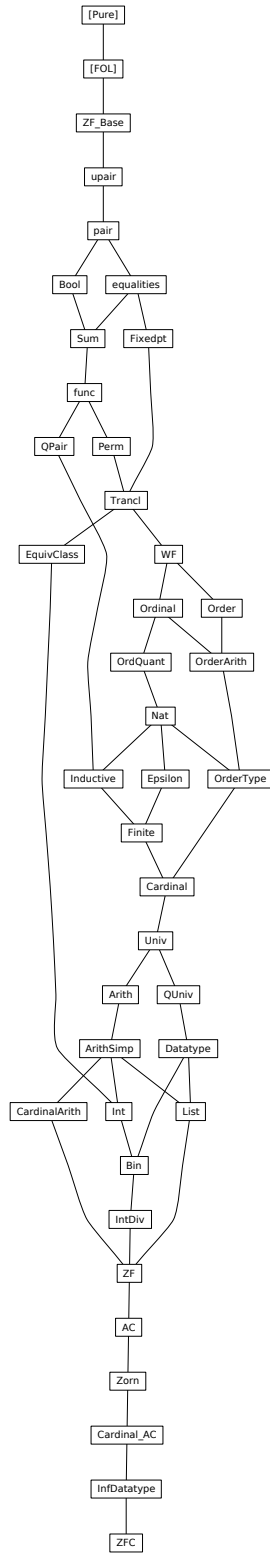
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# 1 Base of Zermelo-Fraenkel Set Theory

```
theory ZF-Base
imports FOL
begin
```

## 1.1 Signature

```
declare [[eta-contract = false]]
```

```
typedecl i
instance i :: term ..
```

```
axiomatization mem :: [i, i]  $\Rightarrow$  o (infixl  $\in$  50) — membership relation
and zero :: i ( $\langle 0 \rangle$ ) — the empty set
and Pow :: i  $\Rightarrow$  i — power sets
and Inf :: i — infinite set
and Union :: i  $\Rightarrow$  i ( $\langle \langle \text{open-block notation} = \langle \text{prefix } \bigcup \rangle \bigcup - \rangle \rangle$  [90] 90)
and PrimReplace :: [i, [i, i]  $\Rightarrow$  o]  $\Rightarrow$  i
```

```
abbreviation not-mem :: [i, i]  $\Rightarrow$  o (infixl  $\notin$  50) — negated membership
relation
where  $x \notin y \equiv \neg (x \in y)$ 
```

## 1.2 Bounded Quantifiers

```
definition Ball :: [i, i  $\Rightarrow$  o]  $\Rightarrow$  o
where Ball(A, P)  $\equiv \forall x. x \in A \longrightarrow P(x)$ 
```

```
definition Bex :: [i, i  $\Rightarrow$  o]  $\Rightarrow$  o
where Bex(A, P)  $\equiv \exists x. x \in A \wedge P(x)$ 
```

**syntax**

```
-Ball :: [pttrn, i, o]  $\Rightarrow$  o ( $\langle \langle \text{indent} = 3 \text{ notation} = \langle \text{binder } \forall \in \rangle \forall - \in - / - \rangle \rangle$  10)
-Bex :: [pttrn, i, o]  $\Rightarrow$  o ( $\langle \langle \text{indent} = 3 \text{ notation} = \langle \text{binder } \exists \in \rangle \exists - \in - / - \rangle \rangle$  10)
```

**syntax-consts**

```
-Ball  $\equiv$  Ball and
-Bex  $\equiv$  Bex
```

**translations**

```
 $\forall x \in A. P \equiv \text{CONST Ball}(A, \lambda x. P)$ 
 $\exists x \in A. P \equiv \text{CONST Bex}(A, \lambda x. P)$ 
```

## 1.3 Variations on Replacement

```
definition Replace :: [i, [i, i]  $\Rightarrow$  o]  $\Rightarrow$  i
where Replace(A, P)  $\equiv \text{PrimReplace}(A, \lambda x y. (\exists ! z. P(x, z)) \wedge P(x, y))$ 
```

**syntax**

```
-Replace :: [pttrn, pttrn, i, o]  $\Rightarrow$  i ( $\langle \langle \text{indent} = 1 \text{ notation} = \langle \text{mixfix relational replacement} \rangle \{ - / - \in -, - \} \rangle \rangle$ )
```

**syntax-consts**

-*Replace*  $\Rightarrow$  *Replace*

**translations**

$\{y. x \in A, Q\} \Rightarrow \text{CONST } \text{Replace}(A, \lambda x y. Q)$

**definition** *RepFun* ::  $[i, i \Rightarrow i] \Rightarrow i$

where *RepFun*(*A*,*f*)  $\equiv \{y . x \in A, y=f(x)\}$

**syntax**

-*RepFun* ::  $[i, \text{pttrn}, i] \Rightarrow i$  ( $\langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix functional replace-ment} \rangle \rangle \{- ./ - \in -\} \rangle [51,0,51]$ )

**syntax-consts**

-*RepFun*  $\Rightarrow$  *RepFun*

**translations**

$\{b. x \in A\} \Rightarrow \text{CONST } \text{RepFun}(A, \lambda x. b)$

**definition** *Collect* ::  $[i, i \Rightarrow o] \Rightarrow i$

where *Collect*(*A*,*P*)  $\equiv \{y . x \in A, x=y \wedge P(x)\}$

**syntax**

-*Collect* ::  $[\text{pttrn}, i, o] \Rightarrow i$  ( $\langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix set comprehension} \rangle \rangle \{- \in - ./ -\} \rangle$ )

**syntax-consts**

-*Collect*  $\Rightarrow$  *Collect*

**translations**

$\{x \in A. P\} \Rightarrow \text{CONST } \text{Collect}(A, \lambda x. P)$

## 1.4 General union and intersection

**definition** *Inter* ::  $i \Rightarrow i$  ( $\langle \langle \text{open-block notation}=\langle \text{prefix } \bigcap \rangle \bigcap - \rangle [90] 90$ )

where  $\bigcap(A) \equiv \{x \in \bigcup(A) . \forall y \in A. x \in y\}$

**syntax**

-*UNION* ::  $[\text{pttrn}, i, i] \Rightarrow i$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \bigcup \in \rangle \bigcup - \in - ./ - \rangle 10$ )

-*INTER* ::  $[\text{pttrn}, i, i] \Rightarrow i$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \bigcap \in \rangle \bigcap - \in - ./ - \rangle 10$ )

**syntax-consts**

-*UNION* == *Union* and

-*INTER* == *Inter*

**translations**

$\bigcup_{x \in A} B == \text{CONST } \text{Union}(\{B. x \in A\})$

$\bigcap_{x \in A} B == \text{CONST } \text{Inter}(\{B. x \in A\})$

## 1.5 Finite sets and binary operations

**definition** *Upair* ::  $[i, i] \Rightarrow i$

where *Upair*(*a*,*b*)  $\equiv \{y. x \in \text{Pow}(\text{Pow}(\theta)), (x=\theta \wedge y=a) \mid (x=\text{Pow}(\theta) \wedge y=b)\}$

**definition** *Subset* ::  $[i, i] \Rightarrow o$  (**infixl**  $\langle \subseteq \rangle$  50) — subset relation  
**where** *subset-def*:  $A \subseteq B \equiv \forall x \in A. x \in B$

**definition** *Diff* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle - \rangle$  65) — set difference  
**where**  $A - B \equiv \{ x \in A \mid \neg(x \in B) \}$

**definition** *Un* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle \cup \rangle$  65) — binary union  
**where**  $A \cup B \equiv \bigcup (U\text{pair}(A, B))$

**definition** *Int* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle \cap \rangle$  70) — binary intersection  
**where**  $A \cap B \equiv \bigcap (U\text{pair}(A, B))$

**definition** *cons* ::  $[i, i] \Rightarrow i$   
**where**  $\text{cons}(a, A) \equiv U\text{pair}(a, A) \cup A$

**definition** *succ* ::  $i \Rightarrow i$   
**where**  $\text{succ}(i) \equiv \text{cons}(i, i)$

**nonterminal** *is*

**syntax**

::  $i \Rightarrow is$  ( $\langle - \rangle$ )  
*-Enum* ::  $[i, is] \Rightarrow is$  ( $\langle -, / - \rangle$ )  
*-Finset* ::  $is \Rightarrow i$  ( $\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix set enumeration} \rangle \{-\} \rangle) \rangle$ )

**translations**

$\{x, xs\} == \text{CONST } \text{cons}(x, \{xs\})$   
 $\{x\} == \text{CONST } \text{cons}(x, \emptyset)$

## 1.6 Axioms

**axiomatization**

**where**

*extension*:  $A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$  **and**  
*Union-iff*:  $A \in \bigcup(C) \longleftrightarrow (\exists B \in C. A \in B)$  **and**  
*Pow-iff*:  $A \in \text{Pow}(B) \longleftrightarrow A \subseteq B$  **and**

*infinity*:  $0 \in \text{Inf} \wedge (\forall y \in \text{Inf}. \text{succ}(y) \in \text{Inf})$  **and**

*foundation*:  $A = \emptyset \vee (\exists x \in A. \forall y \in x. y \notin A)$  **and**

*replacement*:  $(\forall x \in A. \forall y z. P(x, y) \wedge P(x, z) \longrightarrow y = z) \implies$   
 $b \in \text{PrimReplace}(A, P) \longleftrightarrow (\exists x \in A. P(x, b))$

## 1.7 Definite descriptions – via Replace over the set "1"

**definition** *The* ::  $(i \Rightarrow o) \Rightarrow i$  (**binder**  $\langle \text{THE} \rangle$  10)  
**where** *the-def*:  $\text{The}(P) \equiv \bigcup (\{y \mid x \in \{0\}, P(y)\})$

**definition**  $If :: [o, i, i] \Rightarrow i$  ( $\langle \langle notation = \langle mixfix\ if\ then\ else \rangle \rangle if\ (-) / then\ (-) / else\ (-) \rangle [10] 10$ )  
**where**  $if\text{-}def: if\ P\ then\ a\ else\ b \equiv THE\ z.\ P \wedge z=a \mid \neg P \wedge z=b$

**abbreviation** (*input*)  
 $old\text{-}if :: [o, i, i] \Rightarrow i$  ( $\langle if\ '(-,-,-)' \rangle$ )  
**where**  $if(P,a,b) \equiv If(P,a,b)$

## 1.8 Ordered Pairing

**definition**  $Pair :: [i, i] \Rightarrow i$   
**where**  $Pair(a,b) \equiv \{\{a,a\}, \{a,b\}\}$

**definition**  $fst :: i \Rightarrow i$   
**where**  $fst(p) \equiv THE\ a.\ \exists b.\ p = Pair(a, b)$

**definition**  $snd :: i \Rightarrow i$   
**where**  $snd(p) \equiv THE\ b.\ \exists a.\ p = Pair(a, b)$

**definition**  $split :: [[i, i] \Rightarrow 'a, i] \Rightarrow 'a::\{\}$  — for pattern-matching  
**where**  $split(c) \equiv \lambda p.\ c(fst(p), snd(p))$

**nonterminal** *tuple-args*

**syntax**

$:: i \Rightarrow tuple\text{-}args\ (\langle \cdot \rangle)$   
 $-Tuple\text{-}args :: [i, tuple\text{-}args] \Rightarrow tuple\text{-}args\ (\langle \cdot, / \cdot \rangle)$   
 $-Tuple :: [i, tuple\text{-}args] \Rightarrow i$  ( $\langle \langle indent=1\ notation=\langle mixfix\ tuple\ enumeration \rangle \rangle \langle \cdot, / \cdot \rangle \rangle$ )

**translations**

$\langle x, y, z \rangle == \langle x, \langle y, z \rangle \rangle$   
 $\langle x, y \rangle == CONST\ Pair(x, y)$

**nonterminal** *patterns*

**syntax**

$-pattern :: patterns \Rightarrow pptrn$  ( $\langle \langle open\text{-}block\ notation=\langle pattern\ tuple \rangle \rangle \langle \cdot \rangle \rangle$ )  
 $:: pptrn \Rightarrow patterns\ (\langle \cdot \rangle)$   
 $-patterns :: [pptrn, patterns] \Rightarrow patterns\ (\langle \cdot, / \cdot \rangle)$

**syntax-consts**

$-pattern\ -patterns == split$

**translations**

$\lambda \langle x, y, zs \rangle. b == CONST\ split(\lambda x\ \langle y, zs \rangle. b)$   
 $\lambda \langle x, y \rangle. b == CONST\ split(\lambda x\ y. b)$

**definition**  $Sigma :: [i, i \Rightarrow i] \Rightarrow i$   
**where**  $Sigma(A,B) \equiv \bigcup_{x \in A} \bigcup_{y \in B(x)} \{\langle x, y \rangle\}$

**abbreviation**  $cart\text{-}prod :: [i, i] \Rightarrow i$  (**infixr**  $\langle \times \rangle$  80) — Cartesian product



where  $A \times B \equiv \text{Sigma}(A, \lambda-. B)$

## 1.9 Relations and Functions

**definition**  $\text{converse} :: i \Rightarrow i$   
 where  $\text{converse}(r) \equiv \{z. w \in r, \exists x y. w = \langle x, y \rangle \wedge z = \langle y, x \rangle\}$

**definition**  $\text{domain} :: i \Rightarrow i$   
 where  $\text{domain}(r) \equiv \{x. w \in r, \exists y. w = \langle x, y \rangle\}$

**definition**  $\text{range} :: i \Rightarrow i$   
 where  $\text{range}(r) \equiv \text{domain}(\text{converse}(r))$

**definition**  $\text{field} :: i \Rightarrow i$   
 where  $\text{field}(r) \equiv \text{domain}(r) \cup \text{range}(r)$

**definition**  $\text{relation} :: i \Rightarrow o$  — recognizes sets of pairs  
 where  $\text{relation}(r) \equiv \forall z \in r. \exists x y. z = \langle x, y \rangle$

**definition**  $\text{function} :: i \Rightarrow o$  — recognizes functions; can have non-pairs  
 where  $\text{function}(r) \equiv \forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow y = y')$

**definition**  $\text{Image} :: [i, i] \Rightarrow i$  (**infixl**  $\langle \langle \rangle 90$ ) — image  
 where  $\text{image-def}: r \text{ “ } A \equiv \{y \in \text{range}(r). \exists x \in A. \langle x, y \rangle \in r\}$

**definition**  $\text{vimage} :: [i, i] \Rightarrow i$  (**infixl**  $\langle \langle \rangle 90$ ) — inverse image  
 where  $\text{vimage-def}: r \text{ -“ } A \equiv \text{converse}(r) \text{ “ } A$

**definition**  $\text{restrict} :: [i, i] \Rightarrow i$   
 where  $\text{restrict}(r, A) \equiv \{z \in r. \exists x \in A. \exists y. z = \langle x, y \rangle\}$

**definition**  $\text{Lambda} :: [i, i \Rightarrow i] \Rightarrow i$   
 where  $\text{lam-def}: \text{Lambda}(A, b) \equiv \{\langle x, b(x) \rangle. x \in A\}$

**definition**  $\text{apply} :: [i, i] \Rightarrow i$  (**infixl**  $\langle \langle \rangle 90$ ) — function application  
 where  $f'a \equiv \bigcup (f' \text{ “ } \{a\})$

**definition**  $\text{Pi} :: [i, i \Rightarrow i] \Rightarrow i$   
 where  $\text{Pi}(A, B) \equiv \{f \in \text{Pow}(\text{Sigma}(A, B)). A \subseteq \text{domain}(f) \wedge \text{function}(f)\}$

**abbreviation**  $\text{function-space} :: [i, i] \Rightarrow i$  (**infixr**  $\langle \langle \rangle 60$ ) — function space  
 where  $A \rightarrow B \equiv \text{Pi}(A, \lambda-. B)$

**syntax**

-PROD :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{mixfix } \prod \in\rangle \prod -\in-./ -)\rangle 10)$ )  
 -SUM :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{mixfix } \sum \in\rangle \sum -\in-./ -)\rangle 10)$ )  
 -lam :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{mixfix } \lambda \in\rangle \lambda -\in-./ -)\rangle 10)$ )

**syntax-consts**

-PROD == Pi and  
 -SUM == Sigma and  
 -lam == Lambda

**translations**

$\prod_{x \in A} B == \text{CONST } \text{Pi}(A, \lambda x. B)$   
 $\sum_{x \in A} B == \text{CONST } \text{Sigma}(A, \lambda x. B)$   
 $\lambda x \in A. f == \text{CONST } \text{Lambda}(A, \lambda x. f)$

**1.10 ASCII syntax****notation (ASCII)**

cart-prod (infixr  $\langle * \rangle$  80) and  
 Int (infixl  $\langle Int \rangle$  70) and  
 Un (infixl  $\langle Un \rangle$  65) and  
 function-space (infixr  $\langle -> \rangle$  60) and  
 Subset (infixl  $\langle \leq \rangle$  50) and  
 mem (infixl  $\langle : \rangle$  50) and  
 not-mem (infixl  $\langle \neg : \rangle$  50)

**syntax (ASCII)**

-Ball :: [pttrn, i, o]  $\Rightarrow$  o ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } ALL:\rangle ALL -:-./ -)\rangle 10)$ )  
 -Bex :: [pttrn, i, o]  $\Rightarrow$  o ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } EX:\rangle EX -:-./ -)\rangle 10)$ )  
 -Collect :: [pttrn, i, o]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=1 \text{ notation}=\langle\text{mixfix set comprehension}\rangle\{-:-./-\})\rangle 10)$ )  
 -Replace :: [pttrn, pttrn, i, o]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=1 \text{ notation}=\langle\text{mixfix relational replacement}\rangle\{-:-./-\})\rangle 10)$ )  
 -RepFun :: [i, pttrn, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=1 \text{ notation}=\langle\text{mixfix functional replacement}\rangle\{-:-./-\})\rangle [51,0,51])$ )  
 -UNION :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } UN:\rangle UN -:-./ -)\rangle 10)$ )  
 -INTER :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } INT:\rangle INT -:-./ -)\rangle 10)$ )  
 -PROD :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } PROD:\rangle PROD -:-./ -)\rangle 10)$ )  
 -SUM :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } SUM:\rangle SUM -:-./ -)\rangle 10)$ )  
 -lam :: [pttrn, i, i]  $\Rightarrow$  i ( $\langle(\langle\text{indent}=3 \text{ notation}=\langle\text{binder } lam:\rangle lam -:-./ -)\rangle 10)$ )

-*Tuple* ::  $[i, \text{tuple-args}] \Rightarrow i$  ( $\langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix tuple enumeration} \rangle \langle -, / - \rangle \rangle$ )  
 -*pattern* ::  $\text{patterns} \Rightarrow \text{pttrn}$  ( $\langle \langle -, \rangle \rangle$ )

### 1.11 Substitution

**lemma** *subst-elem*:  $\llbracket b \in A; a = b \rrbracket \Longrightarrow a \in A$   
**by** (*erule ssust, assumption*)

### 1.12 Bounded universal quantifier

**lemma** *ballI* [*intro!*]:  $\llbracket \bigwedge x. x \in A \Longrightarrow P(x) \rrbracket \Longrightarrow \forall x \in A. P(x)$   
**by** (*simp add: Ball-def*)

**lemmas** *strip = impI allI ballI*

**lemma** *bspec* [*dest?*]:  $\llbracket \forall x \in A. P(x); x: A \rrbracket \Longrightarrow P(x)$   
**by** (*simp add: Ball-def*)

**lemma** *rev-ballE* [*elim*]:  
 $\llbracket \forall x \in A. P(x); x \notin A \Longrightarrow Q; P(x) \Longrightarrow Q \rrbracket \Longrightarrow Q$   
**by** (*simp add: Ball-def, blast*)

**lemma** *ballE*:  $\llbracket \forall x \in A. P(x); P(x) \Longrightarrow Q; x \notin A \Longrightarrow Q \rrbracket \Longrightarrow Q$   
**by** *blast*

**lemma** *rev-bspec*:  $\llbracket x: A; \forall x \in A. P(x) \rrbracket \Longrightarrow P(x)$   
**by** (*simp add: Ball-def*)

**lemma** *ball-triv* [*simp*]:  $(\forall x \in A. P) \longleftrightarrow ((\exists x. x \in A) \longrightarrow P)$   
**by** (*simp add: Ball-def*)

**lemma** *ball-cong* [*cong*]:  
 $\llbracket A = A'; \bigwedge x. x \in A' \Longrightarrow P(x) \longleftrightarrow P'(x) \rrbracket \Longrightarrow (\forall x \in A. P(x)) \longleftrightarrow (\forall x \in A'. P'(x))$   
**by** (*simp add: Ball-def*)

**lemma** *atomize-ball*:  
 $(\bigwedge x. x \in A \Longrightarrow P(x)) \equiv \text{Trueprop } (\forall x \in A. P(x))$   
**by** (*simp only: Ball-def atomize-all atomize-imp*)

**lemmas** [*symmetric, rulify*] = *atomize-ball*  
**and** [*symmetric, defn*] = *atomize-ball*

### 1.13 Bounded existential quantifier

**lemma** *bexI* [*intro*]:  $\llbracket P(x); x: A \rrbracket \Longrightarrow \exists x \in A. P(x)$

**by** (*simp add: Bex-def, blast*)

**lemma** *rev-bexI*:  $\llbracket x \in A; P(x) \rrbracket \implies \exists x \in A. P(x)$   
**by** *blast*

**lemma** *bexCI*:  $\llbracket \forall x \in A. \neg P(x) \implies P(a); a: A \rrbracket \implies \exists x \in A. P(x)$   
**by** *blast*

**lemma** *bexE [elim!]*:  $\llbracket \exists x \in A. P(x); \bigwedge x. \llbracket x \in A; P(x) \rrbracket \implies Q \rrbracket \implies Q$   
**by** (*simp add: Bex-def, blast*)

**lemma** *bex-triv [simp]*:  $(\exists x \in A. P) \longleftrightarrow ((\exists x. x \in A) \wedge P)$   
**by** (*simp add: Bex-def*)

**lemma** *bex-cong [cong]*:  
 $\llbracket A=A'; \bigwedge x. x \in A' \implies P(x) \longleftrightarrow P'(x) \rrbracket$   
 $\implies (\exists x \in A. P(x)) \longleftrightarrow (\exists x \in A'. P'(x))$   
**by** (*simp add: Bex-def cong: conj-cong*)

## 1.14 Rules for subsets

**lemma** *subsetI [intro!]*:  
 $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$   
**by** (*simp add: subset-def*)

**lemma** *subsetD [elim]*:  $\llbracket A \subseteq B; c \in A \rrbracket \implies c \in B$   
**unfolding** *subset-def*  
**apply** (*erule bspec, assumption*)  
**done**

**lemma** *subsetCE [elim]*:  
 $\llbracket A \subseteq B; c \notin A \implies P; c \in B \implies P \rrbracket \implies P$   
**by** (*simp add: subset-def, blast*)

**lemma** *rev-subsetD*:  $\llbracket c \in A; A \subseteq B \rrbracket \implies c \in B$   
**by** *blast*

**lemma** *contra-subsetD*:  $\llbracket A \subseteq B; c \notin B \rrbracket \implies c \notin A$   
**by** *blast*

**lemma** *rev-contra-subsetD*:  $\llbracket c \notin B; A \subseteq B \rrbracket \implies c \notin A$   
**by** *blast*

**lemma** *subset-refl* [*simp*]:  $A \subseteq A$   
**by** *blast*

**lemma** *subset-trans*:  $\llbracket A \subseteq B; B \subseteq C \rrbracket \Longrightarrow A \subseteq C$   
**by** *blast*

**lemma** *subset-iff*:  
 $A \subseteq B \longleftrightarrow (\forall x. x \in A \longrightarrow x \in B)$   
**by** *auto*

For calculations

**declare** *subsetD* [*trans*] *rev-subsetD* [*trans*] *subset-trans* [*trans*]

### 1.15 Rules for equality

**lemma** *equalityI* [*intro*]:  $\llbracket A \subseteq B; B \subseteq A \rrbracket \Longrightarrow A = B$   
**by** (*rule extension* [*THEN iffD2*], *rule conjI*)

**lemma** *equality-iffI*:  $(\bigwedge x. x \in A \longleftrightarrow x \in B) \Longrightarrow A = B$   
**by** (*rule equalityI*, *blast+*)

**lemmas** *equalityD1* = *extension* [*THEN iffD1*, *THEN conjunct1*]  
**lemmas** *equalityD2* = *extension* [*THEN iffD1*, *THEN conjunct2*]

**lemma** *equalityE*:  $\llbracket A = B; \llbracket A \subseteq B; B \subseteq A \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
**by** (*blast dest: equalityD1 equalityD2*)

**lemma** *equalityCE*:  
 $\llbracket A = B; \llbracket c \in A; c \in B \rrbracket \Longrightarrow P; \llbracket c \notin A; c \notin B \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
**by** (*erule equalityE*, *blast*)

**lemma** *equality-iffD*:  
 $A = B \Longrightarrow (\bigwedge x. x \in A \longleftrightarrow x \in B)$   
**by** *auto*

### 1.16 Rules for Replace – the derived form of replacement

**lemma** *Replace-iff*:  
 $b \in \{y. x \in A, P(x, y)\} \longleftrightarrow (\exists x \in A. P(x, b) \wedge (\forall y. P(x, y) \longrightarrow y = b))$   
**unfolding** *Replace-def*  
**by** (*rule replacement* [*THEN iff-trans*], *blast+*)

**lemma** *ReplaceI* [*intro*]:  
 $\llbracket P(x, b); x: A; \bigwedge y. P(x, y) \Longrightarrow y = b \rrbracket \Longrightarrow$   
 $b \in \{y. x \in A, P(x, y)\}$   
**by** (*rule Replace-iff* [*THEN iffD2*], *blast*)

**lemma** *ReplaceE*:  

$$\llbracket b \in \{y. x \in A, P(x,y)\};$$

$$\bigwedge x. \llbracket x: A; P(x,b); \forall y. P(x,y) \longrightarrow y=b \rrbracket \Longrightarrow R$$

$$\rrbracket \Longrightarrow R$$
**by** (*rule Replace-iff [THEN iffD1, THEN bexE], simp+*)

**lemma** *ReplaceE2 [elim!]*:  

$$\llbracket b \in \{y. x \in A, P(x,y)\};$$

$$\bigwedge x. \llbracket x: A; P(x,b) \rrbracket \Longrightarrow R$$

$$\rrbracket \Longrightarrow R$$
**by** (*erule ReplaceE, blast*)

**lemma** *Replace-cong [cong]*:  

$$\llbracket A=B; \bigwedge x y. x \in B \Longrightarrow P(x,y) \longleftrightarrow Q(x,y) \rrbracket \Longrightarrow \text{Replace}(A,P) = \text{Replace}(B,Q)$$
**apply** (*rule equality-iffI*)  
**apply** (*simp add: Replace-iff*)  
**done**

### 1.17 Rules for RepFun

**lemma** *RepFunI*:  $a \in A \Longrightarrow f(a) \in \{f(x). x \in A\}$   
**by** (*simp add: RepFun-def Replace-iff, blast*)

**lemma** *RepFun-eqI [intro]*:  $\llbracket b=f(a); a \in A \rrbracket \Longrightarrow b \in \{f(x). x \in A\}$   
**by** (*blast intro: RepFunI*)

**lemma** *RepFunE [elim!]*:  

$$\llbracket b \in \{f(x). x \in A\};$$

$$\bigwedge x. \llbracket x \in A; b=f(x) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow$$

$$P$$
**by** (*simp add: RepFun-def Replace-iff, blast*)

**lemma** *RepFun-cong [cong]*:  

$$\llbracket A=B; \bigwedge x. x \in B \Longrightarrow f(x)=g(x) \rrbracket \Longrightarrow \text{RepFun}(A,f) = \text{RepFun}(B,g)$$
**by** (*simp add: RepFun-def*)

**lemma** *RepFun-iff [simp]*:  $b \in \{f(x). x \in A\} \longleftrightarrow (\exists x \in A. b=f(x))$   
**by** (*unfold Bex-def, blast*)

**lemma** *triv-RepFun [simp]*:  $\{x. x \in A\} = A$   
**by** *blast*

### 1.18 Rules for Collect – forming a subset by separation

**lemma** *separation [simp]*:  $a \in \{x \in A. P(x)\} \longleftrightarrow a \in A \wedge P(a)$   
**by** (*auto simp: Collect-def*)

**lemma** *CollectI* [intro!]:  $\llbracket a \in A; P(a) \rrbracket \implies a \in \{x \in A. P(x)\}$   
**by** *simp*

**lemma** *CollectE* [elim!]:  $\llbracket a \in \{x \in A. P(x)\}; \llbracket a \in A; P(a) \rrbracket \implies R \rrbracket \implies R$   
**by** *simp*

**lemma** *CollectD1*:  $a \in \{x \in A. P(x)\} \implies a \in A$  **and** *CollectD2*:  $a \in \{x \in A. P(x)\} \implies P(a)$   
**by** *auto*

**lemma** *Collect-cong* [cong]:  
 $\llbracket A=B; \bigwedge x. x \in B \implies P(x) \longleftrightarrow Q(x) \rrbracket$   
 $\implies \text{Collect}(A, \lambda x. P(x)) = \text{Collect}(B, \lambda x. Q(x))$   
**by** (*simp add: Collect-def*)

### 1.19 Rules for Unions

**declare** *Union-iff* [simp]

**lemma** *UnionI* [intro]:  $\llbracket B: C; A: B \rrbracket \implies A: \bigcup(C)$   
**by** *auto*

**lemma** *UnionE* [elim!]:  $\llbracket A \in \bigcup(C); \bigwedge B. \llbracket A: B; B: C \rrbracket \implies R \rrbracket \implies R$   
**by** *auto*

### 1.20 Rules for Unions of families

**lemma** *UN-iff* [simp]:  $b \in (\bigcup x \in A. B(x)) \longleftrightarrow (\exists x \in A. b \in B(x))$   
**by** *blast*

**lemma** *UN-I*:  $\llbracket a: A; b: B(a) \rrbracket \implies b: (\bigcup x \in A. B(x))$   
**by** *force*

**lemma** *UN-E* [elim!]:  
 $\llbracket b \in (\bigcup x \in A. B(x)); \bigwedge x. \llbracket x: A; b: B(x) \rrbracket \implies R \rrbracket \implies R$   
**by** *blast*

**lemma** *UN-cong*:  
 $\llbracket A=B; \bigwedge x. x \in B \implies C(x)=D(x) \rrbracket \implies (\bigcup x \in A. C(x)) = (\bigcup x \in B. D(x))$   
**by** *simp*

### 1.21 Rules for the empty set

**lemma** *not-mem-empty* [simp]:  $a \notin 0$   
**using** *foundation* **by** (*best dest: equalityD2*)

**lemmas** *emptyE* [*elim!*] = *not-mem-empty* [*THEN notE*]

**lemma** *empty-subsetI* [*simp*]:  $0 \subseteq A$   
**by** *blast*

**lemma** *equals0I*:  $\llbracket \bigwedge y. y \in A \implies \text{False} \rrbracket \implies A = 0$   
**by** *blast*

**lemma** *equals0D* [*dest*]:  $A = 0 \implies a \notin A$   
**by** *blast*

**declare** *sym* [*THEN equals0D, dest*]

**lemma** *not-emptyI*:  $a \in A \implies A \neq 0$   
**by** *blast*

**lemma** *not-emptyE*:  $\llbracket A \neq 0; \bigwedge x. x \in A \implies R \rrbracket \implies R$   
**by** *blast*

## 1.22 Rules for Inter

**lemma** *Inter-iff*:  $A \in \bigcap (C) \longleftrightarrow (\forall x \in C. A: x) \wedge C \neq 0$   
**by** (*force simp: Inter-def*)

**lemma** *InterI* [*intro!*]:  
 $\llbracket \bigwedge x. x: C \implies A: x; C \neq 0 \rrbracket \implies A \in \bigcap (C)$   
**by** (*simp add: Inter-iff*)

**lemma** *InterD* [*elim, Pure.elim*]:  $\llbracket A \in \bigcap (C); B \in C \rrbracket \implies A \in B$   
**by** (*force simp: Inter-def*)

**lemma** *InterE* [*elim*]:  
 $\llbracket A \in \bigcap (C); B \notin C \implies R; A \in B \implies R \rrbracket \implies R$   
**by** (*auto simp: Inter-def*)

## 1.23 Rules for Intersections of families

**lemma** *INT-iff*:  $b \in (\bigcap x \in A. B(x)) \longleftrightarrow (\forall x \in A. b \in B(x)) \wedge A \neq 0$   
**by** (*force simp add: Inter-def*)

**lemma** *INT-I*:  $\llbracket \bigwedge x. x: A \implies b: B(x); A \neq 0 \rrbracket \implies b: (\bigcap x \in A. B(x))$   
**by** *blast*

**lemma** *INT-E*:  $\llbracket b \in (\bigcap x \in A. B(x)); a: A \rrbracket \implies b \in B(a)$   
**by** *blast*



**lemma** *INT-cong*:  
 $\llbracket A=B; \bigwedge x. x \in B \implies C(x)=D(x) \rrbracket \implies (\bigcap_{x \in A}. C(x)) = (\bigcap_{x \in B}. D(x))$   
**by** *simp*

## 1.24 Rules for Powersets

**lemma** *PowI*:  $A \subseteq B \implies A \in \text{Pow}(B)$   
**by** (*erule Pow-iff [THEN iffD2]*)

**lemma** *PowD*:  $A \in \text{Pow}(B) \implies A \subseteq B$   
**by** (*erule Pow-iff [THEN iffD1]*)

**declare** *Pow-iff* [*iff*]

**lemmas** *Pow-bottom* = *empty-subsetI [THEN PowI]* —  $0 \in \text{Pow}(B)$

**lemmas** *Pow-top* = *subset-refl [THEN PowI]* —  $A \in \text{Pow}(A)$

## 1.25 Cantor's Theorem: There is no surjection from a set to its powerset.

**lemma** *cantor*:  $\exists S \in \text{Pow}(A). \forall x \in A. b(x) \neq S$   
**by** (*best elim!*: *equalityCE del: ReplaceI RepFun-eqI*)

**end**

## 2 Unordered Pairs

**theory** *upair*  
**imports** *ZF-Base*  
**keywords** *print-tcset :: diag*  
**begin**

**ML-file**  $\langle \text{Tools/typechk.ML} \rangle$

### 2.1 Unordered Pairs: constant *Upair*

**lemma** *Upair-iff* [*simp*]:  $c \in \text{Upair}(a,b) \longleftrightarrow (c=a \mid c=b)$   
**by** (*unfold Upair-def, blast*)

**lemma** *UpairI1*:  $a \in \text{Upair}(a,b)$   
**by** *simp*

**lemma** *UpairI2*:  $b \in \text{Upair}(a,b)$   
**by** *simp*

**lemma** *UpairE*:  $\llbracket a \in \text{Upair}(b,c); a=b \implies P; a=c \implies P \rrbracket \implies P$   
**by** (*simp, blast*)

## 2.2 Rules for Binary Union, Defined via *Upair*

**lemma** *Un-iff* [*simp*]:  $c \in A \cup B \longleftrightarrow (c \in A \mid c \in B)$   
**apply** (*simp add: Un-def*)  
**apply** (*blast intro: UpairI1 UpairI2 elim: UpairE*)  
**done**

**lemma** *UnI1*:  $c \in A \implies c \in A \cup B$   
**by** *simp*

**lemma** *UnI2*:  $c \in B \implies c \in A \cup B$   
**by** *simp*

**declare** *UnI1* [*elim?*] *UnI2* [*elim?*]

**lemma** *UnE* [*elim!*]:  $\llbracket c \in A \cup B; c \in A \implies P; c \in B \implies P \rrbracket \implies P$   
**by** (*simp, blast*)

**lemma** *UnE'*:  $\llbracket c \in A \cup B; c \in A \implies P; \llbracket c \in B; c \notin A \rrbracket \implies P \rrbracket \implies P$   
**by** (*simp, blast*)

**lemma** *UnCI* [*intro!*]:  $(c \notin B \implies c \in A) \implies c \in A \cup B$   
**by** (*simp, blast*)

## 2.3 Rules for Binary Intersection, Defined via *Upair*

**lemma** *Int-iff* [*simp*]:  $c \in A \cap B \longleftrightarrow (c \in A \wedge c \in B)$   
**unfolding** *Int-def*  
**apply** (*blast intro: UpairI1 UpairI2 elim: UpairE*)  
**done**

**lemma** *IntI* [*intro!*]:  $\llbracket c \in A; c \in B \rrbracket \implies c \in A \cap B$   
**by** *simp*

**lemma** *IntD1*:  $c \in A \cap B \implies c \in A$   
**by** *simp*

**lemma** *IntD2*:  $c \in A \cap B \implies c \in B$   
**by** *simp*

**lemma** *IntE* [*elim!*]:  $\llbracket c \in A \cap B; \llbracket c \in A; c \in B \rrbracket \implies P \rrbracket \implies P$   
**by** *simp*

## 2.4 Rules for Set Difference, Defined via *Upair*

**lemma** *Diff-iff* [*simp*]:  $c \in A - B \longleftrightarrow (c \in A \wedge c \notin B)$   
**by** (*unfold Diff-def, blast*)

**lemma** *DiffI* [*intro!*]:  $\llbracket c \in A; c \notin B \rrbracket \implies c \in A - B$   
**by** *simp*

**lemma** *DiffD1*:  $c \in A - B \implies c \in A$   
**by** *simp*

**lemma** *DiffD2*:  $c \in A - B \implies c \notin B$   
**by** *simp*

**lemma** *DiffE* [*elim!*]:  $\llbracket c \in A - B; \llbracket c \in A; c \notin B \rrbracket \implies P \rrbracket \implies P$   
**by** *simp*

## 2.5 Rules for *cons*

**lemma** *cons-iff* [*simp*]:  $a \in \text{cons}(b, A) \longleftrightarrow (a=b \mid a \in A)$   
**unfolding** *cons-def*  
**apply** (*blast intro: UpairI1 UpairI2 elim: UpairE*)  
**done**

**lemma** *consI1* [*simp, TC*]:  $a \in \text{cons}(a, B)$   
**by** *simp*

**lemma** *consI2*:  $a \in B \implies a \in \text{cons}(b, B)$   
**by** *simp*

**lemma** *consE* [*elim!*]:  $\llbracket a \in \text{cons}(b, A); a=b \implies P; a \in A \implies P \rrbracket \implies P$   
**by** (*simp, blast*)

**lemma** *consE'*:  
 $\llbracket a \in \text{cons}(b, A); a=b \implies P; \llbracket a \in A; a \neq b \rrbracket \implies P \rrbracket \implies P$   
**by** (*simp, blast*)

**lemma** *consCI* [*intro!*]:  $(a \notin B \implies a=b) \implies a \in \text{cons}(b, B)$   
**by** (*simp, blast*)

**lemma** *cons-not-0* [*simp*]:  $\text{cons}(a, B) \neq 0$   
**by** (*blast elim: equalityE*)

**lemmas** *cons-neq-0* = *cons-not-0* [*THEN notE*]

**declare** *cons-not-0* [*THEN not-sym, simp*]

## 2.6 Singletons

**lemma** *singleton-iff*:  $a \in \{b\} \longleftrightarrow a=b$   
**by** *simp*

**lemma** *singletonI* [intro!]:  $a \in \{a\}$   
**by** (*rule consI1*)

**lemmas** *singletonE* = *singleton-iff* [THEN *iffD1*, *elim-format*, *elim!*]

## 2.7 Descriptions

**lemma** *the-equality* [intro]:  
 $\llbracket P(a); \bigwedge x. P(x) \implies x=a \rrbracket \implies (THE\ x. P(x)) = a$   
**unfolding** *the-def*  
**apply** (*fast dest: subst*)  
**done**

**lemma** *the-equality2*:  $\llbracket \exists !x. P(x); P(a) \rrbracket \implies (THE\ x. P(x)) = a$   
**by** *blast*

**lemma** *theI*:  $\exists !x. P(x) \implies P(THE\ x. P(x))$   
**apply** (*erule exIE*)  
**apply** (*subst the-equality*)  
**apply** (*blast+*)  
**done**

**lemma** *the-0*:  $\neg (\exists !x. P(x)) \implies (THE\ x. P(x))=0$   
**unfolding** *the-def*  
**apply** (*blast elim!: ReplaceE*)  
**done**

**lemma** *theI2*:  
**assumes** *p1*:  $\neg Q(0) \implies \exists !x. P(x)$   
**and** *p2*:  $\bigwedge x. P(x) \implies Q(x)$   
**shows**  $Q(THE\ x. P(x))$   
**apply** (*rule classical*)  
**apply** (*rule p2*)  
**apply** (*rule theI*)  
**apply** (*rule classical*)  
**apply** (*rule p1*)  
**apply** (*erule the-0* [THEN *subst*], *assumption*)  
**done**

**lemma** *the-eq-trivial* [simp]:  $(THE\ x. x = a) = a$   
**by** *blast*

**lemma** *the-eq-trivial2* [simp]:  $(THE\ x. a = x) = a$

by *blast*

## 2.8 Conditional Terms: *if-then-else*

**lemma** *if-true* [*simp*]: (if True then a else b) = a  
by (unfold *if-def*, *blast*)

**lemma** *if-false* [*simp*]: (if False then a else b) = b  
by (unfold *if-def*, *blast*)

**lemma** *if-cong*:  
     $\llbracket P \longleftrightarrow Q; \quad Q \Longrightarrow a=c; \quad \neg Q \Longrightarrow b=d \rrbracket$   
     $\Longrightarrow (if\ P\ then\ a\ else\ b) = (if\ Q\ then\ c\ else\ d)$   
by (*simp add: if-def cong add: conj-cong*)

**lemma** *if-weak-cong*:  $P \longleftrightarrow Q \Longrightarrow (if\ P\ then\ x\ else\ y) = (if\ Q\ then\ x\ else\ y)$   
by *simp*

**lemma** *if-P*:  $P \Longrightarrow (if\ P\ then\ a\ else\ b) = a$   
by (unfold *if-def*, *blast*)

**lemma** *if-not-P*:  $\neg P \Longrightarrow (if\ P\ then\ a\ else\ b) = b$   
by (unfold *if-def*, *blast*)

**lemma** *split-if* [*split*]:  
     $P(if\ Q\ then\ x\ else\ y) \longleftrightarrow ((Q \longrightarrow P(x)) \wedge (\neg Q \longrightarrow P(y)))$   
by (*case-tac Q, simp-all*)

**lemmas** *split-if-eq1* = *split-if* [of  $\lambda x. x = b$ ] **for** *b*  
**lemmas** *split-if-eq2* = *split-if* [of  $\lambda x. a = x$ ] **for** *a*

**lemmas** *split-if-mem1* = *split-if* [of  $\lambda x. x \in b$ ] **for** *b*  
**lemmas** *split-if-mem2* = *split-if* [of  $\lambda x. a \in x$ ] **for** *a*

**lemmas** *split-ifs* = *split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

**lemma** *if-iff*:  $a: (if\ P\ then\ x\ else\ y) \longleftrightarrow P \wedge a \in x \mid \neg P \wedge a \in y$   
by *simp*

**lemma** *if-type* [*TC*]:  
     $\llbracket P \Longrightarrow a \in A; \quad \neg P \Longrightarrow b \in A \rrbracket \Longrightarrow (if\ P\ then\ a\ else\ b): A$   
by *simp*

**lemma** *split-if-asm*:  $P(\text{if } Q \text{ then } x \text{ else } y) \longleftrightarrow (\neg((Q \wedge \neg P(x)) \mid (\neg Q \wedge \neg P(y))))$   
**by** *simp*

**lemmas** *if-splits* = *split-if split-if-asm*

## 2.9 Consequences of Foundation

**lemma** *mem-asym*:  $\llbracket a \in b; \neg P \implies b \in a \rrbracket \implies P$   
**apply** (*rule classical*)  
**apply** (*rule-tac A1 = {a,b} in foundation [THEN disjE]*)  
**apply** (*blast elim!: equalityE*)  
**done**

**lemma** *mem-irrefl*:  $a \in a \implies P$   
**by** (*blast intro: mem-asym*)

**lemma** *mem-not-refl*:  $a \notin a$   
**apply** (*rule notI*)  
**apply** (*erule mem-irrefl*)  
**done**

**lemma** *mem-imp-not-eq*:  $a \in A \implies a \neq A$   
**by** (*blast elim!: mem-irrefl*)

**lemma** *eq-imp-not-mem*:  $a=A \implies a \notin A$   
**by** (*blast intro: elim: mem-irrefl*)

## 2.10 Rules for Successor

**lemma** *succ-iff*:  $i \in \text{succ}(j) \longleftrightarrow i=j \mid i \in j$   
**by** (*unfold succ-def, blast*)

**lemma** *succI1* [*simp*]:  $i \in \text{succ}(i)$   
**by** (*simp add: succ-iff*)

**lemma** *succI2*:  $i \in j \implies i \in \text{succ}(j)$   
**by** (*simp add: succ-iff*)

**lemma** *succE* [*elim!*]:  
 $\llbracket i \in \text{succ}(j); i=j \implies P; i \in j \implies P \rrbracket \implies P$   
**apply** (*simp add: succ-iff, blast*)  
**done**

**lemma** *succCI* [intro!]:  $(i \notin j \implies i=j) \implies i \in \text{succ}(j)$   
**by** (*simp add: succ-iff, blast*)

**lemma** *succ-not-0* [simp]:  $\text{succ}(n) \neq 0$   
**by** (*blast elim!: equalityE*)

**lemmas** *succ-neq-0 = succ-not-0* [THEN *notE, elim!*]

**declare** *succ-not-0* [THEN *not-sym, simp*]  
**declare** *sym* [THEN *succ-neq-0, elim!*]

**lemmas** *succ-subsetD = succI1* [THEN [2] *subsetD*]

**lemmas** *succ-neq-self = succI1* [THEN *mem-imp-not-eq, THEN not-sym*]

**lemma** *succ-inject-iff* [simp]:  $\text{succ}(m) = \text{succ}(n) \longleftrightarrow m=n$   
**by** (*blast elim: mem-asym elim!: equalityE*)

**lemmas** *succ-inject = succ-inject-iff* [THEN *iffD1, dest!*]

## 2.11 Miniscoping of the Bounded Universal Quantifier

**lemma** *ball-simps1*:

$(\forall x \in A. P(x) \wedge Q) \longleftrightarrow (\forall x \in A. P(x)) \wedge (A=0 \mid Q)$   
 $(\forall x \in A. P(x) \mid Q) \longleftrightarrow ((\forall x \in A. P(x)) \mid Q)$   
 $(\forall x \in A. P(x) \longrightarrow Q) \longleftrightarrow ((\exists x \in A. P(x)) \longrightarrow Q)$   
 $(\neg(\forall x \in A. P(x))) \longleftrightarrow (\exists x \in A. \neg P(x))$   
 $(\forall x \in 0. P(x)) \longleftrightarrow \text{True}$   
 $(\forall x \in \text{succ}(i). P(x)) \longleftrightarrow P(i) \wedge (\forall x \in i. P(x))$   
 $(\forall x \in \text{cons}(a, B). P(x)) \longleftrightarrow P(a) \wedge (\forall x \in B. P(x))$   
 $(\forall x \in \text{RepFun}(A, f). P(x)) \longleftrightarrow (\forall y \in A. P(f(y)))$   
 $(\forall x \in \bigcup (A). P(x)) \longleftrightarrow (\forall y \in A. \forall x \in y. P(x))$

**by** *blast+*

**lemma** *ball-simps2*:

$(\forall x \in A. P \wedge Q(x)) \longleftrightarrow (A=0 \mid P) \wedge (\forall x \in A. Q(x))$   
 $(\forall x \in A. P \mid Q(x)) \longleftrightarrow (P \mid (\forall x \in A. Q(x)))$   
 $(\forall x \in A. P \longrightarrow Q(x)) \longleftrightarrow (P \longrightarrow (\forall x \in A. Q(x)))$

**by** *blast+*

**lemma** *ball-simps3*:

$(\forall x \in \text{Collect}(A, Q). P(x)) \longleftrightarrow (\forall x \in A. Q(x) \longrightarrow P(x))$

**by** *blast+*

**lemmas** *ball-simps* [simp] = *ball-simps1 ball-simps2 ball-simps3*

**lemma** *ball-conj-distrib*:

$$(\forall x \in A. P(x) \wedge Q(x)) \longleftrightarrow ((\forall x \in A. P(x)) \wedge (\forall x \in A. Q(x)))$$

**by** *blast*

## 2.12 Miniscoping of the Bounded Existential Quantifier

**lemma** *bex-simps1*:

$$\begin{aligned} (\exists x \in A. P(x) \wedge Q) &\longleftrightarrow ((\exists x \in A. P(x)) \wedge Q) \\ (\exists x \in A. P(x) \mid Q) &\longleftrightarrow (\exists x \in A. P(x)) \mid (A \neq 0 \wedge Q) \\ (\exists x \in A. P(x) \longrightarrow Q) &\longleftrightarrow ((\forall x \in A. P(x)) \longrightarrow (A \neq 0 \wedge Q)) \\ (\exists x \in 0. P(x)) &\longleftrightarrow \text{False} \\ (\exists x \in \text{succ}(i). P(x)) &\longleftrightarrow P(i) \mid (\exists x \in i. P(x)) \\ (\exists x \in \text{cons}(a, B). P(x)) &\longleftrightarrow P(a) \mid (\exists x \in B. P(x)) \\ (\exists x \in \text{RepFun}(A, f). P(x)) &\longleftrightarrow (\exists y \in A. P(f(y))) \\ (\exists x \in \bigcup(A). P(x)) &\longleftrightarrow (\exists y \in A. \exists x \in y. P(x)) \\ (\neg(\exists x \in A. P(x))) &\longleftrightarrow (\forall x \in A. \neg P(x)) \end{aligned}$$

**by** *blast+*

**lemma** *bex-simps2*:

$$\begin{aligned} (\exists x \in A. P \wedge Q(x)) &\longleftrightarrow (P \wedge (\exists x \in A. Q(x))) \\ (\exists x \in A. P \mid Q(x)) &\longleftrightarrow (A \neq 0 \wedge P) \mid (\exists x \in A. Q(x)) \\ (\exists x \in A. P \longrightarrow Q(x)) &\longleftrightarrow ((A = 0 \mid P) \longrightarrow (\exists x \in A. Q(x))) \end{aligned}$$

**by** *blast+*

**lemma** *bex-simps3*:

$$(\exists x \in \text{Collect}(A, Q). P(x)) \longleftrightarrow (\exists x \in A. Q(x) \wedge P(x))$$

**by** *blast*

**lemmas** *bex-simps* [*simp*] = *bex-simps1 bex-simps2 bex-simps3*

**lemma** *bex-disj-distrib*:

$$(\exists x \in A. P(x) \mid Q(x)) \longleftrightarrow ((\exists x \in A. P(x)) \mid (\exists x \in A. Q(x)))$$

**by** *blast*

**lemma** *bex-triv-one-point1* [*simp*]:  $(\exists x \in A. x = a) \longleftrightarrow (a \in A)$

**by** *blast*

**lemma** *bex-triv-one-point2* [*simp*]:  $(\exists x \in A. a = x) \longleftrightarrow (a \in A)$

**by** *blast*

**lemma** *bex-one-point1* [*simp*]:  $(\exists x \in A. x = a \wedge P(x)) \longleftrightarrow (a \in A \wedge P(a))$

**by** *blast*

**lemma** *bex-one-point2* [*simp*]:  $(\exists x \in A. a = x \wedge P(x)) \longleftrightarrow (a \in A \wedge P(a))$

**by** *blast*



**lemma** *ball-one-point1* [simp]:  $(\forall x \in A. x=a \longrightarrow P(x)) \longleftrightarrow (a \in A \longrightarrow P(a))$   
**by** *blast*

**lemma** *ball-one-point2* [simp]:  $(\forall x \in A. a=x \longrightarrow P(x)) \longleftrightarrow (a \in A \longrightarrow P(a))$   
**by** *blast*

## 2.13 Miniscoping of the Replacement Operator

These cover both *Replace* and *Collect*

**lemma** *Rep-simps* [simp]:  
 $\{x. y \in 0, R(x,y)\} = 0$   
 $\{x \in 0. P(x)\} = 0$   
 $\{x \in A. Q\} = (\text{if } Q \text{ then } A \text{ else } 0)$   
 $\text{RepFun}(0,f) = 0$   
 $\text{RepFun}(\text{succ}(i),f) = \text{cons}(f(i), \text{RepFun}(i,f))$   
 $\text{RepFun}(\text{cons}(a,B),f) = \text{cons}(f(a), \text{RepFun}(B,f))$   
**by** (*simp-all*, *blast+*)

## 2.14 Miniscoping of Unions

**lemma** *UN-simps1*:  
 $(\bigcup x \in C. \text{cons}(a, B(x))) = (\text{if } C=0 \text{ then } 0 \text{ else } \text{cons}(a, \bigcup x \in C. B(x)))$   
 $(\bigcup x \in C. A(x) \cup B') = (\text{if } C=0 \text{ then } 0 \text{ else } (\bigcup x \in C. A(x)) \cup B')$   
 $(\bigcup x \in C. A' \cup B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A' \cup (\bigcup x \in C. B(x)))$   
 $(\bigcup x \in C. A(x) \cap B') = ((\bigcup x \in C. A(x)) \cap B')$   
 $(\bigcup x \in C. A' \cap B(x)) = (A' \cap (\bigcup x \in C. B(x)))$   
 $(\bigcup x \in C. A(x) - B') = ((\bigcup x \in C. A(x)) - B')$   
 $(\bigcup x \in C. A' - B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A' - (\bigcap x \in C. B(x)))$   
**apply** (*simp-all add: Inter-def*)  
**apply** (*blast intro!: equalityI*) +  
**done**

**lemma** *UN-simps2*:  
 $(\bigcup x \in \bigcup (A). B(x)) = (\bigcup y \in A. \bigcup x \in y. B(x))$   
 $(\bigcup z \in (\bigcup x \in A. B(x)). C(z)) = (\bigcup x \in A. \bigcup z \in B(x). C(z))$   
 $(\bigcup x \in \text{RepFun}(A,f). B(x)) = (\bigcup a \in A. B(f(a)))$   
**by** *blast+*

**lemmas** *UN-simps* [simp] = *UN-simps1 UN-simps2*

Opposite of miniscoping: pull the operator out

**lemma** *UN-extend-simps1*:  
 $(\bigcup x \in C. A(x)) \cup B = (\text{if } C=0 \text{ then } B \text{ else } (\bigcup x \in C. A(x) \cup B))$   
 $((\bigcup x \in C. A(x)) \cap B) = (\bigcup x \in C. A(x) \cap B)$   
 $((\bigcup x \in C. A(x)) - B) = (\bigcup x \in C. A(x) - B)$   
**apply** *simp-all*  
**apply** *blast+*  
**done**

**lemma** *UN-extend-simps2*:

$$\begin{aligned}
& \text{cons}(a, \bigcup_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } \{a\} \text{ else } (\bigcup_{x \in C}. \text{cons}(a, B(x)))) \\
& A \cup (\bigcup_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcup_{x \in C}. A \cup B(x))) \\
& (A \cap (\bigcup_{x \in C}. B(x))) = (\bigcup_{x \in C}. A \cap B(x)) \\
& A - (\bigcap_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcup_{x \in C}. A - B(x))) \\
& (\bigcup_{y \in A}. \bigcup_{x \in y}. B(x)) = (\bigcup_{x \in \bigcup(A)}. B(x)) \\
& (\bigcup_{a \in A}. B(f(a))) = (\bigcup_{x \in \text{RepFun}(A, f)}. B(x))
\end{aligned}$$

**apply** (*simp-all add: Inter-def*)

**apply** (*blast intro!: equalityI*) +

**done**

**lemma** *UN-UN-extend*:

$$(\bigcup_{x \in A}. \bigcup_{z \in B(x)}. C(z)) = (\bigcup_{z \in (\bigcup_{x \in A}. B(x))}. C(z))$$

**by** *blast*

**lemmas** *UN-extend-simps = UN-extend-simps1 UN-extend-simps2 UN-UN-extend*

## 2.15 Miniscoping of Intersections

**lemma** *INT-simps1*:

$$\begin{aligned}
& (\bigcap_{x \in C}. A(x) \cap B) = (\bigcap_{x \in C}. A(x)) \cap B \\
& (\bigcap_{x \in C}. A(x) - B) = (\bigcap_{x \in C}. A(x)) - B \\
& (\bigcap_{x \in C}. A(x) \cup B) = (\text{if } C=0 \text{ then } 0 \text{ else } (\bigcap_{x \in C}. A(x)) \cup B)
\end{aligned}$$

**by** (*simp-all add: Inter-def, blast+*)

**lemma** *INT-simps2*:

$$\begin{aligned}
& (\bigcap_{x \in C}. A \cap B(x)) = A \cap (\bigcap_{x \in C}. B(x)) \\
& (\bigcap_{x \in C}. A - B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A - (\bigcup_{x \in C}. B(x))) \\
& (\bigcap_{x \in C}. \text{cons}(a, B(x))) = (\text{if } C=0 \text{ then } 0 \text{ else } \text{cons}(a, \bigcap_{x \in C}. B(x))) \\
& (\bigcap_{x \in C}. A \cup B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A \cup (\bigcap_{x \in C}. B(x)))
\end{aligned}$$

**apply** (*simp-all add: Inter-def*)

**apply** (*blast intro!: equalityI*) +

**done**

**lemmas** *INT-simps [simp] = INT-simps1 INT-simps2*

Opposite of miniscoping: pull the operator out

**lemma** *INT-extend-simps1*:

$$\begin{aligned}
& (\bigcap_{x \in C}. A(x)) \cap B = (\bigcap_{x \in C}. A(x) \cap B) \\
& (\bigcap_{x \in C}. A(x)) - B = (\bigcap_{x \in C}. A(x) - B) \\
& (\bigcap_{x \in C}. A(x)) \cup B = (\text{if } C=0 \text{ then } B \text{ else } (\bigcap_{x \in C}. A(x) \cup B))
\end{aligned}$$

**apply** (*simp-all add: Inter-def, blast+*)

**done**

**lemma** *INT-extend-simps2*:

$$\begin{aligned}
& A \cap (\bigcap_{x \in C}. B(x)) = (\bigcap_{x \in C}. A \cap B(x)) \\
& A - (\bigcup_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcap_{x \in C}. A - B(x))) \\
& \text{cons}(a, \bigcap_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } \{a\} \text{ else } (\bigcap_{x \in C}. \text{cons}(a, B(x)))) \\
& A \cup (\bigcap_{x \in C}. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcap_{x \in C}. A \cup B(x)))
\end{aligned}$$

```

apply (simp-all add: Inter-def)
apply (blast intro!: equalityI)+
done

```

```

lemmas INT-extend-simps = INT-extend-simps1 INT-extend-simps2

```

## 2.16 Other simprules

```

lemma misc-simps [simp]:
   $0 \cup A = A$ 
   $A \cup 0 = A$ 
   $0 \cap A = 0$ 
   $A \cap 0 = 0$ 
   $0 - A = 0$ 
   $A - 0 = A$ 
   $\bigcup (0) = 0$ 
   $\bigcup (\text{cons}(b,A)) = b \cup \bigcup (A)$ 
   $\bigcap (\{b\}) = b$ 
by blast+

end

```

## 3 Ordered Pairs

```

theory pair imports upair
begin

```

```

ML-file <simpdata.ML>

```

```

setup <
  map-theory-simpset
  (Simplifier.set-mksimps (fn ctxt => map mk-eq o ZF-atomize o Variable.gen-all
    ctxt)
    #> Simplifier.add-cong @{\thm if-weak-cong})
>

```

```

ML <val ZF-ss = simpset-of context>

```

```

simproc-setup defined-Bex ( $\exists x \in A. P(x) \wedge Q(x)$ ) = <
  K (Quantifier1.rearrange-Bex (fn ctxt => unfold-tac ctxt @{\thms Bex-def}))
>

```

```

simproc-setup defined-Ball ( $\forall x \in A. P(x) \longrightarrow Q(x)$ ) = <
  K (Quantifier1.rearrange-Ball (fn ctxt => unfold-tac ctxt @{\thms Ball-def}))
>

```

**lemma** *singleton-eq-iff* [*iff*]:  $\{a\} = \{b\} \longleftrightarrow a=b$   
**by** (*rule extension* [*THEN iff-trans*], *blast*)

**lemma** *doubleton-eq-iff*:  $\{a,b\} = \{c,d\} \longleftrightarrow (a=c \wedge b=d) \mid (a=d \wedge b=c)$   
**by** (*rule extension* [*THEN iff-trans*], *blast*)

**lemma** *Pair-iff* [*simp*]:  $\langle a,b \rangle = \langle c,d \rangle \longleftrightarrow a=c \wedge b=d$   
**by** (*simp add: Pair-def doubleton-eq-iff*, *blast*)

**lemmas** *Pair-inject* = *Pair-iff* [*THEN iffD1*, *THEN conjE*, *elim!*]

**lemmas** *Pair-inject1* = *Pair-iff* [*THEN iffD1*, *THEN conjunct1*]  
**lemmas** *Pair-inject2* = *Pair-iff* [*THEN iffD1*, *THEN conjunct2*]

**lemma** *Pair-not-0*:  $\langle a,b \rangle \neq 0$   
**unfolding** *Pair-def*  
**apply** (*blast elim: equalityE*)  
**done**

**lemmas** *Pair-neq-0* = *Pair-not-0* [*THEN notE*, *elim!*]

**declare** *sym* [*THEN Pair-neq-0*, *elim!*]

**lemma** *Pair-neq-fst*:  $\langle a,b \rangle = a \implies P$   
**proof** (*unfold Pair-def*)  
**assume** *eq*:  $\{\{a, a\}, \{a, b\}\} = a$   
**have**  $\{a, a\} \in \{\{a, a\}, \{a, b\}\}$  **by** (*rule consI1*)  
**hence**  $\{a, a\} \in a$  **by** (*simp add: eq*)  
**moreover** **have**  $a \in \{a, a\}$  **by** (*rule consI1*)  
**ultimately show** *P* **by** (*rule mem-asm*)  
**qed**

**lemma** *Pair-neq-snd*:  $\langle a,b \rangle = b \implies P$   
**proof** (*unfold Pair-def*)  
**assume** *eq*:  $\{\{a, a\}, \{a, b\}\} = b$   
**have**  $\{a, b\} \in \{\{a, a\}, \{a, b\}\}$  **by** *blast*  
**hence**  $\{a, b\} \in b$  **by** (*simp add: eq*)  
**moreover** **have**  $b \in \{a, b\}$  **by** *blast*  
**ultimately show** *P* **by** (*rule mem-asm*)  
**qed**

### 3.1 Sigma: Disjoint Union of a Family of Sets

Generalizes Cartesian product

**lemma** *Sigma-iff* [*simp*]:  $\langle a,b \rangle: \text{Sigma}(A,B) \longleftrightarrow a \in A \wedge b \in B(a)$   
**by** (*simp add: Sigma-def*)

**lemma** *SigmaI* [*TC,intro!*]:  $\llbracket a \in A; b \in B(a) \rrbracket \implies \langle a,b \rangle \in \text{Sigma}(A,B)$   
**by** *simp*

**lemmas**  $SigmaD1 = Sigma\text{-iff}$  [THEN  $iffD1$ , THEN  $conjunct1$ ]  
**lemmas**  $SigmaD2 = Sigma\text{-iff}$  [THEN  $iffD1$ , THEN  $conjunct2$ ]

**lemma**  $SigmaE$  [elim!]:  
 $\llbracket c \in Sigma(A,B);$   
 $\bigwedge x y. \llbracket x \in A; y \in B(x); c = \langle x, y \rangle \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*unfold Sigma-def, blast*)

**lemma**  $SigmaE2$  [elim!]:  
 $\llbracket \langle a, b \rangle \in Sigma(A,B);$   
 $\llbracket a \in A; b \in B(a) \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*unfold Sigma-def, blast*)

**lemma**  $Sigma\text{-cong}$ :  
 $\llbracket A = A'; \bigwedge x. x \in A' \implies B(x) = B'(x) \rrbracket \implies$   
 $Sigma(A,B) = Sigma(A',B')$   
**by** (*simp add: Sigma-def*)

**lemma**  $Sigma\text{-empty1}$  [simp]:  $Sigma(0,B) = 0$   
**by** *blast*

**lemma**  $Sigma\text{-empty2}$  [simp]:  $A * 0 = 0$   
**by** *blast*

**lemma**  $Sigma\text{-empty-iff}$ :  $A * B = 0 \longleftrightarrow A = 0 \mid B = 0$   
**by** *blast*

### 3.2 Projections *fst* and *snd*

**lemma**  $fst\text{-conv}$  [simp]:  $fst(\langle a, b \rangle) = a$   
**by** (*simp add: fst-def*)

**lemma**  $snd\text{-conv}$  [simp]:  $snd(\langle a, b \rangle) = b$   
**by** (*simp add: snd-def*)

**lemma**  $fst\text{-type}$  [TC]:  $p \in Sigma(A,B) \implies fst(p) \in A$   
**by** *auto*

**lemma**  $snd\text{-type}$  [TC]:  $p \in Sigma(A,B) \implies snd(p) \in B(fst(p))$   
**by** *auto*

**lemma**  $Pair\text{-fst-snd-eq}$ :  $a \in Sigma(A,B) \implies \langle fst(a), snd(a) \rangle = a$   
**by** *auto*

### 3.3 The Eliminator, *split*

**lemma** *split* [*simp*]:  $\text{split}(\lambda x y. c(x,y), \langle a,b \rangle) \equiv c(a,b)$   
**by** (*simp add: split-def*)

**lemma** *split-type* [*TC*]:  
 $\llbracket p \in \text{Sigma}(A,B);$   
 $\bigwedge x y. \llbracket x \in A; y \in B(x) \rrbracket \implies c(x,y):C(\langle x,y \rangle)$   
 $\rrbracket \implies \text{split}(\lambda x y. c(x,y), p) \in C(p)$   
**by** (*erule SigmaE, auto*)

**lemma** *expand-split*:  
 $u \in A*B \implies$   
 $R(\text{split}(c,u)) \longleftrightarrow (\forall x \in A. \forall y \in B. u = \langle x,y \rangle \longrightarrow R(c(x,y)))$   
**by** (*auto simp add: split-def*)

### 3.4 A version of *split* for Formulae: Result Type *o*

**lemma** *splitI*:  $R(a,b) \implies \text{split}(R, \langle a,b \rangle)$   
**by** (*simp add: split-def*)

**lemma** *splitE*:  
 $\llbracket \text{split}(R,z); z \in \text{Sigma}(A,B);$   
 $\bigwedge x y. \llbracket z = \langle x,y \rangle; R(x,y) \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*auto simp add: split-def*)

**lemma** *splitD*:  $\text{split}(R, \langle a,b \rangle) \implies R(a,b)$   
**by** (*simp add: split-def*)

Complex rules for Sigma.

**lemma** *split-paired-Bex-Sigma* [*simp*]:  
 $(\exists z \in \text{Sigma}(A,B). P(z)) \longleftrightarrow (\exists x \in A. \exists y \in B(x). P(\langle x,y \rangle))$   
**by** *blast*

**lemma** *split-paired-Ball-Sigma* [*simp*]:  
 $(\forall z \in \text{Sigma}(A,B). P(z)) \longleftrightarrow (\forall x \in A. \forall y \in B(x). P(\langle x,y \rangle))$   
**by** *blast*

**end**

## 4 Basic Equalities and Inclusions

**theory** *equalities* **imports** *pair* **begin**

These cover union, intersection, converse, domain, range, etc. Philippe de Groote proved many of the inclusions.

**lemma** *in-mono*:  $A \subseteq B \implies x \in A \longrightarrow x \in B$

by *blast*

**lemma** *the-eq-0* [*simp*]:  $(THE\ x.\ False) = 0$   
 by (*blast intro: the-0*)

## 4.1 Bounded Quantifiers

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

**lemma** *ball-Un*:  $(\forall x \in A \cup B. P(x)) \longleftrightarrow (\forall x \in A. P(x)) \wedge (\forall x \in B. P(x))$   
 by *blast*

**lemma** *bex-Un*:  $(\exists x \in A \cup B. P(x)) \longleftrightarrow (\exists x \in A. P(x)) \mid (\exists x \in B. P(x))$   
 by *blast*

**lemma** *ball-UN*:  $(\forall z \in (\bigcup x \in A. B(x)). P(z)) \longleftrightarrow (\forall x \in A. \forall z \in B(x). P(z))$   
 by *blast*

**lemma** *bex-UN*:  $(\exists z \in (\bigcup x \in A. B(x)). P(z)) \longleftrightarrow (\exists x \in A. \exists z \in B(x). P(z))$   
 by *blast*

## 4.2 Converse of a Relation

**lemma** *converse-iff* [*simp*]:  $\langle a, b \rangle \in converse(r) \longleftrightarrow \langle b, a \rangle \in r$   
 by (*unfold converse-def, blast*)

**lemma** *converseI* [*intro!*]:  $\langle a, b \rangle \in r \implies \langle b, a \rangle \in converse(r)$   
 by (*unfold converse-def, blast*)

**lemma** *converseD*:  $\langle a, b \rangle \in converse(r) \implies \langle b, a \rangle \in r$   
 by (*unfold converse-def, blast*)

**lemma** *converseE* [*elim!*]:  

$$\begin{aligned} & \llbracket yx \in converse(r); \\ & \quad \bigwedge x\ y. \llbracket yx = \langle y, x \rangle; \langle x, y \rangle \in r \rrbracket \implies P \rrbracket \\ & \implies P \end{aligned}$$
  
 by (*unfold converse-def, blast*)

**lemma** *converse-converse*:  $r \subseteq Sigma(A, B) \implies converse(converse(r)) = r$   
 by *blast*

**lemma** *converse-type*:  $r \subseteq A * B \implies converse(r) \subseteq B * A$   
 by *blast*

**lemma** *converse-prod* [*simp*]:  $converse(A * B) = B * A$   
 by *blast*

**lemma** *converse-empty* [*simp*]:  $converse(0) = 0$

by *blast*

**lemma** *converse-subset-iff*:

$$A \subseteq \text{Sigma}(X, Y) \implies \text{converse}(A) \subseteq \text{converse}(B) \longleftrightarrow A \subseteq B$$

by *blast*

### 4.3 Finite Set Constructions Using *cons*

**lemma** *cons-subsetI*:  $\llbracket a \in C; B \subseteq C \rrbracket \implies \text{cons}(a, B) \subseteq C$

by *blast*

**lemma** *subset-consI*:  $B \subseteq \text{cons}(a, B)$

by *blast*

**lemma** *cons-subset-iff* [*iff*]:  $\text{cons}(a, B) \subseteq C \longleftrightarrow a \in C \wedge B \subseteq C$

by *blast*

**lemmas** *cons-subsetE* = *cons-subset-iff* [*THEN iffD1*, *THEN conjE*]

**lemma** *subset-empty-iff*:  $A \subseteq 0 \longleftrightarrow A = 0$

by *blast*

**lemma** *subset-cons-iff*:  $C \subseteq \text{cons}(a, B) \longleftrightarrow C \subseteq B \mid (a \in C \wedge C - \{a\} \subseteq B)$

by *blast*

**lemma** *cons-eq*:  $\{a\} \cup B = \text{cons}(a, B)$

by *blast*

**lemma** *cons-commute*:  $\text{cons}(a, \text{cons}(b, C)) = \text{cons}(b, \text{cons}(a, C))$

by *blast*

**lemma** *cons-absorb*:  $a: B \implies \text{cons}(a, B) = B$

by *blast*

**lemma** *cons-Diff*:  $a: B \implies \text{cons}(a, B - \{a\}) = B$

by *blast*

**lemma** *Diff-cons-eq*:  $\text{cons}(a, B) - C = (\text{if } a \in C \text{ then } B - C \text{ else } \text{cons}(a, B - C))$

by *auto*

**lemma** *equal-singleton*:  $\llbracket a: C; \bigwedge y. y \in C \implies y = b \rrbracket \implies C = \{b\}$

by *blast*

**lemma** [*simp*]:  $\text{cons}(a, \text{cons}(a, B)) = \text{cons}(a, B)$

by *blast*



**lemma** *singleton-subsetI*:  $a \in C \implies \{a\} \subseteq C$   
**by** *blast*

**lemma** *singleton-subsetD*:  $\{a\} \subseteq C \implies a \in C$   
**by** *blast*

**lemma** *subset-succI*:  $i \subseteq \text{succ}(i)$   
**by** *blast*

**lemma** *succ-subsetI*:  $\llbracket i \in j; i \subseteq j \rrbracket \implies \text{succ}(i) \subseteq j$   
**by** (*unfold succ-def, blast*)

**lemma** *succ-subsetE*:  
 $\llbracket \text{succ}(i) \subseteq j; \llbracket i \in j; i \subseteq j \rrbracket \implies P \rrbracket \implies P$   
**by** (*unfold succ-def, blast*)

**lemma** *succ-subset-iff*:  $\text{succ}(a) \subseteq B \longleftrightarrow (a \subseteq B \wedge a \in B)$   
**by** (*unfold succ-def, blast*)

#### 4.4 Binary Intersection

**lemma** *Int-subset-iff*:  $C \subseteq A \cap B \longleftrightarrow C \subseteq A \wedge C \subseteq B$   
**by** *blast*

**lemma** *Int-lower1*:  $A \cap B \subseteq A$   
**by** *blast*

**lemma** *Int-lower2*:  $A \cap B \subseteq B$   
**by** *blast*

**lemma** *Int-greatest*:  $\llbracket C \subseteq A; C \subseteq B \rrbracket \implies C \subseteq A \cap B$   
**by** *blast*

**lemma** *Int-cons*:  $\text{cons}(a, B) \cap C \subseteq \text{cons}(a, B \cap C)$   
**by** *blast*

**lemma** *Int-absorb [simp]*:  $A \cap A = A$   
**by** *blast*

**lemma** *Int-left-absorb*:  $A \cap (A \cap B) = A \cap B$   
**by** *blast*

**lemma** *Int-commute*:  $A \cap B = B \cap A$   
**by** *blast*

**lemma** *Int-left-commute*:  $A \cap (B \cap C) = B \cap (A \cap C)$

**by** *blast*

**lemma** *Int-assoc*:  $(A \cap B) \cap C = A \cap (B \cap C)$

**by** *blast*

**lemmas** *Int-ac= Int-assoc Int-left-absorb Int-commute Int-left-commute*

**lemma** *Int-absorb1*:  $B \subseteq A \implies A \cap B = B$

**by** *blast*

**lemma** *Int-absorb2*:  $A \subseteq B \implies A \cap B = A$

**by** *blast*

**lemma** *Int-Un-distrib*:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

**by** *blast*

**lemma** *Int-Un-distrib2*:  $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$

**by** *blast*

**lemma** *subset-Int-iff*:  $A \subseteq B \longleftrightarrow A \cap B = A$

**by** (*blast elim! equalityE*)

**lemma** *subset-Int-iff2*:  $A \subseteq B \longleftrightarrow B \cap A = A$

**by** (*blast elim! equalityE*)

**lemma** *Int-Diff-eq*:  $C \subseteq A \implies (A - B) \cap C = C - B$

**by** *blast*

**lemma** *Int-cons-left*:

$\text{cons}(a, A) \cap B = (\text{if } a \in B \text{ then } \text{cons}(a, A \cap B) \text{ else } A \cap B)$

**by** *auto*

**lemma** *Int-cons-right*:

$A \cap \text{cons}(a, B) = (\text{if } a \in A \text{ then } \text{cons}(a, A \cap B) \text{ else } A \cap B)$

**by** *auto*

**lemma** *cons-Int-distrib*:  $\text{cons}(x, A \cap B) = \text{cons}(x, A) \cap \text{cons}(x, B)$

**by** *auto*

## 4.5 Binary Union

**lemma** *Un-subset-iff*:  $A \cup B \subseteq C \longleftrightarrow A \subseteq C \wedge B \subseteq C$

**by** *blast*

**lemma** *Un-upper1*:  $A \subseteq A \cup B$

**by** *blast*

**lemma** *Un-upper2*:  $B \subseteq A \cup B$

**by** *blast*

**lemma** *Un-least*:  $\llbracket A \subseteq C; B \subseteq C \rrbracket \implies A \cup B \subseteq C$

**by** *blast*

**lemma** *Un-cons*:  $\text{cons}(a, B) \cup C = \text{cons}(a, B \cup C)$

**by** *blast*

**lemma** *Un-absorb [simp]*:  $A \cup A = A$

**by** *blast*

**lemma** *Un-left-absorb*:  $A \cup (A \cup B) = A \cup B$

**by** *blast*

**lemma** *Un-commute*:  $A \cup B = B \cup A$

**by** *blast*

**lemma** *Un-left-commute*:  $A \cup (B \cup C) = B \cup (A \cup C)$

**by** *blast*

**lemma** *Un-assoc*:  $(A \cup B) \cup C = A \cup (B \cup C)$

**by** *blast*

**lemmas** *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*

**lemma** *Un-absorb1*:  $A \subseteq B \implies A \cup B = B$

**by** *blast*

**lemma** *Un-absorb2*:  $B \subseteq A \implies A \cup B = A$

**by** *blast*

**lemma** *Un-Int-distrib*:  $(A \cap B) \cup C = (A \cup C) \cap (B \cup C)$

**by** *blast*

**lemma** *subset-Un-iff*:  $A \subseteq B \longleftrightarrow A \cup B = B$

**by** (*blast elim! equalityE*)

**lemma** *subset-Un-iff2*:  $A \subseteq B \longleftrightarrow B \cup A = B$

**by** (*blast elim! equalityE*)

**lemma** *Un-empty [iff]*:  $(A \cup B = 0) \longleftrightarrow (A = 0 \wedge B = 0)$

**by** *blast*

**lemma** *Un-eq-Union*:  $A \cup B = \bigcup(\{A, B\})$

**by** *blast*

## 4.6 Set Difference

**lemma** *Diff-subset*:  $A - B \subseteq A$   
**by** *blast*

**lemma** *Diff-contains*:  $\llbracket C \subseteq A; C \cap B = 0 \rrbracket \implies C \subseteq A - B$   
**by** *blast*

**lemma** *subset-Diff-cons-iff*:  $B \subseteq A - \text{cons}(c, C) \iff B \subseteq A - C \wedge c \notin B$   
**by** *blast*

**lemma** *Diff-cancel*:  $A - A = 0$   
**by** *blast*

**lemma** *Diff-triv*:  $A \cap B = 0 \implies A - B = A$   
**by** *blast*

**lemma** *empty-Diff* [*simp*]:  $0 - A = 0$   
**by** *blast*

**lemma** *Diff-0* [*simp*]:  $A - 0 = A$   
**by** *blast*

**lemma** *Diff-eq-0-iff*:  $A - B = 0 \iff A \subseteq B$   
**by** (*blast elim: equalityE*)

**lemma** *Diff-cons*:  $A - \text{cons}(a, B) = A - B - \{a\}$   
**by** *blast*

**lemma** *Diff-cons2*:  $A - \text{cons}(a, B) = A - \{a\} - B$   
**by** *blast*

**lemma** *Diff-disjoint*:  $A \cap (B - A) = 0$   
**by** *blast*

**lemma** *Diff-partition*:  $A \subseteq B \implies A \cup (B - A) = B$   
**by** *blast*

**lemma** *subset-Un-Diff*:  $A \subseteq B \cup (A - B)$   
**by** *blast*

**lemma** *double-complement*:  $\llbracket A \subseteq B; B \subseteq C \rrbracket \implies B - (C - A) = A$   
**by** *blast*

**lemma** *double-complement-Un*:  $(A \cup B) - (B - A) = A$   
**by** *blast*

**lemma** *Un-Int-crazy*:

$(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$   
**apply** *blast*  
**done**

**lemma** *Diff-Un*:  $A - (B \cup C) = (A - B) \cap (A - C)$   
**by** *blast*

**lemma** *Diff-Int*:  $A - (B \cap C) = (A - B) \cup (A - C)$   
**by** *blast*

**lemma** *Un-Diff*:  $(A \cup B) - C = (A - C) \cup (B - C)$   
**by** *blast*

**lemma** *Int-Diff*:  $(A \cap B) - C = A \cap (B - C)$   
**by** *blast*

**lemma** *Diff-Int-distrib*:  $C \cap (A - B) = (C \cap A) - (C \cap B)$   
**by** *blast*

**lemma** *Diff-Int-distrib2*:  $(A - B) \cap C = (A \cap C) - (B \cap C)$   
**by** *blast*

**lemma** *Un-Int-assoc-iff*:  $(A \cap B) \cup C = A \cap (B \cup C) \longleftrightarrow C \subseteq A$   
**by** (*blast elim!:* *equalityE*)

## 4.7 Big Union and Intersection

**lemma** *Union-subset-iff*:  $\bigcup(A) \subseteq C \longleftrightarrow (\forall x \in A. x \subseteq C)$   
**by** *blast*

**lemma** *Union-upper*:  $B \in A \implies B \subseteq \bigcup(A)$   
**by** *blast*

**lemma** *Union-least*:  $\llbracket \bigwedge x. x \in A \implies x \subseteq C \rrbracket \implies \bigcup(A) \subseteq C$   
**by** *blast*

**lemma** *Union-cons* [*simp*]:  $\bigcup(\text{cons}(a, B)) = a \cup \bigcup(B)$   
**by** *blast*

**lemma** *Union-Un-distrib*:  $\bigcup(A \cup B) = \bigcup(A) \cup \bigcup(B)$   
**by** *blast*

**lemma** *Union-Int-subset*:  $\bigcup(A \cap B) \subseteq \bigcup(A) \cap \bigcup(B)$   
**by** *blast*

**lemma** *Union-disjoint*:  $\bigcup(C) \cap A = \emptyset \longleftrightarrow (\forall B \in C. B \cap A = \emptyset)$   
**by** (*blast elim!:* *equalityE*)

**lemma** *Union-empty-iff*:  $\bigcup(A) = 0 \longleftrightarrow (\forall B \in A. B = 0)$   
**by** *blast*

**lemma** *Int-Union2*:  $\bigcup(B) \cap A = (\bigcup C \in B. C \cap A)$   
**by** *blast*

**lemma** *Inter-subset-iff*:  $A \neq 0 \implies C \subseteq \bigcap(A) \longleftrightarrow (\forall x \in A. C \subseteq x)$   
**by** *blast*

**lemma** *Inter-lower*:  $B \in A \implies \bigcap(A) \subseteq B$   
**by** *blast*

**lemma** *Inter-greatest*:  $\llbracket A \neq 0; \bigwedge x. x \in A \implies C \subseteq x \rrbracket \implies C \subseteq \bigcap(A)$   
**by** *blast*

**lemma** *INT-lower*:  $x \in A \implies (\bigcap x \in A. B(x)) \subseteq B(x)$   
**by** *blast*

**lemma** *INT-greatest*:  $\llbracket A \neq 0; \bigwedge x. x \in A \implies C \subseteq B(x) \rrbracket \implies C \subseteq (\bigcap x \in A. B(x))$   
**by** *force*

**lemma** *Inter-0* [*simp*]:  $\bigcap(0) = 0$   
**by** (*unfold Inter-def, blast*)

**lemma** *Inter-Un-subset*:  
 $\llbracket z \in A; z \in B \rrbracket \implies \bigcap(A) \cup \bigcap(B) \subseteq \bigcap(A \cap B)$   
**by** *blast*

**lemma** *Inter-Un-distrib*:  
 $\llbracket A \neq 0; B \neq 0 \rrbracket \implies \bigcap(A \cup B) = \bigcap(A) \cap \bigcap(B)$   
**by** *blast*

**lemma** *Union-singleton*:  $\bigcup(\{b\}) = b$   
**by** *blast*

**lemma** *Inter-singleton*:  $\bigcap(\{b\}) = b$   
**by** *blast*

**lemma** *Inter-cons* [*simp*]:  
 $\bigcap(\text{cons}(a, B)) = (\text{if } B = 0 \text{ then } a \text{ else } a \cap \bigcap(B))$   
**by** *force*

## 4.8 Unions and Intersections of Families

**lemma** *subset-UN-iff-eq*:  $A \subseteq (\bigcup_{i \in I}. B(i)) \longleftrightarrow A = (\bigcup_{i \in I}. A \cap B(i))$   
**by** (*blast elim! equalityE*)

**lemma** *UN-subset-iff*:  $(\bigcup_{x \in A}. B(x)) \subseteq C \longleftrightarrow (\forall x \in A. B(x) \subseteq C)$   
**by** *blast*

**lemma** *UN-upper*:  $x \in A \implies B(x) \subseteq (\bigcup_{x \in A}. B(x))$   
**by** (*erule RepFunI [THEN Union-upper]*)

**lemma** *UN-least*:  $\llbracket \bigwedge x. x \in A \implies B(x) \subseteq C \rrbracket \implies (\bigcup_{x \in A}. B(x)) \subseteq C$   
**by** *blast*

**lemma** *Union-eq-UN*:  $\bigcup (A) = (\bigcup_{x \in A}. x)$   
**by** *blast*

**lemma** *Inter-eq-INT*:  $\bigcap (A) = (\bigcap_{x \in A}. x)$   
**by** (*unfold Inter-def, blast*)

**lemma** *UN-0 [simp]*:  $(\bigcup_{i \in 0}. A(i)) = 0$   
**by** *blast*

**lemma** *UN-singleton*:  $(\bigcup_{x \in A}. \{x\}) = A$   
**by** *blast*

**lemma** *UN-Un*:  $(\bigcup_{i \in A \cup B}. C(i)) = (\bigcup_{i \in A}. C(i)) \cup (\bigcup_{i \in B}. C(i))$   
**by** *blast*

**lemma** *INT-Un*:  $(\bigcap_{i \in I \cup J}. A(i)) =$   
 $(\text{if } I=0 \text{ then } \bigcap_{j \in J}. A(j)$   
 $\text{else if } J=0 \text{ then } \bigcap_{i \in I}. A(i)$   
 $\text{else } ((\bigcap_{i \in I}. A(i)) \cap (\bigcap_{j \in J}. A(j))))$   
**by** (*simp, blast intro! equalityI*)

**lemma** *UN-UN-flatten*:  $(\bigcup x \in (\bigcup_{y \in A}. B(y)). C(x)) = (\bigcup_{y \in A}. \bigcup_{x \in B(y)}. C(x))$   
**by** *blast*

**lemma** *Int-UN-distrib*:  $B \cap (\bigcup_{i \in I}. A(i)) = (\bigcup_{i \in I}. B \cap A(i))$   
**by** *blast*

**lemma** *Un-INT-distrib*:  $I \neq 0 \implies B \cup (\bigcap_{i \in I}. A(i)) = (\bigcap_{i \in I}. B \cup A(i))$   
**by** *auto*

**lemma** *Int-UN-distrib2*:  
 $(\bigcup_{i \in I}. A(i)) \cap (\bigcup_{j \in J}. B(j)) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A(i) \cap B(j))$   
**by** *blast*

**lemma** *Un-INT-distrib2*:  $\llbracket I \neq 0; J \neq 0 \rrbracket \implies$

$(\bigcap_{i \in I}. A(i)) \cup (\bigcap_{j \in J}. B(j)) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A(i) \cup B(j))$   
**by** *auto*

**lemma** *UN-constant [simp]*:  $(\bigcup_{y \in A}. c) = (\text{if } A=0 \text{ then } 0 \text{ else } c)$   
**by** *force*

**lemma** *INT-constant [simp]*:  $(\bigcap_{y \in A}. c) = (\text{if } A=0 \text{ then } 0 \text{ else } c)$   
**by** *force*

**lemma** *UN-RepFun [simp]*:  $(\bigcup_{y \in \text{RepFun}(A,f)}. B(y)) = (\bigcup_{x \in A}. B(f(x)))$   
**by** *blast*

**lemma** *INT-RepFun [simp]*:  $(\bigcap_{x \in \text{RepFun}(A,f)}. B(x)) = (\bigcap_{a \in A}. B(f(a)))$   
**by** (*auto simp add: Inter-def*)

**lemma** *INT-Union-eq*:  
 $0 \notin A \implies (\bigcap_{x \in \bigcup(A)}. B(x)) = (\bigcap_{y \in A}. \bigcap_{x \in y}. B(x))$   
**apply** (*subgoal-tac  $\forall x \in A. x \neq 0$* )  
**prefer** 2 **apply** *blast*  
**apply** (*force simp add: Inter-def ball-conj-distrib*)  
**done**

**lemma** *INT-UN-eq*:  
 $(\forall x \in A. B(x) \neq 0) \implies (\bigcap_{z \in (\bigcup_{x \in A}. B(x))}. C(z)) = (\bigcap_{x \in A}. \bigcap_{z \in B(x)}. C(z))$   
**apply** (*subst INT-Union-eq, blast*)  
**apply** (*simp add: Inter-def*)  
**done**

**lemma** *UN-Un-distrib*:  
 $(\bigcup_{i \in I}. A(i) \cup B(i)) = (\bigcup_{i \in I}. A(i)) \cup (\bigcup_{i \in I}. B(i))$   
**by** *blast*

**lemma** *INT-Int-distrib*:  
 $I \neq 0 \implies (\bigcap_{i \in I}. A(i) \cap B(i)) = (\bigcap_{i \in I}. A(i)) \cap (\bigcap_{i \in I}. B(i))$   
**by** (*blast elim!: not-emptyE*)

**lemma** *UN-Int-subset*:  
 $(\bigcup_{z \in I \cap J}. A(z)) \subseteq (\bigcup_{z \in I}. A(z)) \cap (\bigcup_{z \in J}. A(z))$   
**by** *blast*

**lemma** *Diff-UN*:  $I \neq 0 \implies B - (\bigcup_{i \in I}. A(i)) = (\bigcap_{i \in I}. B - A(i))$   
**by** (*blast elim!: not-emptyE*)



**lemma** *Diff-INT*:  $I \neq 0 \implies B - (\bigcap_{i \in I}. A(i)) = (\bigcup_{i \in I}. B - A(i))$   
**by** (*blast elim! not-emptyE*)

**lemma** *Sigma-cons1*:  $\text{Sigma}(\text{cons}(a, B), C) = (\{a\} * C(a)) \cup \text{Sigma}(B, C)$   
**by** *blast*

**lemma** *Sigma-cons2*:  $A * \text{cons}(b, B) = A * \{b\} \cup A * B$   
**by** *blast*

**lemma** *Sigma-succ1*:  $\text{Sigma}(\text{succ}(A), B) = (\{A\} * B(A)) \cup \text{Sigma}(A, B)$   
**by** *blast*

**lemma** *Sigma-succ2*:  $A * \text{succ}(B) = A * \{B\} \cup A * B$   
**by** *blast*

**lemma** *SUM-UN-distrib1*:  
 $(\sum x \in (\bigcup_{y \in A}. C(y)). B(x)) = (\bigcup_{y \in A}. \sum x \in C(y). B(x))$   
**by** *blast*

**lemma** *SUM-UN-distrib2*:  
 $(\sum i \in I. \bigcup_{j \in J}. C(i, j)) = (\bigcup_{j \in J}. \sum i \in I. C(i, j))$   
**by** *blast*

**lemma** *SUM-Un-distrib1*:  
 $(\sum i \in I \cup J. C(i)) = (\sum i \in I. C(i)) \cup (\sum j \in J. C(j))$   
**by** *blast*

**lemma** *SUM-Un-distrib2*:  
 $(\sum i \in I. A(i) \cup B(i)) = (\sum i \in I. A(i)) \cup (\sum i \in I. B(i))$   
**by** *blast*

**lemma** *prod-Un-distrib2*:  $I * (A \cup B) = I * A \cup I * B$   
**by** (*rule SUM-Un-distrib2*)

**lemma** *SUM-Int-distrib1*:  
 $(\sum i \in I \cap J. C(i)) = (\sum i \in I. C(i)) \cap (\sum j \in J. C(j))$   
**by** *blast*

**lemma** *SUM-Int-distrib2*:  
 $(\sum i \in I. A(i) \cap B(i)) = (\sum i \in I. A(i)) \cap (\sum i \in I. B(i))$   
**by** *blast*

**lemma** *prod-Int-distrib2*:  $I * (A \cap B) = I * A \cap I * B$   
**by** (*rule SUM-Int-distrib2*)

**lemma** *SUM-eq-UN*:  $(\sum_{i \in I}. A(i)) = (\bigcup_{i \in I}. \{i\} * A(i))$   
**by** *blast*

**lemma** *times-subset-iff*:  
 $(A' * B' \subseteq A * B) \longleftrightarrow (A' = 0 \mid B' = 0 \mid (A' \subseteq A) \wedge (B' \subseteq B))$   
**by** *blast*

**lemma** *Int-Sigma-eq*:  
 $(\sum x \in A'. B'(x)) \cap (\sum x \in A. B(x)) = (\sum x \in A' \cap A. B'(x) \cap B(x))$   
**by** *blast*

**lemma** *domain-iff*:  $a: \text{domain}(r) \longleftrightarrow (\exists y. \langle a, y \rangle \in r)$   
**by** (*unfold domain-def, blast*)

**lemma** *domainI [intro]*:  $\langle a, b \rangle \in r \implies a: \text{domain}(r)$   
**by** (*unfold domain-def, blast*)

**lemma** *domainE [elim!]*:  
 $\llbracket a \in \text{domain}(r); \bigwedge y. \langle a, y \rangle \in r \implies P \rrbracket \implies P$   
**by** (*unfold domain-def, blast*)

**lemma** *domain-subset*:  $\text{domain}(\text{Sigma}(A, B)) \subseteq A$   
**by** *blast*

**lemma** *domain-of-prod*:  $b \in B \implies \text{domain}(A * B) = A$   
**by** *blast*

**lemma** *domain-0 [simp]*:  $\text{domain}(0) = 0$   
**by** *blast*

**lemma** *domain-cons [simp]*:  $\text{domain}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(a, \text{domain}(r))$   
**by** *blast*

**lemma** *domain-Un-eq [simp]*:  $\text{domain}(A \cup B) = \text{domain}(A) \cup \text{domain}(B)$   
**by** *blast*

**lemma** *domain-Int-subset*:  $\text{domain}(A \cap B) \subseteq \text{domain}(A) \cap \text{domain}(B)$   
**by** *blast*

**lemma** *domain-Diff-subset*:  $\text{domain}(A) - \text{domain}(B) \subseteq \text{domain}(A - B)$   
**by** *blast*

**lemma** *domain-UN*:  $\text{domain}(\bigcup_{x \in A}. B(x)) = (\bigcup_{x \in A}. \text{domain}(B(x)))$

**by** *blast*

**lemma** *domain-Union*:  $\text{domain}(\bigcup(A)) = (\bigcup_{x \in A} \text{domain}(x))$   
**by** *blast*

**lemma** *rangeI* [*intro*]:  $\langle a, b \rangle \in r \implies b \in \text{range}(r)$   
  **unfolding** *range-def*  
**apply** (*erule converseI* [*THEN domainI*])  
**done**

**lemma** *rangeE* [*elim!*]:  $\llbracket b \in \text{range}(r); \bigwedge x. \langle x, b \rangle \in r \implies P \rrbracket \implies P$   
**by** (*unfold range-def, blast*)

**lemma** *range-subset*:  $\text{range}(A * B) \subseteq B$   
  **unfolding** *range-def*  
**apply** (*subst converse-prod*)  
**apply** (*rule domain-subset*)  
**done**

**lemma** *range-of-prod*:  $a \in A \implies \text{range}(A * B) = B$   
**by** *blast*

**lemma** *range-0* [*simp*]:  $\text{range}(0) = 0$   
**by** *blast*

**lemma** *range-cons* [*simp*]:  $\text{range}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(b, \text{range}(r))$   
**by** *blast*

**lemma** *range-Un-eq* [*simp*]:  $\text{range}(A \cup B) = \text{range}(A) \cup \text{range}(B)$   
**by** *blast*

**lemma** *range-Int-subset*:  $\text{range}(A \cap B) \subseteq \text{range}(A) \cap \text{range}(B)$   
**by** *blast*

**lemma** *range-Diff-subset*:  $\text{range}(A) - \text{range}(B) \subseteq \text{range}(A - B)$   
**by** *blast*

**lemma** *domain-converse* [*simp*]:  $\text{domain}(\text{converse}(r)) = \text{range}(r)$   
**by** *blast*

**lemma** *range-converse* [*simp*]:  $\text{range}(\text{converse}(r)) = \text{domain}(r)$   
**by** *blast*

**lemma** *fieldI1*:  $\langle a, b \rangle \in r \implies a \in \text{field}(r)$   
**by** (*unfold field-def*, *blast*)

**lemma** *fieldI2*:  $\langle a, b \rangle \in r \implies b \in \text{field}(r)$   
**by** (*unfold field-def*, *blast*)

**lemma** *fieldCI* [*intro*]:  
 $(\neg \langle c, a \rangle \in r \implies \langle a, b \rangle \in r) \implies a \in \text{field}(r)$   
**apply** (*unfold field-def*, *blast*)  
**done**

**lemma** *fieldE* [*elim!*]:  
 $\llbracket a \in \text{field}(r);$   
 $\bigwedge x. \langle a, x \rangle \in r \implies P;$   
 $\bigwedge x. \langle x, a \rangle \in r \implies P \rrbracket \implies P$   
**by** (*unfold field-def*, *blast*)

**lemma** *field-subset*:  $\text{field}(A * B) \subseteq A \cup B$   
**by** *blast*

**lemma** *domain-subset-field*:  $\text{domain}(r) \subseteq \text{field}(r)$   
**unfolding** *field-def*  
**apply** (*rule Un-upper1*)  
**done**

**lemma** *range-subset-field*:  $\text{range}(r) \subseteq \text{field}(r)$   
**unfolding** *field-def*  
**apply** (*rule Un-upper2*)  
**done**

**lemma** *domain-times-range*:  $r \subseteq \text{Sigma}(A, B) \implies r \subseteq \text{domain}(r) * \text{range}(r)$   
**by** *blast*

**lemma** *field-times-field*:  $r \subseteq \text{Sigma}(A, B) \implies r \subseteq \text{field}(r) * \text{field}(r)$   
**by** *blast*

**lemma** *relation-field-times-field*:  $\text{relation}(r) \implies r \subseteq \text{field}(r) * \text{field}(r)$   
**by** (*simp add: relation-def*, *blast*)

**lemma** *field-of-prod*:  $\text{field}(A * A) = A$   
**by** *blast*

**lemma** *field-0* [*simp*]:  $\text{field}(0) = 0$   
**by** *blast*

**lemma** *field-cons* [*simp*]:  $\text{field}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(a, \text{cons}(b, \text{field}(r)))$   
**by** *blast*

**lemma** *field-Un-eq* [*simp*]:  $\text{field}(A \cup B) = \text{field}(A) \cup \text{field}(B)$

by *blast*

**lemma** *field-Int-subset*:  $\text{field}(A \cap B) \subseteq \text{field}(A) \cap \text{field}(B)$   
by *blast*

**lemma** *field-Diff-subset*:  $\text{field}(A) - \text{field}(B) \subseteq \text{field}(A - B)$   
by *blast*

**lemma** *field-converse* [*simp*]:  $\text{field}(\text{converse}(r)) = \text{field}(r)$   
by *blast*

**lemma** *rel-Union*:  $(\forall x \in S. \exists A B. x \subseteq A * B) \implies$   
 $\bigcup(S) \subseteq \text{domain}(\bigcup(S)) * \text{range}(\bigcup(S))$   
by *blast*

**lemma** *rel-Un*:  $\llbracket r \subseteq A * B; s \subseteq C * D \rrbracket \implies (r \cup s) \subseteq (A \cup C) * (B \cup D)$   
by *blast*

**lemma** *domain-Diff-eq*:  $\llbracket \langle a, c \rangle \in r; c \neq b \rrbracket \implies \text{domain}(r - \{\langle a, b \rangle\}) = \text{domain}(r)$   
by *blast*

**lemma** *range-Diff-eq*:  $\llbracket \langle c, b \rangle \in r; c \neq a \rrbracket \implies \text{range}(r - \{\langle a, b \rangle\}) = \text{range}(r)$   
by *blast*

## 4.9 Image of a Set under a Function or Relation

**lemma** *image-iff*:  $b \in r''A \longleftrightarrow (\exists x \in A. \langle x, b \rangle \in r)$   
by (*unfold image-def*, *blast*)

**lemma** *image-singleton-iff*:  $b \in r''\{a\} \longleftrightarrow \langle a, b \rangle \in r$   
by (*rule image-iff* [*THEN iff-trans*], *blast*)

**lemma** *imageI* [*intro*]:  $\llbracket \langle a, b \rangle \in r; a \in A \rrbracket \implies b \in r''A$   
by (*unfold image-def*, *blast*)

**lemma** *imageE* [*elim!*]:  
 $\llbracket b: r''A; \bigwedge x. \llbracket \langle x, b \rangle \in r; x \in A \rrbracket \implies P \rrbracket \implies P$   
by (*unfold image-def*, *blast*)

**lemma** *image-subset*:  $r \subseteq A * B \implies r''C \subseteq B$   
by *blast*

**lemma** *image-0* [*simp*]:  $r''0 = 0$   
by *blast*

**lemma** *image-Un* [*simp*]:  $r''(A \cup B) = (r''A) \cup (r''B)$   
by *blast*

**lemma** *image-UN*:  $r^{-1}(\bigcup_{x \in A} B(x)) = \bigcup_{x \in A} r^{-1}B(x)$   
**by** *blast*

**lemma** *Collect-image-eq*:  
 $\{z \in \text{Sigma}(A, B). P(z)\}^{-1} C = (\bigcup x \in A. \{y \in B(x). x \in C \wedge P(\langle x, y \rangle)\})^{-1}$   
**by** *blast*

**lemma** *image-Int-subset*:  $r^{-1}(A \cap B) \subseteq (r^{-1}A) \cap (r^{-1}B)$   
**by** *blast*

**lemma** *image-Int-square-subset*:  $(r \cap A * A)^{-1}B \subseteq (r^{-1}B) \cap A$   
**by** *blast*

**lemma** *image-Int-square*:  $B \subseteq A \implies (r \cap A * A)^{-1}B = (r^{-1}B) \cap A$   
**by** *blast*

**lemma** *image-0-left* [*simp*]:  $0^{-1}A = 0$   
**by** *blast*

**lemma** *image-Un-left*:  $(r \cup s)^{-1}A = (r^{-1}A) \cup (s^{-1}A)$   
**by** *blast*

**lemma** *image-Int-subset-left*:  $(r \cap s)^{-1}A \subseteq (r^{-1}A) \cap (s^{-1}A)$   
**by** *blast*

#### 4.10 Inverse Image of a Set under a Function or Relation

**lemma** *vimage-iff*:  
 $a \in r^{-1}B \iff (\exists y \in B. \langle a, y \rangle \in r)$   
**by** (*unfold vimage-def image-def converse-def*, *blast*)

**lemma** *vimage-singleton-iff*:  $a \in r^{-1}\{b\} \iff \langle a, b \rangle \in r$   
**by** (*rule vimage-iff* [*THEN iff-trans*], *blast*)

**lemma** *vimageI* [*intro*]:  $\llbracket \langle a, b \rangle \in r; b \in B \rrbracket \implies a \in r^{-1}B$   
**by** (*unfold vimage-def*, *blast*)

**lemma** *vimageE* [*elim!*]:  
 $\llbracket a: r^{-1}B; \bigwedge x. \llbracket \langle a, x \rangle \in r; x \in B \rrbracket \implies P \rrbracket \implies P$   
**apply** (*unfold vimage-def*, *blast*)  
**done**

**lemma** *vimage-subset*:  $r \subseteq A * B \implies r^{-1}C \subseteq A$   
**unfolding** *vimage-def*  
**apply** (*erule converse-type* [*THEN image-subset*])  
**done**

**lemma** *vimage-0* [simp]:  $r-“0 = 0$

**by** *blast*

**lemma** *vimage-Un* [simp]:  $r-“(A \cup B) = (r-“A) \cup (r-“B)$

**by** *blast*

**lemma** *vimage-Int-subset*:  $r-“(A \cap B) \subseteq (r-“A) \cap (r-“B)$

**by** *blast*

**lemma** *vimage-eq-UN*:  $f-“B = (\bigcup y \in B. f-“\{y\})$

**by** *blast*

**lemma** *function-vimage-Int*:

$function(f) \implies f-“(A \cap B) = (f-“A) \cap (f-“B)$

**by** (*unfold function-def*, *blast*)

**lemma** *function-vimage-Diff*:  $function(f) \implies f-“(A-B) = (f-“A) - (f-“B)$

**by** (*unfold function-def*, *blast*)

**lemma** *function-image-vimage*:  $function(f) \implies f-“(f-“A) \subseteq A$

**by** (*unfold function-def*, *blast*)

**lemma** *vimage-Int-square-subset*:  $(r \cap A * A)-“B \subseteq (r-“B) \cap A$

**by** *blast*

**lemma** *vimage-Int-square*:  $B \subseteq A \implies (r \cap A * A)-“B = (r-“B) \cap A$

**by** *blast*

**lemma** *vimage-0-left* [simp]:  $0-“A = 0$

**by** *blast*

**lemma** *vimage-Un-left*:  $(r \cup s)-“A = (r-“A) \cup (s-“A)$

**by** *blast*

**lemma** *vimage-Int-subset-left*:  $(r \cap s)-“A \subseteq (r-“A) \cap (s-“A)$

**by** *blast*

**lemma** *converse-Un* [simp]:  $converse(A \cup B) = converse(A) \cup converse(B)$

**by** *blast*

**lemma** *converse-Int* [simp]:  $converse(A \cap B) = converse(A) \cap converse(B)$

**by** *blast*

**lemma** *converse-Diff* [simp]:  $\text{converse}(A - B) = \text{converse}(A) - \text{converse}(B)$   
**by** *blast*

**lemma** *converse-UN* [simp]:  $\text{converse}(\bigcup x \in A. B(x)) = (\bigcup x \in A. \text{converse}(B(x)))$   
**by** *blast*

**lemma** *converse-INT* [simp]:  
     $\text{converse}(\bigcap x \in A. B(x)) = (\bigcap x \in A. \text{converse}(B(x)))$   
**apply** (*unfold Inter-def, blast*)  
**done**

#### 4.11 Powerset Operator

**lemma** *Pow-0* [simp]:  $\text{Pow}(\emptyset) = \{\emptyset\}$   
**by** *blast*

**lemma** *Pow-insert*:  $\text{Pow}(\text{cons}(a, A)) = \text{Pow}(A) \cup \{\text{cons}(a, X) \mid X \in \text{Pow}(A)\}$   
**apply** (*rule equalityI, safe*)  
**apply** (*erule swap*)  
**apply** (*rule-tac a = x-{\a} in RepFun-eqI, auto*)  
**done**

**lemma** *Un-Pow-subset*:  $\text{Pow}(A) \cup \text{Pow}(B) \subseteq \text{Pow}(A \cup B)$   
**by** *blast*

**lemma** *UN-Pow-subset*:  $(\bigcup x \in A. \text{Pow}(B(x))) \subseteq \text{Pow}(\bigcup x \in A. B(x))$   
**by** *blast*

**lemma** *subset-Pow-Union*:  $A \subseteq \text{Pow}(\bigcup(A))$   
**by** *blast*

**lemma** *Union-Pow-eq* [simp]:  $\bigcup(\text{Pow}(A)) = A$   
**by** *blast*

**lemma** *Union-Pow-iff*:  $\bigcup(A) \in \text{Pow}(B) \longleftrightarrow A \in \text{Pow}(\text{Pow}(B))$   
**by** *blast*

**lemma** *Pow-Int-eq* [simp]:  $\text{Pow}(A \cap B) = \text{Pow}(A) \cap \text{Pow}(B)$   
**by** *blast*

**lemma** *Pow-INT-eq*:  $A \neq \emptyset \implies \text{Pow}(\bigcap x \in A. B(x)) = (\bigcap x \in A. \text{Pow}(B(x)))$   
**by** (*blast elim!: not-emptyE*)

#### 4.12 RepFun

**lemma** *RepFun-subset*:  $\llbracket \bigwedge x. x \in A \implies f(x) \in B \rrbracket \implies \{f(x) \mid x \in A\} \subseteq B$   
**by** *blast*



**lemma** *RepFun-eq-0-iff* [simp]:  $\{f(x).x \in A\} = 0 \longleftrightarrow A = 0$   
**by** blast

**lemma** *RepFun-constant* [simp]:  $\{c. x \in A\} = (\text{if } A = 0 \text{ then } 0 \text{ else } \{c\})$   
**by** force

### 4.13 Collect

**lemma** *Collect-subset*:  $\text{Collect}(A, P) \subseteq A$   
**by** blast

**lemma** *Collect-Un*:  $\text{Collect}(A \cup B, P) = \text{Collect}(A, P) \cup \text{Collect}(B, P)$   
**by** blast

**lemma** *Collect-Int*:  $\text{Collect}(A \cap B, P) = \text{Collect}(A, P) \cap \text{Collect}(B, P)$   
**by** blast

**lemma** *Collect-Diff*:  $\text{Collect}(A - B, P) = \text{Collect}(A, P) - \text{Collect}(B, P)$   
**by** blast

**lemma** *Collect-cons*:  $\{x \in \text{cons}(a, B). P(x)\} =$   
 $(\text{if } P(a) \text{ then } \text{cons}(a, \{x \in B. P(x)\}) \text{ else } \{x \in B. P(x)\})$   
**by** (simp, blast)

**lemma** *Int-Collect-self-eq*:  $A \cap \text{Collect}(A, P) = \text{Collect}(A, P)$   
**by** blast

**lemma** *Collect-Collect-eq* [simp]:  
 $\text{Collect}(\text{Collect}(A, P), Q) = \text{Collect}(A, \lambda x. P(x) \wedge Q(x))$   
**by** blast

**lemma** *Collect-Int-Collect-eq*:  
 $\text{Collect}(A, P) \cap \text{Collect}(A, Q) = \text{Collect}(A, \lambda x. P(x) \wedge Q(x))$   
**by** blast

**lemma** *Collect-Union-eq* [simp]:  
 $\text{Collect}(\bigcup x \in A. B(x), P) = \bigcup x \in A. \text{Collect}(B(x), P)$   
**by** blast

**lemma** *Collect-Int-left*:  $\{x \in A. P(x)\} \cap B = \{x \in A \cap B. P(x)\}$   
**by** blast

**lemma** *Collect-Int-right*:  $A \cap \{x \in B. P(x)\} = \{x \in A \cap B. P(x)\}$   
**by** blast

**lemma** *Collect-disj-eq*:  $\{x \in A. P(x) \mid Q(x)\} = \text{Collect}(A, P) \cup \text{Collect}(A, Q)$   
**by** blast

**lemma** *Collect-conj-eq*:  $\{x \in A. P(x) \wedge Q(x)\} = \text{Collect}(A, P) \cap \text{Collect}(A, Q)$   
**by** *blast*

**lemmas** *subset-SIs* = *subset-refl cons-subsetI subset-consI*  
*Union-least UN-least Un-least*  
*Inter-greatest Int-greatest RepFun-subset*  
*Un-upper1 Un-upper2 Int-lower1 Int-lower2*

**ML**  $\langle$   
*val subset-cs* =  
*claset-of* (**context**  
*delrules* [ $\text{@}\{thm\ subsetI\}$ ,  $\text{@}\{thm\ subsetCE\}$ ]  
*addSIs*  $\text{@}\{thms\ subset-SIs\}$   
*addIs* [ $\text{@}\{thm\ Union-upper\}$ ,  $\text{@}\{thm\ Inter-lower\}$ ]  
*addSEs* [ $\text{@}\{thm\ cons-subsetE\}$ ]);  
*val ZF-cs* = *claset-of* (**context** *delrules* [ $\text{@}\{thm\ equalityI\}$ ]);  
 $\rangle$   
**end**

## 5 Least and Greatest Fixed Points; the Knaster-Tarski Theorem

**theory** *Fixedpt* **imports** *equalities* **begin**

**definition**

*bnd-mono* ::  $[i, i \Rightarrow i] \Rightarrow o$  **where**  
 $bnd-mono(D, h) \equiv h(D) \leq D \wedge (\forall W X. W \leq X \longrightarrow X \leq D \longrightarrow h(W) \subseteq h(X))$

**definition**

*lfp* ::  $[i, i \Rightarrow i] \Rightarrow i$  **where**  
 $lfp(D, h) \equiv \bigcap (\{X: Pow(D). h(X) \subseteq X\})$

**definition**

*gfp* ::  $[i, i \Rightarrow i] \Rightarrow i$  **where**  
 $gfp(D, h) \equiv \bigcup (\{X: Pow(D). X \subseteq h(X)\})$

The theorem is proved in the lattice of subsets of  $D$ , namely  $Pow(D)$ , with  $\text{Inter}$  as the greatest lower bound.

### 5.1 Monotone Operators

**lemma** *bnd-monoI*:

$\llbracket h(D) \leq D; \bigwedge W X. \llbracket W \leq D; X \leq D; W \leq X \rrbracket \Longrightarrow h(W) \subseteq h(X)$

$\llbracket \implies \text{bnd-mono}(D, h) \rrbracket$   
**by** (*unfold bnd-mono-def, clarify, blast*)

**lemma** *bnd-monoD1*:  $\text{bnd-mono}(D, h) \implies h(D) \subseteq D$   
**unfolding** *bnd-mono-def*  
**apply** (*erule conjunct1*)  
**done**

**lemma** *bnd-monoD2*:  $\llbracket \text{bnd-mono}(D, h); W \leq X; X \leq D \rrbracket \implies h(W) \subseteq h(X)$   
**by** (*unfold bnd-mono-def, blast*)

**lemma** *bnd-mono-subset*:  
 $\llbracket \text{bnd-mono}(D, h); X \leq D \rrbracket \implies h(X) \subseteq D$   
**by** (*unfold bnd-mono-def, clarify, blast*)

**lemma** *bnd-mono-Un*:  
 $\llbracket \text{bnd-mono}(D, h); A \subseteq D; B \subseteq D \rrbracket \implies h(A) \cup h(B) \subseteq h(A \cup B)$   
**unfolding** *bnd-mono-def*  
**apply** (*rule Un-least, blast+*)  
**done**

**lemma** *bnd-mono-UN*:  
 $\llbracket \text{bnd-mono}(D, h); \forall i \in I. A(i) \subseteq D \rrbracket$   
 $\implies (\bigcup i \in I. h(A(i))) \subseteq h(\bigcup i \in I. A(i))$   
**unfolding** *bnd-mono-def*  
**apply** (*rule UN-least*)  
**apply** (*elim conjE*)  
**apply** (*drule-tac x=A(i) in spec*)  
**apply** (*drule-tac x=( $\bigcup i \in I. A(i)$ ) in spec*)  
**apply** *blast*  
**done**

**lemma** *bnd-mono-Int*:  
 $\llbracket \text{bnd-mono}(D, h); A \subseteq D; B \subseteq D \rrbracket \implies h(A \cap B) \subseteq h(A) \cap h(B)$   
**apply** (*rule Int-greatest*)  
**apply** (*erule bnd-monoD2, rule Int-lower1, assumption*)  
**apply** (*erule bnd-monoD2, rule Int-lower2, assumption*)  
**done**

## 5.2 Proof of Knaster-Tarski Theorem using *lfp*

**lemma** *lfp-lowerbound*:  
 $\llbracket h(A) \subseteq A; A \leq D \rrbracket \implies \text{lfp}(D, h) \subseteq A$   
**by** (*unfold lfp-def, blast*)

**lemma** *lfp-subset*:  $\text{lfp}(D, h) \subseteq D$

**by** (*unfold lfp-def Inter-def, blast*)

**lemma** *def-lfp-subset*:  $A \equiv \text{lfp}(D, h) \implies A \subseteq D$   
**apply** *simp*  
**apply** (*rule lfp-subset*)  
**done**

**lemma** *lfp-greatest*:  
 $\llbracket h(D) \subseteq D; \bigwedge X. \llbracket h(X) \subseteq X; X \leq D \rrbracket \implies A \leq X \rrbracket \implies A \subseteq \text{lfp}(D, h)$   
**by** (*unfold lfp-def, blast*)

**lemma** *lfp-lemma1*:  
 $\llbracket \text{bnd-mono}(D, h); h(A) \leq A; A \leq D \rrbracket \implies h(\text{lfp}(D, h)) \subseteq A$   
**apply** (*erule bnd-monoD2 [THEN subset-trans]*)  
**apply** (*rule lfp-lowerbound, assumption+*)  
**done**

**lemma** *lfp-lemma2*:  $\text{bnd-mono}(D, h) \implies h(\text{lfp}(D, h)) \subseteq \text{lfp}(D, h)$   
**apply** (*rule bnd-monoD1 [THEN lfp-greatest]*)  
**apply** (*rule-tac [2] lfp-lemma1*)  
**apply** (*assumption+*)  
**done**

**lemma** *lfp-lemma3*:  
 $\text{bnd-mono}(D, h) \implies \text{lfp}(D, h) \subseteq h(\text{lfp}(D, h))$   
**apply** (*rule lfp-lowerbound*)  
**apply** (*rule bnd-monoD2, assumption*)  
**apply** (*rule lfp-lemma2, assumption*)  
**apply** (*erule-tac [2] bnd-mono-subset*)  
**apply** (*rule lfp-subset*)+  
**done**

**lemma** *lfp-unfold*:  $\text{bnd-mono}(D, h) \implies \text{lfp}(D, h) = h(\text{lfp}(D, h))$   
**apply** (*rule equalityI*)  
**apply** (*erule lfp-lemma3*)  
**apply** (*erule lfp-lemma2*)  
**done**

**lemma** *def-lfp-unfold*:  
 $\llbracket A \equiv \text{lfp}(D, h); \text{bnd-mono}(D, h) \rrbracket \implies A = h(A)$   
**apply** *simp*  
**apply** (*erule lfp-unfold*)  
**done**

### 5.3 General Induction Rule for Least Fixedpoints

**lemma** *Collect-is-pre-fixedpt*:

$\llbracket \text{bnd-mono}(D, h); \bigwedge x. x \in h(\text{Collect}(\text{lfp}(D, h), P)) \implies P(x) \rrbracket$   
 $\implies h(\text{Collect}(\text{lfp}(D, h), P)) \subseteq \text{Collect}(\text{lfp}(D, h), P)$   
**by** (blast intro: lfp-lemma2 [THEN subsetD] bnd-monoD2 [THEN subsetD]  
lfp-subset [THEN subsetD])

**lemma** *induct*:  
 $\llbracket \text{bnd-mono}(D, h); a \in \text{lfp}(D, h);$   
 $\bigwedge x. x \in h(\text{Collect}(\text{lfp}(D, h), P)) \implies P(x) \rrbracket$   
 $\implies P(a)$   
**apply** (rule Collect-is-pre-fixedpt  
[THEN lfp-lowerbound, THEN subsetD, THEN CollectD2])  
**apply** (rule-tac [3] lfp-subset [THEN Collect-subset [THEN subset-trans]],  
blast+)  
**done**

**lemma** *def-induct*:  
 $\llbracket A \equiv \text{lfp}(D, h); \text{bnd-mono}(D, h); a:A;$   
 $\bigwedge x. x \in h(\text{Collect}(A, P)) \implies P(x) \rrbracket$   
 $\implies P(a)$   
**by** (rule induct, blast+)

**lemma** *lfp-Int-lowerbound*:  
 $\llbracket h(D \cap A) \subseteq A; \text{bnd-mono}(D, h) \rrbracket \implies \text{lfp}(D, h) \subseteq A$   
**apply** (rule lfp-lowerbound [THEN subset-trans])  
**apply** (erule bnd-mono-subset [THEN Int-greatest], blast+)  
**done**

**lemma** *lfp-mono*:  
**assumes** hmono:  $\text{bnd-mono}(D, h)$   
**and** imono:  $\text{bnd-mono}(E, i)$   
**and** subhi:  $\bigwedge X. X \leq D \implies h(X) \subseteq i(X)$   
**shows**  $\text{lfp}(D, h) \subseteq \text{lfp}(E, i)$   
**apply** (rule bnd-monoD1 [THEN lfp-greatest])  
**apply** (rule imono)  
**apply** (rule hmono [THEN [2] lfp-Int-lowerbound])  
**apply** (rule Int-lower1 [THEN subhi, THEN subset-trans])  
**apply** (rule imono [THEN bnd-monoD2, THEN subset-trans], auto)  
**done**

**lemma** *lfp-mono2*:  
 $\llbracket i(D) \subseteq D; \bigwedge X. X \leq D \implies h(X) \subseteq i(X) \rrbracket \implies \text{lfp}(D, h) \subseteq \text{lfp}(D, i)$   
**apply** (rule lfp-greatest, assumption)  
**apply** (rule lfp-lowerbound, blast, assumption)  
**done**

```

lemma lfp-cong:
   $\llbracket D=D'; \bigwedge X. X \subseteq D' \implies h(X) = h'(X) \rrbracket \implies \text{lfp}(D,h) = \text{lfp}(D',h')$ 
apply (simp add: lfp-def)
apply (rule-tac t=Inter in subst-context)
apply (rule Collect-cong, simp-all)
done

```

## 5.4 Proof of Knaster-Tarski Theorem using *gfp*

```

lemma gfp-upperbound:  $\llbracket A \subseteq h(A); A \leq D \rrbracket \implies A \subseteq \text{gfp}(D,h)$ 
  unfolding gfp-def
apply (rule PowI [THEN CollectI, THEN Union-upper])
apply (assumption+)
done

```

```

lemma gfp-subset:  $\text{gfp}(D,h) \subseteq D$ 
by (unfold gfp-def, blast)

```

```

lemma def-gfp-subset:  $A \equiv \text{gfp}(D,h) \implies A \subseteq D$ 
apply simp
apply (rule gfp-subset)
done

```

```

lemma gfp-least:
   $\llbracket \text{bnd-mono}(D,h); \bigwedge X. \llbracket X \subseteq h(X); X \leq D \rrbracket \implies X \leq A \rrbracket \implies$ 
   $\text{gfp}(D,h) \subseteq A$ 
  unfolding gfp-def
apply (blast dest: bnd-monoD1)
done

```

```

lemma gfp-lemma1:
   $\llbracket \text{bnd-mono}(D,h); A \leq h(A); A \leq D \rrbracket \implies A \subseteq h(\text{gfp}(D,h))$ 
apply (rule subset-trans, assumption)
apply (erule bnd-monoD2)
apply (rule-tac [2] gfp-subset)
apply (simp add: gfp-upperbound)
done

```

```

lemma gfp-lemma2:  $\text{bnd-mono}(D,h) \implies \text{gfp}(D,h) \subseteq h(\text{gfp}(D,h))$ 
apply (rule gfp-least)
apply (rule-tac [2] gfp-lemma1)
apply (assumption+)
done

```

```

lemma gfp-lemma3:
   $\text{bnd-mono}(D,h) \implies h(\text{gfp}(D,h)) \subseteq \text{gfp}(D,h)$ 
apply (rule gfp-upperbound)

```

```

apply (rule bnd-monoD2, assumption)
apply (rule gfp-lemma2, assumption)
apply (erule bnd-mono-subset, rule gfp-subset)+
done

```

```

lemma gfp-unfold: bnd-mono( $D, h$ )  $\implies$   $\text{gfp}(D, h) = h(\text{gfp}(D, h))$ 
apply (rule equalityI)
apply (erule gfp-lemma2)
apply (erule gfp-lemma3)
done

```

```

lemma def-gfp-unfold:
   $\llbracket A \equiv \text{gfp}(D, h); \text{bnd-mono}(D, h) \rrbracket \implies A = h(A)$ 
apply simp
apply (erule gfp-unfold)
done

```

## 5.5 Coinduction Rules for Greatest Fixed Points

```

lemma weak-coinduct:  $\llbracket a: X; X \subseteq h(X); X \subseteq D \rrbracket \implies a \in \text{gfp}(D, h)$ 
by (blast intro: gfp-upperbound [THEN subsetD])

```

```

lemma coinduct-lemma:
   $\llbracket X \subseteq h(X \cup \text{gfp}(D, h)); X \subseteq D; \text{bnd-mono}(D, h) \rrbracket \implies$ 
   $X \cup \text{gfp}(D, h) \subseteq h(X \cup \text{gfp}(D, h))$ 
apply (erule Un-least)
apply (rule gfp-lemma2 [THEN subset-trans], assumption)
apply (rule Un-upper2 [THEN subset-trans])
apply (rule bnd-mono-Un, assumption+)
apply (rule gfp-subset)
done

```

```

lemma coinduct:
   $\llbracket \text{bnd-mono}(D, h); a: X; X \subseteq h(X \cup \text{gfp}(D, h)); X \subseteq D \rrbracket$ 
   $\implies a \in \text{gfp}(D, h)$ 
apply (rule weak-coinduct)
apply (erule-tac [2] coinduct-lemma)
apply (simp-all add: gfp-subset Un-subset-iff)
done

```

```

lemma def-coinduct:
   $\llbracket A \equiv \text{gfp}(D, h); \text{bnd-mono}(D, h); a: X; X \subseteq h(X \cup A); X \subseteq D \rrbracket \implies$ 
   $a \in A$ 
apply simp
apply (rule coinduct, assumption+)
done

```

```

lemma def-Collect-coinduct:
   $\llbracket A \equiv \text{gfp}(D, \lambda w. \text{Collect}(D, P(w))); \text{bnd-mono}(D, \lambda w. \text{Collect}(D, P(w)));$ 
     $a: X; X \subseteq D; \bigwedge z. z: X \implies P(X \cup A, z) \rrbracket \implies$ 
     $a \in A$ 
apply (rule def-coinduct, assumption+, blast+)
done

```

```

lemma gfp-mono:
   $\llbracket \text{bnd-mono}(D, h); D \subseteq E;$ 
     $\bigwedge X. X \leq D \implies h(X) \subseteq i(X) \rrbracket \implies \text{gfp}(D, h) \subseteq \text{gfp}(E, i)$ 
apply (rule gfp-upperbound)
apply (rule gfp-lemma2 [THEN subset-trans], assumption)
apply (blast del: subsetI intro: gfp-subset)
apply (blast del: subsetI intro: subset-trans gfp-subset)
done

end

```

## 6 Booleans in Zermelo-Fraenkel Set Theory

**theory** *Bool* **imports** *pair* **begin**

**abbreviation**  
*one*  $\langle 1 \rangle$  **where**  
 $1 \equiv \text{succ}(0)$

**abbreviation**  
*two*  $\langle 2 \rangle$  **where**  
 $2 \equiv \text{succ}(1)$

2 is equal to bool, but is used as a number rather than a type.

**definition** *bool*  $\equiv \{0, 1\}$

**definition** *cond*(*b, c, d*)  $\equiv \text{if}(b=1, c, d)$

**definition** *not*(*b*)  $\equiv \text{cond}(b, 0, 1)$

**definition**  
*and*  $:: [i, i] \Rightarrow i$  (**infixl**  $\langle \text{and} \rangle$  70) **where**  
 $a \text{ and } b \equiv \text{cond}(a, b, 0)$

**definition**  
*or*  $:: [i, i] \Rightarrow i$  (**infixl**  $\langle \text{or} \rangle$  65) **where**  
 $a \text{ or } b \equiv \text{cond}(a, 1, b)$

**definition**



$xor :: [i,i] \Rightarrow i$  (**infixl**  $\langle xor \rangle$  65) **where**  
 $a xor b \equiv cond(a, not(b), b)$

**lemmas**  $bool-defs = bool-def cond-def$

**lemma**  $singleton-0$ :  $\{0\} = 1$   
**by** ( $simp$   $add$ :  $succ-def$ )

**lemma**  $bool-1I$  [ $simp, TC$ ]:  $1 \in bool$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemma**  $bool-0I$  [ $simp, TC$ ]:  $0 \in bool$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemma**  $one-not-0$ :  $1 \neq 0$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemmas**  $one-neq-0 = one-not-0$  [ $THEN notE$ ]

**lemma**  $boolE$ :  
 $\llbracket c: bool; \ c=1 \Longrightarrow P; \ c=0 \Longrightarrow P \rrbracket \Longrightarrow P$   
**by** ( $simp$   $add$ :  $bool-defs, blast$ )

**lemma**  $cond-1$  [ $simp$ ]:  $cond(1, c, d) = c$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemma**  $cond-0$  [ $simp$ ]:  $cond(0, c, d) = d$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemma**  $cond-type$  [ $TC$ ]:  $\llbracket b: bool; \ c: A(1); \ d: A(0) \rrbracket \Longrightarrow cond(b, c, d): A(b)$   
**by** ( $simp$   $add$ :  $bool-defs, blast$ )

**lemma**  $cond-simple-type$ :  $\llbracket b: bool; \ c: A; \ d: A \rrbracket \Longrightarrow cond(b, c, d): A$   
**by** ( $simp$   $add$ :  $bool-defs$ )

**lemma**  $def-cond-1$ :  $\llbracket \bigwedge b. j(b) \equiv cond(b, c, d) \rrbracket \Longrightarrow j(1) = c$   
**by**  $simp$

**lemma**  $def-cond-0$ :  $\llbracket \bigwedge b. j(b) \equiv cond(b, c, d) \rrbracket \Longrightarrow j(0) = d$   
**by**  $simp$

**lemmas** *not-1* = *not-def* [*THEN def-cond-1, simp*]  
**lemmas** *not-0* = *not-def* [*THEN def-cond-0, simp*]  
  
**lemmas** *and-1* = *and-def* [*THEN def-cond-1, simp*]  
**lemmas** *and-0* = *and-def* [*THEN def-cond-0, simp*]  
  
**lemmas** *or-1* = *or-def* [*THEN def-cond-1, simp*]  
**lemmas** *or-0* = *or-def* [*THEN def-cond-0, simp*]  
  
**lemmas** *xor-1* = *xor-def* [*THEN def-cond-1, simp*]  
**lemmas** *xor-0* = *xor-def* [*THEN def-cond-0, simp*]  
  
**lemma** *not-type* [*TC*]:  $a:\text{bool} \implies \text{not}(a) \in \text{bool}$   
**by** (*simp add: not-def*)  
  
**lemma** *and-type* [*TC*]:  $\llbracket a:\text{bool}; b:\text{bool} \rrbracket \implies a \text{ and } b \in \text{bool}$   
**by** (*simp add: and-def*)  
  
**lemma** *or-type* [*TC*]:  $\llbracket a:\text{bool}; b:\text{bool} \rrbracket \implies a \text{ or } b \in \text{bool}$   
**by** (*simp add: or-def*)  
  
**lemma** *xor-type* [*TC*]:  $\llbracket a:\text{bool}; b:\text{bool} \rrbracket \implies a \text{ xor } b \in \text{bool}$   
**by** (*simp add: xor-def*)  
  
**lemmas** *bool-typechecks* = *bool-1I bool-0I cond-type not-type and-type*  
*or-type xor-type*

## 6.1 Laws About 'not'

**lemma** *not-not* [*simp*]:  $a:\text{bool} \implies \text{not}(\text{not}(a)) = a$   
**by** (*elim boolE, auto*)  
  
**lemma** *not-and* [*simp*]:  $a:\text{bool} \implies \text{not}(a \text{ and } b) = \text{not}(a) \text{ or } \text{not}(b)$   
**by** (*elim boolE, auto*)  
  
**lemma** *not-or* [*simp*]:  $a:\text{bool} \implies \text{not}(a \text{ or } b) = \text{not}(a) \text{ and } \text{not}(b)$   
**by** (*elim boolE, auto*)

## 6.2 Laws About 'and'

**lemma** *and-absorb* [*simp*]:  $a:\text{bool} \implies a \text{ and } a = a$   
**by** (*elim boolE, auto*)  
  
**lemma** *and-commute*:  $\llbracket a:\text{bool}; b:\text{bool} \rrbracket \implies a \text{ and } b = b \text{ and } a$   
**by** (*elim boolE, auto*)  
  
**lemma** *and-assoc*:  $a:\text{bool} \implies (a \text{ and } b) \text{ and } c = a \text{ and } (b \text{ and } c)$   
**by** (*elim boolE, auto*)

**lemma** *and-or-distrib*:  $\llbracket a: \text{bool}; b: \text{bool}; c: \text{bool} \rrbracket \implies$   
 $(a \text{ or } b) \text{ and } c = (a \text{ and } c) \text{ or } (b \text{ and } c)$   
**by** (*elim boolE*, *auto*)

### 6.3 Laws About 'or'

**lemma** *or-absorb* [*simp*]:  $a: \text{bool} \implies a \text{ or } a = a$   
**by** (*elim boolE*, *auto*)

**lemma** *or-commute*:  $\llbracket a: \text{bool}; b: \text{bool} \rrbracket \implies a \text{ or } b = b \text{ or } a$   
**by** (*elim boolE*, *auto*)

**lemma** *or-assoc*:  $a: \text{bool} \implies (a \text{ or } b) \text{ or } c = a \text{ or } (b \text{ or } c)$   
**by** (*elim boolE*, *auto*)

**lemma** *or-and-distrib*:  $\llbracket a: \text{bool}; b: \text{bool}; c: \text{bool} \rrbracket \implies$   
 $(a \text{ and } b) \text{ or } c = (a \text{ or } c) \text{ and } (b \text{ or } c)$   
**by** (*elim boolE*, *auto*)

#### definition

*bool-of-o* ::  $o \Rightarrow i$  **where**  
 $\text{bool-of-o}(P) \equiv (\text{if } P \text{ then } 1 \text{ else } 0)$

**lemma** [*simp*]:  $\text{bool-of-o}(\text{True}) = 1$   
**by** (*simp add: bool-of-o-def*)

**lemma** [*simp*]:  $\text{bool-of-o}(\text{False}) = 0$   
**by** (*simp add: bool-of-o-def*)

**lemma** [*simp, TC*]:  $\text{bool-of-o}(P) \in \text{bool}$   
**by** (*simp add: bool-of-o-def*)

**lemma** [*simp*]:  $(\text{bool-of-o}(P) = 1) \longleftrightarrow P$   
**by** (*simp add: bool-of-o-def*)

**lemma** [*simp*]:  $(\text{bool-of-o}(P) = 0) \longleftrightarrow \neg P$   
**by** (*simp add: bool-of-o-def*)

**end**

## 7 Disjoint Sums

**theory** *Sum* **imports** *Bool equalities* **begin**

And the "Part" primitive for simultaneous recursive type definitions

**definition** *sum* ::  $[i, i] \Rightarrow i$  (**infixr**  $\langle + \rangle$  65) **where**  
 $A + B \equiv \{0\} * A \cup \{1\} * B$

**definition**  $Inl :: i \Rightarrow i$  **where**

$$Inl(a) \equiv \langle 0, a \rangle$$

**definition**  $Inr :: i \Rightarrow i$  **where**

$$Inr(b) \equiv \langle 1, b \rangle$$

**definition**  $case :: [i \Rightarrow i, i \Rightarrow i, i] \Rightarrow i$  **where**

$$case(c, d) \equiv (\lambda \langle y, z \rangle. cond(y, d(z), c(z)))$$

**definition**  $Part :: [i, i \Rightarrow i] \Rightarrow i$  **where**

$$Part(A, h) \equiv \{x \in A. \exists z. x = h(z)\}$$

## 7.1 Rules for the *Part* Primitive

**lemma** *Part-iff*:

$$a \in Part(A, h) \longleftrightarrow a \in A \wedge (\exists y. a = h(y))$$

**unfolding** *Part-def*

**apply** (*rule separation*)

**done**

**lemma** *Part-eqI* [*intro*]:

$$\llbracket a \in A; a = h(b) \rrbracket \Longrightarrow a \in Part(A, h)$$

**by** (*unfold Part-def, blast*)

**lemmas** *PartI* = *refl* [*THEN* [2] *Part-eqI*]

**lemma** *PartE* [*elim!*]:

$$\llbracket a \in Part(A, h); \bigwedge z. \llbracket a \in A; a = h(z) \rrbracket \Longrightarrow P$$

$$\rrbracket \Longrightarrow P$$

**apply** (*unfold Part-def, blast*)

**done**

**lemma** *Part-subset*:  $Part(A, h) \subseteq A$

**unfolding** *Part-def*

**apply** (*rule Collect-subset*)

**done**

## 7.2 Rules for Disjoint Sums

**lemmas** *sum-defs* = *sum-def Inl-def Inr-def case-def*

**lemma** *Sigma-bool*:  $Sigma(bool, C) = C(0) + C(1)$

**by** (*unfold bool-def sum-def, blast*)

**lemma** *InlI* [*intro!, simp, TC*]:  $a \in A \Longrightarrow Inl(a) \in A+B$

**by** (*unfold sum-defs, blast*)

**lemma** *InrI* [*intro!*,*simp*,*TC*]:  $b \in B \implies \text{Inr}(b) \in A+B$   
**by** (*unfold sum-defs*, *blast*)

**lemma** *sumE* [*elim!*]:  
 $\llbracket u \in A+B;$   
 $\quad \bigwedge x. \llbracket x \in A; \ u=\text{Inl}(x) \rrbracket \implies P;$   
 $\quad \bigwedge y. \llbracket y \in B; \ u=\text{Inr}(y) \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*unfold sum-defs*, *blast*)

**lemma** *Inl-iff* [*iff*]:  $\text{Inl}(a)=\text{Inl}(b) \longleftrightarrow a=b$   
**by** (*simp add: sum-defs*)

**lemma** *Inr-iff* [*iff*]:  $\text{Inr}(a)=\text{Inr}(b) \longleftrightarrow a=b$   
**by** (*simp add: sum-defs*)

**lemma** *Inl-Inr-iff* [*simp*]:  $\text{Inl}(a)=\text{Inr}(b) \longleftrightarrow \text{False}$   
**by** (*simp add: sum-defs*)

**lemma** *Inr-Inl-iff* [*simp*]:  $\text{Inr}(b)=\text{Inl}(a) \longleftrightarrow \text{False}$   
**by** (*simp add: sum-defs*)

**lemma** *sum-empty* [*simp*]:  $0+0 = 0$   
**by** (*simp add: sum-defs*)

**lemmas** *Inl-inject* = *Inl-iff* [*THEN iffD1*]  
**lemmas** *Inr-inject* = *Inr-iff* [*THEN iffD1*]  
**lemmas** *Inl-neq-Inr* = *Inl-Inr-iff* [*THEN iffD1*, *THEN FalseE*, *elim!*]  
**lemmas** *Inr-neq-Inl* = *Inr-Inl-iff* [*THEN iffD1*, *THEN FalseE*, *elim!*]

**lemma** *InlD*:  $\text{Inl}(a): A+B \implies a \in A$   
**by** *blast*

**lemma** *InrD*:  $\text{Inr}(b): A+B \implies b \in B$   
**by** *blast*

**lemma** *sum-iff*:  $u \in A+B \longleftrightarrow (\exists x. x \in A \wedge u=\text{Inl}(x)) \mid (\exists y. y \in B \wedge u=\text{Inr}(y))$   
**by** *blast*

**lemma** *Inl-in-sum-iff* [*simp*]:  $(\text{Inl}(x) \in A+B) \longleftrightarrow (x \in A)$   
**by** *auto*

**lemma** *Inr-in-sum-iff* [simp]:  $(\text{Inr}(y) \in A+B) \longleftrightarrow (y \in B)$   
**by** *auto*

**lemma** *sum-subset-iff*:  $A+B \subseteq C+D \longleftrightarrow A \leq C \wedge B \leq D$   
**by** *blast*

**lemma** *sum-equal-iff*:  $A+B = C+D \longleftrightarrow A=C \wedge B=D$   
**by** (*simp add: extension sum-subset-iff, blast*)

**lemma** *sum-eq-2-times*:  $A+A = 2*A$   
**by** (*simp add: sum-def, blast*)

### 7.3 The Eliminator: *case*

**lemma** *case-Inl* [simp]:  $\text{case}(c, d, \text{Inl}(a)) = c(a)$   
**by** (*simp add: sum-defs*)

**lemma** *case-Inr* [simp]:  $\text{case}(c, d, \text{Inr}(b)) = d(b)$   
**by** (*simp add: sum-defs*)

**lemma** *case-type* [TC]:  

$$\begin{aligned} & \llbracket u \in A+B; \\ & \quad \bigwedge x. x \in A \implies c(x): C(\text{Inl}(x)); \\ & \quad \bigwedge y. y \in B \implies d(y): C(\text{Inr}(y)) \\ & \rrbracket \implies \text{case}(c, d, u) \in C(u) \end{aligned}$$
  
**by** *auto*

**lemma** *expand-case*:  $u \in A+B \implies$   

$$\begin{aligned} & R(\text{case}(c, d, u)) \longleftrightarrow \\ & ((\forall x \in A. u = \text{Inl}(x) \longrightarrow R(c(x))) \wedge \\ & (\forall y \in B. u = \text{Inr}(y) \longrightarrow R(d(y)))) \end{aligned}$$
  
**by** *auto*

**lemma** *case-cong*:  

$$\begin{aligned} & \llbracket z \in A+B; \\ & \quad \bigwedge x. x \in A \implies c(x)=c'(x); \\ & \quad \bigwedge y. y \in B \implies d(y)=d'(y) \\ & \rrbracket \implies \text{case}(c, d, z) = \text{case}(c', d', z) \end{aligned}$$
  
**by** *auto*

**lemma** *case-case*:  $z \in A+B \implies$   

$$\begin{aligned} & \text{case}(c, d, \text{case}(\lambda x. \text{Inl}(c'(x)), \lambda y. \text{Inr}(d'(y)), z)) = \\ & \text{case}(\lambda x. c'(x), \lambda y. d'(y), z) \end{aligned}$$
  
**by** *auto*

### 7.4 More Rules for $\text{Part}(A, h)$

**lemma** *Part-mono*:  $A \leq B \implies \text{Part}(A, h) \leq \text{Part}(B, h)$   
**by** *blast*

**lemma** *Part-Collect*:  $\text{Part}(\text{Collect}(A,P), h) = \text{Collect}(\text{Part}(A,h), P)$   
**by** *blast*

**lemmas** *Part-CollectE* =  
 $\text{Part-Collect } [\text{THEN equalityD1}, \text{ THEN subsetD}, \text{ THEN CollectE}]$

**lemma** *Part-Inl*:  $\text{Part}(A+B, \text{Inl}) = \{\text{Inl}(x). x \in A\}$   
**by** *blast*

**lemma** *Part-Inr*:  $\text{Part}(A+B, \text{Inr}) = \{\text{Inr}(y). y \in B\}$   
**by** *blast*

**lemma** *PartD1*:  $a \in \text{Part}(A,h) \implies a \in A$   
**by** (*simp add: Part-def*)

**lemma** *Part-id*:  $\text{Part}(A, \lambda x. x) = A$   
**by** *blast*

**lemma** *Part-Inr2*:  $\text{Part}(A+B, \lambda x. \text{Inr}(h(x))) = \{\text{Inr}(y). y \in \text{Part}(B,h)\}$   
**by** *blast*

**lemma** *Part-sum-equality*:  $C \subseteq A+B \implies \text{Part}(C, \text{Inl}) \cup \text{Part}(C, \text{Inr}) = C$   
**by** *blast*

**end**

## 8 Functions, Function Spaces, Lambda-Abstraction

**theory** *func* **imports** *equalities Sum* **begin**

### 8.1 The Pi Operator: Dependent Function Space

**lemma** *subset-Sigma-imp-relation*:  $r \subseteq \text{Sigma}(A,B) \implies \text{relation}(r)$   
**by** (*simp add: relation-def, blast*)

**lemma** *relation-converse-converse* [*simp*]:  
 $\text{relation}(r) \implies \text{converse}(\text{converse}(r)) = r$   
**by** (*simp add: relation-def, blast*)

**lemma** *relation-restrict* [*simp*]:  $\text{relation}(\text{restrict}(r,A))$   
**by** (*simp add: restrict-def relation-def, blast*)

**lemma** *Pi-iff*:  
 $f \in \text{Pi}(A,B) \iff \text{function}(f) \wedge f \leq \text{Sigma}(A,B) \wedge A \leq \text{domain}(f)$   
**by** (*unfold Pi-def, blast*)

**lemma** *Pi-iff-old*:  
 $f \in \text{Pi}(A,B) \iff f \leq \text{Sigma}(A,B) \wedge (\forall x \in A. \exists! y. \langle x, y \rangle: f)$

**by** (*unfold Pi-def function-def, blast*)

**lemma** *fun-is-function*:  $f \in Pi(A,B) \implies function(f)$   
**by** (*simp only: Pi-iff*)

**lemma** *function-imp-Pi*:  
 $\llbracket function(f); relation(f) \rrbracket \implies f \in domain(f) \rightarrow range(f)$   
**by** (*simp add: Pi-iff relation-def, blast*)

**lemma** *functionI*:  
 $\llbracket \bigwedge x y y'. \llbracket \langle x,y \rangle : r; \langle x,y' \rangle : r \rrbracket \implies y=y' \rrbracket \implies function(r)$   
**by** (*simp add: function-def, blast*)

**lemma** *fun-is-rel*:  $f \in Pi(A,B) \implies f \subseteq Sigma(A,B)$   
**by** (*unfold Pi-def, blast*)

**lemma** *Pi-cong*:  
 $\llbracket A=A'; \bigwedge x. x \in A' \implies B(x)=B'(x) \rrbracket \implies Pi(A,B) = Pi(A',B')$   
**by** (*simp add: Pi-def cong add: Sigma-cong*)

**lemma** *fun-weaken-type*:  $\llbracket f \in A \rightarrow B; B \subseteq D \rrbracket \implies f \in A \rightarrow D$   
**by** (*unfold Pi-def, best*)

## 8.2 Function Application

**lemma** *apply-equality2*:  $\llbracket \langle a,b \rangle : f; \langle a,c \rangle : f; f \in Pi(A,B) \rrbracket \implies b=c$   
**by** (*unfold Pi-def function-def, blast*)

**lemma** *function-apply-equality*:  $\llbracket \langle a,b \rangle : f; function(f) \rrbracket \implies f'a = b$   
**by** (*unfold apply-def function-def, blast*)

**lemma** *apply-equality*:  $\llbracket \langle a,b \rangle : f; f \in Pi(A,B) \rrbracket \implies f'a = b$   
**unfolding** *Pi-def*  
**apply** (*blast intro: function-apply-equality*)  
**done**

**lemma** *apply-0*:  $a \notin domain(f) \implies f'a = 0$   
**by** (*unfold apply-def, blast*)

**lemma** *Pi-memberD*:  $\llbracket f \in Pi(A,B); c \in f \rrbracket \implies \exists x \in A. c = \langle x, f'x \rangle$   
**apply** (*frule fun-is-rel*)  
**apply** (*blast dest: apply-equality*)  
**done**



**lemma** *function-apply-Pair*:  $\llbracket \text{function}(f); a \in \text{domain}(f) \rrbracket \implies \langle a, f'a \rangle: f$   
**apply** (*simp add: function-def, clarify*)  
**apply** (*subgoal-tac f'a = y, blast*)  
**apply** (*simp add: apply-def, blast*)  
**done**

**lemma** *apply-Pair*:  $\llbracket f \in \text{Pi}(A, B); a \in A \rrbracket \implies \langle a, f'a \rangle: f$   
**apply** (*simp add: Pi-iff*)  
**apply** (*blast intro: function-apply-Pair*)  
**done**

**lemma** *apply-type [TC]*:  $\llbracket f \in \text{Pi}(A, B); a \in A \rrbracket \implies f'a \in B(a)$   
**by** (*blast intro: apply-Pair dest: fun-is-rel*)

**lemma** *apply-funtype*:  $\llbracket f \in A \multimap B; a \in A \rrbracket \implies f'a \in B$   
**by** (*blast dest: apply-type*)

**lemma** *apply-iff*:  $f \in \text{Pi}(A, B) \implies \langle a, b \rangle: f \longleftrightarrow a \in A \wedge f'a = b$   
**apply** (*frule fun-is-rel*)  
**apply** (*blast intro!: apply-Pair apply-equality*)  
**done**

**lemma** *Pi-type*:  $\llbracket f \in \text{Pi}(A, C); \bigwedge x. x \in A \implies f'x \in B(x) \rrbracket \implies f \in \text{Pi}(A, B)$   
**apply** (*simp only: Pi-iff*)  
**apply** (*blast dest: function-apply-equality*)  
**done**

**lemma** *Pi-Collect-iff*:  
 $(f \in \text{Pi}(A, \lambda x. \{y \in B(x). P(x, y)\}))$   
 $\longleftrightarrow f \in \text{Pi}(A, B) \wedge (\forall x \in A. P(x, f'x))$   
**by** (*blast intro: Pi-type dest: apply-type*)

**lemma** *Pi-weaken-type*:  
 $\llbracket f \in \text{Pi}(A, B); \bigwedge x. x \in A \implies B(x) \leq C(x) \rrbracket \implies f \in \text{Pi}(A, C)$   
**by** (*blast intro: Pi-type dest: apply-type*)

**lemma** *domain-type*:  $\llbracket \langle a, b \rangle \in f; f \in \text{Pi}(A, B) \rrbracket \implies a \in A$   
**by** (*blast dest: fun-is-rel*)

**lemma** *range-type*:  $\llbracket \langle a, b \rangle \in f; f \in \text{Pi}(A, B) \rrbracket \implies b \in B(a)$   
**by** (*blast dest: fun-is-rel*)

**lemma** *Pair-mem-PiD*:  $\llbracket \langle a, b \rangle : f; f \in \text{Pi}(A, B) \rrbracket \implies a \in A \wedge b \in B(a) \wedge f'a = b$   
**by** (*blast intro: domain-type range-type apply-equality*)

### 8.3 Lambda Abstraction

**lemma** *lamI*:  $a \in A \implies \langle a, b(a) \rangle \in (\lambda x \in A. b(x))$   
**unfolding** *lam-def*  
**apply** (*erule RepFunI*)  
**done**

**lemma** *lamE*:  
 $\llbracket p: (\lambda x \in A. b(x)); \bigwedge x. \llbracket x \in A; p = \langle x, b(x) \rangle \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*simp add: lam-def, blast*)

**lemma** *lamD*:  $\llbracket \langle a, c \rangle : (\lambda x \in A. b(x)) \rrbracket \implies c = b(a)$   
**by** (*simp add: lam-def*)

**lemma** *lam-type [TC]*:  
 $\llbracket \bigwedge x. x \in A \implies b(x) : B(x) \rrbracket \implies (\lambda x \in A. b(x)) \in \text{Pi}(A, B)$   
**by** (*simp add: lam-def Pi-def function-def, blast*)

**lemma** *lam-funtype*:  $(\lambda x \in A. b(x)) \in A \multimap \{b(x). x \in A\}$   
**by** (*blast intro: lam-type*)

**lemma** *function-lam*: *function*  $(\lambda x \in A. b(x))$   
**by** (*simp add: function-def lam-def*)

**lemma** *relation-lam*: *relation*  $(\lambda x \in A. b(x))$   
**by** (*simp add: relation-def lam-def*)

**lemma** *beta-if [simp]*:  $(\lambda x \in A. b(x)) \text{ ' } a = (\text{if } a \in A \text{ then } b(a) \text{ else } 0)$   
**by** (*simp add: apply-def lam-def, blast*)

**lemma** *beta*:  $a \in A \implies (\lambda x \in A. b(x)) \text{ ' } a = b(a)$   
**by** (*simp add: apply-def lam-def, blast*)

**lemma** *lam-empty [simp]*:  $(\lambda x \in 0. b(x)) = 0$   
**by** (*simp add: lam-def*)

**lemma** *domain-lam [simp]*:  $\text{domain}(\text{Lambda}(A, b)) = A$   
**by** (*simp add: lam-def, blast*)

**lemma** *lam-cong [cong]*:  
 $\llbracket A = A'; \bigwedge x. x \in A' \implies b(x) = b'(x) \rrbracket \implies \text{Lambda}(A, b) = \text{Lambda}(A', b')$   
**by** (*simp only: lam-def cong add: RepFun-cong*)

**lemma** *lam-theI*:

$(\bigwedge x. x \in A \implies \exists! y. Q(x,y)) \implies \exists f. \forall x \in A. Q(x, f'x)$   
**apply** (*rule-tac*  $x = \lambda x \in A. \text{THE } y. Q(x,y)$  **in** *exI*)  
**apply** *simp*  
**apply** (*blast intro: theI*)  
**done**

**lemma** *lam-eqE*:  $\llbracket (\lambda x \in A. f(x)) = (\lambda x \in A. g(x)); a \in A \rrbracket \implies f(a)=g(a)$   
**by** (*fast intro!: lamI elim: equalityE lamE*)

**lemma** *Pi-empty1* [*simp*]:  $Pi(0,A) = \{0\}$   
**by** (*unfold Pi-def function-def, blast*)

**lemma** *singleton-fun* [*simp*]:  $\{\langle a,b \rangle\} \in \{a\} \rightarrow \{b\}$   
**by** (*unfold Pi-def function-def, blast*)

**lemma** *Pi-empty2* [*simp*]:  $(A \rightarrow 0) = (\text{if } A=0 \text{ then } \{0\} \text{ else } 0)$   
**by** (*unfold Pi-def function-def, force*)

**lemma** *fun-space-empty-iff* [*iff*]:  $(A \rightarrow X)=0 \longleftrightarrow X=0 \wedge (A \neq 0)$   
**apply** *auto*  
**apply** (*fast intro!: equals0I intro: lam-type*)  
**done**

## 8.4 Extensionality

**lemma** *fun-subset*:  
 $\llbracket f \in Pi(A,B); g \in Pi(C,D); A \leq C; \bigwedge x. x \in A \implies f'x = g'x \rrbracket \implies f \leq g$   
**by** (*force dest: Pi-memberD intro: apply-Pair*)

**lemma** *fun-extension*:  
 $\llbracket f \in Pi(A,B); g \in Pi(A,D); \bigwedge x. x \in A \implies f'x = g'x \rrbracket \implies f=g$   
**by** (*blast del: subsetI intro: subset-refl sym fun-subset*)

**lemma** *eta* [*simp*]:  $f \in Pi(A,B) \implies (\lambda x \in A. f'x) = f$   
**apply** (*rule fun-extension*)  
**apply** (*auto simp add: lam-type apply-type beta*)  
**done**

**lemma** *fun-extension-iff*:  
 $\llbracket f \in Pi(A,B); g \in Pi(A,C) \rrbracket \implies (\forall a \in A. f'a = g'a) \longleftrightarrow f=g$   
**by** (*blast intro: fun-extension*)

**lemma** *fun-subset-eq*:  $\llbracket f \in Pi(A,B); g \in Pi(A,C) \rrbracket \implies f \subseteq g \longleftrightarrow (f = g)$

by (blast dest: apply-Pair  
intro: fun-extension apply-equality [symmetric])

lemma Pi-lamE:  
 assumes major:  $f \in Pi(A,B)$   
 and minor:  $\bigwedge b. \llbracket \forall x \in A. b(x):B(x); f = (\lambda x \in A. b(x)) \rrbracket \implies P$   
 shows  $P$   
 apply (rule minor)  
 apply (rule-tac [2] eta [symmetric])  
 apply (blast intro: major apply-type)+  
 done

## 8.5 Images of Functions

lemma image-lam:  $C \subseteq A \implies (\lambda x \in A. b(x)) \text{ `` } C = \{b(x). x \in C\}$   
 by (unfold lam-def, blast)

lemma Repfun-function-if:  
 $function(f)$   
 $\implies \{f'x. x \in C\} = (if\ C \subseteq domain(f)\ then\ f''C\ else\ cons(0, f''C))$   
 apply simp  
 apply (intro conjI impI)  
 apply (blast dest: function-apply-equality intro: function-apply-Pair)  
 apply (rule equalityI)  
 apply (blast intro!: function-apply-Pair apply-0)  
 apply (blast dest: function-apply-equality intro: apply-0 [symmetric])  
 done

lemma image-function:  
 $\llbracket function(f); C \subseteq domain(f) \rrbracket \implies f''C = \{f'x. x \in C\}$   
 by (simp add: Repfun-function-if)

lemma image-fun:  $\llbracket f \in Pi(A,B); C \subseteq A \rrbracket \implies f''C = \{f'x. x \in C\}$   
 apply (simp add: Pi-iff)  
 apply (blast intro: image-function)  
 done

lemma image-eq-UN:  
 assumes  $f: f \in Pi(A,B)$   $C \subseteq A$  shows  $f''C = (\bigcup x \in C. \{f'x\})$   
 by (auto simp add: image-fun [OF f])

lemma Pi-image-cons:  
 $\llbracket f \in Pi(A,B); x \in A \rrbracket \implies f \text{ `` } cons(x,y) = cons(f'x, f''y)$   
 by (blast dest: apply-equality apply-Pair)

## 8.6 Properties of $\text{restrict}(f, A)$

**lemma** *restrict-subset*:  $\text{restrict}(f, A) \subseteq f$   
**by** (*unfold restrict-def, blast*)

**lemma** *function-restrictI*:  
 $\text{function}(f) \implies \text{function}(\text{restrict}(f, A))$   
**by** (*unfold restrict-def function-def, blast*)

**lemma** *restrict-type2*:  $\llbracket f \in \text{Pi}(C, B); A \leq C \rrbracket \implies \text{restrict}(f, A) \in \text{Pi}(A, B)$   
**by** (*simp add: Pi-iff function-def restrict-def, blast*)

**lemma** *restrict*:  $\text{restrict}(f, A) \text{ ' } a = (\text{if } a \in A \text{ then } f'a \text{ else } 0)$   
**by** (*simp add: apply-def restrict-def, blast*)

**lemma** *restrict-empty* [*simp*]:  $\text{restrict}(f, 0) = 0$   
**by** (*unfold restrict-def, simp*)

**lemma** *restrict-iff*:  $z \in \text{restrict}(r, A) \longleftrightarrow z \in r \wedge (\exists x \in A. \exists y. z = \langle x, y \rangle)$   
**by** (*simp add: restrict-def*)

**lemma** *restrict-restrict* [*simp*]:  
 $\text{restrict}(\text{restrict}(r, A), B) = \text{restrict}(r, A \cap B)$   
**by** (*unfold restrict-def, blast*)

**lemma** *domain-restrict* [*simp*]:  $\text{domain}(\text{restrict}(f, C)) = \text{domain}(f) \cap C$   
**unfolding** *restrict-def*  
**apply** (*auto simp add: domain-def*)  
**done**

**lemma** *restrict-idem*:  $f \subseteq \text{Sigma}(A, B) \implies \text{restrict}(f, A) = f$   
**by** (*simp add: restrict-def, blast*)

**lemma** *domain-restrict-idem*:  
 $\llbracket \text{domain}(r) \subseteq A; \text{relation}(r) \rrbracket \implies \text{restrict}(r, A) = r$   
**by** (*simp add: restrict-def relation-def, blast*)

**lemma** *domain-restrict-lam* [*simp*]:  $\text{domain}(\text{restrict}(\text{Lambda}(A, f), C)) = A \cap C$   
**unfolding** *restrict-def lam-def*  
**apply** (*rule equalityI*)  
**apply** (*auto simp add: domain-iff*)  
**done**

**lemma** *restrict-if* [*simp*]:  $\text{restrict}(f, A) \text{ ' } a = (\text{if } a \in A \text{ then } f'a \text{ else } 0)$   
**by** (*simp add: restrict apply-0*)

**lemma** *restrict-lam-eq*:  
 $A \leq C \implies \text{restrict}(\lambda x \in C. b(x), A) = (\lambda x \in A. b(x))$

**by** (*unfold restrict-def lam-def, auto*)

**lemma** *fun-cons-restrict-eq*:

$f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f \cdot a \rangle, \text{restrict}(f, b))$

**apply** (*rule equalityI*)

**prefer** 2 **apply** (*blast intro: apply-Pair restrict-subset [THEN subsetD]*)

**apply** (*auto dest!: Pi-memberD simp add: restrict-def lam-def*)

**done**

## 8.7 Unions of Functions

**lemma** *function-Union*:

$\llbracket \forall x \in S. \text{function}(x);$   
 $\forall x \in S. \forall y \in S. x \leq y \mid y \leq x \rrbracket$   
 $\implies \text{function}(\bigcup(S))$

**by** (*unfold function-def, blast*)

**lemma** *fun-Union*:

$\llbracket \forall f \in S. \exists C D. f \in C \rightarrow D;$   
 $\forall f \in S. \forall y \in S. f \leq y \mid y \leq f \rrbracket \implies$   
 $\bigcup(S) \in \text{domain}(\bigcup(S)) \rightarrow \text{range}(\bigcup(S))$

**unfolding** *Pi-def*

**apply** (*blast intro!: rel-Union function-Union*)

**done**

**lemma** *gen-relation-Union*:

$(\bigwedge f. f \in F \implies \text{relation}(f)) \implies \text{relation}(\bigcup(F))$

**by** (*simp add: relation-def*)

**lemmas** *Un-rls = Un-subset-iff SUM-Un-distrib1 prod-Un-distrib2*

*subset-trans [OF - Un-upper1]*

*subset-trans [OF - Un-upper2]*

**lemma** *fun-disjoint-Un*:

$\llbracket f \in A \rightarrow B; g \in C \rightarrow D; A \cap C = \emptyset \rrbracket$   
 $\implies (f \cup g) \in (A \cup C) \rightarrow (B \cup D)$

**apply** (*simp add: Pi-iff extension Un-rls*)

**apply** (*unfold function-def, blast*)

**done**

**lemma** *fun-disjoint-apply1*:  $a \notin \text{domain}(g) \implies (f \cup g) \cdot a = f \cdot a$

**by** (*simp add: apply-def, blast*)

**lemma** *fun-disjoint-apply2*:  $c \notin \text{domain}(f) \implies (f \cup g) \cdot c = g \cdot c$

**by** (*simp add: apply-def, blast*)

## 8.8 Domain and Range of a Function or Relation

**lemma** *domain-of-fun*:  $f \in Pi(A,B) \implies domain(f)=A$   
**by** (*unfold Pi-def*, *blast*)

**lemma** *apply-rangeI*:  $\llbracket f \in Pi(A,B); a \in A \rrbracket \implies f'a \in range(f)$   
**by** (*erule apply-Pair [THEN rangeI]*, *assumption*)

**lemma** *range-of-fun*:  $f \in Pi(A,B) \implies f \in A \rightarrow range(f)$   
**by** (*blast intro: Pi-type apply-rangeI*)

## 8.9 Extensions of Functions

**lemma** *fun-extend*:

$\llbracket f \in A \rightarrow B; c \notin A \rrbracket \implies cons(\langle c,b \rangle, f) \in cons(c,A) \rightarrow cons(b,B)$   
**apply** (*frule singleton-fun [THEN fun-disjoint-Un]*, *blast*)  
**apply** (*simp add: cons-eq*)  
**done**

**lemma** *fun-extend3*:

$\llbracket f \in A \rightarrow B; c \notin A; b \in B \rrbracket \implies cons(\langle c,b \rangle, f) \in cons(c,A) \rightarrow B$   
**by** (*blast intro: fun-extend [THEN fun-weaken-type]*)

**lemma** *extend-apply*:

$c \notin domain(f) \implies cons(\langle c,b \rangle, f)'a = (if\ a=c\ then\ b\ else\ f'a)$   
**by** (*auto simp add: apply-def*)

**lemma** *fun-extend-apply* [*simp*]:

$\llbracket f \in A \rightarrow B; c \notin A \rrbracket \implies cons(\langle c,b \rangle, f)'a = (if\ a=c\ then\ b\ else\ f'a)$   
**apply** (*rule extend-apply*)  
**apply** (*simp add: Pi-def*, *blast*)  
**done**

**lemmas** *singleton-apply = apply-equality* [*OF singletonI singleton-fun*, *simp*]

**lemma** *cons-fun-eq*:

$c \notin A \implies cons(c,A) \rightarrow B = (\bigcup f \in A \rightarrow B. \bigcup b \in B. \{cons(\langle c,b \rangle, f)\})$   
**apply** (*rule equalityI*)  
**apply** (*safe elim!: fun-extend3*)

**apply** (*subgoal-tac restrict (x, A) \in A \rightarrow B*)

**prefer 2** **apply** (*blast intro: restrict-type2*)

**apply** (*rule UN-I*, *assumption*)

**apply** (*rule apply-funtype [THEN UN-I]*)

**apply** *assumption*

**apply** (*rule consI1*)

**apply** (*simp (no-asm)*)

**apply** (*rule fun-extension*)

**apply** *assumption*

```

  apply (blast intro: fun-extend)
apply (erule consE, simp-all)
done

```

```

lemma succ-fun-eq: succ(n) -> B = (∪ f ∈ n->B. ∪ b ∈ B. {cons(⟨n,b⟩, f)})
by (simp add: succ-def mem-not-refl cons-fun-eq)

```

## 8.10 Function Updates

**definition**

```

  update :: [i,i,i] ⇒ i where
    update(f,a,b) ≡ λx ∈ cons(a, domain(f)). if(x=a, b, f x)

```

**nonterminal** updbinds and updbind

**syntax**

```

  -updbind    :: [i, i] ⇒ updbind  (⟨⟨indent=2 notation=⟨infix update⟩⟩- := / -⟩)
              :: updbind ⇒ updbinds (⟨-⟩)
  -updbinds   :: [updbind, updbinds] ⇒ updbinds (⟨-, / -⟩)
  -Update     :: [i, updbinds] ⇒ i  (⟨⟨open-block notation=⟨mixfix function up-
date⟩⟩- /'((-)')⟩ [900,0] 900)

```

**syntax-consts**

```

  -Update ⇔ update

```

**translations**

```

  -Update (f, -updbinds(b,bs)) == -Update (-Update(f,b), bs)
  f(x:=y)                       == CONST update(f,x,y)

```

```

lemma update-apply [simp]: f(x:=y) ‘ z = (if z=x then y else f z)
apply (simp add: update-def)
apply (case-tac z ∈ domain(f))
apply (simp-all add: apply-0)
done

```

```

lemma update-idem: [f x = y; f ∈ Pi(A,B); x ∈ A] ⇒ f(x:=y) = f
  unfolding update-def
apply (simp add: domain-of-fun cons-absorb)
apply (rule fun-extension)
apply (best intro: apply-type if-type lam-type, assumption, simp)
done

```

```

declare refl [THEN update-idem, simp]

```

```

lemma domain-update [simp]: domain(f(x:=y)) = cons(x, domain(f))
by (unfold update-def, simp)

```

```

lemma update-type: [f ∈ Pi(A,B); x ∈ A; y ∈ B(x)] ⇒ f(x:=y) ∈ Pi(A, B)
  unfolding update-def

```



**apply** (*simp add: domain-of-fun cons-absorb apply-funtype lam-type*)  
**done**

## 8.11 Monotonicity Theorems

### 8.11.1 Replacement in its Various Forms

**lemma** *Replace-mono*:  $A \leq B \implies \text{Replace}(A, P) \subseteq \text{Replace}(B, P)$   
**by** (*blast elim!: ReplaceE*)

**lemma** *RepFun-mono*:  $A \leq B \implies \{f(x). x \in A\} \subseteq \{f(x). x \in B\}$   
**by** *blast*

**lemma** *Pow-mono*:  $A \leq B \implies \text{Pow}(A) \subseteq \text{Pow}(B)$   
**by** *blast*

**lemma** *Union-mono*:  $A \leq B \implies \bigcup(A) \subseteq \bigcup(B)$   
**by** *blast*

**lemma** *UN-mono*:  
 $\llbracket A \leq C; \bigwedge x. x \in A \implies B(x) \leq D(x) \rrbracket \implies (\bigcup_{x \in A} B(x)) \subseteq (\bigcup_{x \in C} D(x))$   
**by** *blast*

**lemma** *Inter-anti-mono*:  $\llbracket A \leq B; A \neq \emptyset \rrbracket \implies \bigcap(B) \subseteq \bigcap(A)$   
**by** *blast*

**lemma** *cons-mono*:  $C \leq D \implies \text{cons}(a, C) \subseteq \text{cons}(a, D)$   
**by** *blast*

**lemma** *Un-mono*:  $\llbracket A \leq C; B \leq D \rrbracket \implies A \cup B \subseteq C \cup D$   
**by** *blast*

**lemma** *Int-mono*:  $\llbracket A \leq C; B \leq D \rrbracket \implies A \cap B \subseteq C \cap D$   
**by** *blast*

**lemma** *Diff-mono*:  $\llbracket A \leq C; D \leq B \rrbracket \implies A - B \subseteq C - D$   
**by** *blast*

### 8.11.2 Standard Products, Sums and Function Spaces

**lemma** *Sigma-mono* [*rule-format*]:  
 $\llbracket A \leq C; \bigwedge x. x \in A \implies B(x) \subseteq D(x) \rrbracket \implies \text{Sigma}(A, B) \subseteq \text{Sigma}(C, D)$   
**by** *blast*

**lemma** *sum-mono*:  $\llbracket A \leq C; B \leq D \rrbracket \implies A + B \subseteq C + D$   
**by** (*unfold sum-def, blast*)

**lemma** *Pi-mono*:  $B \leq C \implies A \multimap B \subseteq A \multimap C$

**by** (*blast intro: lam-type elim: Pi-lamE*)

**lemma** *lam-mono*:  $A \leq B \implies \text{Lambda}(A, c) \subseteq \text{Lambda}(B, c)$   
**unfolding** *lam-def*  
**apply** (*erule RepFun-mono*)  
**done**

### 8.11.3 Converse, Domain, Range, Field

**lemma** *converse-mono*:  $r \leq s \implies \text{converse}(r) \subseteq \text{converse}(s)$   
**by** *blast*

**lemma** *domain-mono*:  $r \leq s \implies \text{domain}(r) \leq \text{domain}(s)$   
**by** *blast*

**lemmas** *domain-rel-subset* = *subset-trans* [*OF domain-mono domain-subset*]

**lemma** *range-mono*:  $r \leq s \implies \text{range}(r) \leq \text{range}(s)$   
**by** *blast*

**lemmas** *range-rel-subset* = *subset-trans* [*OF range-mono range-subset*]

**lemma** *field-mono*:  $r \leq s \implies \text{field}(r) \leq \text{field}(s)$   
**by** *blast*

**lemma** *field-rel-subset*:  $r \subseteq A * A \implies \text{field}(r) \subseteq A$   
**by** (*erule field-mono* [*THEN subset-trans*], *blast*)

### 8.11.4 Images

**lemma** *image-pair-mono*:  
 $\llbracket \bigwedge x y. \langle x, y \rangle : r \implies \langle x, y \rangle : s; \ A \leq B \rrbracket \implies r^{``}A \subseteq s^{``}B$   
**by** *blast*

**lemma** *vimage-pair-mono*:  
 $\llbracket \bigwedge x y. \langle x, y \rangle : r \implies \langle x, y \rangle : s; \ A \leq B \rrbracket \implies r^{-``}A \subseteq s^{-``}B$   
**by** *blast*

**lemma** *image-mono*:  $\llbracket r \leq s; \ A \leq B \rrbracket \implies r^{``}A \subseteq s^{``}B$   
**by** *blast*

**lemma** *vimage-mono*:  $\llbracket r \leq s; \ A \leq B \rrbracket \implies r^{-``}A \subseteq s^{-``}B$   
**by** *blast*

**lemma** *Collect-mono*:  
 $\llbracket A \leq B; \ \bigwedge x. x \in A \implies P(x) \longrightarrow Q(x) \rrbracket \implies \text{Collect}(A, P) \subseteq \text{Collect}(B, Q)$   
**by** *blast*

**lemmas** *basic-monos* = *subset-refl imp-refl disj-mono conj-mono ex-mono*

```

lemma bex-image-simp:
   $\llbracket f \in Pi(X, Y); A \subseteq X \rrbracket \implies (\exists x \in f^{-1} A. P(x)) \longleftrightarrow (\exists x \in A. P(f'x))$ 
apply safe
apply rule
prefer 2 apply assumption
apply (simp add: apply-equality)
apply (blast intro: apply-Pair)
done

lemma ball-image-simp:
   $\llbracket f \in Pi(X, Y); A \subseteq X \rrbracket \implies (\forall x \in f^{-1} A. P(x)) \longleftrightarrow (\forall x \in A. P(f'x))$ 
apply safe
apply (blast intro: apply-Pair)
apply (drule bspec) apply assumption
apply (simp add: apply-equality)
done

end

```

## 9 Quine-Inspired Ordered Pairs and Disjoint Sums

**theory** *QPair* **imports** *Sum func* **begin**

For non-well-founded data structures in ZF. Does not precisely follow Quine's construction. Thanks to Thomas Forster for suggesting this approach!

W. V. Quine, On Ordered Pairs and Relations, in Selected Logic Papers, 1966.

**definition**

```

QPair    ::  $[i, i] \Rightarrow i$  ( $\langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix Quine pair} \rangle \rangle \langle -; / - \rangle \rangle$ )
where  $\langle a; b \rangle \equiv a + b$ 

```

**definition**

```

qfst ::  $i \Rightarrow i$  where
  qfst( $p$ )  $\equiv$  THE  $a. \exists b. p = \langle a; b \rangle$ 

```

**definition**

```

qsnd ::  $i \Rightarrow i$  where
  qsnd( $p$ )  $\equiv$  THE  $b. \exists a. p = \langle a; b \rangle$ 

```

**definition**

```

gsplit ::  $[[i, i] \Rightarrow 'a, i] \Rightarrow 'a::\{\}$  where
  gsplit( $c, p$ )  $\equiv$   $c(\text{qfst}(p), \text{qsnd}(p))$ 

```

**definition**

*qconverse* ::  $i \Rightarrow i$  **where**  
 $qconverse(r) \equiv \{z. w \in r, \exists x y. w = \langle x; y \rangle \wedge z = \langle y; x \rangle\}$

**definition**

*QSigma* ::  $[i, i \Rightarrow i] \Rightarrow i$  **where**  
 $QSigma(A, B) \equiv \bigcup_{x \in A} \bigcup_{y \in B(x)} \{\langle x; y \rangle\}$

**syntax**

-*QSUM* ::  $[idt, i, i] \Rightarrow i$  ( $\langle \langle indent=3 \text{ notation}=binder \text{ QSUM} \in \rangle \rangle QSUM - \in$   
 $-. / - \rangle 10$ )

**syntax-consts**

-*QSUM*  $\equiv$  *QSigma*

**translations**

*QSUM*  $x \in A. B \Rightarrow$  *CONST* *QSigma*(*A*,  $\lambda x. B$ )

**abbreviation**

*qprod* (**infixr**  $\langle \langle * \rangle \rangle 80$ ) **where**  
 $A \langle * \rangle B \equiv QSigma(A, \lambda -. B)$

**definition**

*qsum* ::  $[i, i] \Rightarrow i$  (**infixr**  $\langle \langle + \rangle \rangle 65$ ) **where**  
 $A \langle + \rangle B \equiv (\{0\} \langle * \rangle A) \cup (\{1\} \langle * \rangle B)$

**definition**

*QInl* ::  $i \Rightarrow i$  **where**  
 $QInl(a) \equiv \langle 0; a \rangle$

**definition**

*QInr* ::  $i \Rightarrow i$  **where**  
 $QInr(b) \equiv \langle 1; b \rangle$

**definition**

*qcase* ::  $[i \Rightarrow i, i \Rightarrow i, i] \Rightarrow i$  **where**  
 $qcase(c, d) \equiv qsplit(\lambda y z. cond(y, d(z), c(z)))$

## 9.1 Quine ordered pairing

**lemma** *QPair-empty* [*simp*]:  $\langle 0; 0 \rangle = 0$   
**by** (*simp add: QPair-def*)

**lemma** *QPair-iff* [*simp*]:  $\langle a; b \rangle = \langle c; d \rangle \longleftrightarrow a = c \wedge b = d$   
**apply** (*simp add: QPair-def*)  
**apply** (*rule sum-equal-iff*)  
**done**

**lemmas** *QPair-inject* = *QPair-iff* [*THEN iffD1, THEN conjE, elim!*]

**lemma** *QPair-inject1*:  $\langle a; b \rangle = \langle c; d \rangle \Longrightarrow a = c$   
**by** *blast*

**lemma** *QPair-inject2*:  $\langle a;b \rangle = \langle c;d \rangle \implies b=d$   
**by** *blast*

### 9.1.1 QSigma: Disjoint union of a family of sets Generalizes Cartesian product

**lemma** *QSigmaI* [*intro!*]:  $\llbracket a \in A; b \in B(a) \rrbracket \implies \langle a;b \rangle \in QSigma(A,B)$   
**by** (*simp add: QSigma-def*)

**lemma** *QSigmaE* [*elim!*]:  
 $\llbracket c \in QSigma(A,B); \bigwedge x y. \llbracket x \in A; y \in B(x); c=\langle x;y \rangle \rrbracket \implies P$   
 $\rrbracket \implies P$   
**by** (*simp add: QSigma-def, blast*)

**lemma** *QSigmaE2* [*elim!*]:  
 $\llbracket \langle a;b \rangle \in QSigma(A,B); \llbracket a \in A; b \in B(a) \rrbracket \implies P \rrbracket \implies P$   
**by** (*simp add: QSigma-def*)

**lemma** *QSigmaD1*:  $\langle a;b \rangle \in QSigma(A,B) \implies a \in A$   
**by** *blast*

**lemma** *QSigmaD2*:  $\langle a;b \rangle \in QSigma(A,B) \implies b \in B(a)$   
**by** *blast*

**lemma** *QSigma-cong*:  
 $\llbracket A=A'; \bigwedge x. x \in A' \implies B(x)=B'(x) \rrbracket \implies$   
 $QSigma(A,B) = QSigma(A',B')$   
**by** (*simp add: QSigma-def*)

**lemma** *QSigma-empty1* [*simp*]:  $QSigma(0,B) = 0$   
**by** *blast*

**lemma** *QSigma-empty2* [*simp*]:  $A \langle * \rangle 0 = 0$   
**by** *blast*

### 9.1.2 Projections: qfst, qsnd

**lemma** *qfst-conv* [*simp*]:  $qfst(\langle a;b \rangle) = a$   
**by** (*simp add: qfst-def*)

**lemma** *qsnd-conv* [*simp*]:  $qsnd(\langle a;b \rangle) = b$   
**by** (*simp add: qsnd-def*)

**lemma** *qfst-type* [*TC*]:  $p \in QSigma(A,B) \implies qfst(p) \in A$   
**by** *auto*

**lemma** *qsnd-type* [TC]:  $p \in QSigma(A,B) \implies qsnd(p) \in B(qfst(p))$   
**by** *auto*

**lemma** *QPair-qfst-qsnd-eq*:  $a \in QSigma(A,B) \implies \langle qfst(a); qsnd(a) \rangle = a$   
**by** *auto*

### 9.1.3 Eliminator: qsplit

**lemma** *qsplit* [simp]:  $qsplit(\lambda x y. c(x,y), \langle a;b \rangle) \equiv c(a,b)$   
**by** (*simp add: qsplit-def*)

**lemma** *qsplit-type* [elim!]:  

$$\llbracket p \in QSigma(A,B);$$

$$\bigwedge x y. \llbracket x \in A; y \in B(x) \rrbracket \implies c(x,y):C(\langle x;y \rangle)$$

$$\rrbracket \implies qsplit(\lambda x y. c(x,y), p) \in C(p)$$
  
**by** *auto*

**lemma** *expand-qsplit*:  
 $u \in A \langle * \rangle B \implies R(qsplit(c,u)) \longleftrightarrow (\forall x \in A. \forall y \in B. u = \langle x;y \rangle \longrightarrow R(c(x,y)))$   
**apply** (*simp add: qsplit-def, auto*)  
**done**

### 9.1.4 qsplit for predicates: result type o

**lemma** *qsplitI*:  $R(a,b) \implies qsplit(R, \langle a;b \rangle)$   
**by** (*simp add: qsplit-def*)

**lemma** *qsplitE*:  

$$\llbracket qsplit(R,z); z \in QSigma(A,B);$$

$$\bigwedge x y. \llbracket z = \langle x;y \rangle; R(x,y) \rrbracket \implies P$$

$$\rrbracket \implies P$$
  
**by** (*simp add: qsplit-def, auto*)

**lemma** *qsplitD*:  $qsplit(R, \langle a;b \rangle) \implies R(a,b)$   
**by** (*simp add: qsplit-def*)

### 9.1.5 qconverse

**lemma** *qconverseI* [intro!]:  $\langle a;b \rangle : r \implies \langle b;a \rangle : qconverse(r)$   
**by** (*simp add: qconverse-def, blast*)

**lemma** *qconverseD* [elim!]:  $\langle a;b \rangle \in qconverse(r) \implies \langle b;a \rangle \in r$   
**by** (*simp add: qconverse-def, blast*)

**lemma** *qconverseE* [elim!]:  

$$\llbracket yx \in qconverse(r);$$

$$\bigwedge x y. \llbracket yx = \langle y;x \rangle; \langle x;y \rangle : r \rrbracket \implies P$$

$\llbracket \implies P$   
**by** (*simp add: qconverse-def, blast*)

**lemma** *qconverse-qconverse*:  $r \leq Q\text{Sigma}(A, B) \implies qconverse(qconverse(r)) = r$   
**by** *blast*

**lemma** *qconverse-type*:  $r \subseteq A <*> B \implies qconverse(r) \subseteq B <*> A$   
**by** *blast*

**lemma** *qconverse-prod*:  $qconverse(A <*> B) = B <*> A$   
**by** *blast*

**lemma** *qconverse-empty*:  $qconverse(0) = 0$   
**by** *blast*

## 9.2 The Quine-inspired notion of disjoint sum

**lemmas** *qsum-defs = qsum-def QInl-def QInr-def qcase-def*

**lemma** *QInlI [intro!]*:  $a \in A \implies QInl(a) \in A <+> B$   
**by** (*simp add: qsum-defs, blast*)

**lemma** *QInrI [intro!]*:  $b \in B \implies QInr(b) \in A <+> B$   
**by** (*simp add: qsum-defs, blast*)

**lemma** *qsumE [elim!]*:  

$$\begin{aligned} & \llbracket u \in A <+> B; \\ & \quad \bigwedge x. \llbracket x \in A; u = QInl(x) \rrbracket \implies P; \\ & \quad \bigwedge y. \llbracket y \in B; u = QInr(y) \rrbracket \implies P \\ & \rrbracket \implies P \end{aligned}$$
**by** (*simp add: qsum-defs, blast*)

**lemma** *QInl-iff [iff]*:  $QInl(a) = QInl(b) \longleftrightarrow a = b$   
**by** (*simp add: qsum-defs*)

**lemma** *QInr-iff [iff]*:  $QInr(a) = QInr(b) \longleftrightarrow a = b$   
**by** (*simp add: qsum-defs*)

**lemma** *QInl-QInr-iff [simp]*:  $QInl(a) = QInr(b) \longleftrightarrow \text{False}$   
**by** (*simp add: qsum-defs*)

**lemma** *QInr-QInl-iff [simp]*:  $QInr(b) = QInl(a) \longleftrightarrow \text{False}$

**by** (*simp add: qsum-defs*)

**lemma** *qsum-empty* [*simp*]:  $0 <+> 0 = 0$   
**by** (*simp add: qsum-defs*)

**lemmas** *QInl-inject* = *QInl-iff* [*THEN iffD1*]  
**lemmas** *QInr-inject* = *QInr-iff* [*THEN iffD1*]  
**lemmas** *QInl-neq-QInr* = *QInl-QInr-iff* [*THEN iffD1, THEN FalseE, elim!*]  
**lemmas** *QInr-neq-QInl* = *QInr-QInl-iff* [*THEN iffD1, THEN FalseE, elim!*]

**lemma** *QInlD*:  $QInl(a): A <+> B \implies a \in A$   
**by** *blast*

**lemma** *QInrD*:  $QInr(b): A <+> B \implies b \in B$   
**by** *blast*

**lemma** *qsum-iff*:  
 $u \in A <+> B \longleftrightarrow (\exists x. x \in A \wedge u = QInl(x)) \mid (\exists y. y \in B \wedge u = QInr(y))$   
**by** *blast*

**lemma** *qsum-subset-iff*:  $A <+> B \subseteq C <+> D \longleftrightarrow A \leq C \wedge B \leq D$   
**by** *blast*

**lemma** *qsum-equal-iff*:  $A <+> B = C <+> D \longleftrightarrow A = C \wedge B = D$   
**apply** (*simp (no-asm) add: extension qsum-subset-iff*)  
**apply** *blast*  
**done**

### 9.2.1 Eliminator – qcase

**lemma** *qcase-QInl* [*simp*]:  $qcase(c, d, QInl(a)) = c(a)$   
**by** (*simp add: qsum-defs*)

**lemma** *qcase-QInr* [*simp*]:  $qcase(c, d, QInr(b)) = d(b)$   
**by** (*simp add: qsum-defs*)

**lemma** *qcase-type*:  

$$\llbracket u \in A <+> B;$$

$$\bigwedge x. x \in A \implies c(x): C(QInl(x));$$

$$\bigwedge y. y \in B \implies d(y): C(QInr(y))$$

$$\rrbracket \implies qcase(c, d, u) \in C(u)$$
**by** (*simp add: qsum-defs, auto*)



**lemma** *Part-QInl*:  $Part(A <+> B, QInl) = \{QInl(x). x \in A\}$   
**by** *blast*

**lemma** *Part-QInr*:  $Part(A <+> B, QInr) = \{QInr(y). y \in B\}$   
**by** *blast*

**lemma** *Part-QInr2*:  $Part(A <+> B, \lambda x. QInr(h(x))) = \{QInr(y). y \in Part(B, h)\}$   
**by** *blast*

**lemma** *Part-qsum-equality*:  $C \subseteq A <+> B \implies Part(C, QInl) \cup Part(C, QInr) = C$   
**by** *blast*

## 9.2.2 Monotonicity

**lemma** *QPair-mono*:  $\llbracket a \leq c; b \leq d \rrbracket \implies \langle a; b \rangle \subseteq \langle c; d \rangle$   
**by** (*simp add: QPair-def sum-mono*)

**lemma** *QSigma-mono* [*rule-format*]:  
 $\llbracket A \leq C; \forall x \in A. B(x) \subseteq D(x) \rrbracket \implies QSigma(A, B) \subseteq QSigma(C, D)$   
**by** *blast*

**lemma** *QInl-mono*:  $a \leq b \implies QInl(a) \subseteq QInl(b)$   
**by** (*simp add: QInl-def subset-refl [THEN QPair-mono]*)

**lemma** *QInr-mono*:  $a \leq b \implies QInr(a) \subseteq QInr(b)$   
**by** (*simp add: QInr-def subset-refl [THEN QPair-mono]*)

**lemma** *qsum-mono*:  $\llbracket A \leq C; B \leq D \rrbracket \implies A <+> B \subseteq C <+> D$   
**by** *blast*

**end**

## 10 Injections, Surjections, Bijections, Composition

**theory** *Perm* **imports** *func* **begin**

**definition**

*comp*  $:: [i, i] \Rightarrow i$  (**infixr**  $\langle O \rangle$  60) **where**  
 $r \ O \ s \equiv \{xz \in domain(s) * range(r) .$   
 $\exists x \ y \ z. xz = \langle x, z \rangle \wedge \langle x, y \rangle : s \wedge \langle y, z \rangle : r\}$

**definition**

*id*  $:: i \Rightarrow i$  **where**  
 $id(A) \equiv (\lambda x \in A. x)$

**definition**

$inj :: [i,i] \Rightarrow i$  **where**  
 $inj(A,B) \equiv \{ f \in A \multimap B. \forall w \in A. \forall x \in A. f'w = f'x \longrightarrow w = x \}$

**definition**

$surj :: [i,i] \Rightarrow i$  **where**  
 $surj(A,B) \equiv \{ f \in A \multimap B. \forall y \in B. \exists x \in A. f'x = y \}$

**definition**

$bij :: [i,i] \Rightarrow i$  **where**  
 $bij(A,B) \equiv inj(A,B) \cap surj(A,B)$

## 10.1 Surjective Function Space

**lemma** *surj-is-fun*:  $f \in surj(A,B) \Longrightarrow f \in A \multimap B$   
**unfolding** *surj-def*  
**apply** (*erule CollectD1*)  
**done**

**lemma** *fun-is-surj*:  $f \in Pi(A,B) \Longrightarrow f \in surj(A, range(f))$   
**unfolding** *surj-def*  
**apply** (*blast intro: apply-equality range-of-fun domain-type*)  
**done**

**lemma** *surj-range*:  $f \in surj(A,B) \Longrightarrow range(f) = B$   
**unfolding** *surj-def*  
**apply** (*best intro: apply-Pair elim: range-type*)  
**done**

A function with a right inverse is a surjection

**lemma** *f-imp-surjective*:  
 $\llbracket f \in A \multimap B; \bigwedge y. y \in B \Longrightarrow d(y): A; \bigwedge y. y \in B \Longrightarrow f'd(y) = y \rrbracket$   
 $\Longrightarrow f \in surj(A,B)$   
**by** (*simp add: surj-def, blast*)

**lemma** *lam-surjective*:  
 $\llbracket \bigwedge x. x \in A \Longrightarrow c(x): B;$   
 $\bigwedge y. y \in B \Longrightarrow d(y): A;$   
 $\bigwedge y. y \in B \Longrightarrow c(d(y)) = y$   
 $\rrbracket \Longrightarrow (\lambda x \in A. c(x)) \in surj(A,B)$   
**apply** (*rule-tac d = d in f-imp-surjective*)  
**apply** (*simp-all add: lam-type*)  
**done**

Cantor's theorem revisited

**lemma** *cantor-surj*:  $f \notin surj(A, Pow(A))$

```

apply (unfold surj-def, safe)
apply (cut-tac cantor)
apply (best del: subsetI)
done

```

## 10.2 Injective Function Space

```

lemma inj-is-fun:  $f \in \text{inj}(A,B) \implies f \in A \multimap B$ 
  unfolding inj-def
apply (erule CollectD1)
done

```

Good for dealing with sets of pairs, but a bit ugly in use [used in AC]

```

lemma inj-equality:
   $\llbracket \langle a,b \rangle : f; \langle c,b \rangle : f; f \in \text{inj}(A,B) \rrbracket \implies a=c$ 
  unfolding inj-def
apply (blast dest: Pair-mem-PiD)
done

```

```

lemma inj-apply-equality:  $\llbracket f \in \text{inj}(A,B); f'a=f'b; a \in A; b \in A \rrbracket \implies a=b$ 
by (unfold inj-def, blast)

```

A function with a left inverse is an injection

```

lemma f-imp-injective:  $\llbracket f \in A \multimap B; \forall x \in A. d(f'x)=x \rrbracket \implies f \in \text{inj}(A,B)$ 
apply (simp (no-asm-simp) add: inj-def)
apply (blast intro: subst-context [THEN box-equals])
done

```

```

lemma lam-injective:
   $\llbracket \bigwedge x. x \in A \implies c(x) : B; \bigwedge x. x \in A \implies d(c(x)) = x \rrbracket$ 
   $\implies (\lambda x \in A. c(x)) \in \text{inj}(A,B)$ 
apply (rule-tac d = d in f-imp-injective)
apply (simp-all add: lam-type)
done

```

## 10.3 Bijections

```

lemma bij-is-inj:  $f \in \text{bij}(A,B) \implies f \in \text{inj}(A,B)$ 
  unfolding bij-def
apply (erule IntD1)
done

```

```

lemma bij-is-surj:  $f \in \text{bij}(A,B) \implies f \in \text{surj}(A,B)$ 
  unfolding bij-def
apply (erule IntD2)
done

```

```

lemma bij-is-fun:  $f \in \text{bij}(A,B) \implies f \in A \multimap B$ 

```

```

by (rule bij-is-inj [THEN inj-is-fun])

lemma lam-bijective:
  
$$\llbracket \bigwedge x. x \in A \implies c(x): B; \bigwedge y. y \in B \implies d(y): A; \bigwedge x. x \in A \implies d(c(x)) = x; \bigwedge y. y \in B \implies c(d(y)) = y \rrbracket \implies (\lambda x \in A. c(x)) \in \text{bij}(A, B)$$

  unfolding bij-def
  apply (blast intro!: lam-injective lam-surjective)
done

lemma RepFun-bijective:  $(\forall y \in x. \exists ! y'. f(y') = f(y)) \implies (\lambda z \in \{f(y). y \in x\}. \text{THE } y. f(y) = z) \in \text{bij}(\{f(y). y \in x\}, x)$ 
  apply (rule-tac d = f in lam-bijective)
  apply (auto simp add: the-equality2)
done



## 10.4 Identity Function



lemma idI [intro!]:  $a \in A \implies \langle a, a \rangle \in \text{id}(A)$ 
  unfolding id-def
  apply (erule lamI)
done

lemma idE [elim!]:  $\llbracket p \in \text{id}(A); \bigwedge x. \llbracket x \in A; p = \langle x, x \rangle \rrbracket \implies P \rrbracket \implies P$ 
  by (simp add: id-def lam-def, blast)

lemma id-type:  $\text{id}(A) \in A \multimap A$ 
  unfolding id-def
  apply (rule lam-type, assumption)
done

lemma id-conv [simp]:  $x \in A \implies \text{id}(A) 'x = x$ 
  unfolding id-def
  apply (simp (no-asm-simp))
done

lemma id-mono:  $A \leq B \implies \text{id}(A) \subseteq \text{id}(B)$ 
  unfolding id-def
  apply (erule lam-mono)
done

lemma id-subset-inj:  $A \leq B \implies \text{id}(A): \text{inj}(A, B)$ 
  apply (simp add: inj-def id-def)
  apply (blast intro: lam-type)
done

lemmas id-inj = subset-refl [THEN id-subset-inj]

```

**lemma** *id-surj*:  $id(A): surj(A,A)$   
**unfolding** *id-def surj-def*  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *id-bij*:  $id(A): bij(A,A)$   
**unfolding** *bij-def*  
**apply** (*blast intro: id-inj id-surj*)  
**done**

**lemma** *subset-iff-id*:  $A \subseteq B \longleftrightarrow id(A) \in A \multimap B$   
**unfolding** *id-def*  
**apply** (*force intro!: lam-type dest: apply-type*)  
**done**

*id* as the identity relation

**lemma** *id-iff* [*simp*]:  $\langle x,y \rangle \in id(A) \longleftrightarrow x=y \wedge y \in A$   
**by** *auto*

## 10.5 Converse of a Function

**lemma** *inj-converse-fun*:  $f \in inj(A,B) \implies converse(f) \in range(f) \multimap A$   
**unfolding** *inj-def*  
**apply** (*simp (no-asm-simp) add: Pi-iff function-def*)  
**apply** (*erule CollectE*)  
**apply** (*simp (no-asm-simp) add: apply-iff*)  
**apply** (*blast dest: fun-is-rel*)  
**done**

Equations for  $converse(f)$

The premises are equivalent to saying that  $f$  is injective...

**lemma** *left-inverse-lemma*:  
 $\llbracket f \in A \multimap B; converse(f): C \multimap A; a \in A \rrbracket \implies converse(f) (f'a) = a$   
**by** (*blast intro: apply-Pair apply-equality converseI*)

**lemma** *left-inverse* [*simp*]:  $\llbracket f \in inj(A,B); a \in A \rrbracket \implies converse(f) (f'a) = a$   
**by** (*blast intro: left-inverse-lemma inj-converse-fun inj-is-fun*)

**lemma** *left-inverse-eq*:  
 $\llbracket f \in inj(A,B); f'a = y; x \in A \rrbracket \implies converse(f) 'y = x$   
**by** *auto*

**lemmas** *left-inverse-bij = bij-is-inj* [*THEN left-inverse*]

**lemma** *right-inverse-lemma*:  
 $\llbracket f \in A \multimap B; converse(f): C \multimap A; b \in C \rrbracket \implies f(converse(f) 'b) = b$   
**by** (*rule apply-Pair* [*THEN converseD* [*THEN apply-equality*]], *auto*)

**lemma** *right-inverse* [*simp*]:

$\llbracket f \in \text{inj}(A,B); \ b \in \text{range}(f) \rrbracket \implies f'(\text{converse}(f) \cdot b) = b$

**by** (*blast intro: right-inverse-lemma inj-converse-fun inj-is-fun*)

**lemma** *right-inverse-bij*:  $\llbracket f \in \text{bij}(A,B); \ b \in B \rrbracket \implies f'(\text{converse}(f) \cdot b) = b$

**by** (*force simp add: bij-def surj-range*)

## 10.6 Converses of Injections, Surjections, Bijections

**lemma** *inj-converse-inj*:  $f \in \text{inj}(A,B) \implies \text{converse}(f): \text{inj}(\text{range}(f), A)$

**apply** (*rule f-imp-injective*)

**apply** (*erule inj-converse-fun, clarify*)

**apply** (*rule right-inverse*)

**apply** *assumption*

**apply** *blast*

**done**

**lemma** *inj-converse-surj*:  $f \in \text{inj}(A,B) \implies \text{converse}(f): \text{surj}(\text{range}(f), A)$

**by** (*blast intro: f-imp-surjective inj-converse-fun left-inverse inj-is-fun range-of-fun [THEN apply-type]*)

Adding this as an intro! rule seems to cause looping

**lemma** *bij-converse-bij* [*TC*]:  $f \in \text{bij}(A,B) \implies \text{converse}(f): \text{bij}(B,A)$

**unfolding** *bij-def*

**apply** (*fast elim: surj-range [THEN subst] inj-converse-inj inj-converse-surj*)

**done**

## 10.7 Composition of Two Relations

The inductive definition package could derive these theorems for  $r \ O \ s$

**lemma** *compI* [*intro*]:  $\llbracket \langle a,b \rangle : s; \ \langle b,c \rangle : r \rrbracket \implies \langle a,c \rangle \in r \ O \ s$

**by** (*unfold comp-def, blast*)

**lemma** *compE* [*elim!*]:

$\llbracket xz \in r \ O \ s;$

$\bigwedge x \ y \ z. \llbracket xz = \langle x,z \rangle; \ \langle x,y \rangle : s; \ \langle y,z \rangle : r \rrbracket \implies P \rrbracket$

$\implies P$

**by** (*unfold comp-def, blast*)

**lemma** *compEpair*:

$\llbracket \langle a,c \rangle \in r \ O \ s;$

$\bigwedge y. \llbracket \langle a,y \rangle : s; \ \langle y,c \rangle : r \rrbracket \implies P \rrbracket$

$\implies P$

**by** (*erule compE, simp*)

**lemma** *converse-comp*:  $\text{converse}(R \ O \ S) = \text{converse}(S) \ O \ \text{converse}(R)$

**by** *blast*

## 10.8 Domain and Range – see Suppes, Section 3.1

Boyer et al., Set Theory in First-Order Logic, JAR 2 (1986), 287-327

**lemma** *range-comp*:  $\text{range}(r \circ s) \subseteq \text{range}(r)$   
**by** *blast*

**lemma** *range-comp-eq*:  $\text{domain}(r) \subseteq \text{range}(s) \implies \text{range}(r \circ s) = \text{range}(r)$   
**by** (*rule range-comp [THEN equalityI], blast*)

**lemma** *domain-comp*:  $\text{domain}(r \circ s) \subseteq \text{domain}(s)$   
**by** *blast*

**lemma** *domain-comp-eq*:  $\text{range}(s) \subseteq \text{domain}(r) \implies \text{domain}(r \circ s) = \text{domain}(s)$   
**by** (*rule domain-comp [THEN equalityI], blast*)

**lemma** *image-comp*:  $(r \circ s)''A = r''(s''A)$   
**by** *blast*

**lemma** *inj-inj-range*:  $f \in \text{inj}(A, B) \implies f \in \text{inj}(A, \text{range}(f))$   
**by** (*auto simp add: inj-def Pi-iff function-def*)

**lemma** *inj-bij-range*:  $f \in \text{inj}(A, B) \implies f \in \text{bij}(A, \text{range}(f))$   
**by** (*auto simp add: bij-def intro: inj-inj-range inj-is-fun fun-is-surj*)

## 10.9 Other Results

**lemma** *comp-mono*:  $\llbracket r' \leq r; s' \leq s \rrbracket \implies (r' \circ s') \subseteq (r \circ s)$   
**by** *blast*

composition preserves relations

**lemma** *comp-rel*:  $\llbracket s \leq A * B; r \leq B * C \rrbracket \implies (r \circ s) \subseteq A * C$   
**by** *blast*

associative law for composition

**lemma** *comp-assoc*:  $(r \circ s) \circ t = r \circ (s \circ t)$   
**by** *blast*

**lemma** *left-comp-id*:  $r \leq A * B \implies \text{id}(B) \circ r = r$   
**by** *blast*

**lemma** *right-comp-id*:  $r \leq A * B \implies r \circ \text{id}(A) = r$   
**by** *blast*

## 10.10 Composition Preserves Functions, Injections, and Surjections

**lemma** *comp-function*:  $\llbracket \text{function}(g); \text{function}(f) \rrbracket \implies \text{function}(f \circ g)$

**by** (*unfold function-def*, *blast*)

Don't think the premises can be weakened much

**lemma** *comp-fun*:  $\llbracket g \in A \multimap B; f \in B \multimap C \rrbracket \implies (f \circ g) \in A \multimap C$   
**apply** (*auto simp add: Pi-def comp-function Pow-iff comp-rel*)  
**apply** (*subst range-rel-subset [THEN domain-comp-eq]*, *auto*)  
**done**

**lemma** *comp-fun-apply* [*simp*]:  
 $\llbracket g \in A \multimap B; a \in A \rrbracket \implies (f \circ g) 'a = f '(g 'a)$   
**apply** (*frule apply-Pair, assumption*)  
**apply** (*simp add: apply-def image-comp*)  
**apply** (*blast dest: apply-equality*)  
**done**

Simplifies compositions of lambda-abstractions

**lemma** *comp-lam*:  
 $\llbracket \bigwedge x. x \in A \implies b(x) : B \rrbracket$   
 $\implies (\lambda y \in B. c(y)) \circ (\lambda x \in A. b(x)) = (\lambda x \in A. c(b(x)))$   
**apply** (*subgoal-tac*  $(\lambda x \in A. b(x)) \in A \multimap B$ )  
**apply** (*rule fun-extension*)  
**apply** (*blast intro: comp-fun lam-funtype*)  
**apply** (*rule lam-funtype*)  
**apply** *simp*  
**apply** (*simp add: lam-type*)  
**done**

**lemma** *comp-inj*:  
 $\llbracket g \in \text{inj}(A, B); f \in \text{inj}(B, C) \rrbracket \implies (f \circ g) \in \text{inj}(A, C)$   
**apply** (*frule inj-is-fun [of g]*)  
**apply** (*frule inj-is-fun [of f]*)  
**apply** (*rule-tac*  $d = \lambda y. \text{converse } (g) ' (\text{converse } (f) ' y)$  **in** *f-imp-injective*)  
**apply** (*blast intro: comp-fun, simp*)  
**done**

**lemma** *comp-surj*:  
 $\llbracket g \in \text{surj}(A, B); f \in \text{surj}(B, C) \rrbracket \implies (f \circ g) \in \text{surj}(A, C)$   
**unfolding** *surj-def*  
**apply** (*blast intro!: comp-fun comp-fun-apply*)  
**done**

**lemma** *comp-bij*:  
 $\llbracket g \in \text{bij}(A, B); f \in \text{bij}(B, C) \rrbracket \implies (f \circ g) \in \text{bij}(A, C)$   
**unfolding** *bij-def*  
**apply** (*blast intro: comp-inj comp-surj*)  
**done**



### 10.11 Dual Properties of *inj* and *surj*

Useful for proofs from D Pastre. Automatic theorem proving in set theory. Artificial Intelligence, 10:1–27, 1978.

**lemma** *comp-mem-injD1*:

$\llbracket (f \ O \ g): inj(A, C); \ g \in A \multimap B; \ f \in B \multimap C \rrbracket \implies g \in inj(A, B)$   
**by** (*unfold inj-def, force*)

**lemma** *comp-mem-injD2*:

$\llbracket (f \ O \ g): inj(A, C); \ g \in surj(A, B); \ f \in B \multimap C \rrbracket \implies f \in inj(B, C)$   
**apply** (*unfold inj-def surj-def, safe*)  
**apply** (*rule-tac x1 = x in bspec [THEN bexE]*)  
**apply** (*erule-tac [3] x1 = w in bspec [THEN bexE], assumption+, safe*)  
**apply** (*rule-tac t = (·) (g) in subst-context*)  
**apply** (*erule asm-rl bspec [THEN bspec, THEN mp]+*)  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *comp-mem-surjD1*:

$\llbracket (f \ O \ g): surj(A, C); \ g \in A \multimap B; \ f \in B \multimap C \rrbracket \implies f \in surj(B, C)$   
**unfolding** *surj-def*  
**apply** (*blast intro!: comp-fun-apply [symmetric] apply-funtype*)  
**done**

**lemma** *comp-mem-surjD2*:

$\llbracket (f \ O \ g): surj(A, C); \ g \in A \multimap B; \ f \in inj(B, C) \rrbracket \implies g \in surj(A, B)$   
**apply** (*unfold inj-def surj-def, safe*)  
**apply** (*drule-tac x = f'y in bspec, auto*)  
**apply** (*blast intro: apply-funtype*)  
**done**

#### 10.11.1 Inverses of Composition

left inverse of composition; one inclusion is  $f \in A \rightarrow B \implies id(A) \subseteq converse(f) \ O \ f$

**lemma** *left-comp-inverse*:  $f \in inj(A, B) \implies converse(f) \ O \ f = id(A)$   
**apply** (*unfold inj-def, clarify*)  
**apply** (*rule equalityI*)  
**apply** (*auto simp add: apply-iff, blast*)  
**done**

right inverse of composition; one inclusion is  $f \in A \rightarrow B \implies f \ O \ converse(f) \subseteq id(B)$

**lemma** *right-comp-inverse*:

$f \in surj(A, B) \implies f \ O \ converse(f) = id(B)$   
**apply** (*simp add: surj-def, clarify*)  
**apply** (*rule equalityI*)

```

apply (best elim: domain-type range-type dest: apply-equality2)
apply (blast intro: apply-Pair)
done

```

### 10.11.2 Proving that a Function is a Bijection

```

lemma comp-eq-id-iff:
   $\llbracket f \in A \multimap B; \ g \in B \multimap A \rrbracket \implies f \circ g = id(B) \longleftrightarrow (\forall y \in B. f(g'y) = y)$ 
apply (unfold id-def, safe)
apply (drule-tac t =  $\lambda h. h'y$  in subst-context)
apply simp
apply (rule fun-extension)
apply (blast intro: comp-fun lam-type)
apply auto
done

lemma fg-imp-bijective:
   $\llbracket f \in A \multimap B; \ g \in B \multimap A; \ f \circ g = id(B); \ g \circ f = id(A) \rrbracket \implies f \in bij(A, B)$ 
unfolding bij-def
apply (simp add: comp-eq-id-iff)
apply (blast intro: f-imp-injective f-imp-surjective apply-funtype)
done

```

```

lemma nilpotent-imp-bijective:  $\llbracket f \in A \multimap A; \ f \circ f = id(A) \rrbracket \implies f \in bij(A, A)$ 
by (blast intro: fg-imp-bijective)

```

```

lemma invertible-imp-bijective:
   $\llbracket converse(f): B \multimap A; \ f \in A \multimap B \rrbracket \implies f \in bij(A, B)$ 
by (simp add: fg-imp-bijective comp-eq-id-iff
  left-inverse-lemma right-inverse-lemma)

```

### 10.11.3 Unions of Functions

See similar theorems in func.thy

Theorem by KG, proof by LCP

```

lemma inj-disjoint-Un:
   $\llbracket f \in inj(A, B); \ g \in inj(C, D); \ B \cap D = \emptyset \rrbracket$ 
   $\implies (\lambda a \in A \cup C. \text{if } a \in A \text{ then } f'a \text{ else } g'a) \in inj(A \cup C, B \cup D)$ 
apply (rule-tac d =  $\lambda z. \text{if } z \in B \text{ then } converse(f) \ 'z \text{ else } converse(g) \ 'z$ 
in lam-injective)
apply (auto simp add: inj-is-fun [THEN apply-type])
done

```

```

lemma surj-disjoint-Un:
   $\llbracket f \in surj(A, B); \ g \in surj(C, D); \ A \cap C = \emptyset \rrbracket$ 
   $\implies (f \cup g) \in surj(A \cup C, B \cup D)$ 
apply (simp add: surj-def fun-disjoint-Un)
apply (blast dest!: domain-of-fun)

```

```

      intro!: fun-disjoint-apply1 fun-disjoint-apply2)
done

```

A simple, high-level proof; the version for injections follows from it, using  $f \in \text{inj}(A, B) \longleftrightarrow f \in \text{bij}(A, \text{range}(f))$

```

lemma bij-disjoint-Un:
  ⌊f ∈ bij(A,B); g ∈ bij(C,D); A ∩ C = 0; B ∩ D = 0⌋
  ⇒ (f ∪ g) ∈ bij(A ∪ C, B ∪ D)
apply (rule invertible-imp-bijective)
apply (subst converse-Un)
apply (auto intro: fun-disjoint-Un bij-is-fun bij-converse-bij)
done

```

#### 10.11.4 Restrictions as Surjections and Bijections

```

lemma surj-image:
  f ∈ Pi(A,B) ⇒ f ∈ surj(A, f``A)
apply (simp add: surj-def)
apply (blast intro: apply-equality apply-Pair Pi-type)
done

```

```

lemma surj-image-eq: f ∈ surj(A, B) ⇒ f``A = B
by (auto simp add: surj-def image-fun) (blast dest: apply-type)

```

```

lemma restrict-image [simp]: restrict(f,A) `` B = f `` (A ∩ B)
by (auto simp add: restrict-def)

```

```

lemma restrict-inj:
  ⌊f ∈ inj(A,B); C ≤ A⌋ ⇒ restrict(f,C): inj(C,B)
  unfolding inj-def
apply (safe elim!: restrict-type2, auto)
done

```

```

lemma restrict-surj: ⌊f ∈ Pi(A,B); C ≤ A⌋ ⇒ restrict(f,C): surj(C, f``C)
apply (insert restrict-type2 [THEN surj-image])
apply (simp add: restrict-image)
done

```

```

lemma restrict-bij:
  ⌊f ∈ inj(A,B); C ≤ A⌋ ⇒ restrict(f,C): bij(C, f``C)
apply (simp add: inj-def bij-def)
apply (blast intro: restrict-surj surj-is-fun)
done

```

#### 10.11.5 Lemmas for Ramsey's Theorem

```

lemma inj-weaken-type: ⌊f ∈ inj(A,B); B ≤ D⌋ ⇒ f ∈ inj(A,D)
  unfolding inj-def
apply (blast intro: fun-weaken-type)

```

done

**lemma** *inj-succ-restrict*:

$\llbracket f \in \text{inj}(\text{succ}(m), A) \rrbracket \implies \text{restrict}(f, m) \in \text{inj}(m, A - \{f'm\})$

**apply** (*rule restrict-bij* [*THEN bij-is-inj*, *THEN inj-weaken-type*], *assumption*, *blast*)

**unfolding** *inj-def*

**apply** (*fast elim: range-type mem-irrefl dest: apply-equality*)

done

**lemma** *inj-extend*:

$\llbracket f \in \text{inj}(A, B); a \notin A; b \notin B \rrbracket$

$\implies \text{cons}(\langle a, b \rangle, f) \in \text{inj}(\text{cons}(a, A), \text{cons}(b, B))$

**unfolding** *inj-def*

**apply** (*force intro: apply-type simp add: fun-extend*)

done

end

## 11 Relations: Their General Properties and Transitive Closure

**theory** *Trancl* **imports** *Fixedpt Perm* **begin**

**definition**

*refl*  $:: i \Rightarrow o$  **where**

$\text{refl}(A, r) \equiv (\forall x \in A. \langle x, x \rangle \in r)$

**definition**

*irrefl*  $:: i \Rightarrow o$  **where**

$\text{irrefl}(A, r) \equiv \forall x \in A. \langle x, x \rangle \notin r$

**definition**

*sym*  $:: i \Rightarrow o$  **where**

$\text{sym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \langle y, x \rangle : r$

**definition**

*asym*  $:: i \Rightarrow o$  **where**

$\text{asym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \neg \langle y, x \rangle : r$

**definition**

*antisym*  $:: i \Rightarrow o$  **where**

$\text{antisym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \langle y, x \rangle : r \longrightarrow x = y$

**definition**

*trans*  $:: i \Rightarrow o$  **where**

$\text{trans}(r) \equiv \forall x y z. \langle x, y \rangle : r \longrightarrow \langle y, z \rangle : r \longrightarrow \langle x, z \rangle : r$

**definition**

$trans-on :: [i,i] \Rightarrow o \ (\langle \langle open-block\ notation = \langle mixfix\ trans-on \rangle \rangle trans[-]'(-') \rangle) \text{ where}$   
 $trans[A](r) \equiv \forall x \in A. \forall y \in A. \forall z \in A.$   
 $\langle x,y \rangle : r \longrightarrow \langle y,z \rangle : r \longrightarrow \langle x,z \rangle : r$

**definition**

$rtransl :: i \Rightarrow i \ (\langle \langle notation = \langle postfix\ \hat{*} \rangle \rangle - \hat{*} \rangle [100] 100) \text{ where}$   
 $r\hat{*} \equiv lfp(field(r)*field(r), \lambda s. id(field(r)) \cup (r\ O\ s))$

**definition**

$transl :: i \Rightarrow i \ (\langle \langle notation = \langle postfix\ \hat{+} \rangle \rangle - \hat{+} \rangle [100] 100) \text{ where}$   
 $r\hat{+} \equiv r\ O\ r\hat{*}$

**definition**

$equiv :: [i,i] \Rightarrow o \text{ where}$   
 $equiv(A,r) \equiv r \subseteq A*A \wedge refl(A,r) \wedge sym(r) \wedge trans(r)$

## 11.1 General properties of relations

### 11.1.1 irreflexivity

**lemma** *irreflI*:

$\llbracket \bigwedge x. x \in A \implies \langle x,x \rangle \notin r \rrbracket \implies irrefl(A,r)$   
**by** (*simp add: irrefl-def*)

**lemma** *irreflE*:  $\llbracket irrefl(A,r); x \in A \rrbracket \implies \langle x,x \rangle \notin r$   
**by** (*simp add: irrefl-def*)

### 11.1.2 symmetry

**lemma** *symI*:

$\llbracket \bigwedge x\ y. \langle x,y \rangle : r \implies \langle y,x \rangle : r \rrbracket \implies sym(r)$   
**by** (*unfold sym-def, blast*)

**lemma** *symE*:  $\llbracket sym(r); \langle x,y \rangle : r \rrbracket \implies \langle y,x \rangle : r$   
**by** (*unfold sym-def, blast*)

### 11.1.3 antisymmetry

**lemma** *antisymI*:

$\llbracket \bigwedge x\ y. \llbracket \langle x,y \rangle : r; \langle y,x \rangle : r \rrbracket \implies x=y \rrbracket \implies antisym(r)$   
**by** (*simp add: antisym-def, blast*)

**lemma** *antisymE*:  $\llbracket antisym(r); \langle x,y \rangle : r; \langle y,x \rangle : r \rrbracket \implies x=y$   
**by** (*simp add: antisym-def, blast*)

### 11.1.4 transitivity

**lemma** *transD*:  $\llbracket trans(r); \langle a,b \rangle : r; \langle b,c \rangle : r \rrbracket \implies \langle a,c \rangle : r$   
**by** (*unfold trans-def, blast*)

**lemma** *trans-onD*:

$\llbracket \text{trans}[A](r); \langle a,b \rangle:r; \langle b,c \rangle:r; a \in A; b \in A; c \in A \rrbracket \implies \langle a,c \rangle:r$   
**by** (*unfold trans-on-def, blast*)

**lemma** *trans-imp-trans-on*:  $\text{trans}(r) \implies \text{trans}[A](r)$

**by** (*unfold trans-def trans-on-def, blast*)

**lemma** *trans-on-imp-trans*:  $\llbracket \text{trans}[A](r); r \subseteq A * A \rrbracket \implies \text{trans}(r)$

**by** (*simp add: trans-on-def trans-def, blast*)

## 11.2 Transitive closure of a relation

**lemma** *rtrancl-bnd-mono*:

$\text{bnd-mono}(\text{field}(r) * \text{field}(r), \lambda s. \text{id}(\text{field}(r)) \cup (r \circ s))$   
**by** (*rule bnd-monoI, blast+*)

**lemma** *rtrancl-mono*:  $r \leq s \implies r^{\hat{*}} \subseteq s^{\hat{*}}$

**unfolding** *rtrancl-def*  
**apply** (*rule lfp-mono*)  
**apply** (*rule rtrancl-bnd-mono*) +  
**apply** *blast*  
**done**

**lemmas** *rtrancl-unfold* =

*rtrancl-bnd-mono* [*THEN* *rtrancl-def* [*THEN* *def-lfp-unfold*]]

**lemmas** *rtrancl-type* = *rtrancl-def* [*THEN* *def-lfp-subset*]

**lemma** *relation-rtrancl*:  $\text{relation}(r^{\hat{*}})$

**apply** (*simp add: relation-def*)  
**apply** (*blast dest: rtrancl-type* [*THEN* *subsetD*])  
**done**

**lemma** *rtrancl-refl*:  $\llbracket a \in \text{field}(r) \rrbracket \implies \langle a,a \rangle \in r^{\hat{*}}$

**apply** (*rule rtrancl-unfold* [*THEN* *ssubst*])  
**apply** (*erule idI* [*THEN* *UnI1*])  
**done**

**lemma** *rtrancl-into-rtrancl*:  $\llbracket \langle a,b \rangle \in r^{\hat{*}}; \langle b,c \rangle \in r \rrbracket \implies \langle a,c \rangle \in r^{\hat{*}}$

**apply** (*rule rtrancl-unfold* [*THEN* *ssubst*])  
**apply** (*rule compI* [*THEN* *UnI2*], *assumption*, *assumption*)  
**done**

**lemma** *r-into-rtrancl*:  $\langle a, b \rangle \in r \implies \langle a, b \rangle \in r^*$   
**by** (*rule rtrancl-refl* [*THEN rtrancl-into-rtrancl*], *blast+*)

**lemma** *r-subset-rtrancl*:  $\text{relation}(r) \implies r \subseteq r^*$   
**by** (*simp add: relation-def*, *blast intro: r-into-rtrancl*)

**lemma** *rtrancl-field*:  $\text{field}(r^*) = \text{field}(r)$   
**by** (*blast intro: r-into-rtrancl dest!: rtrancl-type* [*THEN subsetD*])

**lemma** *rtrancl-full-induct* [*case-names initial step*, *consumes 1*]:  

$$\begin{aligned} & \llbracket \langle a, b \rangle \in r^*; \\ & \quad \bigwedge x. x \in \text{field}(r) \implies P(\langle x, x \rangle); \\ & \quad \bigwedge x y z. \llbracket P(\langle x, y \rangle); \langle x, y \rangle \in r^*; \langle y, z \rangle \in r \rrbracket \implies P(\langle x, z \rangle) \rrbracket \\ & \implies P(\langle a, b \rangle) \end{aligned}$$
  
**by** (*erule def-induct* [*OF rtrancl-def rtrancl-bnd-mono*], *blast*)

**lemma** *rtrancl-induct* [*case-names initial step*, *induct set: rtrancl*]:  

$$\begin{aligned} & \llbracket \langle a, b \rangle \in r^*; \\ & \quad P(a); \\ & \quad \bigwedge y z. \llbracket \langle a, y \rangle \in r^*; \langle y, z \rangle \in r; P(y) \rrbracket \implies P(z) \rrbracket \\ & \implies P(b) \end{aligned}$$

**apply** (*subgoal-tac*  $\forall y. \langle a, b \rangle = \langle a, y \rangle \longrightarrow P(y)$  )

**apply** (*erule spec* [*THEN mp*], *rule refl*)

**apply** (*erule rtrancl-full-induct*, *blast+*)  
**done**

**lemma** *trans-rtrancl*:  $\text{trans}(r^*)$   
**unfolding** *trans-def*  
**apply** (*intro allI impI*)  
**apply** (*erule-tac*  $b = z$  **in** *rtrancl-induct*, *assumption*)  
**apply** (*blast intro: rtrancl-into-rtrancl*)  
**done**

**lemmas** *rtrancl-trans* = *trans-rtrancl* [*THEN transD*]

**lemma** *rtranclE*:  

$$\llbracket \langle a, b \rangle \in r^*; (a=b) \rrbracket \implies P;$$

```

       $\bigwedge y. \llbracket \langle a, y \rangle \in r^{\wedge*}; \quad \langle y, b \rangle \in r \rrbracket \implies P$ 
 $\implies P$ 
apply (subgoal-tac  $a = b \mid (\exists y. \langle a, y \rangle \in r^{\wedge*} \wedge \langle y, b \rangle \in r)$  )

apply blast
apply (erule rtrancl-induct, blast+)
done

```

```

lemma trans-trancl:  $\text{trans}(r^{\wedge+})$ 
  unfolding trans-def trancl-def
apply (blast intro: rtrancl-into-rtrancl
      trans-rtrancl [THEN transD, THEN compI])
done

```

```

lemmas trans-on-trancl = trans-trancl [THEN trans-imp-trans-on]

```

```

lemmas trancl-trans = trans-trancl [THEN transD]

```

```

lemma trancl-into-rtrancl:  $\langle a, b \rangle \in r^{\wedge+} \implies \langle a, b \rangle \in r^{\wedge*}$ 
  unfolding trancl-def
apply (blast intro: rtrancl-into-rtrancl)
done

```

```

lemma r-into-trancl:  $\langle a, b \rangle \in r \implies \langle a, b \rangle \in r^{\wedge+}$ 
  unfolding trancl-def
apply (blast intro!: rtrancl-refl)
done

```

```

lemma r-subset-trancl:  $\text{relation}(r) \implies r \subseteq r^{\wedge+}$ 
by (simp add: relation-def, blast intro: r-into-trancl)

```

```

lemma rtrancl-into-trancl1:  $\llbracket \langle a, b \rangle \in r^{\wedge*}; \quad \langle b, c \rangle \in r \rrbracket \implies \langle a, c \rangle \in r^{\wedge+}$ 
by (unfold trancl-def, blast)

```

```

lemma rtrancl-into-trancl2:
   $\llbracket \langle a, b \rangle \in r; \quad \langle b, c \rangle \in r^{\wedge*} \rrbracket \implies \langle a, c \rangle \in r^{\wedge+}$ 
apply (erule rtrancl-induct)
apply (erule r-into-trancl)

```



**apply** (*blast intro: r-into-trancl trancl-trans*)  
**done**

**lemma** *trancl-induct* [*case-names initial step, induct set: trancl*]:

$\llbracket \langle a, b \rangle \in r^{\hat{+}};$   
 $\bigwedge y. \llbracket \langle a, y \rangle \in r \rrbracket \implies P(y);$   
 $\bigwedge y z. \llbracket \langle a, y \rangle \in r^{\hat{+}}; \langle y, z \rangle \in r; P(y) \rrbracket \implies P(z)$   
 $\rrbracket \implies P(b)$

**apply** (*rule compEpair*)

**apply** (*unfold trancl-def, assumption*)

**apply** (*subgoal-tac  $\forall z. \langle y, z \rangle \in r \longrightarrow P(z)$* )

**apply** *blast*

**apply** (*erule rtrancl-induct*)

**apply** (*blast intro: rtrancl-into-trancl1*)+

**done**

**lemma** *tranclE*:

$\llbracket \langle a, b \rangle \in r^{\hat{+}};$   
 $\langle a, b \rangle \in r \implies P;$   
 $\bigwedge y. \llbracket \langle a, y \rangle \in r^{\hat{+}}; \langle y, b \rangle \in r \rrbracket \implies P$   
 $\rrbracket \implies P$

**apply** (*subgoal-tac  $\langle a, b \rangle \in r \mid (\exists y. \langle a, y \rangle \in r^{\hat{+}} \wedge \langle y, b \rangle \in r)$* )

**apply** *blast*

**apply** (*rule compEpair*)

**apply** (*unfold trancl-def, assumption*)

**apply** (*erule rtranclE*)

**apply** (*blast intro: rtrancl-into-trancl1*)+

**done**

**lemma** *trancl-type*:  $r^{\hat{+}} \subseteq \text{field}(r) * \text{field}(r)$

**unfolding** *trancl-def*

**apply** (*blast elim: rtrancl-type [THEN subsetD, THEN SigmaE2]*)

**done**

**lemma** *relation-trancl*:  $\text{relation}(r^{\hat{+}})$

**apply** (*simp add: relation-def*)

**apply** (*blast dest: trancl-type [THEN subsetD]*)

**done**

**lemma** *trancl-subset-times*:  $r \subseteq A * A \implies r^{\hat{+}} \subseteq A * A$

**by** (*insert trancl-type [of r], blast*)

**lemma** *trancl-mono*:  $r \leq s \implies r^{\hat{+}} \subseteq s^{\hat{+}}$

**by** (*unfold trancl-def, intro comp-mono rtrancl-mono*)

```

lemma trancl-eq-r:  $\llbracket \text{relation}(r); \text{trans}(r) \rrbracket \implies r^{\wedge+} = r$ 
apply (rule equalityI)
  prefer 2 apply (erule r-subset-trancl, clarify)
apply (frule trancl-type [THEN subsetD], clarify)
apply (erule trancl-induct, assumption)
apply (blast dest: transD)
done

```

```

lemma rtrancl-idemp [simp]:  $(r^{\wedge*})^{\wedge*} = r^{\wedge*}$ 
apply (rule equalityI, auto)
  prefer 2
  apply (frule rtrancl-type [THEN subsetD])
  apply (blast intro: r-into-rtrancl )

```

converse direction

```

apply (frule rtrancl-type [THEN subsetD], clarify)
apply (erule rtrancl-induct)
apply (simp add: rtrancl-refl rtrancl-field)
apply (blast intro: rtrancl-trans)
done

```

```

lemma rtrancl-subset:  $\llbracket R \subseteq S; S \subseteq R^{\wedge*} \rrbracket \implies S^{\wedge*} = R^{\wedge*}$ 
apply (drule rtrancl-mono)
apply (drule rtrancl-mono, simp-all, blast)
done

```

```

lemma rtrancl-Un-rtrancl:
   $\llbracket \text{relation}(r); \text{relation}(s) \rrbracket \implies (r^{\wedge*} \cup s^{\wedge*})^{\wedge*} = (r \cup s)^{\wedge*}$ 
apply (rule rtrancl-subset)
apply (blast dest: r-subset-rtrancl)
apply (blast intro: rtrancl-mono [THEN subsetD])
done

```

```

lemma rtrancl-converseD:  $\langle x, y \rangle : \text{converse}(r)^{\wedge*} \implies \langle x, y \rangle : \text{converse}(r^{\wedge*})$ 
apply (rule converseI)
apply (frule rtrancl-type [THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: rtrancl-refl)
apply (blast intro: r-into-rtrancl rtrancl-trans)
done

```

```

lemma rtrancl-converseI:  $\langle x, y \rangle : \text{converse}(r^{\wedge*}) \implies \langle x, y \rangle : \text{converse}(r)^{\wedge*}$ 

```

```

apply (drule converseD)
apply (frule rtrancl-type [THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: rtrancl-refl)
apply (blast intro: r-into-rtrancl rtrancl-trans)
done

```

```

lemma rtrancl-converse:  $\text{converse}(r)^{\wedge*} = \text{converse}(r^{\wedge*})$ 
apply (safe intro!: equalityI)
apply (frule rtrancl-type [THEN subsetD])
apply (safe dest!: rtrancl-converseD intro!: rtrancl-converseI)
done

```

```

lemma trancl-converseD:  $\langle a, b \rangle : \text{converse}(r)^{\wedge+} \implies \langle a, b \rangle : \text{converse}(r^{\wedge+})$ 
apply (erule trancl-induct)
apply (auto intro: r-into-trancl trancl-trans)
done

```

```

lemma trancl-converseI:  $\langle x, y \rangle : \text{converse}(r^{\wedge+}) \implies \langle x, y \rangle : \text{converse}(r)^{\wedge+}$ 
apply (drule converseD)
apply (erule trancl-induct)
apply (auto intro: r-into-trancl trancl-trans)
done

```

```

lemma trancl-converse:  $\text{converse}(r)^{\wedge+} = \text{converse}(r^{\wedge+})$ 
apply (safe intro!: equalityI)
apply (frule trancl-type [THEN subsetD])
apply (safe dest!: trancl-converseD intro!: trancl-converseI)
done

```

```

lemma converse-trancl-induct [case-names initial step, consumes 1]:

$$\begin{aligned} & \llbracket \langle a, b \rangle : r^{\wedge+}; \bigwedge y. \langle y, b \rangle : r \implies P(y); \\ & \quad \bigwedge y z. \llbracket \langle y, z \rangle \in r; \langle z, b \rangle \in r^{\wedge+}; P(z) \rrbracket \implies P(y) \rrbracket \\ & \implies P(a) \end{aligned}$$

apply (drule converseI)
apply (simp (no-asm-use) add: trancl-converse [symmetric])
apply (erule trancl-induct)
apply (auto simp add: trancl-converse)
done

```

**end**

## 12 Well-Founded Recursion

**theory** *WF* **imports** *Trancl* **begin**

**definition**

$wf \quad :: i \Rightarrow o \text{ where}$

$$wf(r) \equiv \forall Z. Z=0 \mid (\exists x \in Z. \forall y. \langle y, x \rangle : r \longrightarrow \neg y \in Z)$$

**definition**

$wf\text{-}on :: [i, i] \Rightarrow o \quad (\langle \langle \text{open-block notation} = \langle \text{mixfix } wf\text{-}on \rangle \rangle wf[-]'(-)) \rangle \text{ where}$

$$wf\text{-}on(A, r) \equiv wf(r \cap A * A)$$

**definition**

$is\text{-}recfun :: [i, i, [i, i] \Rightarrow i, i] \Rightarrow o \text{ where}$

$$is\text{-}recfun(r, a, H, f) \equiv (f = (\lambda x \in r - \{\{a\}\}. H(x, restrict(f, r - \{\{x\}\}))))$$

**definition**

$the\text{-}recfun :: [i, i, [i, i] \Rightarrow i] \Rightarrow i \text{ where}$

$$the\text{-}recfun(r, a, H) \equiv (THE f. is\text{-}recfun(r, a, H, f))$$

**definition**

$wftrec :: [i, i, [i, i] \Rightarrow i] \Rightarrow i \text{ where}$

$$wftrec(r, a, H) \equiv H(a, the\text{-}recfun(r, a, H))$$

**definition**

$wfrec :: [i, i, [i, i] \Rightarrow i] \Rightarrow i \text{ where}$

$$wfrec(r, a, H) \equiv wftrec(r^{\wedge}+, a, \lambda x f. H(x, restrict(f, r - \{\{x\}\})))$$

**definition**

$wfrec\text{-}on :: [i, i, i, [i, i] \Rightarrow i] \Rightarrow i \quad (\langle \langle \text{open-block notation} = \langle \text{mixfix } wfrec\text{-}on \rangle \rangle wfrec[-]'(-, -, -)) \rangle$

**where**  $wfrec[A](r, a, H) \equiv wfrec(r \cap A * A, a, H)$

## 12.1 Well-Founded Relations

### 12.1.1 Equivalences between $wf$ and $wf\text{-}on$

**lemma**  $wf\text{-}imp\text{-}wf\text{-}on$ :  $wf(r) \implies wf[A](r)$

**by** ( $unfold\ wf\text{-}def\ wf\text{-}on\text{-}def, force$ )

**lemma**  $wf\text{-}on\text{-}imp\text{-}wf$ :  $\llbracket wf[A](r); r \subseteq A * A \rrbracket \implies wf(r)$

**by** ( $simp\ add$ :  $wf\text{-}on\text{-}def\ subset\text{-}Int\text{-}iff$ )

**lemma**  $wf\text{-}on\text{-}field\text{-}imp\text{-}wf$ :  $wf[field(r)](r) \implies wf(r)$

**by** ( $unfold\ wf\text{-}def\ wf\text{-}on\text{-}def, fast$ )

**lemma**  $wf\text{-}iff\text{-}wf\text{-}on\text{-}field$ :  $wf(r) \longleftrightarrow wf[field(r)](r)$

**by** ( $blast\ intro$ :  $wf\text{-}imp\text{-}wf\text{-}on\ wf\text{-}on\text{-}field\text{-}imp\text{-}wf$ )

**lemma**  $wf\text{-}on\text{-}subset\text{-}A$ :  $\llbracket wf[A](r); B \leq A \rrbracket \implies wf[B](r)$

**by** ( $unfold\ wf\text{-}on\text{-}def\ wf\text{-}def, fast$ )

**lemma**  $wf\text{-}on\text{-}subset\text{-}r$ :  $\llbracket wf[A](r); s \leq r \rrbracket \implies wf[A](s)$

**by** (*unfold wf-on-def wf-def, fast*)

**lemma** *wf-subset*:  $\llbracket wf(s); r \leq s \rrbracket \implies wf(r)$   
**by** (*simp add: wf-def, fast*)

### 12.1.2 Introduction Rules for *wf-on*

If every non-empty subset of  $A$  has an  $r$ -minimal element then we have  $wf[A](r)$ .

**lemma** *wf-onI*:  
**assumes** *prem*:  $\bigwedge Z u. \llbracket Z \leq A; u \in Z; \forall x \in Z. \exists y \in Z. \langle y, x \rangle : r \rrbracket \implies False$   
**shows**  $wf[A](r)$   
**unfolding** *wf-on-def wf-def*  
**apply** (*rule equalsOI [THEN disjCI, THEN allI]*)  
**apply** (*rule-tac Z = Z in prem, blast+*)  
**done**

If  $r$  allows well-founded induction over  $A$  then we have  $wf[A](r)$ . Premise is equivalent to  $\bigwedge B. \forall x \in A. (\forall y. \langle y, x \rangle \in r \longrightarrow y \in B) \longrightarrow x \in B \implies A \subseteq B$

**lemma** *wf-onI2*:  
**assumes** *prem*:  $\bigwedge y B. \llbracket \forall x \in A. (\forall y \in A. \langle y, x \rangle : r \longrightarrow y \in B) \longrightarrow x \in B; y \in A \rrbracket \implies y \in B$   
**shows**  $wf[A](r)$   
**apply** (*rule wf-onI*)  
**apply** (*rule-tac c=u in prem [THEN DiffE]*)  
**prefer 3 apply blast**  
**apply fast+**  
**done**

### 12.1.3 Well-founded Induction

Consider the least  $z$  in  $domain(r)$  such that  $P(z)$  does not hold...

**lemma** *wf-induct-raw*:  
 $\llbracket wf(r); \bigwedge x. \llbracket \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \implies P(x) \rrbracket \implies P(a)$   
**unfolding** *wf-def*  
**apply** (*erule-tac x = {z ∈ domain(r). ¬ P(z)} in allE*)  
**apply blast**  
**done**

**lemmas** *wf-induct* = *wf-induct-raw* [*rule-format, consumes 1, case-names step, induct set: wf*]

The form of this rule is designed to match *wfI*

**lemma** *wf-induct2*:

$\llbracket wf(r); a \in A; field(r) \leq A; \bigwedge x. \llbracket x \in A; \forall y. \langle y, x \rangle: r \longrightarrow P(y) \rrbracket \Longrightarrow P(x) \rrbracket$   
 $\Longrightarrow P(a)$   
**apply** (erule-tac  $P=a \in A$  in rev-mp)  
**apply** (erule-tac  $a=a$  in wf-induct, blast)  
**done**

**lemma** field-Int-square:  $field(r \cap A * A) \subseteq A$   
**by** blast

**lemma** wf-on-induct-raw [consumes 2, induct set: wf-on]:  
 $\llbracket wf[A](r); a \in A; \bigwedge x. \llbracket x \in A; \forall y \in A. \langle y, x \rangle: r \longrightarrow P(y) \rrbracket \Longrightarrow P(x) \rrbracket$   
 $\Longrightarrow P(a)$   
**unfolding** wf-on-def  
**apply** (erule wf-induct2, assumption)  
**apply** (rule field-Int-square, blast)  
**done**

**lemma** wf-on-induct [consumes 2, case-names step, induct set: wf-on]:  
 $wf[A](r) \Longrightarrow a \in A \Longrightarrow (\bigwedge x. x \in A \Longrightarrow (\bigwedge y. y \in A \Longrightarrow \langle y, x \rangle \in r \Longrightarrow P(y)))$   
 $\Longrightarrow P(x) \Longrightarrow P(a)$   
**using** wf-on-induct-raw [of  $A$   $r$   $a$   $P$ ] **by** simp

If  $r$  allows well-founded induction then we have  $wf(r)$ .

**lemma** wfI:  
 $\llbracket field(r) \leq A; \bigwedge y B. \llbracket \forall x \in A. (\forall y \in A. \langle y, x \rangle: r \longrightarrow y \in B) \longrightarrow x \in B; y \in A \rrbracket \Longrightarrow y \in B \rrbracket$   
 $\Longrightarrow wf(r)$   
**apply** (rule wf-on-subset-A [THEN wf-on-field-imp-wf])  
**apply** (rule wf-onI2)  
**prefer** 2 **apply** blast  
**apply** blast  
**done**

## 12.2 Basic Properties of Well-Founded Relations

**lemma** wf-not-refl:  $wf(r) \Longrightarrow \langle a, a \rangle \notin r$   
**by** (erule-tac  $a=a$  in wf-induct, blast)

**lemma** wf-not-sym [rule-format]:  $wf(r) \Longrightarrow \forall x. \langle a, x \rangle: r \longrightarrow \langle x, a \rangle \notin r$   
**by** (erule-tac  $a=a$  in wf-induct, blast)

**lemmas** wf-asym = wf-not-sym [THEN swap]

**lemma** wf-on-not-refl:  $\llbracket wf[A](r); a \in A \rrbracket \Longrightarrow \langle a, a \rangle \notin r$   
**by** (erule-tac  $a=a$  in wf-on-induct, assumption, blast)

**lemma** *wf-on-not-sym*:

$\llbracket wf[A](r); a \in A \rrbracket \implies (\bigwedge b. b \in A \implies \langle a, b \rangle : r \implies \langle b, a \rangle \notin r)$   
**apply** (*atomize (full), intro impI*)  
**apply** (*erule-tac a=a in wf-on-induct, assumption, blast*)  
**done**

**lemma** *wf-on-asy*:

$\llbracket wf[A](r); \neg Z \implies \langle a, b \rangle \in r; \langle b, a \rangle \notin r \implies Z; \neg Z \implies a \in A; \neg Z \implies b \in A \rrbracket \implies Z$   
**by** (*blast dest: wf-on-not-sym*)

**lemma** *wf-on-chain3*:

$\llbracket wf[A](r); \langle a, b \rangle : r; \langle b, c \rangle : r; \langle c, a \rangle : r; a \in A; b \in A; c \in A \rrbracket \implies P$   
**apply** (*subgoal-tac  $\forall y \in A. \forall z \in A. \langle a, y \rangle : r \longrightarrow \langle y, z \rangle : r \longrightarrow \langle z, a \rangle : r \longrightarrow P$ , blast*)  
**apply** (*erule-tac a=a in wf-on-induct, assumption, blast*)  
**done**

transitive closure of a WF relation is WF provided  $A$  is downward closed

**lemma** *wf-on-trancl*:

$\llbracket wf[A](r); r - "A \subseteq A \rrbracket \implies wf[A](r^{\wedge+})$   
**apply** (*rule wf-onI2*)  
**apply** (*frule bspec [THEN mp], assumption+*)  
**apply** (*erule-tac a = y in wf-on-induct, assumption*)  
**apply** (*blast elim: tranclE, blast*)  
**done**

**lemma** *wf-trancl*:  $wf(r) \implies wf(r^{\wedge+})$

**apply** (*simp add: wf-iff-wf-on-field*)  
**apply** (*rule wf-on-subset-A*)  
**apply** (*erule wf-on-trancl*)  
**apply** *blast*  
**apply** (*rule trancl-type [THEN field-rel-subset]*)  
**done**

$r - " \{a\}$  is the set of everything under  $a$  in  $r$

**lemmas** *underI* = *vimage-singleton-iff* [*THEN iffD2*]

**lemmas** *underD* = *vimage-singleton-iff* [*THEN iffD1*]

### 12.3 The Predicate *is-recfun*

**lemma** *is-recfun-type*:  $is-recfun(r, a, H, f) \implies f \in r - " \{a\} -> range(f)$

**unfolding** *is-recfun-def*  
**apply** (*erule ssubst*)  
**apply** (*rule lamI [THEN rangeI, THEN lam-type], assumption*)  
**done**

**lemmas** *is-recfun-imp-function* = *is-recfun-type* [THEN *fun-is-function*]

**lemma** *apply-recfun*:

$\llbracket \text{is-recfun}(r, a, H, f); \langle x, a \rangle : r \rrbracket \implies f'x = H(x, \text{restrict}(f, r - \{\{x\}\}))$

**unfolding** *is-recfun-def*

replace *f* only on the left-hand side

**apply** (*erule-tac*  $P = \lambda x. t(x) = u$  **for**  $t\ u$  **in** *ssubst*)

**apply** (*simp add: underI*)

**done**

**lemma** *is-recfun-equal* [*rule-format*]:

$\llbracket wf(r); \text{trans}(r); \text{is-recfun}(r, a, H, f); \text{is-recfun}(r, b, H, g) \rrbracket$

$\implies \langle x, a \rangle : r \longrightarrow \langle x, b \rangle : r \longrightarrow f'x = g'x$

**apply** (*frule-tac*  $f = f$  **in** *is-recfun-type*)

**apply** (*frule-tac*  $f = g$  **in** *is-recfun-type*)

**apply** (*simp add: is-recfun-def*)

**apply** (*erule-tac*  $a = x$  **in** *wf-induct*)

**apply** (*intro impI*)

**apply** (*elim ssubst*)

**apply** (*simp (no-asm-simp) add: vimage-singleton-iff restrict-def*)

**apply** (*rule-tac*  $t = \lambda z. H(x, z)$  **for**  $x$  **in** *subst-context*)

**apply** (*subgoal-tac*  $\forall y \in r - \{\{x\}. \forall z. \langle y, z \rangle : f \longleftrightarrow \langle y, z \rangle : g$ )

**apply** (*blast dest: transD*)

**apply** (*simp add: apply-iff*)

**apply** (*blast dest: transD intro: sym*)

**done**

**lemma** *is-recfun-cut*:

$\llbracket wf(r); \text{trans}(r);$

$\text{is-recfun}(r, a, H, f); \text{is-recfun}(r, b, H, g); \langle b, a \rangle : r \rrbracket$

$\implies \text{restrict}(f, r - \{\{b\}\}) = g$

**apply** (*frule-tac*  $f = f$  **in** *is-recfun-type*)

**apply** (*rule fun-extension*)

**apply** (*blast dest: transD intro: restrict-type2*)

**apply** (*erule is-recfun-type, simp*)

**apply** (*blast dest: transD intro: is-recfun-equal*)

**done**

## 12.4 Recursion: Main Existence Lemma

**lemma** *is-recfun-functional*:

$\llbracket wf(r); \text{trans}(r); \text{is-recfun}(r, a, H, f); \text{is-recfun}(r, a, H, g) \rrbracket \implies f = g$

**by** (*blast intro: fun-extension is-recfun-type is-recfun-equal*)

**lemma** *the-recfun-eq*:

$\llbracket \text{is-recfun}(r, a, H, f); wf(r); \text{trans}(r) \rrbracket \implies \text{the-recfun}(r, a, H) = f$

**unfolding** *the-recfun-def*

**apply** (*blast intro: is-recfun-functional*)



done

**lemma** *is-the-recfun*:

$\llbracket is-recfun(r, a, H, f); wf(r); trans(r) \rrbracket$   
 $\implies is-recfun(r, a, H, the-recfun(r, a, H))$

**by** (*simp add: the-recfun-eq*)

**lemma** *unfold-the-recfun*:

$\llbracket wf(r); trans(r) \rrbracket \implies is-recfun(r, a, H, the-recfun(r, a, H))$

**apply** (*rule-tac a=a in wf-induct, assumption*)

**apply** (*rename-tac a1*)

**apply** (*rule-tac f =  $\lambda y \in r - \{a1\}. wftrec(r, y, H)$  in is-the-recfun*)

**apply** *typecheck*

**unfolding** *is-recfun-def wftrec-def*

— Applying the substitution: must keep the quantified assumption!

**apply** (*rule lam-cong [OF refl]*)

**apply** (*drule underD*)

**apply** (*fold is-recfun-def*)

**apply** (*rule-tac t =  $\lambda z. H(x, z)$  for x in subst-context*)

**apply** (*rule fun-extension*)

**apply** (*blast intro: is-recfun-type*)

**apply** (*rule lam-type [THEN restrict-type2]*)

**apply** *blast*

**apply** (*blast dest: transD*)

**apply** *atomize*

**apply** (*frule spec [THEN mp], assumption*)

**apply** (*subgoal-tac  $\langle xa, a1 \rangle \in r$* )

**apply** (*drule-tac x1 = xa in spec [THEN mp], assumption*)

**apply** (*simp add: vimage-singleton-iff*  
*apply-recfun is-recfun-cut*)

**apply** (*blast dest: transD*)

done

## 12.5 Unfolding $wftrec(r, a, H)$

**lemma** *the-recfun-cut*:

$\llbracket wf(r); trans(r); \langle b, a \rangle : r \rrbracket$   
 $\implies restrict(the-recfun(r, a, H), r - \{b\}) = the-recfun(r, b, H)$

**by** (*blast intro: is-recfun-cut unfold-the-recfun*)

**lemma** *wftrec*:

$\llbracket wf(r); trans(r) \rrbracket \implies$   
 $wftrec(r, a, H) = H(a, \lambda x \in r - \{a\}. wftrec(r, x, H))$

**unfolding** *wftrec-def*

**apply** (*subst unfold-the-recfun [unfolded is-recfun-def]*)

**apply** (*simp-all add: vimage-singleton-iff [THEN iff-sym] the-recfun-cut*)

done

### 12.5.1 Removal of the Premise $trans(r)$

**lemma** *wfrec*:

$wf(r) \implies wfrec(r, a, H) = H(a, \lambda x \in r - \{\{a\}. wfrec(r, x, H)\})$

**unfolding** *wfrec-def*

**apply** (*erule wf-trancl* [*THEN wfrec*, *THEN ssubst*])

**apply** (*rule trans-trancl*)

**apply** (*rule vimage-pair-mono* [*THEN restrict-lam-eq*, *THEN subst-context*])

**apply** (*erule r-into-trancl*)

**apply** (*rule subset-refl*)

**done**

**lemma** *def-wfrec*:

$\llbracket \bigwedge x. h(x) \equiv wfrec(r, x, H); wf(r) \rrbracket \implies$

$h(a) = H(a, \lambda x \in r - \{\{a\}. h(x)\})$

**apply** *simp*

**apply** (*elim wfrec*)

**done**

**lemma** *wfrec-type*:

$\llbracket wf(r); a \in A; field(r) \leq A;$

$\bigwedge x u. \llbracket x \in A; u \in Pi(r - \{\{x\}, B\}) \rrbracket \implies H(x, u) \in B(x)$

$\rrbracket \implies wfrec(r, a, H) \in B(a)$

**apply** (*rule-tac a = a in wf-induct2, assumption+*)

**apply** (*subst wfrec, assumption*)

**apply** (*simp add: lam-type underD*)

**done**

**lemma** *wfrec-on*:

$\llbracket wf[A](r); a \in A \rrbracket \implies$

$wfrec[A](r, a, H) = H(a, \lambda x \in (r - \{\{a\}\}) \cap A. wfrec[A](r, x, H))$

**unfolding** *wf-on-def wfrec-on-def*

**apply** (*erule wfrec* [*THEN trans*])

**apply** (*simp add: vimage-Int-square*)

**done**

Minimal-element characterization of well-foundedness

**lemma** *wf-eq-minimal*:  $wf(r) \longleftrightarrow (\forall Q. x \in Q \longrightarrow (\exists z \in Q. \forall y. \langle y, z \rangle : r \longrightarrow y \notin Q))$

**unfolding** *wf-def* **by** *blast*

**end**

## 13 Transitive Sets and Ordinals

**theory** *Ordinal* **imports** *WF Bool equalities* **begin**

**definition**

$Memrel \quad :: i \Rightarrow i \text{ where}$   
 $Memrel(A) \equiv \{ z \in A * A . \exists x y. z = \langle x, y \rangle \wedge x \in y \}$

**definition**

$Transset \quad :: i \Rightarrow o \text{ where}$   
 $Transset(i) \equiv \forall x \in i. x <= i$

**definition**

$Ord \quad :: i \Rightarrow o \text{ where}$   
 $Ord(i) \equiv Transset(i) \wedge (\forall x \in i. Transset(x))$

**definition**

$lt \quad :: [i, i] \Rightarrow o \text{ (infixl } \langle < \rangle 50) \text{ where}$   
 $i < j \quad \equiv i \in j \wedge Ord(j)$

**definition**

$Limit \quad :: i \Rightarrow o \text{ where}$   
 $Limit(i) \equiv Ord(i) \wedge 0 < i \wedge (\forall y. y < i \longrightarrow succ(y) < i)$

**abbreviation**

$le \text{ (infixl } \langle \leq \rangle 50) \text{ where}$   
 $x \leq y \equiv x < succ(y)$

**13.1 Rules for Transset****13.1.1 Three Neat Characterisations of Transset**

**lemma** *Transset-iff-Pow*:  $Transset(A) <-> A <= Pow(A)$   
**by** (*unfold Transset-def, blast*)

**lemma** *Transset-iff-Union-succ*:  $Transset(A) <-> \bigcup (succ(A)) = A$   
**unfolding** *Transset-def*  
**apply** (*blast elim!: equalityE*)  
**done**

**lemma** *Transset-iff-Union-subset*:  $Transset(A) <-> \bigcup (A) \subseteq A$   
**by** (*unfold Transset-def, blast*)

**13.1.2 Consequences of Downwards Closure**

**lemma** *Transset-doubleton-D*:  
 $\llbracket Transset(C); \{a, b\} : C \rrbracket \Longrightarrow a \in C \wedge b \in C$   
**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Pair-D*:  
 $\llbracket Transset(C); \langle a, b \rangle \in C \rrbracket \Longrightarrow a \in C \wedge b \in C$   
**apply** (*simp add: Pair-def*)  
**apply** (*blast dest: Transset-doubleton-D*)  
**done**

**lemma** *Transset-includes-domain*:

$\llbracket \text{Transset}(C); A*B \subseteq C; b \in B \rrbracket \implies A \subseteq C$   
**by** (*blast dest: Transset-Pair-D*)

**lemma** *Transset-includes-range*:

$\llbracket \text{Transset}(C); A*B \subseteq C; a \in A \rrbracket \implies B \subseteq C$   
**by** (*blast dest: Transset-Pair-D*)

### 13.1.3 Closure Properties

**lemma** *Transset-0*:  $\text{Transset}(0)$

**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Un*:

$\llbracket \text{Transset}(i); \text{Transset}(j) \rrbracket \implies \text{Transset}(i \cup j)$   
**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Int*:

$\llbracket \text{Transset}(i); \text{Transset}(j) \rrbracket \implies \text{Transset}(i \cap j)$   
**by** (*unfold Transset-def, blast*)

**lemma** *Transset-succ*:  $\text{Transset}(i) \implies \text{Transset}(\text{succ}(i))$

**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Pow*:  $\text{Transset}(i) \implies \text{Transset}(\text{Pow}(i))$

**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Union*:  $\text{Transset}(A) \implies \text{Transset}(\bigcup(A))$

**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Union-family*:

$\llbracket \bigwedge i. i \in A \implies \text{Transset}(i) \rrbracket \implies \text{Transset}(\bigcup(A))$   
**by** (*unfold Transset-def, blast*)

**lemma** *Transset-Inter-family*:

$\llbracket \bigwedge i. i \in A \implies \text{Transset}(i) \rrbracket \implies \text{Transset}(\bigcap(A))$   
**by** (*unfold Inter-def Transset-def, blast*)

**lemma** *Transset-UN*:

$(\bigwedge x. x \in A \implies \text{Transset}(B(x))) \implies \text{Transset}(\bigcup_{x \in A} B(x))$   
**by** (*rule Transset-Union-family, auto*)

**lemma** *Transset-INT*:

$(\bigwedge x. x \in A \implies \text{Transset}(B(x))) \implies \text{Transset}(\bigcap_{x \in A} B(x))$   
**by** (*rule Transset-Inter-family, auto*)

## 13.2 Lemmas for Ordinals

**lemma** *OrdI*:

**by** (*simp add: Ord-def*)

**lemma** *Ord-is-Transset*:  $\text{Ord}(i) \implies \text{Transset}(i)$   
**by** (*simp add: Ord-def*)

**lemma** *Ord-contains-Transset*:  
 $\llbracket \text{Ord}(i); j \in i \rrbracket \implies \text{Transset}(j)$   
**by** (*unfold Ord-def, blast*)

**lemma** *Ord-in-Ord*:  $\llbracket \text{Ord}(i); j \in i \rrbracket \implies \text{Ord}(j)$   
**by** (*unfold Ord-def Transset-def, blast*)

**lemma** *Ord-in-Ord'*:  $\llbracket j \in i; \text{Ord}(i) \rrbracket \implies \text{Ord}(j)$   
**by** (*blast intro: Ord-in-Ord*)

**lemmas** *Ord-succD* = *Ord-in-Ord* [*OF - succI1*]

**lemma** *Ord-subset-Ord*:  $\llbracket \text{Ord}(i); \text{Transset}(j); j \leq i \rrbracket \implies \text{Ord}(j)$   
**by** (*simp add: Ord-def Transset-def, blast*)

**lemma** *OrdmemD*:  $\llbracket j \in i; \text{Ord}(i) \rrbracket \implies j \leq i$   
**by** (*unfold Ord-def Transset-def, blast*)

**lemma** *Ord-trans*:  $\llbracket i \in j; j \in k; \text{Ord}(k) \rrbracket \implies i \in k$   
**by** (*blast dest: OrdmemD*)

**lemma** *Ord-succ-subsetI*:  $\llbracket i \in j; \text{Ord}(j) \rrbracket \implies \text{succ}(i) \subseteq j$   
**by** (*blast dest: OrdmemD*)

### 13.3 The Construction of Ordinals: 0, succ, Union

**lemma** *Ord-0* [*iff, TC*]:  $\text{Ord}(0)$   
**by** (*blast intro: OrdI Transset-0*)

**lemma** *Ord-succ* [*TC*]:  $\text{Ord}(i) \implies \text{Ord}(\text{succ}(i))$   
**by** (*blast intro: OrdI Transset-succ Ord-is-Transset Ord-contains-Transset*)

**lemmas** *Ord-1* = *Ord-0* [*THEN Ord-succ*]

**lemma** *Ord-succ-iff* [*iff*]:  $\text{Ord}(\text{succ}(i)) \iff \text{Ord}(i)$   
**by** (*blast intro: Ord-succ dest!: Ord-succD*)

**lemma** *Ord-Un* [*intro, simp, TC*]:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i \cup j)$   
**unfolding** *Ord-def*  
**apply** (*blast intro!: Transset-Un*)

done

**lemma** *Ord-Int* [TC]:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i \cap j)$   
**unfolding** *Ord-def*  
**apply** (*blast intro!*: *Transset-Int*)  
done

There is no set of all ordinals, for then it would contain itself

**lemma** *ON-class*:  $\neg (\forall i. i \in X \longleftrightarrow \text{Ord}(i))$   
**proof** (*rule notI*)  
**assume**  $X: \forall i. i \in X \longleftrightarrow \text{Ord}(i)$   
**have**  $\forall x y. x \in X \longrightarrow y \in x \longrightarrow y \in X$   
**by** (*simp add: X, blast intro: Ord-in-Ord*)  
**hence** *Transset*( $X$ )  
**by** (*auto simp add: Transset-def*)  
**moreover have**  $\bigwedge x. x \in X \implies \text{Transset}(x)$   
**by** (*simp add: X Ord-def*)  
**ultimately have** *Ord*( $X$ ) **by** (*rule OrdI*)  
**hence**  $X \in X$  **by** (*simp add: X*)  
**thus False** **by** (*rule mem-irrefl*)  
qed

### 13.4 $<$ is 'less Than' for Ordinals

**lemma** *ltI*:  $\llbracket i \in j; \text{Ord}(j) \rrbracket \implies i < j$   
**by** (*unfold lt-def, blast*)

**lemma** *ltE*:  
 $\llbracket i < j; \llbracket i \in j; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies P \rrbracket \implies P$   
**unfolding** *lt-def*  
**apply** (*blast intro: Ord-in-Ord*)  
done

**lemma** *ltD*:  $i < j \implies i \in j$   
**by** (*erule ltE, assumption*)

**lemma** *not-lt0* [*simp*]:  $\neg i < 0$   
**by** (*unfold lt-def, blast*)

**lemma** *lt-Ord*:  $j < i \implies \text{Ord}(j)$   
**by** (*erule ltE, assumption*)

**lemma** *lt-Ord2*:  $j < i \implies \text{Ord}(i)$   
**by** (*erule ltE, assumption*)

**lemmas** *le-Ord2* = *lt-Ord2* [*THEN Ord-succD*]

**lemmas**  $lt0E = not\text{-}lt0$  [*THEN*  $notE$ ,  $elim!$ ]

**lemma**  $lt\text{-}trans$  [*trans*]:  $\llbracket i < j; j < k \rrbracket \implies i < k$   
**by** ( $blast$   $intro!$ :  $ltI$   $elim!$ :  $ltE$   $intro$ :  $Ord\text{-}trans$ )

**lemma**  $lt\text{-}not\text{-}sym$ :  $i < j \implies \neg (j < i)$   
**unfolding**  $lt\text{-}def$   
**apply** ( $blast$   $elim$ :  $mem\text{-}asym$ )  
**done**

**lemmas**  $lt\text{-}asym = lt\text{-}not\text{-}sym$  [*THEN*  $swap$ ]

**lemma**  $lt\text{-}irrefl$  [ $elim!$ ]:  $i < i \implies P$   
**by** ( $blast$   $intro$ :  $lt\text{-}asym$ )

**lemma**  $lt\text{-}not\text{-}refl$ :  $\neg i < i$   
**apply** ( $rule$   $notI$ )  
**apply** ( $erule$   $lt\text{-}irrefl$ )  
**done**

Recall that  $i \leq j$  abbreviates  $i \leq j!$

**lemma**  $le\text{-}iff$ :  $i \leq j \iff i < j \mid (i = j \wedge Ord(j))$   
**by** ( $unfold$   $lt\text{-}def$ ,  $blast$ )

**lemma**  $leI$ :  $i < j \implies i \leq j$   
**by** ( $simp$   $add$ :  $le\text{-}iff$ )

**lemma**  $le\text{-}eqI$ :  $\llbracket i = j; Ord(j) \rrbracket \implies i \leq j$   
**by** ( $simp$   $add$ :  $le\text{-}iff$ )

**lemmas**  $le\text{-}refl = refl$  [*THEN*  $le\text{-}eqI$ ]

**lemma**  $le\text{-}refl\text{-}iff$  [ $iff$ ]:  $i \leq i \iff Ord(i)$   
**by** ( $simp$  ( $no\text{-}asm\text{-}simp$ )  $add$ :  $lt\text{-}not\text{-}refl$   $le\text{-}iff$ )

**lemma**  $leCI$ :  $(\neg (i = j \wedge Ord(j)) \implies i < j) \implies i \leq j$   
**by** ( $simp$   $add$ :  $le\text{-}iff$ ,  $blast$ )

**lemma**  $leE$ :  
 $\llbracket i \leq j; i < j \implies P; \llbracket i = j; Ord(j) \rrbracket \implies P \rrbracket \implies P$   
**by** ( $simp$   $add$ :  $le\text{-}iff$ ,  $blast$ )

**lemma**  $le\text{-}anti\text{-}sym$ :  $\llbracket i \leq j; j \leq i \rrbracket \implies i = j$   
**apply** ( $simp$   $add$ :  $le\text{-}iff$ )  
**apply** ( $blast$   $elim$ :  $lt\text{-}asym$ )  
**done**

**lemma** *le0-iff* [*simp*]:  $i \leq 0 \iff i = 0$   
**by** (*blast elim!*: *leE*)

**lemmas**  $le0D = le0-iff$  [*THEN iffD1*, *dest!*]

### 13.5 Natural Deduction Rules for Memrel

**lemma** *Memrel-iff* [*simp*]:  $\langle a, b \rangle \in \text{Memrel}(A) \iff a \in b \wedge a \in A \wedge b \in A$   
**by** (*unfold Memrel-def*, *blast*)

**lemma** *MemrelI* [*intro!*]:  $\llbracket a \in b; a \in A; b \in A \rrbracket \implies \langle a, b \rangle \in \text{Memrel}(A)$   
**by** *auto*

**lemma** *MemrelE* [*elim!*]:  
 $\llbracket \langle a, b \rangle \in \text{Memrel}(A);$   
 $\llbracket a \in A; b \in A; a \in b \rrbracket \implies P$   
 $\implies P$   
**by** *auto*

**lemma** *Memrel-type*:  $\text{Memrel}(A) \subseteq A * A$   
**by** (*unfold Memrel-def*, *blast*)

**lemma** *Memrel-mono*:  $A \leq B \implies \text{Memrel}(A) \subseteq \text{Memrel}(B)$   
**by** (*unfold Memrel-def*, *blast*)

**lemma** *Memrel-0* [*simp*]:  $\text{Memrel}(0) = 0$   
**by** (*unfold Memrel-def*, *blast*)

**lemma** *Memrel-1* [*simp*]:  $\text{Memrel}(1) = 0$   
**by** (*unfold Memrel-def*, *blast*)

**lemma** *relation-Memrel*:  $\text{relation}(\text{Memrel}(A))$   
**by** (*simp add: relation-def Memrel-def*)

**lemma** *wf-Memrel*:  $\text{wf}(\text{Memrel}(A))$   
**unfolding** *wf-def*  
**apply** (*rule foundation [THEN disjE, THEN allI]*, *erule disjI1*, *blast*)  
**done**

The premise  $\text{Ord}(i)$  does not suffice.

**lemma** *trans-Memrel*:  
 $\text{Ord}(i) \implies \text{trans}(\text{Memrel}(i))$   
**by** (*unfold Ord-def Transset-def trans-def*, *blast*)

However, the following premise is strong enough.

**lemma** *Transset-trans-Memrel*:  
 $\forall j \in i. \text{Transset}(j) \implies \text{trans}(\text{Memrel}(i))$   
**by** (*unfold Transset-def trans-def*, *blast*)



**lemma** *Transset-Memrel-iff*:  
 $\text{Transset}(A) \implies \langle a, b \rangle \in \text{Memrel}(A) \iff a \in b \wedge b \in A$   
**by** (*unfold Transset-def, blast*)

## 13.6 Transfinite Induction

**lemma** *Transset-induct*:  

$$\begin{aligned} & \llbracket i \in k; \text{Transset}(k); \\ & \quad \bigwedge x. \llbracket x \in k; \forall y \in x. P(y) \rrbracket \implies P(x) \rrbracket \\ & \implies P(i) \end{aligned}$$
  
**apply** (*simp add: Transset-def*)  
**apply** (*erule wf-Memrel [THEN wf-induct2], blast+*)  
**done**

**lemma** *Ord-induct* [*consumes 2*]:  
 $i \in k \implies \text{Ord}(k) \implies (\bigwedge x. x \in k \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$   
**using** *Transset-induct* [*OF - Ord-is-Transset, of i k P*] **by** *simp*

**lemma** *trans-induct* [*consumes 1, case-names step*]:  
 $\text{Ord}(i) \implies (\bigwedge x. \text{Ord}(x) \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$   
**apply** (*rule Ord-succ [THEN succI1 [THEN Ord-induct]], assumption*)  
**apply** (*blast intro: Ord-succ [THEN Ord-in-Ord]*)  
**done**

## 14 Fundamental properties of the epsilon ordering (< on ordinals)

### 14.0.1 Proving That < is a Linear Ordering on the Ordinals

**lemma** *Ord-linear*:  
 $\text{Ord}(i) \implies \text{Ord}(j) \implies i \in j \mid i = j \mid j \in i$   
**proof** (*induct i arbitrary: j rule: trans-induct*)  
**case** (*step i*)  
**note** *step-i = step*  
**show** *?case using*  $\langle \text{Ord}(j) \rangle$   
**proof** (*induct j rule: trans-induct*)  
**case** (*step j*)  
**thus** *?case using step-i*  
**by** (*blast dest: Ord-trans*)  
**qed**  
**qed**

The trichotomy law for ordinals

**lemma** *Ord-linear-lt*:  
**assumes** *o: Ord(i) Ord(j)*

```

  obtains (lt)  $i < j$  | (eq)  $i = j$  | (gt)  $j < i$ 
apply (simp add: lt-def)
apply (rule-tac  $i1 = i$  and  $j1 = j$  in Ord-linear [THEN disjE])
apply (blast intro: o)+
done

```

```

lemma Ord-linear2:
  assumes o: Ord(i) Ord(j)
  obtains (lt)  $i < j$  | (ge)  $j \leq i$ 
apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: leI le-eqI sym o) +
done

```

```

lemma Ord-linear-le:
  assumes o: Ord(i) Ord(j)
  obtains (le)  $i \leq j$  | (ge)  $j \leq i$ 
apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: leI le-eqI o) +
done

```

```

lemma le-imp-not-lt:  $j \leq i \implies \neg i < j$ 
by (blast elim!: leE elim: lt-asym)

```

```

lemma not-lt-imp-le:  $\llbracket \neg i < j; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i$ 
by (rule-tac  $i = i$  and  $j = j$  in Ord-linear2, auto)

```

#### 14.0.2 Some Rewrite Rules for $<$ , $\leq$

```

lemma Ord-mem-iff-lt:  $\text{Ord}(j) \implies i \in j \iff i < j$ 
by (unfold lt-def, blast)

```

```

lemma not-lt-iff-le:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \neg i < j \iff j \leq i$ 
by (blast dest: le-imp-not-lt not-lt-imp-le)

```

```

lemma not-le-iff-lt:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \neg i \leq j \iff j < i$ 
by (simp (no-asm-simp) add: not-lt-iff-le [THEN iff-sym])

```

```

lemma Ord-0-le:  $\text{Ord}(i) \implies 0 \leq i$ 
by (erule not-lt-iff-le [THEN iffD1], auto)

```

```

lemma Ord-0-lt:  $\llbracket \text{Ord}(i); i \neq 0 \rrbracket \implies 0 < i$ 
apply (erule not-le-iff-lt [THEN iffD1])
apply (rule Ord-0, blast)
done

```

```

lemma Ord-0-lt-iff:  $\text{Ord}(i) \implies i \neq 0 \iff 0 < i$ 
by (blast intro: Ord-0-lt)

```

## 14.1 Results about Less-Than or Equals

**lemma** *zero-le-succ-iff* [iff]:  $0 \leq \text{succ}(x) \leftrightarrow \text{Ord}(x)$   
**by** (*blast intro: Ord-0-le elim: ltE*)

**lemma** *subset-imp-le*:  $\llbracket j <= i; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i$   
**apply** (*rule not-lt-iff-le [THEN iffD1], assumption+*)  
**apply** (*blast elim: ltE mem-irrefl*)  
**done**

**lemma** *le-imp-subset*:  $i \leq j \implies i <= j$   
**by** (*blast dest: OrdmemD elim: ltE leE*)

**lemma** *le-subset-iff*:  $j \leq i \leftrightarrow j <= i \wedge \text{Ord}(i) \wedge \text{Ord}(j)$   
**by** (*blast dest: subset-imp-le le-imp-subset elim: ltE*)

**lemma** *le-succ-iff*:  $i \leq \text{succ}(j) \leftrightarrow i \leq j \mid i = \text{succ}(j) \wedge \text{Ord}(i)$   
**apply** (*simp (no-asm) add: le-iff*)  
**apply** *blast*  
**done**

**lemma** *all-lt-imp-le*:  $\llbracket \text{Ord}(i); \text{Ord}(j); \bigwedge x. x < j \implies x < i \rrbracket \implies j \leq i$   
**by** (*blast intro: not-lt-imp-le dest: lt-irrefl*)

### 14.1.1 Transitivity Laws

**lemma** *lt-trans1*:  $\llbracket i \leq j; j < k \rrbracket \implies i < k$   
**by** (*blast elim!: leE intro: lt-trans*)

**lemma** *lt-trans2*:  $\llbracket i < j; j \leq k \rrbracket \implies i < k$   
**by** (*blast elim!: leE intro: lt-trans*)

**lemma** *le-trans*:  $\llbracket i \leq j; j \leq k \rrbracket \implies i \leq k$   
**by** (*blast intro: lt-trans1*)

**lemma** *succ-leI*:  $i < j \implies \text{succ}(i) \leq j$   
**apply** (*rule not-lt-iff-le [THEN iffD1]*)  
**apply** (*blast elim: ltE leE lt-asm*)  
**done**

**lemma** *succ-leE*:  $\text{succ}(i) \leq j \implies i < j$   
**apply** (*rule not-le-iff-lt [THEN iffD1]*)  
**apply** (*blast elim: ltE leE lt-asm*)  
**done**

**lemma** *succ-le-iff* [iff]:  $\text{succ}(i) \leq j \leftrightarrow i < j$   
**by** (*blast intro: succ-leI succ-leE*)

**lemma** *succ-le-imp-le*:  $\text{succ}(i) \leq \text{succ}(j) \implies i \leq j$   
**by** (*blast dest!*: *succ-leE*)

**lemma** *lt-subset-trans*:  $\llbracket i \subseteq j; j < k; \text{Ord}(i) \rrbracket \implies i < k$   
**apply** (*rule subset-imp-le* [*THEN lt-trans1*])  
**apply** (*blast intro*: *elim*: *ltE*) +  
**done**

**lemma** *lt-imp-0-lt*:  $j < i \implies 0 < i$   
**by** (*blast intro*: *lt-trans1 Ord-0-le* [*OF lt-Ord*])

**lemma** *succ-lt-iff*:  $\text{succ}(i) < j \iff i < j \wedge \text{succ}(i) \neq j$   
**apply** *auto*  
**apply** (*blast intro*: *lt-trans le-refl dest*: *lt-Ord*)  
**apply** (*frule lt-Ord*)  
**apply** (*rule not-le-iff-lt* [*THEN iffD1*])  
**apply** (*blast intro*: *lt-Ord2*)  
**apply** *blast*  
**apply** (*simp add*: *lt-Ord lt-Ord2 le-iff*)  
**apply** (*blast dest*: *lt-asym*)  
**done**

**lemma** *Ord-succ-mem-iff*:  $\text{Ord}(j) \implies \text{succ}(i) \in \text{succ}(j) \iff i \in j$   
**apply** (*insert succ-le-iff* [*of i j*])  
**apply** (*simp add*: *lt-def*)  
**done**

#### 14.1.2 Union and Intersection

**lemma** *Un-upper1-le*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \leq i \cup j$   
**by** (*rule Un-upper1* [*THEN subset-imp-le*], *auto*)

**lemma** *Un-upper2-le*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i \cup j$   
**by** (*rule Un-upper2* [*THEN subset-imp-le*], *auto*)

**lemma** *Un-least-lt*:  $\llbracket i < k; j < k \rrbracket \implies i \cup j < k$   
**apply** (*rule-tac i = i and j = j in Ord-linear-le*)  
**apply** (*auto simp add*: *Un-commute le-subset-iff subset-Un-iff lt-Ord*)  
**done**

**lemma** *Un-least-lt-iff*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \cup j < k \iff i < k \wedge j < k$   
**apply** (*safe intro!*: *Un-least-lt*)  
**apply** (*rule-tac* [2] *Un-upper2-le* [*THEN lt-trans1*])  
**apply** (*rule Un-upper1-le* [*THEN lt-trans1*], *auto*)  
**done**

**lemma** *Un-least-mem-iff*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j); \text{Ord}(k) \rrbracket \implies i \cup j \in k \iff i \in k \wedge j \in k$

**apply** (*insert Un-least-lt-iff* [*of i j k*])  
**apply** (*simp add: lt-def*)  
**done**

**lemma** *Int-greatest-lt*:  $\llbracket i < k; j < k \rrbracket \implies i \cap j < k$   
**apply** (*rule-tac i = i and j = j in Ord-linear-le*)  
**apply** (*auto simp add: Int-commute le-subset-iff subset-Int-iff lt-Ord*)  
**done**

**lemma** *Ord-Un-if*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \cup j = (\text{if } j < i \text{ then } i \text{ else } j)$   
**by** (*simp add: not-lt-iff-le le-imp-subset leI*  
 $\text{subset-Un-iff}$  [*symmetric*]  $\text{subset-Un-iff2}$  [*symmetric*])

**lemma** *succ-Un-distrib*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{succ}(i \cup j) = \text{succ}(i) \cup \text{succ}(j)$   
**by** (*simp add: Ord-Un-if lt-Ord le-Ord2*)

**lemma** *lt-Un-iff*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies k < i \cup j \iff k < i \mid k < j$   
**apply** (*simp add: Ord-Un-if not-lt-iff-le*)  
**apply** (*blast intro: leI lt-trans2*)  
**done**

**lemma** *le-Un-iff*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies k \leq i \cup j \iff k \leq i \mid k \leq j$   
**by** (*simp add: succ-Un-distrib lt-Un-iff* [*symmetric*])

**lemma** *Un-upper1-lt*:  $\llbracket k < i; \text{Ord}(j) \rrbracket \implies k < i \cup j$   
**by** (*simp add: lt-Un-iff lt-Ord2*)

**lemma** *Un-upper2-lt*:  $\llbracket k < j; \text{Ord}(i) \rrbracket \implies k < i \cup j$   
**by** (*simp add: lt-Un-iff lt-Ord2*)

**lemma** *Ord-Union-succ-eq*:  $\text{Ord}(i) \implies \bigcup (\text{succ}(i)) = i$   
**by** (*blast intro: Ord-trans*)

## 14.2 Results about Limits

**lemma** *Ord-Union* [*intro, simp, TC*]:  $\llbracket \bigwedge i. i \in A \implies \text{Ord}(i) \rrbracket \implies \text{Ord}(\bigcup (A))$   
**apply** (*rule Ord-is-Transset* [*THEN Transset-Union-family, THEN OrdI*])  
**apply** (*blast intro: Ord-contains-Transset*)  
**done**

**lemma** *Ord-UN* [*intro, simp, TC*]:  
 $\llbracket \bigwedge x. x \in A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcup_{x \in A} B(x))$   
**by** (*rule Ord-Union, blast*)

**lemma** *Ord-Inter* [*intro,simp,TC*]:  
 $\llbracket \bigwedge i. i \in A \implies \text{Ord}(i) \rrbracket \implies \text{Ord}(\bigcap (A))$   
**apply** (*rule Transset-Inter-family* [*THEN OrdI*])  
**apply** (*blast intro: Ord-is-Transset*)  
**apply** (*simp add: Inter-def*)  
**apply** (*blast intro: Ord-contains-Transset*)  
**done**

**lemma** *Ord-INT* [*intro,simp,TC*]:  
 $\llbracket \bigwedge x. x \in A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcap_{x \in A} B(x))$   
**by** (*rule Ord-Inter, blast*)

**lemma** *UN-least-le*:  
 $\llbracket \text{Ord}(i); \bigwedge x. x \in A \implies b(x) \leq i \rrbracket \implies (\bigcup_{x \in A} b(x)) \leq i$   
**apply** (*rule le-imp-subset* [*THEN UN-least, THEN subset-imp-le*])  
**apply** (*blast intro: Ord-UN elim: ltE*)  
**done**

**lemma** *UN-succ-least-lt*:  
 $\llbracket j < i; \bigwedge x. x \in A \implies b(x) < j \rrbracket \implies (\bigcup_{x \in A} \text{succ}(b(x))) < i$   
**apply** (*rule ltE, assumption*)  
**apply** (*rule UN-least-le* [*THEN lt-trans2*])  
**apply** (*blast intro: succ-leI*)  
**done**

**lemma** *UN-upper-lt*:  
 $\llbracket a \in A; i < b(a); \text{Ord}(\bigcup_{x \in A} b(x)) \rrbracket \implies i < (\bigcup_{x \in A} b(x))$   
**by** (*unfold lt-def, blast*)

**lemma** *UN-upper-le*:  
 $\llbracket a \in A; i \leq b(a); \text{Ord}(\bigcup_{x \in A} b(x)) \rrbracket \implies i \leq (\bigcup_{x \in A} b(x))$   
**apply** (*frule ltD*)  
**apply** (*rule le-imp-subset* [*THEN subset-trans, THEN subset-imp-le*])  
**apply** (*blast intro: lt-Ord UN-upper*)  
**done**

**lemma** *lt-Union-iff*:  $\forall i \in A. \text{Ord}(i) \implies (j < \bigcup (A)) \iff (\exists i \in A. j < i)$   
**by** (*auto simp: lt-def Ord-Union*)

**lemma** *Union-upper-le*:  
 $\llbracket j \in J; i \leq j; \text{Ord}(\bigcup (J)) \rrbracket \implies i \leq \bigcup J$   
**apply** (*subst Union-eq-UN*)  
**apply** (*rule UN-upper-le, auto*)  
**done**

**lemma** *le-implies-UN-le-UN*:

$\llbracket \bigwedge x. x \in A \implies c(x) \leq d(x) \rrbracket \implies (\bigcup x \in A. c(x)) \leq (\bigcup x \in A. d(x))$   
**apply** (*rule UN-least-le*)  
**apply** (*rule-tac [2] UN-upper-le*)  
**apply** (*blast intro: Ord-UN le-Ord2*) +  
**done**

**lemma** *Ord-equality*:  $\text{Ord}(i) \implies (\bigcup y \in i. \text{succ}(y)) = i$   
**by** (*blast intro: Ord-trans*)

**lemma** *Ord-Union-subset*:  $\text{Ord}(i) \implies \bigcup(i) \subseteq i$   
**by** (*blast intro: Ord-trans*)

### 14.3 Limit Ordinals – General Properties

**lemma** *Limit-Union-eq*:  $\text{Limit}(i) \implies \bigcup(i) = i$   
**unfolding** *Limit-def*  
**apply** (*fast intro!: ltI elim!: ltE elim: Ord-trans*)  
**done**

**lemma** *Limit-is-Ord*:  $\text{Limit}(i) \implies \text{Ord}(i)$   
**unfolding** *Limit-def*  
**apply** (*erule conjunct1*)  
**done**

**lemma** *Limit-has-0*:  $\text{Limit}(i) \implies 0 < i$   
**unfolding** *Limit-def*  
**apply** (*erule conjunct2 [THEN conjunct1]*)  
**done**

**lemma** *Limit-nonzero*:  $\text{Limit}(i) \implies i \neq 0$   
**by** (*drule Limit-has-0, blast*)

**lemma** *Limit-has-succ*:  $\llbracket \text{Limit}(i); j < i \rrbracket \implies \text{succ}(j) < i$   
**by** (*unfold Limit-def, blast*)

**lemma** *Limit-succ-lt-iff* [*simp*]:  $\text{Limit}(i) \implies \text{succ}(j) < i \iff (j < i)$   
**apply** (*safe intro!: Limit-has-succ*)  
**apply** (*frule lt-Ord*)  
**apply** (*blast intro: lt-trans*)  
**done**

**lemma** *zero-not-Limit* [*iff*]:  $\neg \text{Limit}(0)$   
**by** (*simp add: Limit-def*)

**lemma** *Limit-has-1*:  $\text{Limit}(i) \implies 1 < i$   
**by** (*blast intro: Limit-has-0 Limit-has-succ*)

**lemma** *increasing-LimitI*:  $\llbracket 0 < l; \forall x \in l. \exists y \in l. x < y \rrbracket \implies \text{Limit}(l)$

```

apply (unfold Limit-def, simp add: lt-Ord2, clarify)
apply (drule-tac i=y in ltD)
apply (blast intro: lt-trans1 [OF - ltI] lt-Ord2)
done

```

```

lemma non-succ-LimitI:
  assumes i: 0<i and nsucc:  $\bigwedge y. \text{succ}(y) \neq i$ 
  shows Limit(i)
proof -
  have Oi: Ord(i) using i by (simp add: lt-def)
  { fix y
    assume yi: y<i
    hence Osy: Ord(succ(y)) by (simp add: lt-Ord Ord-succ)
    have  $\neg i \leq y$  using yi by (blast dest: le-imp-not-lt)
    hence succ(y) < i using nsucc [of y]
    by (blast intro: Ord-linear-lt [OF Osy Oi]) }
  thus ?thesis using i Oi by (auto simp add: Limit-def)
qed

```

```

lemma succ-LimitE [elim!]: Limit(succ(i))  $\implies$  P
apply (rule lt-irrefl)
apply (rule Limit-has-succ, assumption)
apply (erule Limit-is-Ord [THEN Ord-succD, THEN le-refl])
done

```

```

lemma not-succ-Limit [simp]:  $\neg \text{Limit}(\text{succ}(i))$ 
by blast

```

```

lemma Limit-le-succD:  $\llbracket \text{Limit}(i); i \leq \text{succ}(j) \rrbracket \implies i \leq j$ 
by (blast elim!: leE)

```

### 14.3.1 Traditional 3-Way Case Analysis on Ordinals

```

lemma Ord-cases-disj: Ord(i)  $\implies i=0 \mid (\exists j. \text{Ord}(j) \wedge i=\text{succ}(j)) \mid \text{Limit}(i)$ 
by (blast intro!: non-succ-LimitI Ord-0-lt)

```

```

lemma Ord-cases:
  assumes i: Ord(i)
  obtains (0) i=0 mid (succ) j where Ord(j) i=succ(j) mid (limit) Limit(i)
by (insert Ord-cases-disj [OF i], auto)

```

```

lemma trans-induct3-raw:
   $\llbracket \text{Ord}(i);$ 
    P(0);
     $\bigwedge x. \llbracket \text{Ord}(x); P(x) \rrbracket \implies P(\text{succ}(x));$ 
     $\bigwedge x. \llbracket \text{Limit}(x); \forall y \in x. P(y) \rrbracket \implies P(x)$ 
   $\rrbracket \implies P(i)$ 
apply (erule trans-induct)
apply (erule Ord-cases, blast+)

```



done

**lemma** *trans-induct3* [*case-names 0 succ limit, consumes 1*]:

$Ord(i) \implies P(0) \implies (\bigwedge x. Ord(x) \implies P(x) \implies P(succ(x))) \implies (\bigwedge x. Limit(x) \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$   
**using** *trans-induct3-raw* [*of i P*] **by** *simp*

A set of ordinals is either empty, contains its own union, or its union is a limit ordinal.

**lemma** *Union-le*:  $\llbracket \bigwedge x. x \in I \implies x \leq j; Ord(j) \rrbracket \implies \bigcup(I) \leq j$   
**by** (*auto simp add: le-subset-iff Union-least*)

**lemma** *Ord-set-cases*:

**assumes** *I*:  $\forall i \in I. Ord(i)$   
**shows**  $I = 0 \vee \bigcup(I) \in I \vee (\bigcup(I) \notin I \wedge Limit(\bigcup(I)))$   
**proof** (*cases*  $\bigcup(I)$  *rule: Ord-cases*)  
**show**  $Ord(\bigcup I)$  **using** *I* **by** (*blast intro: Ord-Union*)

**next**

**assume**  $\bigcup I = 0$  **thus** *?thesis* **by** (*simp, blast intro: subst-elem*)

**next**

**fix** *j*  
**assume** *j*:  $Ord(j)$  **and**  $UIj: \bigcup(I) = succ(j)$   
**{** **assume**  $\forall i \in I. i \leq j$   
**hence**  $\bigcup(I) \leq j$   
**by** (*simp add: Union-le j*)  
**hence** *False*  
**by** (*simp add: UIj lt-not-refl*) **}**  
**then obtain** *i* **where**  $i \in I$   $succ(j) \leq i$  **using** *I j*  
**by** (*atomize, auto simp add: not-le-iff-lt*)  
**have**  $\bigcup(I) \leq succ(j)$  **using** *UIj j* **by** *auto*  
**hence**  $i \leq succ(j)$  **using** *i*  
**by** (*simp add: le-subset-iff Union-subset-iff*)  
**hence**  $succ(j) = i$  **using** *i*  
**by** (*blast intro: le-anti-sym*)  
**hence**  $succ(j) \in I$  **by** (*simp add: i*)  
**thus** *?thesis* **by** (*simp add: UIj*)

**next**

**assume**  $Limit(\bigcup I)$  **thus** *?thesis* **by** *auto*

**qed**

If the union of a set of ordinals is a successor, then it is an element of that set.

**lemma** *Ord-Union-eq-succD*:  $\llbracket \forall x \in X. Ord(x); \bigcup X = succ(j) \rrbracket \implies succ(j) \in X$   
**by** (*drule Ord-set-cases, auto*)

**lemma** *Limit-Union* [*rule-format*]:  $\llbracket I \neq 0; (\bigwedge i. i \in I \implies Limit(i)) \rrbracket \implies Limit(\bigcup I)$   
**apply** (*simp add: Limit-def lt-def*)  
**apply** (*blast intro!: equalityI*)  
**done**

end

## 15 Special quantifiers

theory *OrdQuant* imports *Ordinal* begin

### 15.1 Quantifiers and union operator for ordinals

definition

$oall :: [i, i \Rightarrow o] \Rightarrow o$  **where**  
 $oall(A, P) \equiv \forall x. x < A \longrightarrow P(x)$

definition

$oex :: [i, i \Rightarrow o] \Rightarrow o$  **where**  
 $oex(A, P) \equiv \exists x. x < A \wedge P(x)$

definition

$OUnion :: [i, i \Rightarrow i] \Rightarrow i$  **where**  
 $OUnion(i, B) \equiv \{z: \bigcup_{x \in i}. B(x). Ord(i)\}$

syntax

-oall :: [idt, i, o]  $\Rightarrow o$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \forall \langle \rangle \rangle \forall \text{ -<-./ -} \rangle 10 \rangle$ )  
-oex :: [idt, i, o]  $\Rightarrow o$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \exists \langle \rangle \rangle \exists \text{ -<-./ -} \rangle 10 \rangle$ )  
-OUNION :: [idt, i, i]  $\Rightarrow i$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \bigcup \langle \rangle \rangle \bigcup \text{ -<-./ -} \rangle 10 \rangle$ )

syntax-consts

-oall  $\Rightarrow oall$  **and**  
-oex  $\Rightarrow oex$  **and**  
-OUNION  $\Rightarrow OUnion$

translations

$\forall x < a. P \Rightarrow CONST\ oall(a, \lambda x. P)$   
 $\exists x < a. P \Rightarrow CONST\ oex(a, \lambda x. P)$   
 $\bigcup x < a. B \Rightarrow CONST\ OUnion(a, \lambda x. B)$

#### 15.1.1 simplification of the new quantifiers

**lemma** [simp]:  $(\forall x < 0. P(x))$   
**by** (simp add: oall-def)

**lemma** [simp]:  $\neg(\exists x < 0. P(x))$   
**by** (simp add: oex-def)

**lemma** [simp]:  $(\forall x < succ(i). P(x)) \longleftrightarrow (Ord(i) \longrightarrow P(i) \wedge (\forall x < i. P(x)))$   
**apply** (simp add: oall-def le-iff)  
**apply** (blast intro: lt-Ord2)  
**done**

**lemma** [simp]:  $(\exists x < \text{succ}(i). P(x)) <-> (\text{Ord}(i) \wedge (P(i) \mid (\exists x < i. P(x))))$   
**apply** (simp add: oex-def le-iff)  
**apply** (blast intro: lt-Ord2)  
**done**

### 15.1.2 Union over ordinals

**lemma** Ord-OUN [intro,simp]:  
 $\llbracket \bigwedge x. x < A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcup x < A. B(x))$   
**by** (simp add: OUnion-def ltI Ord-UN)

**lemma** OUN-upper-lt:  
 $\llbracket a < A; i < b(a); \text{Ord}(\bigcup x < A. b(x)) \rrbracket \implies i < (\bigcup x < A. b(x))$   
**by** (unfold OUnion-def lt-def, blast)

**lemma** OUN-upper-le:  
 $\llbracket a < A; i \leq b(a); \text{Ord}(\bigcup x < A. b(x)) \rrbracket \implies i \leq (\bigcup x < A. b(x))$   
**apply** (unfold OUnion-def, auto)  
**apply** (rule UN-upper-le)  
**apply** (auto simp add: lt-def)  
**done**

**lemma** Limit-OUN-eq:  $\text{Limit}(i) \implies (\bigcup x < i. x) = i$   
**by** (simp add: OUnion-def Limit-Union-eq Limit-is-Ord)

**lemma** OUN-least:  
 $(\bigwedge x. x < A \implies B(x) \subseteq C) \implies (\bigcup x < A. B(x)) \subseteq C$   
**by** (simp add: OUnion-def UN-least ltI)

**lemma** OUN-least-le:  
 $\llbracket \text{Ord}(i); \bigwedge x. x < A \implies b(x) \leq i \rrbracket \implies (\bigcup x < A. b(x)) \leq i$   
**by** (simp add: OUnion-def UN-least-le ltI Ord-0-le)

**lemma** le-implies-OUN-le-OUN:  
 $\llbracket \bigwedge x. x < A \implies c(x) \leq d(x) \rrbracket \implies (\bigcup x < A. c(x)) \leq (\bigcup x < A. d(x))$   
**by** (blast intro: OUN-least-le OUN-upper-le le-Ord2 Ord-OUN)

**lemma** OUN-UN-eq:  
 $(\bigwedge x. x \in A \implies \text{Ord}(B(x)))$   
 $\implies (\bigcup z < (\bigcup x \in A. B(x)). C(z)) = (\bigcup x \in A. \bigcup z < B(x). C(z))$   
**by** (simp add: OUnion-def)

**lemma** OUN-Union-eq:  
 $(\bigwedge x. x \in X \implies \text{Ord}(x))$   
 $\implies (\bigcup z < \bigcup (X). C(z)) = (\bigcup x \in X. \bigcup z < x. C(z))$   
**by** (simp add: OUnion-def)

**lemma** *atomize-oall* [*symmetric, rulify*]:  

$$(\bigwedge x. x < A \implies P(x)) \equiv \text{Trueprop } (\forall x < A. P(x))$$
  
**by** (*simp add: oall-def atomize-all atomize-imp*)

### 15.1.3 universal quantifier for ordinals

**lemma** *oallI* [*intro!*]:  

$$\llbracket \bigwedge x. x < A \implies P(x) \rrbracket \implies \forall x < A. P(x)$$
  
**by** (*simp add: oall-def*)

**lemma** *ospec*:  $\llbracket \forall x < A. P(x); x < A \rrbracket \implies P(x)$   
**by** (*simp add: oall-def*)

**lemma** *oallE*:  

$$\llbracket \forall x < A. P(x); P(x) \implies Q; \neg x < A \implies Q \rrbracket \implies Q$$
  
**by** (*simp add: oall-def, blast*)

**lemma** *rev-oallE* [*elim*]:  

$$\llbracket \forall x < A. P(x); \neg x < A \implies Q; P(x) \implies Q \rrbracket \implies Q$$
  
**by** (*simp add: oall-def, blast*)

**lemma** *oall-simp* [*simp*]:  $(\forall x < a. \text{True}) <-> \text{True}$   
**by** *blast*

**lemma** *oall-cong* [*cong*]:  

$$\llbracket a = a'; \bigwedge x. x < a' \implies P(x) <-> P'(x) \rrbracket$$
  

$$\implies \text{oall}(a, \lambda x. P(x)) <-> \text{oall}(a', \lambda x. P'(x))$$
  
**by** (*simp add: oall-def*)

### 15.1.4 existential quantifier for ordinals

**lemma** *oexI* [*intro*]:  

$$\llbracket P(x); x < A \rrbracket \implies \exists x < A. P(x)$$
  
**apply** (*simp add: oex-def, blast*)  
**done**

**lemma** *oexCI*:  

$$\llbracket \forall x < A. \neg P(x) \implies P(a); a < A \rrbracket \implies \exists x < A. P(x)$$
  
**apply** (*simp add: oex-def, blast*)  
**done**

**lemma** *oexE* [*elim!*]:  

$$\llbracket \exists x < A. P(x); \bigwedge x. \llbracket x < A; P(x) \rrbracket \implies Q \rrbracket \implies Q$$
  
**apply** (*simp add: oex-def, blast*)  
**done**

**lemma** *oex-cong* [*cong*]:  

$$\llbracket a=a'; \bigwedge x. x < a' \implies P(x) <-> P'(x) \rrbracket$$

$$\implies \text{oex}(a, \lambda x. P(x)) <-> \text{oex}(a', \lambda x. P'(x))$$
  
**apply** (*simp add: oex-def cong add: conj-cong*)  
**done**

### 15.1.5 Rules for Ordinal-Indexed Unions

**lemma** *OUN-I* [*intro*]:  $\llbracket a < i; b \in B(a) \rrbracket \implies b: (\bigcup z < i. B(z))$   
**by** (*unfold OUnion-def lt-def, blast*)

**lemma** *OUN-E* [*elim!*]:  

$$\llbracket b \in (\bigcup z < i. B(z)); \bigwedge a. \llbracket b \in B(a); a < i \rrbracket \implies R \rrbracket \implies R$$
  
**apply** (*unfold OUnion-def lt-def, blast*)  
**done**

**lemma** *OUN-iff*:  $b \in (\bigcup x < i. B(x)) <-> (\exists x < i. b \in B(x))$   
**by** (*unfold OUnion-def oex-def lt-def, blast*)

**lemma** *OUN-cong* [*cong*]:  

$$\llbracket i=j; \bigwedge x. x < j \implies C(x)=D(x) \rrbracket \implies (\bigcup x < i. C(x)) = (\bigcup x < j. D(x))$$
  
**by** (*simp add: OUnion-def lt-def OUN-iff*)

**lemma** *lt-induct*:  

$$\llbracket i < k; \bigwedge x. \llbracket x < k; \forall y < x. P(y) \rrbracket \implies P(x) \rrbracket \implies P(i)$$
  
**apply** (*simp add: lt-def oall-def*)  
**apply** (*erule conjE*)  
**apply** (*erule Ord-induct, assumption, blast*)  
**done**

## 15.2 Quantification over a class

### definition

*rall* ::  $[i \Rightarrow o, i \Rightarrow o] \Rightarrow o$  **where**  
 $rall(M, P) \equiv \forall x. M(x) \longrightarrow P(x)$

### definition

*rex* ::  $[i \Rightarrow o, i \Rightarrow o] \Rightarrow o$  **where**  
 $rex(M, P) \equiv \exists x. M(x) \wedge P(x)$

### syntax

*-rall* ::  $[pttrn, i \Rightarrow o, o] \Rightarrow o$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \forall \rangle \rangle \forall \langle \cdot \rangle \langle \cdot \rangle \rangle$ )  
10)

*-rex* ::  $[pttrn, i \Rightarrow o, o] \Rightarrow o$  ( $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder } \exists \rangle \rangle \exists \langle \cdot \rangle \langle \cdot \rangle \rangle$ )  
10)

### syntax-consts

*-rall*  $\equiv$  *rall* **and**  
*-rex*  $\equiv$  *rex*

### translations

$\forall x[M]. P \Rightarrow \text{CONST } \text{rall}(M, \lambda x. P)$   
 $\exists x[M]. P \Rightarrow \text{CONST } \text{rex}(M, \lambda x. P)$

### 15.2.1 Relativized universal quantifier

**lemma** *rallI* [*intro!*]:  $\llbracket \bigwedge x. M(x) \Rightarrow P(x) \rrbracket \Rightarrow \forall x[M]. P(x)$   
**by** (*simp add: rall-def*)

**lemma** *rspec*:  $\llbracket \forall x[M]. P(x); M(x) \rrbracket \Rightarrow P(x)$   
**by** (*simp add: rall-def*)

**lemma** *rev-rallE* [*elim*]:  
 $\llbracket \forall x[M]. P(x); \neg M(x) \rrbracket \Rightarrow Q; P(x) \Rightarrow Q \rrbracket \Rightarrow Q$   
**by** (*simp add: rall-def, blast*)

**lemma** *rallE*:  $\llbracket \forall x[M]. P(x); P(x) \Rightarrow Q; \neg M(x) \Rightarrow Q \rrbracket \Rightarrow Q$   
**by** *blast*

**lemma** *rall-triv* [*simp*]:  $(\forall x[M]. P) \longleftrightarrow ((\exists x. M(x)) \longrightarrow P)$   
**by** (*simp add: rall-def*)

**lemma** *rall-cong* [*cong*]:  
 $(\bigwedge x. M(x) \Rightarrow P(x) <-> P'(x)) \Rightarrow (\forall x[M]. P(x)) <-> (\forall x[M]. P'(x))$   
**by** (*simp add: rall-def*)

### 15.2.2 Relativized existential quantifier

**lemma** *rexI* [*intro*]:  $\llbracket P(x); M(x) \rrbracket \Rightarrow \exists x[M]. P(x)$   
**by** (*simp add: rex-def, blast*)

**lemma** *rev-rexI*:  $\llbracket M(x); P(x) \rrbracket \Rightarrow \exists x[M]. P(x)$   
**by** *blast*

**lemma** *rexCI*:  $\llbracket \forall x[M]. \neg P(x) \Rightarrow P(a); M(a) \rrbracket \Rightarrow \exists x[M]. P(x)$   
**by** *blast*

**lemma** *rexE* [*elim!*]:  $\llbracket \exists x[M]. P(x); \bigwedge x. \llbracket M(x); P(x) \rrbracket \Rightarrow Q \rrbracket \Rightarrow Q$   
**by** (*simp add: rex-def, blast*)

**lemma** *rex-triv* [*simp*]:  $(\exists x[M]. P) \longleftrightarrow ((\exists x. M(x)) \wedge P)$   
**by** (*simp add: rex-def*)

**lemma** *rex-cong* [*cong*]:  
 $(\bigwedge x. M(x) \Rightarrow P(x) <-> P'(x)) \Rightarrow (\exists x[M]. P(x)) <-> (\exists x[M]. P'(x))$

**by** (*simp add: rex-def cong: conj-cong*)

**lemma** *rall-is-ball* [*simp*]:  $(\forall x[\lambda z. z \in A]. P(x)) <-> (\forall x \in A. P(x))$   
**by** *blast*

**lemma** *rex-is-bex* [*simp*]:  $(\exists x[\lambda z. z \in A]. P(x)) <-> (\exists x \in A. P(x))$   
**by** *blast*

**lemma** *atomize-rall*:  $(\bigwedge x. M(x) \implies P(x)) \equiv \text{Trueprop } (\forall x[M]. P(x))$   
**by** (*simp add: rall-def atomize-all atomize-imp*)

**declare** *atomize-rall* [*symmetric, rulify*]

**lemma** *rall-simps1*:

$(\forall x[M]. P(x) \wedge Q) <-> (\forall x[M]. P(x)) \wedge ((\forall x[M]. \text{False}) \mid Q)$   
 $(\forall x[M]. P(x) \mid Q) <-> ((\forall x[M]. P(x)) \mid Q)$   
 $(\forall x[M]. P(x) \longrightarrow Q) <-> ((\exists x[M]. P(x)) \longrightarrow Q)$   
 $(\neg(\forall x[M]. P(x))) <-> (\exists x[M]. \neg P(x))$

**by** *blast+*

**lemma** *rall-simps2*:

$(\forall x[M]. P \wedge Q(x)) <-> ((\forall x[M]. \text{False}) \mid P) \wedge (\forall x[M]. Q(x))$   
 $(\forall x[M]. P \mid Q(x)) <-> (P \mid (\forall x[M]. Q(x)))$   
 $(\forall x[M]. P \longrightarrow Q(x)) <-> (P \longrightarrow (\forall x[M]. Q(x)))$

**by** *blast+*

**lemmas** *rall-simps* [*simp*] = *rall-simps1 rall-simps2*

**lemma** *rall-conj-distrib*:

$(\forall x[M]. P(x) \wedge Q(x)) <-> ((\forall x[M]. P(x)) \wedge (\forall x[M]. Q(x)))$

**by** *blast*

**lemma** *rex-simps1*:

$(\exists x[M]. P(x) \wedge Q) <-> ((\exists x[M]. P(x)) \wedge Q)$   
 $(\exists x[M]. P(x) \mid Q) <-> (\exists x[M]. P(x)) \mid ((\exists x[M]. \text{True}) \wedge Q)$   
 $(\exists x[M]. P(x) \longrightarrow Q) <-> ((\forall x[M]. P(x)) \longrightarrow ((\exists x[M]. \text{True}) \wedge Q))$   
 $(\neg(\exists x[M]. P(x))) <-> (\forall x[M]. \neg P(x))$

**by** *blast+*

**lemma** *rex-simps2*:

$(\exists x[M]. P \wedge Q(x)) <-> (P \wedge (\exists x[M]. Q(x)))$   
 $(\exists x[M]. P \mid Q(x)) <-> ((\exists x[M]. \text{True}) \wedge P) \mid (\exists x[M]. Q(x))$   
 $(\exists x[M]. P \longrightarrow Q(x)) <-> (((\forall x[M]. \text{False}) \mid P) \longrightarrow (\exists x[M]. Q(x)))$

**by** *blast+*

**lemmas** *rex-simps* [*simp*] = *rex-simps1 rex-simps2*

**lemma** *rex-disj-distrib*:

$(\exists x[M]. P(x) \mid Q(x)) <-> ((\exists x[M]. P(x)) \mid (\exists x[M]. Q(x)))$

by *blast*

### 15.2.3 One-point rule for bounded quantifiers

**lemma** *rex-triv-one-point1* [simp]:  $(\exists x[M]. x=a) \leftrightarrow (M(a))$   
by *blast*

**lemma** *rex-triv-one-point2* [simp]:  $(\exists x[M]. a=x) \leftrightarrow (M(a))$   
by *blast*

**lemma** *rex-one-point1* [simp]:  $(\exists x[M]. x=a \wedge P(x)) \leftrightarrow (M(a) \wedge P(a))$   
by *blast*

**lemma** *rex-one-point2* [simp]:  $(\exists x[M]. a=x \wedge P(x)) \leftrightarrow (M(a) \wedge P(a))$   
by *blast*

**lemma** *rall-one-point1* [simp]:  $(\forall x[M]. x=a \longrightarrow P(x)) \leftrightarrow (M(a) \longrightarrow P(a))$   
by *blast*

**lemma** *rall-one-point2* [simp]:  $(\forall x[M]. a=x \longrightarrow P(x)) \leftrightarrow (M(a) \longrightarrow P(a))$   
by *blast*

### 15.2.4 Sets as Classes

**definition**

*setclass* ::  $[i,i] \Rightarrow o \quad (\langle \langle \text{open-block notation} = \langle \text{prefix setclass} \rangle \rangle \#\# -) \rangle [40] \ 40)$

**where**

*setclass*(*A*)  $\equiv \lambda x. x \in A$

**lemma** *setclass-iff* [simp]: *setclass*(*A*,*x*)  $\leftrightarrow x \in A$   
by (*simp add: setclass-def*)

**lemma** *rall-setclass-is-ball* [simp]:  $(\forall x[\#\#A]. P(x)) \leftrightarrow (\forall x \in A. P(x))$   
by *auto*

**lemma** *rex-setclass-is-bex* [simp]:  $(\exists x[\#\#A]. P(x)) \leftrightarrow (\exists x \in A. P(x))$   
by *auto*

**ML**

```
<
val Ord-atomize =
  atomize ([(const-name <oall>, @{thms ospec}), (const-name <rall>, @{thms rspec})]
  @
    ZF-conn-pairs, ZF-mem-pairs);
>
declaration <fn - =>
  Simplifier.map-ss (Simplifier.set-mksimps (fn ctxt =>
    map mk-eq o Ord-atomize o Variable.gen-all ctxt))
>
```



Setting up the one-point-rule simproc

```
simproc-setup defined-rer ( $\exists x[M]. P(x) \wedge Q(x)$ ) =  $\langle$   

   $K (Quantifier1.rearrange-Bex (fn\ ctxt => unfold-tac\ ctxt\ @\{thms\ rer-def\}))$   

 $\rangle$ 
```

```
simproc-setup defined-rall ( $\forall x[M]. P(x) \longrightarrow Q(x)$ ) =  $\langle$   

   $K (Quantifier1.rearrange-Ball (fn\ ctxt => unfold-tac\ ctxt\ @\{thms\ rall-def\}))$   

 $\rangle$ 
```

**end**

## 16 The Natural numbers As a Least Fixed Point

**theory** *Nat* **imports** *OrdQuant Bool* **begin**

**definition**

```
nat :: i where  

nat  $\equiv lfp(Inf, \lambda X. \{0\} \cup \{succ(i). i \in X\})$ 
```

**definition**

```
quasinat :: i  $\Rightarrow$  o where  

quasinat(n)  $\equiv n=0 \mid (\exists m. n = succ(m))$ 
```

**definition**

```
nat-case :: [i, i $\Rightarrow$ i, i $\Rightarrow$ i] where  

nat-case(a,b,k)  $\equiv THE\ y. k=0 \wedge y=a \mid (\exists x. k=succ(x) \wedge y=b(x))$ 
```

**definition**

```
nat-rec :: [i, i, [i,i] $\Rightarrow$ i] $\Rightarrow$ i where  

nat-rec(k,a,b)  $\equiv$   

  wfrec(Memrel(nat), k,  $\lambda n\ f. nat-case(a, \lambda m. b(m, f'm), n)$ )
```

**definition**

```
Le :: i where  

Le  $\equiv \{\langle x,y \rangle : nat*nat. x \leq y\}$ 
```

**definition**

```
Lt :: i where  

Lt  $\equiv \{\langle x, y \rangle : nat*nat. x < y\}$ 
```

**definition**

```
Ge :: i where  

Ge  $\equiv \{\langle x,y \rangle : nat*nat. y \leq x\}$ 
```

**definition**

$Gt :: i$  **where**  
 $Gt \equiv \{\langle x, y \rangle : nat * nat. y < x\}$

**definition**

$greater-than :: i \Rightarrow i$  **where**  
 $greater-than(n) \equiv \{i \in nat. n < i\}$

No need for a less-than operator: a natural number is its list of predecessors!

**lemma** *nat-bnd-mono*:  $bnd-mono(Inf, \lambda X. \{0\} \cup \{succ(i). i \in X\})$   
**apply** (*rule bnd-monoI*)  
**apply** (*cut-tac infinity, blast, blast*)  
**done**

**lemmas** *nat-unfold* = *nat-bnd-mono* [*THEN nat-def* [*THEN def-lfp-unfold*]]

**lemma** *nat-0I* [*iff, TC*]:  $0 \in nat$   
**apply** (*subst nat-unfold*)  
**apply** (*rule singletonI* [*THEN UnI1*])  
**done**

**lemma** *nat-succI* [*intro!, TC*]:  $n \in nat \implies succ(n) \in nat$   
**apply** (*subst nat-unfold*)  
**apply** (*erule RepFunI* [*THEN UnI2*])  
**done**

**lemma** *nat-1I* [*iff, TC*]:  $1 \in nat$   
**by** (*rule nat-0I* [*THEN nat-succI*])

**lemma** *nat-2I* [*iff, TC*]:  $2 \in nat$   
**by** (*rule nat-1I* [*THEN nat-succI*])

**lemma** *bool-subset-nat*:  $bool \subseteq nat$   
**by** (*blast elim!:: boolE*)

**lemmas** *bool-into-nat* = *bool-subset-nat* [*THEN subsetD*]

## 16.1 Injectivity Properties and Induction

**lemma** *nat-induct* [*case-names 0 succ, induct set: nat*]:  
 $\llbracket n \in nat; P(0); \bigwedge x. \llbracket x \in nat; P(x) \rrbracket \implies P(succ(x)) \rrbracket \implies P(n)$   
**by** (*erule def-induct* [*OF nat-def nat-bnd-mono*], *blast*)

**lemma** *natE*:  
**assumes**  $n \in nat$   
**obtains**  $(0) n=0 \mid (succ) x$  **where**  $x \in nat \ n=succ(x)$   
**using** *assms*

**by** (rule nat-unfold [THEN equalityD1, THEN subsetD, THEN UnE]) auto

**lemma** nat-into-Ord [simp]:  $n \in \text{nat} \implies \text{Ord}(n)$   
**by** (erule nat-induct, auto)

**lemmas** nat-0-le = nat-into-Ord [THEN Ord-0-le]

**lemmas** nat-le-refl = nat-into-Ord [THEN le-refl]

**lemma** Ord-nat [iff]:  $\text{Ord}(\text{nat})$   
**apply** (rule OrdI)  
**apply** (erule tac [2] nat-into-Ord [THEN Ord-is-Transset])  
**unfolding** Transset-def  
**apply** (rule ballI)  
**apply** (erule nat-induct, auto)  
**done**

**lemma** Limit-nat [iff]:  $\text{Limit}(\text{nat})$   
**unfolding** Limit-def  
**apply** (safe intro!: ltI Ord-nat)  
**apply** (erule ltD)  
**done**

**lemma** naturals-not-limit:  $a \in \text{nat} \implies \neg \text{Limit}(a)$   
**by** (induct a rule: nat-induct, auto)

**lemma** succ-natD:  $\text{succ}(i): \text{nat} \implies i \in \text{nat}$   
**by** (rule Ord-trans [OF succI1], auto)

**lemma** nat-succ-iff [iff]:  $\text{succ}(n): \text{nat} \longleftrightarrow n \in \text{nat}$   
**by** (blast dest!: succ-natD)

**lemma** nat-le-Limit:  $\text{Limit}(i) \implies \text{nat} \leq i$   
**apply** (rule subset-imp-le)  
**apply** (simp-all add: Limit-is-Ord)  
**apply** (rule subsetI)  
**apply** (erule nat-induct)  
**apply** (erule Limit-has-0 [THEN ltD])  
**apply** (blast intro: Limit-has-succ [THEN ltD] ltI Limit-is-Ord)  
**done**

**lemmas** succ-in-naturalD = Ord-trans [OF succI1 - nat-into-Ord]

**lemma** lt-nat-in-nat:  $\llbracket m < n; \ n \in \text{nat} \rrbracket \implies m \in \text{nat}$   
**apply** (erule ltE)  
**apply** (erule Ord-trans, assumption, simp)

done

**lemma** *le-in-nat*:  $\llbracket m \leq n; n \in \text{nat} \rrbracket \implies m \in \text{nat}$   
**by** (*blast dest! lt-nat-in-nat*)

## 16.2 Variations on Mathematical Induction

**lemmas** *complete-induct* = *Ord-induct* [*OF* - *Ord-nat*, *case-names less*, *consumes 1*]

**lemma** *complete-induct-rule* [*case-names less*, *consumes 1*]:  
 $i \in \text{nat} \implies (\bigwedge x. x \in \text{nat} \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$   
**using** *complete-induct* [*of i P*] **by** *simp*

**lemma** *nat-induct-from*:

**assumes**  $m \leq n$   $m \in \text{nat}$   $n \in \text{nat}$   
**and**  $P(m)$   
**and**  $\bigwedge x. \llbracket x \in \text{nat}; m \leq x; P(x) \rrbracket \implies P(\text{succ}(x))$   
**shows**  $P(n)$

**proof** –

**from** *assms(3)* **have**  $m \leq n \longrightarrow P(m) \longrightarrow P(n)$   
**by** (*rule nat-induct*) (*use assms(5) in <simp-all add: distrib-simps le-succ-iff>*)  
**with** *assms(1,2,4)* **show** *?thesis* **by** *blast*

qed

**lemma** *diff-induct* [*case-names 0 0-succ succ-succ*, *consumes 2*]:

$\llbracket m \in \text{nat}; n \in \text{nat};$   
 $\bigwedge x. x \in \text{nat} \implies P(x, 0);$   
 $\bigwedge y. y \in \text{nat} \implies P(0, \text{succ}(y));$   
 $\bigwedge x y. \llbracket x \in \text{nat}; y \in \text{nat}; P(x, y) \rrbracket \implies P(\text{succ}(x), \text{succ}(y)) \rrbracket$   
 $\implies P(m, n)$

**apply** (*erule-tac x = m in rev-bspec*)

**apply** (*erule nat-induct, simp*)

**apply** (*rule ballI*)

**apply** (*rename-tac i j*)

**apply** (*erule-tac n=j in nat-induct, auto*)

done

**lemma** *succ-lt-induct-lemma* [*rule-format*]:

$m \in \text{nat} \implies P(m, \text{succ}(m)) \longrightarrow (\forall x \in \text{nat}. P(m, x) \longrightarrow P(m, \text{succ}(x))) \longrightarrow$   
 $(\forall n \in \text{nat}. m < n \longrightarrow P(m, n))$

**apply** (*erule nat-induct*)

**apply** (*intro impI, rule nat-induct [THEN ballI]*)

**prefer 4 apply** (*intro impI, rule nat-induct [THEN ballI]*)

**apply** (*auto simp add: le-iff*)  
**done**

**lemma** *succ-lt-induct*:  

$$\begin{aligned} & \llbracket m < n; \ n \in \text{nat}; \\ & \quad P(m, \text{succ}(m)); \\ & \quad \bigwedge x. \llbracket x \in \text{nat}; \ P(m, x) \rrbracket \implies P(m, \text{succ}(x)) \rrbracket \\ & \implies P(m, n) \end{aligned}$$
  
**by** (*blast intro: succ-lt-induct-lemma lt-nat-in-nat*)

### 16.3 quasinat: to allow a case-split rule for *nat-case*

True if the argument is zero or any successor

**lemma** [*iff*]: *quasinat*(0)  
**by** (*simp add: quasinat-def*)

**lemma** [*iff*]: *quasinat*(*succ*(*x*))  
**by** (*simp add: quasinat-def*)

**lemma** *nat-imp-quasinat*:  $n \in \text{nat} \implies \text{quasinat}(n)$   
**by** (*erule natE, simp-all*)

**lemma** *non-nat-case*:  $\neg \text{quasinat}(x) \implies \text{nat-case}(a, b, x) = 0$   
**by** (*simp add: quasinat-def nat-case-def*)

**lemma** *nat-cases-disj*:  $k=0 \mid (\exists y. k = \text{succ}(y)) \mid \neg \text{quasinat}(k)$   
**apply** (*case-tac k=0, simp*)  
**apply** (*case-tac  $\exists m. k = \text{succ}(m)$* )  
**apply** (*simp-all add: quasinat-def*)  
**done**

**lemma** *nat-cases*:  

$$\llbracket k=0 \implies P; \ \bigwedge y. k = \text{succ}(y) \implies P; \ \neg \text{quasinat}(k) \implies P \rrbracket \implies P$$
  
**by** (*insert nat-cases-disj [of k], blast*)

**lemma** *nat-case-0* [*simp*]: *nat-case*(*a*, *b*, 0) = *a*  
**by** (*simp add: nat-case-def*)

**lemma** *nat-case-succ* [*simp*]: *nat-case*(*a*, *b*, *succ*(*n*)) = *b*(*n*)  
**by** (*simp add: nat-case-def*)

**lemma** *nat-case-type* [*TC*]:  

$$\begin{aligned} & \llbracket n \in \text{nat}; \ a \in C(0); \ \bigwedge m. m \in \text{nat} \implies b(m): C(\text{succ}(m)) \rrbracket \\ & \implies \text{nat-case}(a, b, n) \in C(n) \end{aligned}$$
  
**by** (*erule nat-induct, auto*)

**lemma** *split-nat-case*:

```

    P(nat-case(a,b,k))  $\longleftrightarrow$ 
    ((k=0  $\longrightarrow$  P(a))  $\wedge$  ( $\forall x. k=succ(x) \longrightarrow P(b(x))$ )  $\wedge$  ( $\neg quasinat(k) \longrightarrow P(0)$ ))
  apply (rule nat-cases [of k])
  apply (auto simp add: non-nat-case)
done

```

## 16.4 Recursion on the Natural Numbers

```

lemma nat-rec-0: nat-rec(0,a,b) = a
  apply (rule nat-rec-def [THEN def-wfrec, THEN trans])
  apply (rule wf-Memrel)
  apply (rule nat-case-0)
done

```

```

lemma nat-rec-succ: m  $\in$  nat  $\implies$  nat-rec(succ(m),a,b) = b(m, nat-rec(m,a,b))
  apply (rule nat-rec-def [THEN def-wfrec, THEN trans])
  apply (rule wf-Memrel)
  apply (simp add: vimage-singleton-iff)
done

```

```

lemma Un-nat-type [TC]:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies i \cup j \in \text{nat}$ 
  apply (rule Un-least-lt [THEN ltD])
  apply (simp-all add: lt-def)
done

```

```

lemma Int-nat-type [TC]:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies i \cap j \in \text{nat}$ 
  apply (rule Int-greatest-lt [THEN ltD])
  apply (simp-all add: lt-def)
done

```

```

lemma nat-nonempty [simp]: nat  $\neq$  0
by blast

```

A natural number is the set of its predecessors

```

lemma nat-eq-Collect-lt: i  $\in$  nat  $\implies \{j \in \text{nat}. j < i\} = i$ 
  apply (rule equalityI)
  apply (blast dest: ltD)
  apply (auto simp add: Ord-mem-iff-lt)
  apply (blast intro: lt-trans)
done

```

```

lemma Le-iff [iff]:  $\langle x,y \rangle \in Le \longleftrightarrow x \leq y \wedge x \in \text{nat} \wedge y \in \text{nat}$ 
by (force simp add: Le-def)

```

end

## 17 Inductive and Coinductive Definitions

```

theory Inductive
imports Fixedpt QPair Nat
keywords
  inductive coinductive inductive-cases rep-datatype primrec :: thy-decl and
  domains intros monos con-defs type-intros type-elim
  elimination induction case-eqns recursor-eqns :: quasi-command
begin

```

```

lemma def-swap-iff:  $a \equiv b \implies a = c \longleftrightarrow c = b$ 
by blast

```

```

lemma def-trans:  $f \equiv g \implies g(a) = b \implies f(a) = b$ 
by simp

```

```

lemma refl-thin:  $\bigwedge P. a = a \implies P \implies P$  .

```

```

ML-file  $\langle ind-syntax.ML \rangle$ 
ML-file  $\langle Tools/ind-cases.ML \rangle$ 
ML-file  $\langle Tools/cartprod.ML \rangle$ 
ML-file  $\langle Tools/inductive-package.ML \rangle$ 
ML-file  $\langle Tools/induct-tacs.ML \rangle$ 
ML-file  $\langle Tools/primrec-package.ML \rangle$ 

```

```

ML  $\langle$ 
  structure Lfp =
    struct
      val oper      = Const  $\langle lfp \rangle$ 
      val bnd-mono = Const  $\langle bnd-mono \rangle$ 
      val bnd-monoI = @{thm bnd-monoI}
      val subs     = @{thm def-lfp-subset}
      val Tarski   = @{thm def-lfp-unfold}
      val induct   = @{thm def-induct}
    end;

```

```

structure Standard-Prod =
  struct
    val sigma    = Const  $\langle Sigma \rangle$ 
    val pair     = Const  $\langle Pair \rangle$ 
    val split-name = const-name  $\langle split \rangle$ 
    val pair-iff  = @{thm Pair-iff}
    val split-eq = @{thm split}
    val fsplitI  = @{thm splitI}
    val fsplitD  = @{thm splitD}
    val fsplitE  = @{thm splitE}
  end;

```

```

structure Standard-CP = CartProd-Fun (Standard-Prod);

```

```

structure Standard-Sum =
  struct
    val sum      = Const ⟨sum⟩
    val inl      = Const ⟨Inl⟩
    val inr      = Const ⟨Inr⟩
    val elim     = Const ⟨case⟩
    val case-inl = @ { thm case-Inl }
    val case-inr = @ { thm case-Inr }
    val inl-iff  = @ { thm Inl-iff }
    val inr-iff  = @ { thm Inr-iff }
    val distinct = @ { thm Inl-Inr-iff }
    val distinct' = @ { thm Inr-Inl-iff }
    val free-SEs = Ind-Syntax.mk-free-SEs
                      [distinct, distinct', inl-iff, inr-iff, Standard-Prod.pair-iff]
  end;

structure Ind-Package =
  Add-inductive-def-Fun
    (structure Fp=Lfp and Pr=Standard-Prod and CP=Standard-CP
     and Su=Standard-Sum val coind = false);

structure Gfp =
  struct
    val oper      = Const ⟨gfp⟩
    val bnd-mono  = Const ⟨bnd-mono⟩
    val bnd-monoI = @ { thm bnd-monoI }
    val subs      = @ { thm def-gfp-subset }
    val Tarski    = @ { thm def-gfp-unfold }
    val induct    = @ { thm def-Collect-coinduct }
  end;

structure Quine-Prod =
  struct
    val sigma     = Const ⟨QSigma⟩
    val pair      = Const ⟨QPair⟩
    val split-name = const-name ⟨qsplit⟩
    val pair-iff  = @ { thm QPair-iff }
    val split-eq  = @ { thm qsplit }
    val fsplitI   = @ { thm qsplitI }
    val fsplitD   = @ { thm qsplitD }
    val fsplitE   = @ { thm qsplitE }
  end;

structure Quine-CP = CartProd-Fun (Quine-Prod);

structure Quine-Sum =

```



```

struct
  val sum      = Const ⟨qsum⟩
  val inl      = Const ⟨QInl⟩
  val inr      = Const ⟨QInr⟩
  val elim     = Const ⟨qcase⟩
  val case-inl = @ { thm qcase-QInl }
  val case-inr = @ { thm qcase-QInr }
  val inl-iff  = @ { thm QInl-iff }
  val inr-iff  = @ { thm QInr-iff }
  val distinct = @ { thm QInl-QInr-iff }
  val distinct' = @ { thm QInr-QInl-iff }
  val free-SEs = Ind-Syntax.mk-free-SEs
                    [distinct, distinct', inl-iff, inr-iff, Quine-Prod.pair-iff]
end;

structure CoInd-Package =
  Add-inductive-def-Fun (structure Fp=Gfp and Pr=Quine-Prod and CP=Quine-CP
    and Su=Quine-Sum val coind = true);

>

end

```

## 18 Epsilon Induction and Recursion

**theory Epsilon imports Nat begin**

**definition**

*eclose* ::  $i \Rightarrow i$  **where**  
*eclose*(*A*)  $\equiv \bigcup_{n \in \text{nat.}} \text{nat-rec}(n, A, \lambda m r. \bigcup(r))$

**definition**

*transrec* ::  $[i, [i, i] \Rightarrow i] \Rightarrow i$  **where**  
*transrec*(*a*, *H*)  $\equiv \text{wfrec}(\text{Memrel}(\text{eclose}(\{a\})), a, H)$

**definition**

*rank* ::  $i \Rightarrow i$  **where**  
*rank*(*a*)  $\equiv \text{transrec}(a, \lambda x f. \bigcup_{y \in x. \text{succ}(f'y))$

**definition**

*transrec2* ::  $[i, i, [i, i] \Rightarrow i] \Rightarrow i$  **where**  
*transrec2*(*k*, *a*, *b*)  $\equiv$   
*transrec*(*k*,  
 $\lambda i r. \text{if}(i=0, a,$   
 $\text{if}(\exists j. i=\text{succ}(j),$   
 $b(\text{THE } j. i=\text{succ}(j), r'(\text{THE } j. i=\text{succ}(j))),$   
 $\bigcup_{j < i. r'j)))$

**definition**

$recursor :: [i, [i, i] \Rightarrow i, i] \Rightarrow i$  **where**  
 $recursor(a, b, k) \equiv transrec(k, \lambda n f. nat-case(a, \lambda m. b(m, f^m), n))$

**definition**

$rec :: [i, i, [i, i] \Rightarrow i] \Rightarrow i$  **where**  
 $rec(k, a, b) \equiv recursor(a, b, k)$

## 18.1 Basic Closure Properties

**lemma** *arg-subset-eclose*:  $A \subseteq eclose(A)$

**unfolding** *eclose-def*

**apply** (*rule nat-rec-0* [*THEN equalityD2*, *THEN subset-trans*])

**apply** (*rule nat-0I* [*THEN UN-upper*])

**done**

**lemmas** *arg-into-eclose* = *arg-subset-eclose* [*THEN subsetD*]

**lemma** *Transset-eclose*:  $Transset(eclose(A))$

**unfolding** *eclose-def Transset-def*

**apply** (*rule subsetI* [*THEN ballI*])

**apply** (*erule UN-E*)

**apply** (*rule nat-succI* [*THEN UN-I*, *assumption*])

**apply** (*erule nat-rec-succ* [*THEN ssubst*])

**apply** (*erule UnionI*, *assumption*)

**done**

**lemmas** *eclose-subset* =

*Transset-eclose* [*unfolded Transset-def*, *THEN bspec*]

**lemmas** *ecloseD* = *eclose-subset* [*THEN subsetD*]

**lemmas** *arg-in-eclose-sing* = *arg-subset-eclose* [*THEN singleton-subsetD*]

**lemmas** *arg-into-eclose-sing* = *arg-in-eclose-sing* [*THEN ecloseD*]

**lemmas** *eclose-induct* =

*Transset-induct* [*OF - Transset-eclose*, *induct set: eclose*]

**lemma** *eps-induct*:

$\llbracket \bigwedge x. \forall y \in x. P(y) \implies P(x) \rrbracket \implies P(a)$

**by** (*rule arg-in-eclose-sing* [*THEN eclose-induct*], *blast*)

## 18.2 Leastness of *eclose*

**lemma** *eclose-least-lemma*:

```

     $\llbracket \text{Transset}(X); A \leq X; n \in \text{nat} \rrbracket \implies \text{nat-rec}(n, A, \lambda m r. \bigcup(r)) \subseteq X$ 
  unfolding Transset-def
  apply (erule nat-induct)
  apply (simp add: nat-rec-0)
  apply (simp add: nat-rec-succ, blast)
done

```

```

lemma eclose-least:
   $\llbracket \text{Transset}(X); A \leq X \rrbracket \implies \text{eclose}(A) \subseteq X$ 
  unfolding eclose-def
  apply (rule eclose-least-lemma [THEN UN-least], assumption+)
done

```

```

lemma eclose-induct-down [consumes 1]:
   $\llbracket a \in \text{eclose}(b);$ 
     $\bigwedge y. \llbracket y \in b \rrbracket \implies P(y);$ 
     $\bigwedge y z. \llbracket y \in \text{eclose}(b); P(y); z \in y \rrbracket \implies P(z)$ 
   $\rrbracket \implies P(a)$ 
  apply (rule eclose-least [THEN subsetD, THEN CollectD2, of eclose(b)])
  prefer 3 apply assumption
  unfolding Transset-def
  apply (blast intro: ecloseD)
  apply (blast intro: arg-subset-eclose [THEN subsetD])
done

```

```

lemma Transset-eclose-eq-arg:  $\text{Transset}(X) \implies \text{eclose}(X) = X$ 
  apply (erule equalityI [OF eclose-least arg-subset-eclose])
  apply (rule subset-refl)
done

```

A transitive set either is empty or contains the empty set.

```

lemma Transset-0-lemma [rule-format]:  $\text{Transset}(A) \implies x \in A \longrightarrow 0 \in A$ 
  apply (simp add: Transset-def)
  apply (rule-tac a=x in eps-induct, clarify)
  apply (drule bspec, assumption)
  apply (case-tac x=0, auto)
done

```

```

lemma Transset-0-disj:  $\text{Transset}(A) \implies A=0 \mid 0 \in A$ 
  by (blast dest: Transset-0-lemma)

```

### 18.3 Epsilon Recursion

```

lemma mem-eclose-trans:  $\llbracket A \in \text{eclose}(B); B \in \text{eclose}(C) \rrbracket \implies A \in \text{eclose}(C)$ 
  by (rule eclose-least [OF Transset-eclose eclose-subset, THEN subsetD],
    assumption+)

```

**lemma** *mem-eclose-sing-trans*:

$\llbracket A \in \text{eclose}(\{B\}); B \in \text{eclose}(\{C\}) \rrbracket \implies A \in \text{eclose}(\{C\})$   
**by** (*rule* *eclose-least* [*OF Transset-eclose singleton-subsetI*, *THEN subsetD*],  
*assumption+*)

**lemma** *under-Memrel*:  $\llbracket \text{Transset}(i); j \in i \rrbracket \implies \text{Memrel}(i) - \text{“}\{j\} = j$   
**by** (*unfold Transset-def*, *blast*)

**lemma** *lt-Memrel*:  $j < i \implies \text{Memrel}(i) - \text{“}\{j\} = j$   
**by** (*simp add: lt-def Ord-def under-Memrel*)

**lemmas** *under-Memrel-eclose* = *Transset-eclose* [*THEN under-Memrel*]

**lemmas** *wfrec-ssubst* = *wf-Memrel* [*THEN wfrec*, *THEN ssubst*]

**lemma** *wfrec-eclose-eq*:

$\llbracket k \in \text{eclose}(\{j\}); j \in \text{eclose}(\{i\}) \rrbracket \implies$   
 $\text{wfrec}(\text{Memrel}(\text{eclose}(\{i\})), k, H) = \text{wfrec}(\text{Memrel}(\text{eclose}(\{j\})), k, H)$   
**apply** (*erule* *eclose-induct*)  
**apply** (*rule* *wfrec-ssubst*)  
**apply** (*rule* *wfrec-ssubst*)  
**apply** (*simp add: under-Memrel-eclose mem-eclose-sing-trans* [*of - j i*])  
**done**

**lemma** *wfrec-eclose-eq2*:

$k \in i \implies \text{wfrec}(\text{Memrel}(\text{eclose}(\{i\})), k, H) = \text{wfrec}(\text{Memrel}(\text{eclose}(\{k\})), k, H)$   
**apply** (*rule* *arg-in-eclose-sing* [*THEN wfrec-eclose-eq*])  
**apply** (*erule* *arg-into-eclose-sing*)  
**done**

**lemma** *transrec*:  $\text{transrec}(a, H) = H(a, \lambda x \in a. \text{transrec}(x, H))$

**unfolding** *transrec-def*  
**apply** (*rule* *wfrec-ssubst*)  
**apply** (*simp add: wfrec-eclose-eq2 arg-in-eclose-sing under-Memrel-eclose*)  
**done**

**lemma** *def-transrec*:

$\llbracket \bigwedge x. f(x) \equiv \text{transrec}(x, H) \rrbracket \implies f(a) = H(a, \lambda x \in a. f(x))$   
**apply** *simp*  
**apply** (*rule* *transrec*)  
**done**

**lemma** *transrec-type*:

$\llbracket \bigwedge x u. \llbracket x \in \text{eclose}(\{a\}); u \in \text{Pi}(x, B) \rrbracket \implies H(x, u) \in B(x)$   
 $\implies \text{transrec}(a, H) \in B(a)$   
**apply** (*rule-tac*  $i = a$  **in** *arg-in-eclose-sing* [*THEN* *eclose-induct*])  
**apply** (*subst* *transrec*)

**apply** (*simp add: lam-type*)  
**done**

**lemma** *eclose-sing-Ord*:  $\text{Ord}(i) \implies \text{eclose}(\{i\}) \subseteq \text{succ}(i)$   
**apply** (*erule Ord-is-Transset [THEN Transset-succ, THEN eclose-least]*)  
**apply** (*rule succI1 [THEN singleton-subsetI]*)  
**done**

**lemma** *succ-subset-eclose-sing*:  $\text{succ}(i) \subseteq \text{eclose}(\{i\})$   
**apply** (*insert arg-subset-eclose [of {i}], simp*)  
**apply** (*frule eclose-subset, blast*)  
**done**

**lemma** *eclose-sing-Ord-eq*:  $\text{Ord}(i) \implies \text{eclose}(\{i\}) = \text{succ}(i)$   
**apply** (*rule equalityI*)  
**apply** (*erule eclose-sing-Ord*)  
**apply** (*rule succ-subset-eclose-sing*)  
**done**

**lemma** *Ord-transrec-type*:  
**assumes** *jini*:  $j \in i$   
**and** *ordi*:  $\text{Ord}(i)$   
**and** *minor*:  $\bigwedge x u. \llbracket x \in i; u \in \text{Pi}(x, B) \rrbracket \implies H(x, u) \in B(x)$   
**shows**  $\text{transrec}(j, H) \in B(j)$   
**apply** (*rule transrec-type*)  
**apply** (*insert jini ordi*)  
**apply** (*blast intro!: minor*)  
*intro*: *Ord-trans*  
*dest*: *Ord-in-Ord [THEN eclose-sing-Ord, THEN subsetD]*)  
**done**

## 18.4 Rank

**lemma** *rank*:  $\text{rank}(a) = (\bigcup y \in a. \text{succ}(\text{rank}(y)))$   
**by** (*subst rank-def [THEN def-transrec], simp*)

**lemma** *Ord-rank* [*simp*]:  $\text{Ord}(\text{rank}(a))$   
**apply** (*rule-tac a=a in eps-induct*)  
**apply** (*subst rank*)  
**apply** (*rule Ord-succ [THEN Ord-UN]*)  
**apply** (*erule bspec, assumption*)  
**done**

**lemma** *rank-of-Ord*:  $\text{Ord}(i) \implies \text{rank}(i) = i$   
**apply** (*erule trans-induct*)  
**apply** (*subst rank*)  
**apply** (*simp add: Ord-equality*)  
**done**

```

lemma rank-lt:  $a \in b \implies \text{rank}(a) < \text{rank}(b)$ 
apply (rule-tac  $a1 = b$  in rank [THEN ssubst])
apply (erule UN-I [THEN ltI])
apply (rule-tac [2] Ord-UN, auto)
done

```

```

lemma eclose-rank-lt:  $a \in \text{eclose}(b) \implies \text{rank}(a) < \text{rank}(b)$ 
apply (erule eclose-induct-down)
apply (erule rank-lt)
apply (erule rank-lt [THEN lt-trans], assumption)
done

```

```

lemma rank-mono:  $a \leq b \implies \text{rank}(a) \leq \text{rank}(b)$ 
apply (rule subset-imp-le)
apply (auto simp add: rank [of a] rank [of b])
done

```

```

lemma rank-Pow:  $\text{rank}(\text{Pow}(a)) = \text{succ}(\text{rank}(a))$ 
apply (rule rank [THEN trans])
apply (rule le-anti-sym)
apply (rule-tac [2] UN-upper-le)
apply (rule UN-least-le)
apply (auto intro: rank-mono simp add: Ord-UN)
done

```

```

lemma rank-0 [simp]:  $\text{rank}(0) = 0$ 
by (rule rank [THEN trans], blast)

```

```

lemma rank-succ [simp]:  $\text{rank}(\text{succ}(x)) = \text{succ}(\text{rank}(x))$ 
apply (rule rank [THEN trans])
apply (rule equalityI [OF UN-least succI1 [THEN UN-upper]])
apply (erule succE, blast)
apply (erule rank-lt [THEN leI, THEN succ-leI, THEN le-imp-subset])
done

```

```

lemma rank-Union:  $\text{rank}(\bigcup(A)) = (\bigcup x \in A. \text{rank}(x))$ 
apply (rule equalityI)
apply (rule-tac [2] rank-mono [THEN le-imp-subset, THEN UN-least])
apply (erule-tac [2] Union-upper)
apply (subst rank)
apply (rule UN-least)
apply (erule UnionE)
apply (rule subset-trans)
apply (erule-tac [2] RepFunI [THEN Union-upper])
apply (erule rank-lt [THEN succ-leI, THEN le-imp-subset])
done

```

```

lemma rank-eclose:  $\text{rank}(\text{eclose}(a)) = \text{rank}(a)$ 
apply (rule le-anti-sym)

```

```

apply (rule-tac [2] arg-subset-eclose [THEN rank-mono])
apply (rule-tac a1 = eclose (a) in rank [THEN ssubst])
apply (rule Ord-rank [THEN UN-least-le])
apply (erule eclose-rank-lt [THEN succ-leI])
done

lemma rank-pair1: rank(a) < rank((a,b))
  unfolding Pair-def
apply (rule consI1 [THEN rank-lt, THEN lt-trans])
apply (rule consI1 [THEN consI2, THEN rank-lt])
done

lemma rank-pair2: rank(b) < rank((a,b))
  unfolding Pair-def
apply (rule consI1 [THEN consI2, THEN rank-lt, THEN lt-trans])
apply (rule consI1 [THEN consI2, THEN rank-lt])
done

lemma the-equality-if:
  
$$P(a) \implies (THE\ x.\ P(x)) = (if\ (\exists!x.\ P(x))\ then\ a\ else\ 0)$$

by (simp add: the-0 the-equality2)

```

```

lemma rank-apply:  $\llbracket i \in domain(f); function(f) \rrbracket \implies rank(f'i) < rank(f)$ 
apply clarify
apply (simp add: function-apply-equality)
apply (blast intro: lt-trans rank-lt rank-pair2)
done

```

## 18.5 Corollaries of Leastness

```

lemma mem-eclose-subset:  $A \in B \implies eclose(A) \leq eclose(B)$ 
apply (rule Transset-eclose [THEN eclose-least])
apply (erule arg-into-eclose [THEN eclose-subset])
done

```

```

lemma eclose-mono:  $A \leq B \implies eclose(A) \subseteq eclose(B)$ 
apply (rule Transset-eclose [THEN eclose-least])
apply (erule subset-trans)
apply (rule arg-subset-eclose)
done

```

```

lemma eclose-idem:  $eclose(eclose(A)) = eclose(A)$ 
apply (rule equalityI)
apply (rule eclose-least [OF Transset-eclose subset-refl])
apply (rule arg-subset-eclose)

```

done

**lemma** *transrec2-0* [*simp*]:  $\text{transrec2}(0, a, b) = a$   
**by** (rule *transrec2-def* [*THEN* *def-transrec*, *THEN* *trans*], *simp*)

**lemma** *transrec2-succ* [*simp*]:  $\text{transrec2}(\text{succ}(i), a, b) = b(i, \text{transrec2}(i, a, b))$   
**apply** (rule *transrec2-def* [*THEN* *def-transrec*, *THEN* *trans*])  
**apply** (*simp* add: *the-equality if-P*)  
**done**

**lemma** *transrec2-Limit*:  
 $\text{Limit}(i) \implies \text{transrec2}(i, a, b) = (\bigcup_{j < i}. \text{transrec2}(j, a, b))$   
**apply** (rule *transrec2-def* [*THEN* *def-transrec*, *THEN* *trans*])  
**apply** (*auto simp* add: *ONion-def*)  
**done**

**lemma** *def-transrec2*:  
 $(\bigwedge x. f(x) \equiv \text{transrec2}(x, a, b))$   
 $\implies f(0) = a \wedge$   
 $f(\text{succ}(i)) = b(i, f(i)) \wedge$   
 $(\text{Limit}(K) \longrightarrow f(K) = (\bigcup_{j < K}. f(j)))$   
**by** (*simp* add: *transrec2-Limit*)

**lemmas** *recursor-lemma* = *recursor-def* [*THEN* *def-transrec*, *THEN* *trans*]

**lemma** *recursor-0*:  $\text{recursor}(a, b, 0) = a$   
**by** (rule *nat-case-0* [*THEN* *recursor-lemma*])

**lemma** *recursor-succ*:  $\text{recursor}(a, b, \text{succ}(m)) = b(m, \text{recursor}(a, b, m))$   
**by** (rule *recursor-lemma*, *simp*)

**lemma** *rec-0* [*simp*]:  $\text{rec}(0, a, b) = a$   
**unfolding** *rec-def*  
**apply** (rule *recursor-0*)  
**done**

**lemma** *rec-succ* [*simp*]:  $\text{rec}(\text{succ}(m), a, b) = b(m, \text{rec}(m, a, b))$   
**unfolding** *rec-def*  
**apply** (rule *recursor-succ*)  
**done**



**lemma** *rec-type*:  

$$\begin{aligned} & \llbracket n \in \text{nat}; \\ & \quad a \in C(0); \\ & \quad \bigwedge m z. \llbracket m \in \text{nat}; \quad z \in C(m) \rrbracket \implies b(m,z): C(\text{succ}(m)) \rrbracket \\ & \implies \text{rec}(n,a,b) \in C(n) \end{aligned}$$
  
**by** (*erule nat-induct, auto*)  
**end**

## 19 Partial and Total Orderings: Basic Definitions and Properties

**theory** *Order* **imports** *WF Perm* **begin**

We adopt the following convention: *ord* is used for strict orders and *order* is used for their reflexive counterparts.

**definition**  

$$\begin{aligned} \text{part-ord} &:: [i,i] \Rightarrow o & \textbf{where} \\ \text{part-ord}(A,r) &\equiv \text{irrefl}(A,r) \wedge \text{trans}[A](r) \end{aligned}$$

**definition**  

$$\begin{aligned} \text{linear} &:: [i,i] \Rightarrow o & \textbf{where} \\ \text{linear}(A,r) &\equiv (\forall x \in A. \forall y \in A. \langle x,y \rangle : r \mid x=y \mid \langle y,x \rangle : r) \end{aligned}$$

**definition**  

$$\begin{aligned} \text{tot-ord} &:: [i,i] \Rightarrow o & \textbf{where} \\ \text{tot-ord}(A,r) &\equiv \text{part-ord}(A,r) \wedge \text{linear}(A,r) \end{aligned}$$

**definition**  

$$\text{preorder-on}(A, r) \equiv \text{refl}(A, r) \wedge \text{trans}[A](r)$$

**definition**  

$$\text{partial-order-on}(A, r) \equiv \text{preorder-on}(A, r) \wedge \text{antisym}(r)$$

**abbreviation**  

$$\text{Preorder}(r) \equiv \text{preorder-on}(\text{field}(r), r)$$

**abbreviation**  

$$\text{Partial-order}(r) \equiv \text{partial-order-on}(\text{field}(r), r)$$

**definition**  

$$\begin{aligned} \text{well-ord} &:: [i,i] \Rightarrow o & \textbf{where} \\ \text{well-ord}(A,r) &\equiv \text{tot-ord}(A,r) \wedge \text{wf}[A](r) \end{aligned}$$

**definition**  

$$\begin{aligned} \text{mono-map} &:: [i,i,i,i] \Rightarrow i & \textbf{where} \\ \text{mono-map}(A,r,B,s) &\equiv \end{aligned}$$

$$\{f \in A \multimap B. \forall x \in A. \forall y \in A. \langle x, y \rangle : r \longrightarrow \langle f'x, f'y \rangle : s\}$$

**definition**

$ord\text{-}iso :: [i, i, i, i] \Rightarrow i$  ( $\langle \langle notation = \langle infix\ ord\text{-}iso \rangle \langle -, - \rangle \cong / \langle -, - \rangle \rangle 51$ ) **where**  
 $\langle A, r \rangle \cong \langle B, s \rangle \equiv$   
 $\{f \in bij(A, B). \forall x \in A. \forall y \in A. \langle x, y \rangle : r \longleftrightarrow \langle f'x, f'y \rangle : s\}$

**definition**

$pred :: [i, i, i] \Rightarrow i$  **where**  
 $pred(A, x, r) \equiv \{y \in A. \langle y, x \rangle : r\}$

**definition**

$ord\text{-}iso\text{-}map :: [i, i, i, i] \Rightarrow i$  **where**  
 $ord\text{-}iso\text{-}map(A, r, B, s) \equiv$   
 $\bigcup x \in A. \bigcup y \in B. \bigcup f \in ord\text{-}iso(pred(A, x, r), r, pred(B, y, s), s). \{\langle x, y \rangle\}$

**definition**

$first :: [i, i, i] \Rightarrow o$  **where**  
 $first(u, X, R) \equiv u \in X \wedge (\forall v \in X. v \neq u \longrightarrow \langle u, v \rangle \in R)$

## 19.1 Immediate Consequences of the Definitions

**lemma** *part-ord-Imp-asym*:

$part\text{-}ord(A, r) \Longrightarrow asym(r \cap A * A)$

**by** (*unfold part-ord-def irrefl-def trans-on-def asym-def, blast*)

**lemma** *linearE*:

$\llbracket linear(A, r); x \in A; y \in A;$   
 $\langle x, y \rangle : r \Longrightarrow P; x = y \Longrightarrow P; \langle y, x \rangle : r \Longrightarrow P$   
 $\Longrightarrow P$

**by** (*simp add: linear-def, blast*)

**lemma** *well-ordI*:

$\llbracket wf[A](r); linear(A, r) \rrbracket \Longrightarrow well\text{-}ord(A, r)$

**apply** (*simp add: irrefl-def part-ord-def tot-ord-def*  
*trans-on-def well-ord-def wf-on-not-refl*)

**apply** (*fast elim: linearE wf-on-asym wf-on-chain3*)  
**done**

**lemma** *well-ord-is-wf*:

$well\text{-}ord(A, r) \Longrightarrow wf[A](r)$

**by** (*unfold well-ord-def, safe*)

**lemma** *well-ord-is-trans-on*:

$well\text{-}ord(A, r) \Longrightarrow trans[A](r)$

**by** (*unfold well-ord-def tot-ord-def part-ord-def, safe*)

**lemma** *well-ord-is-linear*:  $\text{well-ord}(A,r) \implies \text{linear}(A,r)$   
**by** (*unfold well-ord-def tot-ord-def, blast*)

**lemma** *pred-iff*:  $y \in \text{pred}(A,x,r) \longleftrightarrow \langle y,x \rangle : r \wedge y \in A$   
**by** (*unfold pred-def, blast*)

**lemmas** *predI* = *conjI* [*THEN pred-iff* [*THEN iffD2*]]

**lemma** *predE*:  $\llbracket y \in \text{pred}(A,x,r); \llbracket y \in A; \langle y,x \rangle : r \rrbracket \implies P \rrbracket \implies P$   
**by** (*simp add: pred-def*)

**lemma** *pred-subset-under*:  $\text{pred}(A,x,r) \subseteq r - \{x\}$   
**by** (*simp add: pred-def, blast*)

**lemma** *pred-subset*:  $\text{pred}(A,x,r) \subseteq A$   
**by** (*simp add: pred-def, blast*)

**lemma** *pred-pred-eq*:  
 $\text{pred}(\text{pred}(A,x,r), y, r) = \text{pred}(A,x,r) \cap \text{pred}(A,y,r)$   
**by** (*simp add: pred-def, blast*)

**lemma** *trans-pred-pred-eq*:  
 $\llbracket \text{trans}[A](r); \langle y,x \rangle : r; x \in A; y \in A \rrbracket$   
 $\implies \text{pred}(\text{pred}(A,x,r), y, r) = \text{pred}(A,y,r)$   
**by** (*unfold trans-on-def pred-def, blast*)

## 19.2 Restricting an Ordering's Domain

**lemma** *part-ord-subset*:  
 $\llbracket \text{part-ord}(A,r); B \leq A \rrbracket \implies \text{part-ord}(B,r)$   
**by** (*unfold part-ord-def irreft-def trans-on-def, blast*)

**lemma** *linear-subset*:  
 $\llbracket \text{linear}(A,r); B \leq A \rrbracket \implies \text{linear}(B,r)$   
**by** (*unfold linear-def, blast*)

**lemma** *tot-ord-subset*:  
 $\llbracket \text{tot-ord}(A,r); B \leq A \rrbracket \implies \text{tot-ord}(B,r)$   
**unfolding** *tot-ord-def*  
**apply** (*fast elim!: part-ord-subset linear-subset*)  
**done**

**lemma** *well-ord-subset*:  
 $\llbracket \text{well-ord}(A,r); B \leq A \rrbracket \implies \text{well-ord}(B,r)$   
**unfolding** *well-ord-def*

**apply** (*fast elim!*: *tot-ord-subset wf-on-subset-A*)  
**done**

**lemma** *irrefl-Int-iff*:  $\text{irrefl}(A, r \cap A * A) \longleftrightarrow \text{irrefl}(A, r)$   
**by** (*unfold irrefl-def, blast*)

**lemma** *trans-on-Int-iff*:  $\text{trans}[A](r \cap A * A) \longleftrightarrow \text{trans}[A](r)$   
**by** (*unfold trans-on-def, blast*)

**lemma** *part-ord-Int-iff*:  $\text{part-ord}(A, r \cap A * A) \longleftrightarrow \text{part-ord}(A, r)$   
**unfolding** *part-ord-def*  
**apply** (*simp add: irrefl-Int-iff trans-on-Int-iff*)  
**done**

**lemma** *linear-Int-iff*:  $\text{linear}(A, r \cap A * A) \longleftrightarrow \text{linear}(A, r)$   
**by** (*unfold linear-def, blast*)

**lemma** *tot-ord-Int-iff*:  $\text{tot-ord}(A, r \cap A * A) \longleftrightarrow \text{tot-ord}(A, r)$   
**unfolding** *tot-ord-def*  
**apply** (*simp add: part-ord-Int-iff linear-Int-iff*)  
**done**

**lemma** *wf-on-Int-iff*:  $\text{wf}[A](r \cap A * A) \longleftrightarrow \text{wf}[A](r)$   
**apply** (*unfold wf-on-def wf-def, fast*)  
**done**

**lemma** *well-ord-Int-iff*:  $\text{well-ord}(A, r \cap A * A) \longleftrightarrow \text{well-ord}(A, r)$   
**unfolding** *well-ord-def*  
**apply** (*simp add: tot-ord-Int-iff wf-on-Int-iff*)  
**done**

### 19.3 Empty and Unit Domains

**lemma** *wf-on-any-0*:  $\text{wf}[A](0)$   
**by** (*simp add: wf-on-def wf-def, fast*)

#### 19.3.1 Relations over the Empty Set

**lemma** *irrefl-0*:  $\text{irrefl}(0, r)$   
**by** (*unfold irrefl-def, blast*)

**lemma** *trans-on-0*:  $\text{trans}[0](r)$   
**by** (*unfold trans-on-def, blast*)

**lemma** *part-ord-0*:  $\text{part-ord}(0, r)$   
**unfolding** *part-ord-def*  
**apply** (*simp add: irrefl-0 trans-on-0*)

done

**lemma** *linear-0*: *linear*(0,*r*)  
**by** (*unfold linear-def*, *blast*)

**lemma** *tot-ord-0*: *tot-ord*(0,*r*)  
**unfolding** *tot-ord-def*  
**apply** (*simp add: part-ord-0 linear-0*)  
done

**lemma** *wf-on-0*: *wf*[0](*r*)  
**by** (*unfold wf-on-def wf-def*, *blast*)

**lemma** *well-ord-0*: *well-ord*(0,*r*)  
**unfolding** *well-ord-def*  
**apply** (*simp add: tot-ord-0 wf-on-0*)  
done

### 19.3.2 The Empty Relation Well-Orders the Unit Set

by Grabczewski

**lemma** *tot-ord-unit*: *tot-ord*({*a*},0)  
**by** (*simp add: irrefl-def trans-on-def part-ord-def linear-def tot-ord-def*)

**lemma** *well-ord-unit*: *well-ord*({*a*},0)  
**unfolding** *well-ord-def*  
**apply** (*simp add: tot-ord-unit wf-on-any-0*)  
done

## 19.4 Order-Isomorphisms

Suppes calls them "similarities"

**lemma** *mono-map-is-fun*:  $f \in \text{mono-map}(A,r,B,s) \implies f \in A \multimap B$   
**by** (*simp add: mono-map-def*)

**lemma** *mono-map-is-inj*:  
 $\llbracket \text{linear}(A,r); \text{wf}[B](s); f \in \text{mono-map}(A,r,B,s) \rrbracket \implies f \in \text{inj}(A,B)$   
**apply** (*unfold mono-map-def inj-def*, *clarify*)  
**apply** (*erule-tac x=w and y=x in linearE*, *assumption+*)  
**apply** (*force intro: apply-type dest: wf-on-not-refl*)  
done

**lemma** *ord-isoI*:  
 $\llbracket f \in \text{bij}(A, B);$   
 $\bigwedge x y. \llbracket x \in A; y \in A \rrbracket \implies \langle x, y \rangle \in r \longleftrightarrow \langle f'x, f'y \rangle \in s \rrbracket$   
 $\implies f \in \text{ord-iso}(A,r,B,s)$   
**by** (*simp add: ord-iso-def*)

**lemma** *ord-iso-is-mono-map*:

$f \in \text{ord-iso}(A, r, B, s) \implies f \in \text{mono-map}(A, r, B, s)$

**apply** (*simp add: ord-iso-def mono-map-def*)

**apply** (*blast dest!: bij-is-fun*)

**done**

**lemma** *ord-iso-is-bij*:

$f \in \text{ord-iso}(A, r, B, s) \implies f \in \text{bij}(A, B)$

**by** (*simp add: ord-iso-def*)

**lemma** *ord-iso-apply*:

$\llbracket f \in \text{ord-iso}(A, r, B, s); \langle x, y \rangle: r; x \in A; y \in A \rrbracket \implies \langle f'x, f'y \rangle \in s$

**by** (*simp add: ord-iso-def*)

**lemma** *ord-iso-converse*:

$\llbracket f \in \text{ord-iso}(A, r, B, s); \langle x, y \rangle: s; x \in B; y \in B \rrbracket$

$\implies \langle \text{converse}(f) ' x, \text{converse}(f) ' y \rangle \in r$

**apply** (*simp add: ord-iso-def, clarify*)

**apply** (*erule bspec [THEN bspec, THEN iffD2]*)

**apply** (*erule asm-rl bij-converse-bij [THEN bij-is-fun, THEN apply-type]*)

**apply** (*auto simp add: right-inverse-bij*)

**done**

**lemma** *ord-iso-reft*:  $\text{id}(A): \text{ord-iso}(A, r, A, r)$

**by** (*rule id-bij [THEN ord-isoI], simp*)

**lemma** *ord-iso-sym*:  $f \in \text{ord-iso}(A, r, B, s) \implies \text{converse}(f): \text{ord-iso}(B, s, A, r)$

**apply** (*simp add: ord-iso-def*)

**apply** (*auto simp add: right-inverse-bij bij-converse-bij*

*bij-is-fun [THEN apply-funtype]*)

**done**

**lemma** *mono-map-trans*:

$\llbracket g \in \text{mono-map}(A, r, B, s); f \in \text{mono-map}(B, s, C, t) \rrbracket$

$\implies (f \circ g): \text{mono-map}(A, r, C, t)$

**unfolding** *mono-map-def*

**apply** (*auto simp add: comp-fun*)

**done**

**lemma** *ord-iso-trans*:

$\llbracket g \in \text{ord-iso}(A, r, B, s); f \in \text{ord-iso}(B, s, C, t) \rrbracket$

```

     $\implies (f \circ g): \text{ord-iso}(A, r, C, t)$ 
  apply (unfold ord-iso-def, clarify)
  apply (frule bij-is-fun [of f])
  apply (frule bij-is-fun [of g])
  apply (auto simp add: comp-bij)
done

```

```

lemma mono-ord-isoI:
   $\llbracket f \in \text{mono-map}(A, r, B, s); g \in \text{mono-map}(B, s, A, r);$ 
   $f \circ g = \text{id}(B); g \circ f = \text{id}(A) \rrbracket \implies f \in \text{ord-iso}(A, r, B, s)$ 
  apply (simp add: ord-iso-def mono-map-def, safe)
  apply (intro fg-imp-bijective, auto)
  apply (subgoal-tac <g' (f'x), g' (f'y) >  $\in r$ )
  apply (simp add: comp-eq-id-iff [THEN iffD1])
  apply (blast intro: apply-funtype)
done

```

```

lemma well-ord-mono-ord-isoI:
   $\llbracket \text{well-ord}(A, r); \text{well-ord}(B, s);$ 
   $f \in \text{mono-map}(A, r, B, s); \text{converse}(f): \text{mono-map}(B, s, A, r) \rrbracket$ 
   $\implies f \in \text{ord-iso}(A, r, B, s)$ 
  apply (intro mono-ord-isoI, auto)
  apply (frule mono-map-is-fun [THEN fun-is-rel])
  apply (erule converse-converse [THEN subst], rule left-comp-inverse)
  apply (blast intro: left-comp-inverse mono-map-is-inj well-ord-is-linear
    well-ord-is-wf)+
done

```

```

lemma part-ord-ord-iso:
   $\llbracket \text{part-ord}(B, s); f \in \text{ord-iso}(A, r, B, s) \rrbracket \implies \text{part-ord}(A, r)$ 
  apply (simp add: part-ord-def irrefl-def trans-on-def ord-iso-def)
  apply (fast intro: bij-is-fun [THEN apply-type])
done

```

```

lemma linear-ord-iso:
   $\llbracket \text{linear}(B, s); f \in \text{ord-iso}(A, r, B, s) \rrbracket \implies \text{linear}(A, r)$ 
  apply (simp add: linear-def ord-iso-def, safe)
  apply (drule-tac x1 = f'x and x = f'y in bspec [THEN bspec])
  apply (safe elim!: bij-is-fun [THEN apply-type])
  apply (drule-tac t = (') (converse (f)) in subst-context)
  apply (simp add: left-inverse-bij)
done

```

```

lemma wf-on-ord-iso:

```

```

     $\llbracket wf[B](s); f \in ord\text{-}iso(A, r, B, s) \rrbracket \implies wf[A](r)$ 
apply (simp add: wf-on-def wf-def ord-iso-def, safe)
apply (drule-tac x = {f'z. z ∈ Z ∩ A} in spec)
apply (safe intro!: equalityI)
apply (blast dest!: equalityD1 intro: bij-is-fun [THEN apply-type])+
done

```

```

lemma well-ord-ord-iso:
     $\llbracket well\text{-}ord(B, s); f \in ord\text{-}iso(A, r, B, s) \rrbracket \implies well\text{-}ord(A, r)$ 
unfolding well-ord-def tot-ord-def
apply (fast elim!: part-ord-ord-iso linear-ord-iso wf-on-ord-iso)
done

```

## 19.5 Main results of Kunen, Chapter 1 section 6

```

lemma well-ord-iso-subset-lemma:
     $\llbracket well\text{-}ord(A, r); f \in ord\text{-}iso(A, r, A', r); A' \leq A; y \in A \rrbracket$ 
     $\implies \neg \langle f'y, y \rangle: r$ 
apply (simp add: well-ord-def ord-iso-def)
apply (elim conjE CollectE)
apply (rule-tac a=y in wf-on-induct, assumption+)
apply (blast dest: bij-is-fun [THEN apply-type])
done

```

```

lemma well-ord-iso-predE:
     $\llbracket well\text{-}ord(A, r); f \in ord\text{-}iso(A, r, pred(A, x, r), r); x \in A \rrbracket \implies P$ 
apply (insert well-ord-iso-subset-lemma [of A r f pred(A, x, r) x])
apply (simp add: pred-subset)

apply (drule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type], assumption)

apply (simp add: well-ord-def pred-def)
done

```

```

lemma well-ord-iso-pred-eq:
     $\llbracket well\text{-}ord(A, r); f \in ord\text{-}iso(pred(A, a, r), r, pred(A, c, r), r);$ 
     $a \in A; c \in A \rrbracket \implies a=c$ 
apply (frule well-ord-is-trans-on)
apply (frule well-ord-is-linear)
apply (erule-tac x=a and y=c in linearE, assumption+)
apply (drule ord-iso-sym)

apply (auto elim!: well-ord-subset [OF - pred-subset, THEN well-ord-iso-predE]
    intro!: predI
    simp add: trans-pred-pred-eq)
done

```



**lemma** *ord-iso-image-pred*:  
 $\llbracket f \in \text{ord-iso}(A, r, B, s); a \in A \rrbracket \implies f \text{ `` } \text{pred}(A, a, r) = \text{pred}(B, f'a, s)$   
**unfolding** *ord-iso-def pred-def*  
**apply** (*erule CollectE*)  
**apply** (*simp* (*no-asm-simp*) *add: image-fun [OF bij-is-fun Collect-subset]*)  
**apply** (*rule equalityI*)  
**apply** (*safe elim!*: *bij-is-fun [THEN apply-type]*)  
**apply** (*rule RepFun-eqI*)  
**apply** (*blast intro!*: *right-inverse-bij [symmetric]*)  
**apply** (*auto simp add: right-inverse-bij bij-is-fun [THEN apply-funtype]*)  
**done**

**lemma** *ord-iso-restrict-image*:  
 $\llbracket f \in \text{ord-iso}(A, r, B, s); C \leq A \rrbracket$   
 $\implies \text{restrict}(f, C) \in \text{ord-iso}(C, r, f''C, s)$   
**apply** (*simp add: ord-iso-def*)  
**apply** (*blast intro: bij-is-inj restrict-bij*)  
**done**

**lemma** *ord-iso-restrict-pred*:  
 $\llbracket f \in \text{ord-iso}(A, r, B, s); a \in A \rrbracket$   
 $\implies \text{restrict}(f, \text{pred}(A, a, r)) \in \text{ord-iso}(\text{pred}(A, a, r), r, \text{pred}(B, f'a, s), s)$   
**apply** (*simp add: ord-iso-image-pred [symmetric]*)  
**apply** (*blast intro: ord-iso-restrict-image elim: predE*)  
**done**

**lemma** *well-ord-iso-preserving*:  
 $\llbracket \text{well-ord}(A, r); \text{well-ord}(B, s); \langle a, c \rangle: r;$   
 $f \in \text{ord-iso}(\text{pred}(A, a, r), r, \text{pred}(B, b, s), s);$   
 $g \in \text{ord-iso}(\text{pred}(A, c, r), r, \text{pred}(B, d, s), s);$   
 $a \in A; c \in A; b \in B; d \in B \rrbracket \implies \langle b, d \rangle: s$   
**apply** (*frule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type], (erule asm-rl predI predE)+*)  
**apply** (*subgoal-tac b = g'a*)  
**apply** (*simp* (*no-asm-simp*))  
**apply** (*rule well-ord-iso-pred-eq, auto*)  
**apply** (*frule ord-iso-restrict-pred, (erule asm-rl predI)+*)  
**apply** (*simp add: well-ord-is-trans-on trans-pred-pred-eq*)  
**apply** (*erule ord-iso-sym [THEN ord-iso-trans], assumption*)  
**done**

**lemma** *well-ord-iso-unique-lemma*:  
 $\llbracket \text{well-ord}(A, r);$   
 $f \in \text{ord-iso}(A, r, B, s); g \in \text{ord-iso}(A, r, B, s); y \in A \rrbracket$   
 $\implies \neg \langle g'y, f'y \rangle \in s$

```

apply (frule well-ord-iso-subset-lemma)
apply (rule-tac f = converse (f) and g = g in ord-iso-trans)
apply auto
apply (blast intro: ord-iso-sym)
apply (frule ord-iso-is-bij [of f])
apply (frule ord-iso-is-bij [of g])
apply (frule ord-iso-converse)
apply (blast intro!: bij-converse-bij
      intro: bij-is-fun apply-funtype)+
apply (erule notE)
apply (simp add: left-inverse-bij bij-is-fun comp-fun-apply [of - A B])
done

```

```

lemma well-ord-iso-unique:  $\llbracket \text{well-ord}(A, r);$ 
       $f \in \text{ord-iso}(A, r, B, s); g \in \text{ord-iso}(A, r, B, s) \rrbracket \implies f = g$ 
apply (rule fun-extension)
apply (erule ord-iso-is-bij [THEN bij-is-fun])+
apply (subgoal-tac f'x  $\in B \wedge g'$ x  $\in B \wedge \text{linear}(B, s)$ )
  apply (simp add: linear-def)
  apply (blast dest: well-ord-iso-unique-lemma)
apply (blast intro: ord-iso-is-bij bij-is-fun apply-funtype
      well-ord-is-linear well-ord-ord-iso ord-iso-sym)
done

```

## 19.6 Towards Kunen's Theorem 6.3: Linearity of the Similarity Relation

```

lemma ord-iso-map-subset:  $\text{ord-iso-map}(A, r, B, s) \subseteq A * B$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma domain-ord-iso-map:  $\text{domain}(\text{ord-iso-map}(A, r, B, s)) \subseteq A$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma range-ord-iso-map:  $\text{range}(\text{ord-iso-map}(A, r, B, s)) \subseteq B$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma converse-ord-iso-map:
   $\text{converse}(\text{ord-iso-map}(A, r, B, s)) = \text{ord-iso-map}(B, s, A, r)$ 
  unfolding ord-iso-map-def
apply (blast intro: ord-iso-sym)
done

```

```

lemma function-ord-iso-map:
   $\text{well-ord}(B, s) \implies \text{function}(\text{ord-iso-map}(A, r, B, s))$ 
  unfolding ord-iso-map-def function-def
apply (blast intro: well-ord-iso-pred-eq ord-iso-sym ord-iso-trans)
done

```

**lemma** *ord-iso-map-fun*:  $well\_ord(B,s) \implies ord\_iso\_map(A,r,B,s)$   
 $\in domain(ord\_iso\_map(A,r,B,s)) \rightarrow range(ord\_iso\_map(A,r,B,s))$   
**by** (*simp add: Pi-iff function-ord-iso-map*  
*ord-iso-map-subset [THEN domain-times-range]*)

**lemma** *ord-iso-map-mono-map*:  
 $\llbracket well\_ord(A,r); well\_ord(B,s) \rrbracket$   
 $\implies ord\_iso\_map(A,r,B,s)$   
 $\in mono\_map(domain(ord\_iso\_map(A,r,B,s)), r,$   
 $range(ord\_iso\_map(A,r,B,s)), s)$   
**unfolding** *mono-map-def*  
**apply** (*simp (no-asm-simp) add: ord-iso-map-fun*)  
**apply** *safe*  
**apply** (*subgoal-tac*  $x \in A \wedge ya:A \wedge y \in B \wedge yb:B$ )  
**apply** (*simp add: apply-equality [OF - ord-iso-map-fun]*)  
**unfolding** *ord-iso-map-def*  
**apply** (*blast intro: well-ord-iso-preserving, blast*)  
**done**

**lemma** *ord-iso-map-ord-iso*:  
 $\llbracket well\_ord(A,r); well\_ord(B,s) \rrbracket \implies ord\_iso\_map(A,r,B,s)$   
 $\in ord\_iso(domain(ord\_iso\_map(A,r,B,s)), r,$   
 $range(ord\_iso\_map(A,r,B,s)), s)$   
**apply** (*rule well-ord-mono-ord-isoI*)  
**prefer** 4  
**apply** (*rule converse-ord-iso-map [THEN subst]*)  
**apply** (*simp add: ord-iso-map-mono-map*  
*ord-iso-map-subset [THEN converse-converse]*)  
**apply** (*blast intro!: domain-ord-iso-map range-ord-iso-map*  
*intro: well-ord-subset ord-iso-map-mono-map*)  
**done**

**lemma** *domain-ord-iso-map-subset*:  
 $\llbracket well\_ord(A,r); well\_ord(B,s);$   
 $a \in A; a \notin domain(ord\_iso\_map(A,r,B,s)) \rrbracket$   
 $\implies domain(ord\_iso\_map(A,r,B,s)) \subseteq pred(A, a, r)$   
**unfolding** *ord-iso-map-def*  
**apply** (*safe intro!: predI*)

**apply** (*simp (no-asm-simp)*)  
**apply** (*frule-tac*  $A = A$  **in** *well-ord-is-linear*)  
**apply** (*rename-tac*  $b y f$ )  
**apply** (*erule-tac*  $x=b$  **and**  $y=a$  **in** *linearE, assumption+*)

**apply** *clarify*  
**apply** *blast*

```

apply (frule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type],
      (erule asm-rl predI predE)+)
apply (frule ord-iso-restrict-pred)
apply (simp add: pred-iff)
apply (simp split: split-if-asm
      add: well-ord-is-trans-on trans-pred-pred-eq domain-UN domain-Union,
      blast)
done

```

```

lemma domain-ord-iso-map-cases:
   $\llbracket \text{well-ord}(A, r); \text{well-ord}(B, s) \rrbracket$ 
 $\implies \text{domain}(\text{ord-iso-map}(A, r, B, s)) = A \mid$ 
 $(\exists x \in A. \text{domain}(\text{ord-iso-map}(A, r, B, s)) = \text{pred}(A, x, r))$ 
apply (frule well-ord-is-wf)
unfolding wf-on-def wf-def
apply (drule-tac  $x = A - \text{domain}(\text{ord-iso-map}(A, r, B, s))$  in spec)
apply safe

```

```

apply (rule domain-ord-iso-map [THEN equalityI])
apply (erule Diff-eq-0-iff [THEN iffD1])

```

```

apply (blast del: domainI subsetI
      elim!: predE
      intro!: domain-ord-iso-map-subset
      intro: subsetI)+
done

```

```

lemma range-ord-iso-map-cases:
   $\llbracket \text{well-ord}(A, r); \text{well-ord}(B, s) \rrbracket$ 
 $\implies \text{range}(\text{ord-iso-map}(A, r, B, s)) = B \mid$ 
 $(\exists y \in B. \text{range}(\text{ord-iso-map}(A, r, B, s)) = \text{pred}(B, y, s))$ 
apply (rule converse-ord-iso-map [THEN subst])
apply (simp add: domain-ord-iso-map-cases)
done

```

Kunen's Theorem 6.3: Fundamental Theorem for Well-Ordered Sets

```

theorem well-ord-trichotomy:
   $\llbracket \text{well-ord}(A, r); \text{well-ord}(B, s) \rrbracket$ 
 $\implies \text{ord-iso-map}(A, r, B, s) \in \text{ord-iso}(A, r, B, s) \mid$ 
 $(\exists x \in A. \text{ord-iso-map}(A, r, B, s) \in \text{ord-iso}(\text{pred}(A, x, r), r, B, s)) \mid$ 
 $(\exists y \in B. \text{ord-iso-map}(A, r, B, s) \in \text{ord-iso}(A, r, \text{pred}(B, y, s), s))$ 
apply (frule-tac  $B = B$  in domain-ord-iso-map-cases, assumption)
apply (frule-tac  $B = B$  in range-ord-iso-map-cases, assumption)
apply (drule ord-iso-map-ord-iso, assumption)
apply (elim disjE bexE)
apply (simp-all add: bexI)

```

```

apply (rule wf-on-not-refl [THEN notE])
  apply (erule well-ord-is-wf)
  apply assumption
apply (subgoal-tac ⟨x,y⟩: ord-iso-map (A,r,B,s) )
  apply (drule rangeI)
  apply (simp add: pred-def)
apply (unfold ord-iso-map-def, blast)
done

```

## 19.7 Miscellaneous Results by Krzysztof Grabczewski

```

lemma irrefl-converse: irrefl(A,r)  $\implies$  irrefl(A,converse(r))
by (unfold irrefl-def, blast)

```

```

lemma trans-on-converse: trans[A](r)  $\implies$  trans[A](converse(r))
by (unfold trans-on-def, blast)

```

```

lemma part-ord-converse: part-ord(A,r)  $\implies$  part-ord(A,converse(r))
  unfolding part-ord-def
apply (blast intro!: irrefl-converse trans-on-converse)
done

```

```

lemma linear-converse: linear(A,r)  $\implies$  linear(A,converse(r))
by (unfold linear-def, blast)

```

```

lemma tot-ord-converse: tot-ord(A,r)  $\implies$  tot-ord(A,converse(r))
  unfolding tot-ord-def
apply (blast intro!: part-ord-converse linear-converse)
done

```

```

lemma first-is-elem: first(b,B,r)  $\implies$  b  $\in$  B
by (unfold first-def, blast)

```

```

lemma well-ord-imp-ex1-first:
   $\llbracket \text{well-ord}(A,r); B \leq A; B \neq 0 \rrbracket \implies (\exists !b. \text{first}(b,B,r))$ 
  unfolding well-ord-def wf-on-def wf-def first-def
apply (elim conjE allE disjE, blast)
apply (erule bexE)
apply (rule-tac a = x in ex1I, auto)
apply (unfold tot-ord-def linear-def, blast)
done

```

```

lemma the-first-in:
   $\llbracket \text{well-ord}(A,r); B \leq A; B \neq 0 \rrbracket \implies (\text{THE } b. \text{first}(b,B,r)) \in B$ 
apply (drule well-ord-imp-ex1-first, assumption+)
apply (rule first-is-elem)

```

apply (erule theI)  
done

## 19.8 Lemmas for the Reflexive Orders

**lemma** subset-vimage-vimage-iff:

$\llbracket \text{Preorder}(r); A \subseteq \text{field}(r); B \subseteq \text{field}(r) \rrbracket \implies$

$r - " A \subseteq r - " B \longleftrightarrow (\forall a \in A. \exists b \in B. \langle a, b \rangle \in r)$

apply (auto simp: subset-def preorder-on-def refl-def vimage-def image-def)

apply blast

unfolding trans-on-def

apply (erule-tac  $P = (\lambda x. \forall y \in \text{field}(r).$

$\forall z \in \text{field}(r). \langle x, y \rangle \in r \longrightarrow \langle y, z \rangle \in r \longrightarrow \langle x, z \rangle \in r)$  for  $r$  in rev-ballE)

apply best

apply blast

done

**lemma** subset-vimage1-vimage1-iff:

$\llbracket \text{Preorder}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$

$r - " \{a\} \subseteq r - " \{b\} \longleftrightarrow \langle a, b \rangle \in r$

by (simp add: subset-vimage-vimage-iff)

**lemma** Refl-antisym-eq-Image1-Image1-iff:

$\llbracket \text{refl}(\text{field}(r), r); \text{antisym}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$

$r - " \{a\} = r - " \{b\} \longleftrightarrow a = b$

apply rule

apply (frule equality-iffD)

apply (drule equality-iffD)

apply (simp add: antisym-def refl-def)

apply best

apply (simp add: antisym-def refl-def)

done

**lemma** Partial-order-eq-Image1-Image1-iff:

$\llbracket \text{Partial-order}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$

$r - " \{a\} = r - " \{b\} \longleftrightarrow a = b$

by (simp add: partial-order-on-def preorder-on-def

Refl-antisym-eq-Image1-Image1-iff)

**lemma** Refl-antisym-eq-vimage1-vimage1-iff:

$\llbracket \text{refl}(\text{field}(r), r); \text{antisym}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$

$r - " \{a\} = r - " \{b\} \longleftrightarrow a = b$

apply rule

apply (frule equality-iffD)

apply (drule equality-iffD)

apply (simp add: antisym-def refl-def)

apply best

apply (simp add: antisym-def refl-def)

done

**lemma** *Partial-order-eq-vimage1-vimage1-iff*:  
 $\llbracket \text{Partial-order}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$   
 $r -'' \{a\} = r -'' \{b\} \longleftrightarrow a = b$   
**by** (*simp add: partial-order-on-def preorder-on-def*  
*Refl-antisym-eq-vimage1-vimage1-iff*)

end

## 20 Combining Orderings: Foundations of Ordinal Arithmetic

**theory** *OrderArith* **imports** *Order Sum Ordinal* **begin**

**definition**

*radd*  $:: [i, i, i, i] \Rightarrow i$  **where**  
 $\text{radd}(A, r, B, s) \equiv$   
 $\{z: (A+B) * (A+B).$   
 $\quad (\exists x y. z = \langle \text{Inl}(x), \text{Inr}(y) \rangle) \mid$   
 $\quad (\exists x' x. z = \langle \text{Inl}(x'), \text{Inl}(x) \rangle \wedge \langle x', x \rangle : r) \mid$   
 $\quad (\exists y' y. z = \langle \text{Inr}(y'), \text{Inr}(y) \rangle \wedge \langle y', y \rangle : s)\}$

**definition**

*rmult*  $:: [i, i, i, i] \Rightarrow i$  **where**  
 $\text{rmult}(A, r, B, s) \equiv$   
 $\{z: (A*B) * (A*B).$   
 $\quad \exists x' y' x y. z = \langle \langle x', y' \rangle, \langle x, y \rangle \rangle \wedge$   
 $\quad (\langle x', x \rangle : r \mid (x' = x \wedge \langle y', y \rangle : s))\}$

**definition**

*rvimage*  $:: [i, i, i] \Rightarrow i$  **where**  
 $\text{rvimage}(A, f, r) \equiv \{z \in A * A. \exists x y. z = \langle x, y \rangle \wedge \langle f'x, f'y \rangle : r\}$

**definition**

*measure*  $:: [i, i] \Rightarrow i$  **where**  
 $\text{measure}(A, f) \equiv \{\langle x, y \rangle : A * A. f(x) < f(y)\}$

### 20.1 Addition of Relations – Disjoint Sum

#### 20.1.1 Rewrite rules. Can be used to obtain introduction rules

**lemma** *radd-Inl-Inr-iff [iff]*:  
 $\langle \text{Inl}(a), \text{Inr}(b) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow a \in A \wedge b \in B$   
**by** (*unfold radd-def, blast*)

**lemma** *radd-Inl-iff* [*iff*]:  
 $\langle \text{Inl}(a'), \text{Inl}(a) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow a':A \wedge a \in A \wedge \langle a', a \rangle : r$   
**by** (*unfold radd-def, blast*)

**lemma** *radd-Inr-iff* [*iff*]:  
 $\langle \text{Inr}(b'), \text{Inr}(b) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow b':B \wedge b \in B \wedge \langle b', b \rangle : s$   
**by** (*unfold radd-def, blast*)

**lemma** *radd-Inr-Inl-iff* [*simp*]:  
 $\langle \text{Inr}(b), \text{Inl}(a) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow \text{False}$   
**by** (*unfold radd-def, blast*)

**declare** *radd-Inr-Inl-iff* [*THEN iffD1, dest!*]

### 20.1.2 Elimination Rule

**lemma** *raddE*:  

$$\begin{aligned} & \llbracket \langle p', p \rangle \in \text{radd}(A, r, B, s); \\ & \quad \bigwedge x y. \llbracket p' = \text{Inl}(x); x \in A; p = \text{Inr}(y); y \in B \rrbracket \implies Q; \\ & \quad \bigwedge x' x. \llbracket p' = \text{Inl}(x'); p = \text{Inl}(x); \langle x', x \rangle : r; x':A; x \in A \rrbracket \implies Q; \\ & \quad \bigwedge y' y. \llbracket p' = \text{Inr}(y'); p = \text{Inr}(y); \langle y', y \rangle : s; y':B; y \in B \rrbracket \implies Q \end{aligned}$$
  
 $\rrbracket \implies Q$   
**by** (*unfold radd-def, blast*)

### 20.1.3 Type checking

**lemma** *radd-type*:  $\text{radd}(A, r, B, s) \subseteq (A+B) * (A+B)$   
**unfolding** *radd-def*  
**apply** (*rule Collect-subset*)  
**done**

**lemmas** *field-radd* = *radd-type* [*THEN field-rel-subset*]

### 20.1.4 Linearity

**lemma** *linear-radd*:  
 $\llbracket \text{linear}(A, r); \text{linear}(B, s) \rrbracket \implies \text{linear}(A+B, \text{radd}(A, r, B, s))$   
**by** (*unfold linear-def, blast*)

### 20.1.5 Well-foundedness

**lemma** *wf-on-radd*:  $\llbracket \text{wf}[A](r); \text{wf}[B](s) \rrbracket \implies \text{wf}[A+B](\text{radd}(A, r, B, s))$   
**apply** (*rule wf-onI2*)  
**apply** (*subgoal-tac*  $\forall x \in A. \text{Inl}(x) \in Ba$ )  
 — Proving the lemma, which is needed twice!  
**prefer** 2  
**apply** (*erule-tac*  $V = y \in A + B$  **in** *thin-rl*)  
**apply** (*rule-tac ballI*)  
**apply** (*erule-tac*  $r = r$  **and**  $a = x$  **in** *wf-on-induct, assumption*)  
**apply** *blast*



Returning to main part of proof

```

apply safe
apply blast
apply (erule-tac  $r = s$  and  $a = ya$  in wf-on-induct, assumption, blast)
done

```

```

lemma wf-radd:  $\llbracket wf(r); wf(s) \rrbracket \implies wf(radd(field(r), r, field(s), s))$ 
apply (simp add: wf-iff-wf-on-field)
apply (rule wf-on-subset-A [OF - field-radd])
apply (blast intro: wf-on-radd)
done

```

```

lemma well-ord-radd:
   $\llbracket well-ord(A, r); well-ord(B, s) \rrbracket \implies well-ord(A+B, radd(A, r, B, s))$ 
apply (rule well-ordI)
apply (simp add: well-ord-def wf-on-radd)
apply (simp add: well-ord-def tot-ord-def linear-radd)
done

```

### 20.1.6 An *ord-iso* congruence law

```

lemma sum-bij:
   $\llbracket f \in bij(A, C); g \in bij(B, D) \rrbracket$ 
   $\implies (\lambda z \in A+B. case(\lambda x. Inl(f'x), \lambda y. Inr(g'y), z)) \in bij(A+B, C+D)$ 
apply (rule-tac  $d = case(\lambda x. Inl(converse(f)'x), \lambda y. Inr(converse(g)'y))$ 
  in lam-bijective)
apply (typecheck add: bij-is-inj inj-is-fun)
apply (auto simp add: left-inverse-bij right-inverse-bij)
done

```

```

lemma sum-ord-iso-cong:
   $\llbracket f \in ord-iso(A, r, A', r'); g \in ord-iso(B, s, B', s') \rrbracket \implies$ 
   $(\lambda z \in A+B. case(\lambda x. Inl(f'x), \lambda y. Inr(g'y), z))$ 
   $\in ord-iso(A+B, radd(A, r, B, s), A'+B', radd(A', r', B', s'))$ 
  unfolding ord-iso-def
apply (safe intro!: sum-bij)

```

```

apply (auto cong add: conj-cong simp add: bij-is-fun [THEN apply-type])
done

```

```

lemma sum-disjoint-bij:  $A \cap B = 0 \implies$ 
   $(\lambda z \in A+B. case(\lambda x. x, \lambda y. y, z)) \in bij(A+B, A \cup B)$ 
apply (rule-tac  $d = \lambda z. if z \in A then Inl(z) else Inr(z)$  in lam-bijective)
apply auto
done

```

### 20.1.7 Associativity

**lemma** *sum-assoc-bij*:

$(\lambda z \in (A+B)+C. \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)), z))$   
 $\in \text{bij}((A+B)+C, A+(B+C))$

**apply** (*rule-tac*  $d = \text{case}(\lambda x. \text{Inl}(\text{Inl}(x)), \text{case}(\lambda x. \text{Inl}(\text{Inr}(x)), \text{Inr}))$   
**in** *lam-bijective*)

**apply** *auto*

**done**

**lemma** *sum-assoc-ord-iso*:

$(\lambda z \in (A+B)+C. \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)), z))$   
 $\in \text{ord-iso}((A+B)+C, \text{radd}(A+B, \text{radd}(A, r, B, s), C, t),$   
 $A+(B+C), \text{radd}(A, r, B+C, \text{radd}(B, s, C, t)))$

**by** (*rule sum-assoc-bij [THEN ord-iso]*, *auto*)

## 20.2 Multiplication of Relations – Lexicographic Product

### 20.2.1 Rewrite rule. Can be used to obtain introduction rules

**lemma** *rmult-iff* [*iff*]:

$\langle \langle a', b' \rangle, \langle a, b \rangle \rangle \in \text{rmult}(A, r, B, s) \longleftrightarrow$   
 $(\langle a', a \rangle: r \wedge a': A \wedge a \in A \wedge b': B \wedge b \in B) \mid$   
 $(\langle b', b \rangle: s \wedge a' = a \wedge a \in A \wedge b': B \wedge b \in B)$

**by** (*unfold rmult-def*, *blast*)

**lemma** *rmultE*:

$\llbracket \langle \langle a', b' \rangle, \langle a, b \rangle \rangle \in \text{rmult}(A, r, B, s);$   
 $\llbracket \langle a', a \rangle: r; a': A; a \in A; b': B; b \in B \rrbracket \implies Q;$   
 $\llbracket \langle b', b \rangle: s; a \in A; a' = a; b': B; b \in B \rrbracket \implies Q$

$\rrbracket \implies Q$

**by** *blast*

### 20.2.2 Type checking

**lemma** *rmult-type*:  $\text{rmult}(A, r, B, s) \subseteq (A*B) * (A*B)$

**by** (*unfold rmult-def*, *rule Collect-subset*)

**lemmas** *field-rmult* = *rmult-type [THEN field-rel-subset]*

### 20.2.3 Linearity

**lemma** *linear-rmult*:

$\llbracket \text{linear}(A, r); \text{linear}(B, s) \rrbracket \implies \text{linear}(A*B, \text{rmult}(A, r, B, s))$

**by** (*simp add: linear-def*, *blast*)

### 20.2.4 Well-foundedness

**lemma** *wf-on-rmult*:  $\llbracket \text{wf}[A](r); \text{wf}[B](s) \rrbracket \implies \text{wf}[A*B](\text{rmult}(A, r, B, s))$

**apply** (*rule wf-onI2*)

```

apply (erule SigmaE)
apply (erule ssubst)
apply (subgoal-tac  $\forall b \in B. \langle x, b \rangle: Ba, \text{blast}$ )
apply (erule-tac  $a = x$  in wf-on-induct, assumption)
apply (rule ballI)
apply (erule-tac  $a = b$  in wf-on-induct, assumption)
apply (best elim!: rmultE bspec [THEN mp])
done

```

```

lemma wf-rmult:  $\llbracket wf(r); wf(s) \rrbracket \implies wf(rmult(field(r), r, field(s), s))$ 
apply (simp add: wf-iff-wf-on-field)
apply (rule wf-on-subset-A [OF - field-rmult])
apply (blast intro: wf-on-rmult)
done

```

```

lemma well-ord-rmult:
   $\llbracket well-ord(A, r); well-ord(B, s) \rrbracket \implies well-ord(A*B, rmult(A, r, B, s))$ 
apply (rule well-ordI)
apply (simp add: well-ord-def wf-on-rmult)
apply (simp add: well-ord-def tot-ord-def linear-rmult)
done

```

### 20.2.5 An *ord-iso* congruence law

```

lemma prod-bij:
   $\llbracket f \in bij(A, C); g \in bij(B, D) \rrbracket$ 
   $\implies (lam \langle x, y \rangle: A*B. \langle f'x, g'y \rangle) \in bij(A*B, C*D)$ 
apply (rule-tac  $d = \lambda \langle x, y \rangle. \langle converse(f) 'x, converse(g) 'y \rangle$ 
  in lam-bijective)
apply (typecheck add: bij-is-inj inj-is-fun)
apply (auto simp add: left-inverse-bij right-inverse-bij)
done

```

```

lemma prod-ord-iso-cong:
   $\llbracket f \in ord-iso(A, r, A', r'); g \in ord-iso(B, s, B', s') \rrbracket$ 
   $\implies (lam \langle x, y \rangle: A*B. \langle f'x, g'y \rangle)$ 
   $\in ord-iso(A*B, rmult(A, r, B, s), A'*B', rmult(A', r', B', s'))$ 
  unfolding ord-iso-def
apply (safe intro!: prod-bij)
apply (simp-all add: bij-is-fun [THEN apply-type])
apply (blast intro: bij-is-inj [THEN inj-apply-equality])
done

```

```

lemma singleton-prod-bij:  $(\lambda z \in A. \langle x, z \rangle) \in bij(A, \{x\}*A)$ 
by (rule-tac  $d = snd$  in lam-bijective, auto)

```

```

lemma singleton-prod-ord-iso:

```

```

    well-ord( $\{x\}, xr$ )  $\implies$ 
      ( $\lambda z \in A. \langle x, z \rangle \in \text{ord-iso}(A, r, \{x\} * A, \text{rmult}(\{x\}, xr, A, r))$ )
  apply (rule singleton-prod-bij [THEN ord-isoI])
  apply (simp (no-asm-simp))
  apply (blast dest: well-ord-is-wf [THEN wf-on-not-refl])
done

```

```

lemma prod-sum-singleton-bij:
   $a \notin C \implies$ 
    ( $\lambda x \in C * B + D. \text{case}(\lambda x. x, \lambda y. \langle a, y \rangle, x)$ )
     $\in \text{bij}(C * B + D, C * B \cup \{a\} * D)$ 
  apply (rule subst-elem)
  apply (rule id-bij [THEN sum-bij, THEN comp-bij])
  apply (rule singleton-prod-bij)
  apply (rule sum-disjoint-bij, blast)
  apply (simp (no-asm-simp) cong add: case-cong)
  apply (rule comp-lam [THEN trans, symmetric])
  apply (fast elim!: case-type)
  apply (simp (no-asm-simp) add: case-case)
done

```

```

lemma prod-sum-singleton-ord-iso:
   $\llbracket a \in A; \text{well-ord}(A, r) \rrbracket \implies$ 
    ( $\lambda x \in \text{pred}(A, a, r) * B + \text{pred}(B, b, s). \text{case}(\lambda x. x, \lambda y. \langle a, y \rangle, x)$ )
     $\in \text{ord-iso}(\text{pred}(A, a, r) * B + \text{pred}(B, b, s),$ 
       $\text{radd}(A * B, \text{rmult}(A, r, B, s), B, s),$ 
       $\text{pred}(A, a, r) * B \cup \{a\} * \text{pred}(B, b, s), \text{rmult}(A, r, B, s))$ 
  apply (rule prod-sum-singleton-bij [THEN ord-isoI])
  apply (simp (no-asm-simp) add: pred-iff well-ord-is-wf [THEN wf-on-not-refl])
  apply (auto elim!: well-ord-is-wf [THEN wf-on-async] predE)
done

```

### 20.2.6 Distributive law

```

lemma sum-prod-distrib-bij:
  ( $\text{lam } \langle x, z \rangle : (A + B) * C. \text{case}(\lambda y. \text{Inl}(\langle y, z \rangle), \lambda y. \text{Inr}(\langle y, z \rangle), x)$ )
   $\in \text{bij}((A + B) * C, (A * C) + (B * C))$ 
  by (rule-tac d = case ( $\lambda \langle x, y \rangle. \text{Inl } (x), y$ ),  $\lambda \langle x, y \rangle. \text{Inr } (x), y$ )
    in lam-bijective, auto)

```

```

lemma sum-prod-distrib-ord-iso:
  ( $\text{lam } \langle x, z \rangle : (A + B) * C. \text{case}(\lambda y. \text{Inl}(\langle y, z \rangle), \lambda y. \text{Inr}(\langle y, z \rangle), x)$ )
   $\in \text{ord-iso}((A + B) * C, \text{rmult}(A + B, \text{radd}(A, r, B, s), C, t),$ 
    ( $A * C + B * C, \text{radd}(A * C, \text{rmult}(A, r, C, t), B * C, \text{rmult}(B, s, C, t))$ ))
  by (rule sum-prod-distrib-bij [THEN ord-isoI], auto)

```

### 20.2.7 Associativity

```

lemma prod-assoc-bij:

```

(*lam*  $\langle \langle x, y \rangle, z \rangle : (A * B) * C. \langle x, \langle y, z \rangle \rangle \in \text{bij}((A * B) * C, A * (B * C))$ )  
**by** (*rule-tac*  $d = \lambda \langle x, \langle y, z \rangle \rangle. \langle \langle x, y \rangle, z \rangle$  **in** *lam-bijective, auto*)

**lemma** *prod-assoc-ord-iso*:

(*lam*  $\langle \langle x, y \rangle, z \rangle : (A * B) * C. \langle x, \langle y, z \rangle \rangle$ )  
 $\in \text{ord-iso}((A * B) * C, \text{rmult}(A * B, \text{rmult}(A, r, B, s), C, t),$   
 $A * (B * C), \text{rmult}(A, r, B * C, \text{rmult}(B, s, C, t)))$

**by** (*rule prod-assoc-bij [THEN ord-isoI], auto*)

## 20.3 Inverse Image of a Relation

### 20.3.1 Rewrite rule

**lemma** *rvimage-iff*:  $\langle a, b \rangle \in \text{rvimage}(A, f, r) \iff \langle f'a, f'b \rangle : r \wedge a \in A \wedge b \in A$   
**by** (*unfold rvimage-def, blast*)

### 20.3.2 Type checking

**lemma** *rvimage-type*:  $\text{rvimage}(A, f, r) \subseteq A * A$   
**by** (*unfold rvimage-def, rule Collect-subset*)

**lemmas** *field-rvimage = rvimage-type [THEN field-rel-subset]*

**lemma** *rvimage-converse*:  $\text{rvimage}(A, f, \text{converse}(r)) = \text{converse}(\text{rvimage}(A, f, r))$   
**by** (*unfold rvimage-def, blast*)

### 20.3.3 Partial Ordering Properties

**lemma** *irrefl-rvimage*:

$\llbracket f \in \text{inj}(A, B); \text{irrefl}(B, r) \rrbracket \implies \text{irrefl}(A, \text{rvimage}(A, f, r))$   
**unfolding** *irrefl-def rvimage-def*  
**apply** (*blast intro: inj-is-fun [THEN apply-type]*)  
**done**

**lemma** *trans-on-rvimage*:

$\llbracket f \in \text{inj}(A, B); \text{trans}[B](r) \rrbracket \implies \text{trans}[A](\text{rvimage}(A, f, r))$   
**unfolding** *trans-on-def rvimage-def*  
**apply** (*blast intro: inj-is-fun [THEN apply-type]*)  
**done**

**lemma** *part-ord-rvimage*:

$\llbracket f \in \text{inj}(A, B); \text{part-ord}(B, r) \rrbracket \implies \text{part-ord}(A, \text{rvimage}(A, f, r))$   
**unfolding** *part-ord-def*  
**apply** (*blast intro!: irrefl-rvimage trans-on-rvimage*)  
**done**

### 20.3.4 Linearity

**lemma** *linear-rvimage*:

$\llbracket f \in \text{inj}(A, B); \text{linear}(B, r) \rrbracket \implies \text{linear}(A, \text{rvimage}(A, f, r))$

```

apply (simp add: inj-def linear-def rimage-iff)
apply (blast intro: apply-funtype)
done

```

```

lemma tot-ord-rimage:
   $\llbracket f \in \text{inj}(A,B); \text{tot-ord}(B,r) \rrbracket \implies \text{tot-ord}(A, \text{rimage}(A,f,r))$ 
  unfolding tot-ord-def
apply (blast intro!: part-ord-rimage linear-rimage)
done

```

### 20.3.5 Well-foundedness

```

lemma wf-rimage [intro!]:  $\text{wf}(r) \implies \text{wf}(\text{rimage}(A,f,r))$ 
apply (simp (no-asm-use) add: rimage-def wf-eq-minimal)
apply clarify
apply (subgoal-tac  $\exists w. w \in \{w: \{f'x. x \in Q\}. \exists x. x \in Q \wedge (f'x = w) \}$ )
  apply (erule allE)
  apply (erule impE)
  apply assumption
  apply blast
apply blast
done

```

But note that the combination of *wf-imp-wf-on* and *wf-rimage* gives  $\text{wf}(r) \implies \text{wf}[C](\text{rimage}(A, f, r))$

```

lemma wf-on-rimage:  $\llbracket f \in A \rightarrow B; \text{wf}[B](r) \rrbracket \implies \text{wf}[A](\text{rimage}(A,f,r))$ 
apply (rule wf-onI2)
apply (subgoal-tac  $\forall z \in A. f'z = f'y \longrightarrow z \in Ba$ )
  apply blast
apply (erule-tac  $a = f'y$  in wf-on-induct)
  apply (blast intro!: apply-funtype)
apply (blast intro!: apply-funtype dest!: rimage-iff [THEN iffD1])
done

```

```

lemma well-ord-rimage:
   $\llbracket f \in \text{inj}(A,B); \text{well-ord}(B,r) \rrbracket \implies \text{well-ord}(A, \text{rimage}(A,f,r))$ 
apply (rule well-ordI)
  unfolding well-ord-def tot-ord-def
apply (blast intro!: wf-on-rimage inj-is-fun)
apply (blast intro!: linear-rimage)
done

```

```

lemma ord-iso-rimage:
   $f \in \text{bij}(A,B) \implies f \in \text{ord-iso}(A, \text{rimage}(A,f,s), B, s)$ 
  unfolding ord-iso-def
apply (simp add: rimage-iff)
done

```

**lemma** *ord-iso-rvimage-eq*:  
 $f \in \text{ord-iso}(A, r, B, s) \implies \text{rvimage}(A, f, s) = r \cap A * A$   
**by** (*unfold ord-iso-def rvimage-def, blast*)

## 20.4 Every well-founded relation is a subset of some inverse image of an ordinal

**lemma** *wf-rvimage-Ord*:  $\text{Ord}(i) \implies \text{wf}(\text{rvimage}(A, f, \text{Memrel}(i)))$   
**by** (*blast intro: wf-rvimage wf-Memrel*)

### definition

$\text{wfrank} :: [i, i] \Rightarrow i$  **where**  
 $\text{wfrank}(r, a) \equiv \text{wfrec}(r, a, \lambda x f. \bigcup y \in r - \{x\}. \text{succ}(f y))$

### definition

$\text{wftype} :: i \Rightarrow i$  **where**  
 $\text{wftype}(r) \equiv \bigcup y \in \text{range}(r). \text{succ}(\text{wfrank}(r, y))$

**lemma** *wfrank*:  $\text{wf}(r) \implies \text{wfrank}(r, a) = (\bigcup y \in r - \{a\}. \text{succ}(\text{wfrank}(r, y)))$   
**by** (*subst wfrank-def [THEN def-wfrec], simp-all*)

**lemma** *Ord-wfrank*:  $\text{wf}(r) \implies \text{Ord}(\text{wfrank}(r, a))$   
**apply** (*rule-tac a=a in wf-induct, assumption*)  
**apply** (*subst wfrank, assumption*)  
**apply** (*rule Ord-succ [THEN Ord-UN], blast*)  
**done**

**lemma** *wfrank-lt*:  $\llbracket \text{wf}(r); \langle a, b \rangle \in r \rrbracket \implies \text{wfrank}(r, a) < \text{wfrank}(r, b)$   
**apply** (*rule-tac a1 = b in wfrank [THEN ssubst], assumption*)  
**apply** (*rule UN-I [THEN ltI]*)  
**apply** (*simp add: Ord-wfrank vimage-iff*)  
**done**

**lemma** *Ord-wftype*:  $\text{wf}(r) \implies \text{Ord}(\text{wftype}(r))$   
**by** (*simp add: wftype-def Ord-wfrank*)

**lemma** *wftypeI*:  $\llbracket \text{wf}(r); x \in \text{field}(r) \rrbracket \implies \text{wfrank}(r, x) \in \text{wftype}(r)$   
**apply** (*simp add: wftype-def*)  
**apply** (*blast intro: wfrank-lt [THEN ltD]*)  
**done**

### lemma *wf-imp-subset-rvimage*:

$\llbracket \text{wf}(r); r \subseteq A * A \rrbracket \implies \exists i f. \text{Ord}(i) \wedge r \subseteq \text{rvimage}(A, f, \text{Memrel}(i))$   
**apply** (*rule-tac x=wftype(r) in exI*)  
**apply** (*rule-tac x= $\lambda x \in A. \text{wfrank}(r, x)$  in exI*)  
**apply** (*simp add: Ord-wftype, clarify*)  
**apply** (*frule subsetD, assumption, clarify*)

**apply** (*simp add: rvimage-iff wfrank-lt [THEN ltD]*)  
**apply** (*blast intro: wftypeI*)  
**done**

**theorem** *wf-iff-subset-rvimage*:  
 $\text{relation}(r) \implies \text{wf}(r) \longleftrightarrow (\exists i f A. \text{Ord}(i) \wedge r \subseteq \text{rvimage}(A, f, \text{Memrel}(i)))$   
**by** (*blast dest!: relation-field-times-field wf-imp-subset-rvimage*  
*intro: wf-rvimage-Ord [THEN wf-subset]*)

## 20.5 Other Results

**lemma** *wf-times*:  $A \cap B = 0 \implies \text{wf}(A * B)$   
**by** (*simp add: wf-def, blast*)

Could also be used to prove *wf-radd*

**lemma** *wf-Un*:  
 $\llbracket \text{range}(r) \cap \text{domain}(s) = 0; \text{wf}(r); \text{wf}(s) \rrbracket \implies \text{wf}(r \cup s)$   
**apply** (*simp add: wf-def, clarify*)  
**apply** (*rule equalityI*)  
**prefer** 2 **apply** *blast*  
**apply** *clarify*  
**apply** (*drule-tac x=Z in spec*)  
**apply** (*drule-tac x=Z  $\cap$  domain(s) in spec*)  
**apply** *simp*  
**apply** (*blast intro: elim: equalityE*)  
**done**

### 20.5.1 The Empty Relation

**lemma** *wf0*:  $\text{wf}(0)$   
**by** (*simp add: wf-def, blast*)

**lemma** *linear0*:  $\text{linear}(0, 0)$   
**by** (*simp add: linear-def*)

**lemma** *well-ord0*:  $\text{well-ord}(0, 0)$   
**by** (*blast intro: wf-imp-wf-on well-ordI wf0 linear0*)

### 20.5.2 The "measure" relation is useful with wfrec

**lemma** *measure-eq-rvimage-Memrel*:  
 $\text{measure}(A, f) = \text{rvimage}(A, \text{Lambda}(A, f), \text{Memrel}(\text{Collect}(\text{RepFun}(A, f), \text{Ord})))$   
**apply** (*simp (no-asm) add: measure-def rvimage-def Memrel-iff*)  
**apply** (*rule equalityI, auto*)  
**apply** (*auto intro: Ord-in-Ord simp add: lt-def*)  
**done**

**lemma** *wf-measure [iff]*:  $\text{wf}(\text{measure}(A, f))$   
**by** (*simp (no-asm) add: measure-eq-rvimage-Memrel wf-Memrel wf-rvimage*)



**lemma** *measure-iff* [iff]:  $\langle x, y \rangle \in \text{measure}(A, f) \longleftrightarrow x \in A \wedge y \in A \wedge f(x) < f(y)$   
**by** (*simp* (*no-asm*) *add*: *measure-def*)

**lemma** *linear-measure*:  
**assumes** *Ord**f*:  $\bigwedge x. x \in A \implies \text{Ord}(f(x))$   
**and** *inj*:  $\bigwedge x y. \llbracket x \in A; y \in A; f(x) = f(y) \rrbracket \implies x=y$   
**shows** *linear*(*A*, *measure*(*A*, *f*))  
**apply** (*auto simp add*: *linear-def*)  
**apply** (*rule-tac* *i=f(x)* **and** *j=f(y)* **in** *Ord-linear-lt*)  
**apply** (*simp-all add*: *Ord**f*)  
**apply** (*blast intro*: *inj*)  
**done**

**lemma** *wf-on-measure*: *wf*[*B*](*measure*(*A*, *f*))  
**by** (*rule wf-imp-wf-on* [*OF wf-measure*])

**lemma** *well-ord-measure*:  
**assumes** *Ord**f*:  $\bigwedge x. x \in A \implies \text{Ord}(f(x))$   
**and** *inj*:  $\bigwedge x y. \llbracket x \in A; y \in A; f(x) = f(y) \rrbracket \implies x=y$   
**shows** *well-ord*(*A*, *measure*(*A*, *f*))  
**apply** (*rule well-ordI*)  
**apply** (*rule wf-on-measure*)  
**apply** (*blast intro*: *linear-measure Ord**f inj*)  
**done**

**lemma** *measure-type*: *measure*(*A*, *f*)  $\subseteq A * A$   
**by** (*auto simp add*: *measure-def*)

### 20.5.3 Well-foundedness of Unions

**lemma** *wf-on-Union*:  
**assumes** *wfA*: *wf*[*A*](*r*)  
**and** *wfB*:  $\bigwedge a. a \in A \implies \text{wf}[B(a)](s)$   
**and** *ok*:  $\bigwedge a u v. \llbracket \langle u, v \rangle \in s; v \in B(a); a \in A \rrbracket$   
 $\implies (\exists a' \in A. \langle a', a \rangle \in r \wedge u \in B(a')) \mid u \in B(a)$   
**shows** *wf*[ $\bigcup a \in A. B(a)$ ](*s*)  
**apply** (*rule wf-onI2*)  
**apply** (*erule UN-E*)  
**apply** (*subgoal-tac*  $\forall z \in B(a). z \in Ba$ , *blast*)  
**apply** (*rule-tac* *a = a* **in** *wf-on-induct* [*OF wfA*], *assumption*)  
**apply** (*rule ballI*)  
**apply** (*rule-tac* *a = z* **in** *wf-on-induct* [*OF wfB*], *assumption*, *assumption*)  
**apply** (*rename-tac* *u*)  
**apply** (*erule-tac* *x=u* **in** *bspec*, *blast*)  
**apply** (*erule mp*, *clarify*)  
**apply** (*frule ok*, *assumption+*, *blast*)  
**done**

### 20.5.4 Bijections involving Powersets

**lemma** *Pow-sum-bij*:

$(\lambda Z \in \text{Pow}(A+B). \langle \{x \in A. \text{Inl}(x) \in Z\}, \{y \in B. \text{Inr}(y) \in Z\} \rangle)$   
 $\in \text{bij}(\text{Pow}(A+B), \text{Pow}(A) * \text{Pow}(B))$

**apply** (*rule-tac*  $d = \lambda \langle X, Y \rangle. \{ \text{Inl } (x). x \in X \} \cup \{ \text{Inr } (y). y \in Y \}$   
**in** *lam-bijective*)

**apply** *force+*

**done**

As a special case, we have  $\text{bij}(\text{Pow}(A \times B), A \rightarrow \text{Pow}(B))$

**lemma** *Pow-Sigma-bij*:

$(\lambda r \in \text{Pow}(\text{Sigma}(A, B)). \lambda x \in A. r \text{ `` } \{x\})$   
 $\in \text{bij}(\text{Pow}(\text{Sigma}(A, B)), \prod x \in A. \text{Pow}(B(x)))$

**apply** (*rule-tac*  $d = \lambda f. \bigcup x \in A. \bigcup y \in f'x. \{ \langle x, y \rangle \}$  **in** *lam-bijective*)

**apply** (*blast intro: lam-type*)

**apply** (*blast dest: apply-type, simp-all*)

**apply** *fast*

**apply** (*rule fun-extension, auto*)

**by** *blast*

**end**

## 21 Order Types and Ordinal Arithmetic

**theory** *OrderType* **imports** *OrderArith OrdQuant Nat* **begin**

The order type of a well-ordering is the least ordinal isomorphic to it. Ordinal arithmetic is traditionally defined in terms of order types, as it is here. But a definition by transfinite recursion would be much simpler!

**definition**

*ordermap*  $:: [i, i] \Rightarrow i$  **where**  
*ordermap*( $A, r$ )  $\equiv \lambda x \in A. \text{wfrec}[A](r, x, \lambda x f. f \text{ `` } \text{pred}(A, x, r))$

**definition**

*ordertype*  $:: [i, i] \Rightarrow i$  **where**  
*ordertype*( $A, r$ )  $\equiv \text{ordermap}(A, r) \text{ `` } A$

**definition**

*Ord-alt*  $:: i \Rightarrow o$  **where**  
*Ord-alt*( $X$ )  $\equiv \text{well-ord}(X, \text{Memrel}(X)) \wedge (\forall u \in X. u = \text{pred}(X, u, \text{Memrel}(X)))$

**definition**

*ordify*  $:: i \Rightarrow i$  **where**  
*ordify*( $x$ )  $\equiv \text{if } \text{Ord}(x) \text{ then } x \text{ else } 0$

**definition**

$omult \quad :: [i,i] \Rightarrow i \quad (\text{infixl } \langle ** \rangle \ 70) \text{ where}$   
 $i ** j \equiv ordertype(j*i, rmult(j, Memrel(j), i, Memrel(i)))$

**definition**

$raw-oadd \quad :: [i,i] \Rightarrow i \text{ where}$   
 $raw-oadd(i,j) \equiv ordertype(i+j, radd(i, Memrel(i), j, Memrel(j)))$

**definition**

$oadd \quad :: [i,i] \Rightarrow i \quad (\text{infixl } \langle ++ \rangle \ 65) \text{ where}$   
 $i ++ j \equiv raw-oadd(ordify(i), ordify(j))$

**definition**

$odiff \quad :: [i,i] \Rightarrow i \quad (\text{infixl } \langle -- \rangle \ 65) \text{ where}$   
 $i -- j \equiv ordertype(i-j, Memrel(i))$

## 21.1 Proofs needing the combination of Ordinal.thy and Order.thy

**lemma** *le-well-ord-Memrel*:  $j \leq i \implies well\_ord(j, Memrel(i))$   
**apply** (rule well-ordI)  
**apply** (rule wf-Memrel [THEN wf-imp-wf-on])  
**apply** (simp add: ltD lt-Ord linear-def  
 $ltI [THEN lt-trans2 [of - j i]]$ )  
**apply** (intro ballI Ord-linear)  
**apply** (blast intro: Ord-in-Ord lt-Ord)+  
**done**

**lemmas** *well-ord-Memrel* = *le-refl* [THEN *le-well-ord-Memrel*]

**lemma** *lt-pred-Memrel*:  
 $j < i \implies pred(i, j, Memrel(i)) = j$   
**apply** (simp add: pred-def lt-def)  
**apply** (blast intro: Ord-trans)  
**done**

**lemma** *pred-Memrel*:  
 $x \in A \implies pred(A, x, Memrel(A)) = A \cap x$   
**by** (unfold pred-def Memrel-def, blast)

**lemma** *Ord-iso-implies-eq-lemma*:  
 $\llbracket j < i; f \in ord\_iso(i, Memrel(i), j, Memrel(j)) \rrbracket \implies R$   
**apply** (frule lt-pred-Memrel)  
**apply** (erule ltE)  
**apply** (rule well-ord-Memrel [THEN well-ord-iso-predE, of i f j], auto)

```

unfolding ord-iso-def

apply (simp (no-asm-simp))
apply (blast intro: bij-is-fun [THEN apply-type] Ord-trans)
done

lemma Ord-iso-implies-eq:
  
$$\llbracket \text{Ord}(i); \text{Ord}(j); f \in \text{ord-iso}(i, \text{Memrel}(i), j, \text{Memrel}(j)) \rrbracket$$


$$\implies i=j$$

apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: ord-iso-sym Ord-iso-implies-eq-lemma)+
done

```

## 21.2 Ordermap and ordertype

```

lemma ordermap-type:
  
$$\text{ordermap}(A, r) \in A \rightarrow \text{ordertype}(A, r)$$

unfolding ordermap-def ordertype-def
apply (rule lam-type)
apply (rule lamI [THEN imageI], assumption+)
done

```

### 21.2.1 Unfolding of ordermap

```

lemma ordermap-eq-image:
  
$$\llbracket \text{wf}[A](r); x \in A \rrbracket$$


$$\implies \text{ordermap}(A, r) \text{ ‘ } x = \text{ordermap}(A, r) \text{ ‘ ‘ } \text{pred}(A, x, r)$$

unfolding ordermap-def pred-def
apply (simp (no-asm-simp))
apply (erule wfrec-on [THEN trans], assumption)
apply (simp (no-asm-simp) add: subset-iff image-lam vimage-singleton-iff)
done

```

```

lemma ordermap-pred-unfold:
  
$$\llbracket \text{wf}[A](r); x \in A \rrbracket$$


$$\implies \text{ordermap}(A, r) \text{ ‘ } x = \{ \text{ordermap}(A, r) \text{ ‘ } y \mid y \in \text{pred}(A, x, r) \}$$

by (simp add: ordermap-eq-image pred-subset ordermap-type [THEN image-fun])

```

```

lemmas ordermap-unfold = ordermap-pred-unfold [simplified pred-def]

```

### 21.2.2 Showing that ordermap, ordertype yield ordinals

```

lemma Ord-ordermap:
  
$$\llbracket \text{well-ord}(A, r); x \in A \rrbracket \implies \text{Ord}(\text{ordermap}(A, r) \text{ ‘ } x)$$

apply (unfold well-ord-def tot-ord-def part-ord-def, safe)
apply (rule-tac  $a=x$  in wf-on-induct, assumption+)
apply (simp (no-asm-simp) add: ordermap-pred-unfold)

```

```

apply (rule OrdI [OF - Ord-is-Transset])
  unfolding pred-def Transset-def
apply (blast intro: trans-onD
        dest!: ordermap-unfold [THEN equalityD1]) +
done

```

```

lemma Ord-ordertype:
   $well\text{-}ord(A, r) \implies Ord(ordertype(A, r))$ 
  unfolding ordertype-def
apply (subst image-fun [OF ordermap-type subset-refl])
apply (rule OrdI [OF - Ord-is-Transset])
prefer 2 apply (blast intro: Ord-ordermap)
  unfolding Transset-def well-ord-def
apply (blast intro: trans-onD
        dest!: ordermap-unfold [THEN equalityD1])
done

```

### 21.2.3 ordermap preserves the orderings in both directions

```

lemma ordermap-mono:
   $\llbracket \langle w, x \rangle: r; \text{wf}[A](r); w \in A; x \in A \rrbracket$ 
   $\implies ordermap(A, r) 'w \in ordermap(A, r) 'x$ 
apply (erule-tac x1 = x in ordermap-unfold [THEN ssubst], assumption, blast)
done

```

```

lemma converse-ordermap-mono:
   $\llbracket ordermap(A, r) 'w \in ordermap(A, r) 'x; well\text{-}ord(A, r); w \in A; x \in A \rrbracket$ 
   $\implies \langle w, x \rangle: r$ 
apply (unfold well-ord-def tot-ord-def, safe)
apply (erule-tac x=w and y=x in linearE, assumption+)
apply (blast elim!: mem-not-refl [THEN notE])
apply (blast dest: ordermap-mono intro: mem-asm)
done

```

```

lemma ordermap-surj:  $ordermap(A, r) \in surj(A, ordertype(A, r))$ 
  unfolding ordertype-def
  by (rule surj-image) (rule ordermap-type)

```

```

lemma ordermap-bij:
   $well\text{-}ord(A, r) \implies ordermap(A, r) \in bij(A, ordertype(A, r))$ 
  unfolding well-ord-def tot-ord-def bij-def inj-def
apply (force intro!: ordermap-type ordermap-surj
        elim: linearE dest: ordermap-mono
        simp add: mem-not-refl)
done

```

### 21.2.4 Isomorphisms involving ordertype

```

lemma ordertype-ord-iso:

```

```

well-ord(A,r)
 $\implies \text{ordemap}(A,r) \in \text{ord-iso}(A,r, \text{ordertype}(A,r), \text{Memrel}(\text{ordertype}(A,r)))$ 
unfolding ord-iso-def
apply (safe elim!: well-ord-is-wf
      intro!: ordemap-type [THEN apply-type] ordemap-mono ordemap-bij)
apply (blast dest!: converse-ordermap-mono)
done

```

```

lemma ordertype-eq:
   $\llbracket f \in \text{ord-iso}(A,r,B,s); \text{well-ord}(B,s) \rrbracket$ 
   $\implies \text{ordertype}(A,r) = \text{ordertype}(B,s)$ 
apply (frule well-ord-ord-iso, assumption)
apply (rule Ord-iso-implies-eq, (erule Ord-ordertype)+)
apply (blast intro: ord-iso-trans ord-iso-sym ordertype-ord-iso)
done

```

```

lemma ordertype-eq-imp-ord-iso:
   $\llbracket \text{ordertype}(A,r) = \text{ordertype}(B,s); \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$ 
   $\implies \exists f. f \in \text{ord-iso}(A,r,B,s)$ 
apply (rule exI)
apply (rule ordertype-ord-iso [THEN ord-iso-trans], assumption)
apply (erule ssubst)
apply (erule ordertype-ord-iso [THEN ord-iso-sym])
done

```

### 21.2.5 Basic equalities for ordertype

```

lemma le-ordertype-Memrel:  $j \leq i \implies \text{ordertype}(j, \text{Memrel}(i)) = j$ 
apply (rule Ord-iso-implies-eq [symmetric])
apply (erule ltE, assumption)
apply (blast intro: le-well-ord-Memrel Ord-ordertype)
apply (rule ord-iso-trans)
apply (erule-tac [2] le-well-ord-Memrel [THEN ordertype-ord-iso])
apply (rule id-bij [THEN ord-isoI])
apply (simp (no-asm-simp))
apply (fast elim: ltE Ord-in-Ord Ord-trans)
done

```

```

lemmas ordertype-Memrel = le-refl [THEN le-ordertype-Memrel]

```

```

lemma ordertype-0 [simp]:  $\text{ordertype}(0,r) = 0$ 
apply (rule id-bij [THEN ord-isoI, THEN ordertype-eq, THEN trans])
apply (erule emptyE)
apply (rule well-ord-0)
apply (rule Ord-0 [THEN ordertype-Memrel])
done

```

**lemmas** *bij-ordertype-vimage = ord-iso-rvimage* [THEN *ordertype-eq*]

### 21.2.6 A fundamental unfolding law for ordertype.

**lemma** *ordermap-pred-eq-ordermap*:

```

  [[well-ord(A,r); y ∈ A; z ∈ pred(A,y,r)]]
    ⇒ ordermap(pred(A,y,r), r) ‘ z = ordermap(A, r) ‘ z
apply (frule wf-on-subset-A [OF well-ord-is-wf pred-subset])
apply (rule-tac a=z in wf-on-induct, assumption+)
apply (safe elim!: predE)
apply (simp (no-asm-simp) add: ordermap-pred-unfold well-ord-is-wf pred-iff)

apply (simp (no-asm-simp) add: pred-pred-eq)
apply (simp add: pred-def)
apply (rule RepFun-cong [OF - refl])
apply (drule well-ord-is-trans-on)
apply (fast elim!: trans-onD)
done

```

**lemma** *ordertype-unfold*:

```

  ordertype(A,r) = {ordermap(A,r) ‘ y . y ∈ A}
  unfolding ordertype-def
apply (rule image-fun [OF ordermap-type subset-refl])
done

```

Theorems by Krzysztof Grabczewski; proofs simplified by lcp

**lemma** *ordertype-pred-subset*: [[well-ord(A,r); x ∈ A]] ⇒

```

  ordertype(pred(A,x,r),r) ⊆ ordertype(A,r)
apply (simp add: ordertype-unfold well-ord-subset [OF - pred-subset])
apply (fast intro: ordermap-pred-eq-ordermap elim: predE)
done

```

**lemma** *ordertype-pred-lt*:

```

  [[well-ord(A,r); x ∈ A]]
    ⇒ ordertype(pred(A,x,r),r) < ordertype(A,r)
apply (rule ordertype-pred-subset [THEN subset-imp-le, THEN leE])
apply (simp-all add: Ord-ordertype well-ord-subset [OF - pred-subset])
apply (erule sym [THEN ordertype-eq-imp-ord-iso, THEN exE])
apply (erule-tac [3] well-ord-iso-predE)
apply (simp-all add: well-ord-subset [OF - pred-subset])
done

```

**lemma** *ordertype-pred-unfold*:

```

  well-ord(A,r)
    ⇒ ordertype(A,r) = {ordertype(pred(A,x,r),r). x ∈ A}
apply (rule equalityI)
apply (safe intro!: ordertype-pred-lt [THEN ltD])
apply (auto simp add: ordertype-def well-ord-is-wf [THEN ordermap-eq-image])

```

```

ordermap-type [THEN image-fun]
ordermap-pred-eq-ordermap pred-subset)
done

```

### 21.3 Alternative definition of ordinal

```

lemma Ord-is-Ord-alt: Ord(i)  $\implies$  Ord-alt(i)
  unfolding Ord-alt-def
  apply (rule conjI)
  apply (erule well-ord-Memrel)
  apply (unfold Ord-def Transset-def pred-def Memrel-def, blast)
done

```

```

lemma Ord-alt-is-Ord:
  Ord-alt(i)  $\implies$  Ord(i)
  apply (unfold Ord-alt-def Ord-def Transset-def well-ord-def
    tot-ord-def part-ord-def trans-on-def)
  apply (simp add: pred-Memrel)
  apply (blast elim!: equalityE)
done

```

### 21.4 Ordinal Addition

#### 21.4.1 Order Type calculations for radd

Addition with 0

```

lemma bij-sum-0: ( $\lambda z \in A+0. \text{case}(\lambda x. x, \lambda y. y, z)$ )  $\in$  bij( $A+0, A$ )
  apply (rule-tac d = Inl in lam-bijective, safe)
  apply (simp-all (no-asm-simp))
done

```

```

lemma ordertype-sum-0-eq:
  well-ord( $A, r$ )  $\implies$  ordertype( $A+0, \text{radd}(A, r, 0, s)$ ) = ordertype( $A, r$ )
  apply (rule bij-sum-0 [THEN ord-isoI, THEN ordertype-eq])
  prefer 2 apply assumption
  apply force
done

```

```

lemma bij-0-sum: ( $\lambda z \in 0+A. \text{case}(\lambda x. x, \lambda y. y, z)$ )  $\in$  bij( $0+A, A$ )
  apply (rule-tac d = Inr in lam-bijective, safe)
  apply (simp-all (no-asm-simp))
done

```

```

lemma ordertype-0-sum-eq:
  well-ord( $A, r$ )  $\implies$  ordertype( $0+A, \text{radd}(0, s, A, r)$ ) = ordertype( $A, r$ )
  apply (rule bij-0-sum [THEN ord-isoI, THEN ordertype-eq])
  prefer 2 apply assumption
  apply force

```



done

Initial segments of radd. Statements by Grabczewski

**lemma** *pred-Inl-bij*:

$a \in A \implies (\lambda x \in \text{pred}(A, a, r). \text{Inl}(x))$   
 $\in \text{bij}(\text{pred}(A, a, r), \text{pred}(A+B, \text{Inl}(a), \text{radd}(A, r, B, s)))$

**unfolding** *pred-def*

**apply** (*rule-tac*  $d = \text{case } (\lambda x. x, \lambda y. y) \text{ in lam-bijective}$ )

**apply** *auto*

done

**lemma** *ordertype-pred-Inl-eq*:

$\llbracket a \in A; \text{well-ord}(A, r) \rrbracket$   
 $\implies \text{ordertype}(\text{pred}(A+B, \text{Inl}(a), \text{radd}(A, r, B, s)), \text{radd}(A, r, B, s)) =$   
 $\text{ordertype}(\text{pred}(A, a, r), r)$

**apply** (*rule* *pred-Inl-bij* [*THEN* *ord-isoI*, *THEN* *ord-iso-sym*, *THEN* *ordertype-eq*])

**apply** (*simp-all* *add: well-ord-subset* [*OF* - *pred-subset*])

**apply** (*simp add: pred-def*)

done

**lemma** *pred-Inr-bij*:

$b \in B \implies$   
 $\text{id}(A+\text{pred}(B, b, s))$   
 $\in \text{bij}(A+\text{pred}(B, b, s), \text{pred}(A+B, \text{Inr}(b), \text{radd}(A, r, B, s)))$

**unfolding** *pred-def id-def*

**apply** (*rule-tac*  $d = \lambda z. z \text{ in lam-bijective, auto}$ )

done

**lemma** *ordertype-pred-Inr-eq*:

$\llbracket b \in B; \text{well-ord}(A, r); \text{well-ord}(B, s) \rrbracket$   
 $\implies \text{ordertype}(\text{pred}(A+B, \text{Inr}(b), \text{radd}(A, r, B, s)), \text{radd}(A, r, B, s)) =$   
 $\text{ordertype}(A+\text{pred}(B, b, s), \text{radd}(A, r, \text{pred}(B, b, s), s))$

**apply** (*rule* *pred-Inr-bij* [*THEN* *ord-isoI*, *THEN* *ord-iso-sym*, *THEN* *ordertype-eq*])

**prefer** 2 **apply** (*force simp add: pred-def id-def, assumption*)

**apply** (*blast intro: well-ord-radd well-ord-subset* [*OF* - *pred-subset*])

done

#### 21.4.2 ordify: trivial coercion to an ordinal

**lemma** *Ord-ordify* [*iff*, *TC*]:  $\text{Ord}(\text{ordify}(x))$

**by** (*simp add: ordify-def*)

**lemma** *ordify-idem* [*simp*]:  $\text{ordify}(\text{ordify}(x)) = \text{ordify}(x)$

**by** (*simp add: ordify-def*)

#### 21.4.3 Basic laws for ordinal addition

**lemma** *Ord-raw-oadd*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(\text{raw-oadd}(i, j))$

**by** (*simp add: raw-oadd-def ordify-def Ord-ordertype well-ord-radd*)

*well-ord-Memrel*)

**lemma** *Ord-oadd* [*iff*, *TC*]: *Ord*(*i*++*j*)  
**by** (*simp add: oadd-def Ord-raw-oadd*)

Ordinal addition with zero

**lemma** *raw-oadd-0*: *Ord*(*i*)  $\implies$  *raw-oadd*(*i*, 0) = *i*  
**by** (*simp add: raw-oadd-def ordify-def ordertype-sum-0-eq*  
*ordertype-Memrel well-ord-Memrel*)

**lemma** *oadd-0* [*simp*]: *Ord*(*i*)  $\implies$  *i*++0 = *i*  
**apply** (*simp (no-asm-simp) add: oadd-def raw-oadd-0 ordify-def*)  
**done**

**lemma** *raw-oadd-0-left*: *Ord*(*i*)  $\implies$  *raw-oadd*(0, *i*) = *i*  
**by** (*simp add: raw-oadd-def ordify-def ordertype-0-sum-eq ordertype-Memrel*  
*well-ord-Memrel*)

**lemma** *oadd-0-left* [*simp*]: *Ord*(*i*)  $\implies$  0++*i* = *i*  
**by** (*simp add: oadd-def raw-oadd-0-left ordify-def*)

**lemma** *oadd-eq-if-raw-oadd*:  
*i*++*j* = (*if* *Ord*(*i*) *then* (*if* *Ord*(*j*) *then* *raw-oadd*(*i*, *j*) *else* *i*)  
*else* (*if* *Ord*(*j*) *then* *j* *else* 0))  
**by** (*simp add: oadd-def ordify-def raw-oadd-0-left raw-oadd-0*)

**lemma** *raw-oadd-eq-oadd*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{raw-oadd}(i, j) = i++j$   
**by** (*simp add: oadd-def ordify-def*)

**lemma** *lt-oadd1*:  $k < i \implies k < i++j$   
**apply** (*simp add: oadd-def ordify-def lt-Ord2 raw-oadd-0, clarify*)  
**apply** (*simp add: raw-oadd-def*)  
**apply** (*rule ltE, assumption*)  
**apply** (*rule ltI*)  
**apply** (*force simp add: ordertype-pred-unfold well-ord-radd well-ord-Memrel*  
*ordertype-pred-Inl-eq lt-pred-Memrel leI [THEN le-ordertype-Memrel]*)  
**apply** (*blast intro: Ord-ordertype well-ord-radd well-ord-Memrel*)  
**done**

**lemma** *oadd-le-self*: *Ord*(*i*)  $\implies$  *i*  $\leq$  *i*++*j*  
**apply** (*rule all-lt-imp-le*)  
**apply** (*auto simp add: Ord-oadd lt-oadd1*)  
**done**

Various other results

**lemma** *id-ord-iso-Memrel*:  $A \leq B \implies id(A) \in ord\text{-}iso(A, Memrel(A), A, Memrel(B))$   
**apply** (*rule id-bij* [*THEN ord-isoI*])  
**apply** (*simp* (*no-asm-simp*))  
**apply** *blast*  
**done**

**lemma** *subset-ord-iso-Memrel*:  
 $\llbracket f \in ord\text{-}iso(A, Memrel(B), C, r); A \leq B \rrbracket \implies f \in ord\text{-}iso(A, Memrel(A), C, r)$   
**apply** (*frule ord-iso-is-bij* [*THEN bij-is-fun*, *THEN fun-is-rel*])  
**apply** (*frule ord-iso-trans* [*OF id-ord-iso-Memrel*], *assumption*)  
**apply** (*simp add: right-comp-id*)  
**done**

**lemma** *restrict-ord-iso*:  
 $\llbracket f \in ord\text{-}iso(i, Memrel(i), Order.pred(A, a, r), r); a \in A; j < i; trans[A](r) \rrbracket$   
 $\implies restrict(f, j) \in ord\text{-}iso(j, Memrel(j), Order.pred(A, f'j, r), r)$   
**apply** (*frule ltD*)  
**apply** (*frule ord-iso-is-bij* [*THEN bij-is-fun*, *THEN apply-type*], *assumption*)  
**apply** (*frule ord-iso-restrict-pred*, *assumption*)  
**apply** (*simp add: pred-iff trans-pred-pred-eq lt-pred-Memrel*)  
**apply** (*blast intro!: subset-ord-iso-Memrel le-imp-subset* [*OF leI*])  
**done**

**lemma** *restrict-ord-iso2*:  
 $\llbracket f \in ord\text{-}iso(Order.pred(A, a, r), r, i, Memrel(i)); a \in A; j < i; trans[A](r) \rrbracket$   
 $\implies converse(restrict(converse(f), j)) \in ord\text{-}iso(Order.pred(A, converse(f)'j, r), r, j, Memrel(j))$   
**by** (*blast intro: restrict-ord-iso ord-iso-sym ltI*)

**lemma** *ordertype-sum-Memrel*:  
 $\llbracket well\text{-}ord(A, r); k < j \rrbracket$   
 $\implies ordertype(A+k, radd(A, r, k, Memrel(j))) = ordertype(A+k, radd(A, r, k, Memrel(k)))$   
**apply** (*erule ltE*)  
**apply** (*rule ord-iso-reft* [*THEN sum-ord-iso-cong*, *THEN ordertype-eq*])  
**apply** (*erule OrdmemD* [*THEN id-ord-iso-Memrel*, *THEN ord-iso-sym*])  
**apply** (*simp-all add: well-ord-radd well-ord-Memrel*)  
**done**

**lemma** *oadd-lt-mono2*:  $k < j \implies i++k < i++j$   
**apply** (*simp add: oadd-def ordify-def raw-oadd-0-left lt-Ord lt-Ord2*, *clarify*)  
**apply** (*simp add: raw-oadd-def*)  
**apply** (*rule ltE*, *assumption*)  
**apply** (*rule ordertype-pred-unfold* [*THEN equalityD2*, *THEN subsetD*, *THEN ltI*])  
**apply** (*simp-all add: Ord-ordertype well-ord-radd well-ord-Memrel*)  
**apply** (*rule beI*)

```

apply (erule-tac [2] InrI)
apply (simp add: ordertype-pred-Inr-eq well-ord-Memrel lt-pred-Memrel
              leI [THEN le-ordertype-Memrel] ordertype-sum-Memrel)
done

lemma oadd-lt-cancel2:  $\llbracket i++j < i++k; \text{Ord}(j) \rrbracket \implies j < k$ 
apply (simp (asm-lr) add: oadd-eq-if-raw-oadd split: split-if-asm)
prefer 2
apply (frule-tac  $i = i$  and  $j = j$  in oadd-le-self)
apply (simp (asm-lr) add: oadd-def ordify-def lt-Ord not-lt-iff-le [THEN iff-sym])
apply (rule Ord-linear-lt, auto)
apply (simp-all add: raw-oadd-eq-oadd)
apply (blast dest: oadd-lt-mono2 elim: lt-irrefl lt-asymp)+
done

lemma oadd-lt-iff2:  $\text{Ord}(j) \implies i++j < i++k \longleftrightarrow j < k$ 
by (blast intro!: oadd-lt-mono2 dest!: oadd-lt-cancel2)

lemma oadd-inject:  $\llbracket i++j = i++k; \text{Ord}(j); \text{Ord}(k) \rrbracket \implies j = k$ 
apply (simp add: oadd-eq-if-raw-oadd split: split-if-asm)
apply (simp add: raw-oadd-eq-oadd)
apply (rule Ord-linear-lt, auto)
apply (force dest: oadd-lt-mono2 [of concl: i] simp add: lt-not-refl)+
done

lemma lt-oadd-disj:  $k < i++j \implies k < i \mid (\exists l \in j. k = i++l)$ 
apply (simp add: Ord-in-Ord' [of - j] oadd-eq-if-raw-oadd
              split: split-if-asm)
prefer 2
apply (simp add: Ord-in-Ord' [of - j] lt-def)
apply (simp add: ordertype-pred-unfold well-ord-radd well-ord-Memrel raw-oadd-def)
apply (erule ltD [THEN RepFunE])
apply (force simp add: ordertype-pred-Inl-eq well-ord-Memrel ltI
              lt-pred-Memrel le-ordertype-Memrel leI
              ordertype-pred-Inr-eq ordertype-sum-Memrel)
done

```

#### 21.4.4 Ordinal addition with successor – via associativity!

```

lemma oadd-assoc:  $(i++j)++k = i++(j++k)$ 
apply (simp add: oadd-eq-if-raw-oadd Ord-raw-oadd raw-oadd-0 raw-oadd-0-left,
              clarify)
apply (simp add: raw-oadd-def)
apply (rule ordertype-eq [THEN trans])
apply (rule sum-ord-iso-cong [OF ordertype-ord-iso [THEN ord-iso-sym]
                              ord-iso-refl])
apply (simp-all add: Ord-ordertype well-ord-radd well-ord-Memrel)
apply (rule sum-assoc-ord-iso [THEN ordertype-eq, THEN trans])
apply (rule-tac [2] ordertype-eq)

```

**apply** (*rule-tac* [2] *sum-ord-iso-cong* [*OF ord-iso-refl ordertype-ord-iso*])  
**apply** (*blast intro*: *Ord-ordertype well-ord-radd well-ord-Memrel*) +  
**done**

**lemma** *oadd-unfold*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i++j = i \cup (\bigcup_{k \in j}. \{i++k\})$   
**apply** (*rule subsetI* [*THEN equalityI*])  
**apply** (*erule ltI* [*THEN lt-oadd-disj, THEN disjE*])  
**apply** (*blast intro*: *Ord-oadd*)  
**apply** (*blast elim!*: *ltE, blast*)  
**apply** (*force intro*: *lt-oadd1 oadd-lt-mono2 simp add: Ord-mem-iff-lt*)  
**done**

**lemma** *oadd-1*:  $\text{Ord}(i) \implies i++1 = \text{succ}(i)$   
**apply** (*simp* (*no-asm-simp*) *add: oadd-unfold Ord-1 oadd-0*)  
**apply** *blast*  
**done**

**lemma** *oadd-succ* [*simp*]:  $\text{Ord}(j) \implies i++\text{succ}(j) = \text{succ}(i++j)$   
**apply** (*simp add: oadd-eq-if-raw-oadd, clarify*)  
**apply** (*simp add: raw-oadd-eq-oadd*)  
**apply** (*simp add: oadd-1* [*of j, symmetric*] *oadd-1* [*of i++j, symmetric*] *oadd-assoc*)  
**done**

Ordinal addition with limit ordinals

**lemma** *oadd-UN*:  
 $\llbracket \bigwedge x. x \in A \implies \text{Ord}(j(x)); a \in A \rrbracket$   
 $\implies i++(\bigcup_{x \in A}. j(x)) = (\bigcup_{x \in A}. i++j(x))$   
**by** (*blast intro*: *ltI Ord-UN Ord-oadd lt-oadd1* [*THEN ltD*] *oadd-lt-mono2* [*THEN ltD*] *elim!*: *ltE dest!*: *ltI* [*THEN lt-oadd-disj*])

**lemma** *oadd-Limit*:  $\text{Limit}(j) \implies i++j = (\bigcup_{k \in j}. i++k)$   
**apply** (*frule Limit-has-0* [*THEN ltD*])  
**apply** (*simp add: Limit-is-Ord* [*THEN Ord-in-Ord*] *oadd-UN* [*symmetric*] *Union-eq-UN* [*symmetric*] *Limit-Union-eq*)  
**done**

**lemma** *oadd-eq-0-iff*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies (i++j) = 0 \longleftrightarrow i=0 \wedge j=0$   
**apply** (*erule trans-induct3* [*of j*])  
**apply** (*simp-all add: oadd-Limit*)  
**apply** (*simp add: Union-empty-iff Limit-def lt-def, blast*)  
**done**

**lemma** *oadd-eq-lt-iff*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies 0 < (i++j) \longleftrightarrow 0 < i \mid 0 < j$   
**by** (*simp add: Ord-0-lt-iff* [*symmetric*] *oadd-eq-0-iff*)

**lemma** *oadd-LimitI*:  $\llbracket \text{Ord}(i); \text{Limit}(j) \rrbracket \implies \text{Limit}(i++j)$   
**apply** (*simp add: oadd-Limit*)

```

apply (frule Limit-has-1 [THEN ltD])
apply (rule increasing-LimitI)
apply (rule Ord-0-lt)
  apply (blast intro: Ord-in-Ord [OF Limit-is-Ord])
apply (force simp add: Union-empty-iff oadd-eq-0-iff
          Limit-is-Ord [of j, THEN Ord-in-Ord], auto)
apply (rule-tac x=succ(y) in beaI)
apply (simp add: ltI Limit-is-Ord [of j, THEN Ord-in-Ord])
apply (simp add: Limit-def lt-def)
done

```

Order/monotonicity properties of ordinal addition

```

lemma oadd-le-self2:  $Ord(i) \implies i \leq j++i$ 
proof (induct i rule: trans-induct3)
  case 0 thus ?case by (simp add: Ord-0-le)
next
  case (succ i) thus ?case by (simp add: oadd-succ succ-leI)
next
  case (limit l)
  hence  $l = (\bigcup x \in l. x)$ 
  by (simp add: Union-eq-UN [symmetric] Limit-Union-eq)
  also have  $\dots \leq (\bigcup x \in l. j++x)$ 
  by (rule le-implies-UN-le-UN) (rule limit.hyps)
  finally have  $l \leq (\bigcup x \in l. j++x)$  .
  thus ?case using limit.hyps by (simp add: oadd-Limit)
qed

```

```

lemma oadd-le-mono1:  $k \leq j \implies k++i \leq j++i$ 
apply (frule lt-Ord)
apply (frule le-Ord2)
apply (simp add: oadd-eq-if-raw-oadd, clarify)
apply (simp add: raw-oadd-eq-oadd)
apply (erule-tac i = i in trans-induct3)
apply (simp (no-asm-simp))
apply (simp (no-asm-simp) add: oadd-succ succ-le-iff)
apply (simp (no-asm-simp) add: oadd-Limit)
apply (rule le-implies-UN-le-UN, blast)
done

```

```

lemma oadd-lt-mono:  $\llbracket i' \leq i; j' < j \rrbracket \implies i'++j' < i++j$ 
by (blast intro: lt-trans1 oadd-le-mono1 oadd-lt-mono2 Ord-succD elim: ltE)

```

```

lemma oadd-le-mono:  $\llbracket i' \leq i; j' \leq j \rrbracket \implies i'++j' \leq i++j$ 
by (simp del: oadd-succ add: oadd-succ [symmetric] le-Ord2 oadd-lt-mono)

```

```

lemma oadd-le-iff2:  $\llbracket Ord(j); Ord(k) \rrbracket \implies i++j \leq i++k \longleftrightarrow j \leq k$ 
by (simp del: oadd-succ add: oadd-lt-iff2 oadd-succ [symmetric] Ord-succ)

```

```

lemma oadd-lt-self:  $\llbracket Ord(i); 0 < j \rrbracket \implies i < i++j$ 

```

```

apply (rule lt-trans2)
apply (erule le-refl)
apply (simp only: lt-Ord2 oadd-1 [of i, symmetric])
apply (blast intro: succ-leI oadd-le-mono)
done

```

Every ordinal is exceeded by some limit ordinal.

```

lemma Ord-imp-greater-Limit: Ord(i)  $\implies \exists k. i < k \wedge \text{Limit}(k)$ 
apply (rule-tac x=i ++ nat in exI)
apply (blast intro: oadd-LimitI oadd-lt-self Limit-nat [THEN Limit-has-0])
done

```

```

lemma Ord2-imp-greater-Limit:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \exists k. i < k \wedge j < k \wedge \text{Limit}(k)$ 
apply (insert Ord-Un [of i j, THEN Ord-imp-greater-Limit])
apply (simp add: Un-least-lt-iff)
done

```

## 21.5 Ordinal Subtraction

The difference is  $\text{ordertype}(j - i, \text{Memrel}(j))$ . It's probably simpler to define the difference recursively!

```

lemma bij-sum-Diff:
   $A \leq B \implies (\lambda y \in B. \text{if}(y \in A, \text{Inl}(y), \text{Inr}(y))) \in \text{bij}(B, A + (B - A))$ 
apply (rule-tac d = case ( $\lambda x. x, \lambda y. y$ ) in lam-bijective)
apply (blast intro!: if-type)
apply (fast intro!: case-type)
apply (erule-tac [2] sumE)
apply (simp-all (no-asm-simp))
done

lemma ordertype-sum-Diff:
   $i \leq j \implies$ 
     $\text{ordertype}(i + (j - i), \text{radd}(i, \text{Memrel}(j), j - i, \text{Memrel}(j))) =$ 
     $\text{ordertype}(j, \text{Memrel}(j))$ 
apply (safe dest!: le-subset-iff [THEN iffD1])
apply (rule bij-sum-Diff [THEN ord-isoI, THEN ord-iso-sym, THEN ordertype-eq])
apply (erule-tac [3] well-ord-Memrel, assumption)
apply (simp (no-asm-simp))
apply (frule-tac j = y in Ord-in-Ord, assumption)
apply (frule-tac j = x in Ord-in-Ord, assumption)
apply (simp (no-asm-simp) add: Ord-mem-iff-lt lt-Ord not-lt-iff-le)
apply (blast intro: lt-trans2 lt-trans)
done

```

```

lemma Ord-odiff [simp, TC]:
   $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i - j)$ 
  unfolding odiff-def
apply (blast intro: Ord-ordertype Diff-subset well-ord-subset well-ord-Memrel)

```

done

**lemma** *raw-oadd-ordertype-Diff*:

$i \leq j$   
 $\implies \text{raw-oadd}(i, j - i) = \text{ordertype}(i + (j - i), \text{radd}(i, \text{Memrel}(j), j - i, \text{Memrel}(j)))$   
**apply** (*simp add: raw-oadd-def odiff-def*)  
**apply** (*safe dest!: le-subset-iff [THEN iffD1]*)  
**apply** (*rule sum-ord-iso-cong [THEN ordertype-eq]*)  
**apply** (*erule id-ord-iso-Memrel*)  
**apply** (*rule ordertype-ord-iso [THEN ord-iso-sym]*)  
**apply** (*blast intro: well-ord-radd Diff-subset well-ord-subset well-ord-Memrel*) +  
done

**lemma** *oadd-odiff-inverse*:  $i \leq j \implies i ++ (j - i) = j$

**by** (*simp add: lt-Ord le-Ord2 oadd-def ordify-def raw-oadd-ordertype-Diff*  
*ordertype-sum-Diff ordertype-Memrel lt-Ord2 [THEN Ord-succD]*)

**lemma** *odiff-oadd-inverse*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies (i ++ j) - i = j$

**apply** (*rule oadd-inject*)  
**apply** (*blast intro: oadd-odiff-inverse oadd-le-self*)  
**apply** (*blast intro: Ord-ordertype Ord-oadd Ord-odiff*) +  
done

**lemma** *odiff-lt-mono2*:  $\llbracket i < j; k \leq i \rrbracket \implies i - k < j - k$

**apply** (*rule-tac i = k in oadd-lt-cancel2*)  
**apply** (*simp add: oadd-odiff-inverse*)  
**apply** (*subst oadd-odiff-inverse*)  
**apply** (*blast intro: le-trans leI, assumption*)  
**apply** (*simp (no-asm-simp) add: lt-Ord le-Ord2*)  
done

## 21.6 Ordinal Multiplication

**lemma** *Ord-omult* [*simp, TC*]:

$\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i ** j)$

**unfolding** *omult-def*

**apply** (*blast intro: Ord-ordertype well-ord-rmult well-ord-Memrel*)  
done

### 21.6.1 A useful unfolding law

**lemma** *pred-Pair-eq*:

$\llbracket a \in A; b \in B \rrbracket \implies \text{pred}(A * B, \langle a, b \rangle, \text{rmult}(A, r, B, s)) =$   
 $\text{pred}(A, a, r) * B \cup (\{a\} * \text{pred}(B, b, s))$

**apply** (*unfold pred-def, blast*)

done

**lemma** *ordertype-pred-Pair-eq*:



```

    
$$\llbracket a \in A; b \in B; \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket \implies$$


$$\text{ordertype}(\text{pred}(A*B, \langle a,b \rangle, \text{rmult}(A,r,B,s)), \text{rmult}(A,r,B,s)) =$$


$$\text{ordertype}(\text{pred}(A,a,r)*B + \text{pred}(B,b,s),$$


$$\text{radd}(A*B, \text{rmult}(A,r,B,s), B, s))$$

apply (simp (no-asm-simp) add: pred-Pair-eq)
apply (rule ordertype-eq [symmetric])
apply (rule prod-sum-singleton-ord-iso)
apply (simp-all add: pred-subset well-ord-rmult [THEN well-ord-subset])
apply (blast intro: pred-subset well-ord-rmult [THEN well-ord-subset]
    elim!: predE)
done

lemma ordertype-pred-Pair-lemma:

$$\llbracket i' < i; j' < j \rrbracket$$


$$\implies \text{ordertype}(\text{pred}(i*j, \langle i',j' \rangle, \text{rmult}(i, \text{Memrel}(i), j, \text{Memrel}(j))),$$


$$\text{rmult}(i, \text{Memrel}(i), j, \text{Memrel}(j))) =$$


$$\text{raw-oadd}(j**i', j')$$

unfolding raw-oadd-def omult-def
apply (simp add: ordertype-pred-Pair-eq lt-pred-Memrel ltD lt-Ord2
    well-ord-Memrel)
apply (rule trans)
apply (rule-tac [2] ordertype-ord-iso
    [THEN sum-ord-iso-cong, THEN ordertype-eq])
apply (rule-tac [3] ord-iso-refl)
apply (rule id-bij [THEN ord-isoI, THEN ordertype-eq])
apply (elim SigmaE sumE ltE ssubst)
apply (simp-all add: well-ord-rmult well-ord-radd well-ord-Memrel
    Ord-ordertype lt-Ord lt-Ord2)
apply (blast intro: Ord-trans) +
done

lemma lt-omult:

$$\llbracket \text{Ord}(i); \text{Ord}(j); k < j**i \rrbracket$$


$$\implies \exists j' i'. k = j**i' ++ j' \wedge j' < j \wedge i' < i$$

unfolding omult-def
apply (simp add: ordertype-pred-unfold well-ord-rmult well-ord-Memrel)
apply (safe elim!: ltE)
apply (simp add: ordertype-pred-Pair-lemma ltI raw-oadd-eq-oadd
    omult-def [symmetric] Ord-in-Ord' [of - i] Ord-in-Ord' [of - j])
apply (blast intro: ltI)
done

lemma omult-oadd-lt:

$$\llbracket j' < j; i' < i \rrbracket \implies j**i' ++ j' < j**i$$

unfolding omult-def
apply (rule ltI)
prefer 2
apply (simp add: Ord-ordertype well-ord-rmult well-ord-Memrel lt-Ord2)
apply (simp add: ordertype-pred-unfold well-ord-rmult well-ord-Memrel lt-Ord2)

```

```

apply (rule bexI [of - i'])
apply (rule bexI [of - j'])
apply (simp add: ordertype-pred-Pair-lemma ltI omult-def [symmetric])
apply (simp add: lt-Ord lt-Ord2 raw-oadd-eq-oadd)
apply (simp-all add: lt-def)
done

```

```

lemma omult-unfold:
   $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j ** i = (\bigcup j' \in j. \bigcup i' \in i. \{j ** i' ++ j'\})$ 
apply (rule subsetI [THEN equalityI])
apply (rule lt-omult [THEN exE])
apply (erule-tac [3] ltI)
apply (simp-all add: Ord-omult)
apply (blast elim!: ltE)
apply (blast intro: omult-oadd-lt [THEN ltD] ltI)
done

```

### 21.6.2 Basic laws for ordinal multiplication

Ordinal multiplication by zero

```

lemma omult-0 [simp]:  $i ** 0 = 0$ 
  unfolding omult-def
apply (simp (no-asm-simp))
done

```

```

lemma omult-0-left [simp]:  $0 ** i = 0$ 
  unfolding omult-def
apply (simp (no-asm-simp))
done

```

Ordinal multiplication by 1

```

lemma omult-1 [simp]:  $\text{Ord}(i) \implies i ** 1 = i$ 
  unfolding omult-def
apply (rule-tac s1=Memrel(i)
    in ord-isoI [THEN ordertype-eq, THEN trans])
apply (rule-tac  $c = \text{snd}$  and  $d = \lambda z. \langle 0, z \rangle$  in lam-bijective)
apply (auto elim!: snd-type well-ord-Memrel ordertype-Memrel)
done

```

```

lemma omult-1-left [simp]:  $\text{Ord}(i) \implies 1 ** i = i$ 
  unfolding omult-def
apply (rule-tac s1=Memrel(i)
    in ord-isoI [THEN ordertype-eq, THEN trans])
apply (rule-tac  $c = \text{fst}$  and  $d = \lambda z. \langle z, 0 \rangle$  in lam-bijective)
apply (auto elim!: fst-type well-ord-Memrel ordertype-Memrel)
done

```

Distributive law for ordinal multiplication and addition

```

lemma oadd-omult-distrib:

```

$\llbracket \text{Ord}(i); \text{Ord}(j); \text{Ord}(k) \rrbracket \implies i ** (j ++ k) = (i ** j) ++ (i ** k)$   
**apply** (*simp add: oadd-eq-if-raw-oadd*)  
**apply** (*simp add: omult-def raw-oadd-def*)  
**apply** (*rule ordertype-eq [THEN trans]*)  
**apply** (*rule prod-ord-iso-cong [OF ordertype-ord-iso [THEN ord-iso-sym]*  
*ord-iso-refl]*)  
**apply** (*simp-all add: well-ord-rmult well-ord-radd well-ord-Memrel*  
*Ord-ordertype*)  
**apply** (*rule sum-prod-distrib-ord-iso [THEN ordertype-eq, THEN trans]*)  
**apply** (*rule-tac [2] ordertype-eq*)  
**apply** (*rule-tac [2] sum-ord-iso-cong [OF ordertype-ord-iso ordertype-ord-iso]*)  
**apply** (*simp-all add: well-ord-rmult well-ord-radd well-ord-Memrel*  
*Ord-ordertype*)  
**done**

**lemma** *omult-succ*:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i ** \text{succ}(j) = (i ** j) ++ i$   
**by** (*simp del: oadd-succ add: oadd-1 [of j, symmetric] oadd-omult-distrib*)

Associative law

**lemma** *omult-assoc*:  
 $\llbracket \text{Ord}(i); \text{Ord}(j); \text{Ord}(k) \rrbracket \implies (i ** j) ** k = i ** (j ** k)$   
**unfolding** *omult-def*  
**apply** (*rule ordertype-eq [THEN trans]*)  
**apply** (*rule prod-ord-iso-cong [OF ord-iso-refl*  
*ordertype-ord-iso [THEN ord-iso-sym]]*)  
**apply** (*blast intro: well-ord-rmult well-ord-Memrel*) +  
**apply** (*rule prod-assoc-ord-iso*  
*[THEN ord-iso-sym, THEN ordertype-eq, THEN trans]*)  
**apply** (*rule-tac [2] ordertype-eq*)  
**apply** (*rule-tac [2] prod-ord-iso-cong [OF ordertype-ord-iso ord-iso-refl]*)  
**apply** (*blast intro: well-ord-rmult well-ord-Memrel Ord-ordertype*) +  
**done**

Ordinal multiplication with limit ordinals

**lemma** *omult-UN*:  
 $\llbracket \text{Ord}(i); \bigwedge x. x \in A \implies \text{Ord}(j(x)) \rrbracket$   
 $\implies i ** (\bigcup_{x \in A} j(x)) = (\bigcup_{x \in A} i ** j(x))$   
**by** (*simp (no-asm-simp) add: Ord-UN omult-unfold, blast*)

**lemma** *omult-Limit*:  $\llbracket \text{Ord}(i); \text{Limit}(j) \rrbracket \implies i ** j = (\bigcup_{k \in j} i ** k)$   
**by** (*simp add: Limit-is-Ord [THEN Ord-in-Ord] omult-UN [symmetric]*  
*Union-eq-UN [symmetric] Limit-Union-eq*)

### 21.6.3 Ordering/monotonicity properties of ordinal multiplication

**lemma** *lt-omult1*:  $\llbracket k < i; 0 < j \rrbracket \implies k < i ** j$   
**apply** (*safe elim!: ltE intro!: ltI Ord-omult*)  
**apply** (*force simp add: omult-unfold*)

done

**lemma** *omult-le-self*:  $\llbracket \text{Ord}(i); 0 < j \rrbracket \implies i \leq i^{**}j$   
**by** (*blast intro: all-lt-imp-le Ord-omult lt-omult1 lt-Ord2*)

**lemma** *omult-le-mono1*:  
**assumes** *kj*:  $k \leq j$  **and** *i*: *Ord*(*i*) **shows**  $k^{**}i \leq j^{**}i$   
**proof** –  
**have** *o*: *Ord*(*k*) *Ord*(*j*) **by** (*rule lt-Ord [OF kj] le-Ord2 [OF kj]*) +  
**show** ?thesis **using** *i*  
**proof** (*induct i rule: trans-induct3*)  
**case** 0 **thus** ?case  
**by** *simp*  
**next**  
**case** (*succ i*) **thus** ?case  
**by** (*simp add: o kj omult-succ oadd-le-mono*)  
**next**  
**case** (*limit l*)  
**thus** ?case  
**by** (*auto simp add: o kj omult-Limit le-implies-UN-le-UN*)  
**qed**  
**qed**

**lemma** *omult-lt-mono2*:  $\llbracket k < j; 0 < i \rrbracket \implies i^{**}k < i^{**}j$   
**apply** (*rule ltI*)  
**apply** (*simp (no-asm-simp) add: omult-unfold lt-Ord2*)  
**apply** (*safe elim!: ltE intro!: Ord-omult*)  
**apply** (*force simp add: Ord-omult*)  
**done**

**lemma** *omult-le-mono2*:  $\llbracket k \leq j; \text{Ord}(i) \rrbracket \implies i^{**}k \leq i^{**}j$   
**apply** (*rule subset-imp-le*)  
**apply** (*safe elim!: ltE dest!: Ord-succD intro!: Ord-omult*)  
**apply** (*simp add: omult-unfold*)  
**apply** (*blast intro: Ord-trans*)  
**done**

**lemma** *omult-le-mono*:  $\llbracket i' \leq i; j' \leq j \rrbracket \implies i'^{**}j' \leq i^{**}j$   
**by** (*blast intro: le-trans omult-le-mono1 omult-le-mono2 Ord-succD elim: ltE*)

**lemma** *omult-lt-mono*:  $\llbracket i' \leq i; j' < j; 0 < i \rrbracket \implies i'^{**}j' < i^{**}j$   
**by** (*blast intro: lt-trans1 omult-le-mono1 omult-lt-mono2 Ord-succD elim: ltE*)

**lemma** *omult-le-self2*:  
**assumes** *i*: *Ord*(*i*) **and** *j*:  $0 < j$  **shows**  $i \leq j^{**}i$   
**proof** –  
**have** *oj*: *Ord*(*j*) **by** (*rule lt-Ord2 [OF j]*)  
**show** ?thesis **using** *i*  
**proof** (*induct i rule: trans-induct3*)

```

    case 0 thus ?case
    by simp
next
case (succ i)
have  $j ** i ++ 0 < j ** i ++ j$ 
  by (rule oadd-lt-mono2 [OF j])
with succ.hyps show ?case
  by (simp add: oj j omult-succ) (rule lt-trans1)
next
case (limit l)
hence  $l = (\bigcup x \in l. x)$ 
  by (simp add: Union-eq-UN [symmetric] Limit-Union-eq)
also have  $\dots \leq (\bigcup x \in l. j ** x)$ 
  by (rule le-implies-UN-le-UN) (rule limit.hyps)
finally have  $l \leq (\bigcup x \in l. j ** x)$  .
thus ?case using limit.hyps by (simp add: oj omult-Limit)
qed
qed

```

Further properties of ordinal multiplication

```

lemma omult-inject:  $\llbracket i ** j = i ** k; \ 0 < i; \text{Ord}(j); \text{Ord}(k) \rrbracket \implies j = k$ 
apply (rule Ord-linear-lt)
prefer 4 apply assumption
apply auto
apply (force dest: omult-lt-mono2 simp add: lt-not-refl)+
done

```

## 21.7 The Relation Lt

```

lemma wf-Lt: wf(Lt)
apply (rule wf-subset)
apply (rule wf-Memrel)
apply (auto simp add: Lt-def Memrel-def lt-def)
done

```

```

lemma irrefl-Lt: irrefl(A, Lt)
by (auto simp add: Lt-def irrefl-def)

```

```

lemma trans-Lt: trans[A](Lt)
apply (simp add: Lt-def trans-on-def)
apply (blast intro: lt-trans)
done

```

```

lemma part-ord-Lt: part-ord(A, Lt)
by (simp add: part-ord-def irrefl-Lt trans-Lt)

```

```

lemma linear-Lt: linear(nat, Lt)
apply (auto dest!: not-lt-imp-le simp add: Lt-def linear-def le-iff)
apply (drule lt-asym, auto)

```

**done**

**lemma** *tot-ord-Lt*: *tot-ord*(*nat*,*Lt*)  
**by** (*simp add: tot-ord-def linear-Lt part-ord-Lt*)

**lemma** *well-ord-Lt*: *well-ord*(*nat*,*Lt*)  
**by** (*simp add: well-ord-def wf-Lt wf-imp-wf-on tot-ord-Lt*)

**end**

## 22 Finite Powerset Operator and Finite Function Space

**theory** *Finite* **imports** *Inductive Epsilon Nat* **begin**

**rep-datatype**  
**elimination** *natE*  
**induction** *nat-induct*  
**case-eqns** *nat-case-0 nat-case-succ*  
**recursor-eqns** *recursor-0 recursor-succ*

**consts**

*Fin* ::  $i \Rightarrow i$   
*FiniteFun* ::  $[i, i] \Rightarrow i$  ( $\langle \langle \text{notation} = \langle \text{infix } -||> \rangle -||> / - \rangle [61, 60] 60 \rangle$ )

**inductive**

**domains** *Fin*(*A*)  $\subseteq$  *Pow*(*A*)  
**intros**  
*emptyI*:  $0 \in \text{Fin}(A)$   
*consI*:  $\llbracket a \in A; b \in \text{Fin}(A) \rrbracket \implies \text{cons}(a, b) \in \text{Fin}(A)$   
**type-intros** *empty-subsetI cons-subsetI PowI*  
**type-elim** *PowD [elim-format]*

**inductive**

**domains** *FiniteFun*(*A*,*B*)  $\subseteq$  *Fin*(*A*\**B*)  
**intros**  
*emptyI*:  $0 \in A -||> B$   
*consI*:  $\llbracket a \in A; b \in B; h \in A -||> B; a \notin \text{domain}(h) \rrbracket$   
 $\implies \text{cons}(\langle a, b \rangle, h) \in A -||> B$   
**type-intros** *Fin.intros*

### 22.1 Finite Powerset Operator

**lemma** *Fin-mono*:  $A \leq B \implies \text{Fin}(A) \subseteq \text{Fin}(B)$   
**unfolding** *Fin.defs*  
**apply** (*rule lfp-mono*)

```

apply (rule Fin.bnd-mono)+
apply blast
done

```

```

lemmas FinD = Fin.dom-subset [THEN subsetD, THEN PowD]

```

```

lemma Fin-induct [case-names 0 cons, induct set: Fin]:
   $\llbracket b \in \text{Fin}(A);$ 
     $P(0);$ 
     $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \notin y; P(y) \rrbracket \implies P(\text{cons}(x,y))$ 
 $\rrbracket \implies P(b)$ 
apply (erule Fin.induct, simp)
apply (case-tac  $a \in b$ )
apply (erule cons-absorb [THEN ssubst], assumption)
apply simp
done

```

```

declare Fin.intros [simp]

```

```

lemma Fin-0:  $\text{Fin}(0) = \{0\}$ 
by (blast intro: Fin.emptyI dest: FinD)

```

```

lemma Fin-UnI [simp]:  $\llbracket b \in \text{Fin}(A); c \in \text{Fin}(A) \rrbracket \implies b \cup c \in \text{Fin}(A)$ 
apply (erule Fin-induct)
apply (simp-all add: Un-cons)
done

```

```

lemma Fin-UnionI:  $C \in \text{Fin}(\text{Fin}(A)) \implies \bigcup(C) \in \text{Fin}(A)$ 
by (erule Fin-induct, simp-all)

```

```

lemma Fin-subset-lemma [rule-format]:  $b \in \text{Fin}(A) \implies \forall z. z \leq b \longrightarrow z \in \text{Fin}(A)$ 
apply (erule Fin-induct)
apply (simp add: subset-empty-iff)
apply (simp add: subset-cons-iff distrib-simps, safe)
apply (erule-tac  $b = z$  in cons-Diff [THEN subst], simp)
done

```

```

lemma Fin-subset:  $\llbracket c \leq b; b \in \text{Fin}(A) \rrbracket \implies c \in \text{Fin}(A)$ 
by (blast intro: Fin-subset-lemma)

```

**lemma** *Fin-IntI1* [*intro,simp*]:  $b \in \text{Fin}(A) \implies b \cap c \in \text{Fin}(A)$   
**by** (*blast intro: Fin-subset*)

**lemma** *Fin-IntI2* [*intro,simp*]:  $c \in \text{Fin}(A) \implies b \cap c \in \text{Fin}(A)$   
**by** (*blast intro: Fin-subset*)

**lemma** *Fin-0-induct-lemma* [*rule-format*]:  
 $\llbracket c \in \text{Fin}(A); b \in \text{Fin}(A); P(b);$   
 $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \in y; P(y) \rrbracket \implies P(y - \{x\})$   
 $\rrbracket \implies c \leq b \longrightarrow P(b - c)$   
**apply** (*erule Fin-induct, simp*)  
**apply** (*subst Diff-cons*)  
**apply** (*simp add: cons-subset-iff Diff-subset [THEN Fin-subset]*)  
**done**

**lemma** *Fin-0-induct*:  
 $\llbracket b \in \text{Fin}(A);$   
 $P(b);$   
 $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \in y; P(y) \rrbracket \implies P(y - \{x\})$   
 $\rrbracket \implies P(0)$   
**apply** (*rule Diff-cancel [THEN subst]*)  
**apply** (*blast intro: Fin-0-induct-lemma*)  
**done**

**lemma** *nat-fun-subset-Fin*:  $n \in \text{nat} \implies n \rightarrow A \subseteq \text{Fin}(\text{nat} * A)$   
**apply** (*induct-tac n*)  
**apply** (*simp add: subset-iff*)  
**apply** (*simp add: succ-def mem-not-refl [THEN cons-fun-eq]*)  
**apply** (*fast intro!: Fin.consI*)  
**done**

## 22.2 Finite Function Space

**lemma** *FiniteFun-mono*:  
 $\llbracket A \leq C; B \leq D \rrbracket \implies A -||> B \subseteq C -||> D$   
**unfolding** *FiniteFun.defs*  
**apply** (*rule lfp-mono*)  
**apply** (*rule FiniteFun.bnd-mono*)  
**apply** (*intro Fin-mono Sigma-mono basic-monos, assumption+*)  
**done**

**lemma** *FiniteFun-mono1*:  $A \leq B \implies A -||> A \subseteq B -||> B$   
**by** (*blast dest: FiniteFun-mono*)

**lemma** *FiniteFun-is-fun*:  $h \in A -||> B \implies h \in \text{domain}(h) \rightarrow B$   
**apply** (*erule FiniteFun.induct, simp*)  
**apply** (*simp add: fun-extend3*)



done

**lemma** *FiniteFun-domain-Fin*:  $h \in A -||> B \implies \text{domain}(h) \in \text{Fin}(A)$   
**by** (*erule FiniteFun.induct, simp, simp*)

**lemmas** *FiniteFun-apply-type = FiniteFun-is-fun* [*THEN apply-type*]

**lemma** *FiniteFun-subset-lemma* [*rule-format*]:  
 $b \in A -||> B \implies \forall z. z \leq b \longrightarrow z \in A -||> B$   
**apply** (*erule FiniteFun.induct*)  
**apply** (*simp add: subset-empty-iff FiniteFun.intros*)  
**apply** (*simp add: subset-cons-iff distrib-simps, safe*)  
**apply** (*erule-tac b = z in cons-Diff* [*THEN subst*])  
**apply** (*drule spec* [*THEN mp*], *assumption*)  
**apply** (*fast intro!*: *FiniteFun.intros*)  
done

**lemma** *FiniteFun-subset*:  $\llbracket c \leq b; b \in A -||> B \rrbracket \implies c \in A -||> B$   
**by** (*blast intro: FiniteFun-subset-lemma*)

**lemma** *fun-FiniteFunI* [*rule-format*]:  $A \in \text{Fin}(X) \implies \forall f. f \in A \rightarrow B \longrightarrow f \in A -||> B$   
**apply** (*erule Fin.induct*)  
**apply** (*simp add: FiniteFun.intros, clarify*)  
**apply** (*case-tac a \in b*)  
**apply** (*simp add: cons-absorb*)  
**apply** (*subgoal-tac restrict (f,b) \in b -||> B*)  
**prefer** 2 **apply** (*blast intro: restrict-type2*)  
**apply** (*subst fun-cons-restrict-eq, assumption*)  
**apply** (*simp add: restrict-def lam-def*)  
**apply** (*blast intro: apply-funtype FiniteFun.intros*  
*FiniteFun-mono* [*THEN* [2] *rev-subsetD*])  
done

**lemma** *lam-FiniteFun*:  $A \in \text{Fin}(X) \implies (\lambda x \in A. b(x)) \in A -||> \{b(x). x \in A\}$   
**by** (*blast intro: fun-FiniteFunI lam-funtype*)

**lemma** *FiniteFun-Collect-iff*:  
 $f \in \text{FiniteFun}(A, \{y \in B. P(y)\})$   
 $\longleftrightarrow f \in \text{FiniteFun}(A, B) \wedge (\forall x \in \text{domain}(f). P(f'x))$   
**apply** *auto*  
**apply** (*blast intro: FiniteFun-mono* [*THEN* [2] *rev-subsetD*])  
**apply** (*blast dest: Pair-mem-PiD FiniteFun-is-fun*)  
**apply** (*rule-tac A1 = domain(f) in*  
*subset-refl* [*THEN* [2] *FiniteFun-mono, THEN subsetD*])  
**apply** (*fast dest: FiniteFun-domain-Fin Fin.dom-subset* [*THEN subsetD*])

```

apply (rule fun-FiniteFunI)
apply (erule FiniteFun-domain-Fin)
apply (rule-tac  $B = \text{range } f$ ) in fun-weaken-type)
  apply (blast dest: FiniteFun-is-fun range-of-fun range-type apply-equality)+
done

```

### 22.3 The Contents of a Singleton Set

**definition**

```

  contents ::  $i \Rightarrow i$  where
    contents( $X$ )  $\equiv$  THE  $x$ .  $X = \{x\}$ 

```

```

lemma contents-eq [simp]: contents ( $\{x\}$ ) =  $x$ 
by (simp add: contents-def)

```

**end**

## 23 Cardinal Numbers Without the Axiom of Choice

**theory** Cardinal **imports** OrderType Finite Nat Sum **begin**

**definition**

```

  Least ::  $(i \Rightarrow o) \Rightarrow i$  (binder  $\langle \mu \rangle$  10) where
    Least( $P$ )  $\equiv$  THE  $i$ . Ord( $i$ )  $\wedge$   $P(i) \wedge (\forall j. j < i \longrightarrow \neg P(j))$ 

```

**definition**

```

  eqpoll ::  $[i, i] \Rightarrow o$  (infixl  $\langle \approx \rangle$  50) where
     $A \approx B \equiv \exists f. f \in \text{bij}(A, B)$ 

```

**definition**

```

  lepoll ::  $[i, i] \Rightarrow o$  (infixl  $\langle \lesssim \rangle$  50) where
     $A \lesssim B \equiv \exists f. f \in \text{inj}(A, B)$ 

```

**definition**

```

  lesspoll ::  $[i, i] \Rightarrow o$  (infixl  $\langle \prec \rangle$  50) where
     $A \prec B \equiv A \lesssim B \wedge \neg(A \approx B)$ 

```

**definition**

```

  cardinal ::  $i \Rightarrow i$  ( $\langle \langle \text{open-block notation} = \langle \text{mixfix cardinal} \rangle \rangle | - \rangle$ )
  where  $|A| \equiv (\mu i. i \approx A)$ 

```

**definition**

```

  Finite ::  $i \Rightarrow o$  where
    Finite( $A$ )  $\equiv \exists n \in \text{nat}. A \approx n$ 

```

**definition**

```

  Card ::  $i \Rightarrow o$  where
    Card( $i$ )  $\equiv (i = |i|)$ 

```

### 23.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

**lemma** *decomp-bnd-mono*:  $\text{bnd-mono}(X, \lambda W. X - g''(Y - f''W))$   
**by** (*rule bnd-monoI, blast+*)

**lemma** *Banach-last-equation*:

$g \in Y \multimap X$   
 $\implies g''(Y - f'' \text{ lfp}(X, \lambda W. X - g''(Y - f''W))) =$   
 $X - \text{ lfp}(X, \lambda W. X - g''(Y - f''W))$

**apply** (*rule-tac*  $P = \lambda u. v = X - u$  **for**  $v$   
**in** *decomp-bnd-mono* [*THEN lfp-unfold, THEN ssubst*])  
**apply** (*simp add: double-complement fun-is-rel* [*THEN image-subset*])  
**done**

**lemma** *decomposition*:

$\llbracket f \in X \multimap Y; g \in Y \multimap X \rrbracket \implies$   
 $\exists XA XB YA YB. (XA \cap XB = 0) \wedge (XA \cup XB = X) \wedge$   
 $(YA \cap YB = 0) \wedge (YA \cup YB = Y) \wedge$   
 $f''XA = YA \wedge g''YB = XB$

**apply** (*intro exI conjI*)  
**apply** (*rule-tac* [6] *Banach-last-equation*)  
**apply** (*rule-tac* [5] *refl*)  
**apply** (*assumption* |  
*rule Diff-disjoint Diff-partition fun-is-rel image-subset lfp-subset*) +  
**done**

**lemma** *schroeder-bernstein*:

$\llbracket f \in \text{inj}(X, Y); g \in \text{inj}(Y, X) \rrbracket \implies \exists h. h \in \text{bij}(X, Y)$   
**apply** (*insert decomposition* [*of f X Y g*])  
**apply** (*simp add: inj-is-fun*)  
**apply** (*blast intro!: restrict-bij bij-disjoint-Un intro: bij-converse-bij*)  
**done**

**lemma** *bij-imp-epoll*:  $f \in \text{bij}(A, B) \implies A \approx B$   
**unfolding** *epoll-def*  
**apply** (*erule exI*)  
**done**

**lemmas** *epoll-refl* = *id-bij* [*THEN bij-imp-epoll, simp*]

**lemma** *epoll-sym*:  $X \approx Y \implies Y \approx X$   
**unfolding** *epoll-def*  
**apply** (*blast intro: bij-converse-bij*)

done

**lemma** *eqpoll-trans* [*trans*]:  
 $\llbracket X \approx Y; Y \approx Z \rrbracket \implies X \approx Z$   
**unfolding** *eqpoll-def*  
**apply** (*blast intro: comp-bij*)  
done

**lemma** *subset-imp-lepoll*:  $X \leq Y \implies X \lesssim Y$   
**unfolding** *lepoll-def*  
**apply** (*rule exI*)  
**apply** (*erule id-subset-inj*)  
done

**lemmas** *lepoll-refl* = *subset-refl* [*THEN subset-imp-lepoll, simp*]

**lemmas** *le-imp-lepoll* = *le-imp-subset* [*THEN subset-imp-lepoll*]

**lemma** *eqpoll-imp-lepoll*:  $X \approx Y \implies X \lesssim Y$   
**by** (*unfold eqpoll-def bij-def lepoll-def, blast*)

**lemma** *lepoll-trans* [*trans*]:  $\llbracket X \lesssim Y; Y \lesssim Z \rrbracket \implies X \lesssim Z$   
**unfolding** *lepoll-def*  
**apply** (*blast intro: comp-inj*)  
done

**lemma** *eq-lepoll-trans* [*trans*]:  $\llbracket X \approx Y; Y \lesssim Z \rrbracket \implies X \lesssim Z$   
**by** (*blast intro: eqpoll-imp-lepoll lepoll-trans*)

**lemma** *lepoll-eq-trans* [*trans*]:  $\llbracket X \lesssim Y; Y \approx Z \rrbracket \implies X \lesssim Z$   
**by** (*blast intro: eqpoll-imp-lepoll lepoll-trans*)

**lemma** *eqpollI*:  $\llbracket X \lesssim Y; Y \lesssim X \rrbracket \implies X \approx Y$   
**unfolding** *lepoll-def eqpoll-def*  
**apply** (*elim exE*)  
**apply** (*rule schroeder-bernstein, assumption+*)  
done

**lemma** *eqpollE*:  
 $\llbracket X \approx Y; \llbracket X \lesssim Y; Y \lesssim X \rrbracket \implies P \rrbracket \implies P$   
**by** (*blast intro: eqpoll-imp-lepoll eqpoll-sym*)

**lemma** *eqpoll-iff*:  $X \approx Y \longleftrightarrow X \lesssim Y \wedge Y \lesssim X$   
**by** (*blast intro: eqpollI elim!: eqpollE*)

**lemma** *lepoll-0-is-0*:  $A \lesssim 0 \implies A = 0$

**unfolding** *lepoll-def inj-def*  
**apply** (*blast dest: apply-type*)  
**done**

**lemmas** *empty-lepollI = empty-subsetI [THEN subset-imp-lepoll]*

**lemma** *lepoll-0-iff*:  $A \lesssim 0 \longleftrightarrow A=0$   
**by** (*blast intro: lepoll-0-is-0 lepoll-refl*)

**lemma** *Un-lepoll-Un*:  
 $\llbracket A \lesssim B; C \lesssim D; B \cap D = 0 \rrbracket \implies A \cup C \lesssim B \cup D$   
**unfolding** *lepoll-def*  
**apply** (*blast intro: inj-disjoint-Un*)  
**done**

**lemmas** *eqpoll-0-is-0 = eqpoll-imp-lepoll [THEN lepoll-0-is-0]*

**lemma** *eqpoll-0-iff*:  $A \approx 0 \longleftrightarrow A=0$   
**by** (*blast intro: eqpoll-0-is-0 eqpoll-refl*)

**lemma** *eqpoll-disjoint-Un*:  
 $\llbracket A \approx B; C \approx D; A \cap C = 0; B \cap D = 0 \rrbracket$   
 $\implies A \cup C \approx B \cup D$   
**unfolding** *eqpoll-def*  
**apply** (*blast intro: bij-disjoint-Un*)  
**done**

## 23.2 lesspoll: contributions by Krzysztof Grabczewski

**lemma** *lesspoll-not-refl*:  $\neg (i \prec i)$   
**by** (*simp add: lesspoll-def*)

**lemma** *lesspoll-irrefl [elim!]*:  $i \prec i \implies P$   
**by** (*simp add: lesspoll-def*)

**lemma** *lesspoll-imp-lepoll*:  $A \prec B \implies A \lesssim B$   
**by** (*unfold lesspoll-def, blast*)

**lemma** *lepoll-well-ord*:  $\llbracket A \lesssim B; \text{well-ord}(B, r) \rrbracket \implies \exists s. \text{well-ord}(A, s)$   
**unfolding** *lepoll-def*  
**apply** (*blast intro: well-ord-rvimage*)  
**done**

**lemma** *lepoll-iff-leqpoll*:  $A \lesssim B \longleftrightarrow A \prec B \mid A \approx B$   
**unfolding** *lesspoll-def*  
**apply** (*blast intro!: eqpollI elim!: eqpollE*)  
**done**

```

lemma inj-not-surj-succ:
  assumes fi:  $f \in \text{inj}(A, \text{succ}(m))$  and fns:  $f \notin \text{surj}(A, \text{succ}(m))$ 
  shows  $\exists f. f \in \text{inj}(A, m)$ 
proof -
  from fi [THEN inj-is-fun] fns
  obtain y where y:  $y \in \text{succ}(m) \wedge x. x \in A \implies f \cdot x \neq y$ 
  by (auto simp add: surj-def)
  show ?thesis
  proof
    show  $(\lambda z \in A. \text{if } f \cdot z = m \text{ then } y \text{ else } f \cdot z) \in \text{inj}(A, m)$  using y fi
    by (simp add: inj-def)
    (auto intro!: if-type [THEN lam-type] intro: Pi-type dest: apply-funtype)
  qed
qed

```

```

lemma lesspoll-trans [trans]:
   $\llbracket X \prec Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma lesspoll-trans1 [trans]:
   $\llbracket X \lesssim Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma lesspoll-trans2 [trans]:
   $\llbracket X \prec Y; Y \lesssim Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma eq-lesspoll-trans [trans]:
   $\llbracket X \approx Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  by (blast intro: eqpoll-imp-lepoll lesspoll-trans1)

```

```

lemma lesspoll-eq-trans [trans]:
   $\llbracket X \prec Y; Y \approx Z \rrbracket \implies X \prec Z$ 
  by (blast intro: eqpoll-imp-lepoll lesspoll-trans2)

```

```

lemma Least-equality:
   $\llbracket P(i); \text{Ord}(i); \bigwedge x. x < i \implies \neg P(x) \rrbracket \implies (\mu x. P(x)) = i$ 

```

```

    unfolding Least-def
  apply (rule the-equality, blast)
  apply (elim conjE)
  apply (erule Ord-linear-lt, assumption, blast+)
done

lemma LeastI:
  assumes P:  $P(i)$  and i:  $\text{Ord}(i)$  shows  $P(\mu x. P(x))$ 
proof -
  { from i have  $P(i) \implies P(\mu x. P(x))$ 
    proof (induct i rule: trans-induct)
      case (step i)
      show ?case
        proof (cases  $P(\mu a. P(a))$ )
          case True thus ?thesis .
        next
          case False
          hence  $\bigwedge x. x \in i \implies \neg P(x)$  using step
            by blast
          hence  $(\mu a. P(a)) = i$  using step
            by (blast intro: Least-equality ltD)
          thus ?thesis using step.prem1
            by simp
        qed
      qed
    }
  thus ?thesis using P .
qed

```

The proof is almost identical to the one above!

```

lemma Least-le:
  assumes P:  $P(i)$  and i:  $\text{Ord}(i)$  shows  $(\mu x. P(x)) \leq i$ 
proof -
  { from i have  $P(i) \implies (\mu x. P(x)) \leq i$ 
    proof (induct i rule: trans-induct)
      case (step i)
      show ?case
        proof (cases  $(\mu a. P(a)) \leq i$ )
          case True thus ?thesis .
        next
          case False
          hence  $\bigwedge x. x \in i \implies \neg (\mu a. P(a)) \leq i$  using step
            by blast
          hence  $(\mu a. P(a)) = i$  using step
            by (blast elim: ltE intro: ltI Least-equality lt-trans1)
          thus ?thesis using step
            by simp
        qed
      qed
    }
  thus ?thesis
qed

```

```

    }
    thus ?thesis using P .
qed

```

```

lemma less-LeastE:  $\llbracket P(i); i < (\mu x. P(x)) \rrbracket \implies Q$ 
apply (rule Least-le [THEN [2] lt-trans2, THEN lt-irrefl], assumption+)
apply (simp add: lt-Ord)
done

```

```

lemma LeastI2:
 $\llbracket P(i); \text{Ord}(i); \bigwedge j. P(j) \implies Q(j) \rrbracket \implies Q(\mu j. P(j))$ 
by (blast intro: LeastI)

```

```

lemma Least-0:
 $\llbracket \neg (\exists i. \text{Ord}(i) \wedge P(i)) \rrbracket \implies (\mu x. P(x)) = 0$ 
unfolding Least-def
apply (rule the-0, blast)
done

```

```

lemma Ord-Least [intro,simp,TC]:  $\text{Ord}(\mu x. P(x))$ 
proof (cases  $\exists i. \text{Ord}(i) \wedge P(i)$ )
case True
then obtain i where P(i) Ord(i) by auto
hence  $(\mu x. P(x)) \leq i$  by (rule Least-le)
thus ?thesis
by (elim ltE)
next
case False
hence  $(\mu x. P(x)) = 0$  by (rule Least-0)
thus ?thesis
by auto
qed

```

### 23.3 Basic Properties of Cardinals

```

lemma Least-cong:  $(\bigwedge y. P(y) \longleftrightarrow Q(y)) \implies (\mu x. P(x)) = (\mu x. Q(x))$ 
by simp

```

```

lemma cardinal-cong:  $X \approx Y \implies |X| = |Y|$ 
unfolding eqpoll-def cardinal-def
apply (rule Least-cong)
apply (blast intro: comp-bij bij-converse-bij)
done

```



**lemma** *well-ord-cardinal-epoll*:  
**assumes**  $r$ : *well-ord*( $A, r$ ) **shows**  $|A| \approx A$   
**proof** (*unfold cardinal-def*)  
**show**  $(\mu i. i \approx A) \approx A$   
**by** (*best intro: LeastI Ord-ordertype ordermap-bij bij-converse-bij bij-imp-epoll*  
 $r$ )  
**qed**

**lemmas** *Ord-cardinal-epoll* = *well-ord-Memrel* [*THEN well-ord-cardinal-epoll*]

**lemma** *Ord-cardinal-idem*: *Ord*( $A$ )  $\implies ||A|| = |A|$   
**by** (*rule Ord-cardinal-epoll* [*THEN cardinal-cong*])

**lemma** *well-ord-cardinal-epE*:  
**assumes**  $woX$ : *well-ord*( $X, r$ ) **and**  $woY$ : *well-ord*( $Y, s$ ) **and**  $eq$ :  $|X| = |Y|$   
**shows**  $X \approx Y$   
**proof** –  
**have**  $X \approx |X|$  **by** (*blast intro: well-ord-cardinal-epoll* [*OF woX*] *epoll-sym*)  
**also have**  $\dots = |Y|$  **by** (*rule eq*)  
**also have**  $\dots \approx Y$  **by** (*rule well-ord-cardinal-epoll* [*OF woY*])  
**finally show** *?thesis* .  
**qed**

**lemma** *well-ord-cardinal-epoll-iff*:  
 $\llbracket \text{well-ord}(X, r); \text{well-ord}(Y, s) \rrbracket \implies |X| = |Y| \longleftrightarrow X \approx Y$   
**by** (*blast intro: cardinal-cong well-ord-cardinal-epE*)

**lemma** *Ord-cardinal-le*: *Ord*( $i$ )  $\implies |i| \leq i$   
**unfolding** *cardinal-def*  
**apply** (*erule epoll-refl* [*THEN Least-le*])  
**done**

**lemma** *Card-cardinal-eq*: *Card*( $K$ )  $\implies |K| = K$   
**unfolding** *Card-def*  
**apply** (*erule sym*)  
**done**

**lemma** *CardI*:  $\llbracket \text{Ord}(i); \bigwedge j. j < i \implies \neg(j \approx i) \rrbracket \implies \text{Card}(i)$   
**unfolding** *Card-def cardinal-def*  
**apply** (*subst Least-equality*)  
**apply** (*blast intro: epoll-refl*)  
**done**

**lemma** *Card-is-Ord*: *Card*( $i$ )  $\implies \text{Ord}(i)$

```

  unfolding Card-def cardinal-def
  apply (erule ssubst)
  apply (rule Ord-Least)
done

```

```

lemma Card-cardinal-le:  $\text{Card}(K) \implies K \leq |K|$ 
  apply (simp (no-asm-simp) add: Card-is-Ord Card-cardinal-eq)
done

```

```

lemma Ord-cardinal [simp,intro!]:  $\text{Ord}(|A|)$ 
  unfolding cardinal-def
  apply (rule Ord-Least)
done

```

The cardinals are the initial ordinals.

```

lemma Card-iff-initial:  $\text{Card}(K) \longleftrightarrow \text{Ord}(K) \wedge (\forall j. j < K \longrightarrow \neg j \approx K)$ 
proof -
  { fix j
    assume K:  $\text{Card}(K)$   $j \approx K$ 
    assume  $j < K$ 
    also have ... =  $(\mu i. i \approx K)$  using K
    by (simp add: Card-def cardinal-def)
    finally have  $j < (\mu i. i \approx K)$  .
    hence False using K
    by (best dest: less-LeastE)
  }
  then show ?thesis
  by (blast intro: CardI Card-is-Ord)
qed

```

```

lemma lt-Card-imp-lesspoll:  $\llbracket \text{Card}(a); i < a \rrbracket \implies i < a$ 
  unfolding lesspoll-def
  apply (drule Card-iff-initial [THEN iffD1])
  apply (blast intro!: leI [THEN le-imp-lepoll])
done

```

```

lemma Card-0:  $\text{Card}(0)$ 
  apply (rule Ord-0 [THEN CardI])
  apply (blast elim!: ltE)
done

```

```

lemma Card-Un:  $\llbracket \text{Card}(K); \text{Card}(L) \rrbracket \implies \text{Card}(K \cup L)$ 
  apply (rule Ord-linear-le [of K L])
  apply (simp-all add: subset-Un-iff [THEN iffD1] Card-is-Ord le-imp-subset
    subset-Un-iff2 [THEN iffD1])
done

```

```

lemma Card-cardinal [iff]: Card( $|A|$ )
proof (unfold cardinal-def)
  show Card( $\mu i. i \approx A$ )
    proof (cases  $\exists i. \text{Ord}(i) \wedge i \approx A$ )
      case False thus ?thesis — degenerate case
      by (simp add: Least-0 Card-0)
    next
      case True — real case: A is isomorphic to some ordinal
      then obtain i where  $i: \text{Ord}(i) \ i \approx A$  by blast
      show ?thesis
        proof (rule CardI [OF Ord-Least], rule notI)
          fix j
          assume  $j: j < (\mu i. i \approx A)$ 
          assume  $j \approx (\mu i. i \approx A)$ 
          also have  $\dots \approx A$  using i by (auto intro: LeastI)
          finally have  $j \approx A$  .
          thus False
          by (rule less-LeastE [OF - j])
        qed
      qed
    qed

```

```

lemma cardinal-eq-lemma:
  assumes  $i: |i| \leq j$  and  $j: j \leq i$  shows  $|j| = |i|$ 
proof (rule eqpollI [THEN cardinal-cong])
  show  $j \lesssim i$  by (rule le-imp-lepoll [OF j])
next
  have  $Oi: \text{Ord}(i)$  using j by (rule le-Ord2)
  hence  $i \approx |i|$ 
  by (blast intro: Ord-cardinal-eqpoll eqpoll-sym)
  also have  $\dots \lesssim j$ 
  by (blast intro: le-imp-lepoll i)
  finally show  $i \lesssim j$  .
qed

```

```

lemma cardinal-mono:
  assumes  $ij: i \leq j$  shows  $|i| \leq |j|$ 
using Ord-cardinal [of i] Ord-cardinal [of j]
proof (cases rule: Ord-linear-le)
  case le thus ?thesis .
next
  case ge
  have  $i: \text{Ord}(i)$  using ij
  by (simp add: lt-Ord)
  have  $ci: |i| \leq j$ 
  by (blast intro: Ord-cardinal-le ij le-trans i)
  have  $|i| = ||i||$ 
  by (auto simp add: Ord-cardinal-idem i)

```

**also have**  $\dots = |j|$   
**by** (rule cardinal-eq-lemma [OF ge ci])  
**finally have**  $|i| = |j|$  .  
**thus** ?thesis **by** simp  
**qed**

Since we have  $|succ(nat)| \leq |nat|$ , the converse of *cardinal-mono* fails!

**lemma** cardinal-lt-imp-lt:  $\llbracket |i| < |j|; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i < j$   
**apply** (rule Ord-linear2 [of i j], assumption+)  
**apply** (erule lt-trans2 [THEN lt-irrefl])  
**apply** (erule cardinal-mono)  
**done**

**lemma** Card-lt-imp-lt:  $\llbracket |i| < K; \text{Ord}(i); \text{Card}(K) \rrbracket \implies i < K$   
**by** (simp (no-asm-simp) add: cardinal-lt-imp-lt Card-is-Ord Card-cardinal-eq)

**lemma** Card-lt-iff:  $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies (|i| < K) \longleftrightarrow (i < K)$   
**by** (blast intro: Card-lt-imp-lt Ord-cardinal-le [THEN lt-trans1])

**lemma** Card-le-iff:  $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies (K \leq |i|) \longleftrightarrow (K \leq i)$   
**by** (simp add: Card-lt-iff Card-is-Ord Ord-cardinal not-lt-iff-le [THEN iff-sym])

**lemma** well-ord-lepoll-imp-cardinal-le:  
**assumes**  $wB: \text{well-ord}(B, r)$  **and**  $AB: A \lesssim B$   
**shows**  $|A| \leq |B|$   
**using** Ord-cardinal [of A] Ord-cardinal [of B]  
**proof** (cases rule: Ord-linear-le)  
**case** le **thus** ?thesis .  
**next**  
**case** ge  
**from** lepoll-well-ord [OF AB wB]  
**obtain**  $s$  **where**  $s: \text{well-ord}(A, s)$  **by** blast  
**have**  $B \approx |B|$  **by** (blast intro: wB eqpoll-sym well-ord-cardinal-epoll)  
**also have**  $\dots \lesssim |A|$  **by** (rule le-imp-lepoll [OF ge])  
**also have**  $\dots \approx A$  **by** (rule well-ord-cardinal-epoll [OF s])  
**finally have**  $B \lesssim A$  .  
**hence**  $A \approx B$  **by** (blast intro: eqpollI AB)  
**hence**  $|A| = |B|$  **by** (rule cardinal-cong)  
**thus** ?thesis **by** simp  
**qed**

**lemma** lepoll-cardinal-le:  $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies |A| \leq i$   
**apply** (rule le-trans)  
**apply** (erule well-ord-Memrel [THEN well-ord-lepoll-imp-cardinal-le], assumption)  
**apply** (erule Ord-cardinal-le)  
**done**

**lemma** lepoll-Ord-imp-epoll:  $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies |A| \approx A$

**by** (*blast intro: lepoll-cardinal-le well-ord-Memrel well-ord-cardinal-epoll dest!: lepoll-well-ord*)

**lemma** *lesspoll-imp-epoll*:  $\llbracket A \prec i; \text{Ord}(i) \rrbracket \implies |A| \approx A$   
**unfolding** *lesspoll-def*  
**apply** (*blast intro: lepoll-Ord-imp-epoll*)  
**done**

**lemma** *cardinal-subset-Ord*:  $\llbracket A \leq i; \text{Ord}(i) \rrbracket \implies |A| \subseteq i$   
**apply** (*drule subset-imp-lepoll [THEN lepoll-cardinal-le]*)  
**apply** (*auto simp add: lt-def*)  
**apply** (*blast intro: Ord-trans*)  
**done**

## 23.4 The finite cardinals

**lemma** *cons-lepoll-consD*:  
 $\llbracket \text{cons}(u, A) \lesssim \text{cons}(v, B); u \notin A; v \notin B \rrbracket \implies A \lesssim B$   
**apply** (*unfold lepoll-def inj-def, safe*)  
**apply** (*rule-tac x =  $\lambda x \in A. \text{if } f'x=v \text{ then } f'u \text{ else } f'x$  in exI*)  
**apply** (*rule CollectI*)

**apply** (*rule if-type [THEN lam-type]*)  
**apply** (*blast dest: apply-funtype*)  
**apply** (*blast elim!: mem-irrefl dest: apply-funtype*)

**apply** (*simp (no-asm-simp)*)  
**apply** *blast*  
**done**

**lemma** *cons-epoll-consD*:  $\llbracket \text{cons}(u, A) \approx \text{cons}(v, B); u \notin A; v \notin B \rrbracket \implies A \approx B$   
**apply** (*simp add: epoll-iff*)  
**apply** (*blast intro: cons-lepoll-consD*)  
**done**

**lemma** *succ-lepoll-succD*:  $\text{succ}(m) \lesssim \text{succ}(n) \implies m \lesssim n$   
**unfolding** *succ-def*  
**apply** (*erule cons-lepoll-consD*)  
**apply** (*rule mem-not-refl*)  
**done**

**lemma** *nat-lepoll-imp-le*:  
 $m \in \text{nat} \implies n \in \text{nat} \implies m \lesssim n \implies m \leq n$   
**proof** (*induct m arbitrary: n rule: nat-induct*)  
**case** 0 **thus** ?case **by** (*blast intro!: nat-0-le*)  
**next**  
**case** (*succ m*)

```

show ?case using ⟨ $n \in \text{nat}$ ⟩
proof (cases rule: natE)
  case 0 thus ?thesis using succ
  by (simp add: lepoll-def inj-def)
next
  case (succ  $n'$ ) thus ?thesis using succ.hyps ⟨ $\text{succ}(m) \lesssim n$ ⟩
  by (blast intro!: succ-leI dest!: succ-lepoll-succD)
qed
qed

```

```

lemma nat-eqpoll-iff:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \approx n \longleftrightarrow m = n$ 
apply (rule iffI)
apply (blast intro: nat-lepoll-imp-le le-anti-sym elim!: eqpollE)
apply (simp add: eqpoll-refl)
done

```

```

lemma nat-into-Card:
  assumes  $n: n \in \text{nat}$  shows Card( $n$ )
proof (unfold Card-def cardinal-def, rule sym)
  have Ord( $n$ ) using  $n$  by auto
  moreover
  { fix  $i$ 
    assume  $i < n$   $i \approx n$ 
    hence False using  $n$ 
    by (auto simp add: lt-nat-in-nat [THEN nat-eqpoll-iff])
  }
  ultimately show  $(\mu i. i \approx n) = n$  by (auto intro!: Least-equality)
qed

```

```

lemmas cardinal-0 = nat-0I [THEN nat-into-Card, THEN Card-cardinal-eq, iff]
lemmas cardinal-1 = nat-1I [THEN nat-into-Card, THEN Card-cardinal-eq, iff]

```

```

lemma succ-lepoll-natE:  $\llbracket \text{succ}(n) \lesssim n; n \in \text{nat} \rrbracket \implies P$ 
by (rule nat-lepoll-imp-le [THEN lt-irrefl], auto)

```

```

lemma nat-lepoll-imp-ex-epoll-n:
   $\llbracket n \in \text{nat}; \text{nat} \lesssim X \rrbracket \implies \exists Y. Y \subseteq X \wedge n \approx Y$ 
  unfolding lepoll-def eqpoll-def
apply (fast del: subsetI subsetCE
  intro!: subset-SIs
  dest!: Ord-nat [THEN [2] OrdmemD, THEN [2] restrict-inj]
  elim!: restrict-bij
  inj-is-fun [THEN fun-is-rel, THEN image-subset])
done

```

**lemma** *lepoll-succ*:  $i \lesssim \text{succ}(i)$   
**by** (*blast intro: subset-imp-lepoll*)

**lemma** *lepoll-imp-lesspoll-succ*:  
**assumes**  $A: A \lesssim m$  **and**  $m: m \in \text{nat}$   
**shows**  $A \prec \text{succ}(m)$   
**proof** –  
{ **assume**  $A \approx \text{succ}(m)$   
**hence**  $\text{succ}(m) \approx A$  **by** (*rule eqpoll-sym*)  
**also have**  $\dots \lesssim m$  **by** (*rule A*)  
**finally have**  $\text{succ}(m) \lesssim m$  .  
**hence** *False* **by** (*rule succ-lepoll-natE*) (*rule m*) }  
**moreover have**  $A \lesssim \text{succ}(m)$  **by** (*blast intro: lepoll-trans A lepoll-succ*)  
**ultimately show** *?thesis* **by** (*auto simp add: lesspoll-def*)  
**qed**

**lemma** *lesspoll-succ-imp-lepoll*:  
 $\llbracket A \prec \text{succ}(m); m \in \text{nat} \rrbracket \implies A \lesssim m$   
**unfolding** *lesspoll-def lepoll-def eqpoll-def bij-def*  
**apply** (*auto dest: inj-not-surj-succ*)  
**done**

**lemma** *lesspoll-succ-iff*:  $m \in \text{nat} \implies A \prec \text{succ}(m) \longleftrightarrow A \lesssim m$   
**by** (*blast intro!: lepoll-imp-lesspoll-succ lesspoll-succ-imp-lepoll*)

**lemma** *lepoll-succ-disj*:  $\llbracket A \lesssim \text{succ}(m); m \in \text{nat} \rrbracket \implies A \lesssim m \mid A \approx \text{succ}(m)$   
**apply** (*rule disjCI*)  
**apply** (*rule lesspoll-succ-imp-lepoll*)  
**prefer** 2 **apply** *assumption*  
**apply** (*simp (no-asm-simp) add: lesspoll-def*)  
**done**

**lemma** *lesspoll-cardinal-lt*:  $\llbracket A \prec i; \text{Ord}(i) \rrbracket \implies |A| < i$   
**apply** (*unfold lesspoll-def, clarify*)  
**apply** (*frule lepoll-cardinal-le, assumption*)  
**apply** (*blast intro: well-ord-Memrel well-ord-cardinal-epoll [THEN eqpoll-sym]*  
*dest: lepoll-well-ord elim!: leE*)  
**done**

## 23.5 The first infinite cardinal: Omega, or nat

**lemma** *lt-not-lepoll*:  
**assumes**  $n: n < i$   $n \in \text{nat}$  **shows**  $\neg i \lesssim n$   
**proof** –  
{ **assume**  $i: i \lesssim n$   
**have**  $\text{succ}(n) \lesssim i$  **using**  $n$   
**by** (*elim ltE, blast intro: Ord-succ-subsetI [THEN subset-imp-lepoll]*)

also have ...  $\lesssim n$  by (rule i)  
 finally have  $\text{succ}(n) \lesssim n$  .  
 hence *False* by (rule succ-lepoll-natE) (rule n) }  
 thus ?thesis by auto  
 qed

A slightly weaker version of *nat-epoll-iff*

**lemma** *Ord-nat-epoll-iff*:  
 assumes *i*: *Ord*(*i*) and *n*:  $n \in \text{nat}$  shows  $i \approx n \longleftrightarrow i = n$   
 using *i* *nat-into-Ord* [OF *n*]  
**proof** (cases rule: *Ord-linear-lt*)  
 case *lt*  
 hence  $i \in \text{nat}$  by (rule lt-nat-in-nat) (rule *n*)  
 thus ?thesis by (simp add: nat-epoll-iff *n*)  
 next  
 case *eq*  
 thus ?thesis by (simp add: eqpoll-refl)  
 next  
 case *gt*  
 hence  $\neg i \lesssim n$  using *n* by (rule lt-not-lepoll)  
 hence  $\neg i \approx n$  using *n* by (blast intro: eqpoll-imp-lepoll)  
 moreover have  $i \neq n$  using  $\langle n < i \rangle$  by auto  
 ultimately show ?thesis by blast  
 qed

**lemma** *Card-nat*: *Card*(*nat*)  
**proof** –  
 { fix *i*  
 assume *i*:  $i < \text{nat}$   $i \approx \text{nat}$   
 hence  $\neg \text{nat} \lesssim i$   
 by (simp add: lt-def lt-not-lepoll)  
 hence *False* using *i*  
 by (simp add: eqpoll-iff)  
 }  
 hence  $(\mu i. i \approx \text{nat}) = \text{nat}$  by (blast intro: Least-equality eqpoll-refl)  
 thus ?thesis  
 by (auto simp add: Card-def cardinal-def)  
 qed

**lemma** *nat-le-cardinal*:  $\text{nat} \leq i \implies \text{nat} \leq |i|$   
**apply** (rule *Card-nat* [THEN *Card-cardinal-eq*, THEN *subst*])  
**apply** (erule *cardinal-mono*)  
**done**

**lemma** *n-lesspoll-nat*:  $n \in \text{nat} \implies n < \text{nat}$   
 by (blast intro: *Ord-nat* *Card-nat* *ltI* *lt-Card-imp-lesspoll*)



## 23.6 Towards Cardinal Arithmetic

**lemma** *cons-lepoll-cong*:

$\llbracket A \lesssim B; b \notin B \rrbracket \implies \text{cons}(a, A) \lesssim \text{cons}(b, B)$   
**apply** (*unfold lepoll-def, safe*)  
**apply** (*rule-tac*  $x = \lambda y \in \text{cons}(a, A) . \text{if } y=a \text{ then } b \text{ else } f'y \text{ in } exI$ )  
**apply** (*rule-tac*  $d = \lambda z. \text{if } z \in B \text{ then converse}(f) 'z \text{ else } a \text{ in lam-injective}$ )  
**apply** (*safe elim!; consE'*)  
**apply** *simp-all*  
**apply** (*blast intro: inj-is-fun [THEN apply-type]*) +  
**done**

**lemma** *cons-epoll-cong*:

$\llbracket A \approx B; a \notin A; b \notin B \rrbracket \implies \text{cons}(a, A) \approx \text{cons}(b, B)$   
**by** (*simp add: eqpoll-iff cons-lepoll-cong*)

**lemma** *cons-lepoll-cons-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies \text{cons}(a, A) \lesssim \text{cons}(b, B) \longleftrightarrow A \lesssim B$   
**by** (*blast intro: cons-lepoll-cong cons-lepoll-consD*)

**lemma** *cons-epoll-cons-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies \text{cons}(a, A) \approx \text{cons}(b, B) \longleftrightarrow A \approx B$   
**by** (*blast intro: cons-epoll-cong cons-epoll-consD*)

**lemma** *singleton-epoll-1*:  $\{a\} \approx 1$

**unfolding** *succ-def*  
**apply** (*blast intro!: eqpoll-refl [THEN cons-epoll-cong]*)  
**done**

**lemma** *cardinal-singleton*:  $|\{a\}| = 1$

**apply** (*rule singleton-epoll-1 [THEN cardinal-cong, THEN trans]*)  
**apply** (*simp (no-asm) add: nat-into-Card [THEN Card-cardinal-eq]*)  
**done**

**lemma** *not-0-is-lepoll-1*:  $A \neq 0 \implies 1 \lesssim A$

**apply** (*erule not-emptyE*)  
**apply** (*rule-tac*  $a = \text{cons}(x, A - \{x\}) \text{ in subst}$ )  
**apply** (*rule-tac*  $[2] a = \text{cons}(0, 0) \text{ and } P = \lambda y. y \lesssim \text{cons}(x, A - \{x\}) \text{ in subst}$ )  
**prefer** 3 **apply** (*blast intro: cons-lepoll-cong subset-imp-lepoll, auto*)  
**done**

**lemma** *succ-epoll-cong*:  $A \approx B \implies \text{succ}(A) \approx \text{succ}(B)$

**unfolding** *succ-def*  
**apply** (*simp add: cons-epoll-cong mem-not-refl*)  
**done**

**lemma** *sum-epoll-cong*:  $\llbracket A \approx C; B \approx D \rrbracket \implies A+B \approx C+D$

**unfolding** *epoll-def*

**apply** (*blast intro!*: *sum-bij*)  
**done**

**lemma** *prod-epoll-cong*:  
 $\llbracket A \approx C; B \approx D \rrbracket \implies A * B \approx C * D$   
**unfolding** *epoll-def*  
**apply** (*blast intro!*: *prod-bij*)  
**done**

**lemma** *inj-disjoint-epoll*:  
 $\llbracket f \in \text{inj}(A, B); A \cap B = 0 \rrbracket \implies A \cup (B - \text{range}(f)) \approx B$   
**unfolding** *epoll-def*  
**apply** (*rule exI*)  
**apply** (*rule-tac*  $c = \lambda x. \text{if } x \in A \text{ then } f'x \text{ else } x$   
**and**  $d = \lambda y. \text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) 'y \text{ else } y$   
**in** *lam-bijective*)  
**apply** (*blast intro!*: *if-type inj-is-fun [THEN apply-type]*)  
**apply** (*simp* (*no-asm-simp*) *add: inj-converse-fun [THEN apply-funtype]*)  
**apply** (*safe elim!*: *UnE'*)  
**apply** (*simp-all* *add: inj-is-fun [THEN apply-rangeI]*)  
**apply** (*blast intro: inj-converse-fun [THEN apply-type]*) +  
**done**

## 23.7 Lemmas by Krzysztof Grabczewski

If  $A$  has at most  $n + 1$  elements and  $a \in A$  then  $A - \{a\}$  has at most  $n$ .

**lemma** *Diff-sing-lepoll*:  
 $\llbracket a \in A; A \lesssim \text{succ}(n) \rrbracket \implies A - \{a\} \lesssim n$   
**unfolding** *succ-def*  
**apply** (*rule cons-lepoll-consD*)  
**apply** (*rule-tac*  $[\beta] \text{ mem-not-refl}$ )  
**apply** (*erule cons-Diff [THEN ssubst]*, *safe*)  
**done**

If  $A$  has at least  $n + 1$  elements then  $A - \{a\}$  has at least  $n$ .

**lemma** *lepoll-Diff-sing*:  
**assumes**  $A: \text{succ}(n) \lesssim A$  **shows**  $n \lesssim A - \{a\}$   
**proof** –  
**have**  $\text{cons}(n, n) \lesssim A$  **using**  $A$   
**by** (*unfold succ-def*)  
**also have**  $\dots \lesssim \text{cons}(a, A - \{a\})$   
**by** (*blast intro: subset-imp-lepoll*)  
**finally have**  $\text{cons}(n, n) \lesssim \text{cons}(a, A - \{a\})$  .  
**thus** *?thesis*  
**by** (*blast intro: cons-lepoll-consD mem-irrefl*)  
**qed**

**lemma** *Diff-sing-epoll*:  $\llbracket a \in A; A \approx \text{succ}(n) \rrbracket \implies A - \{a\} \approx n$

by (blast intro!: eqpollI  
 elim!: eqpollE  
 intro: Diff-sing-lepoll lepoll-Diff-sing)

lemma lepoll-1-is-sing:  $\llbracket A \lesssim 1; a \in A \rrbracket \implies A = \{a\}$   
 apply (frule Diff-sing-lepoll, assumption)  
 apply (drule lepoll-0-is-0)  
 apply (blast elim: equalityE)  
 done

lemma Un-lepoll-sum:  $A \cup B \lesssim A+B$   
 unfolding lepoll-def  
 apply (rule-tac  $x = \lambda x \in A \cup B. \text{if } x \in A \text{ then } \text{Inl } (x) \text{ else } \text{Inr } (x) \text{ in } exI$ )  
 apply (rule-tac  $d = \lambda z. \text{snd } (z) \text{ in } \text{lam-injective}$ )  
 apply force  
 apply (simp add: Inl-def Inr-def)  
 done

lemma well-ord-Un:  
 $\llbracket \text{well-ord}(X, R); \text{well-ord}(Y, S) \rrbracket \implies \exists T. \text{well-ord}(X \cup Y, T)$   
 by (erule well-ord-radd [THEN Un-lepoll-sum [THEN lepoll-well-ord]],  
 assumption)

lemma disj-Un-epoll-sum:  $A \cap B = 0 \implies A \cup B \approx A + B$   
 unfolding epoll-def  
 apply (rule-tac  $x = \lambda a \in A \cup B. \text{if } a \in A \text{ then } \text{Inl } (a) \text{ else } \text{Inr } (a) \text{ in } exI$ )  
 apply (rule-tac  $d = \lambda z. \text{case } (\lambda x. x, \lambda x. x, z) \text{ in } \text{lam-bijective}$ )  
 apply auto  
 done

## 23.8 Finite and infinite sets

lemma eqpoll-imp-Finite-iff:  $A \approx B \implies \text{Finite}(A) \longleftrightarrow \text{Finite}(B)$   
 unfolding Finite-def  
 apply (blast intro: eqpoll-trans eqpoll-sym)  
 done

lemma Finite-0 [simp]:  $\text{Finite}(0)$   
 unfolding Finite-def  
 apply (blast intro!: eqpoll-refl nat-0I)  
 done

lemma Finite-cons:  $\text{Finite}(x) \implies \text{Finite}(\text{cons}(y, x))$   
 unfolding Finite-def  
 apply (case-tac  $y \in x$ )  
 apply (simp add: cons-absorb)  
 apply (erule bexE)  
 apply (rule bexI)

**apply** (*erule-tac* [2] *nat-succI*)  
**apply** (*simp* (*no-asm-simp*) *add: succ-def cons-epoll-cong mem-not-refl*)  
**done**

**lemma** *Finite-succ*:  $Finite(x) \implies Finite(succ(x))$   
**unfolding** *succ-def*  
**apply** (*erule Finite-cons*)  
**done**

**lemma** *lepoll-nat-imp-Finite*:  
**assumes** *A*:  $A \lesssim n$  **and** *n*:  $n \in nat$  **shows**  $Finite(A)$   
**proof** –  
**have**  $A \lesssim n \implies Finite(A)$  **using** *n*  
**proof** (*induct n*)  
**case** 0  
**hence**  $A = 0$  **by** (*rule lepoll-0-is-0*)  
**thus** ?*case* **by** *simp*  
**next**  
**case** (*succ n*)  
**hence**  $A \lesssim n \vee A \approx succ(n)$  **by** (*blast dest: lepoll-succ-disj*)  
**thus** ?*case* **using** *succ* **by** (*auto simp add: Finite-def*)  
**qed**  
**thus** ?*thesis* **using** *A* .  
**qed**

**lemma** *lesspoll-nat-is-Finite*:  
 $A \prec nat \implies Finite(A)$   
**unfolding** *Finite-def*  
**apply** (*blast dest: ltD lesspoll-cardinal-lt*  
*lesspoll-imp-epoll [THEN epoll-sym]*)  
**done**

**lemma** *lepoll-Finite*:  
**assumes** *Y*:  $Y \lesssim X$  **and** *X*:  $Finite(X)$  **shows**  $Finite(Y)$   
**proof** –  
**obtain** *n* **where**  $n: n \in nat$   $X \approx n$  **using** *X*  
**by** (*auto simp add: Finite-def*)  
**have**  $Y \lesssim X$  **by** (*rule Y*)  
**also have**  $\dots \approx n$  **by** (*rule n*)  
**finally have**  $Y \lesssim n$  .  
**thus** ?*thesis* **using** *n* **by** (*simp add: lepoll-nat-imp-Finite*)  
**qed**

**lemmas** *subset-Finite* = *subset-imp-lepoll [THEN lepoll-Finite]*

**lemma** *Finite-cons-iff* [*iff*]:  $Finite(cons(y,x)) \longleftrightarrow Finite(x)$   
**by** (*blast intro: Finite-cons subset-Finite*)

**lemma** *Finite-succ-iff* [*iff*]:  $Finite(succ(x)) \longleftrightarrow Finite(x)$

**by** (*simp add: succ-def*)

**lemma** *Finite-Int*:  $Finite(A) \mid Finite(B) \implies Finite(A \cap B)$   
**by** (*blast intro: subset-Finite*)

**lemmas** *Finite-Diff* = *Diff-subset* [*THEN subset-Finite*]

**lemma** *nat-le-infinite-Ord*:  
 $\llbracket Ord(i); \neg Finite(i) \rrbracket \implies nat \leq i$   
**unfolding** *Finite-def*  
**apply** (*erule Ord-nat* [*THEN* [2] *Ord-linear2*])  
**prefer** 2 **apply** *assumption*  
**apply** (*blast intro!: eqpoll-refl elim!: ltE*)  
**done**

**lemma** *Finite-imp-well-ord*:  
 $Finite(A) \implies \exists r. well\_ord(A, r)$   
**unfolding** *Finite-def eqpoll-def*  
**apply** (*blast intro: well-ord-rvimage bij-is-inj well-ord-Memrel nat-into-Ord*)  
**done**

**lemma** *succ-lepoll-imp-not-empty*:  $succ(x) \lesssim y \implies y \neq 0$   
**by** (*fast dest!: lepoll-0-is-0*)

**lemma** *eqpoll-succ-imp-not-empty*:  $x \approx succ(n) \implies x \neq 0$   
**by** (*fast elim!: eqpoll-sym* [*THEN eqpoll-0-is-0*, *THEN succ-neq-0*])

**lemma** *Finite-Fin-lemma* [*rule-format*]:  
 $n \in nat \implies \forall A. (A \approx n \wedge A \subseteq X) \longrightarrow A \in Fin(X)$   
**apply** (*induct-tac n*)  
**apply** (*rule allI*)  
**apply** (*fast intro!: Fin.emptyI dest!: eqpoll-imp-lepoll* [*THEN lepoll-0-is-0*])  
**apply** (*rule allI*)  
**apply** (*rule impI*)  
**apply** (*erule conjE*)  
**apply** (*rule eqpoll-succ-imp-not-empty* [*THEN not-emptyE*], *assumption*)  
**apply** (*frule Diff-sing-eqpoll*, *assumption*)  
**apply** (*erule allE*)  
**apply** (*erule impE*, *fast*)  
**apply** (*drule subsetD*, *assumption*)  
**apply** (*drule Fin.consI*, *assumption*)  
**apply** (*simp add: cons-Diff*)  
**done**

**lemma** *Finite-Fin*:  $\llbracket Finite(A); A \subseteq X \rrbracket \implies A \in Fin(X)$   
**by** (*unfold Finite-def*, *blast intro: Finite-Fin-lemma*)

**lemma** *Fin-lemma* [*rule-format*]:  $n \in nat \implies \forall A. A \approx n \longrightarrow A \in Fin(A)$   
**apply** (*induct-tac n*)

```

apply (simp add: eqpoll-0-iff, clarify)
apply (subgoal-tac  $\exists u. u \in A$ )
apply (erule exE)
apply (rule Diff-sing-eqpoll [elim-format])
prefer 2 apply assumption
apply assumption
apply (rule-tac  $b = A$  in cons-Diff [THEN subst], assumption)
apply (rule Fin.consI, blast)
apply (blast intro: subset-consI [THEN Fin-mono, THEN subsetD])

  unfolding eqpoll-def
apply (blast intro: bij-converse-bij [THEN bij-is-fun, THEN apply-type])
done

lemma Finite-into-Fin:  $\text{Finite}(A) \implies A \in \text{Fin}(A)$ 
  unfolding Finite-def
apply (blast intro: Fin-lemma)
done

lemma Fin-into-Finite:  $A \in \text{Fin}(U) \implies \text{Finite}(A)$ 
by (fast intro!: Finite-0 Finite-cons elim: Fin-induct)

lemma Finite-Fin-iff:  $\text{Finite}(A) \longleftrightarrow A \in \text{Fin}(A)$ 
by (blast intro: Finite-into-Fin Fin-into-Finite)

lemma Finite-Un:  $\llbracket \text{Finite}(A); \text{Finite}(B) \rrbracket \implies \text{Finite}(A \cup B)$ 
by (blast intro!: Fin-into-Finite Fin-UnI
  dest!: Finite-into-Fin
  intro: Un-upper1 [THEN Fin-mono, THEN subsetD]
  Un-upper2 [THEN Fin-mono, THEN subsetD])

lemma Finite-Un-iff [simp]:  $\text{Finite}(A \cup B) \longleftrightarrow (\text{Finite}(A) \wedge \text{Finite}(B))$ 
by (blast intro: subset-Finite Finite-Un)

The converse must hold too.

lemma Finite-Union:  $\llbracket \forall y \in X. \text{Finite}(y); \text{Finite}(X) \rrbracket \implies \text{Finite}(\bigcup(X))$ 
apply (simp add: Finite-Fin-iff)
apply (rule Fin-UnionI)
apply (erule Fin-induct, simp)
apply (blast intro: Fin.consI Fin-mono [THEN [2] rev-subsetD])
done

lemma Finite-induct [case-names 0 cons, induct set: Finite]:
 $\llbracket \text{Finite}(A); P(0);$ 
 $\bigwedge x B. \llbracket \text{Finite}(B); x \notin B; P(B) \rrbracket \implies P(\text{cons}(x, B)) \rrbracket$ 
 $\implies P(A)$ 
apply (erule Finite-into-Fin [THEN Fin-induct])
apply (blast intro: Fin-into-Finite)+

```

done

**lemma** *Diff-sing-Finite*:  $Finite(A - \{a\}) \implies Finite(A)$   
**unfolding** *Finite-def*  
**apply** (*case-tac*  $a \in A$ )  
**apply** (*subgoal-tac* [2]  $A - \{a\} = A$ , *auto*)  
**apply** (*rule-tac*  $x = succ\ (n)$  **in** *bexI*)  
**apply** (*subgoal-tac*  $cons\ (a, A - \{a\}) = A \wedge cons\ (n, n) = succ\ (n)$ )  
**apply** (*drule-tac*  $a = a$  **and**  $b = n$  **in** *cons-egpoll-cong*)  
**apply** (*auto dest: mem-irrefl*)  
done

**lemma** *Diff-Finite* [*rule-format*]:  $Finite(B) \implies Finite(A - B) \longrightarrow Finite(A)$   
**apply** (*erule Finite-induct, auto*)  
**apply** (*case-tac*  $x \in A$ )  
**apply** (*subgoal-tac* [2]  $A - cons\ (x, B) = A - B$ )  
**apply** (*subgoal-tac*  $A - cons\ (x, B) = (A - B) - \{x\}$ , *simp*)  
**apply** (*drule Diff-sing-Finite, auto*)  
done

**lemma** *Finite-RepFun*:  $Finite(A) \implies Finite(RepFun(A, f))$   
**by** (*erule Finite-induct, simp-all*)

**lemma** *Finite-RepFun-iff-lemma* [*rule-format*]:  

$$\llbracket Finite(x); \bigwedge x\ y. f(x)=f(y) \implies x=y \rrbracket$$

$$\implies \forall A. x = RepFun(A, f) \longrightarrow Finite(A)$$
  
**apply** (*erule Finite-induct*)  
**apply** *clarify*  
**apply** (*case-tac*  $A=0$ , *simp*)  
**apply** (*blast del: allE, clarify*)  
**apply** (*subgoal-tac*  $\exists z \in A. x = f(z)$ )  
**prefer** 2 **apply** (*blast del: allE elim: equalityE, clarify*)  
**apply** (*subgoal-tac*  $B = \{f(u) . u \in A - \{z\}\}$ )  
**apply** (*blast intro: Diff-sing-Finite*)  
**apply** (*thin-tac*  $\forall A. P(A) \longrightarrow Finite(A)$  **for**  $P$ )  
**apply** (*rule equalityI*)  
**apply** (*blast intro: elim: equalityE*)  
**apply** (*blast intro: elim: equalityCE*)  
done

I don't know why, but if the premise is expressed using meta-connectives then the simplifier cannot prove it automatically in conditional rewriting.

**lemma** *Finite-RepFun-iff*:  

$$(\forall x\ y. f(x)=f(y) \longrightarrow x=y) \implies Finite(RepFun(A, f)) \longleftrightarrow Finite(A)$$
  
**by** (*blast intro: Finite-RepFun Finite-RepFun-iff-lemma [of - f]*)

**lemma** *Finite-Pow*:  $Finite(A) \implies Finite(Pow(A))$

```

apply (erule Finite-induct)
apply (simp-all add: Pow-insert Finite-Un Finite-RepFun)
done

lemma Finite-Pow-imp-Finite:  $Finite(Pow(A)) \implies Finite(A)$ 
apply (subgoal-tac Finite({{x} . x ∈ A}))
apply (simp add: Finite-RepFun-iff)
apply (blast intro: subset-Finite)
done

lemma Finite-Pow-iff [iff]:  $Finite(Pow(A)) \longleftrightarrow Finite(A)$ 
by (blast intro: Finite-Pow Finite-Pow-imp-Finite)

lemma Finite-cardinal-iff:
  assumes i:  $Ord(i)$  shows  $Finite(|i|) \longleftrightarrow Finite(i)$ 
  by (auto simp add: Finite-def) (blast intro: eqpoll-trans eqpoll-sym Ord-cardinal-eqpoll
    [OF i])+

lemma nat-wf-on-converse-Memrel:  $n \in nat \implies wf[n](converse(Memrel(n)))$ 
proof (induct n rule: nat-induct)
  case 0 thus ?case by (blast intro: wf-onI)
next
  case (succ x)
  hence wfx:  $\bigwedge Z. Z = 0 \vee (\exists z \in Z. \forall y. z \in y \wedge z \in x \wedge y \in x \wedge z \in x \longrightarrow y \notin Z)$ 
  by (simp add: wf-on-def wf-def) — not easy to erase the duplicate  $z \in x$ !
  show ?case
  proof (rule wf-onI)
    fix Z u
    assume Z:  $u \in Z \wedge \forall z \in Z. \exists y \in Z. \langle y, z \rangle \in converse(Memrel(succ(x)))$ 
    show False
    proof (cases x ∈ Z)
      case True thus False using Z
      by (blast elim: mem-irrefl mem-asym)
      next
      case False thus False using wfx [of Z] Z
      by blast
    qed
  qed
qed

lemma nat-well-ord-converse-Memrel:  $n \in nat \implies well\_ord(n, converse(Memrel(n)))$ 
apply (frule Ord-nat [THEN Ord-in-Ord, THEN well-ord-Memrel])
apply (simp add: well-ord-def tot-ord-converse nat-wf-on-converse-Memrel)
done

```



```

lemma well-ord-converse:
  
$$\llbracket \text{well-ord}(A, r);$$


$$\text{well-ord}(\text{ordertype}(A, r), \text{converse}(\text{Memrel}(\text{ordertype}(A, r)))) \rrbracket$$


$$\implies \text{well-ord}(A, \text{converse}(r))$$

apply (rule well-ord-Int-iff [THEN iffD1])
apply (frule ordermap-bij [THEN bij-is-inj, THEN well-ord-rvimage], assumption)
apply (simp add: rvimage-converse converse-Int converse-prod
  ordertype-ord-iso [THEN ord-iso-rvimage-eq])
done

lemma ordertype-eq-n:
  assumes r: well-ord(A, r) and A:  $A \approx n$  and n:  $n \in \text{nat}$ 
  shows ordertype(A, r) = n
proof –
  have ordertype(A, r)  $\approx A$ 
    by (blast intro: bij-imp-eqpoll bij-converse-bij ordermap-bij r)
  also have ...  $\approx n$  by (rule A)
  finally have ordertype(A, r)  $\approx n$  .
  thus ?thesis
    by (simp add: Ord-nat-eqpoll-iff Ord-ordertype n r)
qed

lemma Finite-well-ord-converse:
  
$$\llbracket \text{Finite}(A); \text{well-ord}(A, r) \rrbracket \implies \text{well-ord}(A, \text{converse}(r))$$

  unfolding Finite-def
apply (rule well-ord-converse, assumption)
apply (blast dest: ordertype-eq-n intro!: nat-well-ord-converse-Memrel)
done

lemma nat-into-Finite:  $n \in \text{nat} \implies \text{Finite}(n)$ 
  by (auto simp add: Finite-def intro: eqpoll-refl)

lemma nat-not-Finite:  $\neg \text{Finite}(\text{nat})$ 
proof –
  { fix n
    assume n:  $n \in \text{nat}$   $\text{nat} \approx n$ 
    have  $n \in \text{nat}$  by (rule n)
    also have ... = n using n
      by (simp add: Ord-nat-eqpoll-iff Ord-nat)
    finally have  $n \in n$  .
    hence False
      by (blast elim: mem-irrefl)
  }
  thus ?thesis
    by (auto simp add: Finite-def)
qed

end

```

## 24 The Cumulative Hierarchy and a Small Universe for Recursive Types

**theory** *Univ* **imports** *Epsilon Cardinal* **begin**

**definition**

$Vfrom \quad :: [i, i] \Rightarrow i$  **where**  
 $Vfrom(A, i) \equiv transrec(i, \lambda x f. A \cup (\bigcup y \in x. Pow(f'y)))$

**abbreviation**

$Vset :: i \Rightarrow i$  **where**  
 $Vset(x) \equiv Vfrom(0, x)$

**definition**

$Vrec \quad :: [i, [i, i] \Rightarrow i] \Rightarrow i$  **where**  
 $Vrec(a, H) \equiv transrec(rank(a), \lambda x g. \lambda z \in Vset(succ(x)).$   
 $\quad H(z, \lambda w \in Vset(x). g'rank(w)'w)) \text{ ' } a$

**definition**

$Vrecursor :: [[i, i] \Rightarrow i, i] \Rightarrow i$  **where**  
 $Vrecursor(H, a) \equiv transrec(rank(a), \lambda x g. \lambda z \in Vset(succ(x)).$   
 $\quad H(\lambda w \in Vset(x). g'rank(w)'w, z)) \text{ ' } a$

**definition**

$univ \quad :: i \Rightarrow i$  **where**  
 $univ(A) \equiv Vfrom(A, nat)$

### 24.1 Immediate Consequences of the Definition of $Vfrom(A, i)$

NOT SUITABLE FOR REWRITING – RECURSIVE!

**lemma**  $Vfrom$ :  $Vfrom(A, i) = A \cup (\bigcup j \in i. Pow(Vfrom(A, j)))$   
**by** (*subst*  $Vfrom$ -def [*THEN* *def-transrec*], *simp*)

#### 24.1.1 Monotonicity

**lemma**  $Vfrom$ -mono [*rule-format*]:

$A \leq B \implies \forall j. i \leq j \longrightarrow Vfrom(A, i) \subseteq Vfrom(B, j)$

**apply** (*rule-tac*  $a=i$  **in** *eps-induct*)

**apply** (*rule impI* [*THEN allI*])

**apply** (*subst*  $Vfrom$  [*of*  $A$ ])

**apply** (*subst*  $Vfrom$  [*of*  $B$ ])

**apply** (*erule* *Un-mono*)

**apply** (*erule* *UN-mono*, *blast*)

**done**

**lemma**  $VfromI$ :  $\llbracket a \in Vfrom(A, j); j < i \rrbracket \implies a \in Vfrom(A, i)$

**by** (*blast* *dest*:  $Vfrom$ -mono [*OF* *subset-refl* *le-imp-subset* [*OF* *leI*]])

### 24.1.2 A fundamental equality: Vfrom does not require ordinals!

**lemma** *Vfrom-rank-subset1*:  $Vfrom(A, x) \subseteq Vfrom(A, rank(x))$

**proof** (*induct x rule: eps-induct*)

**fix**  $x$

**assume**  $\forall y \in x. Vfrom(A, y) \subseteq Vfrom(A, rank(y))$

**thus**  $Vfrom(A, x) \subseteq Vfrom(A, rank(x))$

**by** (*simp add: Vfrom [of - x] Vfrom [of - rank(x)],*  
*blast intro!: rank-lt [THEN ltD]*)

**qed**

**lemma** *Vfrom-rank-subset2*:  $Vfrom(A, rank(x)) \subseteq Vfrom(A, x)$

**apply** (*rule-tac a=x in eps-induct*)

**apply** (*subst Vfrom*)

**apply** (*subst Vfrom, rule subset-refl [THEN Un-mono]*)

**apply** (*rule UN-least*)

**expand**  $rank(x1) = (\bigcup y \in x1. succ(rank(y)))$  in assumptions

**apply** (*erule rank [THEN equalityD1, THEN subsetD, THEN UN-E]*)

**apply** (*rule subset-trans*)

**apply** (*erule-tac [2] UN-upper*)

**apply** (*rule subset-refl [THEN Vfrom-mono, THEN subset-trans, THEN Pow-mono]*)

**apply** (*erule ltI [THEN le-imp-subset]*)

**apply** (*rule Ord-rank [THEN Ord-succ]*)

**apply** (*erule bspec, assumption*)

**done**

**lemma** *Vfrom-rank-eq*:  $Vfrom(A, rank(x)) = Vfrom(A, x)$

**apply** (*rule equalityI*)

**apply** (*rule Vfrom-rank-subset2*)

**apply** (*rule Vfrom-rank-subset1*)

**done**

## 24.2 Basic Closure Properties

**lemma** *zero-in-Vfrom*:  $y:x \implies 0 \in Vfrom(A, x)$

**by** (*subst Vfrom, blast*)

**lemma** *i-subset-Vfrom*:  $i \subseteq Vfrom(A, i)$

**apply** (*rule-tac a=i in eps-induct*)

**apply** (*subst Vfrom, blast*)

**done**

**lemma** *A-subset-Vfrom*:  $A \subseteq Vfrom(A, i)$

**apply** (*subst Vfrom*)

**apply** (*rule Un-upper1*)

**done**

**lemmas** *A-into-Vfrom* = *A-subset-Vfrom* [*THEN subsetD*]

**lemma** *subset-mem-Vfrom*:  $a \subseteq Vfrom(A, i) \implies a \in Vfrom(A, succ(i))$   
**by** (*subst Vfrom, blast*)

### 24.2.1 Finite sets and ordered pairs

**lemma** *singleton-in-Vfrom*:  $a \in Vfrom(A, i) \implies \{a\} \in Vfrom(A, succ(i))$   
**by** (*rule subset-mem-Vfrom, safe*)

**lemma** *doubleton-in-Vfrom*:  
 $\llbracket a \in Vfrom(A, i); b \in Vfrom(A, i) \rrbracket \implies \{a, b\} \in Vfrom(A, succ(i))$   
**by** (*rule subset-mem-Vfrom, safe*)

**lemma** *Pair-in-Vfrom*:  
 $\llbracket a \in Vfrom(A, i); b \in Vfrom(A, i) \rrbracket \implies \langle a, b \rangle \in Vfrom(A, succ(succ(i)))$   
**unfolding** *Pair-def*  
**apply** (*blast intro: doubleton-in-Vfrom*)  
**done**

**lemma** *succ-in-Vfrom*:  $a \subseteq Vfrom(A, i) \implies succ(a) \in Vfrom(A, succ(succ(i)))$   
**apply** (*intro subset-mem-Vfrom succ-subsetI, assumption*)  
**apply** (*erule subset-trans*)  
**apply** (*rule Vfrom-mono [OF subset-refl subset-succI]*)  
**done**

### 24.3 0, Successor and Limit Equations for *Vfrom*

**lemma** *Vfrom-0*:  $Vfrom(A, 0) = A$   
**by** (*subst Vfrom, blast*)

**lemma** *Vfrom-succ-lemma*:  $Ord(i) \implies Vfrom(A, succ(i)) = A \cup Pow(Vfrom(A, i))$   
**apply** (*rule Vfrom [THEN trans]*)  
**apply** (*rule equalityI [THEN subst-context,*  
 $OF - succI1 [THEN RepFunI, THEN Union-upper]]$ )  
**apply** (*rule UN-least*)  
**apply** (*rule subset-refl [THEN Vfrom-mono, THEN Pow-mono]*)  
**apply** (*erule ltI [THEN le-imp-subset]*)  
**apply** (*erule Ord-succ*)  
**done**

**lemma** *Vfrom-succ*:  $Vfrom(A, succ(i)) = A \cup Pow(Vfrom(A, i))$   
**apply** (*rule-tac x1 = succ (i) in Vfrom-rank-eq [THEN subst]*)  
**apply** (*rule-tac x1 = i in Vfrom-rank-eq [THEN subst]*)  
**apply** (*subst rank-succ*)  
**apply** (*rule Ord-rank [THEN Vfrom-succ-lemma]*)  
**done**

**lemma** *Vfrom-Union*:  $y:X \implies Vfrom(A, \bigcup(X)) = (\bigcup_{y \in X}. Vfrom(A, y))$   
**apply** (*subst Vfrom*)  
**apply** (*rule equalityI*)

first inclusion

```

apply (rule Un-least)
apply (rule A-subset-Vfrom [THEN subset-trans])
apply (rule UN-upper, assumption)
apply (rule UN-least)
apply (erule UnionE)
apply (rule subset-trans)
apply (erule-tac [2] UN-upper,
      subst Vfrom, erule subset-trans [OF UN-upper Un-upper2])

```

opposite inclusion

```

apply (rule UN-least)
apply (subst Vfrom, blast)
done

```

## 24.4 *Vfrom* applied to Limit Ordinals

**lemma** *Limit-Vfrom-eq*:

```

       $\text{Limit}(i) \implies \text{Vfrom}(A, i) = (\bigcup_{y \in i} \text{Vfrom}(A, y))$ 
apply (rule Limit-has-0 [THEN ltD, THEN Vfrom-Union, THEN subst], assumption)
apply (simp add: Limit-Union-eq)
done

```

**lemma** *Limit-VfromE*:

```

       $\llbracket a \in \text{Vfrom}(A, i); \neg R \implies \text{Limit}(i);$ 
       $\bigwedge x. \llbracket x < i; a \in \text{Vfrom}(A, x) \rrbracket \implies R$ 
 $\rrbracket \implies R$ 
apply (rule classical)
apply (rule Limit-Vfrom-eq [THEN equalityD1, THEN subsetD, THEN UN-E])
      prefer 2 apply assumption
apply blast
apply (blast intro: ltI Limit-is-Ord)
done

```

**lemma** *singleton-in-VLimit*:

```

       $\llbracket a \in \text{Vfrom}(A, i); \text{Limit}(i) \rrbracket \implies \{a\} \in \text{Vfrom}(A, i)$ 
apply (erule Limit-VfromE, assumption)
apply (erule singleton-in-Vfrom [THEN VfromI])
apply (blast intro: Limit-has-succ)
done

```

**lemmas** *Vfrom-UnI1* =

*Un-upper1* [*THEN subset-refl* [*THEN Vfrom-mono*, *THEN subsetD*]]

**lemmas** *Vfrom-UnI2* =

*Un-upper2* [*THEN subset-refl* [*THEN Vfrom-mono*, *THEN subsetD*]]

Hard work is finding a single  $j:i$  such that  $a, b \leq \text{Vfrom}(A, j)$

**lemma** *doubleton-in-VLimit*:

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i) \rrbracket \implies \{a,b\} \in Vfrom(A,i)$   
**apply** (*erule Limit-VfromE, assumption*)  
**apply** (*erule Limit-VfromE, assumption*)  
**apply** (*blast intro: VfromI [OF doubleton-in-Vfrom]*  
 $Vfrom-UnI1 \ Vfrom-UnI2 \ Limit-has-succ \ Un-least-lt$ )  
**done**

**lemma** *Pair-in-VLimit:*

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i) \rrbracket \implies \langle a,b \rangle \in Vfrom(A,i)$

Infer that  $a, b$  occur at ordinals  $x, x_a < i$ .

**apply** (*erule Limit-VfromE, assumption*)  
**apply** (*erule Limit-VfromE, assumption*)

Infer that  $succ(succ(x \cup x_a)) < i$

**apply** (*blast intro: VfromI [OF Pair-in-Vfrom]*  
 $Vfrom-UnI1 \ Vfrom-UnI2 \ Limit-has-succ \ Un-least-lt$ )  
**done**

**lemma** *product-VLimit:*  $Limit(i) \implies Vfrom(A,i) * Vfrom(A,i) \subseteq Vfrom(A,i)$   
**by** (*blast intro: Pair-in-VLimit*)

**lemmas** *Sigma-subset-VLimit =*

*subset-trans [OF Sigma-mono product-VLimit]*

**lemmas** *nat-subset-VLimit =*

*subset-trans [OF nat-le-Limit [THEN le-imp-subset] i-subset-Vfrom]*

**lemma** *nat-into-VLimit:*  $\llbracket n: nat; Limit(i) \rrbracket \implies n \in Vfrom(A,i)$   
**by** (*blast intro: nat-subset-VLimit [THEN subsetD]*)

#### 24.4.1 Closure under Disjoint Union

**lemmas** *zero-in-VLimit = Limit-has-0 [THEN ltD, THEN zero-in-Vfrom]*

**lemma** *one-in-VLimit:*  $Limit(i) \implies 1 \in Vfrom(A,i)$   
**by** (*blast intro: nat-into-VLimit*)

**lemma** *Inl-in-VLimit:*

$\llbracket a \in Vfrom(A,i); Limit(i) \rrbracket \implies Inl(a) \in Vfrom(A,i)$

**unfolding** *Inl-def*

**apply** (*blast intro: zero-in-VLimit Pair-in-VLimit*)  
**done**

**lemma** *Inr-in-VLimit:*

$\llbracket b \in Vfrom(A,i); Limit(i) \rrbracket \implies Inr(b) \in Vfrom(A,i)$

**unfolding** *Inr-def*

**apply** (*blast intro: one-in-VLimit Pair-in-VLimit*)  
**done**

**lemma** *sum-VLimit*:  $\text{Limit}(i) \implies \text{Vfrom}(C, i) + \text{Vfrom}(C, i) \subseteq \text{Vfrom}(C, i)$   
**by** (*blast intro!*: *Inl-in-VLimit Inr-in-VLimit*)

**lemmas** *sum-subset-VLimit* = *subset-trans* [*OF sum-mono sum-VLimit*]

## 24.5 Properties assuming $\text{Transset}(A)$

**lemma** *Transset-Vfrom*:  $\text{Transset}(A) \implies \text{Transset}(\text{Vfrom}(A, i))$   
**apply** (*rule-tac a=i in eps-induct*)  
**apply** (*subst Vfrom*)  
**apply** (*blast intro!*: *Transset-Union-family Transset-Un Transset-Pow*)  
**done**

**lemma** *Transset-Vfrom-succ*:  
 $\text{Transset}(A) \implies \text{Vfrom}(A, \text{succ}(i)) = \text{Pow}(\text{Vfrom}(A, i))$   
**apply** (*rule Vfrom-succ [THEN trans]*)  
**apply** (*rule equalityI [OF - Un-upper2]*)  
**apply** (*rule Un-least [OF - subset-refl]*)  
**apply** (*rule A-subset-Vfrom [THEN subset-trans]*)  
**apply** (*erule Transset-Vfrom [THEN Transset-iff-Pow [THEN iffD1]]*)  
**done**

**lemma** *Transset-Pair-subset*:  $\llbracket \langle a, b \rangle \subseteq C; \text{Transset}(C) \rrbracket \implies a: C \wedge b: C$   
**by** (*unfold Pair-def Transset-def, blast*)

**lemma** *Transset-Pair-subset-VLimit*:  
 $\llbracket \langle a, b \rangle \subseteq \text{Vfrom}(A, i); \text{Transset}(A); \text{Limit}(i) \rrbracket$   
 $\implies \langle a, b \rangle \in \text{Vfrom}(A, i)$   
**apply** (*erule Transset-Pair-subset [THEN conjE]*)  
**apply** (*erule Transset-Vfrom*)  
**apply** (*blast intro: Pair-in-VLimit*)  
**done**

**lemma** *Union-in-Vfrom*:  
 $\llbracket X \in \text{Vfrom}(A, j); \text{Transset}(A) \rrbracket \implies \bigcup(X) \in \text{Vfrom}(A, \text{succ}(j))$   
**apply** (*drule Transset-Vfrom*)  
**apply** (*rule subset-mem-Vfrom*)  
**apply** (*unfold Transset-def, blast*)  
**done**

**lemma** *Union-in-VLimit*:  
 $\llbracket X \in \text{Vfrom}(A, i); \text{Limit}(i); \text{Transset}(A) \rrbracket \implies \bigcup(X) \in \text{Vfrom}(A, i)$   
**apply** (*rule Limit-VfromE, assumption+*)  
**apply** (*blast intro: Limit-has-succ VfromI Union-in-Vfrom*)  
**done**

General theorem for membership in  $\text{Vfrom}(A, i)$  when  $i$  is a limit ordinal

**lemma** *in-VLimit*:

$$\begin{aligned}
& \llbracket a \in V_{\text{from}}(A, i); \ b \in V_{\text{from}}(A, i); \ \text{Limit}(i); \\
& \quad \wedge x \ y \ j. \ \llbracket j < i; \ 1:j; \ x \in V_{\text{from}}(A, j); \ y \in V_{\text{from}}(A, j) \rrbracket \\
& \quad \implies \exists k. \ h(x, y) \in V_{\text{from}}(A, k) \wedge k < i \rrbracket \\
& \implies h(a, b) \in V_{\text{from}}(A, i)
\end{aligned}$$

Infer that a, b occur at ordinals x, xa < i.

```

apply (erule Limit-VfromE, assumption)
apply (erule Limit-VfromE, assumption, atomize)
apply (drule-tac x=a in spec)
apply (drule-tac x=b in spec)
apply (drule-tac x=x ∪ xa ∪ 2 in spec)
apply (simp add: Un-least-lt-iff lt-Ord Vfrom-UnI1 Vfrom-UnI2)
apply (blast intro: Limit-has-0 Limit-has-succ VfromI)
done

```

### 24.5.1 Products

```

lemma prod-in-Vfrom:
  
$$\begin{aligned}
& \llbracket a \in V_{\text{from}}(A, j); \ b \in V_{\text{from}}(A, j); \ \text{Transset}(A) \rrbracket \\
& \implies a * b \in V_{\text{from}}(A, \text{succ}(\text{succ}(\text{succ}(j))))
\end{aligned}$$

apply (drule Transset-Vfrom)
apply (rule subset-mem-Vfrom)
  unfolding Transset-def
apply (blast intro: Pair-in-Vfrom)
done

```

```

lemma prod-in-VLimit:
  
$$\begin{aligned}
& \llbracket a \in V_{\text{from}}(A, i); \ b \in V_{\text{from}}(A, i); \ \text{Limit}(i); \ \text{Transset}(A) \rrbracket \\
& \implies a * b \in V_{\text{from}}(A, i)
\end{aligned}$$

apply (erule in-VLimit, assumption+)
apply (blast intro: prod-in-Vfrom Limit-has-succ)
done

```

### 24.5.2 Disjoint Sums, or Quine Ordered Pairs

```

lemma sum-in-Vfrom:
  
$$\begin{aligned}
& \llbracket a \in V_{\text{from}}(A, j); \ b \in V_{\text{from}}(A, j); \ \text{Transset}(A); \ 1:j \rrbracket \\
& \implies a + b \in V_{\text{from}}(A, \text{succ}(\text{succ}(\text{succ}(j))))
\end{aligned}$$

  unfolding sum-def
apply (drule Transset-Vfrom)
apply (rule subset-mem-Vfrom)
  unfolding Transset-def
apply (blast intro: zero-in-Vfrom Pair-in-Vfrom i-subset-Vfrom [THEN subsetD])
done

```

```

lemma sum-in-VLimit:
  
$$\begin{aligned}
& \llbracket a \in V_{\text{from}}(A, i); \ b \in V_{\text{from}}(A, i); \ \text{Limit}(i); \ \text{Transset}(A) \rrbracket \\
& \implies a + b \in V_{\text{from}}(A, i)
\end{aligned}$$

apply (erule in-VLimit, assumption+)
apply (blast intro: sum-in-Vfrom Limit-has-succ)

```



done

### 24.5.3 Function Space!

**lemma** *fun-in-Vfrom*:  

$$\llbracket a \in Vfrom(A, j); \ b \in Vfrom(A, j); \ Transset(A) \rrbracket \implies$$

$$a \multimap b \in Vfrom(A, succ(succ(succ(succ(j)))))$$
**unfolding** *Pi-def*  
**apply** (*drule Transset-Vfrom*)  
**apply** (*rule subset-mem-Vfrom*)  
**apply** (*rule Collect-subset [THEN subset-trans]*)  
**apply** (*subst Vfrom*)  
**apply** (*rule subset-trans [THEN subset-trans]*)  
**apply** (*rule-tac [3] Un-upper2*)  
**apply** (*rule-tac [2] succI1 [THEN UN-upper]*)  
**apply** (*rule Pow-mono*)  
**unfolding** *Transset-def*  
**apply** (*blast intro: Pair-in-Vfrom*)  
done

**lemma** *fun-in-VLimit*:  

$$\llbracket a \in Vfrom(A, i); \ b \in Vfrom(A, i); \ Limit(i); \ Transset(A) \rrbracket$$

$$\implies a \multimap b \in Vfrom(A, i)$$
**apply** (*erule in-VLimit, assumption+*)  
**apply** (*blast intro: fun-in-Vfrom Limit-has-succ*)  
done

**lemma** *Pow-in-Vfrom*:  

$$\llbracket a \in Vfrom(A, j); \ Transset(A) \rrbracket \implies Pow(a) \in Vfrom(A, succ(succ(j)))$$
**apply** (*drule Transset-Vfrom*)  
**apply** (*rule subset-mem-Vfrom*)  
**unfolding** *Transset-def*  
**apply** (*subst Vfrom, blast*)  
done

**lemma** *Pow-in-VLimit*:  

$$\llbracket a \in Vfrom(A, i); \ Limit(i); \ Transset(A) \rrbracket \implies Pow(a) \in Vfrom(A, i)$$
**by** (*blast elim: Limit-VfromE intro: Limit-has-succ Pow-in-Vfrom VfromI*)

## 24.6 The Set $Vset(i)$

**lemma** *Vset*:  $Vset(i) = (\bigcup j \in i. Pow(Vset(j)))$   
**by** (*subst Vfrom, blast*)

**lemmas** *Vset-succ* = *Transset-0 [THEN Transset-Vfrom-succ]*  
**lemmas** *Transset-Vset* = *Transset-0 [THEN Transset-Vfrom]*

### 24.6.1 Characterisation of the elements of $Vset(i)$

**lemma** *VsetD* [*rule-format*]:  $Ord(i) \implies \forall b. b \in Vset(i) \longrightarrow rank(b) < i$

```

apply (erule trans-induct)
apply (subst Vset, safe)
apply (subst rank)
apply (blast intro: ltI UN-succ-least-lt)
done

```

```

lemma VsetI-lemma [rule-format]:
   $\text{Ord}(i) \implies \forall b. \text{rank}(b) \in i \longrightarrow b \in \text{Vset}(i)$ 
apply (erule trans-induct)
apply (rule allI)
apply (subst Vset)
apply (blast intro!: rank-lt [THEN ltD])
done

```

```

lemma VsetI:  $\text{rank}(x) < i \implies x \in \text{Vset}(i)$ 
by (blast intro: VsetI-lemma elim: ltE)

```

Merely a lemma for the next result

```

lemma Vset-Ord-rank-iff:  $\text{Ord}(i) \implies b \in \text{Vset}(i) \longleftrightarrow \text{rank}(b) < i$ 
by (blast intro: VsetD VsetI)

```

```

lemma Vset-rank-iff [simp]:  $b \in \text{Vset}(a) \longleftrightarrow \text{rank}(b) < \text{rank}(a)$ 
apply (rule Vfrom-rank-eq [THEN subst])
apply (rule Ord-rank [THEN Vset-Ord-rank-iff])
done

```

This is  $\text{rank}(\text{rank}(a)) = \text{rank}(a)$

```

declare Ord-rank [THEN rank-of-Ord, simp]

```

```

lemma rank-Vset:  $\text{Ord}(i) \implies \text{rank}(\text{Vset}(i)) = i$ 
apply (subst rank)
apply (rule equalityI, safe)
apply (blast intro: VsetD [THEN ltD])
apply (blast intro: VsetD [THEN ltD] Ord-trans)
apply (blast intro: i-subset-Vfrom [THEN subsetD])
      Ord-in-Ord [THEN rank-of-Ord, THEN ssubst])
done

```

```

lemma Finite-Vset:  $i \in \text{nat} \implies \text{Finite}(\text{Vset}(i))$ 
apply (erule nat-induct)
  apply (simp add: Vfrom-0)
apply (simp add: Vset-succ)
done

```

## 24.6.2 Reasoning about Sets in Terms of Their Elements' Ranks

```

lemma arg-subset-Vset-rank:  $a \subseteq \text{Vset}(\text{rank}(a))$ 
apply (rule subsetI)
apply (erule rank-lt [THEN VsetI])

```

done

**lemma** *Int-Vset-subset*:

$\llbracket \bigwedge i. \text{Ord}(i) \implies a \cap \text{Vset}(i) \subseteq b \rrbracket \implies a \subseteq b$

**apply** (*rule subset-trans*)

**apply** (*rule Int-greatest* [*OF subset-refl arg-subset-Vset-rank*])

**apply** (*blast intro: Ord-rank*)

done

### 24.6.3 Set Up an Environment for Simplification

**lemma** *rank-Inl*:  $\text{rank}(a) < \text{rank}(\text{Inl}(a))$

**unfolding** *Inl-def*

**apply** (*rule rank-pair2*)

done

**lemma** *rank-Inr*:  $\text{rank}(a) < \text{rank}(\text{Inr}(a))$

**unfolding** *Inr-def*

**apply** (*rule rank-pair2*)

done

**lemmas** *rank-rls* = *rank-Inl rank-Inr rank-pair1 rank-pair2*

### 24.6.4 Recursion over Vset Levels!

NOT SUITABLE FOR REWRITING: recursive!

**lemma** *Vrec*:  $\text{Vrec}(a, H) = H(a, \lambda x \in \text{Vset}(\text{rank}(a)). \text{Vrec}(x, H))$

**unfolding** *Vrec-def*

**apply** (*subst transrec, simp*)

**apply** (*rule refl* [*THEN lam-cong, THEN subst-context*], *simp add: lt-def*)

done

This form avoids giant explosions in proofs. NOTE the form of the premise!

**lemma** *def-Vrec*:

$\llbracket \bigwedge x. h(x) \equiv \text{Vrec}(x, H) \rrbracket \implies$

$h(a) = H(a, \lambda x \in \text{Vset}(\text{rank}(a)). h(x))$

**apply** *simp*

**apply** (*rule Vrec*)

done

NOT SUITABLE FOR REWRITING: recursive!

**lemma** *Vrecursor*:

$\text{Vrecursor}(H, a) = H(\lambda x \in \text{Vset}(\text{rank}(a)). \text{Vrecursor}(H, x), a)$

**unfolding** *Vrecursor-def*

**apply** (*subst transrec, simp*)

**apply** (*rule refl* [*THEN lam-cong, THEN subst-context*], *simp add: lt-def*)

done

This form avoids giant explosions in proofs. NOTE the form of the premise!

```

lemma def-Vrecursor:
   $h \equiv Vrecursor(H) \implies h(a) = H(\lambda x \in Vset(rank(a)). h(x), a)$ 
apply simp
apply (rule Vrecursor)
done

```

## 24.7 The Datatype Universe: $univ(A)$

```

lemma univ-mono:  $A \leq B \implies univ(A) \subseteq univ(B)$ 
  unfolding univ-def
apply (erule Vfrom-mono)
apply (rule subset-refl)
done

```

```

lemma Transset-univ:  $Transset(A) \implies Transset(univ(A))$ 
  unfolding univ-def
apply (erule Transset-Vfrom)
done

```

### 24.7.1 The Set $univ(A)$ as a Limit

```

lemma univ-eq-UN:  $univ(A) = (\bigcup i \in nat. Vfrom(A, i))$ 
  unfolding univ-def
apply (rule Limit-nat [THEN Limit-Vfrom-eq])
done

```

```

lemma subset-univ-eq-Int:  $c \subseteq univ(A) \implies c = (\bigcup i \in nat. c \cap Vfrom(A, i))$ 
apply (rule subset-UN-iff-eq [THEN iffD1])
apply (erule univ-eq-UN [THEN subst])
done

```

```

lemma univ-Int-Vfrom-subset:
   $\llbracket a \subseteq univ(X);$ 
   $\bigwedge i. i : nat \implies a \cap Vfrom(X, i) \subseteq b \rrbracket$ 
   $\implies a \subseteq b$ 
apply (subst subset-univ-eq-Int, assumption)
apply (rule UN-least, simp)
done

```

```

lemma univ-Int-Vfrom-eq:
   $\llbracket a \subseteq univ(X); \quad b \subseteq univ(X);$ 
   $\bigwedge i. i : nat \implies a \cap Vfrom(X, i) = b \cap Vfrom(X, i) \rrbracket$ 
   $\implies a = b$ 
apply (rule equalityI)
apply (rule univ-Int-Vfrom-subset, assumption)
apply (blast elim: equalityCE)
apply (rule univ-Int-Vfrom-subset, assumption)
apply (blast elim: equalityCE)
done

```

## 24.8 Closure Properties for $univ(A)$

**lemma** *zero-in-univ*:  $0 \in univ(A)$   
  **unfolding** *univ-def*  
**apply** (*rule nat-0I* [*THEN zero-in-Vfrom*])  
**done**

**lemma** *zero-subset-univ*:  $\{0\} \subseteq univ(A)$   
**by** (*blast intro: zero-in-univ*)

**lemma** *A-subset-univ*:  $A \subseteq univ(A)$   
  **unfolding** *univ-def*  
**apply** (*rule A-subset-Vfrom*)  
**done**

**lemmas** *A-into-univ* = *A-subset-univ* [*THEN subsetD*]

### 24.8.1 Closure under Unordered and Ordered Pairs

**lemma** *singleton-in-univ*:  $a: univ(A) \implies \{a\} \in univ(A)$   
  **unfolding** *univ-def*  
**apply** (*blast intro: singleton-in-VLimit Limit-nat*)  
**done**

**lemma** *doubleton-in-univ*:  
   $\llbracket a: univ(A); b: univ(A) \rrbracket \implies \{a,b\} \in univ(A)$   
  **unfolding** *univ-def*  
**apply** (*blast intro: doubleton-in-VLimit Limit-nat*)  
**done**

**lemma** *Pair-in-univ*:  
   $\llbracket a: univ(A); b: univ(A) \rrbracket \implies \langle a,b \rangle \in univ(A)$   
  **unfolding** *univ-def*  
**apply** (*blast intro: Pair-in-VLimit Limit-nat*)  
**done**

**lemma** *Union-in-univ*:  
   $\llbracket X: univ(A); Transset(A) \rrbracket \implies \bigcup(X) \in univ(A)$   
  **unfolding** *univ-def*  
**apply** (*blast intro: Union-in-VLimit Limit-nat*)  
**done**

**lemma** *product-univ*:  $univ(A) * univ(A) \subseteq univ(A)$   
  **unfolding** *univ-def*  
**apply** (*rule Limit-nat* [*THEN product-VLimit*])  
**done**

### 24.8.2 The Natural Numbers

**lemma** *nat-subset-univ*:  $nat \subseteq univ(A)$

```

  unfolding univ-def
apply (rule i-subset-Vfrom)
done

```

```

lemma nat-into-univ:  $n \in \text{nat} \implies n \in \text{univ}(A)$ 
  by (rule nat-subset-univ [THEN subsetD])

```

### 24.8.3 Instances for 1 and 2

```

lemma one-in-univ:  $1 \in \text{univ}(A)$ 
  unfolding univ-def
apply (rule Limit-nat [THEN one-in-VLimit])
done

```

unused!

```

lemma two-in-univ:  $2 \in \text{univ}(A)$ 
  by (blast intro: nat-into-univ)

```

```

lemma bool-subset-univ:  $\text{bool} \subseteq \text{univ}(A)$ 
  unfolding bool-def
apply (blast intro!: zero-in-univ one-in-univ)
done

```

```

lemmas bool-into-univ = bool-subset-univ [THEN subsetD]

```

### 24.8.4 Closure under Disjoint Union

```

lemma Inl-in-univ:  $a: \text{univ}(A) \implies \text{Inl}(a) \in \text{univ}(A)$ 
  unfolding univ-def
apply (erule Inl-in-VLimit [OF - Limit-nat])
done

```

```

lemma Inr-in-univ:  $b: \text{univ}(A) \implies \text{Inr}(b) \in \text{univ}(A)$ 
  unfolding univ-def
apply (erule Inr-in-VLimit [OF - Limit-nat])
done

```

```

lemma sum-univ:  $\text{univ}(C) + \text{univ}(C) \subseteq \text{univ}(C)$ 
  unfolding univ-def
apply (rule Limit-nat [THEN sum-VLimit])
done

```

```

lemmas sum-subset-univ = subset-trans [OF sum-mono sum-univ]

```

```

lemma Sigma-subset-univ:
   $\llbracket A \subseteq \text{univ}(D); \bigwedge x. x \in A \implies B(x) \subseteq \text{univ}(D) \rrbracket \implies \text{Sigma}(A, B) \subseteq \text{univ}(D)$ 
  apply (simp add: univ-def)
  apply (blast intro: Sigma-subset-VLimit del: subsetI)
done

```

## 24.9 Finite Branching Closure Properties

### 24.9.1 Closure under Finite Powerset

**lemma** *Fin-Vfrom-lemma*:

```

  [[b: Fin(Vfrom(A,i)); Limit(i)]] ==> ∃ j. b ⊆ Vfrom(A,j) ∧ j < i
apply (erule Fin-induct)
apply (blast dest!: Limit-has-0, safe)
apply (erule Limit-VfromE, assumption)
apply (blast intro!: Un-least-lt intro: Vfrom-UnI1 Vfrom-UnI2)
done

```

```

lemma Fin-VLimit: Limit(i) ==> Fin(Vfrom(A,i)) ⊆ Vfrom(A,i)
apply (rule subsetI)
apply (drule Fin-Vfrom-lemma, safe)
apply (rule Vfrom [THEN ssubst])
apply (blast dest!: ltD)
done

```

**lemmas** *Fin-subset-VLimit* = *subset-trans [OF Fin-mono Fin-VLimit]*

```

lemma Fin-univ: Fin(univ(A)) ⊆ univ(A)
  unfolding univ-def
apply (rule Limit-nat [THEN Fin-VLimit])
done

```

### 24.9.2 Closure under Finite Powers: Functions from a Natural Number

**lemma** *nat-fun-VLimit*:

```

  [[n: nat; Limit(i)]] ==> n -> Vfrom(A,i) ⊆ Vfrom(A,i)
apply (erule nat-fun-subset-Fin [THEN subset-trans])
apply (blast del: subsetI
  intro: subset-refl Fin-subset-VLimit Sigma-subset-VLimit nat-subset-VLimit)
done

```

**lemmas** *nat-fun-subset-VLimit* = *subset-trans [OF Pi-mono nat-fun-VLimit]*

```

lemma nat-fun-univ: n: nat ==> n -> univ(A) ⊆ univ(A)
  unfolding univ-def
apply (erule nat-fun-VLimit [OF - Limit-nat])
done

```

### 24.9.3 Closure under Finite Function Space

General but seldom-used version; normally the domain is fixed

**lemma** *FiniteFun-VLimit1*:

```

  Limit(i) ==> Vfrom(A,i) -||> Vfrom(A,i) ⊆ Vfrom(A,i)
apply (rule FiniteFun.dom-subset [THEN subset-trans])
apply (blast del: subsetI)

```

intro: *Fin-subset-VLimit Sigma-subset-VLimit subset-refl*)  
 done  
 lemma *FiniteFun-univ1*:  $\text{univ}(A) -||> \text{univ}(A) \subseteq \text{univ}(A)$   
 unfolding *univ-def*  
 apply (rule *Limit-nat [THEN FiniteFun-VLimit1]*)  
 done

Version for a fixed domain

lemma *FiniteFun-VLimit*:  
 $\llbracket W \subseteq \text{Vfrom}(A, i); \text{Limit}(i) \rrbracket \implies W -||> \text{Vfrom}(A, i) \subseteq \text{Vfrom}(A, i)$   
 apply (rule *subset-trans*)  
 apply (erule *FiniteFun-mono [OF - subset-refl]*)  
 apply (erule *FiniteFun-VLimit1*)  
 done

lemma *FiniteFun-univ*:  
 $W \subseteq \text{univ}(A) \implies W -||> \text{univ}(A) \subseteq \text{univ}(A)$   
 unfolding *univ-def*  
 apply (erule *FiniteFun-VLimit [OF - Limit-nat]*)  
 done

lemma *FiniteFun-in-univ*:  
 $\llbracket f: W -||> \text{univ}(A); W \subseteq \text{univ}(A) \rrbracket \implies f \in \text{univ}(A)$   
 by (erule *FiniteFun-univ [THEN subsetD]*, assumption)

Remove  $\subseteq$  from the rule above

lemmas *FiniteFun-in-univ' = FiniteFun-in-univ [OF - subsetI]*

## 24.10 \* For QUniv. Properties of Vfrom analogous to the "take-lemma" \*

Intersecting  $a*b$  with  $\text{Vfrom}...$

This version says  $a, b$  exist one level down, in the smaller set  $\text{Vfrom}(X, i)$

lemma *doubleton-in-Vfrom-D*:  
 $\llbracket \{a, b\} \in \text{Vfrom}(X, \text{succ}(i)); \text{Transset}(X) \rrbracket$   
 $\implies a \in \text{Vfrom}(X, i) \wedge b \in \text{Vfrom}(X, i)$   
 by (drule *Transset-Vfrom-succ [THEN equalityD1, THEN subsetD, THEN PowD]*,  
 assumption, fast)

This weaker version says  $a, b$  exist at the same level

lemmas *Vfrom-doubleton-D = Transset-Vfrom [THEN Transset-doubleton-D]*

lemma *Pair-in-Vfrom-D*:  
 $\llbracket \langle a, b \rangle \in \text{Vfrom}(X, \text{succ}(i)); \text{Transset}(X) \rrbracket$



```

     $\implies a \in Vfrom(X, i) \wedge b \in Vfrom(X, i)$ 
  unfolding Pair-def
apply (blast dest!: doubleton-in-Vfrom-D Vfrom-doubleton-D)
done

```

```

lemma product-Int-Vfrom-subset:
  Transset(X)  $\implies$ 
     $(a * b) \cap Vfrom(X, succ(i)) \subseteq (a \cap Vfrom(X, i)) * (b \cap Vfrom(X, i))$ 
by (blast dest!: Pair-in-Vfrom-D)

```

**ML**

```

<
val rank-ss =
  simpset-of (context addsimps [@{thm VsetI}]
    addsimps @{thms rank-rls} @ (@{thms rank-rls} RLN (2, [@{thm lt-trans}]));
>

end

```

## 25 A Small Universe for Lazy Recursive Types

```

theory QUniv imports Univ QPair begin

```

```

rep-datatype
  elimination sumE
  induction TrueI
  case-eqns case-Inl case-Inr

```

```

rep-datatype
  elimination qsumE
  induction TrueI
  case-eqns qcase-QInl qcase-QInr

```

```

definition
  quniv :: i  $\Rightarrow$  i where
  quniv(A)  $\equiv$  Pow(univ(eclose(A)))

```

### 25.1 Properties involving Transset and Sum

```

lemma Transset-includes-summands:
   $\llbracket Transset(C); A + B \subseteq C \rrbracket \implies A \subseteq C \wedge B \subseteq C$ 
apply (simp add: sum-def Un-subset-iff)
apply (blast dest: Transset-includes-range)
done

```

```

lemma Transset-sum-Int-subset:

```

```

    Transset(C)  $\implies$  (A+B)  $\cap$  C  $\subseteq$  (A  $\cap$  C) + (B  $\cap$  C)
  apply (simp add: sum-def Int-Un-distrib2)
  apply (blast dest: Transset-Pair-D)
done

```

## 25.2 Introduction and Elimination Rules

```

lemma qunivI: X  $\subseteq$  univ(eclose(A))  $\implies$  X  $\in$  quniv(A)
by (simp add: quniv-def)

```

```

lemma qunivD: X  $\in$  quniv(A)  $\implies$  X  $\subseteq$  univ(eclose(A))
by (simp add: quniv-def)

```

```

lemma quniv-mono: A  $\leq$  B  $\implies$  quniv(A)  $\subseteq$  quniv(B)
  unfolding quniv-def
  apply (erule eclose-mono [THEN univ-mono, THEN Pow-mono])
done

```

## 25.3 Closure Properties

```

lemma univ-eclose-subset-quniv: univ(eclose(A))  $\subseteq$  quniv(A)
  apply (simp add: quniv-def Transset-iff-Pow [symmetric])
  apply (rule Transset-eclose [THEN Transset-univ])
done

```

```

lemma univ-subset-quniv: univ(A)  $\subseteq$  quniv(A)
  apply (rule arg-subset-eclose [THEN univ-mono, THEN subset-trans])
  apply (rule univ-eclose-subset-quniv)
done

```

```

lemmas univ-into-quniv = univ-subset-quniv [THEN subsetD]

```

```

lemma Pow-univ-subset-quniv: Pow(univ(A))  $\subseteq$  quniv(A)
  unfolding quniv-def
  apply (rule arg-subset-eclose [THEN univ-mono, THEN Pow-mono])
done

```

```

lemmas univ-subset-into-quniv =
  PowI [THEN Pow-univ-subset-quniv [THEN subsetD]]

```

```

lemmas zero-in-quniv = zero-in-univ [THEN univ-into-quniv]
lemmas one-in-quniv = one-in-univ [THEN univ-into-quniv]
lemmas two-in-quniv = two-in-univ [THEN univ-into-quniv]

```

```

lemmas A-subset-quniv = subset-trans [OF A-subset-univ univ-subset-quniv]

```

```

lemmas A-into-quniv = A-subset-quniv [THEN subsetD]

```

**lemma** *QPair-subset-univ*:  
 $\llbracket a \subseteq \text{univ}(A); b \subseteq \text{univ}(A) \rrbracket \implies \langle a; b \rangle \subseteq \text{univ}(A)$   
**by** (*simp add: QPair-def sum-subset-univ*)

## 25.4 Quine Disjoint Sum

**lemma** *QInl-subset-univ*:  $a \subseteq \text{univ}(A) \implies \text{QInl}(a) \subseteq \text{univ}(A)$   
**unfolding** *QInl-def*  
**apply** (*erule empty-subsetI [THEN QPair-subset-univ]*)  
**done**

**lemmas** *naturals-subset-nat* =  
*Ord-nat [THEN Ord-is-Transset, unfolded Transset-def, THEN bspec]*

**lemmas** *naturals-subset-univ* =  
*subset-trans [OF naturals-subset-nat nat-subset-univ]*

**lemma** *QInr-subset-univ*:  $a \subseteq \text{univ}(A) \implies \text{QInr}(a) \subseteq \text{univ}(A)$   
**unfolding** *QInr-def*  
**apply** (*erule nat-1I [THEN naturals-subset-univ, THEN QPair-subset-univ]*)  
**done**

## 25.5 Closure for Quine-Inspired Products and Sums

**lemma** *QPair-in-quniv*:  
 $\llbracket a: \text{quniv}(A); b: \text{quniv}(A) \rrbracket \implies \langle a; b \rangle \in \text{quniv}(A)$   
**by** (*simp add: quniv-def QPair-def sum-subset-univ*)

**lemma** *QSigma-quniv*:  $\text{quniv}(A) \langle * \rangle \text{quniv}(A) \subseteq \text{quniv}(A)$   
**by** (*blast intro: QPair-in-quniv*)

**lemmas** *QSigma-subset-quniv* = *subset-trans [OF QSigma-mono QSigma-quniv]*

**lemma** *quniv-QPair-D*:  
 $\langle a; b \rangle \in \text{quniv}(A) \implies a: \text{quniv}(A) \wedge b: \text{quniv}(A)$   
**unfolding** *quniv-def QPair-def*  
**apply** (*rule Transset-includes-summands [THEN conjE]*)  
**apply** (*rule Transset-eclose [THEN Transset-univ]*)  
**apply** (*erule PowD, blast*)  
**done**

**lemmas** *quniv-QPair-E* = *quniv-QPair-D [THEN conjE]*

**lemma** *quniv-QPair-iff*:  $\langle a; b \rangle \in \text{quniv}(A) \longleftrightarrow a: \text{quniv}(A) \wedge b: \text{quniv}(A)$   
**by** (*blast intro: QPair-in-quniv dest: quniv-QPair-D*)

## 25.6 Quine Disjoint Sum

**lemma** *QInl-in-quniv*:  $a: \text{quniv}(A) \implies \text{QInl}(a) \in \text{quniv}(A)$   
**by** (*simp add: QInl-def zero-in-quniv QPair-in-quniv*)

**lemma** *QInr-in-quniv*:  $b: \text{quniv}(A) \implies \text{QInr}(b) \in \text{quniv}(A)$   
**by** (*simp add: QInr-def one-in-quniv QPair-in-quniv*)

**lemma** *qsum-quniv*:  $\text{quniv}(C) <+> \text{quniv}(C) \subseteq \text{quniv}(C)$   
**by** (*blast intro: QInl-in-quniv QInr-in-quniv*)

**lemmas** *qsum-subset-quniv* = *subset-trans* [*OF qsum-mono qsum-quniv*]

## 25.7 The Natural Numbers

**lemmas** *nat-subset-quniv* = *subset-trans* [*OF nat-subset-univ univ-subset-quniv*]

**lemmas** *nat-into-quniv* = *nat-subset-quniv* [*THEN subsetD*]

**lemmas** *bool-subset-quniv* = *subset-trans* [*OF bool-subset-univ univ-subset-quniv*]

**lemmas** *bool-into-quniv* = *bool-subset-quniv* [*THEN subsetD*]

**lemma** *QPair-Int-Vfrom-succ-subset*:  
 $\text{Transset}(X) \implies$   
 $\langle a; b \rangle \cap \text{Vfrom}(X, \text{succ}(i)) \subseteq \langle a \cap \text{Vfrom}(X, i); b \cap \text{Vfrom}(X, i) \rangle$   
**by** (*simp add: QPair-def sum-def Int-Un-distrib2 Un-mono*  
*product-Int-Vfrom-subset* [*THEN subset-trans*]  
*Sigma-mono* [*OF Int-lower1 subset-refl*])

## 25.8 "Take-Lemma" Rules

**lemma** *QPair-Int-Vfrom-subset*:  
 $\text{Transset}(X) \implies$   
 $\langle a; b \rangle \cap \text{Vfrom}(X, i) \subseteq \langle a \cap \text{Vfrom}(X, i); b \cap \text{Vfrom}(X, i) \rangle$   
**unfolding** *QPair-def*  
**apply** (*erule Transset-Vfrom* [*THEN Transset-sum-Int-subset*])  
**done**

**lemmas** *QPair-Int-Vset-subset-trans* =  
*subset-trans* [*OF Transset-0* [*THEN QPair-Int-Vfrom-subset*]] *QPair-mono*]

**lemma** *QPair-Int-Vset-subset-UN*:  
 $\text{Ord}(i) \implies \langle a; b \rangle \cap \text{Vset}(i) \subseteq (\bigcup_{j \in i}. \langle a \cap \text{Vset}(j); b \cap \text{Vset}(j) \rangle)$   
**apply** (*erule Ord-cases*)

```

apply (simp add: Vfrom-0)

apply (erule ssubst)
apply (rule Transset-0 [THEN QPair-Int-Vfrom-succ-subset, THEN subset-trans])
apply (rule succI1 [THEN UN-upper])

apply (simp del: UN-simps
      add: Limit-Vfrom-eq Int-UN-distrib UN-mono QPair-Int-Vset-subset-trans)
done

end

```

## 26 Datatype and CoDatatype Definitions

```

theory Datatype
imports Inductive Univ QUniv
keywords datatype codatatype :: thy-decl
begin

ML-file <Tools/datatype-package.ML>

ML <
(*Typechecking rules for most datatypes involving univ*)
structure Data-Arg =
  struct
    val intrs =
      [ @{thm SigmaI}, @{thm InlI}, @{thm InrI},
        @{thm Pair-in-univ}, @{thm Inl-in-univ}, @{thm Inr-in-univ},
        @{thm zero-in-univ}, @{thm A-into-univ}, @{thm nat-into-univ}, @{thm
UnCI}};

    val elims = [make-elim @{thm InlD}, make-elim @{thm InrD}, (*for mutual
recursion*)
      @{thm SigmaE}, @{thm sumE}}; (*allows * and + in
spec*)
    end;

structure Data-Package =
  Add-datatype-def-Fun
    (structure Fp=Lfp and Pr=Standard-Prod and CP=Standard-CP
     and Su=Standard-Sum
     and Ind-Package = Ind-Package
     and Datatype-Arg = Data-Arg
     val coind = false);

```

```

(*Typechecking rules for most codatatypes involving quniv*)
structure CoData-Arg =
  struct
    val intrs =
      [ @{thm QSigmaI}, @{thm QInlI}, @{thm QInrI},
        @{thm QPair-in-quniv}, @{thm QInl-in-quniv}, @{thm QInr-in-quniv},
        @{thm zero-in-quniv}, @{thm A-into-quniv}, @{thm nat-into-quniv}, @{thm
UnCI}];

    val elims = [make-elim @{thm QInlD}, make-elim @{thm QInrD}, (*for mutual
recursion*)
      @{thm QSigmaE}, @{thm qsumE}];          (*allows * and +
in spec*)
  end;

structure CoData-Package =
  Add-datatype-def-Fun
  (structure Fp=Gfp and Pr=Quine-Prod and CP=Quine-CP
    and Su=Quine-Sum
    and Ind-Package = CoInd-Package
    and Datatype-Arg = CoData-Arg
    val coind = true);

(* Simproc for freeness reasoning: compare datatype constructors for equality *)

structure Data-Free:
sig
  val trace: bool Config.T
  val proc: Simplifier.proc
end =
struct

  val trace = Attrib.setup-config-bool binding <data-free-trace> (K false);

  fun mk-new ([],[]) = Const <True>
    | mk-new (largs,rargs) =
      Balanced-Tree.make FOLogic.mk-conj
        (map FOLogic.mk-eq (ListPair.zip (largs,rargs)));

  val datatype-ss = simpset-of context;

  fun proc ctxt ct =
    let
      val old = Thm.term-of ct
      val thy = Proof-Context.theory-of ctxt
      val - =
        if Config.get ctxt trace then tracing (data-free: OLD = ^ Syntax.string-of-term

```

```

    ctxt old)
      else ()
      val (lhs,rhs) = FOLogic.dest-eq old
      val (lhead, largs) = strip-comb lhs
      and (rhead, rargs) = strip-comb rhs
      val lname = dest-Const-name lhead handle TERM - => raise Match;
      val rname = dest-Const-name rhead handle TERM - => raise Match;
      val lcon-info = the (Symtab.lookup (ConstructorsData.get thy) lname)
        handle Option.Option => raise Match;
      val rcon-info = the (Symtab.lookup (ConstructorsData.get thy) rname)
        handle Option.Option => raise Match;
      val new =
        if #big-rec-name lcon-info = #big-rec-name rcon-info
          andalso not (null (#free-iffs lcon-info)) then
            if lname = rname then mk-new (largs, rargs)
            else Const <False>
          else raise Match;
      val - =
        if Config.get ctxt trace then tracing (NEW = ^ Syntax.string-of-term ctxt
new)
        else ();
      val goal = Logic.mk-equals (old, new);
      val thm = Goal.prove ctxt [] [] goal
        (fn - => resolve-tac ctxt @ {thms iff-reflection} 1 THEN
          simp-tac (put-simpset datatype-ss ctxt addsimps
            (map (Thm.transfer thy) (#free-iffs lcon-info))) 1)
        handle ERROR msg =>
          (warning (msg ^ \ndata-free simproc: \nfailed to prove ^ Syntax.string-of-term
ctxt goal);
            raise Match)
      in SOME thm end
      handle Match => NONE;

end;
>

```

```

simproc-setup data-free ((x::i) = y) = <fn - => Data-Free.proc>

```

```

end

```

## 27 Arithmetic Operators and Their Definitions

```

theory Arith imports Univ begin

```

Proofs about elementary arithmetic: addition, multiplication, etc.

**definition**

```

  pred :: i => i    where
    pred(y) ≡ nat-case(0, λx. x, y)

```

$$\begin{array}{ll} \text{natify} :: i \Rightarrow i & \textbf{where} \\ \text{natify} \equiv \text{Vrecursor}(\lambda f a. \text{if } a = \text{succ}(\text{pred}(a)) \text{ then } \text{succ}(f(\text{pred}(a))) \\ & \text{else } 0) \end{array}$$
$$\begin{array}{lcl} raw-add & :: & [i,i] \Rightarrow i \\ raw-diff & :: & [i,i] \Rightarrow i \\ raw-mult & :: & [i,i] \Rightarrow i \end{array}$$
$$\begin{aligned} \text{raw-add } (0, n) &= n \\ \text{raw-add } (\text{succ}(m), n) &= \text{succ}(\text{raw-add}(m, n)) \end{aligned}$$
$$\begin{array}{ll} \text{row-diff-0:} & \text{row-diff}(m, 0) = m \\ \text{row-diff-succ:} & \text{row-diff}(m, \text{succ}(n)) = \\ & \text{nat-case}(0, \lambda x. x, \text{row-diff}(m, n)) \end{array}$$
$$\begin{aligned} \text{raw-mult}(0, n) &= 0 \\ \text{raw-mult}(\text{succ}(m), n) &= \text{raw-add}(n, \text{raw-mult}(m, n)) \end{aligned}$$
$$\begin{aligned} add :: [i,i] \Rightarrow i & \quad (\text{infixl } \langle \# + \rangle \text{ 65}) \quad \text{where} \\ m \# + n & \equiv \text{raw-add } (\text{natify}(m), \text{natify}(n)) \end{aligned}$$
$$\text{diff} :: [i, i] \Rightarrow i \quad (\text{infixl } \langle \# \rangle 65) \quad \text{where} \\ m \# - n \equiv \text{raw-diff } (\text{natify}(m), \text{natify}(n))$$
$$\text{mult} :: [i,i] \Rightarrow i \quad (\text{infixl } \langle \#* \rangle \ 70) \quad \text{where} \\ m \#* n \equiv \text{raw-mult } (\text{natify}(m), \text{natify}(n))$$
$$\begin{aligned} \text{row-div} &:: [i, i] \Rightarrow i \text{ where} \\ \text{row-div } (m, n) &\equiv \\ &\text{transrec}(m, \lambda j f. \text{ if } j < n \mid n=0 \text{ then } 0 \text{ else succ}(f'(j\#-n))) \end{aligned}$$
$$\begin{aligned} \text{raw-mod} &:: [i,i] \Rightarrow i \text{ where} \\ \text{raw-mod } (m, n) &\equiv \\ &\text{transrec } (m, \lambda j f. \text{ if } j < n \mid n=0 \text{ then } j \text{ else } f'(j\#-n)) \end{aligned}$$

$div :: [i,i] \Rightarrow i$  (infixl  $\langle div \rangle$  70) where  
 $m \div n \equiv raw-div (natify(m), natify(n))$



**definition**  
 $mod :: [i,i] \Rightarrow i$  (**infixl**  $\langle mod \rangle$  70) **where**  
 $m \text{ mod } n \equiv \text{raw-mod } (natify(m), natify(n))$

**declare** *rec-type* [simp]  
*nat-0-le* [simp]

**lemma** *zero-lt-lemma*:  $\llbracket 0 < k; k \in nat \rrbracket \Longrightarrow \exists j \in nat. k = succ(j)$   
**apply** (*erule rev-mp*)  
**apply** (*induct-tac k, auto*)  
**done**

**lemmas** *zero-lt-natE* = *zero-lt-lemma* [THEN *bexE*]

## 27.1 *natify*, the Coercion to *nat*

**lemma** *pred-succ-eq* [simp]:  $pred(succ(y)) = y$   
**by** (*unfold pred-def, auto*)

**lemma** *natify-succ*:  $natify(succ(x)) = succ(natify(x))$   
**by** (*rule natify-def [THEN def-Vrecursor, THEN trans], auto*)

**lemma** *natify-0* [simp]:  $natify(0) = 0$   
**by** (*rule natify-def [THEN def-Vrecursor, THEN trans], auto*)

**lemma** *natify-non-succ*:  $\forall z. x \neq succ(z) \Longrightarrow natify(x) = 0$   
**by** (*rule natify-def [THEN def-Vrecursor, THEN trans], auto*)

**lemma** *natify-in-nat* [*iff, TC*]:  $natify(x) \in nat$   
**apply** (*rule-tac a=x in eps-induct*)  
**apply** (*case-tac  $\exists z. x = succ(z)$* )  
**apply** (*auto simp add: natify-succ natify-non-succ*)  
**done**

**lemma** *natify-ident* [simp]:  $n \in nat \Longrightarrow natify(n) = n$   
**apply** (*induct-tac n*)  
**apply** (*auto simp add: natify-succ*)  
**done**

**lemma** *natify-eqE*:  $\llbracket natify(x) = y; x \in nat \rrbracket \Longrightarrow x=y$   
**by** *auto*

**lemma** *natify-idem* [simp]:  $natify(natify(x)) = natify(x)$   
**by** *simp*

**lemma** *add-natify1* [*simp*]:  $\text{natify}(m) \# + n = m \# + n$   
**by** (*simp add: add-def*)

**lemma** *add-natify2* [*simp*]:  $m \# + \text{natify}(n) = m \# + n$   
**by** (*simp add: add-def*)

**lemma** *mult-natify1* [*simp*]:  $\text{natify}(m) \# * n = m \# * n$   
**by** (*simp add: mult-def*)

**lemma** *mult-natify2* [*simp*]:  $m \# * \text{natify}(n) = m \# * n$   
**by** (*simp add: mult-def*)

**lemma** *diff-natify1* [*simp*]:  $\text{natify}(m) \# - n = m \# - n$   
**by** (*simp add: diff-def*)

**lemma** *diff-natify2* [*simp*]:  $m \# - \text{natify}(n) = m \# - n$   
**by** (*simp add: diff-def*)

**lemma** *mod-natify1* [*simp*]:  $\text{natify}(m) \bmod n = m \bmod n$   
**by** (*simp add: mod-def*)

**lemma** *mod-natify2* [*simp*]:  $m \bmod \text{natify}(n) = m \bmod n$   
**by** (*simp add: mod-def*)

**lemma** *div-natify1* [*simp*]:  $\text{natify}(m) \text{ div } n = m \text{ div } n$   
**by** (*simp add: div-def*)

**lemma** *div-natify2* [*simp*]:  $m \text{ div } \text{natify}(n) = m \text{ div } n$   
**by** (*simp add: div-def*)

## 27.2 Typing rules

**lemma** *raw-add-type*:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-add } (m, n) \in \text{nat}$   
**by** (*induct-tac m, auto*)

**lemma** *add-type* [*iff, TC*]:  $m \# + n \in \text{nat}$   
**by** (*simp add: add-def raw-add-type*)

```

lemma raw-mult-type:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-mult } (m, n) \in \text{nat}$ 
apply (induct-tac m)
apply (simp-all add: raw-add-type)
done

```

```

lemma mult-type [iff, TC]:  $m \#* n \in \text{nat}$ 
by (simp add: mult-def raw-mult-type)

```

```

lemma raw-diff-type:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-diff } (m, n) \in \text{nat}$ 
by (induct-tac n, auto)

```

```

lemma diff-type [iff, TC]:  $m \#- n \in \text{nat}$ 
by (simp add: diff-def raw-diff-type)

```

```

lemma diff-0-eq-0 [simp]:  $0 \#- n = 0$ 
  unfolding diff-def
apply (rule natify-in-nat [THEN nat-induct], auto)
done

```

```

lemma diff-succ-succ [simp]:  $\text{succ}(m) \#- \text{succ}(n) = m \#- n$ 
apply (simp add: natify-succ diff-def)
apply (rule-tac x1 = n in natify-in-nat [THEN nat-induct], auto)
done

```

```

declare raw-diff-succ [simp del]

```

```

lemma diff-0 [simp]:  $m \#- 0 = \text{natify}(m)$ 
by (simp add: diff-def)

```

```

lemma diff-le-self:  $m \in \text{nat} \implies (m \#- n) \leq m$ 
apply (subgoal-tac  $(m \#- \text{natify } (n)) \leq m$ )
apply (rule-tac [2]  $m = m$  and  $n = \text{natify } (n)$  in diff-induct)
apply (erule-tac [6] leE)
apply (simp-all add: le-iff)
done

```

### 27.3 Addition

```

lemma add-0-natify [simp]:  $0 \#+ m = \text{natify}(m)$ 
by (simp add: add-def)

```

**lemma** *add-succ* [*simp*]:  $\text{succ}(m) \# + n = \text{succ}(m \# + n)$   
**by** (*simp add: natify-succ add-def*)

**lemma** *add-0*:  $m \in \text{nat} \implies 0 \# + m = m$   
**by** *simp*

**lemma** *add-assoc*:  $(m \# + n) \# + k = m \# + (n \# + k)$   
**apply** (*subgoal-tac* ( $\text{natify}(m) \# + \text{natify}(n) \# + \text{natify}(k) =$   
 $\text{natify}(m) \# + (\text{natify}(n) \# + \text{natify}(k))$ )  
**apply** (*rule-tac* [2]  $n = \text{natify}(m)$  **in** *nat-induct*)  
**apply** *auto*  
**done**

**lemma** *add-0-right-natify* [*simp*]:  $m \# + 0 = \text{natify}(m)$   
**apply** (*subgoal-tac*  $\text{natify}(m) \# + 0 = \text{natify}(m)$ )  
**apply** (*rule-tac* [2]  $n = \text{natify}(m)$  **in** *nat-induct*)  
**apply** *auto*  
**done**

**lemma** *add-succ-right* [*simp*]:  $m \# + \text{succ}(n) = \text{succ}(m \# + n)$   
**unfolding** *add-def*  
**apply** (*rule-tac*  $n = \text{natify}(m)$  **in** *nat-induct*)  
**apply** (*auto simp add: natify-succ*)  
**done**

**lemma** *add-0-right*:  $m \in \text{nat} \implies m \# + 0 = m$   
**by** *auto*

**lemma** *add-commute*:  $m \# + n = n \# + m$   
**apply** (*subgoal-tac*  $\text{natify}(m) \# + \text{natify}(n) = \text{natify}(n) \# + \text{natify}(m)$  )  
**apply** (*rule-tac* [2]  $n = \text{natify}(m)$  **in** *nat-induct*)  
**apply** *auto*  
**done**

**lemma** *add-left-commute*:  $m \# + (n \# + k) = n \# + (m \# + k)$   
**apply** (*rule* *add-commute* [*THEN* *trans*])  
**apply** (*rule* *add-assoc* [*THEN* *trans*])  
**apply** (*rule* *add-commute* [*THEN* *subst-context*])  
**done**

**lemmas** *add-ac = add-assoc add-commute add-left-commute*

**lemma** *raw-add-left-cancel*:

$\llbracket \text{raw-add}(k, m) = \text{raw-add}(k, n); k \in \text{nat} \rrbracket \implies m = n$   
**apply** (*erule rev-mp*)  
**apply** (*induct-tac k, auto*)  
**done**

**lemma** *add-left-cancel-natify*:  $k \# + m = k \# + n \implies \text{natify}(m) = \text{natify}(n)$

**unfolding** *add-def*  
**apply** (*drule raw-add-left-cancel, auto*)  
**done**

**lemma** *add-left-cancel*:

$\llbracket i = j; i \# + m = j \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m = n$   
**by** (*force dest!: add-left-cancel-natify*)

**lemma** *add-le-elim1-natify*:  $k \# + m \leq k \# + n \implies \text{natify}(m) \leq \text{natify}(n)$

**apply** (*rule-tac P = natify(k) \# + m \leq natify(k) \# + n in rev-mp*)  
**apply** (*rule-tac [2] n = natify(k) in nat-induct*)  
**apply** *auto*  
**done**

**lemma** *add-le-elim1*:  $\llbracket k \# + m \leq k \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \leq n$

**by** (*drule add-le-elim1-natify, auto*)

**lemma** *add-lt-elim1-natify*:  $k \# + m < k \# + n \implies \text{natify}(m) < \text{natify}(n)$

**apply** (*rule-tac P = natify(k) \# + m < natify(k) \# + n in rev-mp*)  
**apply** (*rule-tac [2] n = natify(k) in nat-induct*)  
**apply** *auto*  
**done**

**lemma** *add-lt-elim1*:  $\llbracket k \# + m < k \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m < n$

**by** (*drule add-lt-elim1-natify, auto*)

**lemma** *zero-less-add*:  $\llbracket n \in \text{nat}; m \in \text{nat} \rrbracket \implies 0 < m \# + n \longleftrightarrow (0 < m \mid 0 < n)$

**by** (*induct-tac n, auto*)

## 27.4 Monotonicity of Addition

**lemma** *add-lt-mono1*:  $\llbracket i < j; j \in \text{nat} \rrbracket \implies i \# + k < j \# + k$

**apply** (*frule lt-nat-in-nat, assumption*)  
**apply** (*erule succ-lt-induct*)  
**apply** (*simp-all add: leI*)  
**done**

strict, in second argument

**lemma** *add-lt-mono2*:  $\llbracket i < j; j \in \text{nat} \rrbracket \implies k \# + i < k \# + j$

**by** (*simp add: add-commute [of k] add-lt-mono1*)

A [clumsy] way of lifting  $<$  monotonicity to  $\leq$  monotonicity

```

lemma Ord-lt-mono-imp-le-mono:
  assumes lt-mono:  $\bigwedge i j. \llbracket i < j; j:k \rrbracket \implies f(i) < f(j)$ 
    and ford:  $\bigwedge i. i:k \implies \text{Ord}(f(i))$ 
    and leij:  $i \leq j$ 
    and jink:  $j:k$ 
  shows  $f(i) \leq f(j)$ 
apply (insert leij jink)
apply (blast intro!: leCI lt-mono ford elim!: leE)
done

```

$\leq$  monotonicity, 1st argument

```

lemma add-le-mono1:  $\llbracket i \leq j; j \in \text{nat} \rrbracket \implies i\# + k \leq j\# + k$ 
apply (rule-tac  $f = \lambda j. j\# + k$  in Ord-lt-mono-imp-le-mono, typecheck)
apply (blast intro: add-lt-mono1 add-type [THEN nat-into-Ord])
done

```

$\leq$  monotonicity, both arguments

```

lemma add-le-mono:  $\llbracket i \leq j; k \leq l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i\# + k \leq j\# + l$ 
apply (rule add-le-mono1 [THEN le-trans], assumption+)
apply (subst add-commute, subst add-commute, rule add-le-mono1, assumption+)
done

```

Combinations of less-than and less-than-or-equals

```

lemma add-lt-le-mono:  $\llbracket i < j; k \leq l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i\# + k < j\# + l$ 
apply (rule add-lt-mono1 [THEN lt-trans2], assumption+)
apply (subst add-commute, subst add-commute, rule add-le-mono1, assumption+)
done

```

```

lemma add-le-lt-mono:  $\llbracket i \leq j; k < l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i\# + k < j\# + l$ 
by (subst add-commute, subst add-commute, erule add-lt-le-mono, assumption+)

```

Less-than: in other words, strict in both arguments

```

lemma add-lt-mono:  $\llbracket i < j; k < l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i\# + k < j\# + l$ 
apply (rule add-lt-le-mono)
apply (auto intro: leI)
done

```

```

lemma diff-add-inverse:  $(n\# + m) \# - n = \text{nativify}(m)$ 
apply (subgoal-tac  $(\text{nativify}(n) \# + m) \# - \text{nativify}(n) = \text{nativify}(m)$  )
apply (rule-tac [2] n = natify(n) in nat-induct)
apply auto
done

```

```

lemma diff-add-inverse2:  $(m\# + n) \# - n = \text{nativify}(m)$ 
by (simp add: add-commute [of m] diff-add-inverse)

```

**lemma** *diff-cancel*:  $(k \# + m) \# - (k \# + n) = m \# - n$   
**apply** (*subgoal-tac* (*natify*(*k*)  $\# +$  *natify*(*m*))  $\# -$  (*natify*(*k*)  $\# +$  *natify*(*n*)) =  
 $\text{natify}(m) \# - \text{natify}(n)$ )  
**apply** (*rule-tac* [2]  $n = \text{natify}(k)$  **in** *nat-induct*)  
**apply** *auto*  
**done**

**lemma** *diff-cancel2*:  $(m \# + k) \# - (n \# + k) = m \# - n$   
**by** (*simp add: add-commute [of - k] diff-cancel*)

**lemma** *diff-add-0*:  $n \# - (n \# + m) = 0$   
**apply** (*subgoal-tac* *natify*(*n*)  $\# -$  (*natify*(*n*)  $\# +$  *natify*(*m*)) = 0)  
**apply** (*rule-tac* [2]  $n = \text{natify}(n)$  **in** *nat-induct*)  
**apply** *auto*  
**done**

**lemma** *pred-0 [simp]*:  $\text{pred}(0) = 0$   
**by** (*simp add: pred-def*)

**lemma** *eq-succ-imp-eq-m1*:  $\llbracket i = \text{succ}(j); i \in \text{nat} \rrbracket \implies j = i \# - 1 \wedge j \in \text{nat}$   
**by** *simp*

**lemma** *pred-Un-distrib*:  
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{pred}(i \cup j) = \text{pred}(i) \cup \text{pred}(j)$   
**apply** (*erule-tac*  $n=i$  **in** *natE*, *simp*)  
**apply** (*erule-tac*  $n=j$  **in** *natE*, *simp*)  
**apply** (*simp add: succ-Un-distrib [symmetric]*)  
**done**

**lemma** *pred-type [TC,simp]*:  
 $i \in \text{nat} \implies \text{pred}(i) \in \text{nat}$   
**by** (*simp add: pred-def split: split-nat-case*)

**lemma** *nat-diff-pred*:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies i \# - \text{succ}(j) = \text{pred}(i \# - j)$   
**apply** (*rule-tac*  $m=i$  **and**  $n=j$  **in** *diff-induct*)  
**apply** (*auto simp add: pred-def nat-imp-quasinat split: split-nat-case*)  
**done**

**lemma** *diff-succ-eq-pred*:  $i \# - \text{succ}(j) = \text{pred}(i \# - j)$   
**apply** (*insert nat-diff-pred [of natify(i) natify(j)]*)  
**apply** (*simp add: natify-succ [symmetric]*)  
**done**

**lemma** *nat-diff-Un-distrib*:  
 $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat} \rrbracket \implies (i \cup j) \# - k = (i \# - k) \cup (j \# - k)$   
**apply** (*rule-tac*  $n=k$  **in** *nat-induct*)  
**apply** (*simp-all add: diff-succ-eq-pred pred-Un-distrib*)  
**done**

**lemma** *diff-Un-distrib*:

$\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies (i \cup j) \# - k = (i \# - k) \cup (j \# - k)$   
**by** (*insert nat-diff-Un-distrib [of i j natify(k)], simp*)

We actually prove  $i \# - j \# - k = i \# - (j \# + k)$

**lemma** *diff-diff-left [simplified]*:

$\text{natify}(i) \# - \text{natify}(j) \# - k = \text{natify}(i) \# - (\text{natify}(j) \# + k)$   
**by** (*rule-tac m=natify(i) and n=natify(j) in diff-induct, auto*)

**lemma** *eq-add-iff*:  $(u \# + m = u \# + n) \longleftrightarrow (0 \# + m = \text{natify}(n))$

**apply** *auto*

**apply** (*blast dest: add-left-cancel-natify*)

**apply** (*simp add: add-def*)

**done**

**lemma** *less-add-iff*:  $(u \# + m < u \# + n) \longleftrightarrow (0 \# + m < \text{natify}(n))$

**apply** (*auto simp add: add-lt-elim1-natify*)

**apply** (*drule add-lt-mono1*)

**apply** (*auto simp add: add-commute [of u]*)

**done**

**lemma** *diff-add-eq*:  $((u \# + m) \# - (u \# + n)) = ((0 \# + m) \# - n)$

**by** (*simp add: diff-cancel*)

**lemma** *eq-cong2*:  $u = u' \implies (t \equiv u) \equiv (t \equiv u')$

**by** *auto*

**lemma** *iff-cong2*:  $u \longleftrightarrow u' \implies (t \equiv u) \equiv (t \equiv u')$

**by** *auto*

## 27.5 Multiplication

**lemma** *mult-0 [simp]*:  $0 \# * m = 0$

**by** (*simp add: mult-def*)

**lemma** *mult-succ [simp]*:  $\text{succ}(m) \# * n = n \# + (m \# * n)$

**by** (*simp add: add-def mult-def natify-succ raw-mult-type*)

**lemma** *mult-0-right [simp]*:  $m \# * 0 = 0$

**unfolding** *mult-def*

**apply** (*rule-tac n = natify(m) in nat-induct*)

**apply** *auto*

**done**



```

lemma mult-succ-right [simp]:  $m \#* \text{succ}(n) = m \# + (m \#* n)$ 
apply (subgoal-tac  $\text{natify}(m) \#* \text{succ}(\text{natify}(n)) =$ 
 $\text{natify}(m) \# + (\text{natify}(m) \#* \text{natify}(n))$ )
apply (simp (no-asm-use) add: natify-succ add-def mult-def)
apply (rule-tac  $n = \text{natify}(m)$  in nat-induct)
apply (simp-all add: add-ac)
done

```

```

lemma mult-1-natify [simp]:  $1 \#* n = \text{natify}(n)$ 
by auto

```

```

lemma mult-1-right-natify [simp]:  $n \#* 1 = \text{natify}(n)$ 
by auto

```

```

lemma mult-1:  $n \in \text{nat} \implies 1 \#* n = n$ 
by simp

```

```

lemma mult-1-right:  $n \in \text{nat} \implies n \#* 1 = n$ 
by simp

```

```

lemma mult-commute:  $m \#* n = n \#* m$ 
apply (subgoal-tac  $\text{natify}(m) \#* \text{natify}(n) = \text{natify}(n) \#* \text{natify}(m)$  )
apply (rule-tac [2]  $n = \text{natify}(m)$  in nat-induct)
apply auto
done

```

```

lemma add-mult-distrib:  $(m \# + n) \#* k = (m \#* k) \# + (n \#* k)$ 
apply (subgoal-tac  $(\text{natify}(m) \# + \text{natify}(n)) \#* \text{natify}(k) =$ 
 $(\text{natify}(m) \#* \text{natify}(k)) \# + (\text{natify}(n) \#* \text{natify}(k))$ )
apply (rule-tac [2]  $n = \text{natify}(m)$  in nat-induct)
apply (simp-all add: add-assoc [symmetric])
done

```

```

lemma add-mult-distrib-left:  $k \#* (m \# + n) = (k \#* m) \# + (k \#* n)$ 
apply (subgoal-tac  $\text{natify}(k) \#* (\text{natify}(m) \# + \text{natify}(n)) =$ 
 $(\text{natify}(k) \#* \text{natify}(m)) \# + (\text{natify}(k) \#* \text{natify}(n))$ )
apply (rule-tac [2]  $n = \text{natify}(m)$  in nat-induct)
apply (simp-all add: add-ac)
done

```

```

lemma mult-assoc:  $(m \#* n) \#* k = m \#* (n \#* k)$ 
apply (subgoal-tac  $(\text{natify}(m) \#* \text{natify}(n)) \#* \text{natify}(k) =$ 
 $\text{natify}(m) \#* (\text{natify}(n) \#* \text{natify}(k))$ )
apply (rule-tac [2]  $n = \text{natify}(m)$  in nat-induct)

```

```

apply (simp-all add: add-mult-distrib)
done

```

```

lemma mult-left-commute:  $m \#* (n \#* k) = n \#* (m \#* k)$ 
apply (rule mult-commute [THEN trans])
apply (rule mult-assoc [THEN trans])
apply (rule mult-commute [THEN subst-context])
done

```

```

lemmas mult-ac = mult-assoc mult-commute mult-left-commute

```

```

lemma lt-succ-eq-0-disj:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies (m < \text{succ}(n)) \longleftrightarrow (m = 0 \mid (\exists j \in \text{nat}. m = \text{succ}(j) \wedge j < n))$ 
by (induct-tac m, auto)

```

```

lemma less-diff-conv [rule-format]:
   $\llbracket j \in \text{nat}; k \in \text{nat} \rrbracket \implies \forall i \in \text{nat}. (i < j \#- k) \longleftrightarrow (i \#+ k < j)$ 
by (erule-tac m = k in diff-induct, auto)

```

```

lemmas nat-typechecks = rec-type nat-0I nat-1I nat-succI Ord-nat

```

```

end

```

## 28 Arithmetic with simplification

```

theory ArithSimp
imports Arith
begin

```

### 28.1 Arithmetic simplification

```

ML-file  $\langle \sim \sim / \text{src} / \text{Provers} / \text{Arith} / \text{cancel-numerals.ML} \rangle$ 
ML-file  $\langle \sim \sim / \text{src} / \text{Provers} / \text{Arith} / \text{combine-numerals.ML} \rangle$ 
ML-file  $\langle \text{arith-data.ML} \rangle$ 

```

```

simproc-setup nateq-cancel-numerals
  ( $l \#+ m = n \mid l = m \#+ n \mid l \#* m = n \mid l = m \#* n \mid \text{succ}(m) = n \mid m = \text{succ}(n)$ ) =
   $\langle K \text{ ArithData.nateq-cancel-numerals-proc} \rangle$ 

```

```

simproc-setup natless-cancel-numerals
  ( $l \#+ m < n \mid l < m \#+ n \mid l \#* m < n \mid l < m \#* n \mid \text{succ}(m) < n \mid m < \text{succ}(n)$ ) =
   $\langle K \text{ ArithData.natless-cancel-numerals-proc} \rangle$ 

```

```

simproc-setup natdiff-cancel-numerals

```

$((l \# + m) \# - n \mid l \# - (m \# + n) \mid (l \# * m) \# - n \mid l \# - (m \# * n) \mid$   
 $\text{succ}(m) \# - n \mid m \# - \text{succ}(n)) =$   
 $\langle K \text{ ArithData.natdiff-cancel-numerals-proc} \rangle$

### 28.1.1 Examples

**lemma**  $x \# + y = x \# + z$  **apply simp oops**  
**lemma**  $y \# + x = x \# + z$  **apply simp oops**  
**lemma**  $x \# + y \# + z = x \# + z$  **apply simp oops**  
**lemma**  $y \# + (z \# + x) = z \# + x$  **apply simp oops**  
**lemma**  $x \# + y \# + z = (z \# + y) \# + (x \# + w)$  **apply simp oops**  
**lemma**  $x \# * y \# + z = (z \# + y) \# + (y \# * x \# + w)$  **apply simp oops**

**lemma**  $x \# + \text{succ}(y) = x \# + z$  **apply simp oops**  
**lemma**  $x \# + \text{succ}(y) = \text{succ}(z \# + x)$  **apply simp oops**  
**lemma**  $\text{succ}(x) \# + \text{succ}(y) \# + z = \text{succ}(z \# + y) \# + \text{succ}(x \# + w)$  **apply simp oops**

**lemma**  $(x \# + y) \# - (x \# + z) = w$  **apply simp oops**  
**lemma**  $(y \# + x) \# - (x \# + z) = dd$  **apply simp oops**  
**lemma**  $(x \# + y \# + z) \# - (x \# + z) = dd$  **apply simp oops**  
**lemma**  $(y \# + (z \# + x)) \# - (z \# + x) = dd$  **apply simp oops**  
**lemma**  $(x \# + y \# + z) \# - ((z \# + y) \# + (x \# + w)) = dd$  **apply simp oops**  
**lemma**  $(x \# * y \# + z) \# - ((z \# + y) \# + (y \# * x \# + w)) = dd$  **apply simp oops**

**lemma**  $(x \# + \text{succ}(y)) \# - (x \# + z) = dd$  **apply simp oops**

**lemma**  $x \# * y^2 \# + y \# * x^2 = y \# * x^2 \# + x \# * y^2$  **apply simp oops**

**lemma**  $(x \# + \text{succ}(y)) \# - (\text{succ}(z \# + x)) = dd$  **apply simp oops**  
**lemma**  $(\text{succ}(x) \# + \text{succ}(y) \# + z) \# - (\text{succ}(z \# + y) \# + \text{succ}(x \# + w)) = dd$  **apply simp oops**

**lemma**  $x : \text{nat} ==> x \# + y = x$  **apply simp oops**  
**lemma**  $x : \text{nat} --> x \# + y = x$  **apply simp oops**  
**lemma**  $x : \text{nat} ==> x \# + y < x$  **apply simp oops**  
**lemma**  $x : \text{nat} ==> x < y \# + x$  **apply simp oops**  
**lemma**  $x : \text{nat} ==> x \leq \text{succ}(x)$  **apply simp oops**

**lemma**  $x \# + y = x$  **apply simp? oops**

**lemma**  $x \# + y < x \# + z$  **apply simp oops**  
**lemma**  $y \# + x < x \# + z$  **apply simp oops**  
**lemma**  $x \# + y \# + z < x \# + z$  **apply simp oops**  
**lemma**  $y \# + z \# + x < x \# + z$  **apply simp oops**  
**lemma**  $y \# + (z \# + x) < z \# + x$  **apply simp oops**

```

lemma  $x \# + y \# + z < (z \# + y) \# + (x \# + w)$  apply simp oops
lemma  $x \# * y \# + z < (z \# + y) \# + (y \# * x \# + w)$  apply simp oops

lemma  $x \# + \text{succ}(y) < x \# + z$  apply simp oops
lemma  $x \# + \text{succ}(y) < \text{succ}(z \# + x)$  apply simp oops
lemma  $\text{succ}(x) \# + \text{succ}(y) \# + z < \text{succ}(z \# + y) \# + \text{succ}(x \# + w)$  apply simp
oops

lemma  $x \# + \text{succ}(y) \leq \text{succ}(z \# + x)$  apply simp oops

```

## 28.2 Difference

```

lemma diff-self-eq-0 [simp]:  $m \# - m = 0$ 
apply (subgoal-tac natify ( $m$ )  $\# - \text{natify } (m) = 0$ )
apply (rule-tac [2] natify-in-nat [THEN nat-induct], auto)
done

```

```

lemma add-diff-inverse:  $\llbracket n \leq m; m:\text{nat} \rrbracket \implies n \# + (m \# - n) = m$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (erule rev-mp)
apply (rule-tac  $m = m$  and  $n = n$  in diff-induct, auto)
done

```

```

lemma add-diff-inverse2:  $\llbracket n \leq m; m:\text{nat} \rrbracket \implies (m \# - n) \# + n = m$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (simp (no-asm-simp) add: add-commute add-diff-inverse)
done

```

```

lemma diff-succ:  $\llbracket n \leq m; m:\text{nat} \rrbracket \implies \text{succ}(m) \# - n = \text{succ}(m \# - n)$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (erule rev-mp)
apply (rule-tac  $m = m$  and  $n = n$  in diff-induct)
apply (simp-all (no-asm-simp))
done

```

```

lemma zero-less-diff [simp]:
   $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies 0 < (n \# - m) \iff m < n$ 
apply (rule-tac  $m = m$  and  $n = n$  in diff-induct)
apply (simp-all (no-asm-simp))
done

```

```

lemma diff-mult-distrib:  $(m \# - n) \# * k = (m \# * k) \# - (n \# * k)$ 

```

```

apply (subgoal-tac (natify (m) #- natify (n)) #* natify (k) = (natify (m) #*
natify (k)) #- (natify (n) #* natify (k)))
apply (rule-tac [2] m = natify (m) and n = natify (n) in diff-induct)
apply (simp-all add: diff-cancel)
done

```

```

lemma diff-mult-distrib2: k #* (m #- n) = (k #* m) #- (k #* n)
apply (simp (no-asm) add: mult-commute [of k] diff-mult-distrib)
done

```

### 28.3 Remainder

```

lemma div-termination:  $\llbracket 0 < n; n \leq m; m : \text{nat} \rrbracket \implies m \#- n < m$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (erule rev-mp)
apply (erule rev-mp)
apply (rule-tac m = m and n = n in diff-induct)
apply (simp-all (no-asm-simp) add: diff-le-self)
done

```

```

lemmas div-rls =
  nat-typechecks Ord-transrec-type apply-funtype
  div-termination [THEN ltD]
  nat-into-Ord not-lt-iff-le [THEN iffD1]

```

```

lemma raw-mod-type:  $\llbracket m : \text{nat}; n : \text{nat} \rrbracket \implies \text{raw-mod } (m, n) \in \text{nat}$ 
  unfolding raw-mod-def
apply (rule Ord-transrec-type)
apply (auto simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (blast intro: div-rls)
done

```

```

lemma mod-type [TC,iff]: m mod n  $\in$  nat
  unfolding mod-def
apply (simp (no-asm) add: mod-def raw-mod-type)
done

```

```

lemma DIVISION-BY-ZERO-DIV: a div 0 = 0
  unfolding div-def
apply (rule raw-div-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp))
done

```

```

lemma DIVISION-BY-ZERO-MOD: a mod 0 = natify(a)
  unfolding mod-def

```

```

apply (rule raw-mod-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp))
done

```

```

lemma raw-mod-less:  $m < n \implies \text{raw-mod } (m, n) = m$ 
apply (rule raw-mod-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp) add: div-termination [THEN ltD])
done

```

```

lemma mod-less [simp]:  $\llbracket m < n; n \in \text{nat} \rrbracket \implies m \bmod n = m$ 
apply (frule lt-nat-in-nat, assumption)
apply (simp (no-asm-simp) add: mod-def raw-mod-less)
done

```

```

lemma raw-mod-geq:
   $\llbracket 0 < n; n \leq m; m : \text{nat} \rrbracket \implies \text{raw-mod } (m, n) = \text{raw-mod } (m \# -n, n)$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (rule raw-mod-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp) add: div-termination [THEN ltD] not-lt-iff-le [THEN
  iffD2], blast)
done

```

```

lemma mod-geq:  $\llbracket n \leq m; m : \text{nat} \rrbracket \implies m \bmod n = (m \# -n) \bmod n$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (case-tac n=0)
  apply (simp add: DIVISION-BY-ZERO-MOD)
apply (simp add: mod-def raw-mod-geq nat-into-Ord [THEN Ord-0-lt-iff])
done

```

## 28.4 Division

```

lemma raw-div-type:  $\llbracket m : \text{nat}; n : \text{nat} \rrbracket \implies \text{raw-div } (m, n) \in \text{nat}$ 
  unfolding raw-div-def
apply (rule Ord-transrec-type)
apply (auto simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (blast intro: div-rls)
done

```

```

lemma div-type [TC, iff]:  $m \text{ div } n \in \text{nat}$ 
  unfolding div-def
apply (simp (no-asm) add: div-def raw-div-type)
done

```

```

lemma raw-div-less:  $m < n \implies \text{raw-div } (m, n) = 0$ 
apply (rule raw-div-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp) add: div-termination [THEN ltD])
done

```

```

lemma div-less [simp]:  $\llbracket m < n; n \in \text{nat} \rrbracket \implies m \text{ div } n = 0$ 
apply (frule lt-nat-in-nat, assumption)
apply (simp (no-asm-simp) add: div-def raw-div-less)
done

```

```

lemma raw-div-geq:  $\llbracket 0 < n; n \leq m; m:\text{nat} \rrbracket \implies \text{raw-div}(m,n) = \text{succ}(\text{raw-div}(m\#-n, n))$ 
apply (subgoal-tac  $n \neq 0$ )
prefer 2 apply blast
apply (frule lt-nat-in-nat, erule nat-succI)
apply (rule raw-div-def [THEN def-transrec, THEN trans])
apply (simp (no-asm-simp) add: div-termination [THEN ltD] not-lt-iff-le [THEN iffD2])
done

```

```

lemma div-geq [simp]:
   $\llbracket 0 < n; n \leq m; m:\text{nat} \rrbracket \implies m \text{ div } n = \text{succ}((m\#-n) \text{ div } n)$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (simp (no-asm-simp) add: div-def raw-div-geq)
done

```

```

declare div-less [simp] div-geq [simp]

```

```

lemma mod-div-lemma:  $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies (m \text{ div } n)\#*n \# + m \text{ mod } n = m$ 
apply (case-tac  $n=0$ )
  apply (simp add: DIVISION-BY-ZERO-MOD)
apply (simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (erule complete-induct)
apply (case-tac  $x < n$ )

  case  $x < n$ 

    apply (simp (no-asm-simp))

  case  $n \leq x$ 

    apply (simp add: not-lt-iff-le add-assoc mod-geq div-termination [THEN ltD] add-diff-inverse)
    done

```

```

lemma mod-div-equality-natify:  $(m \text{ div } n)\#*n \# + m \text{ mod } n = \text{natify}(m)$ 
apply (subgoal-tac  $(\text{natify } m) \text{ div } \text{natify } n) \# * \text{natify } n \# + \text{natify } m \text{ mod } \text{natify } n = \text{natify } m$ )
apply force
apply (subst mod-div-lemma, auto)
done

```

```

lemma mod-div-equality:  $m:\text{nat} \implies (m \text{ div } n)\#*n \# + m \text{ mod } n = m$ 
apply (simp (no-asm-simp) add: mod-div-equality-natify)
done

```

## 28.5 Further Facts about Remainder

(mainly for mutilated chess board)

**lemma** *mod-succ-lemma*:

$\llbracket 0 < n; m:\text{nat}; n:\text{nat} \rrbracket$

$\implies \text{succ}(m) \bmod n = (\text{if } \text{succ}(m \bmod n) = n \text{ then } 0 \text{ else } \text{succ}(m \bmod n))$

**apply** (*erule complete-induct*)

**apply** (*case-tac succ (x) < n*)

case  $\text{succ}(x) < n$

**apply** (*simp (no-asm-simp) add: nat-le-refl [THEN lt-trans] succ-neq-self*)

**apply** (*simp add: ltD [THEN mem-imp-not-eq]*)

case  $n \leq \text{succ}(x)$

**apply** (*simp add: mod-geq not-lt-iff-le*)

**apply** (*erule leE*)

**apply** (*simp (no-asm-simp) add: mod-geq div-termination [THEN ltD] diff-succ*)

equality case

**apply** (*simp add: diff-self-eq-0*)

**done**

**lemma** *mod-succ*:

$n:\text{nat} \implies \text{succ}(m) \bmod n = (\text{if } \text{succ}(m \bmod n) = n \text{ then } 0 \text{ else } \text{succ}(m \bmod n))$

**apply** (*case-tac n=0*)

**apply** (*simp (no-asm-simp) add: natify-succ DIVISION-BY-ZERO-MOD*)

**apply** (*subgoal-tac natify (succ (m)) mod n = (if succ (natify (m)) mod n = n then 0 else succ (natify (m) mod n))*)

**prefer** 2

**apply** (*subst natify-succ*)

**apply** (*rule mod-succ-lemma*)

**apply** (*auto simp del: natify-succ simp add: nat-into-Ord [THEN Ord-0-lt-iff]*)

**done**

**lemma** *mod-less-divisor*:  $\llbracket 0 < n; n:\text{nat} \rrbracket \implies m \bmod n < n$

**apply** (*subgoal-tac natify (m) mod n < n*)

**apply** (*rule-tac [2] i = natify (m) in complete-induct*)

**apply** (*case-tac [3] x < n, auto*)

case  $n \leq x$

**apply** (*simp add: mod-geq not-lt-iff-le div-termination [THEN ltD]*)

**done**

**lemma** *mod-1-eq* [*simp*]:  $m \bmod 1 = 0$

**by** (*cut-tac n = 1 in mod-less-divisor, auto*)

**lemma** *mod2-cases*:  $b < 2 \implies k \bmod 2 = b \mid k \bmod 2 = (\text{if } b=1 \text{ then } 0 \text{ else } 1)$

**apply** (*subgoal-tac k mod 2: 2*)

**prefer** 2 **apply** (*simp add: mod-less-divisor [THEN ltD]*)



```

apply (drule ltD, auto)
done

```

```

lemma mod2-succ-succ [simp]: succ(succ(m)) mod 2 = m mod 2
apply (subgoal-tac m mod 2: 2)
prefer 2 apply (simp add: mod-less-divisor [THEN ltD])
apply (auto simp add: mod-succ)
done

```

```

lemma mod2-add-more [simp]: (m#+m#+n) mod 2 = n mod 2
apply (subgoal-tac (natify (m) #+natify (m) #+n) mod 2 = n mod 2)
apply (rule-tac [2] n = natify (m) in nat-induct)
apply auto
done

```

```

lemma mod2-add-self [simp]: (m#+m) mod 2 = 0
by (cut-tac n = 0 in mod2-add-more, auto)

```

## 28.6 Additional theorems about $\leq$

```

lemma add-le-self: m:nat  $\implies m \leq (m \#+ n)$ 
apply (simp (no-asm-simp))
done

```

```

lemma add-le-self2: m:nat  $\implies m \leq (n \#+ m)$ 
apply (simp (no-asm-simp))
done

```

```

lemma mult-le-mono1:  $\llbracket i \leq j; j:\text{nat} \rrbracket \implies (i\#*k) \leq (j\#*k)$ 
apply (subgoal-tac natify (i) #*natify (k)  $\leq j\#*natify (k)$ )
apply (frule-tac [2] lt-nat-in-nat)
apply (rule-tac [3] n = natify (k) in nat-induct)
apply (simp-all add: add-le-mono)
done

```

```

lemma mult-le-mono:  $\llbracket i \leq j; k \leq l; j:\text{nat}; l:\text{nat} \rrbracket \implies i\#*k \leq j\#*l$ 
apply (rule mult-le-mono1 [THEN le-trans], assumption+)
apply (subst mult-commute, subst mult-commute, rule mult-le-mono1, assumption+)
done

```

```

lemma mult-lt-mono2:  $\llbracket i < j; 0 < k; j:\text{nat}; k:\text{nat} \rrbracket \implies k\#*i < k\#*j$ 
apply (erule zero-lt-natE)
apply (frule-tac [2] lt-nat-in-nat)
apply (simp-all (no-asm-simp))

```

```

apply (induct-tac x)
apply (simp-all (no-asm-simp) add: add-lt-mono)
done

```

```

lemma mult-lt-mono1:  $\llbracket i < j; 0 < k; j:\text{nat}; k:\text{nat} \rrbracket \implies i \# * k < j \# * k$ 
apply (simp (no-asm-simp) add: mult-lt-mono2 mult-commute [of - k])
done

```

```

lemma add-eq-0-iff [iff]:  $m \# + n = 0 \longleftrightarrow \text{natify}(m)=0 \wedge \text{natify}(n)=0$ 
apply (subgoal-tac  $\text{natify } (m) \# + \text{natify } (n) = 0 \longleftrightarrow \text{natify } (m) = 0 \wedge \text{natify } (n) = 0$ )
apply (rule-tac [2]  $n = \text{natify } (m)$  in natE)
apply (rule-tac [4]  $n = \text{natify } (n)$  in natE)
apply auto
done

```

```

lemma zero-lt-mult-iff [iff]:  $0 < m \# * n \longleftrightarrow 0 < \text{natify}(m) \wedge 0 < \text{natify}(n)$ 
apply (subgoal-tac  $0 < \text{natify } (m) \# * \text{natify } (n) \longleftrightarrow 0 < \text{natify } (m) \wedge 0 < \text{natify } (n)$ )
apply (rule-tac [2]  $n = \text{natify } (m)$  in natE)
apply (rule-tac [4]  $n = \text{natify } (n)$  in natE)
apply (rule-tac [3]  $n = \text{natify } (n)$  in natE)
apply auto
done

```

```

lemma mult-eq-1-iff [iff]:  $m \# * n = 1 \longleftrightarrow \text{natify}(m)=1 \wedge \text{natify}(n)=1$ 
apply (subgoal-tac  $\text{natify } (m) \# * \text{natify } (n) = 1 \longleftrightarrow \text{natify } (m) = 1 \wedge \text{natify } (n) = 1$ )
apply (rule-tac [2]  $n = \text{natify } (m)$  in natE)
apply (rule-tac [4]  $n = \text{natify } (n)$  in natE)
apply auto
done

```

```

lemma mult-is-zero:  $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies (m \# * n = 0) \longleftrightarrow (m = 0 \mid n = 0)$ 
apply auto
apply (erule natE)
apply (erule-tac [2] natE, auto)
done

```

```

lemma mult-is-zero-natify [iff]:
   $(m \# * n = 0) \longleftrightarrow (\text{natify}(m) = 0 \mid \text{natify}(n) = 0)$ 
apply (cut-tac  $m = \text{natify } (m)$  and  $n = \text{natify } (n)$  in mult-is-zero)
apply auto
done

```

## 28.7 Cancellation Laws for Common Factors in Comparisons

```

lemma mult-less-cancel-lemma:

```

```

     $\llbracket k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (m \# * k < n \# * k) \longleftrightarrow (0 < k \wedge m < n)$ 
  apply (safe intro!: mult-lt-mono1)
  apply (erule natE, auto)
  apply (rule not-le-iff-lt [THEN iffD1])
  apply (drule-tac [3] not-le-iff-lt [THEN [2] rev-iffD2])
  prefer 5 apply (blast intro: mult-le-mono1, auto)
done

```

```

lemma mult-less-cancel2 [simp]:
   $(m \# * k < n \# * k) \longleftrightarrow (0 < \text{nativify}(k) \wedge \text{nativify}(m) < \text{nativify}(n))$ 
  apply (rule iff-trans)
  apply (rule-tac [2] mult-less-cancel-lemma, auto)
done

```

```

lemma mult-less-cancel1 [simp]:
   $(k \# * m < k \# * n) \longleftrightarrow (0 < \text{nativify}(k) \wedge \text{nativify}(m) < \text{nativify}(n))$ 
  apply (simp (no-asm) add: mult-less-cancel2 mult-commute [of k])
done

```

```

lemma mult-le-cancel2 [simp]:  $(m \# * k \leq n \# * k) \longleftrightarrow (0 < \text{nativify}(k) \longrightarrow \text{nativify}(m) \leq \text{nativify}(n))$ 
  apply (simp (no-asm-simp) add: not-lt-iff-le [THEN iff-sym])
  apply auto
done

```

```

lemma mult-le-cancel1 [simp]:  $(k \# * m \leq k \# * n) \longleftrightarrow (0 < \text{nativify}(k) \longrightarrow \text{nativify}(m) \leq \text{nativify}(n))$ 
  apply (simp (no-asm-simp) add: not-lt-iff-le [THEN iff-sym])
  apply auto
done

```

```

lemma mult-le-cancel-le1:  $k \in \text{nat} \implies k \# * m \leq k \longleftrightarrow (0 < k \longrightarrow \text{nativify}(m) \leq 1)$ 
  by (cut-tac k = k and m = m and n = 1 in mult-le-cancel1, auto)

```

```

lemma Ord-eq-iff-le:  $\llbracket \text{Ord}(m); \text{Ord}(n) \rrbracket \implies m = n \longleftrightarrow (m \leq n \wedge n \leq m)$ 
  by (blast intro: le-anti-sym)

```

```

lemma mult-cancel2-lemma:
   $\llbracket k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (m \# * k = n \# * k) \longleftrightarrow (m = n \mid k = 0)$ 
  apply (simp (no-asm-simp) add: Ord-eq-iff-le [of m # * k] Ord-eq-iff-le [of m])
  apply (auto simp add: Ord-0-lt-iff)
done

```

```

lemma mult-cancel2 [simp]:
   $(m \# * k = n \# * k) \longleftrightarrow (\text{nativify}(m) = \text{nativify}(n) \mid \text{nativify}(k) = 0)$ 
  apply (rule iff-trans)
  apply (rule-tac [2] mult-cancel2-lemma, auto)
done

```

```

lemma mult-cancel1 [simp]:
   $(k \# * m = k \# * n) \longleftrightarrow (\text{natify}(m) = \text{natify}(n) \mid \text{natify}(k) = 0)$ 
apply (simp (no-asm) add: mult-cancel2 mult-commute [of k])
done

lemma div-cancel-raw:
   $\llbracket 0 < n; 0 < k; k:\text{nat}; m:\text{nat}; n:\text{nat} \rrbracket \implies (k \# * m) \text{ div } (k \# * n) = m \text{ div } n$ 
apply (erule-tac i = m in complete-induct)
apply (case-tac x < n)
  apply (simp add: div-less zero-lt-mult-iff mult-lt-mono2)
apply (simp add: not-lt-iff-le zero-lt-mult-iff le-refl [THEN mult-le-mono]
  div-geq diff-mult-distrib2 [symmetric] div-termination [THEN ltD])
done

lemma div-cancel:
   $\llbracket 0 < \text{natify}(n); 0 < \text{natify}(k) \rrbracket \implies (k \# * m) \text{ div } (k \# * n) = m \text{ div } n$ 
apply (cut-tac k = natify (k) and m = natify (m) and n = natify (n)
  in div-cancel-raw)
apply auto
done

```

## 28.8 More Lemmas about Remainder

```

lemma mult-mod-distrib-raw:
   $\llbracket k:\text{nat}; m:\text{nat}; n:\text{nat} \rrbracket \implies (k \# * m) \text{ mod } (k \# * n) = k \# * (m \text{ mod } n)$ 
apply (case-tac k=0)
  apply (simp add: DIVISION-BY-ZERO-MOD)
apply (case-tac n=0)
  apply (simp add: DIVISION-BY-ZERO-MOD)
apply (simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (erule-tac i = m in complete-induct)
apply (case-tac x < n)
  apply (simp (no-asm-simp) add: mod-less zero-lt-mult-iff mult-lt-mono2)
apply (simp add: not-lt-iff-le zero-lt-mult-iff le-refl [THEN mult-le-mono]
  mod-geq diff-mult-distrib2 [symmetric] div-termination [THEN ltD])
done

lemma mod-mult-distrib2:  $k \# * (m \text{ mod } n) = (k \# * m) \text{ mod } (k \# * n)$ 
apply (cut-tac k = natify (k) and m = natify (m) and n = natify (n)
  in mult-mod-distrib-raw)
apply auto
done

lemma mult-mod-distrib:  $(m \text{ mod } n) \# * k = (m \# * k) \text{ mod } (n \# * k)$ 
apply (simp (no-asm) add: mult-commute mod-mult-distrib2)

```

done

**lemma** *mod-add-self2-raw*:  $n \in \text{nat} \implies (m \# + n) \bmod n = m \bmod n$   
**apply** (*subgoal-tac* ( $n \# + m$ )  $\bmod n = (n \# + m \# - n) \bmod n$ )  
**apply** (*simp* *add: add-commute*)  
**apply** (*subst mod-geq [symmetric]*, *auto*)  
**done**

**lemma** *mod-add-self2 [simp]*:  $(m \# + n) \bmod n = m \bmod n$   
**apply** (*cut-tac*  $n = \text{nativify } (n)$  **in** *mod-add-self2-raw*)  
**apply** *auto*  
**done**

**lemma** *mod-add-self1 [simp]*:  $(n \# + m) \bmod n = m \bmod n$   
**apply** (*simp* (*no-asm-simp*) *add: add-commute mod-add-self2*)  
**done**

**lemma** *mod-mult-self1-raw*:  $k \in \text{nat} \implies (m \# + k \# * n) \bmod n = m \bmod n$   
**apply** (*erule nat-induct*)  
**apply** (*simp-all* (*no-asm-simp*) *add: add-left-commute [of - n]*)  
**done**

**lemma** *mod-mult-self1 [simp]*:  $(m \# + k \# * n) \bmod n = m \bmod n$   
**apply** (*cut-tac*  $k = \text{nativify } (k)$  **in** *mod-mult-self1-raw*)  
**apply** *auto*  
**done**

**lemma** *mod-mult-self2 [simp]*:  $(m \# + n \# * k) \bmod n = m \bmod n$   
**apply** (*simp* (*no-asm*) *add: mult-commute mod-mult-self1*)  
**done**

**lemma** *mult-eq-self-implies-10*:  $m = m \# * n \implies \text{nativify}(n) = 1 \mid m = 0$   
**apply** (*subgoal-tac*  $m: \text{nat}$ )  
**prefer** 2  
**apply** (*erule ssubst*)  
**apply** *simp*  
**apply** (*rule disjCI*)  
**apply** (*drule sym*)  
**apply** (*rule Ord-linear-lt [of natify(n) 1]*)  
**apply** *simp-all*  
**apply** (*subgoal-tac*  $m \# * n = 0$ , *simp*)  
**apply** (*subst mult-nativify2 [symmetric]*)  
**apply** (*simp del: mult-nativify2*)  
**apply** (*drule nat-into-Ord [THEN Ord-0-lt, THEN [2] mult-lt-mono2]*, *auto*)  
**done**

**lemma** *less-imp-succ-add [rule-format]*:  
 $\llbracket m < n; n: \text{nat} \rrbracket \implies \exists k \in \text{nat}. n = \text{succ}(m \# + k)$

```

apply (frule lt-nat-in-nat, assumption)
apply (erule rev-mp)
apply (induct-tac n)
apply (simp-all (no-asm) add: le-iff)
apply (blast elim!: leE intro!: add-0-right [symmetric] add-succ-right [symmetric])
done

```

```

lemma less-iff-succ-add:
   $\llbracket m: \text{nat}; n: \text{nat} \rrbracket \implies (m < n) \longleftrightarrow (\exists k \in \text{nat}. n = \text{succ}(m \# + k))$ 
by (auto intro: less-imp-succ-add)

```

```

lemma add-lt-elim2:
   $\llbracket a \# + d = b \# + c; a < b; b \in \text{nat}; c \in \text{nat}; d \in \text{nat} \rrbracket \implies c < d$ 
by (drule less-imp-succ-add, auto)

```

```

lemma add-le-elim2:
   $\llbracket a \# + d = b \# + c; a \leq b; b \in \text{nat}; c \in \text{nat}; d \in \text{nat} \rrbracket \implies c \leq d$ 
by (drule less-imp-succ-add, auto)

```

### 28.8.1 More Lemmas About Difference

```

lemma diff-is-0-lemma:
   $\llbracket m: \text{nat}; n: \text{nat} \rrbracket \implies m \# - n = 0 \longleftrightarrow m \leq n$ 
apply (rule-tac m = m and n = n in diff-induct, simp-all)
done

```

```

lemma diff-is-0-iff:  $m \# - n = 0 \longleftrightarrow \text{natify}(m) \leq \text{natify}(n)$ 
by (simp add: diff-is-0-lemma [symmetric])

```

```

lemma nat-lt-imp-diff-eq-0:
   $\llbracket a: \text{nat}; b: \text{nat}; a < b \rrbracket \implies a \# - b = 0$ 
by (simp add: diff-is-0-iff le-iff)

```

```

lemma raw-nat-diff-split:
   $\llbracket a: \text{nat}; b: \text{nat} \rrbracket \implies$ 
   $(P(a \# - b)) \longleftrightarrow ((a < b \longrightarrow P(0)) \wedge (\forall d \in \text{nat}. a = b \# + d \longrightarrow P(d)))$ 
apply (case-tac a < b)
apply (force simp add: nat-lt-imp-diff-eq-0)
apply (rule iffI, force, simp)
apply (drule-tac x=a#-b in bspec)
apply (simp-all add: Ordinal.not-lt-iff-le add-diff-inverse)
done

```

```

lemma nat-diff-split:
   $(P(a \# - b)) \longleftrightarrow$ 
   $(\text{natify}(a) < \text{natify}(b) \longrightarrow P(0)) \wedge (\forall d \in \text{nat}. \text{natify}(a) = b \# + d \longrightarrow P(d))$ 
apply (cut-tac P=P and a=natify(a) and b=natify(b) in raw-nat-diff-split)
apply simp-all
done

```

Difference and less-than

```

lemma diff-lt-imp-lt:  $\llbracket (k\#-i) < (k\#-j); i \in \text{nat}; j \in \text{nat}; k \in \text{nat} \rrbracket \implies j < i$ 
apply (erule rev-mp)
apply (simp split: nat-diff-split, auto)
  apply (blast intro: add-le-self lt-trans1)
apply (rule not-le-iff-lt [THEN iffD1], auto)
apply (subgoal-tac i #+ da < j #+ d, force)
apply (blast intro: add-le-lt-mono)
done

```

```

lemma lt-imp-diff-lt:  $\llbracket j < i; i \leq k; k \in \text{nat} \rrbracket \implies (k\#-i) < (k\#-j)$ 
apply (frule le-in-nat, assumption)
apply (frule lt-nat-in-nat, assumption)
apply (simp split: nat-diff-split, auto)
  apply (blast intro: lt-asymp lt-trans2)
  apply (blast intro: lt-irrefl lt-trans2)
apply (rule not-le-iff-lt [THEN iffD1], auto)
apply (subgoal-tac j #+ d < i #+ da, force)
apply (blast intro: add-lt-le-mono)
done

```

```

lemma diff-lt-iff-lt:  $\llbracket i \leq k; j \in \text{nat}; k \in \text{nat} \rrbracket \implies (k\#-i) < (k\#-j) \longleftrightarrow j < i$ 
apply (frule le-in-nat, assumption)
apply (blast intro: lt-imp-diff-lt diff-lt-imp-lt)
done

```

**end**

## 29 Lists in Zermelo-Fraenkel Set Theory

**theory** *List* **imports** *Datatype ArithSimp* **begin**

**consts**

*list* ::  $i \Rightarrow i$

**datatype**

*list*( $A$ ) = *Nil* | *Cons* ( $a \in A, l \in \text{list}(A)$ )

**notation** *Nil* ( $\langle [] \rangle$ )

**syntax**

*-List* ::  $is \Rightarrow i$  ( $\langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix list enumeration} \rangle \rangle [-] \rangle$ )

**translations**

$[x, xs]$  == *CONST Cons*( $x, [xs]$ )  
 $[x]$  == *CONST Cons*( $x, []$ )

**consts**

$length :: i \Rightarrow i$   
 $hd :: i \Rightarrow i$   
 $tl :: i \Rightarrow i$

**primrec**

$length([]) = 0$   
 $length(Cons(a,l)) = succ(length(l))$

**primrec**

$hd([]) = 0$   
 $hd(Cons(a,l)) = a$

**primrec**

$tl([]) = []$   
 $tl(Cons(a,l)) = l$

**consts**

$map :: [i \Rightarrow i, i] \Rightarrow i$   
 $set-of-list :: i \Rightarrow i$   
 $app :: [i, i] \Rightarrow i$  (**infixr** '@' 60)

**primrec**

$map(f, []) = []$   
 $map(f, Cons(a,l)) = Cons(f(a), map(f,l))$

**primrec**

$set-of-list([]) = 0$   
 $set-of-list(Cons(a,l)) = cons(a, set-of-list(l))$

**primrec**

$app-Nil: [] @ ys = ys$   
 $app-Cons: (Cons(a,l)) @ ys = Cons(a, l @ ys)$

**consts**

$rev :: i \Rightarrow i$   
 $flat :: i \Rightarrow i$   
 $list-add :: i \Rightarrow i$

**primrec**

$rev([]) = []$   
 $rev(Cons(a,l)) = rev(l) @ [a]$

**primrec**

$flat([]) = []$   
 $flat(Cons(l,ls)) = l @ flat(ls)$



**primrec**

$list-add([]) = 0$   
 $list-add(Cons(a,l)) = a \# + list-add(l)$

**consts**

$drop \quad :: [i,i] \Rightarrow i$

**primrec**

$drop-0: \quad drop(0,l) = l$   
 $drop-succ: drop(succ(i), l) = tl \ (drop(i,l))$

**definition**

$take \quad :: [i,i] \Rightarrow i \text{ where}$   
 $take(n, as) \equiv list-rec(\lambda n \in nat. [],$   
 $\quad \lambda a \ l \ r. \lambda n \in nat. nat-case([], \lambda m. Cons(a, r'm), n), as) 'n$

**definition**

$nth :: [i, i] \Rightarrow i \text{ where}$   
 $\text{— returns the (n+1)th element of a list, or 0 if the list is too short.}$   
 $nth(n, as) \equiv list-rec(\lambda n \in nat. 0,$   
 $\quad \lambda a \ l \ r. \lambda n \in nat. nat-case(a, \lambda m. r'm, n), as) 'n$

**definition**

$list-update :: [i, i, i] \Rightarrow i \text{ where}$   
 $list-update(xs, i, v) \equiv list-rec(\lambda n \in nat. Nil,$   
 $\quad \lambda u \ us \ vs. \lambda n \in nat. nat-case(Cons(v, us), \lambda m. Cons(u, vs'm), n), xs) 'i$

**consts**

$filter :: [i \Rightarrow o, i] \Rightarrow i$   
 $upt :: [i, i] \Rightarrow i$

**primrec**

$filter(P, Nil) = Nil$   
 $filter(P, Cons(x, xs)) =$   
 $\quad (if \ P(x) \ then \ Cons(x, filter(P, xs)) \ else \ filter(P, xs))$

**primrec**

$upt(i, 0) = Nil$   
 $upt(i, succ(j)) = (if \ i \leq j \ then \ upt(i, j)@[j] \ else \ Nil)$

**definition**

$min :: [i,i] \Rightarrow i \text{ where}$   
 $min(x, y) \equiv (if \ x \leq y \ then \ x \ else \ y)$

**definition**

**max** ::  $[i, i] \Rightarrow i$  **where**  
**max**( $x, y$ )  $\equiv$  (*if*  $x \leq y$  *then*  $y$  *else*  $x$ )

**declare** *list.intros* [*simp*, *TC*]

**inductive-cases** *ConsE*:  $\text{Cons}(a, l) \in \text{list}(A)$

**lemma** *Cons-type-iff* [*simp*]:  $\text{Cons}(a, l) \in \text{list}(A) \longleftrightarrow a \in A \wedge l \in \text{list}(A)$   
**by** (*blast elim: ConsE*)

**lemma** *Cons-iff*:  $\text{Cons}(a, l) = \text{Cons}(a', l') \longleftrightarrow a = a' \wedge l = l'$   
**by** *auto*

**lemma** *Nil-Cons-iff*:  $\neg \text{Nil} = \text{Cons}(a, l)$   
**by** *auto*

**lemma** *list-unfold*:  $\text{list}(A) = \{0\} + (A * \text{list}(A))$   
**by** (*blast intro!: list.intros [unfolded list.con-defs]*  
*elim: list.cases [unfolded list.con-defs]*)

**lemma** *list-mono*:  $A \leq B \implies \text{list}(A) \subseteq \text{list}(B)$   
**unfolding** *list.defs*  
**apply** (*rule lfp-mono*)  
**apply** (*simp-all add: list.bnd-mono*)  
**apply** (*assumption | rule univ-mono basic-monos*)  
**done**

**lemma** *list-univ*:  $\text{list}(\text{univ}(A)) \subseteq \text{univ}(A)$   
**unfolding** *list.defs list.con-defs*  
**apply** (*rule lfp-lowerbound*)  
**apply** (*rule-tac [2] A-subset-univ [THEN univ-mono]*)  
**apply** (*blast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)  
**done**

**lemmas** *list-subset-univ* = *subset-trans* [*OF list-mono list-univ*]

**lemma** *list-into-univ*:  $\llbracket l \in \text{list}(A); A \subseteq \text{univ}(B) \rrbracket \implies l \in \text{univ}(B)$   
**by** (*blast intro: list-subset-univ [THEN subsetD]*)

**lemma** *list-case-type*:

```

     $\llbracket l \in \text{list}(A);$ 
       $c \in C(\text{Nil});$ 
       $\bigwedge x y. \llbracket x \in A; y \in \text{list}(A) \rrbracket \implies h(x,y): C(\text{Cons}(x,y))$ 
 $\rrbracket \implies \text{list-case}(c,h,l) \in C(l)$ 
by (erule list.induct, auto)

```

```

lemma list-0-triv:  $\text{list}(0) = \{\text{Nil}\}$ 
apply (rule equalityI, auto)
apply (induct-tac x, auto)
done

```

```

lemma tl-type:  $l \in \text{list}(A) \implies \text{tl}(l) \in \text{list}(A)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: list.intros)
done

```

```

lemma drop-Nil [simp]:  $i \in \text{nat} \implies \text{drop}(i, \text{Nil}) = \text{Nil}$ 
apply (induct-tac i)
apply (simp-all (no-asm-simp))
done

```

```

lemma drop-succ-Cons [simp]:  $i \in \text{nat} \implies \text{drop}(\text{succ}(i), \text{Cons}(a,l)) = \text{drop}(i,l)$ 
apply (rule sym)
apply (induct-tac i)
apply (simp (no-asm))
apply (simp (no-asm-simp))
done

```

```

lemma drop-type [simp, TC]:  $\llbracket i \in \text{nat}; l \in \text{list}(A) \rrbracket \implies \text{drop}(i,l) \in \text{list}(A)$ 
apply (induct-tac i)
apply (simp-all (no-asm-simp) add: tl-type)
done

```

```

declare drop-succ [simp del]

```

```

lemma list-rec-type [TC]:
   $\llbracket l \in \text{list}(A);$ 
     $c \in C(\text{Nil});$ 
     $\bigwedge x y r. \llbracket x \in A; y \in \text{list}(A); r \in C(y) \rrbracket \implies h(x,y,r): C(\text{Cons}(x,y))$ 
 $\rrbracket \implies \text{list-rec}(c,h,l) \in C(l)$ 
by (induct-tac l, auto)

```

```

lemma map-type [TC]:
   $\llbracket l \in \text{list}(A); \bigwedge x. x \in A \implies h(x) \in B \rrbracket \implies \text{map}(h, l) \in \text{list}(B)$ 
apply (simp add: map-list-def)
apply (typecheck add: list.intros list-rec-type, blast)
done

lemma map-type2 [TC]:  $l \in \text{list}(A) \implies \text{map}(h, l) \in \text{list}(\{h(u). u \in A\})$ 
apply (erule map-type)
apply (erule RepFunI)
done

lemma length-type [TC]:  $l \in \text{list}(A) \implies \text{length}(l) \in \text{nat}$ 
by (simp add: length-list-def)

lemma lt-length-in-nat:
   $\llbracket x < \text{length}(xs); xs \in \text{list}(A) \rrbracket \implies x \in \text{nat}$ 
by (frule lt-nat-in-nat, typecheck)

lemma app-type [TC]:  $\llbracket xs: \text{list}(A); ys: \text{list}(A) \rrbracket \implies xs @ ys \in \text{list}(A)$ 
by (simp add: app-list-def)

lemma rev-type [TC]:  $xs: \text{list}(A) \implies \text{rev}(xs) \in \text{list}(A)$ 
by (simp add: rev-list-def)

lemma flat-type [TC]:  $ls: \text{list}(\text{list}(A)) \implies \text{flat}(ls) \in \text{list}(A)$ 
by (simp add: flat-list-def)

lemma set-of-list-type [TC]:  $l \in \text{list}(A) \implies \text{set-of-list}(l) \in \text{Pow}(A)$ 
  unfolding set-of-list-list-def
apply (erule list-rec-type, auto)
done

lemma set-of-list-append:
   $xs: \text{list}(A) \implies \text{set-of-list}(xs @ ys) = \text{set-of-list}(xs) \cup \text{set-of-list}(ys)$ 

```

```

apply (erule list.induct)
apply (simp-all (no-asm-simp) add: Un-cons)
done

```

```

lemma list-add-type [TC]:  $xs: \text{list}(\text{nat}) \implies \text{list-add}(xs) \in \text{nat}$ 
by (simp add: list-add-list-def)

```

```

lemma map-ident [simp]:  $l \in \text{list}(A) \implies \text{map}(\lambda u. u, l) = l$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp))
done

```

```

lemma map-compose:  $l \in \text{list}(A) \implies \text{map}(h, \text{map}(j, l)) = \text{map}(\lambda u. h(j(u)), l)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp))
done

```

```

lemma map-app-distrib:  $xs: \text{list}(A) \implies \text{map}(h, xs @ ys) = \text{map}(h, xs) @ \text{map}(h, ys)$ 
apply (induct-tac xs)
apply (simp-all (no-asm-simp))
done

```

```

lemma map-flat:  $ls: \text{list}(\text{list}(A)) \implies \text{map}(h, \text{flat}(ls)) = \text{flat}(\text{map}(\text{map}(h), ls))$ 
apply (induct-tac ls)
apply (simp-all (no-asm-simp) add: map-app-distrib)
done

```

```

lemma list-rec-map:
   $l \in \text{list}(A) \implies$ 
     $\text{list-rec}(c, d, \text{map}(h, l)) =$ 
     $\text{list-rec}(c, \lambda x \ xs \ r. d(h(x), \text{map}(h, xs), r), l)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp))
done

```

```

lemmas list-CollectD = Collect-subset [THEN list-mono, THEN subsetD]

```

```

lemma map-list-Collect:  $l \in \text{list}(\{x \in A. h(x)=j(x)\}) \implies \text{map}(h, l) = \text{map}(j, l)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp))

```

done

**lemma** *length-map* [*simp*]:  $xs: \text{list}(A) \implies \text{length}(\text{map}(h, xs)) = \text{length}(xs)$   
**by** (*induct-tac xs, simp-all*)

**lemma** *length-app* [*simp*]:  
     $\llbracket xs: \text{list}(A); ys: \text{list}(A) \rrbracket$   
     $\implies \text{length}(xs@ys) = \text{length}(xs) \# + \text{length}(ys)$   
**by** (*induct-tac xs, simp-all*)

**lemma** *length-rev* [*simp*]:  $xs: \text{list}(A) \implies \text{length}(\text{rev}(xs)) = \text{length}(xs)$   
**apply** (*induct-tac xs*)  
**apply** (*simp-all (no-asm-simp) add: length-app*)  
**done**

**lemma** *length-flat*:  
     $ls: \text{list}(\text{list}(A)) \implies \text{length}(\text{flat}(ls)) = \text{list-add}(\text{map}(\text{length}, ls))$   
**apply** (*induct-tac ls*)  
**apply** (*simp-all (no-asm-simp) add: length-app*)  
**done**

**lemma** *drop-length-Cons* [*rule-format*]:  
     $xs: \text{list}(A) \implies$   
         $\forall x. \exists z zs. \text{drop}(\text{length}(xs), \text{Cons}(x, xs)) = \text{Cons}(z, zs)$   
**by** (*erule list.induct, simp-all*)

**lemma** *drop-length* [*rule-format*]:  
     $l \in \text{list}(A) \implies \forall i \in \text{length}(l). (\exists z zs. \text{drop}(i, l) = \text{Cons}(z, zs))$   
**apply** (*erule list.induct, simp-all, safe*)  
**apply** (*erule drop-length-Cons*)  
**apply** (*rule natE*)  
**apply** (*erule Ord-trans [OF asm-rl length-type Ord-nat], assumption, simp-all*)  
**apply** (*blast intro: succ-in-naturalD length-type*)  
**done**

**lemma** *app-right-Nil* [*simp*]:  $xs: \text{list}(A) \implies xs@Nil = xs$   
**by** (*erule list.induct, simp-all*)

**lemma** *app-assoc*:  $xs: \text{list}(A) \implies (xs@ys)@zs = xs@(ys@zs)$   
**by** (*induct-tac xs, simp-all*)

```

lemma flat-app-distrib:  $ls: \text{list}(\text{list}(A)) \implies \text{flat}(ls @ ms) = \text{flat}(ls) @ \text{flat}(ms)$ 
apply (induct-tac ls)
apply (simp-all (no-asm-simp) add: app-assoc)
done

```

```

lemma rev-map-distrib:  $l \in \text{list}(A) \implies \text{rev}(\text{map}(h, l)) = \text{map}(h, \text{rev}(l))$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: map-app-distrib)
done

```

```

lemma rev-app-distrib:
   $\llbracket xs: \text{list}(A); \quad ys: \text{list}(A) \rrbracket \implies \text{rev}(xs @ ys) = \text{rev}(ys) @ \text{rev}(xs)$ 
apply (erule list.induct)
apply (simp-all add: app-assoc)
done

```

```

lemma rev-rev-ident [simp]:  $l \in \text{list}(A) \implies \text{rev}(\text{rev}(l)) = l$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: rev-app-distrib)
done

```

```

lemma rev-flat:  $ls: \text{list}(\text{list}(A)) \implies \text{rev}(\text{flat}(ls)) = \text{flat}(\text{map}(\text{rev}, \text{rev}(ls)))$ 
apply (induct-tac ls)
apply (simp-all add: map-app-distrib flat-app-distrib rev-app-distrib)
done

```

```

lemma list-add-app:
   $\llbracket xs: \text{list}(\text{nat}); \quad ys: \text{list}(\text{nat}) \rrbracket$ 
   $\implies \text{list-add}(xs @ ys) = \text{list-add}(ys) \# + \text{list-add}(xs)$ 
apply (induct-tac xs, simp-all)
done

```

```

lemma list-add-rev:  $l \in \text{list}(\text{nat}) \implies \text{list-add}(\text{rev}(l)) = \text{list-add}(l)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: list-add-app)
done

```

```

lemma list-add-flat:
   $ls: \text{list}(\text{list}(\text{nat})) \implies \text{list-add}(\text{flat}(ls)) = \text{list-add}(\text{map}(\text{list-add}, ls))$ 
apply (induct-tac ls)
apply (simp-all (no-asm-simp) add: list-add-app)
done

```

**lemma** *list-append-induct* [*case-names Nil snoc, consumes 1*]:

$\llbracket l \in \text{list}(A);$   
 $P(\text{Nil});$   
 $\bigwedge x y. \llbracket x \in A; y \in \text{list}(A); P(y) \rrbracket \implies P(y @ [x])$   
 $\rrbracket \implies P(l)$   
**apply** (*subgoal-tac*  $P(\text{rev}(\text{rev}(l)))$ , *simp*)  
**apply** (*erule rev-type* [*THEN list.induct*], *simp-all*)  
**done**

**lemma** *list-complete-induct-lemma* [*rule-format*]:

**assumes** *ih*:  
 $\bigwedge l. \llbracket l \in \text{list}(A);$   
 $\forall l' \in \text{list}(A). \text{length}(l') < \text{length}(l) \longrightarrow P(l') \rrbracket$   
 $\implies P(l)$   
**shows**  $n \in \text{nat} \implies \forall l \in \text{list}(A). \text{length}(l) < n \longrightarrow P(l)$   
**apply** (*induct-tac* *n*, *simp*)  
**apply** (*blast intro: ih elim!: leE*)  
**done**

**theorem** *list-complete-induct*:

$\llbracket l \in \text{list}(A);$   
 $\bigwedge l. \llbracket l \in \text{list}(A);$   
 $\forall l' \in \text{list}(A). \text{length}(l') < \text{length}(l) \longrightarrow P(l') \rrbracket$   
 $\implies P(l)$   
**apply** (*rule list-complete-induct-lemma* [*of A*])  
**prefer** 4 **apply** (*rule le-refl, simp*)  
**apply** *blast*  
**apply** *simp*  
**apply** *assumption*  
**done**

**lemma** *min-sym*:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(i,j) = \text{min}(j,i)$

**unfolding** *min-def*  
**apply** (*auto dest!: not-lt-imp-le dest: lt-not-sym intro: le-anti-sym*)  
**done**

**lemma** *min-type* [*simp, TC*]:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(i,j) : \text{nat}$   
**by** (*unfold min-def, auto*)

**lemma** *min-0* [*simp*]:  $i \in \text{nat} \implies \text{min}(0,i) = 0$   
**unfolding** *min-def*



**apply** (*auto dest: not-lt-imp-le*)  
**done**

**lemma** *min-02* [*simp*]:  $i \in \text{nat} \implies \text{min}(i, 0) = 0$   
**unfolding** *min-def*  
**apply** (*auto dest: not-lt-imp-le*)  
**done**

**lemma** *lt-min-iff*:  $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat} \rrbracket \implies i < \text{min}(j, k) \longleftrightarrow i < j \wedge i < k$   
**unfolding** *min-def*  
**apply** (*auto dest!: not-lt-imp-le intro: lt-trans2 lt-trans*)  
**done**

**lemma** *min-succ-succ* [*simp*]:  
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(\text{succ}(i), \text{succ}(j)) = \text{succ}(\text{min}(i, j))$   
**apply** (*unfold min-def, auto*)  
**done**

**lemma** *filter-append* [*simp*]:  
 $xs:\text{list}(A) \implies \text{filter}(P, xs @ ys) = \text{filter}(P, xs) @ \text{filter}(P, ys)$   
**by** (*induct-tac xs, auto*)

**lemma** *filter-type* [*simp, TC*]:  $xs:\text{list}(A) \implies \text{filter}(P, xs):\text{list}(A)$   
**by** (*induct-tac xs, auto*)

**lemma** *length-filter*:  $xs:\text{list}(A) \implies \text{length}(\text{filter}(P, xs)) \leq \text{length}(xs)$   
**apply** (*induct-tac xs, auto*)  
**apply** (*rule-tac j = length (l) in le-trans*)  
**apply** (*auto simp add: le-iff*)  
**done**

**lemma** *filter-is-subset*:  $xs:\text{list}(A) \implies \text{set-of-list}(\text{filter}(P, xs)) \subseteq \text{set-of-list}(xs)$   
**by** (*induct-tac xs, auto*)

**lemma** *filter-False* [*simp*]:  $xs:\text{list}(A) \implies \text{filter}(\lambda p. \text{False}, xs) = \text{Nil}$   
**by** (*induct-tac xs, auto*)

**lemma** *filter-True* [*simp*]:  $xs:\text{list}(A) \implies \text{filter}(\lambda p. \text{True}, xs) = xs$   
**by** (*induct-tac xs, auto*)

**lemma** *length-is-0-iff* [*simp*]:  $xs:\text{list}(A) \implies \text{length}(xs) = 0 \longleftrightarrow xs = \text{Nil}$   
**by** (*erule list.induct, auto*)

**lemma** *length-is-0-iff2* [simp]:  $xs: \text{list}(A) \implies 0 = \text{length}(xs) \longleftrightarrow xs = \text{Nil}$   
**by** (erule list.induct, auto)

**lemma** *length-tl* [simp]:  $xs: \text{list}(A) \implies \text{length}(\text{tl}(xs)) = \text{length}(xs) \# - 1$   
**by** (erule list.induct, auto)

**lemma** *length-greater-0-iff*:  $xs: \text{list}(A) \implies 0 < \text{length}(xs) \longleftrightarrow xs \neq \text{Nil}$   
**by** (erule list.induct, auto)

**lemma** *length-succ-iff*:  $xs: \text{list}(A) \implies \text{length}(xs) = \text{succ}(n) \longleftrightarrow (\exists y \text{ } ys. xs = \text{Cons}(y, ys) \wedge \text{length}(ys) = n)$   
**by** (erule list.induct, auto)

**lemma** *append-is-Nil-iff* [simp]:  
 $xs: \text{list}(A) \implies (xs @ ys = \text{Nil}) \longleftrightarrow (xs = \text{Nil} \wedge ys = \text{Nil})$   
**by** (erule list.induct, auto)

**lemma** *append-is-Nil-iff2* [simp]:  
 $xs: \text{list}(A) \implies (\text{Nil} = xs @ ys) \longleftrightarrow (xs = \text{Nil} \wedge ys = \text{Nil})$   
**by** (erule list.induct, auto)

**lemma** *append-left-is-self-iff* [simp]:  
 $xs: \text{list}(A) \implies (xs @ ys = xs) \longleftrightarrow (ys = \text{Nil})$   
**by** (erule list.induct, auto)

**lemma** *append-left-is-self-iff2* [simp]:  
 $xs: \text{list}(A) \implies (xs = xs @ ys) \longleftrightarrow (ys = \text{Nil})$   
**by** (erule list.induct, auto)

**lemma** *append-left-is-Nil-iff* [rule-format]:  
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); zs: \text{list}(A) \rrbracket \implies$   
 $\text{length}(ys) = \text{length}(zs) \longrightarrow (xs @ ys = zs \longleftrightarrow (xs = \text{Nil} \wedge ys = zs))$   
**apply** (erule list.induct)  
**apply** (auto simp add: length-app)  
**done**

**lemma** *append-left-is-Nil-iff2* [rule-format]:  
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); zs: \text{list}(A) \rrbracket \implies$   
 $\text{length}(ys) = \text{length}(zs) \longrightarrow (zs = ys @ xs \longleftrightarrow (xs = \text{Nil} \wedge ys = zs))$   
**apply** (erule list.induct)  
**apply** (auto simp add: length-app)  
**done**

**lemma** *append-eq-append-iff* [rule-format]:  
 $xs: \text{list}(A) \implies \forall ys \in \text{list}(A).$

```

      length(xs)=length(ys)  $\longrightarrow$  (xs@us = ys@vs)  $\longleftrightarrow$  (xs=ys  $\wedge$  us=vs)
apply (erule list.induct)
apply (simp (no-asm-simp))
apply clarify
apply (erule-tac a = ys in list.cases, auto)
done
declare append-eq-append-iff [simp]

```

```

lemma append-eq-append [rule-format]:
  xs:list(A)  $\implies$ 
     $\forall$  ys  $\in$  list(A).  $\forall$  us  $\in$  list(A).  $\forall$  vs  $\in$  list(A).
      length(us) = length(vs)  $\longrightarrow$  (xs@us = ys@vs)  $\longrightarrow$  (xs=ys  $\wedge$  us=vs)
apply (induct-tac xs)
apply (force simp add: length-app, clarify)
apply (erule-tac a = ys in list.cases, simp)
apply (subgoal-tac Cons (a, l) @ us = vs)
apply (drule rev-iffD1 [OF - append-left-is-Nil-iff], simp-all, blast)
done

```

```

lemma append-eq-append-iff2 [simp]:
   $\llbracket$ xs:list(A); ys:list(A); us:list(A); vs:list(A); length(us)=length(vs) $\rrbracket$ 
     $\implies$  xs@us = ys@vs  $\longleftrightarrow$  (xs=ys  $\wedge$  us=vs)
apply (rule iffI)
apply (rule append-eq-append, auto)
done

```

```

lemma append-self-iff [simp]:
   $\llbracket$ xs:list(A); ys:list(A); zs:list(A) $\rrbracket \implies$  xs@ys=xs@zs  $\longleftrightarrow$  ys=zs
by simp

```

```

lemma append-self-iff2 [simp]:
   $\llbracket$ xs:list(A); ys:list(A); zs:list(A) $\rrbracket \implies$  ys@xs=zs@xs  $\longleftrightarrow$  ys=zs
by simp

```

```

lemma append1-eq-iff [rule-format]:
  xs:list(A)  $\implies \forall$  ys  $\in$  list(A). xs@[x] = ys@[y]  $\longleftrightarrow$  (xs = ys  $\wedge$  x=y)
apply (erule list.induct)
apply clarify
apply (erule list.cases)
apply simp-all

```

Inductive step

```

apply clarify
apply (erule-tac a=ys in list.cases, simp-all)
done
declare append1-eq-iff [simp]

```

```

lemma append-right-is-self-iff [simp]:

```

$\llbracket xs:\text{list}(A); ys:\text{list}(A) \rrbracket \implies (xs@ys = ys) \longleftrightarrow (xs = \text{Nil})$   
**by** (*simp* (*no-asm-simp*) *add: append-left-is-Nil-iff*)

**lemma** *append-right-is-self-iff2* [*simp*]:  
 $\llbracket xs:\text{list}(A); ys:\text{list}(A) \rrbracket \implies (ys = xs@ys) \longleftrightarrow (xs = \text{Nil})$   
**apply** (*rule iffI*)  
**apply** (*drule sym, auto*)  
**done**

**lemma** *hd-append* [*rule-format*]:  
 $xs:\text{list}(A) \implies xs \neq \text{Nil} \longrightarrow \text{hd}(xs @ ys) = \text{hd}(xs)$   
**by** (*induct-tac xs, auto*)  
**declare** *hd-append* [*simp*]

**lemma** *tl-append* [*rule-format*]:  
 $xs:\text{list}(A) \implies xs \neq \text{Nil} \longrightarrow \text{tl}(xs @ ys) = \text{tl}(xs)@ys$   
**by** (*induct-tac xs, auto*)  
**declare** *tl-append* [*simp*]

**lemma** *rev-is-Nil-iff* [*simp*]:  $xs:\text{list}(A) \implies (\text{rev}(xs) = \text{Nil} \longleftrightarrow xs = \text{Nil})$   
**by** (*erule list.induct, auto*)

**lemma** *Nil-is-rev-iff* [*simp*]:  $xs:\text{list}(A) \implies (\text{Nil} = \text{rev}(xs) \longleftrightarrow xs = \text{Nil})$   
**by** (*erule list.induct, auto*)

**lemma** *rev-is-rev-iff* [*rule-format*]:  
 $xs:\text{list}(A) \implies \forall ys \in \text{list}(A). \text{rev}(xs) = \text{rev}(ys) \longleftrightarrow xs = ys$   
**apply** (*erule list.induct, force, clarify*)  
**apply** (*erule-tac a = ys in list.cases, auto*)  
**done**  
**declare** *rev-is-rev-iff* [*simp*]

**lemma** *rev-list-elim* [*rule-format*]:  
 $xs:\text{list}(A) \implies$   
 $(xs = \text{Nil} \longrightarrow P) \longrightarrow (\forall ys \in \text{list}(A). \forall y \in A. xs = ys@[y] \longrightarrow P) \longrightarrow P$   
**by** (*erule list-append-induct, auto*)

**lemma** *length-drop* [*rule-format*]:  
 $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(\text{drop}(n, xs)) = \text{length}(xs) \# - n$   
**apply** (*erule nat-induct*)  
**apply** (*auto elim: list.cases*)  
**done**  
**declare** *length-drop* [*simp*]

**lemma** *drop-all* [*rule-format*]:

```

       $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(xs) \leq n \longrightarrow \text{drop}(n, xs) = \text{Nil}$ 
apply (erule nat-induct)
apply (auto elim: list.cases)
done
declare drop-all [simp]

lemma drop-append [rule-format]:
   $n \in \text{nat} \implies$ 
   $\forall xs \in \text{list}(A). \text{drop}(n, xs @ ys) = \text{drop}(n, xs) @ \text{drop}(n \# - \text{length}(xs), ys)$ 
apply (induct-tac n)
apply (auto elim: list.cases)
done

lemma drop-drop:
   $m \in \text{nat} \implies \forall xs \in \text{list}(A). \forall n \in \text{nat}. \text{drop}(n, \text{drop}(m, xs)) = \text{drop}(n \# + m, xs)$ 
apply (induct-tac m)
apply (auto elim: list.cases)
done

lemma take-0 [simp]:  $xs: \text{list}(A) \implies \text{take}(0, xs) = \text{Nil}$ 
  unfolding take-def
apply (erule list.induct, auto)
done

lemma take-succ-Cons [simp]:
   $n \in \text{nat} \implies \text{take}(\text{succ}(n), \text{Cons}(a, xs)) = \text{Cons}(a, \text{take}(n, xs))$ 
by (simp add: take-def)

lemma take-Nil [simp]:  $n \in \text{nat} \implies \text{take}(n, \text{Nil}) = \text{Nil}$ 
by (unfold take-def, auto)

lemma take-all [rule-format]:
   $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(xs) \leq n \longrightarrow \text{take}(n, xs) = xs$ 
apply (erule nat-induct)
apply (auto elim: list.cases)
done
declare take-all [simp]

lemma take-type [rule-format]:
   $xs: \text{list}(A) \implies \forall n \in \text{nat}. \text{take}(n, xs): \text{list}(A)$ 
apply (erule list.induct, simp, clarify)
apply (erule natE, auto)
done
declare take-type [simp, TC]

lemma take-append [rule-format]:

```

```

 $xs: \text{list}(A) \implies$ 
 $\forall ys \in \text{list}(A). \forall n \in \text{nat}. \text{take}(n, xs @ ys) =$ 
 $\text{take}(n, xs) @ \text{take}(n \# - \text{length}(xs), ys)$ 
apply (erule list.induct, simp, clarify)
apply (erule natE, auto)
done
declare take-append [simp]

lemma take-take [rule-format]:
 $m \in \text{nat} \implies$ 
 $\forall xs \in \text{list}(A). \forall n \in \text{nat}. \text{take}(n, \text{take}(m, xs)) = \text{take}(\min(n, m), xs)$ 
apply (induct-tac m, auto)
apply (erule-tac a = xs in list.cases)
apply (auto simp add: take-Nil)
apply (erule-tac n=n in natE)
apply (auto intro: take-0 take-type)
done

lemma nth-0 [simp]:  $\text{nth}(0, \text{Cons}(a, l)) = a$ 
by (simp add: nth-def)

lemma nth-Cons [simp]:  $n \in \text{nat} \implies \text{nth}(\text{succ}(n), \text{Cons}(a, l)) = \text{nth}(n, l)$ 
by (simp add: nth-def)

lemma nth-empty [simp]:  $\text{nth}(n, \text{Nil}) = 0$ 
by (simp add: nth-def)

lemma nth-type [rule-format]:
 $xs: \text{list}(A) \implies \forall n. n < \text{length}(xs) \longrightarrow \text{nth}(n, xs) \in A$ 
apply (erule list.induct, simp, clarify)
apply (subgoal-tac  $n \in \text{nat}$ )
apply (erule natE, auto dest!: le-in-nat)
done
declare nth-type [simp, TC]

lemma nth-eq-0 [rule-format]:
 $xs: \text{list}(A) \implies \forall n \in \text{nat}. \text{length}(xs) \leq n \longrightarrow \text{nth}(n, xs) = 0$ 
apply (erule list.induct, simp, clarify)
apply (erule natE, auto)
done

lemma nth-append [rule-format]:
 $xs: \text{list}(A) \implies$ 
 $\forall n \in \text{nat}. \text{nth}(n, xs @ ys) = (\text{if } n < \text{length}(xs) \text{ then } \text{nth}(n, xs)$ 
 $\text{else } \text{nth}(n \# - \text{length}(xs), ys))$ 
apply (induct-tac xs, simp, clarify)
apply (erule natE, auto)

```

done

**lemma** *set-of-list-conv-nth*:

$xs: \text{list}(A)$   
 $\implies \text{set-of-list}(xs) = \{x \in A. \exists i \in \text{nat}. i < \text{length}(xs) \wedge x = \text{nth}(i, xs)\}$   
**apply** (*induct-tac*  $xs$ , *simp-all*)  
**apply** (*rule equalityI*, *auto*)  
**apply** (*rule-tac*  $x = 0$  **in**  $\text{be}xI$ , *auto*)  
**apply** (*erule natE*, *auto*)  
done

**lemma** *nth-take-lemma* [*rule-format*]:

$k \in \text{nat} \implies$   
 $\forall xs \in \text{list}(A). (\forall ys \in \text{list}(A). k \leq \text{length}(xs) \longrightarrow k \leq \text{length}(ys) \longrightarrow$   
 $(\forall i \in \text{nat}. i < k \longrightarrow \text{nth}(i, xs) = \text{nth}(i, ys)) \longrightarrow \text{take}(k, xs) = \text{take}(k, ys))$   
**apply** (*induct-tac*  $k$ )  
**apply** (*simp-all* (*no-asm-simp*) *add: lt-succ-eq-0-disj all-conj-distrib*)  
**apply** *clarify*

**apply** (*erule-tac*  $a = xs$  **in**  $\text{list.cases}$ , *simp*)  
**apply** (*erule-tac*  $a = ys$  **in**  $\text{list.cases}$ , *clarify*)  
**apply** (*simp* (*no-asm-use*) )  
**apply** *clarify*  
**apply** (*simp* (*no-asm-simp*))  
**apply** (*rule conjI*, *force*)  
**apply** (*rename-tac*  $y$   $ys$   $z$   $zs$ )  
**apply** (*drule-tac*  $x = zs$  **and**  $x1 = ys$  **in**  $\text{bspec}$  [*THEN*  $\text{bspec}$ ], *auto*)  
done

**lemma** *nth-equalityI* [*rule-format*]:

$\llbracket xs: \text{list}(A); ys: \text{list}(A); \text{length}(xs) = \text{length}(ys);$   
 $\forall i \in \text{nat}. i < \text{length}(xs) \longrightarrow \text{nth}(i, xs) = \text{nth}(i, ys) \rrbracket$   
 $\implies xs = ys$   
**apply** (*subgoal-tac*  $\text{length}(xs) \leq \text{length}(ys)$  )  
**apply** (*cut-tac*  $k = \text{length}(xs)$  **and**  $xs = xs$  **and**  $ys = ys$  **in** *nth-take-lemma*)  
**apply** (*simp-all* *add: take-all*)  
done

**lemma** *take-equalityI* [*rule-format*]:

$\llbracket xs: \text{list}(A); ys: \text{list}(A); (\forall i \in \text{nat}. \text{take}(i, xs) = \text{take}(i, ys)) \rrbracket$   
 $\implies xs = ys$   
**apply** (*case-tac*  $\text{length}(xs) \leq \text{length}(ys)$  )  
**apply** (*drule-tac*  $x = \text{length}(ys)$  **in**  $\text{bspec}$ )  
**apply** (*drule-tac* [3] *not-lt-imp-le*)  
**apply** (*subgoal-tac* [5]  $\text{length}(ys) \leq \text{length}(xs)$  )

```

apply (rule-tac [6] j = succ (length (ys)) in le-trans)
apply (rule-tac [6] leI)
apply (drule-tac [5] x = length (xs) in bspec)
apply (simp-all add: take-all)
done

```

```

lemma nth-drop [rule-format]:
  n ∈ nat ⇒ ∀ i ∈ nat. ∀ xs ∈ list(A). nth(i, drop(n, xs)) = nth(n #+ i, xs)
apply (induct-tac n, simp-all, clarify)
apply (erule list.cases, auto)
done

```

```

lemma take-succ [rule-format]:
  xs ∈ list(A)
  ⇒ ∀ i. i < length(xs) → take(succ(i), xs) = take(i, xs) @ [nth(i, xs)]
apply (induct-tac xs, auto)
apply (subgoal-tac i ∈ nat)
apply (erule natE)
apply (auto simp add: le-in-nat)
done

```

```

lemma take-add [rule-format]:
  [xs ∈ list(A); j ∈ nat]
  ⇒ ∀ i ∈ nat. take(i #+ j, xs) = take(i, xs) @ take(j, drop(i, xs))
apply (induct-tac xs, simp-all, clarify)
apply (erule-tac n = i in natE, simp-all)
done

```

```

lemma length-take:
  l ∈ list(A) ⇒ ∀ n ∈ nat. length(take(n, l)) = min(n, length(l))
apply (induct-tac l, safe, simp-all)
apply (erule natE, simp-all)
done

```

## 29.1 The function zip

Crafty definition to eliminate a type argument

**consts**

*zip-aux* :: [i, i] ⇒ i

**primrec**

*zip-aux*(B, []) =  
 (λys ∈ list(B). list-case([], λy l. [], ys))

*zip-aux*(B, Cons(x, l)) =  
 (λys ∈ list(B).  
 list-case(Nil, λy zs. Cons(⟨x, y⟩, *zip-aux*(B, l) 'zs), ys))

**definition**



```

zip :: [i, i] ⇒ i where
  zip(xs, ys) ≡ zip-aux(set-of-list(ys),xs) 'ys

lemma list-on-set-of-list: xs ∈ list(A) ⇒ xs ∈ list(set-of-list(xs))
apply (induct-tac xs, simp-all)
apply (blast intro: list-mono [THEN subsetD])
done

lemma zip-Nil [simp]: ys:list(A) ⇒ zip(Nil, ys)=Nil
apply (simp add: zip-def list-on-set-of-list [of - A])
apply (erule list.cases, simp-all)
done

lemma zip-Nil2 [simp]: xs:list(A) ⇒ zip(xs, Nil)=Nil
apply (simp add: zip-def list-on-set-of-list [of - A])
apply (erule list.cases, simp-all)
done

lemma zip-aux-unique [rule-format]:
  ⌊B ≤ C; xs ∈ list(A)⌋
  ⇒ ∀ ys ∈ list(B). zip-aux(C,xs) ' ys = zip-aux(B,xs) ' ys
apply (induct-tac xs)
apply simp-all
apply (blast intro: list-mono [THEN subsetD], clarify)
apply (erule-tac a=ys in list.cases, auto)
apply (blast intro: list-mono [THEN subsetD])
done

lemma zip-Cons-Cons [simp]:
  ⌊xs:list(A); ys:list(B); x ∈ A; y ∈ B⌋ ⇒
  zip(Cons(x,xs), Cons(y, ys)) = Cons(⟨x,y⟩, zip(xs, ys))
apply (simp add: zip-def, auto)
apply (rule zip-aux-unique, auto)
apply (simp add: list-on-set-of-list [of - B])
apply (blast intro: list-on-set-of-list list-mono [THEN subsetD])
done

lemma zip-type [rule-format]:
  xs:list(A) ⇒ ∀ ys ∈ list(B). zip(xs, ys):list(A*B)
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule-tac a = ys in list.cases, auto)
done
declare zip-type [simp,TC]

```

```

lemma length-zip [rule-format]:
   $xs: \text{list}(A) \implies \forall ys \in \text{list}(B). \text{length}(\text{zip}(xs, ys)) =$ 
     $\text{min}(\text{length}(xs), \text{length}(ys))$ 

  unfolding min-def
apply (induct-tac xs, simp-all, clarify)
apply (erule-tac a = ys in list.cases, auto)
done
declare length-zip [simp]

lemma zip-append1 [rule-format]:
   $\llbracket ys: \text{list}(A); zs: \text{list}(B) \rrbracket \implies$ 
     $\forall xs \in \text{list}(A). \text{zip}(xs @ ys, zs) =$ 
     $\text{zip}(xs, \text{take}(\text{length}(xs), zs)) @ \text{zip}(ys, \text{drop}(\text{length}(xs), zs))$ 
apply (induct-tac zs, force, clarify)
apply (erule-tac a = xs in list.cases, simp-all)
done

lemma zip-append2 [rule-format]:
   $\llbracket xs: \text{list}(A); zs: \text{list}(B) \rrbracket \implies \forall ys \in \text{list}(B). \text{zip}(xs, ys @ zs) =$ 
     $\text{zip}(\text{take}(\text{length}(ys), xs), ys) @ \text{zip}(\text{drop}(\text{length}(ys), xs), zs)$ 
apply (induct-tac xs, force, clarify)
apply (erule-tac a = ys in list.cases, auto)
done

lemma zip-append [simp]:
   $\llbracket \text{length}(xs) = \text{length}(us); \text{length}(ys) = \text{length}(vs);$ 
     $xs: \text{list}(A); us: \text{list}(B); ys: \text{list}(A); vs: \text{list}(B) \rrbracket$ 
     $\implies \text{zip}(xs @ ys, us @ vs) = \text{zip}(xs, us) @ \text{zip}(ys, vs)$ 
by (simp (no-asm-simp) add: zip-append1 drop-append diff-self-eq-0)

lemma zip-rev [rule-format]:
   $ys: \text{list}(B) \implies \forall xs \in \text{list}(A).$ 
     $\text{length}(xs) = \text{length}(ys) \longrightarrow \text{zip}(\text{rev}(xs), \text{rev}(ys)) = \text{rev}(\text{zip}(xs, ys))$ 
apply (induct-tac ys, force, clarify)
apply (erule-tac a = xs in list.cases)
apply (auto simp add: length-rev)
done
declare zip-rev [simp]

lemma nth-zip [rule-format]:
   $ys: \text{list}(B) \implies \forall i \in \text{nat}. \forall xs \in \text{list}(A).$ 
     $i < \text{length}(xs) \longrightarrow i < \text{length}(ys) \longrightarrow$ 
     $\text{nth}(i, \text{zip}(xs, ys)) = \langle \text{nth}(i, xs), \text{nth}(i, ys) \rangle$ 
apply (induct-tac ys, force, clarify)
apply (erule-tac a = xs in list.cases, simp)
apply (auto elim: natE)
done

```

**declare** *nth-zip* [*simp*]

**lemma** *set-of-list-zip* [*rule-format*]:

$\llbracket xs: \text{list}(A); ys: \text{list}(B); i \in \text{nat} \rrbracket$   
 $\implies \text{set-of-list}(\text{zip}(xs, ys)) =$   
 $\{ \langle x, y \rangle : A * B. \exists i \in \text{nat}. i < \min(\text{length}(xs), \text{length}(ys))$   
 $\wedge x = \text{nth}(i, xs) \wedge y = \text{nth}(i, ys) \}$

**by** (*force intro!*: *Collect-cong simp add: lt-min-iff set-of-list-conv-nth*)

**lemma** *list-update-Nil* [*simp*]:  $i \in \text{nat} \implies \text{list-update}(\text{Nil}, i, v) = \text{Nil}$

**by** (*unfold list-update-def, auto*)

**lemma** *list-update-Cons-0* [*simp*]:  $\text{list-update}(\text{Cons}(x, xs), 0, v) = \text{Cons}(v, xs)$

**by** (*unfold list-update-def, auto*)

**lemma** *list-update-Cons-succ* [*simp*]:

$n \in \text{nat} \implies$

$\text{list-update}(\text{Cons}(x, xs), \text{succ}(n), v) = \text{Cons}(x, \text{list-update}(xs, n, v))$

**apply** (*unfold list-update-def, auto*)

**done**

**lemma** *list-update-type* [*rule-format*]:

$\llbracket xs: \text{list}(A); v \in A \rrbracket \implies \forall n \in \text{nat}. \text{list-update}(xs, n, v): \text{list}(A)$

**apply** (*induct-tac xs*)

**apply** (*simp (no-asm)*)

**apply** *clarify*

**apply** (*erule natE, auto*)

**done**

**declare** *list-update-type* [*simp, TC*]

**lemma** *length-list-update* [*rule-format*]:

$xs: \text{list}(A) \implies \forall i \in \text{nat}. \text{length}(\text{list-update}(xs, i, v)) = \text{length}(xs)$

**apply** (*induct-tac xs*)

**apply** (*simp (no-asm)*)

**apply** *clarify*

**apply** (*erule natE, auto*)

**done**

**declare** *length-list-update* [*simp*]

**lemma** *nth-list-update* [*rule-format*]:

$\llbracket xs: \text{list}(A) \rrbracket \implies \forall i \in \text{nat}. \forall j \in \text{nat}. i < \text{length}(xs) \longrightarrow$

$\text{nth}(j, \text{list-update}(xs, i, x)) = (\text{if } i=j \text{ then } x \text{ else } \text{nth}(j, xs))$

**apply** (*induct-tac xs*)

**apply** *simp-all*

**apply** *clarify*

**apply** (*rename-tac i j*)

**apply** (*erule-tac n=i in natE*)

```

apply (erule-tac [2] n=j in natE)
apply (erule-tac n=j in natE, simp-all, force)
done

```

```

lemma nth-list-update-eq [simp]:
   $\llbracket i < \text{length}(xs); xs:\text{list}(A) \rrbracket \implies \text{nth}(i, \text{list-update}(xs, i, x)) = x$ 
by (simp (no-asm-simp) add: lt-length-in-nat nth-list-update)

```

```

lemma nth-list-update-neq [rule-format]:
   $xs:\text{list}(A) \implies$ 
   $\forall i \in \text{nat}. \forall j \in \text{nat}. i \neq j \longrightarrow \text{nth}(j, \text{list-update}(xs, i, x)) = \text{nth}(j, xs)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule natE)
apply (erule-tac [2] natE, simp-all)
apply (erule natE, simp-all)
done
declare nth-list-update-neq [simp]

```

```

lemma list-update-overwrite [rule-format]:
   $xs:\text{list}(A) \implies \forall i \in \text{nat}. i < \text{length}(xs)$ 
   $\longrightarrow \text{list-update}(\text{list-update}(xs, i, x), i, y) = \text{list-update}(xs, i, y)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule natE, auto)
done
declare list-update-overwrite [simp]

```

```

lemma list-update-same-conv [rule-format]:
   $xs:\text{list}(A) \implies$ 
   $\forall i \in \text{nat}. i < \text{length}(xs) \longrightarrow$ 
   $(\text{list-update}(xs, i, x) = xs) \longleftrightarrow (\text{nth}(i, xs) = x)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule natE, auto)
done

```

```

lemma update-zip [rule-format]:
   $ys:\text{list}(B) \implies$ 
   $\forall i \in \text{nat}. \forall xy \in A*B. \forall xs \in \text{list}(A).$ 
   $\text{length}(xs) = \text{length}(ys) \longrightarrow$ 
   $\text{list-update}(\text{zip}(xs, ys), i, xy) = \text{zip}(\text{list-update}(xs, i, \text{fst}(xy)),$ 
   $\text{list-update}(ys, i, \text{snd}(xy)))$ 
apply (induct-tac ys)
apply auto

```

```

apply (erule-tac a = xs in list.cases)
apply (auto elim: natE)
done

```

```

lemma set-update-subset-cons [rule-format]:
  xs:list(A)  $\implies$ 
   $\forall i \in \text{nat}. \text{set-of-list}(\text{list-update}(xs, i, x)) \subseteq \text{cons}(x, \text{set-of-list}(xs))$ 
apply (induct-tac xs)
apply simp
apply (rule ballI)
apply (erule natE, simp-all, auto)
done

```

```

lemma set-of-list-update-subsetI:
   $\llbracket \text{set-of-list}(xs) \subseteq A; xs:\text{list}(A); x \in A; i \in \text{nat} \rrbracket$ 
 $\implies \text{set-of-list}(\text{list-update}(xs, i, x)) \subseteq A$ 
apply (rule subset-trans)
apply (rule set-update-subset-cons, auto)
done

```

```

lemma upt-rec:
   $j \in \text{nat} \implies \text{upt}(i, j) = (\text{if } i < j \text{ then } \text{Cons}(i, \text{upt}(\text{succ}(i), j)) \text{ else } \text{Nil})$ 
apply (induct-tac j, auto)
apply (drule not-lt-imp-le)
apply (auto simp: lt-Ord intro: le-anti-sym)
done

```

```

lemma upt-conv-Nil [simp]:  $\llbracket j \leq i; j \in \text{nat} \rrbracket \implies \text{upt}(i, j) = \text{Nil}$ 
apply (subst upt-rec, auto)
apply (auto simp add: le-iff)
apply (drule lt-asym [THEN notE], auto)
done

```

```

lemma upt-succ-append:
   $\llbracket i \leq j; j \in \text{nat} \rrbracket \implies \text{upt}(i, \text{succ}(j)) = \text{upt}(i, j) @ [j]$ 
by simp

```

```

lemma upt-conv-Cons:
   $\llbracket i < j; j \in \text{nat} \rrbracket \implies \text{upt}(i, j) = \text{Cons}(i, \text{upt}(\text{succ}(i), j))$ 
apply (rule trans)
apply (rule upt-rec, auto)
done

```

```

lemma upt-type [simp, TC]:  $j \in \text{nat} \implies \text{upt}(i, j) : \text{list}(\text{nat})$ 
by (induct-tac j, auto)

```

```

lemma upt-add-eq-append:
   $\llbracket i \leq j; j \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{upt}(i, j \# + k) = \text{upt}(i, j) @ \text{upt}(j, j \# + k)$ 
apply (induct-tac k)
apply (auto simp add: app-assoc app-type)
apply (rule-tac j = j in le-trans, auto)
done

lemma length-upt [simp]:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{length}(\text{upt}(i, j)) = j \# - i$ 
apply (induct-tac j)
apply (rule-tac [?] sym)
apply (auto dest!: not-lt-imp-le simp add: diff-succ diff-is-0-iff)
done

lemma nth-upt [simp]:
   $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; i \# + k < j \rrbracket \implies \text{nth}(k, \text{upt}(i, j)) = i \# + k$ 
apply (rotate-tac  $-1$ , erule rev-mp)
apply (induct-tac j, simp)
apply (auto dest!: not-lt-imp-le
  simp add: nth-append le-iff less-diff-conv add-commute)
done

lemma take-upt [rule-format]:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies$ 
   $\forall i \in \text{nat}. i \# + m \leq n \longrightarrow \text{take}(m, \text{upt}(i, n)) = \text{upt}(i, i \# + m)$ 
apply (induct-tac m)
apply (simp (no-asm-simp) add: take-0)
apply clarify
apply (subst upt-rec, simp)
apply (rule sym)
apply (subst upt-rec, simp)
apply (simp-all del: upt.simps)
apply (rule-tac j = succ (i # + x) in lt-trans2)
apply auto
done
declare take-upt [simp]

lemma map-succ-upt:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{map}(\text{succ}, \text{upt}(m, n)) = \text{upt}(\text{succ}(m), \text{succ}(n))$ 
apply (induct-tac n)
apply (auto simp add: map-app-distrib)
done

lemma nth-map [rule-format]:
   $xs:\text{list}(A) \implies$ 
   $\forall n \in \text{nat}. n < \text{length}(xs) \longrightarrow \text{nth}(n, \text{map}(f, xs)) = f(\text{nth}(n, xs))$ 
apply (induct-tac xs, simp)
apply (rule ballI)
apply (induct-tac n, auto)

```

```

done
declare nth-map [simp]

lemma nth-map-upt [rule-format]:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies$ 
   $\forall i \in \text{nat}. i < n \#- m \longrightarrow \text{nth}(i, \text{map}(f, \text{upt}(m, n))) = f(m \#+ i)$ 
  apply (rule-tac  $n = m$  and  $m = n$  in diff-induct, typecheck, simp, simp)
  apply (subst map-succ-upt [symmetric], simp-all, clarify)
  apply (subgoal-tac  $i < \text{length}(\text{upt}(0, x))$ )
  prefer 2
  apply (simp add: less-diff-conv)
  apply (rule-tac  $j = \text{succ}(i \#+ y)$  in lt-trans2)
  apply simp
  apply simp
  apply (subgoal-tac  $i < \text{length}(\text{upt}(y, x))$ )
  apply (simp-all add: add-commute less-diff-conv)
done

```

```

definition
  sublist ::  $[i, i] \Rightarrow i$  where
    sublist( $xs, A$ )  $\equiv$ 
      map(fst, (filter( $\lambda p. \text{snd}(p): A, \text{zip}(xs, \text{upt}(0, \text{length}(xs))))))$ 

```

```

lemma sublist-0 [simp]:  $xs:\text{list}(A) \implies \text{sublist}(xs, 0) = \text{Nil}$ 
by (unfold sublist-def, auto)

```

```

lemma sublist-Nil [simp]:  $\text{sublist}(\text{Nil}, A) = \text{Nil}$ 
by (unfold sublist-def, auto)

```

```

lemma sublist-shift-lemma:
   $\llbracket xs:\text{list}(B); i \in \text{nat} \rrbracket \implies$ 
   $\text{map}(\text{fst}, \text{filter}(\lambda p. \text{snd}(p): A, \text{zip}(xs, \text{upt}(i, i \#+ \text{length}(xs)))))) =$ 
   $\text{map}(\text{fst}, \text{filter}(\lambda p. \text{snd}(p): \text{nat} \wedge \text{snd}(p) \#+ i \in A, \text{zip}(xs, \text{upt}(0, \text{length}(xs))))))$ 
  apply (erule list-append-induct)
  apply (simp (no-asm-simp))
  apply (auto simp add: add-commute length-app filter-append map-app-distrib)
done

```

```

lemma sublist-type [simp, TC]:
   $xs:\text{list}(B) \implies \text{sublist}(xs, A):\text{list}(B)$ 
  unfolding sublist-def
  apply (induct-tac xs)
  apply (auto simp add: filter-append map-app-distrib)
done

```

```

lemma upt-add-eq-append2:
   $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{upt}(0, i \#+ j) = \text{upt}(0, i) @ \text{upt}(i, i \#+ j)$ 

```

by (simp add: upt-add-eq-append [of 0] nat-0-le)

**lemma** *sublist-append*:

$\llbracket xs: \text{list}(B); ys: \text{list}(B) \rrbracket \implies$   
 $\text{sublist}(xs @ ys, A) = \text{sublist}(xs, A) @ \text{sublist}(ys, \{j \in \text{nat}. j \# + \text{length}(xs): A\})$   
 unfolding *sublist-def*  
 apply (erule-tac l = ys in list-append-induct, simp)  
 apply (simp (no-asm-simp) add: upt-add-eq-append2 app-assoc [symmetric])  
 apply (auto simp add: sublist-shift-lemma length-type map-app-distrib app-assoc)  
 apply (simp-all add: add-commute)  
 done

**lemma** *sublist-Cons*:

$\llbracket xs: \text{list}(B); x \in B \rrbracket \implies$   
 $\text{sublist}(\text{Cons}(x, xs), A) =$   
 $(\text{if } 0 \in A \text{ then } [x] \text{ else } []) @ \text{sublist}(xs, \{j \in \text{nat}. \text{succ}(j) \in A\})$   
 apply (erule-tac l = xs in list-append-induct)  
 apply (simp (no-asm-simp) add: sublist-def)  
 apply (simp del: app-Cons add: app-Cons [symmetric] sublist-append, simp)  
 done

**lemma** *sublist-singleton* [simp]:

$\text{sublist}([x], A) = (\text{if } 0 \in A \text{ then } [x] \text{ else } [])$   
 by (simp add: sublist-Cons)

**lemma** *sublist-upt-eq-take* [rule-format]:

$xs: \text{list}(A) \implies \forall n \in \text{nat}. \text{sublist}(xs, n) = \text{take}(n, xs)$   
 apply (erule list.induct, simp)  
 apply (clarify )  
 apply (erule natE)  
 apply (simp-all add: nat-eq-Collect-lt Ord-mem-iff-lt sublist-Cons)  
 done  
 declare *sublist-upt-eq-take* [simp]

**lemma** *sublist-Int-eq*:

$xs \in \text{list}(B) \implies \text{sublist}(xs, A \cap \text{nat}) = \text{sublist}(xs, A)$   
 apply (erule list.induct)  
 apply (simp-all add: sublist-Cons)  
 done

Repetition of a List Element

**consts** *repeat* ::  $[i, i] \Rightarrow i$

**primrec**

$\text{repeat}(a, 0) = []$

$\text{repeat}(a, \text{succ}(n)) = \text{Cons}(a, \text{repeat}(a, n))$

**lemma** *length-repeat*:  $n \in \text{nat} \implies \text{length}(\text{repeat}(a, n)) = n$



**by** (*induct-tac* *n*, *auto*)

**lemma** *repeat-succ-app*:  $n \in \text{nat} \implies \text{repeat}(a, \text{succ}(n)) = \text{repeat}(a, n) @ [a]$   
**apply** (*induct-tac* *n*)  
**apply** (*simp-all* *del*: *app-Cons* *add*: *app-Cons* [*symmetric*])  
**done**

**lemma** *repeat-type* [*TC*]:  $\llbracket a \in A; n \in \text{nat} \rrbracket \implies \text{repeat}(a, n) \in \text{list}(A)$   
**by** (*induct-tac* *n*, *auto*)

**end**

## 30 Equivalence Relations

**theory** *EquivClass* **imports** *Trancl Perm* **begin**

**definition**

*quotient* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle ' / ' \rangle$  90) **where**  
 $A / r \equiv \{ r^{-1} \{ x \} \mid x \in A \}$

**definition**

*congruent* ::  $[i, i] \Rightarrow o$  **where**  
 $\text{congruent}(r, b) \equiv \forall y z. \langle y, z \rangle : r \longrightarrow b(y) = b(z)$

**definition**

*congruent2* ::  $[i, i, [i, i] \Rightarrow i] \Rightarrow o$  **where**  
 $\text{congruent2}(r1, r2, b) \equiv \forall y1 z1 y2 z2. \\ \langle y1, z1 \rangle : r1 \longrightarrow \langle y2, z2 \rangle : r2 \longrightarrow b(y1, y2) = b(z1, z2)$

**abbreviation**

*RESPECTS* ::  $[i \Rightarrow i, i] \Rightarrow o$  (**infixr**  $\langle \text{respects} \rangle$  80) **where**  
 $f \text{ respects } r \equiv \text{congruent}(r, f)$

**abbreviation**

*RESPECTS2* ::  $[i \Rightarrow i \Rightarrow i, i] \Rightarrow o$  (**infixr**  $\langle \text{respects2} \rangle$  80) **where**  
 $f \text{ respects2 } r \equiv \text{congruent2}(r, r, f)$   
— Abbreviation for the common case where the relations are identical

### 30.1 Suppes, Theorem 70: $r$ is an equiv relation iff $\text{converse}(r)$ $O \ r = r$

**lemma** *sym-trans-comp-subset*:

$\llbracket \text{sym}(r); \text{trans}(r) \rrbracket \implies \text{converse}(r) \ O \ r \subseteq r$   
**by** (*unfold trans-def sym-def*, *blast*)

**lemma** *refl-comp-subset*:

$\llbracket \text{refl}(A, r); r \subseteq A * A \rrbracket \implies r \subseteq \text{converse}(r) \ O \ r$   
**by** (*unfold refl-def*, *blast*)

**lemma** *equiv-comp-eq*:  
 $\text{equiv}(A, r) \implies \text{converse}(r) \text{ } O \text{ } r = r$   
**unfolding** *equiv-def*  
**apply** (*blast del: subsetI intro!: sym-trans-comp-subset refl-comp-subset*)  
**done**

**lemma** *comp-equivI*:  
 $\llbracket \text{converse}(r) \text{ } O \text{ } r = r; \text{domain}(r) = A \rrbracket \implies \text{equiv}(A, r)$   
**unfolding** *equiv-def refl-def sym-def trans-def*  
**apply** (*erule equalityE*)  
**apply** (*subgoal-tac  $\forall x y. \langle x, y \rangle \in r \longrightarrow \langle y, x \rangle \in r$ , blast+*)  
**done**

**lemma** *equiv-class-subset*:  
 $\llbracket \text{sym}(r); \text{trans}(r); \langle a, b \rangle: r \rrbracket \implies r^{\{\{a\}\}} \subseteq r^{\{\{b\}\}}$   
**by** (*unfold trans-def sym-def, blast*)

**lemma** *equiv-class-eq*:  
 $\llbracket \text{equiv}(A, r); \langle a, b \rangle: r \rrbracket \implies r^{\{\{a\}\}} = r^{\{\{b\}\}}$   
**unfolding** *equiv-def*  
**apply** (*safe del: subsetI intro!: equalityI equiv-class-subset*)  
**apply** (*unfold sym-def, blast*)  
**done**

**lemma** *equiv-class-self*:  
 $\llbracket \text{equiv}(A, r); a \in A \rrbracket \implies a \in r^{\{\{a\}\}}$   
**by** (*unfold equiv-def refl-def, blast*)

**lemma** *subset-equiv-class*:  
 $\llbracket \text{equiv}(A, r); r^{\{\{b\}\}} \subseteq r^{\{\{a\}\}}; b \in A \rrbracket \implies \langle a, b \rangle: r$   
**by** (*unfold equiv-def refl-def, blast*)

**lemma** *eq-equiv-class*:  $\llbracket r^{\{\{a\}\}} = r^{\{\{b\}\}}; \text{equiv}(A, r); b \in A \rrbracket \implies \langle a, b \rangle: r$   
**by** (*assumption | rule equalityD2 subset-equiv-class*)**+**

**lemma** *equiv-class-nondisjoint*:  
 $\llbracket \text{equiv}(A, r); x: (r^{\{\{a\}\}} \cap r^{\{\{b\}\}}) \rrbracket \implies \langle a, b \rangle: r$   
**by** (*unfold equiv-def trans-def sym-def, blast*)

**lemma** *equiv-type*:  $\text{equiv}(A, r) \implies r \subseteq A * A$   
**by** (*unfold equiv-def, blast*)

**lemma** *equiv-class-eq-iff*:

$equiv(A,r) \implies \langle x,y \rangle: r \longleftrightarrow r''\{x\} = r''\{y\} \wedge x \in A \wedge y \in A$   
**by** (*blast intro: eq-equiv-class equiv-class-eq dest: equiv-type*)

**lemma** *eq-equiv-class-iff*:

$\llbracket equiv(A,r); x \in A; y \in A \rrbracket \implies r''\{x\} = r''\{y\} \longleftrightarrow \langle x,y \rangle: r$   
**by** (*blast intro: eq-equiv-class equiv-class-eq dest: equiv-type*)

**lemma** *quotientI*  $[TC]: x \in A \implies r''\{x\}: A//r$

**unfolding** *quotient-def*  
**apply** (*erule RepFunI*)  
**done**

**lemma** *quotientE*:

$\llbracket X \in A//r; \bigwedge x. \llbracket X = r''\{x\}; x \in A \rrbracket \implies P \rrbracket \implies P$   
**by** (*unfold quotient-def, blast*)

**lemma** *Union-quotient*:

$equiv(A,r) \implies \bigcup (A//r) = A$   
**by** (*unfold equiv-def refl-def quotient-def, blast*)

**lemma** *quotient-disj*:

$\llbracket equiv(A,r); X \in A//r; Y \in A//r \rrbracket \implies X=Y \mid (X \cap Y \subseteq \emptyset)$   
**unfolding** *quotient-def*  
**apply** (*safe intro!: equiv-class-eq, assumption*)  
**apply** (*unfold equiv-def trans-def sym-def, blast*)  
**done**

## 30.2 Defining Unary Operations upon Equivalence Classes

**lemma** *UN-equiv-class*:

$\llbracket equiv(A,r); b \text{ respects } r; a \in A \rrbracket \implies (\bigcup_{x \in r''\{a\}} b(x)) = b(a)$   
**apply** (*subgoal-tac  $\forall x \in r''\{a\}. b(x) = b(a)$* )  
**apply** *simp*  
**apply** (*blast intro: equiv-class-self*)  
**apply** (*unfold equiv-def sym-def congruent-def, blast*)  
**done**

**lemma** *UN-equiv-class-type*:

$\llbracket equiv(A,r); b \text{ respects } r; X \in A//r; \bigwedge x. x \in A \implies b(x) \in B \rrbracket$   
 $\implies (\bigcup_{x \in X} b(x)) \in B$   
**apply** (*unfold quotient-def, safe*)  
**apply** (*simp (no-asm-simp) add: UN-equiv-class*)  
**done**

**lemma** *UN-equiv-class-inject*:  
 $\llbracket \text{equiv}(A,r); \quad b \text{ respects } r; \quad (\bigcup_{x \in X} b(x)) = (\bigcup_{y \in Y} b(y)); \quad X \in A//r; \quad Y \in A//r; \quad \bigwedge x y. \llbracket x \in A; y \in A; b(x)=b(y) \rrbracket \implies \langle x,y \rangle : r \rrbracket$   
 $\implies X=Y$   
**apply** (*unfold quotient-def, safe*)  
**apply** (*rule equiv-class-eq, assumption*)  
**apply** (*simp add: UN-equiv-class [of A r b]*)  
**done**

### 30.3 Defining Binary Operations upon Equivalence Classes

**lemma** *congruent2-implies-congruent*:  
 $\llbracket \text{equiv}(A,r1); \quad \text{congruent2}(r1,r2,b); \quad a \in A \rrbracket \implies \text{congruent}(r2,b(a))$   
**by** (*unfold congruent-def congruent2-def equiv-def refl-def, blast*)

**lemma** *congruent2-implies-congruent-UN*:  
 $\llbracket \text{equiv}(A1,r1); \quad \text{equiv}(A2,r2); \quad \text{congruent2}(r1,r2,b); \quad a \in A2 \rrbracket \implies$   
 $\text{congruent}(r1, \lambda x1. \bigcup x2 \in r2. \{a\}. b(x1,x2))$   
**apply** (*unfold congruent-def, safe*)  
**apply** (*frule equiv-type [THEN subsetD], assumption*)  
**apply** *clarify*  
**apply** (*simp add: UN-equiv-class congruent2-implies-congruent*)  
**apply** (*unfold congruent2-def equiv-def refl-def, blast*)  
**done**

**lemma** *UN-equiv-class2*:  
 $\llbracket \text{equiv}(A1,r1); \quad \text{equiv}(A2,r2); \quad \text{congruent2}(r1,r2,b); \quad a1: A1; \quad a2: A2 \rrbracket$   
 $\implies (\bigcup x1 \in r1. \{a1\}. \bigcup x2 \in r2. \{a2\}. b(x1,x2)) = b(a1,a2)$   
**by** (*simp add: UN-equiv-class congruent2-implies-congruent*  
*congruent2-implies-congruent-UN*)

**lemma** *UN-equiv-class-type2*:  
 $\llbracket \text{equiv}(A,r); \quad b \text{ respects2 } r; \quad X1: A//r; \quad X2: A//r; \quad \bigwedge x1 x2. \llbracket x1: A; x2: A \rrbracket \implies b(x1,x2) \in B \rrbracket$   
 $\implies (\bigcup x1 \in X1. \bigcup x2 \in X2. b(x1,x2)) \in B$   
**apply** (*unfold quotient-def, safe*)  
**apply** (*blast intro: UN-equiv-class-type congruent2-implies-congruent-UN*  
*congruent2-implies-congruent quotientI*)  
**done**

**lemma** *congruent2I*:  
 $\llbracket \text{equiv}(A1,r1); \quad \text{equiv}(A2,r2); \quad \bigwedge y z w. \llbracket w \in A2; \quad \langle y,z \rangle \in r1 \rrbracket \implies b(y,w) = b(z,w);$

```

       $\bigwedge y \ z \ w. \llbracket w \in A1; \langle y, z \rangle \in r2 \rrbracket \implies b(w, y) = b(w, z)$ 
 $\rrbracket \implies \text{congruent2}(r1, r2, b)$ 
apply (unfold congruent2-def equiv-def refl-def, safe)
apply (blast intro: trans)
done

```

```

lemma congruent2-commuteI:
  assumes equivA: equiv(A, r)
    and commute:  $\bigwedge y \ z. \llbracket y \in A; \ z \in A \rrbracket \implies b(y, z) = b(z, y)$ 
    and cong:  $\bigwedge y \ z \ w. \llbracket w \in A; \langle y, z \rangle: r \rrbracket \implies b(w, y) = b(w, z)$ 
  shows b respects2 r
apply (insert equivA [THEN equiv-type, THEN subsetD])
apply (rule congruent2I [OF equivA equivA])
apply (rule commute [THEN trans])
apply (rule-tac [3] commute [THEN trans, symmetric])
apply (rule-tac [5] sym)
apply (blast intro: cong)+
done

```

```

lemma congruent-commuteI:
   $\llbracket \text{equiv}(A, r); \ Z \in A / r; \$ 
     $\bigwedge w. \llbracket w \in A \rrbracket \implies \text{congruent}(r, \lambda z. b(w, z));$ 
     $\bigwedge x \ y. \llbracket x \in A; \ y \in A \rrbracket \implies b(y, x) = b(x, y)$ 
 $\rrbracket \implies \text{congruent}(r, \lambda w. \bigcup_{z \in Z.} b(w, z))$ 
apply (simp (no-asm) add: congruent-def)
apply (safe elim!: quotientE)
apply (frule equiv-type [THEN subsetD], assumption)
apply (simp add: UN-equiv-class [of A r])
apply (simp add: congruent-def)
done

```

**end**

## 31 The Integers as Equivalence Classes Over Pairs of Natural Numbers

**theory** *Int* **imports** *EquivClass ArithSimp* **begin**

**definition**

```

  intrel :: i where
    intrel  $\equiv \{p \in (\text{nat} * \text{nat}) * (\text{nat} * \text{nat}).$ 
       $\exists x1 \ y1 \ x2 \ y2. p = \langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle \wedge x1 \# + y2 = x2 \# + y1 \}$ 

```

**definition**

```

  int :: i where
    int  $\equiv (\text{nat} * \text{nat}) / \text{intrel}$ 

```

**definition**

$int\text{-}of :: i \Rightarrow i$  — coercion from nat to int  $(\langle \langle open\text{-}block\ notation = \langle prefix\ \$\# \rangle \rangle \$\# - \rangle \rangle [80] 80)$   
**where**  $\$ \# m \equiv intrel\ \langle \langle natify(m), 0 \rangle \rangle$

**definition**

$intify :: i \Rightarrow i$  — coercion from ANYTHING to int **where**  
 $intify(m) \equiv if\ m \in int\ then\ m\ else\ \$\# 0$

**definition**

$raw\text{-}zminus :: i \Rightarrow i$  **where**  
 $raw\text{-}zminus(z) \equiv \bigcup \langle x, y \rangle \in z. intrel\ \langle \langle y, x \rangle \rangle$

**definition**

$zminus :: i \Rightarrow i$   $(\langle \langle open\text{-}block\ notation = \langle prefix\ \$- \rangle \rangle \$- - \rangle \rangle [80] 80)$   
**where**  $\$- z \equiv raw\text{-}zminus\ (intify(z))$

**definition**

$znegative :: i \Rightarrow o$  **where**  
 $znegative(z) \equiv \exists x\ y. x < y \wedge y \in nat \wedge \langle x, y \rangle \in z$

**definition**

$iszero :: i \Rightarrow o$  **where**  
 $iszero(z) \equiv z = \$\# 0$

**definition**

$raw\text{-}nat\text{-}of :: i \Rightarrow i$  **where**  
 $raw\text{-}nat\text{-}of(z) \equiv natify\ (\bigcup \langle x, y \rangle \in z. x \# -y)$

**definition**

$nat\text{-}of :: i \Rightarrow i$  **where**  
 $nat\text{-}of(z) \equiv raw\text{-}nat\text{-}of\ (intify(z))$

**definition**

$zmagnitude :: i \Rightarrow i$  **where**  
 — could be replaced by an absolute value function from int to int?  
 $zmagnitude(z) \equiv$   
 $THE\ m. m \in nat \wedge ((\neg\ znegative(z) \wedge z = \$\# m) \mid$   
 $(znegative(z) \wedge \$- z = \$\# m))$

**definition**

$raw\text{-}zmult :: [i, i] \Rightarrow i$  **where**  
 $raw\text{-}zmult(z1, z2) \equiv$   
 $\bigcup p1 \in z1. \bigcup p2 \in z2. split(\lambda x1\ y1. split(\lambda x2\ y2.$   
 $intrel\ \langle \langle x1 \# * x2 \# + y1 \# * y2, x1 \# * y2 \# + y1 \# * x2 \rangle \rangle, p2), p1)$

**definition**

$zmult :: [i, i] \Rightarrow i$  **(infixl  $\langle \$* \rangle 70$ ) where**

$$z1 \$* z2 \equiv \text{raw-zmult } (\text{intify}(z1), \text{intify}(z2))$$

**definition**

$$\begin{aligned} \text{raw-zadd} &:: [i, i] \Rightarrow i \text{ where} \\ \text{raw-zadd } (z1, z2) &\equiv \\ &\bigcup z1 \in z1. \bigcup z2 \in z2. \text{ let } \langle x1, y1 \rangle = z1; \langle x2, y2 \rangle = z2 \\ &\text{ in } \text{intrel}''\{\langle x1 \# + x2, y1 \# + y2 \rangle\} \end{aligned}$$

**definition**

$$\begin{aligned} \text{zadd} &:: [i, i] \Rightarrow i \quad (\text{infixl } \langle \$+ \rangle 65) \text{ where} \\ z1 \$+ z2 &\equiv \text{raw-zadd } (\text{intify}(z1), \text{intify}(z2)) \end{aligned}$$

**definition**

$$\begin{aligned} \text{zdiff} &:: [i, i] \Rightarrow i \quad (\text{infixl } \langle \$- \rangle 65) \text{ where} \\ z1 \$- z2 &\equiv z1 \$+ \text{zminus}(z2) \end{aligned}$$

**definition**

$$\begin{aligned} \text{zless} &:: [i, i] \Rightarrow o \quad (\text{infixl } \langle \$< \rangle 50) \text{ where} \\ z1 \$< z2 &\equiv \text{znegative}(z1 \$- z2) \end{aligned}$$

**definition**

$$\begin{aligned} \text{zle} &:: [i, i] \Rightarrow o \quad (\text{infixl } \langle \$\leq \rangle 50) \text{ where} \\ z1 \$\leq z2 &\equiv z1 \$< z2 \mid \text{intify}(z1) = \text{intify}(z2) \end{aligned}$$

**declare** *quotientE* [elim!]

### 31.1 Proving that *intrel* is an equivalence relation

**lemma** *intrel-iff* [simp]:

$$\begin{aligned} &\langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle >: \text{intrel} \longleftrightarrow \\ &x1 \in \text{nat} \wedge y1 \in \text{nat} \wedge x2 \in \text{nat} \wedge y2 \in \text{nat} \wedge x1 \# + y2 = x2 \# + y1 \end{aligned}$$

**by** (simp add: *intrel-def*)

**lemma** *intrelI* [intro!]:

$$\begin{aligned} &\llbracket x1 \# + y2 = x2 \# + y1; x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket \\ &\implies \langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle >: \text{intrel} \end{aligned}$$

**by** (simp add: *intrel-def*)

**lemma** *intrelE* [elim!]:

$$\begin{aligned} &\llbracket p \in \text{intrel}; \\ &\quad \wedge x1 \ y1 \ x2 \ y2. \llbracket p = \langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle >; x1 \# + y2 = x2 \# + y1; \\ &\quad x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket \implies Q \rrbracket \end{aligned}$$

$$\implies Q$$

**by** (simp add: *intrel-def*, *blast*)

**lemma** *int-trans-lemma*:

$$\llbracket x1 \# + y2 = x2 \# + y1; x2 \# + y3 = x3 \# + y2 \rrbracket \implies x1 \# + y3 = x3 \# + y1$$

```

apply (rule sym)
apply (erule add-left-cancel)+
apply (simp-all (no-asm-simp))
done

```

```

lemma equiv-intrel: equiv(nat*nat, intrel)
apply (simp add: equiv-def refl-def sym-def trans-def)
apply (fast elim!: sym int-trans-lemma)
done

```

```

lemma image-intrel-int:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{intrel} \text{ `` } \{ \langle m, n \rangle \} \in \text{int}$ 
by (simp add: int-def)

```

```

declare equiv-intrel [THEN eq-equiv-class-iff, simp]
declare conj-cong [cong]

```

```

lemmas eq-intrelD = eq-equiv-class [OF - equiv-intrel]

```

```

lemma int-of-type [simp, TC]:  $\$ \# m \in \text{int}$ 
by (simp add: int-def quotient-def int-of-def, auto)

```

```

lemma int-of-eq [iff]:  $(\$ \# m = \$ \# n) \longleftrightarrow \text{nativify}(m) = \text{nativify}(n)$ 
by (simp add: int-of-def)

```

```

lemma int-of-inject:  $\llbracket \$ \# m = \$ \# n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m = n$ 
by (drule int-of-eq [THEN iffD1], auto)

```

```

lemma intify-in-int [iff, TC]:  $\text{intify}(x) \in \text{int}$ 
by (simp add: intify-def)

```

```

lemma intify-ident [simp]:  $n \in \text{int} \implies \text{intify}(n) = n$ 
by (simp add: intify-def)

```

### 31.2 Collapsing rules: to remove *intify* from arithmetic expressions

```

lemma intify-idem [simp]:  $\text{intify}(\text{intify}(x)) = \text{intify}(x)$ 
by simp

```

```

lemma int-of-nativify [simp]:  $\$ \# (\text{nativify}(m)) = \$ \# m$ 
by (simp add: int-of-def)

```

```

lemma zminus-intify [simp]:  $\$ - (\text{intify}(m)) = \$ - m$ 
by (simp add: zminus-def)

```



**lemma** *zadd-intify1* [*simp*]: *intify*(*x*) \$+ *y* = *x* \$+ *y*  
**by** (*simp add: zadd-def*)

**lemma** *zadd-intify2* [*simp*]: *x* \$+ *intify*(*y*) = *x* \$+ *y*  
**by** (*simp add: zadd-def*)

**lemma** *zdiff-intify1* [*simp*]: *intify*(*x*) \$- *y* = *x* \$- *y*  
**by** (*simp add: zdiff-def*)

**lemma** *zdiff-intify2* [*simp*]: *x* \$- *intify*(*y*) = *x* \$- *y*  
**by** (*simp add: zdiff-def*)

**lemma** *zmult-intify1* [*simp*]: *intify*(*x*) \$\* *y* = *x* \$\* *y*  
**by** (*simp add: zmult-def*)

**lemma** *zmult-intify2* [*simp*]: *x* \$\* *intify*(*y*) = *x* \$\* *y*  
**by** (*simp add: zmult-def*)

**lemma** *zless-intify1* [*simp*]: *intify*(*x*) \$< *y*  $\longleftrightarrow$  *x* \$< *y*  
**by** (*simp add: zless-def*)

**lemma** *zless-intify2* [*simp*]: *x* \$< *intify*(*y*)  $\longleftrightarrow$  *x* \$< *y*  
**by** (*simp add: zless-def*)

**lemma** *zle-intify1* [*simp*]: *intify*(*x*) \$≤ *y*  $\longleftrightarrow$  *x* \$≤ *y*  
**by** (*simp add: zle-def*)

**lemma** *zle-intify2* [*simp*]: *x* \$≤ *intify*(*y*)  $\longleftrightarrow$  *x* \$≤ *y*  
**by** (*simp add: zle-def*)

### 31.3 *zminus*: unary negation on *int*

**lemma** *zminus-congruent*: ( $\lambda\langle x,y \rangle. \text{intrel} \langle \langle y,x \rangle \rangle$ ) respects *intrel*  
**by** (*auto simp add: congruent-def add-ac*)

**lemma** *raw-zminus-type*:  $z \in \text{int} \implies \text{raw-zminus}(z) \in \text{int}$   
**apply** (*simp add: int-def raw-zminus-def*)  
**apply** (*typecheck add: UN-equiv-class-type [OF equiv-intrel zminus-congruent]*)  
**done**

**lemma** *zminus-type* [*TC,iff*]:  $\$-z \in \text{int}$   
**by** (*simp add: zminus-def raw-zminus-type*)

**lemma** *raw-zminus-inject*:  
 $\llbracket \text{raw-zminus}(z) = \text{raw-zminus}(w); z \in \text{int}; w \in \text{int} \rrbracket \implies z=w$   
**apply** (*simp add: int-def raw-zminus-def*)  
**apply** (*erule UN-equiv-class-inject [OF equiv-intrel zminus-congruent], safe*)  
**apply** (*auto dest: eq-intrelD simp add: add-ac*)  
**done**

**lemma** *zminus-inject-intify* [*dest!*]:  $\$-z = \$-w \implies \text{intify}(z) = \text{intify}(w)$   
**apply** (*simp add: zminus-def*)  
**apply** (*blast dest!: raw-zminus-inject*)  
**done**

**lemma** *zminus-inject*:  $\llbracket \$-z = \$-w; z \in \text{int}; w \in \text{int} \rrbracket \implies z=w$   
**by** *auto*

**lemma** *raw-zminus*:  
 $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{raw-zminus}(\text{intrel} \llbracket \{x,y\} \rrbracket) = \text{intrel} \llbracket \{y,x\} \rrbracket$   
**apply** (*simp add: raw-zminus-def UN-equiv-class [OF equiv-intrel zminus-congruent]*)  
**done**

**lemma** *zminus*:  
 $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \$- (\text{intrel} \llbracket \{x,y\} \rrbracket) = \text{intrel} \llbracket \{y,x\} \rrbracket$   
**by** (*simp add: zminus-def raw-zminus image-intrel-int*)

**lemma** *raw-zminus-zminus*:  $z \in \text{int} \implies \text{raw-zminus} (\text{raw-zminus}(z)) = z$   
**by** (*auto simp add: int-def raw-zminus*)

**lemma** *zminus-zminus-intify* [*simp*]:  $\$- (\$- z) = \text{intify}(z)$   
**by** (*simp add: zminus-def raw-zminus-type raw-zminus-zminus*)

**lemma** *zminus-int0* [*simp*]:  $\$- (\$ \# 0) = \$ \# 0$   
**by** (*simp add: int-of-def zminus*)

**lemma** *zminus-zminus*:  $z \in \text{int} \implies \$- (\$- z) = z$   
**by** *simp*

### 31.4 *znegative*: the test for negative integers

**lemma** *znegative*:  $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{znegative}(\text{intrel} \llbracket \{x,y\} \rrbracket) \longleftrightarrow x < y$   
**apply** (*cases x < y*)  
**apply** (*auto simp add: znegative-def not-lt-iff-le*)  
**apply** (*subgoal-tac y #+ x2 < x #+ y2, force*)  
**apply** (*rule add-le-lt-mono, auto*)  
**done**

**lemma** *not-znegative-int-of [iff]*:  $\neg \text{znegative}(\$ \# n)$

**by** (*simp add: znegative int-of-def*)

**lemma** *znegative-zminus-int-of [simp]*:  $\text{znegative}(\$ - \$ \# \text{succ}(n))$

**by** (*simp add: znegative int-of-def zminus natify-succ*)

**lemma** *not-znegative-imp-zero*:  $\neg \text{znegative}(\$ - \$ \# n) \implies \text{natify}(n)=0$

**by** (*simp add: znegative int-of-def zminus Ord-0-lt-iff [THEN iff-sym]*)

### 31.5 *nat-of*: Coercion of an Integer to a Natural Number

**lemma** *nat-of-intify [simp]*:  $\text{nat-of}(\text{intify}(z)) = \text{nat-of}(z)$

**by** (*simp add: nat-of-def*)

**lemma** *nat-of-congruent*:  $(\lambda x. (\lambda \langle x, y \rangle. x \# - y)(x))$  respects *intrel*

**by** (*auto simp add: congruent-def split: nat-diff-split*)

**lemma** *raw-nat-of*:

$\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{raw-nat-of}(\text{intrel}''\{\langle x, y \rangle\}) = x \# - y$

**by** (*simp add: raw-nat-of-def UN-equiv-class [OF equiv-intrel nat-of-congruent]*)

**lemma** *raw-nat-of-int-of*:  $\text{raw-nat-of}(\$ \# n) = \text{natify}(n)$

**by** (*simp add: int-of-def raw-nat-of*)

**lemma** *nat-of-int-of [simp]*:  $\text{nat-of}(\$ \# n) = \text{natify}(n)$

**by** (*simp add: raw-nat-of-int-of nat-of-def*)

**lemma** *raw-nat-of-type*:  $\text{raw-nat-of}(z) \in \text{nat}$

**by** (*simp add: raw-nat-of-def*)

**lemma** *nat-of-type [iff, TC]*:  $\text{nat-of}(z) \in \text{nat}$

**by** (*simp add: nat-of-def raw-nat-of-type*)

### 31.6 *zmagnitude*: magnitide of an integer, as a natural number

**lemma** *zmagnitude-int-of [simp]*:  $\text{zmagnitude}(\$ \# n) = \text{natify}(n)$

**by** (*auto simp add: zmagnitude-def int-of-eq*)

**lemma** *natify-int-of-eq*:  $\text{natify}(x)=n \implies \$ \# x = \$ \# n$

**apply** (*drule sym*)

**apply** (*simp (no-asm-simp) add: int-of-eq*)

**done**

**lemma** *zmagnitude-zminus-int-of [simp]*:  $\text{zmagnitude}(\$ - \$ \# n) = \text{natify}(n)$

**apply** (*simp add: zmagnitude-def*)

**apply** (*rule the-equality*)

**apply** (*auto dest!: not-znegative-imp-zero natify-int-of-eq*)

```

      iff del: int-of-eq, auto)
done

lemma zmagnitude-type [iff, TC]: zmagnitude(z) ∈ nat
apply (simp add: zmagnitude-def)
apply (rule theI2, auto)
done

lemma not-zneg-int-of:
   $\llbracket z \in \text{int}; \neg \text{znegative}(z) \rrbracket \implies \exists n \in \text{nat}. z = \$\# \ n$ 
apply (auto simp add: int-def znegative int-of-def not-lt-iff-le)
apply (rename-tac x y)
apply (rule-tac x = x#-y in bexI)
apply (auto simp add: add-diff-inverse2)
done

lemma not-zneg-mag [simp]:
   $\llbracket z \in \text{int}; \neg \text{znegative}(z) \rrbracket \implies \$\# \ (\text{zmagnitude}(z)) = z$ 
by (drule not-zneg-int-of, auto)

lemma zneg-int-of:
   $\llbracket \text{znegative}(z); z \in \text{int} \rrbracket \implies \exists n \in \text{nat}. z = \$- \ (\#\ \text{succ}(n))$ 
by (auto simp add: int-def znegative zminus int-of-def dest!: less-imp-succ-add)

lemma zneg-mag [simp]:
   $\llbracket \text{znegative}(z); z \in \text{int} \rrbracket \implies \$\# \ (\text{zmagnitude}(z)) = \$- \ z$ 
by (drule zneg-int-of, auto)

lemma int-cases:  $z \in \text{int} \implies \exists n \in \text{nat}. z = \$\# \ n \mid z = \$- \ (\#\ \text{succ}(n))$ 
apply (case-tac znegative (z) )
prefer 2 apply (blast dest: not-zneg-mag sym)
apply (blast dest: zneg-int-of)
done

lemma not-zneg-raw-nat-of:
   $\llbracket \neg \text{znegative}(z); z \in \text{int} \rrbracket \implies \$\# \ (\text{raw-nat-of}(z)) = z$ 
apply (drule not-zneg-int-of)
apply (auto simp add: raw-nat-of-type raw-nat-of-int-of)
done

lemma not-zneg-nat-of-intify:
   $\neg \text{znegative}(\text{intify}(z)) \implies \$\# \ (\text{nat-of}(z)) = \text{intify}(z)$ 
by (simp (no-asm-simp) add: nat-of-def not-zneg-raw-nat-of)

lemma not-zneg-nat-of:  $\llbracket \neg \text{znegative}(z); z \in \text{int} \rrbracket \implies \$\# \ (\text{nat-of}(z)) = z$ 
apply (simp (no-asm-simp) add: not-zneg-nat-of-intify)
done

lemma zneg-nat-of [simp]:  $\text{znegative}(\text{intify}(z)) \implies \text{nat-of}(z) = 0$ 

```

```

apply (subgoal-tac intify( $z \in \text{int}$ ))
apply (simp add: int-def)
apply (auto simp add: znegative nat-of-def raw-nat-of
      split: nat-diff-split)
done

```

### 31.7 ( $\$+$ ): addition on int

Congruence Property for Addition

```

lemma zadd-congruent2:
  ( $\lambda z1\ z2. \text{let } \langle x1, y1 \rangle = z1; \langle x2, y2 \rangle = z2$ 
    in intrel “ $\{ \langle x1 \# + x2, y1 \# + y2 \rangle \}$ ”)
    respects2 intrel
apply (simp add: congruent2-def)

```

```

apply safe
apply (simp (no-asm-simp) add: add-assoc Let-def)

```

```

apply (rule-tac  $m1 = x1a$  in add-left-commute [THEN ssubst])
apply (rule-tac  $m1 = x2a$  in add-left-commute [THEN ssubst])
apply (simp (no-asm-simp) add: add-assoc [symmetric])
done

```

```

lemma raw-zadd-type:  $\llbracket z \in \text{int};\ w \in \text{int} \rrbracket \implies \text{raw-zadd}(z, w) \in \text{int}$ 
apply (simp add: int-def raw-zadd-def)
apply (rule UN-equiv-class-type2 [OF equiv-intrel zadd-congruent2], assumption+)
apply (simp add: Let-def)
done

```

```

lemma zadd-type [iff, TC]:  $z \$+ w \in \text{int}$ 
by (simp add: zadd-def raw-zadd-type)

```

```

lemma raw-zadd:
   $\llbracket x1 \in \text{nat};\ y1 \in \text{nat};\ x2 \in \text{nat};\ y2 \in \text{nat} \rrbracket$ 
     $\implies \text{raw-zadd} (\text{intrel “}\{ \langle x1, y1 \rangle \}\text{”}, \text{intrel “}\{ \langle x2, y2 \rangle \}\text{”}) =$ 
      intrel “ $\{ \langle x1 \# + x2, y1 \# + y2 \rangle \}$ ”
apply (simp add: raw-zadd-def
      UN-equiv-class2 [OF equiv-intrel equiv-intrel zadd-congruent2])
apply (simp add: Let-def)
done

```

```

lemma zadd:
   $\llbracket x1 \in \text{nat};\ y1 \in \text{nat};\ x2 \in \text{nat};\ y2 \in \text{nat} \rrbracket$ 
     $\implies (\text{intrel “}\{ \langle x1, y1 \rangle \}\text{”} \$+ (\text{intrel “}\{ \langle x2, y2 \rangle \}\text{”})) =$ 
      intrel “ $\{ \langle x1 \# + x2, y1 \# + y2 \rangle \}$ ”
by (simp add: zadd-def raw-zadd image-intrel-int)

```

```

lemma raw-zadd-int0:  $z \in \text{int} \implies \text{raw-zadd} (\$ \# 0, z) = z$ 
by (auto simp add: int-def int-of-def raw-zadd)

```

**lemma** *zadd-int0-intify* [*simp*]:  $\$ \# 0 \ \$ + z = \text{intify}(z)$   
**by** (*simp add: zadd-def raw-zadd-int0*)

**lemma** *zadd-int0*:  $z \in \text{int} \implies \$ \# 0 \ \$ + z = z$   
**by** *simp*

**lemma** *raw-zminus-zadd-distrib*:  
 $\llbracket z \in \text{int}; \ w \in \text{int} \rrbracket \implies \$ - \text{raw-zadd}(z, w) = \text{raw-zadd}(\$ - z, \$ - w)$   
**by** (*auto simp add: zminus raw-zadd int-def*)

**lemma** *zminus-zadd-distrib* [*simp*]:  $\$ - (z \ \$ + w) = \$ - z \ \$ + \$ - w$   
**by** (*simp add: zadd-def raw-zminus-zadd-distrib*)

**lemma** *raw-zadd-commute*:  
 $\llbracket z \in \text{int}; \ w \in \text{int} \rrbracket \implies \text{raw-zadd}(z, w) = \text{raw-zadd}(w, z)$   
**by** (*auto simp add: raw-zadd add-ac int-def*)

**lemma** *zadd-commute*:  $z \ \$ + w = w \ \$ + z$   
**by** (*simp add: zadd-def raw-zadd-commute*)

**lemma** *raw-zadd-assoc*:  
 $\llbracket z1: \text{int}; \ z2: \text{int}; \ z3: \text{int} \rrbracket$   
 $\implies \text{raw-zadd}(\text{raw-zadd}(z1, z2), z3) = \text{raw-zadd}(z1, \text{raw-zadd}(z2, z3))$   
**by** (*auto simp add: int-def raw-zadd add-assoc*)

**lemma** *zadd-assoc*:  $(z1 \ \$ + z2) \ \$ + z3 = z1 \ \$ + (z2 \ \$ + z3)$   
**by** (*simp add: zadd-def raw-zadd-type raw-zadd-assoc*)

**lemma** *zadd-left-commute*:  $z1 \ \$ + (z2 \ \$ + z3) = z2 \ \$ + (z1 \ \$ + z3)$   
**apply** (*simp add: zadd-assoc [symmetric]*)  
**apply** (*simp add: zadd-commute*)  
**done**

**lemmas** *zadd-ac = zadd-assoc zadd-commute zadd-left-commute*

**lemma** *int-of-add*:  $\$ \# (m \ \$ + n) = (\$ \# m) \ \$ + (\$ \# n)$   
**by** (*simp add: int-of-def zadd*)

**lemma** *int-succ-int-1*:  $\$ \# \text{succ}(m) = \$ \# 1 \ \$ + (\$ \# m)$   
**by** (*simp add: int-of-add [symmetric] natify-succ*)

**lemma** *int-of-diff*:  
 $\llbracket m \in \text{nat}; \ n \leq m \rrbracket \implies \$ \# (m \ \$ - n) = (\$ \# m) \ \$ - (\$ \# n)$   
**apply** (*simp add: int-of-def zdiff-def*)  
**apply** (*frule lt-nat-in-nat*)  
**apply** (*simp-all add: zadd zminus add-diff-inverse2*)

done

**lemma** *raw-zadd-zminus-inverse*:  $z \in \text{int} \implies \text{raw-zadd } (z, \$- z) = \$\#0$   
**by** (*auto simp add: int-def int-of-def zminus raw-zadd add-commute*)

**lemma** *zadd-zminus-inverse* [*simp*]:  $z \$+ (\$- z) = \$\#0$   
**apply** (*simp add: zadd-def*)  
**apply** (*subst zminus-intify [symmetric]*)  
**apply** (*rule intify-in-int [THEN raw-zadd-zminus-inverse]*)  
**done**

**lemma** *zadd-zminus-inverse2* [*simp*]:  $(\$- z) \$+ z = \$\#0$   
**by** (*simp add: zadd-commute zadd-zminus-inverse*)

**lemma** *zadd-int0-right-intify* [*simp*]:  $z \$+ \$\#0 = \text{intify}(z)$   
**by** (*rule trans [OF zadd-commute zadd-int0-intify]*)

**lemma** *zadd-int0-right*:  $z \in \text{int} \implies z \$+ \$\#0 = z$   
**by** *simp*

### 31.8 $(\$*)$ : Integer Multiplication

Congruence property for multiplication

**lemma** *zmult-congruent2*:  
 $(\lambda p1\ p2. \text{split}(\lambda x1\ y1. \text{split}(\lambda x2\ y2. \text{intrel}\{\langle x1 \# * x2 \ \# + \ y1 \# * y2, x1 \# * y2 \ \# + \ y1 \# * x2 \rangle\}, p2), p1))$   
*respects2 intrel*  
**apply** (*rule equiv-intrel [THEN congruent2-commuteI], auto*)

**apply** (*rename-tac x y*)  
**apply** (*frule-tac t =  $\lambda u. x \# * u$  in sym [THEN subst-context]*)  
**apply** (*drule-tac t =  $\lambda u. y \# * u$  in subst-context*)  
**apply** (*erule add-left-cancel*)  
**apply** (*simp-all add: add-mult-distrib-left*)  
**done**

**lemma** *raw-zmult-type*:  $\llbracket z \in \text{int};\ w \in \text{int} \rrbracket \implies \text{raw-zmult}(z, w) \in \text{int}$   
**apply** (*simp add: int-def raw-zmult-def*)  
**apply** (*rule UN-equiv-class-type2 [OF equiv-intrel zmult-congruent2], assumption+*)  
**apply** (*simp add: Let-def*)  
**done**

**lemma** *zmult-type* [*iff, TC*]:  $z \$* w \in \text{int}$   
**by** (*simp add: zmult-def raw-zmult-type*)

**lemma** *raw-zmult*:  
 $\llbracket x1 \in \text{nat};\ y1 \in \text{nat};\ x2 \in \text{nat};\ y2 \in \text{nat} \rrbracket$   
 $\implies \text{raw-zmult}(\text{intrel}\{\langle x1, y1 \rangle\}, \text{intrel}\{\langle x2, y2 \rangle\}) =$

$\text{intrel } \{ \langle x1 \# * x2 \# + y1 \# * y2, x1 \# * y2 \# + y1 \# * x2 \rangle \}$   
**by** (*simp add: raw-zmult-def*  
 $\text{UN-equiv-class2 [OF equiv-intrel equiv-intrel zmult-congruent2]}$ )

**lemma** *zmult*:

$\llbracket x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$   
 $\implies (\text{intrel } \{ \langle x1, y1 \rangle \}) \# * (\text{intrel } \{ \langle x2, y2 \rangle \}) =$   
 $\text{intrel } \{ \langle x1 \# * x2 \# + y1 \# * y2, x1 \# * y2 \# + y1 \# * x2 \rangle \}$   
**by** (*simp add: zmult-def raw-zmult image-intrel-int*)

**lemma** *raw-zmult-int0*:  $z \in \text{int} \implies \text{raw-zmult } (\$ \# 0, z) = \$ \# 0$   
**by** (*auto simp add: int-def int-of-def raw-zmult*)

**lemma** *zmult-int0* [*simp*]:  $\$ \# 0 \# * z = \$ \# 0$   
**by** (*simp add: zmult-def raw-zmult-int0*)

**lemma** *raw-zmult-int1*:  $z \in \text{int} \implies \text{raw-zmult } (\$ \# 1, z) = z$   
**by** (*auto simp add: int-def int-of-def raw-zmult*)

**lemma** *zmult-int1-intify* [*simp*]:  $\$ \# 1 \# * z = \text{intify}(z)$   
**by** (*simp add: zmult-def raw-zmult-int1*)

**lemma** *zmult-int1*:  $z \in \text{int} \implies \$ \# 1 \# * z = z$   
**by** *simp*

**lemma** *raw-zmult-commute*:

$\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zmult}(z, w) = \text{raw-zmult}(w, z)$   
**by** (*auto simp add: int-def raw-zmult add-ac mult-ac*)

**lemma** *zmult-commute*:  $z \# * w = w \# * z$   
**by** (*simp add: zmult-def raw-zmult-commute*)

**lemma** *raw-zmult-zminus*:

$\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zmult}(\$ - z, w) = \$ - \text{raw-zmult}(z, w)$   
**by** (*auto simp add: int-def zminus raw-zmult add-ac*)

**lemma** *zmult-zminus* [*simp*]:  $(\$ - z) \# * w = \$ - (z \# * w)$   
**apply** (*simp add: zmult-def raw-zmult-zminus*)  
**apply** (*subst zminus-intify [symmetric], rule raw-zmult-zminus, auto*)  
**done**

**lemma** *zmult-zminus-right* [*simp*]:  $w \# * (\$ - z) = \$ - (w \# * z)$   
**by** (*simp add: zmult-commute [of w]*)

**lemma** *raw-zmult-assoc*:

$\llbracket z1: \text{int}; z2: \text{int}; z3: \text{int} \rrbracket$   
 $\implies \text{raw-zmult } (\text{raw-zmult}(z1, z2), z3) = \text{raw-zmult}(z1, \text{raw-zmult}(z2, z3))$   
**by** (*auto simp add: int-def raw-zmult add-mult-distrib-left add-ac mult-ac*)



**lemma** *zmult-assoc*:  $(z1 \ \$* \ z2) \ \$* \ z3 = z1 \ \$* \ (z2 \ \$* \ z3)$   
**by** (*simp add: zmult-def raw-zmult-type raw-zmult-assoc*)

**lemma** *zmult-left-commute*:  $z1 \ \$* \ (z2 \ \$* \ z3) = z2 \ \$* \ (z1 \ \$* \ z3)$   
**apply** (*simp add: zmult-assoc [symmetric]*)  
**apply** (*simp add: zmult-commute*)  
**done**

**lemmas** *zmult-ac* = *zmult-assoc zmult-commute zmult-left-commute*

**lemma** *raw-zadd-zmult-distrib*:  
 $\llbracket z1: int; z2: int; w \in int \rrbracket$   
 $\implies raw-zmult(raw-zadd(z1, z2), w) =$   
 $raw-zadd(raw-zmult(z1, w), raw-zmult(z2, w))$   
**by** (*auto simp add: int-def raw-zadd raw-zmult add-mult-distrib-left add-ac mult-ac*)

**lemma** *zadd-zmult-distrib*:  $(z1 \ \$+ \ z2) \ \$* \ w = (z1 \ \$* \ w) \ \$+ \ (z2 \ \$* \ w)$   
**by** (*simp add: zmult-def zadd-def raw-zadd-type raw-zmult-type raw-zadd-zmult-distrib*)

**lemma** *zadd-zmult-distrib2*:  $w \ \$* \ (z1 \ \$+ \ z2) = (w \ \$* \ z1) \ \$+ \ (w \ \$* \ z2)$   
**by** (*simp add: zmult-commute [of w] zadd-zmult-distrib*)

**lemmas** *int-typechecks* =  
*int-of-type zminus-type zmagnitude-type zadd-type zmult-type*

**lemma** *zdiff-type [iff, TC]*:  $z \ \$- \ w \in int$   
**by** (*simp add: zdiff-def*)

**lemma** *zminus-zdiff-eq [simp]*:  $\$- \ (z \ \$- \ y) = y \ \$- \ z$   
**by** (*simp add: zdiff-def zadd-commute*)

**lemma** *zdiff-zmult-distrib*:  $(z1 \ \$- \ z2) \ \$* \ w = (z1 \ \$* \ w) \ \$- \ (z2 \ \$* \ w)$   
**apply** (*simp add: zdiff-def*)  
**apply** (*subst zadd-zmult-distrib*)  
**apply** (*simp add: zmult-zminus*)  
**done**

**lemma** *zdiff-zmult-distrib2*:  $w \ \$* \ (z1 \ \$- \ z2) = (w \ \$* \ z1) \ \$- \ (w \ \$* \ z2)$   
**by** (*simp add: zmult-commute [of w] zdiff-zmult-distrib*)

**lemma** *zadd-zdiff-eq*:  $x \ \$+ \ (y \ \$- \ z) = (x \ \$+ \ y) \ \$- \ z$   
**by** (*simp add: zdiff-def zadd-ac*)

**lemma** *zdiff-zadd-eq*:  $(x \$- y) \$+ z = (x \$+ z) \$- y$   
**by** (*simp add: zdiff-def zadd-ac*)

### 31.9 The "Less Than" Relation

**lemma** *zless-linear-lemma*:  
 $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies z \$< w \mid z = w \mid w \$< z$   
**apply** (*simp add: int-def zless-def znegative-def zdiff-def, auto*)  
**apply** (*simp add: zadd zminus image-iff Bex-def*)  
**apply** (*rule-tac i = xb# + ya and j = xc# + y in Ord-linear-lt*)  
**apply** (*force dest!: spec simp add: add-ac*)  
**done**

**lemma** *zless-linear*:  $z \$< w \mid \text{intify}(z) = \text{intify}(w) \mid w \$< z$   
**apply** (*cut-tac z = intify (z) and w = intify (w) in zless-linear-lemma*)  
**apply** *auto*  
**done**

**lemma** *zless-not-refl [iff]*:  $\neg (z \$< z)$   
**by** (*auto simp add: zless-def znegative-def int-of-def zdiff-def*)

**lemma** *neq-iff-zless*:  $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (x \neq y) \longleftrightarrow (x \$< y \mid y \$< x)$   
**by** (*cut-tac z = x and w = y in zless-linear, auto*)

**lemma** *zless-imp-intify-neq*:  $w \$< z \implies \text{intify}(w) \neq \text{intify}(z)$   
**apply** *auto*  
**apply** (*subgoal-tac  $\neg (\text{intify } (w) \$< \text{intify } (z))$* )  
**apply** (*erule-tac [2] ssubst*)  
**apply** (*simp (no-asm-use)*)  
**apply** *auto*  
**done**

**lemma** *zless-imp-succ-zadd-lemma*:  
 $\llbracket w \$< z; w \in \text{int}; z \in \text{int} \rrbracket \implies (\exists n \in \text{nat}. z = w \$+ \$\#(\text{succ}(n)))$   
**apply** (*simp add: zless-def znegative-def zdiff-def int-def*)  
**apply** (*auto dest!: less-imp-succ-add simp add: zadd zminus int-of-def*)  
**apply** (*rule-tac x = k in bexI*)  
**apply** (*erule-tac i=succ (v) for v in add-left-cancel, auto*)  
**done**

**lemma** *zless-imp-succ-zadd*:  
 $w \$< z \implies (\exists n \in \text{nat}. w \$+ \$\#(\text{succ}(n)) = \text{intify}(z))$   
**apply** (*subgoal-tac intify (w) \\$< intify (z)*)  
**apply** (*drule-tac w = intify (w) in zless-imp-succ-zadd-lemma*)  
**apply** *auto*  
**done**

**lemma** *zless-succ-zadd-lemma*:

```

     $w \in \text{int} \implies w \$< w \$+ \$\# \text{succ}(n)$ 
  apply (simp add: zless-def znegative-def zdiff-def int-def)
  apply (auto simp add: zadd zminus int-of-def image-iff)
  apply (rule-tac  $x = 0$  in exI, auto)
done

```

```

lemma zless-succ-zadd:  $w \$< w \$+ \$\# \text{succ}(n)$ 
by (cut-tac intify-in-int [THEN zless-succ-zadd-lemma], auto)

```

```

lemma zless-iff-succ-zadd:
   $w \$< z \longleftrightarrow (\exists n \in \text{nat}. w \$+ \$\#(\text{succ}(n)) = \text{intify}(z))$ 
  apply (rule iffI)
  apply (erule zless-imp-succ-zadd, auto)
  apply (rename-tac  $n$ )
  apply (cut-tac  $w = w$  and  $n = n$  in zless-succ-zadd, auto)
done

```

```

lemma zless-int-of [simp]:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies (\$ \# m \$< \$ \# n) \longleftrightarrow (m < n)$ 
  apply (simp add: less-iff-succ-add zless-iff-succ-zadd int-of-add [symmetric])
  apply (blast intro: sym)
done

```

```

lemma zless-trans-lemma:
   $\llbracket x \$< y; y \$< z; x \in \text{int}; y \in \text{int}; z \in \text{int} \rrbracket \implies x \$< z$ 
  apply (simp add: zless-def znegative-def zdiff-def int-def)
  apply (auto simp add: zadd zminus image-iff)
  apply (rename-tac  $x1\ x2\ y1\ y2$ )
  apply (rule-tac  $x = x1 \$+ x2$  in exI)
  apply (rule-tac  $x = y1 \$+ y2$  in exI)
  apply (auto simp add: add-lt-mono)
  apply (rule sym)
  apply hypsubst-thin
  apply (erule add-left-cancel)+
  apply auto
done

```

```

lemma zless-trans [trans]:  $\llbracket x \$< y; y \$< z \rrbracket \implies x \$< z$ 
  apply (subgoal-tac intify (x) $< intify (z) )
  apply (rule-tac [2]  $y = \text{intify}(y)$  in zless-trans-lemma)
  apply auto
done

```

```

lemma zless-not-sym:  $z \$< w \implies \neg (w \$< z)$ 
by (blast dest: zless-trans)

```

```

lemmas zless-asm = zless-not-sym [THEN swap]

```

```

lemma zless-imp-zle:  $z \$< w \implies z \$\leq w$ 

```

**by** (*simp add: zle-def*)

**lemma** *zle-linear*:  $z \leq w \mid w \leq z$   
**apply** (*simp add: zle-def*)  
**apply** (*cut-tac zless-linear, blast*)  
**done**

### 31.10 Less Than or Equals

**lemma** *zle-refl*:  $z \leq z$   
**by** (*simp add: zle-def*)

**lemma** *zle-eq-refl*:  $x=y \implies x \leq y$   
**by** (*simp add: zle-refl*)

**lemma** *zle-anti-sym-intify*:  $\llbracket x \leq y; y \leq x \rrbracket \implies \text{intify}(x) = \text{intify}(y)$   
**apply** (*simp add: zle-def, auto*)  
**apply** (*blast dest: zless-trans*)  
**done**

**lemma** *zle-anti-sym*:  $\llbracket x \leq y; y \leq x; x \in \text{int}; y \in \text{int} \rrbracket \implies x=y$   
**by** (*drule zle-anti-sym-intify, auto*)

**lemma** *zle-trans-lemma*:  
 $\llbracket x \in \text{int}; y \in \text{int}; z \in \text{int}; x \leq y; y \leq z \rrbracket \implies x \leq z$   
**apply** (*simp add: zle-def, auto*)  
**apply** (*blast intro: zless-trans*)  
**done**

**lemma** *zle-trans* [*trans*]:  $\llbracket x \leq y; y \leq z \rrbracket \implies x \leq z$   
**apply** (*subgoal-tac intify (x) \leq intify (z)*)  
**apply** (*rule-tac [2] y = intify (y) in zle-trans-lemma*)  
**apply** *auto*  
**done**

**lemma** *zle-zless-trans* [*trans*]:  $\llbracket i \leq j; j < k \rrbracket \implies i < k$   
**apply** (*auto simp add: zle-def*)  
**apply** (*blast intro: zless-trans*)  
**apply** (*simp add: zless-def zdiff-def zadd-def*)  
**done**

**lemma** *zless-zle-trans* [*trans*]:  $\llbracket i < j; j \leq k \rrbracket \implies i < k$   
**apply** (*auto simp add: zle-def*)  
**apply** (*blast intro: zless-trans*)  
**apply** (*simp add: zless-def zdiff-def zminus-def*)  
**done**

**lemma** *not-zless-iff-zle*:  $\neg (z < w) \longleftrightarrow (w \leq z)$   
**apply** (*cut-tac z = z and w = w in zless-linear*)

```

apply (auto dest: zless-trans simp add: zle-def)
apply (auto dest!: zless-imp-intify-neq)
done

```

```

lemma not-zle-iff-zless:  $\neg (z \leq w) \longleftrightarrow (w < z)$ 
by (simp add: not-zless-iff-zle [THEN iff-sym])

```

### 31.11 More subtraction laws (for *zcompare-rls*)

```

lemma zdiff-zdiff-eq:  $(x \$- y) \$- z = x \$- (y \$+ z)$ 
by (simp add: zdiff-def zadd-ac)

```

```

lemma zdiff-zdiff-eq2:  $x \$- (y \$- z) = (x \$+ z) \$- y$ 
by (simp add: zdiff-def zadd-ac)

```

```

lemma zdiff-zless-iff:  $(x \$- y < z) \longleftrightarrow (x < z \$+ y)$ 
by (simp add: zless-def zdiff-def zadd-ac)

```

```

lemma zless-zdiff-iff:  $(x < z \$- y) \longleftrightarrow (x \$+ y < z)$ 
by (simp add: zless-def zdiff-def zadd-ac)

```

```

lemma zdiff-eq-iff:  $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \$- y = z) \longleftrightarrow (x = z \$+ y)$ 
by (auto simp add: zdiff-def zadd-assoc)

```

```

lemma eq-zdiff-iff:  $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x = z \$- y) \longleftrightarrow (x \$+ y = z)$ 
by (auto simp add: zdiff-def zadd-assoc)

```

```

lemma zdiff-zle-iff-lemma:
   $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \$- y \leq z) \longleftrightarrow (x \leq z \$+ y)$ 
by (auto simp add: zle-def zdiff-eq-iff zdiff-zless-iff)

```

```

lemma zdiff-zle-iff:  $(x \$- y \leq z) \longleftrightarrow (x \leq z \$+ y)$ 
by (cut-tac zdiff-zle-iff-lemma [OF intify-in-int intify-in-int], simp)

```

```

lemma zle-zdiff-iff-lemma:
   $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \leq z \$- y) \longleftrightarrow (x \$+ y \leq z)$ 
apply (auto simp add: zle-def zdiff-eq-iff zless-zdiff-iff)
apply (auto simp add: zdiff-def zadd-assoc)
done

```

```

lemma zle-zdiff-iff:  $(x \leq z \$- y) \longleftrightarrow (x \$+ y \leq z)$ 
by (cut-tac zle-zdiff-iff-lemma [OF intify-in-int intify-in-int], simp)

```

This list of rewrites simplifies (in)equalities by bringing subtractions to the top and then moving negative terms to the other side. Use with *zadd-ac*

```

lemmas zcompare-rls =
  zdiff-def [symmetric]
  zadd-zdiff-eq zdiff-zadd-eq zdiff-zdiff-eq zdiff-zdiff-eq2
  zdiff-zless-iff zless-zdiff-iff zdiff-zle-iff zle-zdiff-iff

```

*zdiff-eq-iff eq-zdiff-iff*

### 31.12 Monotonicity and Cancellation Results for Instantiation of the CancelNumerals Simprocs

**lemma** *zadd-left-cancel*:

$\llbracket w \in \text{int}; w': \text{int} \rrbracket \implies (z \$+ w' = z \$+ w) \longleftrightarrow (w' = w)$

**apply** *safe*

**apply** (*drule-tac*  $t = \lambda x. x \$+ (\$-z)$  **in** *subst-context*)

**apply** (*simp add: zadd-ac*)

**done**

**lemma** *zadd-left-cancel-intify [simp]*:

$(z \$+ w' = z \$+ w) \longleftrightarrow \text{intify}(w') = \text{intify}(w)$

**apply** (*rule iff-trans*)

**apply** (*rule-tac* [2] *zadd-left-cancel, auto*)

**done**

**lemma** *zadd-right-cancel*:

$\llbracket w \in \text{int}; w': \text{int} \rrbracket \implies (w' \$+ z = w \$+ z) \longleftrightarrow (w' = w)$

**apply** *safe*

**apply** (*drule-tac*  $t = \lambda x. x \$+ (\$-z)$  **in** *subst-context*)

**apply** (*simp add: zadd-ac*)

**done**

**lemma** *zadd-right-cancel-intify [simp]*:

$(w' \$+ z = w \$+ z) \longleftrightarrow \text{intify}(w') = \text{intify}(w)$

**apply** (*rule iff-trans*)

**apply** (*rule-tac* [2] *zadd-right-cancel, auto*)

**done**

**lemma** *zadd-right-cancel-zless [simp]*:  $(w' \$+ z \$< w \$+ z) \longleftrightarrow (w' \$< w)$

**by** (*simp add: zdiff-zless-iff [THEN iff-sym] zdiff-def zadd-assoc*)

**lemma** *zadd-left-cancel-zless [simp]*:  $(z \$+ w' \$< z \$+ w) \longleftrightarrow (w' \$< w)$

**by** (*simp add: zadd-commute [of z] zadd-right-cancel-zless*)

**lemma** *zadd-right-cancel-zle [simp]*:  $(w' \$+ z \$\leq w \$+ z) \longleftrightarrow w' \$\leq w$

**by** (*simp add: zle-def*)

**lemma** *zadd-left-cancel-zle [simp]*:  $(z \$+ w' \$\leq z \$+ w) \longleftrightarrow w' \$\leq w$

**by** (*simp add: zadd-commute [of z] zadd-right-cancel-zle*)

**lemmas** *zadd-zless-mono1 = zadd-right-cancel-zless [THEN iffD2]*

**lemmas** *zadd-zless-mono2 = zadd-left-cancel-zless [THEN iffD2]*

**lemmas** *zadd-zle-mono1* = *zadd-right-cancel-zle* [*THEN iffD2*]

**lemmas** *zadd-zle-mono2* = *zadd-left-cancel-zle* [*THEN iffD2*]

**lemma** *zadd-zle-mono*:  $\llbracket w' \$\leq w; z' \$\leq z \rrbracket \implies w' \$+ z' \$\leq w \$+ z$   
**by** (*erule zadd-zle-mono1* [*THEN zle-trans*], *simp*)

**lemma** *zadd-zless-mono*:  $\llbracket w' \$< w; z' \$\leq z \rrbracket \implies w' \$+ z' \$< w \$+ z$   
**by** (*erule zadd-zless-mono1* [*THEN zless-zle-trans*], *simp*)

### 31.13 Comparison laws

**lemma** *zminus-zless-zminus* [*simp*]:  $(\$- x \$< \$- y) \longleftrightarrow (y \$< x)$   
**by** (*simp add: zless-def zdiff-def zadd-ac*)

**lemma** *zminus-zle-zminus* [*simp*]:  $(\$- x \$\leq \$- y) \longleftrightarrow (y \$\leq x)$   
**by** (*simp add: not-zless-iff-zle* [*THEN iff-sym*])

#### 31.13.1 More inequality lemmas

**lemma** *equation-zminus*:  $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (x = \$- y) \longleftrightarrow (y = \$- x)$   
**by** *auto*

**lemma** *zminus-equation*:  $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (\$- x = y) \longleftrightarrow (\$- y = x)$   
**by** *auto*

**lemma** *equation-zminus-intify*:  $(\text{intify}(x) = \$- y) \longleftrightarrow (\text{intify}(y) = \$- x)$   
**apply** (*cut-tac x = intify (x) and y = intify (y) in equation-zminus*)  
**apply** *auto*  
**done**

**lemma** *zminus-equation-intify*:  $(\$- x = \text{intify}(y)) \longleftrightarrow (\$- y = \text{intify}(x))$   
**apply** (*cut-tac x = intify (x) and y = intify (y) in zminus-equation*)  
**apply** *auto*  
**done**

#### 31.13.2 The next several equations are permutative: watch out!

**lemma** *zless-zminus*:  $(x \$< \$- y) \longleftrightarrow (y \$< \$- x)$   
**by** (*simp add: zless-def zdiff-def zadd-ac*)

**lemma** *zminus-zless*:  $(\$- x \$< y) \longleftrightarrow (\$- y \$< x)$   
**by** (*simp add: zless-def zdiff-def zadd-ac*)

**lemma** *zle-zminus*:  $(x \$\leq \$- y) \longleftrightarrow (y \$\leq \$- x)$   
**by** (*simp add: not-zless-iff-zle* [*THEN iff-sym*] *zminus-zless*)

```

lemma zminus-zle: ( $\$- x \$\leq y$ )  $\longleftrightarrow$  ( $\$- y \$\leq x$ )
by (simp add: not-zless-iff-zle [THEN iff-sym] zless-zminus)

end

```

## 32 Arithmetic on Binary Integers

```

theory Bin
imports Int Datatype
begin

```

```

consts bin :: i
datatype
  bin = Pls
      | Min
      | Bit (w ∈ bin, b ∈ bool)    (infixl  $\langle BIT \rangle$  90)

```

```

consts
  integ-of :: i  $\Rightarrow$  i
  NCons     :: [i,i]  $\Rightarrow$  i
  bin-succ  :: i  $\Rightarrow$  i
  bin-pred  :: i  $\Rightarrow$  i
  bin-minus :: i  $\Rightarrow$  i
  bin-adder :: i  $\Rightarrow$  i
  bin-mult  :: [i,i]  $\Rightarrow$  i

```

```

primrec
  integ-of-Pls: integ-of (Pls)    =  $\$ \# 0$ 
  integ-of-Min: integ-of (Min)     =  $\$ - (\$ \# 1)$ 
  integ-of-BIT: integ-of (w BIT b) =  $\$ \# b \$ + \text{integ-of}(w) \$ + \text{integ-of}(w)$ 

```

```

primrec
  NCons-Pls: NCons (Pls,b)    = cond(b,Pls BIT b,Pls)
  NCons-Min: NCons (Min,b)    = cond(b,Min,Min BIT b)
  NCons-BIT: NCons (w BIT c,b) = w BIT c BIT b

```

```

primrec
  bin-succ-Pls: bin-succ (Pls)    = Pls BIT 1
  bin-succ-Min: bin-succ (Min)     = Pls
  bin-succ-BIT: bin-succ (w BIT b) = cond(b, bin-succ(w) BIT 0, NCons(w,1))

```

```

primrec
  bin-pred-Pls: bin-pred (Pls)    = Min
  bin-pred-Min: bin-pred (Min)     = Min BIT 0
  bin-pred-BIT: bin-pred (w BIT b) = cond(b, NCons(w,0), bin-pred(w) BIT 1)

```

```

primrec

```



*bin-minus-Pls:*  
 $\text{bin-minus } (Pls) = Pls$   
*bin-minus-Min:*  
 $\text{bin-minus } (Min) = Pls \text{ BIT } 1$   
*bin-minus-BIT:*  
 $\text{bin-minus } (w \text{ BIT } b) = \text{cond}(b, \text{bin-pred}(NCons(\text{bin-minus}(w), 0)), \text{bin-minus}(w) \text{ BIT } 0)$

#### primrec

*bin-adder-Pls:*  
 $\text{bin-adder } (Pls) = (\lambda w \in bin. w)$   
*bin-adder-Min:*  
 $\text{bin-adder } (Min) = (\lambda w \in bin. \text{bin-pred}(w))$   
*bin-adder-BIT:*  
 $\text{bin-adder } (v \text{ BIT } x) =$   
 $(\lambda w \in bin.$   
 $\quad \text{bin-case } (v \text{ BIT } x, \text{bin-pred}(v \text{ BIT } x),$   
 $\quad \lambda w y. NCons(\text{bin-adder } (v) \text{ ' cond}(x \text{ and } y, \text{bin-succ}(w), w),$   
 $\quad \quad x \text{ xor } y),$   
 $\quad w))$

#### definition

$\text{bin-add} :: [i, i] \Rightarrow i$  **where**  
 $\text{bin-add}(v, w) \equiv \text{bin-adder}(v) \text{ ' } w$

#### primrec

*bin-mult-Pls:*  
 $\text{bin-mult } (Pls, w) = Pls$   
*bin-mult-Min:*  
 $\text{bin-mult } (Min, w) = \text{bin-minus}(w)$   
*bin-mult-BIT:*  
 $\text{bin-mult } (v \text{ BIT } b, w) = \text{cond}(b, \text{bin-add}(NCons(\text{bin-mult}(v, w), 0), w), NCons(\text{bin-mult}(v, w), 0))$

#### syntax

$\text{-Int0} :: i \text{ (}\langle \#() 0 \rangle\text{)}$   
 $\text{-Int1} :: i \text{ (}\langle \#() 1 \rangle\text{)}$   
 $\text{-Int2} :: i \text{ (}\langle \#() 2 \rangle\text{)}$   
 $\text{-Neg-Int1} :: i \text{ (}\langle \#-() 1 \rangle\text{)}$   
 $\text{-Neg-Int2} :: i \text{ (}\langle \#-() 2 \rangle\text{)}$

#### translations

$\#0 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls)$   
 $\#1 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls \text{ BIT } 1)$   
 $\#2 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls \text{ BIT } 1 \text{ BIT } 0)$   
 $\#-1 \Rightarrow \text{CONST integ-of}(\text{CONST } Min)$   
 $\#-2 \Rightarrow \text{CONST integ-of}(\text{CONST } Min \text{ BIT } 0)$

**syntax**

-Int :: num-token  $\Rightarrow i$  ( $\langle \langle \text{open-block notation} = \langle \text{literal number} \rangle \rangle \# - \rangle$  1000)  
-Neg-Int :: num-token  $\Rightarrow i$  ( $\langle \langle \text{open-block notation} = \langle \text{literal number} \rangle \rangle \# - - \rangle$  1000)

**syntax-consts**

-Int0 -Int1 -Int2 -Int -Neg-Int1 -Neg-Int2 -Neg-Int  $\equiv$  integ-of

**ML-file**  $\langle \text{Tools/numeral-syntax.ML} \rangle$

**declare** bin.intros [simp, TC]

**lemma** NCons-Pls-0:  $NCons(Pls, 0) = Pls$   
**by** simp

**lemma** NCons-Pls-1:  $NCons(Pls, 1) = Pls \text{ BIT } 1$   
**by** simp

**lemma** NCons-Min-0:  $NCons(Min, 0) = Min \text{ BIT } 0$   
**by** simp

**lemma** NCons-Min-1:  $NCons(Min, 1) = Min$   
**by** simp

**lemma** NCons-BIT:  $NCons(w \text{ BIT } x, b) = w \text{ BIT } x \text{ BIT } b$   
**by** (simp add: bin.case-eqns)

**lemmas** NCons-simps [simp] =  
NCons-Pls-0 NCons-Pls-1 NCons-Min-0 NCons-Min-1 NCons-BIT

**lemma** integ-of-type [TC]:  $w \in \text{bin} \implies \text{integ-of}(w) \in \text{int}$   
**apply** (induct-tac w)  
**apply** (simp-all add: bool-into-nat)  
**done**

**lemma** NCons-type [TC]:  $\llbracket w \in \text{bin}; b \in \text{bool} \rrbracket \implies NCons(w, b) \in \text{bin}$   
**by** (induct-tac w, auto)

**lemma** bin-succ-type [TC]:  $w \in \text{bin} \implies \text{bin-succ}(w) \in \text{bin}$   
**by** (induct-tac w, auto)

**lemma** bin-pred-type [TC]:  $w \in \text{bin} \implies \text{bin-pred}(w) \in \text{bin}$   
**by** (induct-tac w, auto)

**lemma** *bin-minus-type* [TC]:  $w \in \text{bin} \implies \text{bin-minus}(w) \in \text{bin}$   
**by** (*induct-tac* *w*, *auto*)

**lemma** *bin-add-type* [rule-format]:  
 $v \in \text{bin} \implies \forall w \in \text{bin}. \text{bin-add}(v, w) \in \text{bin}$   
**unfolding** *bin-add-def*  
**apply** (*induct-tac* *v*)  
**apply** (*rule-tac* [3] *ballI*)  
**apply** (*rename-tac* [3]  $w'$ )  
**apply** (*induct-tac* [3]  $w'$ )  
**apply** (*simp-all* *add: NCons-type*)  
**done**

**declare** *bin-add-type* [TC]

**lemma** *bin-mult-type* [TC]:  $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket \implies \text{bin-mult}(v, w) \in \text{bin}$   
**by** (*induct-tac* *v*, *auto*)

### 32.0.1 The Carry and Borrow Functions, *bin-succ* and *bin-pred*

**lemma** *integ-of-NCons* [simp]:  
 $\llbracket w \in \text{bin}; b \in \text{bool} \rrbracket \implies \text{integ-of}(\text{NCons}(w, b)) = \text{integ-of}(w \text{ BIT } b)$   
**apply** (*erule bin.cases*)  
**apply** (*auto elim!: boolE*)  
**done**

**lemma** *integ-of-succ* [simp]:  
 $w \in \text{bin} \implies \text{integ-of}(\text{bin-succ}(w)) = \$\#1 \$+ \text{integ-of}(w)$   
**apply** (*erule bin.induct*)  
**apply** (*auto simp add: zadd-ac elim!: boolE*)  
**done**

**lemma** *integ-of-pred* [simp]:  
 $w \in \text{bin} \implies \text{integ-of}(\text{bin-pred}(w)) = \$- (\$ \# 1) \$+ \text{integ-of}(w)$   
**apply** (*erule bin.induct*)  
**apply** (*auto simp add: zadd-ac elim!: boolE*)  
**done**

### 32.0.2 *bin-minus*: Unary Negation of Binary Integers

**lemma** *integ-of-minus*:  $w \in \text{bin} \implies \text{integ-of}(\text{bin-minus}(w)) = \$- \text{integ-of}(w)$   
**apply** (*erule bin.induct*)  
**apply** (*auto simp add: zadd-ac zminus-zadd-distrib elim!: boolE*)  
**done**

### 32.0.3 *bin-add*: Binary Addition

**lemma** *bin-add-Pls* [simp]:  $w \in \text{bin} \implies \text{bin-add}(\text{Pls}, w) = w$   
**by** (*unfold bin-add-def*, *simp*)

```

lemma bin-add-Pls-right:  $w \in \text{bin} \implies \text{bin-add}(w, \text{Pls}) = w$ 
  unfolding bin-add-def
apply (erule bin.induct, auto)
done

lemma bin-add-Min [simp]:  $w \in \text{bin} \implies \text{bin-add}(\text{Min}, w) = \text{bin-pred}(w)$ 
by (unfold bin-add-def, simp)

lemma bin-add-Min-right:  $w \in \text{bin} \implies \text{bin-add}(w, \text{Min}) = \text{bin-pred}(w)$ 
  unfolding bin-add-def
apply (erule bin.induct, auto)
done

lemma bin-add-BIT-Pls [simp]:  $\text{bin-add}(v \text{ BIT } x, \text{Pls}) = v \text{ BIT } x$ 
by (unfold bin-add-def, simp)

lemma bin-add-BIT-Min [simp]:  $\text{bin-add}(v \text{ BIT } x, \text{Min}) = \text{bin-pred}(v \text{ BIT } x)$ 
by (unfold bin-add-def, simp)

lemma bin-add-BIT-BIT [simp]:
   $\llbracket w \in \text{bin}; y \in \text{bool} \rrbracket$ 
   $\implies \text{bin-add}(v \text{ BIT } x, w \text{ BIT } y) =$ 
     $\text{NCons}(\text{bin-add}(v, \text{cond}(x \text{ and } y, \text{bin-succ}(w), w)), x \text{ xor } y)$ 
by (unfold bin-add-def, simp)

lemma integ-of-add [rule-format]:
   $v \in \text{bin} \implies$ 
     $\forall w \in \text{bin}. \text{integ-of}(\text{bin-add}(v, w)) = \text{integ-of}(v) \$+ \text{integ-of}(w)$ 
apply (erule bin.induct, simp, simp)
apply (rule ballI)
apply (induct-tac wa)
apply (auto simp add: zadd-ac elim!: boolE)
done

lemma diff-integ-of-eq:
   $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$ 
   $\implies \text{integ-of}(v) \$- \text{integ-of}(w) = \text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w)))$ 
  unfolding zdiff-def
apply (simp add: integ-of-add integ-of-minus)
done

```

#### 32.0.4 *bin-mult*: Binary Multiplication

```

lemma integ-of-mult:
   $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$ 
   $\implies \text{integ-of}(\text{bin-mult}(v, w)) = \text{integ-of}(v) \$* \text{integ-of}(w)$ 
apply (induct-tac v, simp)

```

**apply** (*simp add: integ-of-minus*)  
**apply** (*auto simp add: zadd-ac integ-of-add zadd-zmult-distrib elim!: boolE*)  
**done**

### 32.1 Computations

**lemma** *bin-succ-1*:  $\text{bin-succ}(w \text{ BIT } 1) = \text{bin-succ}(w) \text{ BIT } 0$   
**by** *simp*

**lemma** *bin-succ-0*:  $\text{bin-succ}(w \text{ BIT } 0) = \text{NCons}(w, 1)$   
**by** *simp*

**lemma** *bin-pred-1*:  $\text{bin-pred}(w \text{ BIT } 1) = \text{NCons}(w, 0)$   
**by** *simp*

**lemma** *bin-pred-0*:  $\text{bin-pred}(w \text{ BIT } 0) = \text{bin-pred}(w) \text{ BIT } 1$   
**by** *simp*

**lemma** *bin-minus-1*:  $\text{bin-minus}(w \text{ BIT } 1) = \text{bin-pred}(\text{NCons}(\text{bin-minus}(w), 0))$   
**by** *simp*

**lemma** *bin-minus-0*:  $\text{bin-minus}(w \text{ BIT } 0) = \text{bin-minus}(w) \text{ BIT } 0$   
**by** *simp*

**lemma** *bin-add-BIT-11*:  $w \in \text{bin} \implies \text{bin-add}(v \text{ BIT } 1, w \text{ BIT } 1) =$   
 $\text{NCons}(\text{bin-add}(v, \text{bin-succ}(w)), 0)$   
**by** *simp*

**lemma** *bin-add-BIT-10*:  $w \in \text{bin} \implies \text{bin-add}(v \text{ BIT } 1, w \text{ BIT } 0) =$   
 $\text{NCons}(\text{bin-add}(v, w), 1)$   
**by** *simp*

**lemma** *bin-add-BIT-0*:  $\llbracket w \in \text{bin}; y \in \text{bool} \rrbracket$   
 $\implies \text{bin-add}(v \text{ BIT } 0, w \text{ BIT } y) = \text{NCons}(\text{bin-add}(v, w), y)$   
**by** *simp*

**lemma** *bin-mult-1*:  $\text{bin-mult}(v \text{ BIT } 1, w) = \text{bin-add}(\text{NCons}(\text{bin-mult}(v, w), 0), w)$   
**by** *simp*

**lemma** *bin-mult-0*:  $\text{bin-mult}(v \text{ BIT } 0, w) = \text{NCons}(\text{bin-mult}(v, w), 0)$   
**by** *simp*

```

lemma int-of-0:  $\$ \# 0 = \# 0$ 
by simp

lemma int-of-succ:  $\$ \# \text{succ}(n) = \# 1 \$ + \$ \# n$ 
by (simp add: int-of-add [symmetric] natify-succ)

lemma zminus-0 [simp]:  $\$ - \# 0 = \# 0$ 
by simp

lemma zadd-0-intify [simp]:  $\# 0 \$ + z = \text{intify}(z)$ 
by simp

lemma zadd-0-right-intify [simp]:  $z \$ + \# 0 = \text{intify}(z)$ 
by simp

lemma zmult-1-intify [simp]:  $\# 1 \$ * z = \text{intify}(z)$ 
by simp

lemma zmult-1-right-intify [simp]:  $z \$ * \# 1 = \text{intify}(z)$ 
by (subst zmult-commute, simp)

lemma zmult-0 [simp]:  $\# 0 \$ * z = \# 0$ 
by simp

lemma zmult-0-right [simp]:  $z \$ * \# 0 = \# 0$ 
by (subst zmult-commute, simp)

lemma zmult-minus1 [simp]:  $\# -1 \$ * z = \$ - z$ 
by (simp add: zcompare-rls)

lemma zmult-minus1-right [simp]:  $z \$ * \# -1 = \$ - z$ 
apply (subst zmult-commute)
apply (rule zmult-minus1)
done

```

## 32.2 Simplification Rules for Comparison of Binary Numbers

Thanks to Norbert Voelker

```

lemma eq-integ-of-eq:
   $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$ 
   $\implies ((\text{integ-of}(v)) = \text{integ-of}(w)) \longleftrightarrow$ 
   $\text{iszero}(\text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w))))$ 
  unfolding iszero-def
apply (simp add: zcompare-rls integ-of-add integ-of-minus)
done

```

**lemma** *iszero-integ-of-Pls*:  $iszero\ (integ-of(Pls))$   
**by** (*unfold iszero-def, simp*)

**lemma** *nonzero-integ-of-Min*:  $\neg iszero\ (integ-of(Min))$   
**unfolding** *iszero-def*  
**apply** (*simp add: zminus-equation*)  
**done**

**lemma** *iszero-integ-of-BIT*:  
 $\llbracket w \in bin; x \in bool \rrbracket$   
 $\implies iszero\ (integ-of\ (w\ BIT\ x)) \longleftrightarrow (x=0 \wedge iszero\ (integ-of(w)))$   
**apply** (*unfold iszero-def, simp*)  
**apply** (*subgoal-tac integ-of (w)  $\in int$* )  
**apply** *typecheck*  
**apply** (*drule int-cases*)  
**apply** (*safe elim!: boolE*)  
**apply** (*simp-all (asm-lr) add: zcompare-rls zminus-zadd-distrib [symmetric]*  
 $int-of-add\ [symmetric]$ )  
**done**

**lemma** *iszero-integ-of-0*:  
 $w \in bin \implies iszero\ (integ-of\ (w\ BIT\ 0)) \longleftrightarrow iszero\ (integ-of(w))$   
**by** (*simp only: iszero-integ-of-BIT, blast*)

**lemma** *iszero-integ-of-1*:  $w \in bin \implies \neg iszero\ (integ-of\ (w\ BIT\ 1))$   
**by** (*simp only: iszero-integ-of-BIT, blast*)

**lemma** *less-integ-of-eq-neg*:  
 $\llbracket v \in bin; w \in bin \rrbracket$   
 $\implies integ-of(v) \$< integ-of(w)$   
 $\longleftrightarrow znegative\ (integ-of\ (bin-add\ (v, bin-minus(w))))$   
**unfolding** *zless-def zdiff-def*  
**apply** (*simp add: integ-of-minus integ-of-add*)  
**done**

**lemma** *not-neg-integ-of-Pls*:  $\neg znegative\ (integ-of(Pls))$   
**by** *simp*

**lemma** *neg-integ-of-Min*:  $znegative\ (integ-of(Min))$   
**by** *simp*

**lemma** *neg-integ-of-BIT*:  
 $\llbracket w \in bin; x \in bool \rrbracket$   
 $\implies znegative\ (integ-of\ (w\ BIT\ x)) \longleftrightarrow znegative\ (integ-of(w))$

```

apply simp
apply (subgoal-tac integ-of (w)  $\in$  int)
apply typecheck
apply (drule int-cases)
apply (auto elim!: boolE simp add: int-of-add [symmetric] zcompare-rls)
apply (simp-all add: zminus-zadd-distrib [symmetric] zdiff-def
      int-of-add [symmetric])
apply (subgoal-tac  $\$ \# 1$   $\$ -$   $\$ \#$  succ (succ (n  $\# +$  n)) =  $\$ -$   $\$ \#$  succ (n  $\# +$  n) )
  apply (simp add: zdiff-def)
apply (simp add: equation-zminus int-of-diff [symmetric])
done

```

```

lemma le-integ-of-eq-not-less:
  (integ-of(x)  $\$ \leq$  (integ-of(w)))  $\longleftrightarrow$   $\neg$  (integ-of(w)  $\$ <$  (integ-of(x)))
by (simp add: not-zless-iff-zle [THEN iff-sym])

```

```

declare bin-succ-BIT [simp del]
          bin-pred-BIT [simp del]
          bin-minus-BIT [simp del]
          NCons-Pls [simp del]
          NCons-Min [simp del]
          bin-adder-BIT [simp del]
          bin-mult-BIT [simp del]

```

```

declare integ-of-Pls [simp del] integ-of-Min [simp del] integ-of-BIT [simp del]

```

```

lemmas bin-arith-extra-simps =
  integ-of-add [symmetric]
  integ-of-minus [symmetric]
  integ-of-mult [symmetric]
  bin-succ-1 bin-succ-0
  bin-pred-1 bin-pred-0
  bin-minus-1 bin-minus-0
  bin-add-Pls-right bin-add-Min-right
  bin-add-BIT-0 bin-add-BIT-10 bin-add-BIT-11
  diff-integ-of-eq
  bin-mult-1 bin-mult-0 NCons-simps

```

```

lemmas bin-arith-simps =
  bin-pred-Pls bin-pred-Min
  bin-succ-Pls bin-succ-Min

```



*bin-add-Pls bin-add-Min*  
*bin-minus-Pls bin-minus-Min*  
*bin-mult-Pls bin-mult-Min*  
*bin-arith-extra-simps*

**lemmas** *bin-rel-simps* =  
*eq-integ-of-eq iszero-integ-of-Pls nonzero-integ-of-Min*  
*iszero-integ-of-0 iszero-integ-of-1*  
*less-integ-of-eq-neg*  
*not-neg-integ-of-Pls neg-integ-of-Min neg-integ-of-BIT*  
*le-integ-of-eq-not-less*

**declare** *bin-arith-simps* [*simp*]  
**declare** *bin-rel-simps* [*simp*]

**lemma** *add-integ-of-left* [*simp*]:  

$$\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$$

$$\implies \text{integ-of}(v) \$+ (\text{integ-of}(w) \$+ z) = (\text{integ-of}(\text{bin-add}(v,w)) \$+ z)$$
**by** (*simp add: zadd-assoc [symmetric]*)

**lemma** *mult-integ-of-left* [*simp*]:  

$$\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$$

$$\implies \text{integ-of}(v) \$* (\text{integ-of}(w) \$* z) = (\text{integ-of}(\text{bin-mult}(v,w)) \$* z)$$
**by** (*simp add: zmult-assoc [symmetric]*)

**lemma** *add-integ-of-diff1* [*simp*]:  

$$\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$$

$$\implies \text{integ-of}(v) \$+ (\text{integ-of}(w) \$- c) = \text{integ-of}(\text{bin-add}(v,w)) \$- (c)$$
**unfolding** *zdiff-def*  
**apply** (*rule add-integ-of-left, auto*)  
**done**

**lemma** *add-integ-of-diff2* [*simp*]:  

$$\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$$

$$\implies \text{integ-of}(v) \$+ (c \$- \text{integ-of}(w)) =$$

$$\text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w))) \$+ (c)$$
**apply** (*subst diff-integ-of-eq [symmetric]*)  
**apply** (*simp-all add: zdiff-def zadd-ac*)  
**done**

**declare** *int-of-0* [*simp*] *int-of-succ* [*simp*]

**lemma** *zdiff0* [*simp*]:  $\#0 \ \$- \ x = \$-x$   
**by** (*simp add: zdiff-def*)

**lemma** *zdiff0-right* [*simp*]:  $x \ \$- \ \#0 = \text{intify}(x)$   
**by** (*simp add: zdiff-def*)

**lemma** *zdiff-self* [*simp*]:  $x \ \$- \ x = \#0$   
**by** (*simp add: zdiff-def*)

**lemma** *znegative-iff-zless-0*:  $k \in \text{int} \implies \text{znegative}(k) \longleftrightarrow k \ \$< \ \#0$   
**by** (*simp add: zless-def*)

**lemma** *zero-zless-imp-znegative-zminus*:  $\llbracket \#0 \ \$< \ k; k \in \text{int} \rrbracket \implies \text{znegative}(\$-k)$   
**by** (*simp add: zless-def*)

**lemma** *zero-zle-int-of* [*simp*]:  $\#0 \ \$\leq \ \$\# \ n$   
**by** (*simp add: not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0 [THEN iff-sym]*)

**lemma** *nat-of-0* [*simp*]:  $\text{nat-of}(\#0) = 0$   
**by** (*simp only: natify-0 int-of-0 [symmetric] nat-of-int-of*)

**lemma** *nat-le-int0-lemma*:  $\llbracket z \ \$\leq \ \$\#0; z \in \text{int} \rrbracket \implies \text{nat-of}(z) = 0$   
**by** (*auto simp add: znegative-iff-zless-0 [THEN iff-sym] zle-def zneg-nat-of*)

**lemma** *nat-le-int0*:  $z \ \$\leq \ \$\#0 \implies \text{nat-of}(z) = 0$   
**apply** (*subgoal-tac nat-of (intify (z)) = 0*)  
**apply** (*rule-tac [2] nat-le-int0-lemma, auto*)  
**done**

**lemma** *int-of-eq-0-imp-natify-eq-0*:  $\$ \# \ n = \#0 \implies \text{natify}(n) = 0$   
**by** (*rule not-znegative-imp-zero, auto*)

**lemma** *nat-of-zminus-int-of*:  $\text{nat-of}(\$- \$\# \ n) = 0$   
**by** (*simp add: nat-of-def int-of-def raw-nat-of zminus image-intrel-int*)

**lemma** *int-of-nat-of*:  $\#0 \ \$\leq \ z \implies \$\# \ \text{nat-of}(z) = \text{intify}(z)$   
**apply** (*rule not-zneg-nat-of-intify*)  
**apply** (*simp add: znegative-iff-zless-0 not-zless-iff-zle*)  
**done**

**declare** *int-of-nat-of* [*simp*] *nat-of-zminus-int-of* [*simp*]

**lemma** *int-of-nat-of-if*:  $\$ \# \ \text{nat-of}(z) = (\text{if } \#0 \ \$\leq \ z \text{ then } \text{intify}(z) \text{ else } \#0)$   
**by** (*simp add: int-of-nat-of znegative-iff-zless-0 not-zle-iff-zless*)

**lemma** *zless-nat-iff-int-zless*:  $\llbracket m \in \text{nat}; z \in \text{int} \rrbracket \implies (m < \text{nat-of}(z)) \longleftrightarrow (\$ \# m \ \$< \ z)$   
**apply** (*case-tac znegative (z)*)  
**apply** (*erule-tac [2] not-zneg-nat-of [THEN subst]*)

```

apply (auto dest: zless-trans dest!: zero-zle-int-of [THEN zle-zless-trans]
        simp add: znegative-iff-zless-0)
done

```

```

lemma zless-nat-conj-lemma:  $\$ \# 0 \ \$ < z \implies (\text{nat-of}(w) < \text{nat-of}(z)) \longleftrightarrow (w \ \$ < z)$ 
apply (rule iff-trans)
apply (rule zless-int-of [THEN iff-sym])
apply (auto simp add: int-of-nat-of-if simp del: zless-int-of)
apply (auto elim: zless-asm simp add: not-zle-iff-zless)
apply (blast intro: zless-zle-trans)
done

```

```

lemma zless-nat-conj:  $(\text{nat-of}(w) < \text{nat-of}(z)) \longleftrightarrow (\$ \# 0 \ \$ < z \wedge w \ \$ < z)$ 
apply (case-tac  $\$ \# 0 \ \$ < z$ )
apply (auto simp add: zless-nat-conj-lemma nat-le-int0 not-zless-iff-zle)
done

```

```

lemma integ-of-minus-reorient [simp]:
   $(\text{integ-of}(w) = \$ - x) \longleftrightarrow (\$ - x = \text{integ-of}(w))$ 
by auto

```

```

lemma integ-of-add-reorient [simp]:
   $(\text{integ-of}(w) = x \ \$ + y) \longleftrightarrow (x \ \$ + y = \text{integ-of}(w))$ 
by auto

```

```

lemma integ-of-diff-reorient [simp]:
   $(\text{integ-of}(w) = x \ \$ - y) \longleftrightarrow (x \ \$ - y = \text{integ-of}(w))$ 
by auto

```

```

lemma integ-of-mult-reorient [simp]:
   $(\text{integ-of}(w) = x \ \$ * y) \longleftrightarrow (x \ \$ * y = \text{integ-of}(w))$ 
by auto

```

```

lemmas [simp] =
  zminus-equation [where y = integ-of(w)]
  equation-zminus [where x = integ-of(w)]
  for w

```

```

lemmas [iff] =
  zminus-zless [where y = integ-of(w)]

```

```

    zless-zminus [where x = integ-of(w)]
  for w

lemmas [iff] =
  zminus-zle [where y = integ-of(w)]
  zle-zminus [where x = integ-of(w)]
  for w

lemmas [simp] =
  Let-def [where s = integ-of(w)] for w

lemma zless-iff-zdiff-zless-0: (x $< y)  $\longleftrightarrow$  (x$-y $< #0)
  by (simp add: zcompare-rls)

lemma eq-iff-zdiff-eq-0:  $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (x = y) \longleftrightarrow (x\$-y = \#0)$ 
  by (simp add: zcompare-rls)

lemma zle-iff-zdiff-zle-0: (x $\le$ y)  $\longleftrightarrow$  (x$-y $\le$ #0)
  by (simp add: zcompare-rls)

lemma left-zadd-zmult-distrib: i$*u $+ (j$*u $+ k) = (i$+j)$*u $+ k
  by (simp add: zadd-zmult-distrib zadd-ac)

lemma eq-add-iff1: (i$*u $+ m = j$*u $+ n)  $\longleftrightarrow$  ((i$-j)$*u $+ m = intify(n))
  apply (simp add: zdiff-def zadd-zmult-distrib)
  apply (simp add: zcompare-rls)
  apply (simp add: zadd-ac)
  done

lemma eq-add-iff2: (i$*u $+ m = j$*u $+ n)  $\longleftrightarrow$  (intify(m) = (j$-i)$*u $+ n)
  apply (simp add: zdiff-def zadd-zmult-distrib)
  apply (simp add: zcompare-rls)
  apply (simp add: zadd-ac)
  done

context fixes n :: i
begin

```

```

lemmas rel-iff-rel-0-rls =
  zless-iff-zdiff-zless-0 [where  $y = u \ \$+ \ v$ ]
  eq-iff-zdiff-eq-0 [where  $y = u \ \$+ \ v$ ]
  zle-iff-zdiff-zle-0 [where  $y = u \ \$+ \ v$ ]
  zless-iff-zdiff-zless-0 [where  $y = n$ ]
  eq-iff-zdiff-eq-0 [where  $y = n$ ]
  zle-iff-zdiff-zle-0 [where  $y = n$ ]
for  $u \ v$ 

lemma less-add-iff1:  $(i \ \$*u \ \$+ \ m \ \$< \ j \ \$*u \ \$+ \ n) \longleftrightarrow ((i \ \$-j) \ \$*u \ \$+ \ m \ \$< \ n)$ 
apply (simp add: zdiff-def zadd-zmult-distrib zadd-ac rel-iff-rel-0-rls)
done

lemma less-add-iff2:  $(i \ \$*u \ \$+ \ m \ \$< \ j \ \$*u \ \$+ \ n) \longleftrightarrow (m \ \$< \ (j \ \$-i) \ \$*u \ \$+ \ n)$ 
apply (simp add: zdiff-def zadd-zmult-distrib zadd-ac rel-iff-rel-0-rls)
done

end

lemma le-add-iff1:  $(i \ \$*u \ \$+ \ m \ \$\leq \ j \ \$*u \ \$+ \ n) \longleftrightarrow ((i \ \$-j) \ \$*u \ \$+ \ m \ \$\leq \ n)$ 
apply (simp add: zdiff-def zadd-zmult-distrib)
apply (simp add: zcompare-rls)
apply (simp add: zadd-ac)
done

lemma le-add-iff2:  $(i \ \$*u \ \$+ \ m \ \$\leq \ j \ \$*u \ \$+ \ n) \longleftrightarrow (m \ \$\leq \ (j \ \$-i) \ \$*u \ \$+ \ n)$ 
apply (simp add: zdiff-def zadd-zmult-distrib)
apply (simp add: zcompare-rls)
apply (simp add: zadd-ac)
done

ML-file  $\langle \text{int-arith.ML} \rangle$ 

simproc-setup inteq-cancel-numerals
 $(l \ \$+ \ m \ \$= \ n \mid l \ \$= \ m \ \$+ \ n \mid l \ \$- \ m \ \$= \ n \mid l \ \$= \ m \ \$- \ n \mid l \ \$* \ m \ \$= \ n \mid l \ \$= \ m \ \$* \ n) =$ 
 $\langle K \text{ Int-Numeral-Simprocs.inteq-cancel-numerals-proc} \rangle$ 

simproc-setup intless-cancel-numerals
 $(l \ \$+ \ m \ \$< \ n \mid l \ \$< \ m \ \$+ \ n \mid l \ \$- \ m \ \$< \ n \mid l \ \$< \ m \ \$- \ n \mid l \ \$* \ m \ \$< \ n \mid l \ \$< \ m \ \$* \ n) =$ 
 $\langle K \text{ Int-Numeral-Simprocs.intless-cancel-numerals-proc} \rangle$ 

simproc-setup intle-cancel-numerals
 $(l \ \$+ \ m \ \$\leq \ n \mid l \ \$\leq \ m \ \$+ \ n \mid l \ \$- \ m \ \$\leq \ n \mid l \ \$\leq \ m \ \$- \ n \mid l \ \$* \ m \ \$\leq \ n \mid l \ \$\leq \ m \ \$* \ n) =$ 
 $\langle K \text{ Int-Numeral-Simprocs.intle-cancel-numerals-proc} \rangle$ 

simproc-setup int-combine-numerals  $(i \ \$+ \ j \mid i \ \$- \ j) =$ 

```

$\langle K \text{ Int-Numeral-Simprocs.int-combine-numerals-proc} \rangle$

**simproc-setup** *int-combine-numerals-prod* ( $i \ \$* \ j$ ) =  
 $\langle K \text{ Int-Numeral-Simprocs.int-combine-numerals-prod-proc} \rangle$

### 32.2.1 Examples

*combine-numerals-prod* (products of separate literals)

**lemma**  $\#5 \ \$* \ x \ \$* \ \#3 = y$  **apply simp oops**

**schematic-goal**  $y2 \ \$+ \ ?x42 = y \ \$+ \ y2$  **apply simp oops**

**lemma**  $oo : int \implies l \ \$+ \ (l \ \$+ \ \#2) \ \$+ \ oo = oo$  **apply simp oops**

**lemma**  $\#9 \$* x \ \$+ \ y = x \$* \#23 \ \$+ \ z$  **apply simp oops**

**lemma**  $y \ \$+ \ x = x \ \$+ \ z$  **apply simp oops**

**lemma**  $x : int \implies x \ \$+ \ y \ \$+ \ z = x \ \$+ \ z$  **apply simp oops**

**lemma**  $x : int \implies y \ \$+ \ (z \ \$+ \ x) = z \ \$+ \ x$  **apply simp oops**

**lemma**  $z : int \implies x \ \$+ \ y \ \$+ \ z = (z \ \$+ \ y) \ \$+ \ (x \ \$+ \ w)$  **apply simp oops**

**lemma**  $z : int \implies x \$* y \ \$+ \ z = (z \ \$+ \ y) \ \$+ \ (y \$* x \ \$+ \ w)$  **apply simp oops**

**lemma**  $\#-3 \ \$* \ x \ \$+ \ y \ \$\leq x \ \$* \ \#2 \ \$+ \ z$  **apply simp oops**

**lemma**  $y \ \$+ \ x \ \$\leq x \ \$+ \ z$  **apply simp oops**

**lemma**  $x \ \$+ \ y \ \$+ \ z \ \$\leq x \ \$+ \ z$  **apply simp oops**

**lemma**  $y \ \$+ \ (z \ \$+ \ x) \ \$< z \ \$+ \ x$  **apply simp oops**

**lemma**  $x \ \$+ \ y \ \$+ \ z \ \$< (z \ \$+ \ y) \ \$+ \ (x \ \$+ \ w)$  **apply simp oops**

**lemma**  $x \$* y \ \$+ \ z \ \$< (z \ \$+ \ y) \ \$+ \ (y \$* x \ \$+ \ w)$  **apply simp oops**

**lemma**  $l \ \$+ \ \#2 \ \$+ \ \#2 \ \$+ \ \#2 \ \$+ \ (l \ \$+ \ \#2) \ \$+ \ (oo \ \$+ \ \#2) = uu$  **apply simp oops**

**lemma**  $u : int \implies \#2 \ \$* \ u = u$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#12 \ \$+ \ k) \ \$- \ \#15 = y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#12 \ \$+ \ k) \ \$- \ \#5 = y$  **apply simp oops**

**lemma**  $y \ \$- \ b \ \$< b$  **apply simp oops**

**lemma**  $y \ \$- \ (\#3 \ \$* \ b \ \$+ \ c) \ \$< b \ \$- \ \#2 \ \$* \ c$  **apply simp oops**

**lemma**  $(\#2 \ \$* \ x \ \$- \ (u \ \$* \ v) \ \$+ \ y) \ \$- \ v \ \$* \ \#3 \ \$* \ u = w$  **apply simp oops**

**lemma**  $(\#2 \ \$* \ x \ \$* \ u \ \$* \ v \ \$+ \ (u \ \$* \ v) \ \$* \ \#4 \ \$+ \ y) \ \$- \ v \ \$* \ u \ \$* \ \#4 = w$  **apply simp oops**

**lemma**  $(\#2 \ \$* \ x \ \$* \ u \ \$* \ v \ \$+ \ (u \ \$* \ v) \ \$* \ \#4 \ \$+ \ y) \ \$- \ v \ \$* \ u = w$  **apply simp oops**

**lemma**  $u \ \$* \ v \ \$- \ (x \ \$* \ u \ \$* \ v \ \$+ \ (u \ \$* \ v) \ \$* \ \#4 \ \$+ \ y) = w$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#12 \ \$+ \ k) = u \ \$+ \ \#15 \ \$+ \ y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$* \ \#2 \ \$+ \ \#12 \ \$+ \ k) = j \ \$+ \ \#5 \ \$+ \ y$  **apply simp oops**

**lemma**  $\#2 \ \$* \ y \ \$+ \ \#3 \ \$* \ z \ \$+ \ \#6 \ \$* \ w \ \$+ \ \#2 \ \$* \ y \ \$+ \ \#3 \ \$* \ z \ \$+ \ \#2 \ \$* \ u = \#2 \ \$* \ y' \ \$+ \ \#3 \ \$* \ z' \ \$+ \ \#6 \ \$* \ w' \ \$+ \ \#2 \ \$* \ y' \ \$+ \ \#3 \ \$* \ z' \ \$+ \ u \ \$+ \ vv$   
**apply simp oops**

**lemma**  $a \ \$+ \ \$-(b\$+c) \ \$+ \ b = d$  **apply simp oops**

**lemma**  $a \ \$+ \ \$-(b\$+c) \ \$- \ b = d$  **apply simp oops**

negative numerals

**lemma**  $(i \ \$+ \ j \ \$+ \ \#-2 \ \$+ \ k) \ \$- \ (u \ \$+ \ \#5 \ \$+ \ y) = zz$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#-3 \ \$+ \ k) \ \$< \ u \ \$+ \ \#5 \ \$+ \ y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#3 \ \$+ \ k) \ \$< \ u \ \$+ \ \#-6 \ \$+ \ y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#-12 \ \$+ \ k) \ \$- \ \#15 = y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#12 \ \$+ \ k) \ \$- \ \#-15 = y$  **apply simp oops**

**lemma**  $(i \ \$+ \ j \ \$+ \ \#-12 \ \$+ \ k) \ \$- \ \#-15 = y$  **apply simp oops**

Multiplying separated numerals

**lemma**  $\#6 \ \$* \ (\$ \ x \ \$* \ \#2) = uu$  **apply simp oops**

**lemma**  $\#4 \ \$* \ (\$ \ x \ \$* \ \$ \ x) \ \$* \ (\#2 \ \$* \ \$ \ x) = uu$  **apply simp oops**

**end**

### 33 The Division Operators Div and Mod

**theory** *IntDiv*

**imports** *Bin OrderArith*

**begin**

**definition**

$quorem :: [i,i] \Rightarrow o$  **where**

$quorem \equiv \lambda \langle a,b \rangle \langle q,r \rangle.$

$a = b\$*q \ \$+ \ r \wedge$

$(\#0\$<b \wedge \#0\$ \leq r \wedge r\$<b \mid \neg(\#0\$<b) \wedge b\$<r \wedge r \ \$ \leq \#0)$

**definition**

$adjust :: [i,i] \Rightarrow i$  **where**

$adjust(b) \equiv \lambda \langle q,r \rangle. \text{ if } \#0 \ \$ \leq r\$-b \text{ then } <\#2\$*q \ \$+ \ \#1,r\$-b>$

$\text{ else } <\#2\$*q,r>$

**definition**

$posDivAlg :: i \Rightarrow i$  **where**

$posDivAlg(ab) \equiv$

$wfrec(measure(int*int, \lambda \langle a,b \rangle. \text{ nat-of } (a \ \$- \ b \ \$+ \ \#1)),$

$ab,$

$\lambda\langle a,b\rangle f. \text{ if } (a\$<b \mid b\$ \leq \#0) \text{ then } <\#0,a>$   
 $\text{ else adjust}(b, f \text{ ' } <a,\#2\$*b>))$

**definition**

$negDivAlg :: i \Rightarrow i$  **where**

$negDivAlg(ab) \equiv$   
 $wfrec(measure(int*int, \lambda\langle a,b\rangle. nat\text{-}of (\$- a \$- b)),$   
 $ab,$   
 $\lambda\langle a,b\rangle f. \text{ if } (\#0 \$ \leq a\$+b \mid b\$ \leq \#0) \text{ then } <\#-1,a\$+b>$   
 $\text{ else adjust}(b, f \text{ ' } <a,\#2\$*b>))$

**definition**

$negateSnd :: i \Rightarrow i$  **where**

$negateSnd \equiv \lambda\langle q,r\rangle. <q, \$-r>$

**definition**

$divAlg :: i \Rightarrow i$  **where**

$divAlg \equiv$   
 $\lambda\langle a,b\rangle. \text{ if } \#0 \$ \leq a \text{ then}$   
 $\text{ if } \#0 \$ \leq b \text{ then } posDivAlg (\langle a,b\rangle)$   
 $\text{ else if } a=\#0 \text{ then } <\#0,\#0>$   
 $\text{ else } negateSnd (negDivAlg (<\$-a,\$-b>))$   
 $\text{ else}$   
 $\text{ if } \#0\$<b \text{ then } negDivAlg (\langle a,b\rangle)$   
 $\text{ else } negateSnd (posDivAlg (<\$-a,\$-b>))$

**definition**

$zdiv :: [i,i] \Rightarrow i$  **(infixl <zdiv> 70) where**  
 $a \text{ zdiv } b \equiv fst (divAlg (<intify(a), intify(b)>))$

**definition**

$zmod :: [i,i] \Rightarrow i$  **(infixl <zmod> 70) where**  
 $a \text{ zmod } b \equiv snd (divAlg (<intify(a), intify(b)>))$

**lemma**  $zpos\text{-}add\text{-}zpos\text{-}imp\text{-}zpos: \llbracket \#0 \$< x; \#0 \$< y \rrbracket \implies \#0 \$< x \$+ y$   
**apply**  $(rule\text{-}tac \ y = y \text{ in } zless\text{-}trans)$   
**apply**  $(rule\text{-}tac \ [2] \ zdiff\text{-}zless\text{-}iff \ [THEN \ iffD1])$   
**apply**  $auto$   
**done**



```

lemma zpos-add-zpos-imp-zpos:  $\llbracket \#0 \leq x; \#0 \leq y \rrbracket \implies \#0 \leq x + y$ 
apply (rule-tac  $y = y$  in zle-trans)
apply (rule-tac [2] zdiff-zle-iff [THEN iffD1])
apply auto
done

```

```

lemma zneg-add-zneg-imp-zneg:  $\llbracket x < \#0; y < \#0 \rrbracket \implies x + y < \#0$ 
apply (rule-tac  $y = y$  in zless-trans)
apply (rule zless-zdiff-iff [THEN iffD1])
apply auto
done

```

```

lemma zneg-or-0-add-zneg-or-0-imp-zneg-or-0:
   $\llbracket x \leq \#0; y \leq \#0 \rrbracket \implies x + y \leq \#0$ 
apply (rule-tac  $y = y$  in zle-trans)
apply (rule zle-zdiff-iff [THEN iffD1])
apply auto
done

```

```

lemma zero-lt-zmagnitude:  $\llbracket \#0 < k; k \in \text{int} \rrbracket \implies 0 < \text{zmagnitude}(k)$ 
apply (drule zero-zless-imp-negative-zminus)
apply (drule-tac [2] zneg-int-of)
apply (auto simp add: zminus-equation [of k])
apply (subgoal-tac  $0 < \text{zmagnitude} (\# \text{succ } (n))$ )
  apply simp
apply (simp only: zmagnitude-int-of)
apply simp
done

```

```

lemma zless-add-succ-iff:
   $(w < z + \# \text{succ}(m)) \longleftrightarrow (w < z + \#m \mid \text{intify}(w) = z + \#m)$ 
apply (auto simp add: zless-iff-succ-zadd zadd-assoc int-of-add [symmetric])
apply (rule-tac [3]  $x = 0$  in bexI)
apply (cut-tac  $m = m$  in int-succ-int-1)
apply (cut-tac  $m = n$  in int-succ-int-1)
apply simp
apply (erule natE)
apply auto
apply (rule-tac  $x = \text{succ } (n)$  in bexI)
apply auto
done

```

```

lemma zadd-succ-lemma:
   $z \in \text{int} \implies (w + \# \text{succ}(m) \leq z) \longleftrightarrow (w + \#m < z)$ 
apply (simp only: not-zless-iff-zle [THEN iff-sym] zless-add-succ-iff)

```

```

apply (auto intro: zle-anti-sym elim: zless-asm
        simp add: zless-imp-zle not-zless-iff-zle)
done

lemma zadd-succ-zle-iff: (w $+ $# succ(m) $≤ z) ↔ (w $+ $#m $< z)
apply (cut-tac z = intify (z) in zadd-succ-lemma)
apply auto
done

lemma zless-add1-iff-zle: (w $< z $+ #1) ↔ (w $≤ z)
apply (subgoal-tac #1 = $# 1)
apply (simp only: zless-add-succ-iff zle-def)
apply auto
done

lemma add1-zle-iff: (w $+ #1 $≤ z) ↔ (w $< z)
apply (subgoal-tac #1 = $# 1)
apply (simp only: zadd-succ-zle-iff)
apply auto
done

lemma add1-left-zle-iff: (#1 $+ w $≤ z) ↔ (w $< z)
apply (subst zadd-commute)
apply (rule add1-zle-iff)
done

lemma zmult-mono-lemma: k ∈ nat ⇒ i $≤ j ⇒ i $* $#k $≤ j $* $#k
apply (induct-tac k)
  prefer 2 apply (subst int-succ-int-1)
apply (simp-all (no-asm-simp) add: zadd-zmult-distrib2 zadd-zle-mono)
done

lemma zmult-zle-mono1: [i $≤ j; #0 $≤ k] ⇒ i $*k $≤ j $*k
apply (subgoal-tac i $* intify (k) $≤ j $* intify (k) )
apply (simp (no-asm-use))
apply (rule-tac b = intify (k) in not-zneg-mag [THEN subst])
apply (rule-tac [3] zmult-mono-lemma)
apply auto
apply (simp add: znegative-iff-zless-0 not-zless-iff-zle [THEN iff-sym])
done

lemma zmult-zle-mono1-neg: [i $≤ j; k $≤ #0] ⇒ j $*k $≤ i $*k
apply (rule zminus-zle-zminus [THEN iffD1])
apply (simp del: zmult-zminus-right

```

```

      add: zmult-zminus-right [symmetric] zmult-zle-mono1 zle-zminus)
done

lemma zmult-zle-mono2:  $\llbracket i \leq j; \#0 \leq k \rrbracket \implies k * i \leq k * j$ 
apply (drule zmult-zle-mono1)
apply (simp-all add: zmult-commute)
done

lemma zmult-zle-mono2-neg:  $\llbracket i \leq j; k \leq \#0 \rrbracket \implies k * j \leq k * i$ 
apply (drule zmult-zle-mono1-neg)
apply (simp-all add: zmult-commute)
done

lemma zmult-zle-mono:
   $\llbracket i \leq j; k \leq l; \#0 \leq j; \#0 \leq k \rrbracket \implies i * k \leq j * l$ 
apply (erule zmult-zle-mono1 [THEN zle-trans])
apply assumption
apply (erule zmult-zle-mono2)
apply assumption
done

lemma zmult-zless-mono2-lemma [rule-format]:
   $\llbracket i < j; k \in \text{nat} \rrbracket \implies 0 < k \longrightarrow \#k * i < \#k * j$ 
apply (induct-tac k)
prefer 2
apply (subst int-succ-int-1)
apply (erule natE)
apply (simp-all add: zadd-zmult-distrib zadd-zless-mono zle-def)
apply (frule nat-0-le)
apply (subgoal-tac i $+ (i $+ $\# xa $* i) $< j $+ (j $+ $\# xa $* j) )
apply (simp (no-asm-use))
apply (rule zadd-zless-mono)
apply (simp-all (no-asm-simp) add: zle-def)
done

lemma zmult-zless-mono2:  $\llbracket i < j; \#0 < k \rrbracket \implies k * i < k * j$ 
apply (subgoal-tac intify (k) $* i $< intify (k) $* j)
apply (simp (no-asm-use))
apply (rule-tac b = intify (k) in not-zneg-mag [THEN subst])
apply (rule-tac [3] zmult-zless-mono2-lemma)
apply auto
apply (simp add: znegative-iff-zless-0)
apply (drule zless-trans, assumption)
apply (auto simp add: zero-lt-zmagnitude)
done

```

```

lemma zmult-zless-mono1:  $\llbracket i \$< j; \#0 \$< k \rrbracket \implies i \$*k \$< j \$*k$ 
apply (drule zmult-zless-mono2)
apply (simp-all add: zmult-commute)
done

```

```

lemma zmult-zless-mono:
   $\llbracket i \$< j; k \$< l; \#0 \$< j; \#0 \$< k \rrbracket \implies i \$*k \$< j \$*l$ 
apply (erule zmult-zless-mono1 [THEN zless-trans])
apply assumption
apply (erule zmult-zless-mono2)
apply assumption
done

```

```

lemma zmult-zless-mono1-neg:  $\llbracket i \$< j; k \$< \#0 \rrbracket \implies j \$*k \$< i \$*k$ 
apply (rule zminus-zless-zminus [THEN iffD1])
apply (simp del: zmult-zminus-right
  add: zmult-zminus-right [symmetric] zmult-zless-mono1 zless-zminus)
done

```

```

lemma zmult-zless-mono2-neg:  $\llbracket i \$< j; k \$< \#0 \rrbracket \implies k \$*j \$< k \$*i$ 
apply (rule zminus-zless-zminus [THEN iffD1])
apply (simp del: zmult-zminus
  add: zmult-zminus [symmetric] zmult-zless-mono2 zless-zminus)
done

```

```

lemma zmult-eq-lemma:
   $\llbracket m \in \text{int}; n \in \text{int} \rrbracket \implies (m = \#0 \mid n = \#0) \longleftrightarrow (m \$*n = \#0)$ 
apply (case-tac m  $\$< \#0$ )
apply (auto simp add: not-zless-iff-zle zle-def neq-iff-zless)
apply (force dest: zmult-zless-mono1-neg zmult-zless-mono1)
done

```

```

lemma zmult-eq-0-iff [iff]:  $(m \$*n = \#0) \longleftrightarrow (\text{intify}(m) = \#0 \mid \text{intify}(n) = \#0)$ 
apply (simp add: zmult-eq-lemma)
done

```

```

lemma zmult-zless-lemma:
   $\llbracket k \in \text{int}; m \in \text{int}; n \in \text{int} \rrbracket$ 
   $\implies (m \$*k \$< n \$*k) \longleftrightarrow ((\#0 \$< k \wedge m \$< n) \mid (k \$< \#0 \wedge n \$< m))$ 
apply (case-tac k  $= \#0$ )
apply (auto simp add: neq-iff-zless zmult-zless-mono1 zmult-zless-mono1-neg)

```

```

apply (auto simp add: not-zless-iff-zle
        not-zle-iff-zless [THEN iff-sym, of m$k]
        not-zle-iff-zless [THEN iff-sym, of m])
apply (auto elim: notE
        simp add: zless-imp-zle zmult-zle-mono1 zmult-zle-mono1-neg)
done

lemma zmult-zless-cancel2:
   $(m\$*k \$< n\$*k) \longleftrightarrow ((\#0 \$< k \wedge m\$<n) \mid (k \$< \#0 \wedge n\$<m))$ 
apply (cut-tac k = intify (k) and m = intify (m) and n = intify (n)
        in zmult-zless-lemma)
apply auto
done

lemma zmult-zless-cancel1:
   $(k\$*m \$< k\$*n) \longleftrightarrow ((\#0 \$< k \wedge m\$<n) \mid (k \$< \#0 \wedge n\$<m))$ 
by (simp add: zmult-commute [of k] zmult-zless-cancel2)

lemma zmult-zle-cancel2:
   $(m\$*k \$\leq n\$*k) \longleftrightarrow ((\#0 \$< k \longrightarrow m\$ \leq n) \wedge (k \$< \#0 \longrightarrow n\$ \leq m))$ 
by (auto simp add: not-zless-iff-zle [THEN iff-sym] zmult-zless-cancel2)

lemma zmult-zle-cancel1:
   $(k\$*m \$\leq k\$*n) \longleftrightarrow ((\#0 \$< k \longrightarrow m\$ \leq n) \wedge (k \$< \#0 \longrightarrow n\$ \leq m))$ 
by (auto simp add: not-zless-iff-zle [THEN iff-sym] zmult-zless-cancel1)

lemma int-eq-iff-zle:  $\llbracket m \in \text{int}; n \in \text{int} \rrbracket \implies m=n \longleftrightarrow (m \$\leq n \wedge n \$\leq m)$ 
apply (blast intro: zle-refl zle-anti-sym)
done

lemma zmult-cancel2-lemma:
   $\llbracket k \in \text{int}; m \in \text{int}; n \in \text{int} \rrbracket \implies (m\$*k = n\$*k) \longleftrightarrow (k=\#0 \mid m=n)$ 
apply (simp add: int-eq-iff-zle [of m$k] int-eq-iff-zle [of m])
apply (auto simp add: zmult-zle-cancel2 neq-iff-zless)
done

lemma zmult-cancel2 [simp]:
   $(m\$*k = n\$*k) \longleftrightarrow (\text{intify}(k) = \#0 \mid \text{intify}(m) = \text{intify}(n))$ 
apply (rule iff-trans)
apply (rule-tac [2] zmult-cancel2-lemma)
apply auto
done

lemma zmult-cancel1 [simp]:
   $(k\$*m = k\$*n) \longleftrightarrow (\text{intify}(k) = \#0 \mid \text{intify}(m) = \text{intify}(n))$ 
by (simp add: zmult-commute [of k] zmult-cancel2)

```

### 33.1 Uniqueness and monotonicity of quotients and remainders

**lemma** *unique-quotient-lemma*:

```

  [[b*q' $+ r' $≤ b*q $+ r; #0 $≤ r'; #0 $< b; r $< b]]
    ⇒ q' $≤ q
  apply (subgoal-tac r' $+ b $* (q'$-q) $≤ r)
  prefer 2 apply (simp add: zdiff-zmult-distrib2 zadd-ac zcompare-rls)
  apply (subgoal-tac #0 $< b $* (#1 $+ q $- q') )
  prefer 2
  apply (erule zle-zless-trans)
  apply (simp add: zdiff-zmult-distrib2 zadd-zmult-distrib2 zadd-ac zcompare-rls)
  apply (erule zle-zless-trans)
  apply simp
  apply (subgoal-tac b $* q' $< b $* (#1 $+ q))
  prefer 2
  apply (simp add: zdiff-zmult-distrib2 zadd-zmult-distrib2 zadd-ac zcompare-rls)
  apply (auto elim: zless-asym
    simp add: zmult-zless-cancel1 zless-add1-iff-zle zadd-ac zcompare-rls)
done

```

**lemma** *unique-quotient-lemma-neg*:

```

  [[b*q' $+ r' $≤ b*q $+ r; r $≤ #0; b $< #0; b $< r]]
    ⇒ q $≤ q'
  apply (rule-tac b = $-b and r = $-r' and r' = $-r
    in unique-quotient-lemma)
  apply (auto simp del: zminus-zadd-distrib
    simp add: zminus-zadd-distrib [symmetric] zle-zminus zless-zminus)
done

```

**lemma** *unique-quotient*:

```

  [[quorem (<a,b>, <q,r>); quorem (<a,b>, <q',r'>); b ∈ int; b ≠ #0;
    q ∈ int; q' ∈ int]] ⇒ q = q'
  apply (simp add: split-ifs quorem-def neq-iff-zless)
  apply safe
  apply simp-all
  apply (blast intro: zle-anti-sym
    dest: zle-eq-refl [THEN unique-quotient-lemma]
    zle-eq-refl [THEN unique-quotient-lemma-neg] sym)+
done

```

**lemma** *unique-remainder*:

```

  [[quorem (<a,b>, <q,r>); quorem (<a,b>, <q',r'>); b ∈ int; b ≠ #0;
    q ∈ int; q' ∈ int;
    r ∈ int; r' ∈ int]] ⇒ r = r'
  apply (subgoal-tac q = q')
  prefer 2 apply (blast intro: unique-quotient)
  apply (simp add: quorem-def)
done

```

### 33.2 Correctness of posDivAlg, the Division Algorithm for $a \geq 0$ and $b > 0$

**lemma** *adjust-eq* [*simp*]:

*adjust*(*b*, (*q*, *r*)) = (let *diff* = *r* \$- *b* in  
                           if #0 \$≤ *diff* then <#2\$\**q* \$+ #1, *diff*>  
                           else <#2\$\**q*, *r*>)

**by** (*simp add: Let-def adjust-def*)

**lemma** *posDivAlg-termination*:

[[#0 \$< *b*; ¬ *a* \$< *b*]]  
           ⇒ nat-of(*a* \$- #2 \$\* *b* \$+ #1) < nat-of(*a* \$- *b* \$+ #1)

**apply** (*simp (no-asm) add: zless-nat-conj*)

**apply** (*simp add: not-zless-iff-zle zless-add1-iff-zle zcompare-rls*)

**done**

**lemmas** *posDivAlg-unfold* = *def-wfrec* [*OF posDivAlg-def wf-measure*]

**lemma** *posDivAlg-eqn*:

[[#0 \$< *b*; *a* ∈ int; *b* ∈ int]] ⇒  
           *posDivAlg*(⟨*a*, *b*⟩) =  
           (if *a* \$< *b* then <#0, *a*> else *adjust*(*b*, *posDivAlg* (<*a*, #2\$\**b*>)))

**apply** (*rule posDivAlg-unfold [THEN trans]*)

**apply** (*simp add: vimage-iff not-zless-iff-zle [THEN iff-sym]*)

**apply** (*blast intro: posDivAlg-termination*)

**done**

**lemma** *posDivAlg-induct-lemma* [*rule-format*]:

**assumes** *prem*:

∧ *a* *b*. [[*a* ∈ int; *b* ∈ int;  
           ¬ (*a* \$< *b* | *b* \$≤ #0) → *P*(<*a*, #2 \$\* *b*>)] ⇒ *P*(⟨*a*, *b*⟩)]

**shows** ⟨*u*, *v*⟩ ∈ int\*int ⇒ *P*(⟨*u*, *v*⟩)

**using** *wf-measure* [**where** *A* = int\*int **and** *f* = λ⟨*a*, *b*⟩. nat-of (*a* \$- *b* \$+ #1)]

**proof** (*induct* ⟨*u*, *v*⟩ *arbitrary: u v rule: wf-induct*)

**case** (*step x*)

**hence** *uv*: *u* ∈ int *v* ∈ int **by** *auto*

**thus** ?*case*

**apply** (*rule prem*)

**apply** (*rule impI*)

**apply** (*rule step*)

**apply** (*auto simp add: step uv not-zle-iff-zless posDivAlg-termination*)

**done**

**qed**

**lemma** *posDivAlg-induct* [*consumes 2*]:

**assumes** *u-int*: *u* ∈ int

**and** *v-int*: *v* ∈ int

**and** *ih*: ∧ *a* *b*. [[*a* ∈ int; *b* ∈ int;

```

       $\neg (a \leq b \mid b \leq \#0) \longrightarrow P(a, \#2 \ast b) \Longrightarrow P(a, b)$ 
    shows  $P(u, v)$ 
  apply (subgoal-tac ( $\lambda(x, y). P(x, y)$ ) ( $\langle u, v \rangle$ ))
  apply simp
  apply (rule posDivAlg-induct-lemma)
  apply (simp (no-asm-use))
  apply (rule ih)
  apply (auto simp add: u-int v-int)
done

```

```

lemma intify-eq-0-iff-zle: intify( $m$ ) =  $\#0 \longleftrightarrow (m \leq \#0 \wedge \#0 \leq m)$ 
  by (simp add: int-eq-iff-zle)

```

### 33.3 Some convenient biconditionals for products of signs

```

lemma zmult-pos:  $\llbracket \#0 \leq i; \#0 \leq j \rrbracket \Longrightarrow \#0 \leq i \ast j$ 
  by (drule zmult-zless-mono1, auto)

```

```

lemma zmult-neg:  $\llbracket i \leq \#0; j \leq \#0 \rrbracket \Longrightarrow \#0 \leq i \ast j$ 
  by (drule zmult-zless-mono1-neg, auto)

```

```

lemma zmult-pos-neg:  $\llbracket \#0 \leq i; j \leq \#0 \rrbracket \Longrightarrow i \ast j \leq \#0$ 
  by (drule zmult-zless-mono1-neg, auto)

```

```

lemma int-0-less-lemma:
   $\llbracket x \in \text{int}; y \in \text{int} \rrbracket$ 
   $\Longrightarrow (\#0 \leq x \ast y) \longleftrightarrow (\#0 \leq x \wedge \#0 \leq y \mid x \leq \#0 \wedge y \leq \#0)$ 
  apply (auto simp add: zle-def not-zless-iff-zle zmult-pos zmult-neg)
  apply (rule ccontr)
  apply (rule-tac [2] ccontr)
  apply (auto simp add: zle-def not-zless-iff-zle)
  apply (erule-tac  $P = \#0 \leq x \ast y$  in rev-mp)
  apply (erule-tac [2]  $P = \#0 \leq x \ast y$  in rev-mp)
  apply (drule zmult-pos-neg, assumption)
  prefer 2
  apply (drule zmult-pos-neg, assumption)
  apply (auto dest: zless-not-sym simp add: zmult-commute)
done

```

```

lemma int-0-less-mult-iff:
   $(\#0 \leq x \ast y) \longleftrightarrow (\#0 \leq x \wedge \#0 \leq y \mid x \leq \#0 \wedge y \leq \#0)$ 
  apply (cut-tac  $x = \text{intify}(x)$  and  $y = \text{intify}(y)$  in int-0-less-lemma)
  apply auto
done

```



**lemma** *int-0-le-lemma*:  
 $\llbracket x \in \text{int}; y \in \text{int} \rrbracket$   
 $\implies (\#0 \leq x * y) \longleftrightarrow (\#0 \leq x \wedge \#0 \leq y \mid x \leq \#0 \wedge y \leq \#0)$   
**by** (*auto simp add: zle-def not-zless-iff-zle int-0-less-mult-iff*)

**lemma** *int-0-le-mult-iff*:  
 $(\#0 \leq x * y) \longleftrightarrow ((\#0 \leq x \wedge \#0 \leq y) \mid (x \leq \#0 \wedge y \leq \#0))$   
**apply** (*cut-tac x = intify (x) and y = intify (y) in int-0-le-lemma*)  
**apply** *auto*  
**done**

**lemma** *zmult-less-0-iff*:  
 $(x * y < \#0) \longleftrightarrow (\#0 < x \wedge y < \#0 \mid x < \#0 \wedge \#0 < y)$   
**apply** (*auto simp add: int-0-le-mult-iff not-zle-iff-zless [THEN iff-sym]*)  
**apply** (*auto dest: zless-not-sym simp add: not-zle-iff-zless*)  
**done**

**lemma** *zmult-le-0-iff*:  
 $(x * y \leq \#0) \longleftrightarrow (\#0 \leq x \wedge y \leq \#0 \mid x \leq \#0 \wedge \#0 \leq y)$   
**by** (*auto dest: zless-not-sym*  
*simp add: int-0-less-mult-iff not-zless-iff-zle [THEN iff-sym]*)

**lemma** *posDivAlg-type* [*rule-format*]:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies \text{posDivAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$   
**apply** (*rule-tac u = a and v = b in posDivAlg-induct*)  
**apply** *assumption+*  
**apply** (*case-tac #0 < ba*)  
**apply** (*simp add: posDivAlg-eqn adjust-def integ-of-type*  
*split: split-if-asm*)  
**apply** *clarify*  
**apply** (*simp add: int-0-less-mult-iff not-zle-iff-zless*)  
**apply** (*simp add: not-zless-iff-zle*)  
**apply** (*subst posDivAlg-unfold*)  
**apply** *simp*  
**done**

**lemma** *posDivAlg-correct* [*rule-format*]:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket$   
 $\implies \#0 \leq a \longrightarrow \#0 < b \longrightarrow \text{quorem}(\langle a, b \rangle, \text{posDivAlg}(\langle a, b \rangle))$   
**apply** (*rule-tac u = a and v = b in posDivAlg-induct*)  
**apply** *auto*  
**apply** (*simp-all add: quorem-def*)

base case:  $a < b$

**apply** (*simp add: posDivAlg-eqn*)  
**apply** (*simp add: not-zless-iff-zle [THEN iff-sym]*)

```

apply (simp add: int-0-less-mult-iff)

main argument
apply (subst posDivAlg-eqn)
apply (simp-all (no-asm-simp))
apply (erule splitE)
apply (rule posDivAlg-type)
apply (simp-all add: int-0-less-mult-iff)
apply (auto simp add: zadd-zmult-distrib2 Let-def)

now just linear arithmetic
apply (simp add: not-zle-iff-zless zdiff-zless-iff)
done

```

### 33.4 Correctness of negDivAlg, the division algorithm for $a < 0$ and $b > 0$

```

lemma negDivAlg-termination:
   $\llbracket \#0 \leq b; a \leq b \leq \#0 \rrbracket$ 
   $\implies \text{nat-of}(\$- a \$- \#2 \$* b) < \text{nat-of}(\$- a \$- b)$ 
apply (simp (no-asm) add: zless-nat-conj)
apply (simp add: zcompare-rls not-zle-iff-zless zless-zdiff-iff [THEN iff-sym]
  zless-zminus)
done

lemmas negDivAlg-unfold = def-wfrec [OF negDivAlg-def wf-measure]

lemma negDivAlg-eqn:
   $\llbracket \#0 \leq b; a \in \text{int}; b \in \text{int} \rrbracket \implies$ 
   $\text{negDivAlg}(\langle a, b \rangle) =$ 
   $(\text{if } \#0 \leq a + b \text{ then } \langle \#-1, a + b \rangle$ 
   $\text{else } \text{adjust}(b, \text{negDivAlg}(\langle a, \#2 \$* b \rangle)))$ 
apply (rule negDivAlg-unfold [THEN trans])
apply (simp (no-asm-simp) add: vimage-iff not-zless-iff-zle [THEN iff-sym])
apply (blast intro: negDivAlg-termination)
done

lemma negDivAlg-induct-lemma [rule-format]:
  assumes prem:
     $\bigwedge a b. \llbracket a \in \text{int}; b \in \text{int};$ 
     $\neg (\#0 \leq a + b \mid b \leq \#0) \longrightarrow P(\langle a, \#2 \$* b \rangle)\rrbracket$ 
     $\implies P(\langle a, b \rangle)$ 
  shows  $\langle u, v \rangle \in \text{int} * \text{int} \implies P(\langle u, v \rangle)$ 
using wf-measure [where  $A = \text{int} * \text{int}$  and  $f = \lambda \langle a, b \rangle. \text{nat-of}(\$- a \$- b)$ ]
proof (induct  $\langle u, v \rangle$  arbitrary:  $u v$  rule: wf-induct)
  case (step x)
  hence  $uv: u \in \text{int } v \in \text{int}$  by auto
  thus ?case
  apply (rule prem)

```

```

    apply (rule impI)
    apply (rule step)
    apply (auto simp add: step uv not-zle-iff-zless negDivAlg-termination)
  done
qed

```

```

lemma negDivAlg-induct [consumes 2]:
  assumes u-int:  $u \in \text{int}$ 
    and v-int:  $v \in \text{int}$ 
    and ih:  $\bigwedge a\ b. \llbracket a \in \text{int}; b \in \text{int};$ 
       $\neg (\#0 \leq a + b \mid b \leq \#0) \longrightarrow P(a, \#2 * b) \rrbracket$ 
       $\implies P(a, b)$ 
  shows  $P(u, v)$ 
  apply (subgoal-tac ( $\lambda \langle x, y \rangle. P(x, y)$ ) ( $\langle u, v \rangle$ ))
  apply simp
  apply (rule negDivAlg-induct-lemma)
  apply (simp (no-asm-use))
  apply (rule ih)
  apply (auto simp add: u-int v-int)
  done

```

```

lemma negDivAlg-type:
   $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies \text{negDivAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$ 
  apply (rule-tac  $u = a$  and  $v = b$  in negDivAlg-induct)
  apply assumption+
  apply (case-tac  $\#0 \leq ba$ )
  apply (simp add: negDivAlg-eqn adjust-def integ-of-type
    split: split-if-asm)
  apply clarify
  apply (simp add: int-0-less-mult-iff not-zle-iff-zless)
  apply (simp add: not-zless-iff-zle)
  apply (subst negDivAlg-unfold)
  apply simp
  done

```

```

lemma negDivAlg-correct [rule-format]:
   $\llbracket a \in \text{int}; b \in \text{int} \rrbracket$ 
   $\implies a \leq \#0 \longrightarrow \#0 \leq b \longrightarrow \text{quorem}(\langle a, b \rangle, \text{negDivAlg}(\langle a, b \rangle))$ 
  apply (rule-tac  $u = a$  and  $v = b$  in negDivAlg-induct)
  apply auto
  apply (simp-all add: quorem-def)

```

base case:  $0 \leq a + b$

```

  apply (simp add: negDivAlg-eqn)
  apply (simp add: not-zless-iff-zle [THEN iff-sym])

```

```

apply (simp add: int-0-less-mult-iff)

main argument
apply (subst negDivAlg-eqn)
apply (simp-all (no-asm-simp))
apply (erule splitE)
apply (rule negDivAlg-type)
apply (simp-all add: int-0-less-mult-iff)
apply (auto simp add: zadd-zmult-distrib2 Let-def)

now just linear arithmetic
apply (simp add: not-zle-iff-zless zdiff-zless-iff)
done

```

### 33.5 Existence shown by proving the division algorithm to be correct

```

lemma quorem-0:  $\llbracket b \neq \#0; \ b \in \text{int} \rrbracket \implies \text{quorem} (\langle \#0, b \rangle, \langle \#0, \#0 \rangle)$ 
by (force simp add: quorem-def neq-iff-zless)

```

```

lemma posDivAlg-zero-divisor:  $\text{posDivAlg}(\langle a, \#0 \rangle) = \langle \#0, a \rangle$ 
apply (subst posDivAlg-unfold)
apply simp
done

```

```

lemma posDivAlg-0 [simp]:  $\text{posDivAlg} (\langle \#0, b \rangle) = \langle \#0, \#0 \rangle$ 
apply (subst posDivAlg-unfold)
apply (simp add: not-zle-iff-zless)
done

```

```

lemma linear-arith-lemma:  $\neg (\#0 \ \$ \leq \#-1 \ \$ + b) \implies (b \ \$ \leq \#0)$ 
apply (simp add: not-zle-iff-zless)
apply (drule zminus-zless-zminus [THEN iffD2])
apply (simp add: zadd-commute zless-add1-iff-zle zle-zminus)
done

```

```

lemma negDivAlg-minus1 [simp]:  $\text{negDivAlg} (\langle \#-1, b \rangle) = \langle \#-1, b \ \$ - \#1 \rangle$ 
apply (subst negDivAlg-unfold)
apply (simp add: linear-arith-lemma integ-of-type vimage-iff)
done

```

```

lemma negateSnd-eq [simp]:  $\text{negateSnd} (\langle q, r \rangle) = \langle q, \ \$ - r \rangle$ 
unfolding negateSnd-def
apply auto
done

```

```

lemma negateSnd-type:  $qr \in \text{int} * \text{int} \implies \text{negateSnd} (qr) \in \text{int} * \text{int}$ 

```

```

unfolding negateSnd-def
apply auto
done

lemma quorem-neg:
   $\llbracket \text{quorem } (\langle \$-a, \$-b \rangle, qr); a \in \text{int}; b \in \text{int}; qr \in \text{int} * \text{int} \rrbracket$ 
   $\implies \text{quorem } (\langle a, b \rangle, \text{negateSnd}(qr))$ 
apply clarify
apply (auto elim: zless-asym simp add: quorem-def zless-zminus)

```

linear arithmetic from here on

```

apply (simp-all add: zminus-equation [of a] zminus-zless)
apply (cut-tac [2] z = b and w = #0 in zless-linear)
apply (cut-tac [1] z = b and w = #0 in zless-linear)
apply auto
apply (blast dest: zle-zless-trans)+
done

```

```

lemma divAlg-correct:
   $\llbracket b \neq \#0; a \in \text{int}; b \in \text{int} \rrbracket \implies \text{quorem } (\langle a, b \rangle, \text{divAlg}(\langle a, b \rangle))$ 
apply (auto simp add: quorem-0 divAlg-def)
apply (safe intro!: quorem-neg posDivAlg-correct negDivAlg-correct
  posDivAlg-type negDivAlg-type)
apply (auto simp add: quorem-def neg-iff-zless)

```

linear arithmetic from here on

```

apply (auto simp add: zle-def)
done

```

```

lemma divAlg-type:  $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies \text{divAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$ 
apply (auto simp add: divAlg-def)
apply (auto simp add: posDivAlg-type negDivAlg-type negateSnd-type)
done

```

```

lemma zdiv-intify1 [simp]:  $\text{intify}(x) \text{ zdiv } y = x \text{ zdiv } y$ 
by (simp add: zdiv-def)

```

```

lemma zdiv-intify2 [simp]:  $x \text{ zdiv } \text{intify}(y) = x \text{ zdiv } y$ 
by (simp add: zdiv-def)

```

```

lemma zdiv-type [iff, TC]:  $z \text{ zdiv } w \in \text{int}$ 
unfolding zdiv-def
apply (blast intro: fst-type divAlg-type)
done

```

```

lemma zmod-intify1 [simp]:  $\text{intify}(x) \text{ zmod } y = x \text{ zmod } y$ 

```

```

by (simp add: zmod-def)

lemma zmod-intify2 [simp]:  $x \text{ zmod } \text{intify}(y) = x \text{ zmod } y$ 
  by (simp add: zmod-def)

lemma zmod-type [iff, TC]:  $z \text{ zmod } w \in \text{int}$ 
  unfolding zmod-def
  apply (rule snd-type)
  apply (blast intro: divAlg-type)
  done

lemma DIVISION-BY-ZERO-ZDIV:  $a \text{ zdiv } \#0 = \#0$ 
  by (simp add: zdiv-def divAlg-def posDivAlg-zero-divisor)

lemma DIVISION-BY-ZERO-ZMOD:  $a \text{ zmod } \#0 = \text{intify}(a)$ 
  by (simp add: zmod-def divAlg-def posDivAlg-zero-divisor)

lemma raw-zmod-zdiv-equality:
   $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies a = b \$* (a \text{ zdiv } b) \$+ (a \text{ zmod } b)$ 
  apply (case-tac b = #0)
  apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
  apply (cut-tac a = a and b = b in divAlg-correct)
  apply (auto simp add: quorem-def zdiv-def zmod-def split-def)
  done

lemma zmod-zdiv-equality:  $\text{intify}(a) = b \$* (a \text{ zdiv } b) \$+ (a \text{ zmod } b)$ 
  apply (rule trans)
  apply (rule-tac b = intify (b) in raw-zmod-zdiv-equality)
  apply auto
  done

lemma pos-mod:  $\#0 \$< b \implies \#0 \$\leq a \text{ zmod } b \wedge a \text{ zmod } b \$< b$ 
  apply (cut-tac a = intify (a) and b = intify (b) in divAlg-correct)
  apply (auto simp add: intify-eq-0-iff-zle quorem-def zmod-def split-def)
  apply (blast dest: zle-zless-trans)+
  done

lemmas pos-mod-sign = pos-mod [THEN conjunct1]
  and pos-mod-bound = pos-mod [THEN conjunct2]

lemma neg-mod:  $b \$< \#0 \implies a \text{ zmod } b \$\leq \#0 \wedge b \$< a \text{ zmod } b$ 
  apply (cut-tac a = intify (a) and b = intify (b) in divAlg-correct)
  apply (auto simp add: intify-eq-0-iff-zle quorem-def zmod-def split-def)

```

**apply** (*blast dest: zle-zless-trans*)  
**apply** (*blast dest: zless-trans*) +  
**done**

**lemmas** *neg-mod-sign* = *neg-mod* [*THEN conjunct1*]  
**and** *neg-mod-bound* = *neg-mod* [*THEN conjunct2*]

**lemma** *quorem-div-mod*:  
 $\llbracket b \neq \#0; a \in \text{int}; b \in \text{int} \rrbracket$   
 $\implies \text{quorem}(\langle a, b \rangle, \langle a \text{ zdiv } b, a \text{ zmod } b \rangle)$   
**apply** (*cut-tac a = a and b = b in zmod-zdiv-equality*)  
**apply** (*auto simp add: quorem-def neg-iff-zless pos-mod-sign pos-mod-bound*  
*neg-mod-sign neg-mod-bound*)  
**done**

**lemma** *quorem-div*:  
 $\llbracket \text{quorem}(\langle a, b \rangle, \langle q, r \rangle); b \neq \#0; a \in \text{int}; b \in \text{int}; q \in \text{int} \rrbracket$   
 $\implies a \text{ zdiv } b = q$   
**by** (*blast intro: quorem-div-mod [THEN unique-quotient]*)

**lemma** *quorem-mod*:  
 $\llbracket \text{quorem}(\langle a, b \rangle, \langle q, r \rangle); b \neq \#0; a \in \text{int}; b \in \text{int}; q \in \text{int}; r \in \text{int} \rrbracket$   
 $\implies a \text{ zmod } b = r$   
**by** (*blast intro: quorem-div-mod [THEN unique-remainder]*)

**lemma** *zdiv-pos-pos-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 \leq a; a \leq b \rrbracket \implies a \text{ zdiv } b = \#0$   
**apply** (*rule quorem-div*)  
**apply** (*auto simp add: quorem-def*)

**apply** (*blast dest: zle-zless-trans*) +  
**done**

**lemma** *zdiv-pos-pos-trivial*:  $\llbracket \#0 \leq a; a \leq b \rrbracket \implies a \text{ zdiv } b = \#0$   
**apply** (*cut-tac a = intify (a) and b = intify (b)*  
*in zdiv-pos-pos-trivial-raw*)  
**apply** *auto*  
**done**

**lemma** *zdiv-neg-neg-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; a \leq \#0; b \leq a \rrbracket \implies a \text{ zdiv } b = \#0$   
**apply** (*rule-tac r = a in quorem-div*)  
**apply** (*auto simp add: quorem-def*)

**apply** (*blast dest: zle-zless-trans zless-trans*) +

done

**lemma** *zdiv-neg-neg-trivial*:  $\llbracket a \leq \#0; b < a \rrbracket \implies a \text{ zdiv } b = \#0$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$ )  
     **in** *zdiv-neg-neg-trivial-raw*)  
**apply** *auto*  
done

**lemma** *zadd-le-0-lemma*:  $\llbracket a + b \leq \#0; \#0 < a; \#0 < b \rrbracket \implies \text{False}$   
**apply** (*drule-tac*  $z' = \#0$  **and**  $z = b$  **in** *zadd-zless-mono*)  
**apply** (*auto simp add: zle-def*)  
**apply** (*blast dest: zless-trans*)  
done

**lemma** *zdiv-pos-neg-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 < a; a + b \leq \#0 \rrbracket \implies a \text{ zdiv } b = \#-1$   
**apply** (*rule-tac*  $r = a + b$  **in** *quorem-div*)  
**apply** (*auto simp add: quorem-def*)

**apply** (*blast dest: zadd-le-0-lemma zle-zless-trans*) +  
done

**lemma** *zdiv-pos-neg-trivial*:  $\llbracket \#0 < a; a + b \leq \#0 \rrbracket \implies a \text{ zdiv } b = \#-1$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$ )  
     **in** *zdiv-pos-neg-trivial-raw*)  
**apply** *auto*  
done

**lemma** *zmod-pos-pos-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 \leq a; a < b \rrbracket \implies a \text{ zmod } b = a$   
**apply** (*rule-tac*  $q = \#0$  **in** *quorem-mod*)  
**apply** (*auto simp add: quorem-def*)

**apply** (*blast dest: zle-zless-trans*) +  
done

**lemma** *zmod-pos-pos-trivial*:  $\llbracket \#0 \leq a; a < b \rrbracket \implies a \text{ zmod } b = \text{intify}(a)$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$ )  
     **in** *zmod-pos-pos-trivial-raw*)  
**apply** *auto*  
done

**lemma** *zmod-neg-neg-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; a \leq \#0; b < a \rrbracket \implies a \text{ zmod } b = a$   
**apply** (*rule-tac*  $q = \#0$  **in** *quorem-mod*)  
**apply** (*auto simp add: quorem-def*)



**apply** (*blast dest: zle-zless-trans zless-trans*) +  
**done**

**lemma** *zmod-neg-neg-trivial*:  $\llbracket a \leq \#0; b < a \rrbracket \implies a \text{ zmod } b = \text{intify}(a)$   
**apply** (*cut-tac a = intify (a) and b = intify (b)*  
     **in** *zmod-neg-neg-trivial-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-pos-neg-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 < a; a\$+b \leq \#0 \rrbracket \implies a \text{ zmod } b = a\$+b$   
**apply** (*rule-tac q = #-1 in quorem-mod*)  
**apply** (*auto simp add: quorem-def*)

**apply** (*blast dest: zadd-le-0-lemma zle-zless-trans*) +  
**done**

**lemma** *zmod-pos-neg-trivial*:  $\llbracket \#0 < a; a\$+b \leq \#0 \rrbracket \implies a \text{ zmod } b = a\$+b$   
**apply** (*cut-tac a = intify (a) and b = intify (b)*  
     **in** *zmod-pos-neg-trivial-raw*)  
**apply** *auto*  
**done**

**lemma** *zdiv-zminus-zminus-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (\$-a) \text{ zdiv } (\$-b) = a \text{ zdiv } b$   
**apply** (*case-tac b = \#0*)  
**apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*subst quorem-div-mod [THEN quorem-neg, simplified, THEN quorem-div]*)  
**apply** *auto*  
**done**

**lemma** *zdiv-zminus-zminus [simp]*:  $(\$-a) \text{ zdiv } (\$-b) = a \text{ zdiv } b$   
**apply** (*cut-tac a = intify (a) and b = intify (b) in zdiv-zminus-zminus-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-zminus-zminus-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (\$-a) \text{ zmod } (\$-b) = \$- (a \text{ zmod } b)$   
**apply** (*case-tac b = \#0*)  
**apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*subst quorem-div-mod [THEN quorem-neg, simplified, THEN quorem-mod]*)  
**apply** *auto*

done

**lemma** *zmod-zminus-zminus* [*simp*]:  $(\$-a) \text{ zmod } (\$-b) = \$- (a \text{ zmod } b)$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$  **in** *zmod-zminus-zminus-raw*)  
**apply** *auto*  
**done**

### 33.6 division of a number by itself

**lemma** *self-quotient-aux1*:  $\llbracket \#0 \$< a; a = r \$+ a\$*q; r \$< a \rrbracket \implies \#1 \$\leq q$   
**apply** (*subgoal-tac*  $\#0 \$< a\$*q$ )  
**apply** (*cut-tac*  $w = \#0$  **and**  $z = q$  **in** *add1-zle-iff*)  
**apply** (*simp* *add: int-0-less-mult-iff*)  
**apply** (*blast* *dest: zless-trans*)

**apply** (*drule-tac*  $t = \lambda x. x \$- r$  **in** *subst-context*)  
**apply** (*drule* *sym*)  
**apply** (*simp* *add: zcompare-rls*)  
**done**

**lemma** *self-quotient-aux2*:  $\llbracket \#0 \$< a; a = r \$+ a\$*q; \#0 \$\leq r \rrbracket \implies q \$\leq \#1$   
**apply** (*subgoal-tac*  $\#0 \$\leq a\$* (\#1 \$-q)$ )  
**apply** (*simp* *add: int-0-le-mult-iff zcompare-rls*)  
**apply** (*blast* *dest: zle-zless-trans*)  
**apply** (*simp* *add: zdiff-zmult-distrib2*)  
**apply** (*drule-tac*  $t = \lambda x. x \$- a \$* q$  **in** *subst-context*)  
**apply** (*simp* *add: zcompare-rls*)  
**done**

**lemma** *self-quotient*:

$\llbracket \text{quorem}(\langle a, a \rangle, \langle q, r \rangle); a \in \text{int}; q \in \text{int}; a \neq \#0 \rrbracket \implies q = \#1$   
**apply** (*simp* *add: split-ifs quorem-def neq-iff-zless*)  
**apply** (*rule* *zle-anti-sym*)  
**apply** *safe*  
**apply** *auto*  
**prefer** 4 **apply** (*blast* *dest: zless-trans*)  
**apply** (*blast* *dest: zless-trans*)  
**apply** (*rule-tac* [3]  $a = \$-a$  **and**  $r = \$-r$  **in** *self-quotient-aux1*)  
**apply** (*rule-tac*  $a = \$-a$  **and**  $r = \$-r$  **in** *self-quotient-aux2*)  
**apply** (*rule-tac* [6] *zminus-equation* [*THEN* *iffD1*])  
**apply** (*rule-tac* [2] *zminus-equation* [*THEN* *iffD1*])  
**apply** (*force* *intro: self-quotient-aux1 self-quotient-aux2*  
*simp* *add: zadd-commute zmult-zminus*)  
**done**

**lemma** *self-remainder*:

$\llbracket \text{quorem}(\langle a, a \rangle, \langle q, r \rangle); a \in \text{int}; q \in \text{int}; r \in \text{int}; a \neq \#0 \rrbracket \implies r = \#0$   
**apply** (*frule* *self-quotient*)  
**apply** (*auto* *simp* *add: quorem-def*)

done

**lemma** *zdiv-self-raw*:  $\llbracket a \neq \#0; a \in \text{int} \rrbracket \implies a \text{ zdiv } a = \#1$   
**apply** (*blast intro: quorem-div-mod [THEN self-quotient]*)  
**done**

**lemma** *zdiv-self [simp]*:  $\text{intify}(a) \neq \#0 \implies a \text{ zdiv } a = \#1$   
**apply** (*drule zdiv-self-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-self-raw*:  $a \in \text{int} \implies a \text{ zmod } a = \#0$   
**apply** (*case-tac a = #0*)  
**apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*blast intro: quorem-div-mod [THEN self-remainder]*)  
**done**

**lemma** *zmod-self [simp]*:  $a \text{ zmod } a = \#0$   
**apply** (*cut-tac a = intify (a) in zmod-self-raw*)  
**apply** *auto*  
**done**

### 33.7 Computation of division and remainder

**lemma** *zdiv-zero [simp]*:  $\#0 \text{ zdiv } b = \#0$   
**by** (*simp add: zdiv-def divAlg-def*)

**lemma** *zdiv-eq-minus1*:  $\#0 \text{ \$< } b \implies \#-1 \text{ zdiv } b = \#-1$   
**by** (*simp (no-asm-simp) add: zdiv-def divAlg-def*)

**lemma** *zmod-zero [simp]*:  $\#0 \text{ zmod } b = \#0$   
**by** (*simp add: zmod-def divAlg-def*)

**lemma** *zdiv-minus1*:  $\#0 \text{ \$< } b \implies \#-1 \text{ zdiv } b = \#-1$   
**by** (*simp add: zdiv-def divAlg-def*)

**lemma** *zmod-minus1*:  $\#0 \text{ \$< } b \implies \#-1 \text{ zmod } b = b \text{ \$- } \#1$   
**by** (*simp add: zmod-def divAlg-def*)

**lemma** *zdiv-pos-pos*:  $\llbracket \#0 \text{ \$< } a; \#0 \text{ \$}\leq b \rrbracket$   
 $\implies a \text{ zdiv } b = \text{fst } (\text{posDivAlg}(<\text{intify}(a), \text{intify}(b)>))$   
**apply** (*simp (no-asm-simp) add: zdiv-def divAlg-def*)  
**apply** (*auto simp add: zle-def*)  
**done**

**lemma** *zmod-pos-pos*:

```

    [[#0 $< a; #0 $≤ b]]
    ⇒ a zmod b = snd (posDivAlg(<intify(a), intify(b)>))
  apply (simp (no-asm-simp) add: zmod-def divAlg-def)
  apply (auto simp add: zle-def)
done

```

```

lemma zdiv-neg-pos:
  [[a $< #0; #0 $< b]]
  ⇒ a zdiv b = fst (negDivAlg(<intify(a), intify(b)>))
  apply (simp (no-asm-simp) add: zdiv-def divAlg-def)
  apply (blast dest: zle-zless-trans)
done

```

```

lemma zmod-neg-pos:
  [[a $< #0; #0 $< b]]
  ⇒ a zmod b = snd (negDivAlg(<intify(a), intify(b)>))
  apply (simp (no-asm-simp) add: zmod-def divAlg-def)
  apply (blast dest: zle-zless-trans)
done

```

```

lemma zdiv-pos-neg:
  [[#0 $< a; b $< #0]]
  ⇒ a zdiv b = fst (negateSnd(negDivAlg (<$-a, $-b>)))
  apply (simp (no-asm-simp) add: zdiv-def divAlg-def intify-eq-0-iff-zle)
  apply auto
  apply (blast dest: zle-zless-trans)+
  apply (blast dest: zless-trans)
  apply (blast intro: zless-imp-zle)
done

```

```

lemma zmod-pos-neg:
  [[#0 $< a; b $< #0]]
  ⇒ a zmod b = snd (negateSnd(negDivAlg (<$-a, $-b>)))
  apply (simp (no-asm-simp) add: zmod-def divAlg-def intify-eq-0-iff-zle)
  apply auto
  apply (blast dest: zle-zless-trans)+
  apply (blast dest: zless-trans)
  apply (blast intro: zless-imp-zle)
done

```

```

lemma zdiv-neg-neg:
  [[a $< #0; b $≤ #0]]
  ⇒ a zdiv b = fst (negateSnd(posDivAlg(<$-a, $-b>)))

```

```

apply (simp (no-asm-simp) add: zdiv-def divAlg-def)
apply auto
apply (blast dest!: zle-zless-trans)+
done

```

```

lemma zmod-neg-neg:
   $\llbracket a \text{ \$} < \#0; \text{ } b \text{ \$} \leq \#0 \rrbracket$ 
   $\implies a \text{ zmod } b = \text{snd } (\text{negateSnd}(\text{posDivAlg}(<\text{\$}-a, \text{\$}-b>)))$ 
apply (simp (no-asm-simp) add: zmod-def divAlg-def)
apply auto
apply (blast dest!: zle-zless-trans)+
done

```

```

declare zdiv-pos-pos [of integ-of (v) integ-of (w), simp] for v w
declare zdiv-neg-pos [of integ-of (v) integ-of (w), simp] for v w
declare zdiv-pos-neg [of integ-of (v) integ-of (w), simp] for v w
declare zdiv-neg-neg [of integ-of (v) integ-of (w), simp] for v w
declare zmod-pos-pos [of integ-of (v) integ-of (w), simp] for v w
declare zmod-neg-pos [of integ-of (v) integ-of (w), simp] for v w
declare zmod-pos-neg [of integ-of (v) integ-of (w), simp] for v w
declare zmod-neg-neg [of integ-of (v) integ-of (w), simp] for v w
declare posDivAlg-eqn [of concl: integ-of (v) integ-of (w), simp] for v w
declare negDivAlg-eqn [of concl: integ-of (v) integ-of (w), simp] for v w

```

```

lemma zmod-1 [simp]: a zmod  $\#1 = \#0$ 
apply (cut-tac a = a and b =  $\#1$  in pos-mod-sign)
apply (cut-tac [2] a = a and b =  $\#1$  in pos-mod-bound)
apply auto

```

```

apply (drule add1-zle-iff [THEN iffD2])
apply (rule zle-anti-sym)
apply auto
done

```

```

lemma zdiv-1 [simp]: a zdiv  $\#1 = \text{intify}(a)$ 
apply (cut-tac a = a and b =  $\#1$  in zmod-zdiv-equality)
apply auto
done

```

```

lemma zmod-minus1-right [simp]: a zmod  $\#-1 = \#0$ 
apply (cut-tac a = a and b =  $\#-1$  in neg-mod-sign)
apply (cut-tac [2] a = a and b =  $\#-1$  in neg-mod-bound)
apply auto

```

```

apply (drule add1-zle-iff [THEN iffD2])
apply (rule zle-anti-sym)

```

apply auto  
done

lemma *zdiv-minus1-right-raw*:  $a \in \text{int} \implies a \text{ zdiv } \#-1 = \$-a$   
 apply (cut-tac  $a = a$  and  $b = \#-1$  in *zmod-zdiv-equality*)  
 apply auto  
 apply (rule *equation-zminus* [THEN *iffD2*])  
 apply auto  
 done

lemma *zdiv-minus1-right*:  $a \text{ zdiv } \#-1 = \$-a$   
 apply (cut-tac  $a = \text{intify } (a)$  in *zdiv-minus1-right-raw*)  
 apply auto  
 done  
 declare *zdiv-minus1-right* [simp]

### 33.8 Monotonicity in the first argument (divisor)

lemma *zdiv-mono1*:  $\llbracket a \leq a'; \#0 \leq b \rrbracket \implies a \text{ zdiv } b \leq a' \text{ zdiv } b$   
 apply (cut-tac  $a = a$  and  $b = b$  in *zmod-zdiv-equality*)  
 apply (cut-tac  $a = a'$  and  $b = b$  in *zmod-zdiv-equality*)  
 apply (rule *unique-quotient-lemma*)  
 apply (erule *subst*)  
 apply (erule *subst*)  
 apply (simp-all (no-asm-simp) add: *pos-mod-sign pos-mod-bound*)  
 done

lemma *zdiv-mono1-neg*:  $\llbracket a \leq a'; b \leq \#0 \rrbracket \implies a' \text{ zdiv } b \leq a \text{ zdiv } b$   
 apply (cut-tac  $a = a$  and  $b = b$  in *zmod-zdiv-equality*)  
 apply (cut-tac  $a = a'$  and  $b = b$  in *zmod-zdiv-equality*)  
 apply (rule *unique-quotient-lemma-neg*)  
 apply (erule *subst*)  
 apply (erule *subst*)  
 apply (simp-all (no-asm-simp) add: *neg-mod-sign neg-mod-bound*)  
 done

### 33.9 Monotonicity in the second argument (dividend)

lemma *q-pos-lemma*:  
 $\llbracket \#0 \leq b' * q' \leq r'; r' \leq b'; \#0 \leq b \rrbracket \implies \#0 \leq q'$   
 apply (subgoal-tac  $\#0 \leq b' * (q' \leq \#1)$ )  
 apply (simp add: *int-0-less-mult-iff*)  
 apply (blast dest: *zless-trans intro: zless-add1-iff-zle* [THEN *iffD1*])  
 apply (simp add: *zadd-zmult-distrib2*)  
 apply (erule *zle-zless-trans*)  
 apply (erule *zadd-zless-mono2*)  
 done

lemma *zdiv-mono2-lemma*:  
 $\llbracket b * q \leq r = b' * q' \leq r'; \#0 \leq b' * q' \leq r' \rrbracket$

```

       $r' \$< b'; \#0 \$\leq r; \#0 \$< b'; b' \$\leq b]$ 
 $\implies q \$\leq q'$ 
  apply (frule q-pos-lemma, assumption+)
  apply (subgoal-tac b$*q $< b$* (q' $+ #1))
  apply (simp add: zmult-zless-cancel1)
  apply (force dest: zless-add1-iff-zle [THEN iffD1] zless-trans zless-zle-trans)
  apply (subgoal-tac b$*q = r' $- r $+ b'$*q')
  prefer 2 apply (simp add: zcompare-rls)
  apply (simp (no-asm-simp) add: zadd-zmult-distrib2)
  apply (subst zadd-commute [of b $* q'], rule zadd-zless-mono)
  prefer 2 apply (blast intro: zmult-zle-mono1)
  apply (subgoal-tac r' $+ #0 $< b $+ r)
  apply (simp add: zcompare-rls)
  apply (rule zadd-zless-mono)
  apply auto
  apply (blast dest: zless-zle-trans)
done

```

```

lemma zdiv-mono2-raw:
   $\llbracket \#0 \$\leq a; \#0 \$< b'; b' \$\leq b; a \in \text{int} \rrbracket$ 
 $\implies a \text{ zdiv } b \$\leq a \text{ zdiv } b'$ 
  apply (subgoal-tac #0 $< b)
  prefer 2 apply (blast dest: zless-zle-trans)
  apply (cut-tac a = a and b = b in zmod-zdiv-equality)
  apply (cut-tac a = a and b = b' in zmod-zdiv-equality)
  apply (rule zdiv-mono2-lemma)
  apply (erule subst)
  apply (erule subst)
  apply (simp-all add: pos-mod-sign pos-mod-bound)
done

```

```

lemma zdiv-mono2:
   $\llbracket \#0 \$\leq a; \#0 \$< b'; b' \$\leq b \rrbracket$ 
 $\implies a \text{ zdiv } b \$\leq a \text{ zdiv } b'$ 
  apply (cut-tac a = intify (a) in zdiv-mono2-raw)
  apply auto
done

```

```

lemma q-neg-lemma:
   $\llbracket b'$*q' $+ r' $< \#0; \#0 \$\leq r'; \#0 \$< b \rrbracket \implies q' $< \#0$ 
  apply (subgoal-tac b'$*q' $< \#0)
  prefer 2 apply (force intro: zle-zless-trans)
  apply (simp add: zmult-less-0-iff)
  apply (blast dest: zless-trans)
done

```

**lemma** *zdiv-mono2-neg-lemma*:  

$$\llbracket b * q + r = b' * q' + r'; \quad b' * q' + r' < \#0; \\ r < b; \quad \#0 \leq r'; \quad \#0 < b'; \quad b' \leq b \rrbracket \\ \implies q' \leq q$$
  
**apply** (*subgoal-tac*  $\#0 < b$ )  
**prefer** 2 **apply** (*blast dest: zless-zle-trans*)  
**apply** (*frule q-neg-lemma, assumption+*)  
**apply** (*subgoal-tac*  $b * q' < b * (q + \#1)$ )  
**apply** (*simp add: zmult-zless-cancel1*)  
**apply** (*blast dest: zless-trans zless-add1-iff-zle [THEN iffD1]*)  
**apply** (*simp (no-asm-simp) add: zadd-zmult-distrib2*)  
**apply** (*subgoal-tac*  $b * q' \leq b' * q'$ )  
**prefer** 2  
**apply** (*simp add: zmult-zle-cancel2*)  
**apply** (*blast dest: zless-trans*)  
**apply** (*subgoal-tac*  $b' * q' + r < b * (q + r)$ )  
**prefer** 2  
**apply** (*erule ssubst*)  
**apply** *simp*  
**apply** (*drule-tac*  $w' = r$  **and**  $z' = \#0$  **in** *zadd-zless-mono*)  
**apply** (*assumption*)  
**apply** *simp*  
**apply** (*simp (no-asm-use) add: zadd-commute*)  
**apply** (*rule zle-zless-trans*)  
**prefer** 2 **apply** (*assumption*)  
**apply** (*simp (no-asm-simp) add: zmult-zle-cancel2*)  
**apply** (*blast dest: zless-trans*)  
**done**

**lemma** *zdiv-mono2-neg-raw*:  

$$\llbracket a < \#0; \quad \#0 < b'; \quad b' \leq b; \quad a \in \text{int} \rrbracket \\ \implies a \text{ zdiv } b' \leq a \text{ zdiv } b$$
  
**apply** (*subgoal-tac*  $\#0 < b$ )  
**prefer** 2 **apply** (*blast dest: zless-zle-trans*)  
**apply** (*cut-tac*  $a = a$  **and**  $b = b$  **in** *zmod-zdiv-equality*)  
**apply** (*cut-tac*  $a = a$  **and**  $b = b'$  **in** *zmod-zdiv-equality*)  
**apply** (*rule zdiv-mono2-neg-lemma*)  
**apply** (*erule subst*)  
**apply** (*erule subst*)  
**apply** (*simp-all add: pos-mod-sign pos-mod-bound*)  
**done**

**lemma** *zdiv-mono2-neg*:  $\llbracket a < \#0; \quad \#0 < b'; \quad b' \leq b \rrbracket \\ \implies a \text{ zdiv } b' \leq a \text{ zdiv } b$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **in** *zdiv-mono2-neg-raw*)  
**apply** *auto*  
**done**



### 33.10 More algebraic laws for zdiv and zmod

**lemma** *zmult1-lemma*:

$\llbracket \text{quorem}(\langle b, c \rangle, \langle q, r \rangle); \ c \in \text{int}; \ c \neq \#0 \rrbracket$

$\implies \text{quorem}(\langle a\$*b, c \rangle, \langle a\$*q \$+ (a\$*r) \text{ zdiv } c, (a\$*r) \text{ zmod } c \rangle)$

**apply** (*auto simp add: split-ifs quorem-def neq-iff-zless zadd-zmult-distrib2*  
*pos-mod-sign pos-mod-bound neg-mod-sign neg-mod-bound*)

**apply** (*auto intro: raw-zmod-zdiv-equality*)

**done**

**lemma** *zdiv-zmult1-eq-raw*:

$\llbracket b \in \text{int}; \ c \in \text{int} \rrbracket$

$\implies (a\$*b) \text{ zdiv } c = a\$*(b \text{ zdiv } c) \$+ a\$*(b \text{ zmod } c) \text{ zdiv } c$

**apply** (*case-tac c = #0*)

**apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

**apply** (*rule quorem-div-mod [THEN zmult1-lemma, THEN quorem-div]*)

**apply** *auto*

**done**

**lemma** *zdiv-zmult1-eq*:  $(a\$*b) \text{ zdiv } c = a\$*(b \text{ zdiv } c) \$+ a\$*(b \text{ zmod } c) \text{ zdiv } c$

**apply** (*cut-tac b = intify (b) and c = intify (c) in zdiv-zmult1-eq-raw*)

**apply** *auto*

**done**

**lemma** *zmod-zmult1-eq-raw*:

$\llbracket b \in \text{int}; \ c \in \text{int} \rrbracket \implies (a\$*b) \text{ zmod } c = a\$*(b \text{ zmod } c) \text{ zmod } c$

**apply** (*case-tac c = #0*)

**apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

**apply** (*rule quorem-div-mod [THEN zmult1-lemma, THEN quorem-mod]*)

**apply** *auto*

**done**

**lemma** *zmod-zmult1-eq*:  $(a\$*b) \text{ zmod } c = a\$*(b \text{ zmod } c) \text{ zmod } c$

**apply** (*cut-tac b = intify (b) and c = intify (c) in zmod-zmult1-eq-raw*)

**apply** *auto*

**done**

**lemma** *zmod-zmult1-eq'*:  $(a\$*b) \text{ zmod } c = ((a \text{ zmod } c) \$* b) \text{ zmod } c$

**apply** (*rule trans*)

**apply** (*rule-tac b = (b \\$\* a) zmod c in trans*)

**apply** (*rule-tac [2] zmod-zmult1-eq*)

**apply** (*simp-all (no-asm) add: zmult-commute*)

**done**

**lemma** *zmod-zmult-distrib*:  $(a\$*b) \text{ zmod } c = ((a \text{ zmod } c) \$* (b \text{ zmod } c)) \text{ zmod } c$

**apply** (*rule zmod-zmult1-eq' [THEN trans]*)

**apply** (*rule zmod-zmult1-eq*)

**done**

**lemma** *zdiv-zmult-self1* [*simp*]:  $\text{intify}(b) \neq \#0 \implies (a\$*b) \text{ zdiv } b = \text{intify}(a)$

```

by (simp add: zdiv-zmult1-eq)

lemma zdiv-zmult-self2 [simp]: intify(b) ≠ #0 ⇒ (b$a) zdiv b = intify(a)
  by (simp add: zmult-commute)

lemma zmod-zmult-self1 [simp]: (a$b) zmod b = #0
  by (simp add: zmod-zmult1-eq)

lemma zmod-zmult-self2 [simp]: (b$a) zmod b = #0
  by (simp add: zmult-commute zmod-zmult1-eq)

lemma zadd1-lemma:
  [[quorem(⟨a,c⟩, ⟨aq,ar⟩); quorem(⟨b,c⟩, ⟨bq,br⟩);
   c ∈ int; c ≠ #0]]
  ⇒ quorem(⟨a$b+c, c⟩, ⟨aq$b+ bq$b+ (ar$+br) zdiv c, (ar$+br) zmod c⟩)
apply (auto simp add: split-ifs quorem-def neg-iff-zless zadd-zmult-distrib2
  pos-mod-sign pos-mod-bound neg-mod-sign neg-mod-bound)
apply (auto intro: raw-zmod-zdiv-equality)
done

lemma zdiv-zadd1-eq-raw:
  [[a ∈ int; b ∈ int; c ∈ int]] ⇒
  (a$b) zdiv c = a zdiv c $+ b zdiv c $+ ((a zmod c $+ b zmod c) zdiv c)
apply (case-tac c = #0)
  apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
  apply (blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod,
    THEN quorem-div])
done

lemma zdiv-zadd1-eq:
  (a$b) zdiv c = a zdiv c $+ b zdiv c $+ ((a zmod c $+ b zmod c) zdiv c)
apply (cut-tac a = intify(a) and b = intify(b) and c = intify(c)
  in zdiv-zadd1-eq-raw)
apply auto
done

lemma zmod-zadd1-eq-raw:
  [[a ∈ int; b ∈ int; c ∈ int]]
  ⇒ (a$b) zmod c = (a zmod c $+ b zmod c) zmod c
apply (case-tac c = #0)
  apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
  apply (blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod,
    THEN quorem-mod])
done

```

**lemma** *zmod-zadd1-eq*:  $(a\$+b) \text{ zmod } c = (a \text{ zmod } c \$+ b \text{ zmod } c) \text{ zmod } c$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$  **and**  $c = \text{intify } (c)$ )  
     **in** *zmod-zadd1-eq-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-div-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (a \text{ zmod } b) \text{ zdiv } b = \#0$   
**apply** (*case-tac*  $b = \#0$ )  
     **apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*auto simp add: neg-iff-zless pos-mod-sign pos-mod-bound*  
     *zdiv-pos-pos-trivial neg-mod-sign neg-mod-bound zdiv-neg-neg-trivial*)  
**done**

**lemma** *zmod-div-trivial* [*simp*]:  $(a \text{ zmod } b) \text{ zdiv } b = \#0$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$  **in** *zmod-div-trivial-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-mod-trivial-raw*:  
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (a \text{ zmod } b) \text{ zmod } b = a \text{ zmod } b$   
**apply** (*case-tac*  $b = \#0$ )  
     **apply** (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*auto simp add: neg-iff-zless pos-mod-sign pos-mod-bound*  
     *zmod-pos-pos-trivial neg-mod-sign neg-mod-bound zmod-neg-neg-trivial*)  
**done**

**lemma** *zmod-mod-trivial* [*simp*]:  $(a \text{ zmod } b) \text{ zmod } b = a \text{ zmod } b$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$  **in** *zmod-mod-trivial-raw*)  
**apply** *auto*  
**done**

**lemma** *zmod-zadd-left-eq*:  $(a\$+b) \text{ zmod } c = ((a \text{ zmod } c) \$+ b) \text{ zmod } c$   
**apply** (*rule trans [symmetric]*)  
**apply** (*rule zmod-zadd1-eq*)  
**apply** (*simp (no-asm)*)  
**apply** (*rule zmod-zadd1-eq [symmetric]*)  
**done**

**lemma** *zmod-zadd-right-eq*:  $(a\$+b) \text{ zmod } c = (a \$+ (b \text{ zmod } c)) \text{ zmod } c$   
**apply** (*rule trans [symmetric]*)  
**apply** (*rule zmod-zadd1-eq*)  
**apply** (*simp (no-asm)*)  
**apply** (*rule zmod-zadd1-eq [symmetric]*)  
**done**

**lemma** *zdiv-zadd-self1* [*simp*]:  
 $\text{intify}(a) \neq \#0 \implies (a\$+b) \text{ zdiv } a = b \text{ zdiv } a \$+ \#1$

**by** (*simp* (*no-asm-simp*) *add*: *zdiv-zadd1-eq*)

**lemma** *zdiv-zadd-self2* [*simp*]:

*intify*(*a*)  $\neq \#0 \implies (b\$+a) \text{ zdiv } a = b \text{ zdiv } a \$+ \#1$

**by** (*simp* (*no-asm-simp*) *add*: *zdiv-zadd1-eq*)

**lemma** *zmod-zadd-self1* [*simp*]: (*a*\$(+*b*)) *zmod* *a* = *b* *zmod* *a*

**apply** (*case-tac* *a* =  $\#0$ )

**apply** (*simp* *add*: *DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

**apply** (*simp* (*no-asm-simp*) *add*: *zmod-zadd1-eq*)

**done**

**lemma** *zmod-zadd-self2* [*simp*]: (*b*\$(+*a*)) *zmod* *a* = *b* *zmod* *a*

**apply** (*case-tac* *a* =  $\#0$ )

**apply** (*simp* *add*: *DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

**apply** (*simp* (*no-asm-simp*) *add*: *zmod-zadd1-eq*)

**done**

### 33.11 proving $a \text{ zdiv } (b * c) = (a \text{ zdiv } b) \text{ zdiv } c$

**lemma** *zdiv-zmult2-aux1*:

$\llbracket \#0 \$< c; \ b \$< r; \ r \$\leq \#0 \rrbracket \implies b\$*c \$< b\$*(q \text{ zmod } c) \$+ r$

**apply** (*subgoal-tac*  $b \$* (c \$- q \text{ zmod } c) \$< r \$* \#1$ )

**apply** (*simp* *add*: *zdiff-zmult-distrib2 zadd-commute zcompare-rls*)

**apply** (*rule* *zle-zless-trans*)

**apply** (*erule-tac* [2] *zmult-zless-mono1*)

**apply** (*rule* *zmult-zle-mono2-neg*)

**apply** (*auto* *simp* *add*: *zcompare-rls zadd-commute add1-zle-iff pos-mod-bound*)

**apply** (*blast* *intro*: *zless-imp-zle* *dest*: *zless-zle-trans*)

**done**

**lemma** *zdiv-zmult2-aux2*:

$\llbracket \#0 \$< c; \ b \$< r; \ r \$\leq \#0 \rrbracket \implies b \$* (q \text{ zmod } c) \$+ r \$\leq \#0$

**apply** (*subgoal-tac*  $b \$* (q \text{ zmod } c) \$\leq \#0$ )

**prefer** 2

**apply** (*simp* *add*: *zmult-le-0-iff pos-mod-sign*)

**apply** (*blast* *intro*: *zless-imp-zle* *dest*: *zless-zle-trans*)

**apply** (*drule* *zadd-zle-mono*)

**apply** *assumption*

**apply** (*simp* *add*: *zadd-commute*)

**done**

**lemma** *zdiv-zmult2-aux3*:

$\llbracket \#0 \$< c; \ \#0 \$\leq r; \ r \$< b \rrbracket \implies \#0 \$\leq b \$* (q \text{ zmod } c) \$+ r$

**apply** (*subgoal-tac*  $\#0 \$\leq b \$* (q \text{ zmod } c)$ )

**prefer** 2

**apply** (*simp* *add*: *int-0-le-mult-iff pos-mod-sign*)

**apply** (*blast* *intro*: *zless-imp-zle* *dest*: *zle-zless-trans*)

```

apply (drule zadd-zle-mono)
apply assumption
apply (simp add: zadd-commute)
done

```

```

lemma zdiv-zmult2-aux4:
  
$$\llbracket \#0 \ \$< \ c; \ \#0 \ \$\leq \ r; \ r \ \$< \ b \rrbracket \implies b \ \$* \ (q \ \text{zmod} \ c) \ \$+ \ r \ \$< \ b \ \$* \ c$$

apply (subgoal-tac r  $\$* \ \#1 \ \$< \ b \ \$* \ (c \ \$- \ q \ \text{zmod} \ c)$ )
apply (simp add: zdiff-zmult-distrib2 zadd-commute zcompare-rls)
apply (rule zless-zle-trans)
apply (erule zmult-zless-mono1)
apply (rule-tac [2] zmult-zle-mono2)
apply (auto simp add: zcompare-rls zadd-commute add1-zle-iff pos-mod-bound)
apply (blast intro: zless-imp-zle dest: zle-zless-trans)
done

```

```

lemma zdiv-zmult2-lemma:
  
$$\llbracket \text{quorem} \ (\langle a, b \rangle, \langle q, r \rangle); \ a \in \text{int}; \ b \in \text{int}; \ b \neq \#0; \ \#0 \ \$< \ c \rrbracket$$


$$\implies \text{quorem} \ (\langle a, b \ \$* \ c \rangle, \langle q \ \text{zdiv} \ c, \ b \ \$* \ (q \ \text{zmod} \ c) \ \$+ \ r \rangle)$$

apply (auto simp add: zmult-ac zmod-zdiv-equality [symmetric] quorem-def
  neq-iff-zless int-0-less-mult-iff
  zadd-zmult-distrib2 [symmetric] zdiv-zmult2-aux1 zdiv-zmult2-aux2
  zdiv-zmult2-aux3 zdiv-zmult2-aux4)
apply (blast dest: zless-trans)
done

```

```

lemma zdiv-zmult2-eq-raw:
  
$$\llbracket \#0 \ \$< \ c; \ a \in \text{int}; \ b \in \text{int} \rrbracket \implies a \ \text{zdiv} \ (b \ \$* \ c) = (a \ \text{zdiv} \ b) \ \text{zdiv} \ c$$

apply (case-tac b =  $\#0$ )
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (rule quorem-div-mod [THEN zdiv-zmult2-lemma, THEN quorem-div])
apply (auto simp add: intify-eq-0-iff-zle)
apply (blast dest: zle-zless-trans)
done

```

```

lemma zdiv-zmult2-eq:  $\#0 \ \$< \ c \implies a \ \text{zdiv} \ (b \ \$* \ c) = (a \ \text{zdiv} \ b) \ \text{zdiv} \ c$ 
apply (cut-tac a = intify (a) and b = intify (b) in zdiv-zmult2-eq-raw)
apply auto
done

```

```

lemma zmod-zmult2-eq-raw:
  
$$\llbracket \#0 \ \$< \ c; \ a \in \text{int}; \ b \in \text{int} \rrbracket$$


$$\implies a \ \text{zmod} \ (b \ \$* \ c) = b \ \$* \ (a \ \text{zdiv} \ b \ \text{zmod} \ c) \ \$+ \ a \ \text{zmod} \ b$$

apply (case-tac b =  $\#0$ )
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (rule quorem-div-mod [THEN zdiv-zmult2-lemma, THEN quorem-mod])
apply (auto simp add: intify-eq-0-iff-zle)
apply (blast dest: zle-zless-trans)

```

done

**lemma** *zmod-zmult2-eq*:

$\#0 \neq c \implies a \text{ zmod } (b * c) = b * (a \text{ zdiv } b \text{ zmod } c) \text{ zmod } b$   
**apply** (*cut-tac*  $a = \text{intify } (a)$  **and**  $b = \text{intify } (b)$  **in** *zmod-zmult2-eq-raw*)  
**apply** *auto*  
done

### 33.12 Cancellation of common factors in "zdiv"

**lemma** *zdiv-zmult-zmult1-aux1*:

$\llbracket \#0 \neq b; \text{intify}(c) \neq \#0 \rrbracket \implies (c * a) \text{ zdiv } (c * b) = a \text{ zdiv } b$   
**apply** (*subst* *zdiv-zmult2-eq*)  
**apply** *auto*  
done

**lemma** *zdiv-zmult-zmult1-aux2*:

$\llbracket b \neq \#0; \text{intify}(c) \neq \#0 \rrbracket \implies (c * a) \text{ zdiv } (c * b) = a \text{ zdiv } b$   
**apply** (*subgoal-tac*  $(c * (\$ - a)) \text{ zdiv } (c * (\$ - b)) = (\$ - a) \text{ zdiv } (\$ - b)$ )  
**apply** (*rule-tac* [2] *zdiv-zmult-zmult1-aux1*)  
**apply** *auto*  
done

**lemma** *zdiv-zmult-zmult1-raw*:

$\llbracket \text{intify}(c) \neq \#0; b \in \text{int} \rrbracket \implies (c * a) \text{ zdiv } (c * b) = a \text{ zdiv } b$   
**apply** (*case-tac*  $b \neq \#0$ )  
**apply** (*simp* *add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)  
**apply** (*auto* *simp* *add: neq-iff-zless* [of  $b$ ])  
*zdiv-zmult-zmult1-aux1* *zdiv-zmult-zmult1-aux2*  
done

**lemma** *zdiv-zmult-zmult1*:  $\text{intify}(c) \neq \#0 \implies (c * a) \text{ zdiv } (c * b) = a \text{ zdiv } b$

**apply** (*cut-tac*  $b = \text{intify } (b)$  **in** *zdiv-zmult-zmult1-raw*)  
**apply** *auto*  
done

**lemma** *zdiv-zmult-zmult2*:  $\text{intify}(c) \neq \#0 \implies (a * c) \text{ zdiv } (b * c) = a \text{ zdiv } b$

**apply** (*drule* *zdiv-zmult-zmult1*)  
**apply** (*auto* *simp* *add: zmult-commute*)  
done

### 33.13 Distribution of factors over "zmod"

**lemma** *zmod-zmult-zmult1-aux1*:

$\llbracket \#0 \neq b; \text{intify}(c) \neq \#0 \rrbracket$   
 $\implies (c * a) \text{ zmod } (c * b) = c * (a \text{ zmod } b)$   
**apply** (*subst* *zmod-zmult2-eq*)  
**apply** *auto*  
done

```

lemma zmod-zmult-zmult1-aux2:
   $\llbracket b \text{ \$} < \#0; \text{intify}(c) \neq \#0 \rrbracket$ 
 $\implies (c\$*a) \text{ zmod } (c\$*b) = c \$* (a \text{ zmod } b)$ 
apply (subgoal-tac (c $* ($-a)) zmod (c $* ($-b)) = c $* (($-a) zmod ($-b)))
apply (rule-tac [2] zmod-zmult-zmult1-aux1)
apply auto
done

```

```

lemma zmod-zmult-zmult1-raw:
   $\llbracket b \in \text{int}; c \in \text{int} \rrbracket \implies (c\$*a) \text{ zmod } (c\$*b) = c \$* (a \text{ zmod } b)$ 
apply (case-tac b = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (case-tac c = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (auto simp add: neg-iff-zless [of b]
  zmod-zmult-zmult1-aux1 zmod-zmult-zmult1-aux2)
done

```

```

lemma zmod-zmult-zmult1: (c$*a) zmod (c$*b) = c $* (a zmod b)
apply (cut-tac b = intify (b) and c = intify (c) in zmod-zmult-zmult1-raw)
apply auto
done

```

```

lemma zmod-zmult-zmult2: (a$*c) zmod (b$*c) = (a zmod b) $* c
apply (cut-tac c = c in zmod-zmult-zmult1)
apply (auto simp add: zmult-commute)
done

```

```

lemma zdiv-neg-pos-less0:  $\llbracket a \text{ \$} < \#0; \#0 \text{ \$} < b \rrbracket \implies a \text{ zdiv } b \text{ \$} < \#0$ 
apply (subgoal-tac a zdiv b $≤ #-1)
apply (erule zle-zless-trans)
apply (simp (no-asm))
apply (rule zle-trans)
apply (rule-tac a' = #-1 in zdiv-mono1)
apply (rule zless-add1-iff-zle [THEN iffD1])
apply (simp (no-asm))
apply (auto simp add: zdiv-minus1)
done

```

```

lemma zdiv-nonneg-neg-le0:  $\llbracket \#0 \text{ \$} \leq a; b \text{ \$} < \#0 \rrbracket \implies a \text{ zdiv } b \text{ \$} \leq \#0$ 
apply (drule zdiv-mono1-neg)
apply auto
done

```

```

lemma pos-imp-zdiv-nonneg-iff:  $\#0 \text{ \$} < b \implies (\#0 \text{ \$} \leq a \text{ zdiv } b) \longleftrightarrow (\#0 \text{ \$} \leq a)$ 
apply auto

```

```

apply (drule-tac [2] zdiv-mono1)
apply (auto simp add: neg-iff-zless)
apply (simp (no-asm-use) add: not-zless-iff-zle [THEN iff-sym])
apply (blast intro: zdiv-neg-pos-less0)
done

lemma neg-imp-zdiv-nonneg-iff:  $b \leq 0 \implies (0 \leq a \text{ zdiv } b) \longleftrightarrow (a \leq 0)$ 
apply (subst zdiv-zminus-zminus [symmetric])
apply (rule iff-trans)
apply (rule pos-imp-zdiv-nonneg-iff)
apply auto
done

lemma pos-imp-zdiv-neg-iff:  $0 < b \implies (a \text{ zdiv } b < 0) \longleftrightarrow (a < 0)$ 
apply (simp (no-asm-simp) add: not-zle-iff-zless [THEN iff-sym])
apply (erule pos-imp-zdiv-nonneg-iff)
done

lemma neg-imp-zdiv-neg-iff:  $b < 0 \implies (a \text{ zdiv } b < 0) \longleftrightarrow (0 < a)$ 
apply (simp (no-asm-simp) add: not-zle-iff-zless [THEN iff-sym])
apply (erule neg-imp-zdiv-nonneg-iff)
done

end

```

## 34 Cardinal Arithmetic Without the Axiom of Choice

**theory** *CardinalArith* **imports** *Cardinal OrderArith ArithSimp Finite* **begin**

**definition**

*InfCard* ::  $i \Rightarrow o$  **where**  
 $\text{InfCard}(i) \equiv \text{Card}(i) \wedge \text{nat} \leq i$

**definition**

*cmult* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle \otimes \rangle$  70) **where**  
 $i \otimes j \equiv |i * j|$

**definition**

*cadd* ::  $[i, i] \Rightarrow i$  (**infixl**  $\langle \oplus \rangle$  65) **where**  
 $i \oplus j \equiv |i + j|$

**definition**

*csquare-rel* ::  $i \Rightarrow i$  **where**  
 $\text{csquare-rel}(K) \equiv$   
 $\text{rvimage}(K * K,$



$\text{lam } \langle x, y \rangle : K * K. < x \cup y, x, y \rangle,$   
 $\text{rmult}(K, \text{Memrel}(K), K * K, \text{rmult}(K, \text{Memrel}(K), K, \text{Memrel}(K)))$

**definition**

$\text{jump-cardinal} :: i \Rightarrow i$  **where**

— This definition is more complex than Kunen's but it more easily proved to be a cardinal

$\text{jump-cardinal}(K) \equiv$   
 $\bigcup X \in \text{Pow}(K). \{z. r \in \text{Pow}(K * K), \text{well-ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$

**definition**

$\text{csucc} :: i \Rightarrow i$  **where**

— needed because  $\text{jump-cardinal}(K)$  might not be the successor of  $K$

$\text{csucc}(K) \equiv \mu L. \text{Card}(L) \wedge K < L$

**lemma** *Card-Union* [*simp,intro,TC*]:

**assumes**  $A: \bigwedge x. x \in A \implies \text{Card}(x)$  **shows**  $\text{Card}(\bigcup(A))$

**proof** (*rule CardI*)

**show**  $\text{Ord}(\bigcup A)$  **using**  $A$

**by** (*simp add: Card-is-Ord*)

**next**

**fix**  $j$

**assume**  $j: j < \bigcup A$

**hence**  $\exists c \in A. j < c \wedge \text{Card}(c)$  **using**  $A$

**by** (*auto simp add: lt-def intro: Card-is-Ord*)

**then obtain**  $c$  **where**  $c: c \in A \ j < c \ \text{Card}(c)$

**by** *blast*

**hence**  $jls: j \prec c$

**by** (*simp add: lt-Card-imp-lesspoll*)

**{ assume**  $\text{eqp}: j \approx \bigcup A$

**have**  $c \lesssim \bigcup A$  **using**  $c$

**by** (*blast intro: subset-imp-lepoll*)

**also have**  $\dots \approx j$  **by** (*rule eqpoll-sym [OF eqp]*)

**also have**  $\dots \prec c$  **by** (*rule jls*)

**finally have**  $c \prec c$  .

**hence** *False*

**by** *auto*

**} thus**  $\neg j \approx \bigcup A$  **by** *blast*

**qed**

**lemma** *Card-UN*:  $(\bigwedge x. x \in A \implies \text{Card}(K(x))) \implies \text{Card}(\bigcup_{x \in A} K(x))$

**by** *blast*

**lemma** *Card-OUN* [*simp,intro,TC*]:

$(\bigwedge x. x \in A \implies \text{Card}(K(x))) \implies \text{Card}(\bigcup_{x < A} K(x))$

**by** (*auto simp add: OUnion-def Card-0*)

**lemma** *in-Card-imp-lesspoll*:  $\llbracket \text{Card}(K); b \in K \rrbracket \implies b \prec K$

```

  unfolding lesspoll-def
apply (simp add: Card-iff-initial)
apply (fast intro!: le-imp-lepoll ltI leI)
done

```

### 34.1 Cardinal addition

Note: Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

#### 34.1.1 Cardinal addition is commutative

```

lemma sum-commute-epoll:  $A+B \approx B+A$ 
proof (unfold eqpoll-def, rule exI)
  show  $(\lambda z \in A+B. \text{case}(Inr, Inl, z)) \in \text{bij}(A+B, B+A)$ 
  by (auto intro: lam-bijective [where d = case(Inr, Inl)])
qed

```

```

lemma cadd-commute:  $i \oplus j = j \oplus i$ 
  unfolding cadd-def
apply (rule sum-commute-epoll [THEN cardinal-cong])
done

```

#### 34.1.2 Cardinal addition is associative

```

lemma sum-assoc-epoll:  $(A+B)+C \approx A+(B+C)$ 
  unfolding eqpoll-def
apply (rule exI)
apply (rule sum-assoc-bij)
done

```

Unconditional version requires AC

```

lemma well-ord-cadd-assoc:
  assumes  $i: \text{well-ord}(i, ri)$  and  $j: \text{well-ord}(j, rj)$  and  $k: \text{well-ord}(k, rk)$ 
  shows  $(i \oplus j) \oplus k = i \oplus (j \oplus k)$ 
proof (unfold cadd-def, rule cardinal-cong)
  have  $|i + j| + k \approx (i + j) + k$ 
  by (blast intro: sum-epoll-cong well-ord-cardinal-epoll eqpoll-refl well-ord-radd
    i j)
  also have  $\dots \approx i + (j + k)$ 
  by (rule sum-assoc-epoll)
  also have  $\dots \approx i + |j + k|$ 
  by (blast intro: sum-epoll-cong well-ord-cardinal-epoll eqpoll-refl well-ord-radd
    j k eqpoll-sym)
  finally show  $|i + j| + k \approx i + |j + k|$  .
qed

```

### 34.1.3 0 is the identity for addition

**lemma** *sum-0-epoll*:  $0 + A \approx A$   
**unfolding** *epoll-def*  
**apply** (*rule exI*)  
**apply** (*rule bij-0-sum*)  
**done**

**lemma** *cadd-0* [*simp*]:  $\text{Card}(K) \implies 0 \oplus K = K$   
**unfolding** *cadd-def*  
**apply** (*simp add: sum-0-epoll [THEN cardinal-cong] Card-cardinal-eq*)  
**done**

### 34.1.4 Addition by another cardinal

**lemma** *sum-lepoll-self*:  $A \lesssim A + B$   
**proof** (*unfold lepoll-def, rule exI*)  
**show**  $(\lambda x \in A. \text{Inl } (x)) \in \text{inj}(A, A + B)$   
**by** (*simp add: inj-def*)  
**qed**

**lemma** *cadd-le-self*:  
**assumes**  $K: \text{Card}(K)$  **and**  $L: \text{Ord}(L)$  **shows**  $K \leq (K \oplus L)$   
**proof** (*unfold cadd-def*)  
**have**  $K \leq |K|$   
**by** (*rule Card-cardinal-le [OF K]*)  
**moreover have**  $|K| \leq |K + L|$  **using**  $K L$   
**by** (*blast intro: well-ord-lepoll-imp-cardinal-le sum-lepoll-self well-ord-radd well-ord-Memrel Card-is-Ord*)  
**ultimately show**  $K \leq |K + L|$   
**by** (*blast intro: le-trans*)  
**qed**

### 34.1.5 Monotonicity of addition

**lemma** *sum-lepoll-mono*:  
 $\llbracket A \lesssim C; B \lesssim D \rrbracket \implies A + B \lesssim C + D$   
**unfolding** *lepoll-def*  
**apply** (*elim exE*)  
**apply** (*rule-tac x = \lambda z \in A + B. case (\lambda w. Inl(f'w), \lambda y. Inr(fa'y), z) in exI*)  
**apply** (*rule-tac d = case (\lambda w. Inl(converse(f) 'w), \lambda y. Inr(converse(fa) 'y))*  
**in** *lam-injective*)  
**apply** (*typecheck add: inj-is-fun, auto*)  
**done**

**lemma** *cadd-le-mono*:  
 $\llbracket K' \leq K; L' \leq L \rrbracket \implies (K' \oplus L') \leq (K \oplus L)$   
**unfolding** *cadd-def*

```

apply (safe dest!: le-subset-iff [THEN iffD1])
apply (rule well-ord-lepoll-imp-cardinal-le)
apply (blast intro: well-ord-radd well-ord-Memrel)
apply (blast intro: sum-lepoll-mono subset-imp-lepoll)
done

```

### 34.1.6 Addition of finite cardinals is "ordinary" addition

```

lemma sum-succ-epoll:  $\text{succ}(A)+B \approx \text{succ}(A+B)$ 
  unfolding epoll-def
apply (rule exI)
apply (rule-tac  $c = \lambda z. \text{if } z = \text{Inl } (A) \text{ then } A+B \text{ else } z$ 
  and  $d = \lambda z. \text{if } z = A+B \text{ then } \text{Inl } (A) \text{ else } z$  in lam-bijective)
  apply simp-all
apply (blast dest: sym [THEN eq-imp-not-mem] elim: mem-irrefl)+
done

```

```

lemma cadd-succ-lemma:
  assumes  $\text{Ord}(m)$   $\text{Ord}(n)$  shows  $\text{succ}(m) \oplus n = |\text{succ}(m \oplus n)|$ 
proof (unfold cadd-def)
  have [intro]:  $m + n \approx |m + n|$  using assms
  by (blast intro: epoll-sym well-ord-cardinal-epoll well-ord-radd well-ord-Memrel)

  have  $|\text{succ}(m) + n| = |\text{succ}(m + n)|$ 
  by (rule sum-succ-epoll [THEN cardinal-cong])
  also have  $\dots = |\text{succ}(|m + n|)|$ 
  by (blast intro: succ-epoll-cong cardinal-cong)
  finally show  $|\text{succ}(m) + n| = |\text{succ}(|m + n|)|$  .
qed

```

```

lemma nat-cadd-eq-add:
  assumes  $m: m \in \text{nat}$  and [simp]:  $n \in \text{nat}$  shows  $m \oplus n = m \# + n$ 
using m
proof (induct m)
  case 0 thus ?case by (simp add: nat-into-Card cadd-0)
next
  case (succ m) thus ?case by (simp add: cadd-succ-lemma nat-into-Card Card-cardinal-eq)
qed

```

## 34.2 Cardinal multiplication

### 34.2.1 Cardinal multiplication is commutative

```

lemma prod-commute-epoll:  $A*B \approx B*A$ 
  unfolding epoll-def
apply (rule exI)
apply (rule-tac  $c = \lambda \langle x, y \rangle. \langle y, x \rangle$  and  $d = \lambda \langle x, y \rangle. \langle y, x \rangle$  in lam-bijective,
  auto)

```

done

**lemma** *cmult-commute*:  $i \otimes j = j \otimes i$   
**unfolding** *cmult-def*  
**apply** (rule *prod-commute-epoll* [THEN *cardinal-cong*])  
done

### 34.2.2 Cardinal multiplication is associative

**lemma** *prod-assoc-epoll*:  $(A*B)*C \approx A*(B*C)$   
**unfolding** *epoll-def*  
**apply** (rule *exI*)  
**apply** (rule *prod-assoc-bij*)  
done

Unconditional version requires AC

**lemma** *well-ord-cmult-assoc*:  
**assumes**  $i$ : *well-ord*( $i, ri$ ) **and**  $j$ : *well-ord*( $j, rj$ ) **and**  $k$ : *well-ord*( $k, rk$ )  
**shows**  $(i \otimes j) \otimes k = i \otimes (j \otimes k)$   
**proof** (*unfold cmult-def*, rule *cardinal-cong*)  
**have**  $|i * j| * k \approx (i * j) * k$   
**by** (*blast intro: prod-epoll-cong well-ord-cardinal-epoll epoll-refl well-ord-rmult*  
 $i\ j$ )  
**also have**  $\dots \approx i * (j * k)$   
**by** (rule *prod-assoc-epoll*)  
**also have**  $\dots \approx i * |j * k|$   
**by** (*blast intro: prod-epoll-cong well-ord-cardinal-epoll epoll-refl well-ord-rmult*  
 $j\ k\ epoll-sym$ )  
**finally show**  $|i * j| * k \approx i * |j * k|$ .  
**qed**

### 34.2.3 Cardinal multiplication distributes over addition

**lemma** *sum-prod-distrib-epoll*:  $(A+B)*C \approx (A*C)+(B*C)$   
**unfolding** *epoll-def*  
**apply** (rule *exI*)  
**apply** (rule *sum-prod-distrib-bij*)  
done

**lemma** *well-ord-cadd-cmult-distrib*:  
**assumes**  $i$ : *well-ord*( $i, ri$ ) **and**  $j$ : *well-ord*( $j, rj$ ) **and**  $k$ : *well-ord*( $k, rk$ )  
**shows**  $(i \oplus j) \otimes k = (i \otimes k) \oplus (j \otimes k)$   
**proof** (*unfold cadd-def cmult-def*, rule *cardinal-cong*)  
**have**  $|i + j| * k \approx (i + j) * k$   
**by** (*blast intro: prod-epoll-cong well-ord-cardinal-epoll epoll-refl well-ord-radd*  
 $i\ j$ )  
**also have**  $\dots \approx i * k + j * k$   
**by** (rule *sum-prod-distrib-epoll*)  
**also have**  $\dots \approx |i * k| + |j * k|$

by (blast intro: sum-epoll-cong well-ord-cardinal-epoll well-ord-rmult i j k  
 epoll-sym)  
 finally show  $|i + j| * k \approx |i * k| + |j * k|$  .  
 qed

#### 34.2.4 Multiplication by 0 yields 0

lemma prod-0-epoll:  $0 * A \approx 0$   
 unfolding epoll-def  
 apply (rule exI)  
 apply (rule lam-bijective, safe)  
 done

lemma cmult-0 [simp]:  $0 \otimes i = 0$   
 by (simp add: cmult-def prod-0-epoll [THEN cardinal-cong])

#### 34.2.5 1 is the identity for multiplication

lemma prod-singleton-epoll:  $\{x\} * A \approx A$   
 unfolding epoll-def  
 apply (rule exI)  
 apply (rule singleton-prod-bij [THEN bij-converse-bij])  
 done

lemma cmult-1 [simp]:  $\text{Card}(K) \implies 1 \otimes K = K$   
 unfolding cmult-def succ-def  
 apply (simp add: prod-singleton-epoll [THEN cardinal-cong] Card-cardinal-eq)  
 done

### 34.3 Some inequalities for multiplication

lemma prod-square-lepoll:  $A \lesssim A * A$   
 unfolding lepoll-def inj-def  
 apply (rule-tac  $x = \lambda x \in A. \langle x, x \rangle$  in exI, simp)  
 done

lemma cmult-square-le:  $\text{Card}(K) \implies K \leq K \otimes K$   
 unfolding cmult-def  
 apply (rule le-trans)  
 apply (rule-tac [2] well-ord-lepoll-imp-cardinal-le)  
 apply (rule-tac [3] prod-square-lepoll)  
 apply (simp add: le-refl Card-is-Ord Card-cardinal-eq)  
 apply (blast intro: well-ord-rmult well-ord-Memrel Card-is-Ord)  
 done

#### 34.3.1 Multiplication by a non-zero cardinal

lemma prod-lepoll-self:  $b \in B \implies A \lesssim A * B$   
 unfolding lepoll-def inj-def

```

apply (rule-tac  $x = \lambda x \in A. \langle x, b \rangle$  in  $exI$ ,  $simp$ )
done

```

```

lemma cmult-le-self:
   $\llbracket Card(K); Ord(L); 0 < L \rrbracket \implies K \leq (K \otimes L)$ 
  unfolding cmult-def
apply (rule le-trans [OF Card-cardinal-le well-ord-lepoll-imp-cardinal-le])
  apply assumption
  apply (blast intro: well-ord-rmult well-ord-Memrel Card-is-Ord)
apply (blast intro: prod-lepoll-self ltD)
done

```

### 34.3.2 Monotonicity of multiplication

```

lemma prod-lepoll-mono:
   $\llbracket A \lesssim C; B \lesssim D \rrbracket \implies A * B \lesssim C * D$ 
  unfolding lepoll-def
apply (elim exE)
apply (rule-tac  $x = \text{lam } \langle w, y \rangle : A * B. <f'w, fa'y>$  in  $exI$ )
apply (rule-tac  $d = \lambda \langle w, y \rangle. <\text{converse } (f) 'w, \text{converse } (fa) 'y>$ 
  in lam-injective)
apply (typecheck add: inj-is-fun, auto)
done

```

```

lemma cmult-le-mono:
   $\llbracket K' \leq K; L' \leq L \rrbracket \implies (K' \otimes L') \leq (K \otimes L)$ 
  unfolding cmult-def
apply (safe dest!: le-subset-iff [THEN iffD1])
apply (rule well-ord-lepoll-imp-cardinal-le)
  apply (blast intro: well-ord-rmult well-ord-Memrel)
apply (blast intro: prod-lepoll-mono subset-imp-lepoll)
done

```

## 34.4 Multiplication of finite cardinals is "ordinary" multiplication

```

lemma prod-succ-epoll:  $\text{succ}(A) * B \approx B + A * B$ 
  unfolding epoll-def
apply (rule  $exI$ )
apply (rule-tac  $c = \lambda \langle x, y \rangle. \text{if } x=A \text{ then } Inl(y) \text{ else } Inr(\langle x, y \rangle)$ 
  and  $d = \text{case } (\lambda y. \langle A, y \rangle, \lambda z. z)$  in lam-bijective)
apply safe
apply (simp-all add: succI2 if-type mem-imp-not-eq)
done

```

```

lemma cmult-succ-lemma:
   $\llbracket Ord(m); Ord(n) \rrbracket \implies \text{succ}(m) \otimes n = n \oplus (m \otimes n)$ 
  unfolding cmult-def cadd-def

```

```

apply (rule prod-succ-epoll [THEN cardinal-cong, THEN trans])
apply (rule cardinal-cong [symmetric])
apply (rule sum-epoll-cong [OF epoll-refl well-ord-cardinal-epoll])
apply (blast intro: well-ord-rmult well-ord-Memrel)
done

```

```

lemma nat-cmult-eg-mult:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \otimes n = m \# n$ 
apply (induct-tac m)
apply (simp-all add: cmult-succ-lemma nat-cadd-eg-add)
done

```

```

lemma cmult-2:  $\text{Card}(n) \implies 2 \otimes n = n \oplus n$ 
by (simp add: cmult-succ-lemma Card-is-Ord cadd-commute [of - 0])

```

```

lemma sum-lepoll-prod:
  assumes C:  $2 \lesssim C$  shows  $B+B \lesssim C*B$ 
proof -
  have  $B+B \lesssim 2*B$ 
    by (simp add: sum-eg-2-times)
  also have  $\dots \lesssim C*B$ 
    by (blast intro: prod-lepoll-mono lepoll-refl C)
  finally show  $B+B \lesssim C*B$  .
qed

```

```

lemma lepoll-imp-sum-lepoll-prod:  $\llbracket A \lesssim B; 2 \lesssim A \rrbracket \implies A+B \lesssim A*B$ 
by (blast intro: sum-lepoll-mono sum-lepoll-prod lepoll-trans lepoll-refl)

```

### 34.5 Infinite Cardinals are Limit Ordinals

```

lemma nat-cons-lepoll:  $\text{nat} \lesssim A \implies \text{cons}(u, A) \lesssim A$ 
  unfolding lepoll-def
apply (erule exE)
apply (rule-tac x =
   $\lambda z \in \text{cons}(u, A).$ 
     $\text{if } z=u \text{ then } f'0$ 
     $\text{else if } z \in \text{range}(f) \text{ then } f' \text{succ}(\text{converse}(f) 'z) \text{ else } z$ 
  in exI)
apply (rule-tac d =
   $\lambda y. \text{if } y \in \text{range}(f) \text{ then } \text{nat-case}(u, \lambda z. f'z, \text{converse}(f) 'y)$ 
     $\text{else } y$ 
  in lam-injective)
apply (fast intro!: if-type apply-type intro: inj-is-fun inj-converse-fun)
apply (simp add: inj-is-fun [THEN apply-rangeI]
  inj-converse-fun [THEN apply-rangeI]
  inj-converse-fun [THEN apply-funtype])
done

```

```

lemma nat-cons-epoll:  $\text{nat} \lesssim A \implies \text{cons}(u, A) \approx A$ 
apply (erule nat-cons-lepoll [THEN epollI])

```



**apply** (*rule subset-consI* [*THEN subset-imp-lepoll*])  
**done**

**lemma** *nat-succ-epoll*:  $\text{nat} \subseteq A \implies \text{succ}(A) \approx A$   
**unfolding** *succ-def*  
**apply** (*erule subset-imp-lepoll* [*THEN nat-cons-epoll*])  
**done**

**lemma** *InfCard-nat*: *InfCard*(*nat*)  
**unfolding** *InfCard-def*  
**apply** (*blast intro: Card-nat le-refl Card-is-Ord*)  
**done**

**lemma** *InfCard-is-Card*: *InfCard*(*K*)  $\implies$  *Card*(*K*)  
**unfolding** *InfCard-def*  
**apply** (*erule conjunct1*)  
**done**

**lemma** *InfCard-Un*:  
 $\llbracket \text{InfCard}(K); \text{Card}(L) \rrbracket \implies \text{InfCard}(K \cup L)$   
**unfolding** *InfCard-def*  
**apply** (*simp add: Card-Un Un-upper1-le* [*THEN* [*2*] *le-trans*] *Card-is-Ord*)  
**done**

**lemma** *InfCard-is-Limit*: *InfCard*(*K*)  $\implies$  *Limit*(*K*)  
**unfolding** *InfCard-def*  
**apply** (*erule conjE*)  
**apply** (*frule Card-is-Ord*)  
**apply** (*rule ltI* [*THEN non-succ-LimitI*])  
**apply** (*erule le-imp-subset* [*THEN subsetD*])  
**apply** (*safe dest!*: *Limit-nat* [*THEN Limit-le-succD*])  
**unfolding** *Card-def*  
**apply** (*drule trans*)  
**apply** (*erule le-imp-subset* [*THEN nat-succ-epoll*, *THEN cardinal-cong*])  
**apply** (*erule Ord-cardinal-le* [*THEN lt-trans2*, *THEN lt-irrefl*])  
**apply** (*rule le-eqI*, *assumption*)  
**apply** (*rule Ord-cardinal*)  
**done**

**lemma** *ordermap-epoll-pred*:  
 $\llbracket \text{well-ord}(A, r); x \in A \rrbracket \implies \text{ordermap}(A, r) 'x \approx \text{Order.pred}(A, x, r)$   
**unfolding** *epoll-def*  
**apply** (*rule exI*)

```

apply (simp add: ordermap-eq-image well-ord-is-wf)
apply (erule ordermap-bij [THEN bij-is-inj, THEN restrict-bij,
                           THEN bij-converse-bij])
apply (rule pred-subset)
done

```

### 34.5.1 Establishing the well-ordering

```

lemma well-ord-csquare:
  assumes K: Ord(K) shows well-ord(K*K, csquare-rel(K))
proof (unfold csquare-rel-def, rule well-ord-rvimage)
  show  $\langle \lambda \langle x, y \rangle \in K \times K. \langle x \cup y, x, y \rangle \rangle \in \text{inj}(K \times K, K \times K \times K)$  using K
  by (force simp add: inj-def intro: lam-type Un-least-lt [THEN ltD] ltI)
next
  show well-ord( $K \times K \times K$ ,  $\text{rmult}(K, \text{Memrel}(K), K \times K, \text{rmult}(K, \text{Memrel}(K),$ 
   $K, \text{Memrel}(K)))$ )
  using K by (blast intro: well-ord-rmult well-ord-Memrel)
qed

```

### 34.5.2 Characterising initial segments of the well-ordering

```

lemma csquareD:
   $\llbracket \langle \langle x, y \rangle, \langle z, z \rangle \rangle \in \text{csquare-rel}(K); \ x < K; \ y < K; \ z < K \rrbracket \implies x \leq z \wedge y \leq z$ 
  unfolding csquare-rel-def
apply (erule rev-mp)
apply (elim ltE)
apply (simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD)
apply (safe elim!: mem-irrefl intro!: Un-upper1-le Un-upper2-le)
apply (simp-all add: lt-def succI2)
done

```

```

lemma pred-csquare-subset:
   $z < K \implies \text{Order.pred}(K*K, \langle z, z \rangle, \text{csquare-rel}(K)) \subseteq \text{succ}(z)*\text{succ}(z)$ 
  unfolding Order.pred-def
apply (safe del: SigmaI dest!: csquareD)
apply (unfold lt-def, auto)
done

```

```

lemma csquare-ltI:
   $\llbracket x < z; \ y < z; \ z < K \rrbracket \implies \langle \langle x, y \rangle, \langle z, z \rangle \rangle \in \text{csquare-rel}(K)$ 
  unfolding csquare-rel-def
apply (subgoal-tac  $x < K \wedge y < K$ )
  prefer 2 apply (blast intro: lt-trans)
apply (elim ltE)
apply (simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD)
done

```

```

lemma csquare-or-eqI:
   $\llbracket x \leq z; \ y \leq z; \ z < K \rrbracket \implies \langle \langle x, y \rangle, \langle z, z \rangle \rangle \in \text{csquare-rel}(K) \mid x = z \wedge y = z$ 

```

```

  unfolding csquare-rel-def
apply (subgoal-tac  $x < K \wedge y < K$ )
  prefer 2 apply (blast intro: lt-trans1)
apply (elim ltE)
apply (simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD)
apply (elim succE)
apply (simp-all add: subset-Un-iff [THEN iff-sym]
  subset-Un-iff2 [THEN iff-sym] OrdmemD)
done

```

### 34.5.3 The cardinality of initial segments

```

lemma ordermap-z-lt:
   $\llbracket \text{Limit}(K); x < K; y < K; z = \text{succ}(x \cup y) \rrbracket \implies$ 
    ordermap( $K * K$ , csquare-rel( $K$ )) ' $\langle x, y \rangle <$ '
    ordermap( $K * K$ , csquare-rel( $K$ )) ' $\langle z, z \rangle$ '
apply (subgoal-tac  $z < K \wedge \text{well-ord}(K * K, \text{csquare-rel}(K))$ )
prefer 2 apply (blast intro!: Un-least-lt Limit-has-succ
  Limit-is-Ord [THEN well-ord-csquare], clarify)
apply (rule csquare-ltI [THEN ordermap-mono, THEN ltI])
apply (erule-tac [4] well-ord-is-wf)
apply (blast intro!: Un-upper1-le Un-upper2-le Ord-ordermap elim!: ltE)+
done

```

Kunen: "each  $\langle x, y \rangle \in K \times K$  has no more than  $z \times z$  predecessors..." (page 29)

```

lemma ordermap-csquare-le:
  assumes  $K: \text{Limit}(K)$  and  $x: x < K$  and  $y: y < K$ 
  defines  $z \equiv \text{succ}(x \cup y)$ 
  shows  $|\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ '}\langle x, y \rangle| \leq |\text{succ}(z)| \otimes |\text{succ}(z)|$ 
proof (unfold cmult-def, rule well-ord-lepoll-imp-cardinal-le)
  show  $\text{well-ord}(|\text{succ}(z)| \times |\text{succ}(z)|,$ 
     $\text{rmult}(|\text{succ}(z)|, \text{Memrel}(|\text{succ}(z)|), |\text{succ}(z)|, \text{Memrel}(|\text{succ}(z)|)))$ 
  by (blast intro: Ord-cardinal well-ord-Memrel well-ord-rmult)
next
  have  $zK: z < K$  using  $x y K$  z-def
  by (blast intro: Un-least-lt Limit-has-succ)
  hence  $oz: \text{Ord}(z)$  by (elim ltE)
  have  $\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ '}\langle x, y \rangle \lesssim \text{ordermap}(K \times K, \text{csquare-rel}(K))$ 
    ' $\langle z, z \rangle$ '
  using z-def
  by (blast intro: ordermap-z-lt leI le-imp-lepoll  $K x y$ )
  also have  $\dots \approx \text{Order.pred}(K \times K, \langle z, z \rangle, \text{csquare-rel}(K))$ 
  proof (rule ordermap-epoll-pred)
    show  $\text{well-ord}(K \times K, \text{csquare-rel}(K))$  using  $K$ 
    by (rule Limit-is-Ord [THEN well-ord-csquare])
  next
    show  $\langle z, z \rangle \in K \times K$  using  $zK$ 
    by (blast intro: ltD)

```

qed  
 also have ...  $\lesssim \text{succ}(z) \times \text{succ}(z)$  using  $zK$   
 by (rule pred-csquare-subset [THEN subset-imp-lepoll])  
 also have ...  $\approx |\text{succ}(z)| \times |\text{succ}(z)|$  using  $oz$   
 by (blast intro: prod-epoll-cong Ord-succ Ord-cardinal-epoll eqpoll-sym)  
 finally show  $\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ‘ } \langle x, y \rangle \lesssim |\text{succ}(z)| \times |\text{succ}(z)| \text{ .}$   
 qed

Kunen: "... so the order type is  $\leq K$ "

**lemma** *ordertype-csquare-le*:

assumes  $IK: \text{InfCard}(K)$  and  $eq: \bigwedge y. y \in K \implies \text{InfCard}(y) \implies y \otimes y = y$

shows  $\text{ordertype}(K * K, \text{csquare-rel}(K)) \leq K$

**proof** –

have  $CK: \text{Card}(K)$  using  $IK$  by (rule InfCard-is-Card)

hence  $OK: \text{Ord}(K)$  by (rule Card-is-Ord)

moreover have  $\text{Ord}(\text{ordertype}(K \times K, \text{csquare-rel}(K)))$  using  $OK$

by (rule well-ord-csquare [THEN Ord-ordertype])

ultimately show *?thesis*

**proof** (rule all-lt-imp-le)

fix  $i$

assume  $i: i < \text{ordertype}(K \times K, \text{csquare-rel}(K))$

hence  $Oi: \text{Ord}(i)$  by (elim ltE)

obtain  $x\ y$  where  $x: x \in K$  and  $y: y \in K$

and  $ieq: i = \text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ‘ } \langle x, y \rangle$

using  $i$  by (auto simp add: ordertype-unfold elim: ltE)

hence  $xy: \text{Ord}(x) \text{ Ord}(y) \ x < K \ y < K$  using  $OK$

by (blast intro: Ord-in-Ord ltI)+

hence  $ou: \text{Ord}(x \cup y)$

by (simp add: Ord-Un)

show  $i < K$

**proof** (rule Card-lt-imp-lt [OF - Oi CK])

have  $|i| \leq |\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))|$  using  $IK\ xy$

by (auto simp add: ieq intro: InfCard-is-Limit [THEN ordermap-csquare-le])

moreover have  $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| < K$

**proof** (cases rule: Ord-linear2 [OF ou Ord-nat])

assume  $x \cup y < \text{nat}$

hence  $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| \in \text{nat}$

by (simp add: lt-def nat-cmult-eq-mult nat-succI mult-type  
nat-into-Card [THEN Card-cardinal-eq] Ord-nat)

also have ...  $\subseteq K$  using  $IK$

by (simp add: InfCard-def le-imp-subset)

finally show  $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| < K$

by (simp add: ltI OK)

**next**

assume  $\text{nat}xy: \text{nat} \leq x \cup y$

hence  $seg: |\text{succ}(\text{succ}(x \cup y))| = |x \cup y|$  using  $xy$

by (simp add: le-imp-subset nat-succ-epoll [THEN cardinal-cong]

*le-succ-iff*)

also have ...  $< K$  using  $xy$

```

      by (simp add: Un-least-lt Ord-cardinal-le [THEN lt-trans1])
    finally have  $|succ(succ(x \cup y))| < K$  .
    moreover have  $InfCard(|succ(succ(x \cup y))|)$  using  $xy$  natxy
      by (simp add: seq InfCard-def Card-cardinal nat-le-cardinal)
    ultimately show ?thesis by (simp add: eq ltD)
  qed
  ultimately show  $|i| < K$  by (blast intro: lt-trans1)
qed
qed
qed

```

lemma *InfCard-csquare-eq*:

```

  assumes  $IK: InfCard(K)$  shows  $K \otimes K = K$ 
proof -
  have  $OK: Ord(K)$  using  $IK$  by (simp add: Card-is-Ord InfCard-is-Card)
  show  $K \otimes K = K$  using  $OK$   $IK$ 
  proof (induct rule: trans-induct)
    case (step i)
    show  $i \otimes i = i$ 
    proof (rule le-anti-sym)
      have  $|i \times i| = |ordertype(i \times i, csquare-rel(i))|$ 
      by (rule cardinal-cong,
        simp add: step.hyps well-ord-csquare [THEN ordermap-bij, THEN bij-imp-epoll])
      hence  $i \otimes i \leq ordertype(i \times i, csquare-rel(i))$ 
      by (simp add: step.hyps cmult-def Ord-cardinal-le well-ord-csquare [THEN
        Ord-ordertype])
      moreover
      have  $ordertype(i \times i, csquare-rel(i)) \leq i$  using step
      by (simp add: ordertype-csquare-le)
      ultimately show  $i \otimes i \leq i$  by (rule le-trans)
    next
      show  $i \leq i \otimes i$  using step
      by (blast intro: cmult-square-le InfCard-is-Card)
    qed
  qed
qed

```

lemma *well-ord-InfCard-square-eq*:

```

  assumes  $r: well\_ord(A, r)$  and  $I: InfCard(|A|)$  shows  $A \times A \approx A$ 
proof -
  have  $A \times A \approx |A| \times |A|$ 
  by (blast intro: prod-epoll-cong well-ord-cardinal-epoll eqpoll-sym r)
  also have  $\dots \approx A$ 
  proof (rule well-ord-cardinal-eqE [OF - r])
    show  $well\_ord(|A| \times |A|, rmult(|A|, Memrel(|A|), |A|, Memrel(|A|)))$ 
    by (blast intro: Ord-cardinal well-ord-rmult well-ord-Memrel r)
  next

```

```

    show  $||A| \times |A| = |A|$  using InfCard-csquare-eq I
    by (simp add: cmult-def)
  qed
  finally show ?thesis .
qed

```

```

lemma InfCard-square-eqpoll:  $\text{InfCard}(K) \implies K \times K \approx K$ 
apply (rule well-ord-InfCard-square-eq)
  apply (erule InfCard-is-Card [THEN Card-is-Ord, THEN well-ord-Memrel])
  apply (simp add: InfCard-is-Card [THEN Card-cardinal-eq])
done

```

```

lemma Inf-Card-is-InfCard:  $\llbracket \text{Card}(i); \neg \text{Finite}(i) \rrbracket \implies \text{InfCard}(i)$ 
by (simp add: InfCard-def Card-is-Ord [THEN nat-le-infinite-Ord])

```

#### 34.5.4 Toward's Kunen's Corollary 10.13 (1)

```

lemma InfCard-le-cmult-eq:  $\llbracket \text{InfCard}(K); L \leq K; 0 < L \rrbracket \implies K \otimes L = K$ 
apply (rule le-anti-sym)
  prefer 2
  apply (erule ltE, blast intro: cmult-le-self InfCard-is-Card)
  apply (frule InfCard-is-Card [THEN Card-is-Ord, THEN le-refl])
  apply (rule cmult-le-mono [THEN le-trans], assumption+)
  apply (simp add: InfCard-csquare-eq)
done

```

```

lemma InfCard-cmult-eq:  $\llbracket \text{InfCard}(K); \text{InfCard}(L) \rrbracket \implies K \otimes L = K \cup L$ 
apply (rule tac i = K and j = L in Ord-linear-le)
  apply (typecheck add: InfCard-is-Card Card-is-Ord)
  apply (rule cmult-commute [THEN ssubst])
  apply (rule Un-commute [THEN ssubst])
  apply (simp-all add: InfCard-is-Limit [THEN Limit-has-0] InfCard-le-cmult-eq
    subset-Un-iff2 [THEN iffD1] le-imp-subset)
done

```

```

lemma InfCard-cdouble-eq:  $\text{InfCard}(K) \implies K \oplus K = K$ 
apply (simp add: cmult-2 [symmetric] InfCard-is-Card cmult-commute)
  apply (simp add: InfCard-le-cmult-eq InfCard-is-Limit Limit-has-0 Limit-has-succ)
done

```

```

lemma InfCard-le-cadd-eq:  $\llbracket \text{InfCard}(K); L \leq K \rrbracket \implies K \oplus L = K$ 
apply (rule le-anti-sym)
  prefer 2
  apply (erule ltE, blast intro: cadd-le-self InfCard-is-Card)
  apply (frule InfCard-is-Card [THEN Card-is-Ord, THEN le-refl])
  apply (rule cadd-le-mono [THEN le-trans], assumption+)
  apply (simp add: InfCard-cdouble-eq)

```

done

**lemma** *InfCard-cadd-eq*:  $\llbracket \text{InfCard}(K); \text{InfCard}(L) \rrbracket \implies K \oplus L = K \cup L$   
**apply** (rule-tac  $i = K$  **and**  $j = L$  **in** *Ord-linear-le*)  
**apply** (typecheck add: *InfCard-is-Card Card-is-Ord*)  
**apply** (rule *cadd-commute* [THEN *ssubst*])  
**apply** (rule *Un-commute* [THEN *ssubst*])  
**apply** (simp-all add: *InfCard-le-cadd-eq subset-Un-iff2* [THEN *iffD1*] *le-imp-subset*)  
done

### 34.6 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

**lemma** *Ord-jump-cardinal*:  $\text{Ord}(\text{jump-cardinal}(K))$   
**unfolding** *jump-cardinal-def*  
**apply** (rule *Ord-is-Transset* [THEN [2] *OrdI*])  
**prefer** 2 **apply** (blast intro!: *Ord-ordertype*)  
**unfolding** *Transset-def*  
**apply** (safe del: *subsetI*)  
**apply** (simp add: *ordertype-pred-unfold, safe*)  
**apply** (rule *UN-I*)  
**apply** (rule-tac [2] *ReplaceI*)  
**prefer** 4 **apply** (blast intro: *well-ord-subset elim!*: *predE*)  
done

**lemma** *jump-cardinal-iff*:  
 $i \in \text{jump-cardinal}(K) \longleftrightarrow$   
 $(\exists r X. r \subseteq K * K \wedge X \subseteq K \wedge \text{well-ord}(X, r) \wedge i = \text{ordertype}(X, r))$   
**unfolding** *jump-cardinal-def*  
**apply** (blast del: *subsetI*)  
done

**lemma** *K-lt-jump-cardinal*:  $\text{Ord}(K) \implies K < \text{jump-cardinal}(K)$   
**apply** (rule *Ord-jump-cardinal* [THEN [2] *ltI*])  
**apply** (rule *jump-cardinal-iff* [THEN *iffD2*])  
**apply** (rule-tac  $x = \text{Memrel}(K)$  **in** *exI*)  
**apply** (rule-tac  $x = K$  **in** *exI*)  
**apply** (simp add: *ordertype-Memrel well-ord-Memrel*)  
**apply** (simp add: *Memrel-def subset-iff*)  
done

**lemma** *Card-jump-cardinal-lemma*:  
 $\llbracket \text{well-ord}(X, r); r \subseteq K * K; X \subseteq K;$   
 $f \in \text{bij}(\text{ordertype}(X, r), \text{jump-cardinal}(K)) \rrbracket$   
 $\implies \text{jump-cardinal}(K) \in \text{jump-cardinal}(K)$

```

apply (subgoal-tac f O ordermap (X,r)  $\in$  bij (X, jump-cardinal (K)))
  prefer 2 apply (blast intro: comp-bij ordermap-bij)
apply (rule jump-cardinal-iff [THEN iffD2])
apply (intro exI conjI)
apply (rule subset-trans [OF rvimage-type Sigma-mono], assumption+)
apply (erule bij-is-inj [THEN well-ord-rvimage])
apply (rule Ord-jump-cardinal [THEN well-ord-Memrel])
apply (simp add: well-ord-Memrel [THEN [2] bij-ordertype-vimage]
  ordertype-Memrel Ord-jump-cardinal)
done

```

```

lemma Card-jump-cardinal: Card(jump-cardinal(K))
apply (rule Ord-jump-cardinal [THEN CardI])
  unfolding eqpoll-def
apply (safe dest!: ltD jump-cardinal-iff [THEN iffD1])
apply (blast intro: Card-jump-cardinal-lemma [THEN mem-irrefl])
done

```

### 34.7 Basic Properties of Successor Cardinals

```

lemma csucc-basic: Ord(K)  $\implies$  Card(csucc(K))  $\wedge$  K < csucc(K)
  unfolding csucc-def
apply (rule LeastI)
apply (blast intro: Card-jump-cardinal K-lt-jump-cardinal Ord-jump-cardinal)+
done

```

```

lemmas Card-csucc = csucc-basic [THEN conjunct1]

```

```

lemmas lt-csucc = csucc-basic [THEN conjunct2]

```

```

lemma Ord-0-lt-csucc: Ord(K)  $\implies$  0 < csucc(K)
by (blast intro: Ord-0-le lt-csucc lt-trans1)

```

```

lemma csucc-le:  $\llbracket \text{Card}(L); K < L \rrbracket \implies \text{csucc}(K) \leq L$ 
  unfolding csucc-def
apply (rule Least-le)
apply (blast intro: Card-is-Ord)+
done

```

```

lemma lt-csucc-iff:  $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies i < \text{csucc}(K) \longleftrightarrow |i| \leq K$ 
apply (rule iffI)
apply (rule-tac [2] Card-lt-imp-lt)
apply (erule-tac [2] lt-trans1)
apply (simp-all add: lt-csucc Card-csucc Card-is-Ord)
apply (rule notI [THEN not-lt-imp-le])
apply (rule Card-cardinal [THEN csucc-le, THEN lt-trans1, THEN lt-irrefl], as-
  sumption)
apply (rule Ord-cardinal-le [THEN lt-trans1])

```



**apply** (*simp-all add: Ord-cardinal Card-is-Ord*)  
**done**

**lemma** *Card-lt-csucc-iff*:  
 $\llbracket \text{Card}(K'); \text{Card}(K) \rrbracket \implies K' < \text{csucc}(K) \longleftrightarrow K' \leq K$   
**by** (*simp add: lt-csucc-iff Card-cardinal-eq Card-is-Ord*)

**lemma** *InfCard-csucc*:  $\text{InfCard}(K) \implies \text{InfCard}(\text{csucc}(K))$   
**by** (*simp add: InfCard-def Card-csucc Card-is-Ord*  
 $\text{lt-csucc [THEN leI, THEN [2] le-trans]}$ )

### 34.7.1 Removing elements from a finite set decreases its cardinality

**lemma** *Finite-imp-cardinal-cons [simp]*:  
**assumes** *FA: Finite(A)* **and** *a: a ∉ A* **shows**  $|\text{cons}(a, A)| = \text{succ}(|A|)$   
**proof** –  
**{** **fix** *X*  
**have**  $\text{Finite}(X) \implies a \notin X \implies \text{cons}(a, X) \lesssim X \implies \text{False}$   
**proof** (*induct X rule: Finite-induct*)  
**case 0** **thus** *False* **by** (*simp add: lepoll-0-iff*)  
**next**  
**case** (*cons x Y*)  
**hence**  $\text{cons}(x, \text{cons}(a, Y)) \lesssim \text{cons}(x, Y)$  **by** (*simp add: cons-commute*)  
**hence**  $\text{cons}(a, Y) \lesssim Y$  **using** *cons* **by** (*blast dest: cons-lepoll-consD*)  
**thus** *False* **using** *cons* **by** *auto*  
**qed**  
**}**  
**hence** [*simp*]:  $\neg \text{cons}(a, A) \lesssim A$  **using** *a FA* **by** *auto*  
**have** [*simp*]:  $|A| \approx A$  **using** *Finite-imp-well-ord [OF FA]*  
**by** (*blast intro: well-ord-cardinal-epoll*)  
**have** ( $\mu i. i \approx \text{cons}(a, A) = \text{succ}(|A|)$ )  
**proof** (*rule Least-equality [OF - - notI]*)  
**show**  $\text{succ}(|A|) \approx \text{cons}(a, A)$   
**by** (*simp add: succ-def cons-epoll-cong mem-not-refl a*)  
**next**  
**show**  $\text{Ord}(\text{succ}(|A|))$  **by** *simp*  
**next**  
**fix** *i*  
**assume** *i: i ≤ |A| i ≈ cons(a, A)*  
**have**  $\text{cons}(a, A) \approx i$  **by** (*rule eqpoll-sym*) (*rule i*)  
**also have**  $\dots \lesssim |A|$  **by** (*rule le-imp-lepoll*) (*rule i*)  
**also have**  $\dots \approx A$  **by** *simp*  
**finally have**  $\text{cons}(a, A) \lesssim A$  .  
**thus** *False* **by** *simp*  
**qed**  
**thus** *?thesis* **by** (*simp add: cardinal-def*)  
**qed**

**lemma** *Finite-imp-succ-cardinal-Diff*:  
 $\llbracket \text{Finite}(A); a \in A \rrbracket \implies \text{succ}(|A - \{a\}|) = |A|$   
**apply** (*rule-tac*  $b = A$  **in** *cons-Diff* [*THEN subst*], *assumption*)  
**apply** (*simp add*: *Finite-imp-cardinal-cons Diff-subset* [*THEN subset-Finite*])  
**apply** (*simp add*: *cons-Diff*)  
**done**

**lemma** *Finite-imp-cardinal-Diff*:  $\llbracket \text{Finite}(A); a \in A \rrbracket \implies |A - \{a\}| < |A|$   
**apply** (*rule succ-leE*)  
**apply** (*simp add*: *Finite-imp-succ-cardinal-Diff*)  
**done**

**lemma** *Finite-cardinal-in-nat* [*simp*]:  $\text{Finite}(A) \implies |A| \in \text{nat}$   
**proof** (*induct rule*: *Finite-induct*)  
  **case** 0 **thus** ?*case* **by** (*simp add*: *cardinal-0*)  
**next**  
  **case** (*cons x A*) **thus** ?*case* **by** (*simp add*: *Finite-imp-cardinal-cons*)  
**qed**

**lemma** *card-Un-Int*:  
 $\llbracket \text{Finite}(A); \text{Finite}(B) \rrbracket \implies |A| \# + |B| = |A \cup B| \# + |A \cap B|$   
**apply** (*erule Finite-induct, simp*)  
**apply** (*simp add*: *Finite-Int cons-absorb Un-cons Int-cons-left*)  
**done**

**lemma** *card-Un-disjoint*:  
 $\llbracket \text{Finite}(A); \text{Finite}(B); A \cap B = 0 \rrbracket \implies |A \cup B| = |A| \# + |B|$   
**by** (*simp add*: *Finite-Un card-Un-Int*)

**lemma** *card-partition*:  
**assumes** *FC*:  $\text{Finite}(C)$   
**shows**  
 $\text{Finite}(\bigcup C) \implies$   
 $(\forall c \in C. |c| = k) \implies$   
 $(\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = 0) \implies$   
 $k \# * |C| = |\bigcup C|$

**using** *FC*  
**proof** (*induct rule*: *Finite-induct*)  
  **case** 0 **thus** ?*case* **by** *simp*  
**next**  
  **case** (*cons x B*)  
  **hence**  $x \cap \bigcup B = 0$  **by** *auto*  
  **thus** ?*case* **using** *cons*  
  **by** (*auto simp add*: *card-Un-disjoint*)  
**qed**

### 34.7.2 Theorems by Krzysztof Grabczewski, proofs by lcp

**lemmas** *nat-implies-well-ord* = *nat-into-Ord* [*THEN well-ord-Memrel*]

```

lemma nat-sum-epoll-sum:
  assumes m:  $m \in \text{nat}$  and n:  $n \in \text{nat}$  shows  $m + n \approx m \# + n$ 
proof -
  have  $m + n \approx |m+n|$  using m n
  by (blast intro: nat-implies-well-ord well-ord-radd well-ord-cardinal-epoll eqpoll-sym)
  also have  $\dots = m \# + n$  using m n
  by (simp add: nat-cadd-eq-add [symmetric] cadd-def)
  finally show ?thesis .
qed

lemma Ord-subset-natD [rule-format]:  $\text{Ord}(i) \implies i \subseteq \text{nat} \implies i \in \text{nat} \mid i = \text{nat}$ 
proof (induct i rule: trans-induct3)
  case 0 thus ?case by auto
next
  case (succ i) thus ?case by auto
next
  case (limit l) thus ?case
  by (blast dest: nat-le-Limit le-imp-subset)
qed

lemma Ord-nat-subset-into-Card:  $\llbracket \text{Ord}(i); i \subseteq \text{nat} \rrbracket \implies \text{Card}(i)$ 
  by (blast dest: Ord-subset-natD intro: Card-nat nat-into-Card)

end

```

## 35 Main ZF Theory: Everything Except AC

**theory** *ZF* **imports** *List IntDiv CardinalArith* **begin**

### 35.1 Iteration of the function $F$

```

consts iterates ::  $[i \Rightarrow i, i, i] \Rightarrow i$  ( $\langle \langle \text{notation} = \langle \text{mixfix iterates} \rangle \rangle \hat{\sim} '(-)' \rangle$ ) [60,1000,1000]
60)

```

**primrec**

$$F^{\frown 0}(x) = x$$

$$F^{\frown (\text{succ}(n))}(x) = F(F^{\frown n}(x))$$

**definition**

```

iterates-omega ::  $[i \Rightarrow i, i] \Rightarrow i$  ( $\langle \langle \text{notation} = \langle \text{mixfix iterates-omega} \rangle \rangle \hat{\sim} \omega '(-)' \rangle$ )
[60,1000] 60) where
   $F^{\frown \omega}(x) \equiv \bigcup_{n \in \text{nat}} F^{\frown n}(x)$ 

```

**lemma** *iterates-triv*:

```

 $\llbracket n \in \text{nat}; F(x) = x \rrbracket \implies F^{\frown n}(x) = x$ 
by (induct n rule: nat-induct, simp-all)

```

**lemma** *iterates-type* [*TC*]:

$$\llbracket n \in \text{nat}; a \in A; \bigwedge x. x \in A \implies F(x) \in A \rrbracket$$

$$\implies F^{\wedge n}(a) \in A$$
**by** (*induct n rule: nat-induct, simp-all*)

**lemma** *iterates-omega-triv*:  

$$F(x) = x \implies F^{\wedge \omega}(x) = x$$
**by** (*simp add: iterates-omega-def iterates-triv*)

**lemma** *Ord-iterates [simp]*:  

$$\llbracket n \in \text{nat}; \bigwedge i. \text{Ord}(i) \implies \text{Ord}(F(i)); \text{Ord}(x) \rrbracket$$

$$\implies \text{Ord}(F^{\wedge n}(x))$$
**by** (*induct n rule: nat-induct, simp-all*)

**lemma** *iterates-commute*:  $n \in \text{nat} \implies F(F^{\wedge n}(x)) = F^{\wedge n}(F(x))$   
**by** (*induct-tac n, simp-all*)

## 35.2 Transfinite Recursion

Transfinite recursion for definitions based on the three cases of ordinals

**definition**

$\text{transrec3} :: [i, i, [i, i] \Rightarrow i, [i, i] \Rightarrow i] \Rightarrow i$  **where**  
 $\text{transrec3}(k, a, b, c) \equiv$   
 $\text{transrec}(k, \lambda x r.$   
 $\quad \text{if } x=0 \text{ then } a$   
 $\quad \text{else if } \text{Limit}(x) \text{ then } c(x, \lambda y \in x. r'y)$   
 $\quad \text{else } b(\text{Arith.pred}(x), r \text{ ` Arith.pred}(x)))$

**lemma** *transrec3-0 [simp]*:  $\text{transrec3}(0, a, b, c) = a$   
**by** (*rule transrec3-def [THEN def-transrec, THEN trans], simp*)

**lemma** *transrec3-succ [simp]*:  
 $\text{transrec3}(\text{succ}(i), a, b, c) = b(i, \text{transrec3}(i, a, b, c))$   
**by** (*rule transrec3-def [THEN def-transrec, THEN trans], simp*)

**lemma** *transrec3-Limit*:  
 $\text{Limit}(i) \implies$   
 $\text{transrec3}(i, a, b, c) = c(i, \lambda j \in i. \text{transrec3}(j, a, b, c))$   
**by** (*rule transrec3-def [THEN def-transrec, THEN trans], force*)

**declaration**  $\langle \text{fn } - \Rightarrow \rangle$   
 $\text{Simplifier.map-ss } (\text{Simplifier.set-mksimps } (\text{fn ctxt} \Rightarrow$   
 $\quad \text{map mk-eq o Ord-atomize o Variable.gen-all ctxt}))$   
 $\rangle$

**end**

## 36 The Axiom of Choice

**theory** *AC* **imports** *ZF* **begin**

This definition comes from Halmos (1960), page 59.

**axiomatization where**

*AC*:  $\llbracket a \in A; \bigwedge x. x \in A \implies (\exists y. y \in B(x)) \rrbracket \implies \exists z. z \in Pi(A, B)$

**lemma** *AC-Pi*:  $\llbracket \bigwedge x. x \in A \implies (\exists y. y \in B(x)) \rrbracket \implies \exists z. z \in Pi(A, B)$

**apply** (*case-tac* *A=0*)

**apply** (*simp add: Pi-empty1*)

**apply** (*blast intro: AC*)

**done**

**lemma** *AC-ball-Pi*:  $\forall x \in A. \exists y. y \in B(x) \implies \exists y. y \in Pi(A, B)$

**apply** (*rule AC-Pi*)

**apply** (*erule bspec, assumption*)

**done**

**lemma** *AC-Pi-Pow*:  $\exists f. f \in (\prod X \in Pow(C) - \{0\}. X)$

**apply** (*rule-tac* *B1 =  $\lambda x. x$  in AC-Pi [THEN exE]*)

**apply** (*erule-tac [2] exI, blast*)

**done**

**lemma** *AC-func*:

$\llbracket \bigwedge x. x \in A \implies (\exists y. y \in x) \rrbracket \implies \exists f \in A \multimap \bigcup (A). \forall x \in A. f'x \in x$

**apply** (*rule-tac* *B1 =  $\lambda x. x$  in AC-Pi [THEN exE]*)

**prefer 2 apply** (*blast dest: apply-type intro: Pi-type, blast*)

**done**

**lemma** *non-empty-family*:  $\llbracket 0 \notin A; x \in A \rrbracket \implies \exists y. y \in x$

**by** (*subgoal-tac* *x  $\neq$  0, blast+*)

**lemma** *AC-func0*:  $0 \notin A \implies \exists f \in A \multimap \bigcup (A). \forall x \in A. f'x \in x$

**apply** (*rule AC-func*)

**apply** (*simp-all add: non-empty-family*)

**done**

**lemma** *AC-func-Pow*:  $\exists f \in (Pow(C) - \{0\}) \multimap C. \forall x \in Pow(C) - \{0\}. f'x \in x$

**apply** (*rule AC-func0 [THEN bexE]*)

**apply** (*rule-tac [2] bexI*)

**prefer 2 apply** *assumption*

**apply** (*erule-tac [2] fun-weaken-type, blast+*)

**done**

**lemma** *AC-Pi0*:  $0 \notin A \implies \exists f. f \in (\prod x \in A. x)$

```

apply (rule AC-Pi)
apply (simp-all add: non-empty-family)
done

end

```

## 37 Zorn's Lemma

**theory** *Zorn* **imports** *OrderArith AC Inductive* **begin**

Based upon the unpublished article “Towards the Mechanization of the Proofs of Some Classical Theorems of Set Theory,” by Abrial and Laffitte.

**definition**

*Subset-rel* ::  $i \Rightarrow i$  **where**  
 $\text{Subset-rel}(A) \equiv \{z \in A * A . \exists x y. z = \langle x, y \rangle \wedge x <= y \wedge x \neq y\}$

**definition**

*chain* ::  $i \Rightarrow i$  **where**  
 $\text{chain}(A) \equiv \{F \in \text{Pow}(A). \forall X \in F. \forall Y \in F. X <= Y \mid Y <= X\}$

**definition**

*super* ::  $[i, i] \Rightarrow i$  **where**  
 $\text{super}(A, c) \equiv \{d \in \text{chain}(A). c <= d \wedge c \neq d\}$

**definition**

*maxchain* ::  $i \Rightarrow i$  **where**  
 $\text{maxchain}(A) \equiv \{c \in \text{chain}(A). \text{super}(A, c) = \emptyset\}$

**definition**

*increasing* ::  $i \Rightarrow i$  **where**  
 $\text{increasing}(A) \equiv \{f \in \text{Pow}(A) \rightarrow \text{Pow}(A). \forall x. x <= A \rightarrow x <= f'x\}$

Lemma for the inductive definition below

**lemma** *Union-in-Pow*:  $Y \in \text{Pow}(\text{Pow}(A)) \implies \bigcup(Y) \in \text{Pow}(A)$   
**by** *blast*

We could make the inductive definition conditional on  $\text{next} \in \text{increasing}(S)$  but instead we make this a side-condition of an introduction rule. Thus the induction rule lets us assume that condition! Many inductive proofs are therefore unconditional.

**consts**

*TFin* ::  $[i, i] \Rightarrow i$

**inductive**

**domains**  $\text{TFin}(S, \text{next}) \subseteq \text{Pow}(S)$

**intros**

*nextI*:  $\llbracket x \in \text{TFin}(S, \text{next}); \text{next} \in \text{increasing}(S) \rrbracket$   
 $\implies \text{next}'x \in \text{TFin}(S, \text{next})$

*Pow-UnionI*:  $Y \in \text{Pow}(\text{TFin}(S, \text{next})) \implies \bigcup(Y) \in \text{TFin}(S, \text{next})$

**monos** *Pow-mono*  
**con-defs** *increasing-def*  
**type-intros** *CollectD1 [THEN apply-funtype] Union-in-Pow*

### 37.1 Mathematical Preamble

**lemma** *Union-lemma0*:  $(\forall x \in C. x \leq A \mid B \leq x) \implies \bigcup(C) \leq A \mid B \leq \bigcup(C)$   
**by** *blast*

**lemma** *Inter-lemma0*:  
 $\llbracket c \in C; \forall x \in C. A \leq x \mid x \leq B \rrbracket \implies A \subseteq \bigcap(C) \mid \bigcap(C) \subseteq B$   
**by** *blast*

### 37.2 The Transfinite Construction

**lemma** *increasingD1*:  $f \in \text{increasing}(A) \implies f \in \text{Pow}(A) \multimap \text{Pow}(A)$   
**unfolding** *increasing-def*  
**apply** (*erule CollectD1*)  
**done**

**lemma** *increasingD2*:  $\llbracket f \in \text{increasing}(A); x \leq A \rrbracket \implies x \subseteq f'x$   
**by** (*unfold increasing-def, blast*)

**lemmas** *TFin-UnionI = PowI [THEN TFin.Pow-UnionI]*

**lemmas** *TFin-is-subset = TFin.dom-subset [THEN subsetD, THEN PowD]*

Structural induction on  $\text{TFin}(S, \text{next})$

**lemma** *TFin-induct*:  
 $\llbracket n \in \text{TFin}(S, \text{next});$   
 $\bigwedge x. \llbracket x \in \text{TFin}(S, \text{next}); P(x); \text{next} \in \text{increasing}(S) \rrbracket \implies P(\text{next}'x);$   
 $\bigwedge Y. \llbracket Y \subseteq \text{TFin}(S, \text{next}); \forall y \in Y. P(y) \rrbracket \implies P(\bigcup(Y))$   
 $\rrbracket \implies P(n)$   
**by** (*erule TFin.induct, blast+*)

### 37.3 Some Properties of the Transfinite Construction

**lemmas** *increasing-trans = subset-trans [OF - increasingD2,*  
*OF - - TFin-is-subset]*

Lemma 1 of section 3.1

**lemma** *TFin-linear-lemma1*:  
 $\llbracket n \in \text{TFin}(S, \text{next}); m \in \text{TFin}(S, \text{next});$   
 $\forall x \in \text{TFin}(S, \text{next}). x \leq m \longrightarrow x = m \mid \text{next}'x \leq m \rrbracket$   
 $\implies n \leq m \mid \text{next}'m \leq n$   
**apply** (*erule TFin-induct*)

**apply** (*erule-tac* [2] *Union-lemma0*)

**apply** (*blast dest: increasing-trans*)  
**done**

Lemma 2 of section 3.2. Interesting in its own right! Requires  $next \in increasing(S)$  in the second induction step.

**lemma** *TFin-linear-lemma2*:

$\llbracket m \in TFin(S, next); next \in increasing(S) \rrbracket$   
 $\implies \forall n \in TFin(S, next). n \leq m \longrightarrow n = m \mid next'n \subseteq m$

**apply** (*erule TFin-induct*)

**apply** (*rule impI* [*THEN ballI*])

case split using *TFin-linear-lemma1*

**apply** (*rule-tac*  $n1 = n$  **and**  $m1 = x$  **in** *TFin-linear-lemma1* [*THEN disjE*],  
*assumption+*)

**apply** (*blast del: subsetI*  
*intro: increasing-trans subsetI, blast*)

second induction step

**apply** (*rule impI* [*THEN ballI*])

**apply** (*rule Union-lemma0* [*THEN disjE*])

**apply** (*erule-tac* [3] *disjI2*)

**prefer** 2 **apply** *blast*

**apply** (*rule ballI*)

**apply** (*erule bspec, assumption*)

**apply** (*erule subsetD, assumption*)

**apply** (*rule-tac*  $n1 = n$  **and**  $m1 = x$  **in** *TFin-linear-lemma1* [*THEN disjE*],  
*assumption+, blast*)

**apply** (*erule increasingD2* [*THEN subset-trans, THEN disjI1*])

**apply** (*blast dest: TFin-is-subset*)  
**done**

a more convenient form for Lemma 2

**lemma** *TFin-subsetD*:

$\llbracket n \leq m; m \in TFin(S, next); n \in TFin(S, next); next \in increasing(S) \rrbracket$   
 $\implies n = m \mid next'n \subseteq m$

**by** (*blast dest: TFin-linear-lemma2* [*rule-format*])

Consequences from section 3.3 – Property 3.2, the ordering is total

**lemma** *TFin-subset-linear*:

$\llbracket m \in TFin(S, next); n \in TFin(S, next); next \in increasing(S) \rrbracket$   
 $\implies n \subseteq m \mid m \leq n$

**apply** (*rule disjE*)

**apply** (*rule TFin-linear-lemma1* [*OF - TFin-linear-lemma2*])

**apply** (*assumption+, erule disjI2*)

**apply** (*blast del: subsetI*  
*intro: subsetI increasingD2* [*THEN subset-trans*] *TFin-is-subset*)



done

Lemma 3 of section 3.3

**lemma** *equal-next-upper*:

$\llbracket n \in TFin(S, next); m \in TFin(S, next); m = next'm \rrbracket \implies n \subseteq m$   
**apply** (*erule* *TFin-induct*)  
**apply** (*drule* *TFin-subsetD*)  
**apply** (*assumption+*, *force*, *blast*)  
done

Property 3.3 of section 3.3

**lemma** *equal-next-Union*:

$\llbracket m \in TFin(S, next); next \in increasing(S) \rrbracket$   
 $\implies m = next'm <-> m = \bigcup (TFin(S, next))$   
**apply** (*rule* *iffI*)  
**apply** (*rule* *Union-upper* [*THEN* *equalityI*])  
**apply** (*rule-tac* [2] *equal-next-upper* [*THEN* *Union-least*])  
**apply** (*assumption+*)  
**apply** (*erule* *ssubst*)  
**apply** (*rule* *increasingD2* [*THEN* *equalityI*], *assumption*)  
**apply** (*blast* *del: subsetI*  
*intro: subsetI TFin-UnionI TFin.nextI TFin-is-subset*)  
done

## 37.4 Hausdorff's Theorem: Every Set Contains a Maximal Chain

NOTE: We assume the partial ordering is  $\subseteq$ , the subset relation!

\* Defining the "next" operation for Hausdorff's Theorem \*

**lemma** *chain-subset-Pow*:  $chain(A) \subseteq Pow(A)$   
**unfolding** *chain-def*  
**apply** (*rule* *Collect-subset*)  
done

**lemma** *super-subset-chain*:  $super(A, c) \subseteq chain(A)$   
**unfolding** *super-def*  
**apply** (*rule* *Collect-subset*)  
done

**lemma** *maxchain-subset-chain*:  $maxchain(A) \subseteq chain(A)$   
**unfolding** *maxchain-def*  
**apply** (*rule* *Collect-subset*)  
done

**lemma** *choice-super*:

$\llbracket ch \in (\prod X \in Pow(chain(S)) - \{0\}. X); X \in chain(S); X \notin maxchain(S) \rrbracket$   
 $\implies ch \text{ ' } super(S, X) \in super(S, X)$

**apply** (*erule apply-type*)  
**apply** (*unfold super-def maxchain-def, blast*)  
**done**

**lemma** *choice-not-equals:*

$$\llbracket ch \in (\prod X \in Pow(chain(S)) - \{0\}. X); X \in chain(S); X \notin maxchain(S) \rrbracket$$

$$\implies ch \text{ ' } super(S, X) \neq X$$

**apply** (*rule notI*)  
**apply** (*drule choice-super, assumption, assumption*)  
**apply** (*simp add: super-def*)  
**done**

This justifies Definition 4.4

**lemma** *Hausdorff-next-exists:*

$$ch \in (\prod X \in Pow(chain(S)) - \{0\}. X) \implies$$

$$\exists next \in increasing(S). \forall X \in Pow(S).$$

$$next \text{ ' } X = if(X \in chain(S) - maxchain(S), ch \text{ ' } super(S, X), X)$$

**apply** (*rule-tac x= $\lambda X \in Pow(S).$*   
*if  $X \in chain(S) - maxchain(S)$  then  $ch \text{ ' } super(S, X)$  else  $X$*   
*in  $bxI$* )

**apply** *force*  
**unfolding** *increasing-def*  
**apply** (*rule CollectI*)  
**apply** (*rule lam-type*)  
**apply** (*simp (no-asm-simp)*)  
**apply** (*blast dest: super-subset-chain [THEN subsetD]*  
*chain-subset-Pow [THEN subsetD] choice-super*)

Now, verify that it increases

**apply** (*simp (no-asm-simp) add: Pow-iff subset-refl*)  
**apply** *safe*  
**apply** (*drule choice-super*)  
**apply** (*assumption+*)  
**apply** (*simp add: super-def, blast*)  
**done**

Lemma 4

**lemma** *TFin-chain-lemma4:*

$$\llbracket c \in TFin(S, next);$$

$$ch \in (\prod X \in Pow(chain(S)) - \{0\}. X);$$

$$next \in increasing(S);$$

$$\forall X \in Pow(S). next \text{ ' } X =$$

$$if(X \in chain(S) - maxchain(S), ch \text{ ' } super(S, X), X) \rrbracket$$

$$\implies c \in chain(S)$$

**apply** (*erule TFin-induct*)  
**apply** (*simp (no-asm-simp) add: chain-subset-Pow [THEN subsetD, THEN PowD]*  
*choice-super [THEN super-subset-chain [THEN subsetD]]*)  
**unfolding** *chain-def*  
**apply** (*rule CollectI, blast, safe*)

```

apply (rule-tac  $m1=B$  and  $n1=Ba$  in TFin-subset-linear [THEN disjE], fast+)

Blast-tac's slow

done

theorem Hausdorff:  $\exists c. c \in \text{maxchain}(S)$ 
apply (rule AC-Pi-Pow [THEN exE])
apply (rule Hausdorff-next-exists [THEN bexE], assumption)
apply (rename-tac ch next)
apply (subgoal-tac  $\bigcup (TFin (S, next)) \in \text{chain} (S)$  )
prefer 2
  apply (blast intro!: TFin-chain-lemma4 subset-refl [THEN TFin-UnionI])
apply (rule-tac  $x = \bigcup (TFin (S, next))$  in exI)
apply (rule classical)
apply (subgoal-tac next ‘  $\text{Union}(TFin (S, next)) = \bigcup (TFin (S, next))$  )
apply (rule-tac [2] equal-next-Union [THEN iffD2, symmetric])
apply (rule-tac [2] subset-refl [THEN TFin-UnionI])
prefer 2 apply assumption
apply (rule-tac [2] refl)
apply (simp add: subset-refl [THEN TFin-UnionI,
  THEN TFin.dom-subset [THEN subsetD, THEN PowD]])
apply (erule choice-not-equals [THEN notE])
apply (assumption+)
done

```

### 37.5 Zorn's Lemma: If All Chains in S Have Upper Bounds In S, then S contains a Maximal Element

Used in the proof of Zorn's Lemma

**lemma** *chain-extend*:

$\llbracket c \in \text{chain}(A); z \in A; \forall x \in c. x \leq z \rrbracket \implies \text{cons}(z, c) \in \text{chain}(A)$   
**by** (*unfold chain-def, blast*)

**lemma** *Zorn*:  $\forall c \in \text{chain}(S). \bigcup(c) \in S \implies \exists y \in S. \forall z \in S. y \leq z \longrightarrow y = z$   
**apply** (rule *Hausdorff* [THEN *exE*])  
**apply** (simp add: *maxchain-def*)  
**apply** (rename-tac *c*)  
**apply** (rule-tac  $x = \bigcup(c)$  in *bexI*)  
**prefer** 2 **apply** *blast*  
**apply** *safe*  
**apply** (rename-tac *z*)  
**apply** (rule *classical*)  
**apply** (subgoal-tac  $\text{cons}(z, c) \in \text{super}(S, c)$  )  
**apply** (blast elim: *equalityE*)  
**apply** (*unfold super-def, safe*)  
**apply** (*fast elim: chain-extend*)  
**apply** (*fast elim: equalityE*)  
**done**

Alternative version of Zorn's Lemma

**theorem** *Zorn2*:

```

   $\forall c \in \text{chain}(S). \exists y \in S. \forall x \in c. x \subseteq y \implies \exists y \in S. \forall z \in S. y \leq z \longrightarrow y = z$ 
apply (cut-tac Hausdorff maxchain-subset-chain)
apply (erule exE)
apply (drule subsetD, assumption)
apply (drule bspec, assumption, erule bexE)
apply (rule-tac x = y in bexI)
  prefer 2 apply assumption
apply clarify
apply rule apply assumption
apply rule
apply (rule ccontr)
apply (frule-tac z=z in chain-extend)
  apply (assumption, blast)
  unfolding maxchain-def super-def
apply (blast elim!: equalityCE)
done

```

### 37.6 Zermelo's Theorem: Every Set can be Well-Ordered

Lemma 5

**lemma** *TFin-well-lemma5*:

```

   $\llbracket n \in \text{TFin}(S, \text{next}); Z \subseteq \text{TFin}(S, \text{next}); z:Z; \neg \bigcap (Z) \in Z \rrbracket$ 
   $\implies \forall m \in Z. n \subseteq m$ 
apply (erule TFin-induct)
prefer 2 apply blast

```

second induction step is easy

```

apply (rule ballI)
apply (rule bspec [THEN TFin-subsetD, THEN disjE], auto)
apply (subgoal-tac m =  $\bigcap (Z)$  ))
apply blast+
done

```

Well-ordering of  $\text{TFin}(S, \text{next})$

```

lemma well-ord-TFin-lemma:  $\llbracket Z \subseteq \text{TFin}(S, \text{next}); z \in Z \rrbracket \implies \bigcap (Z) \in Z$ 
apply (rule classical)
apply (subgoal-tac Z =  $\{\bigcup (\text{TFin}(S, \text{next}))\}$ )
apply (simp (no-asm-simp) add: Inter-singleton)
apply (erule equal-singleton)
apply (rule Union-upper [THEN equalityI])
apply (rule-tac [2] subset-refl [THEN TFin-UnionI, THEN TFin-well-lemma5,
  THEN bspec], blast+)
done

```

This theorem just packages the previous result

**lemma** *well-ord-TFin*:

```

      next ∈ increasing(S)
      ⇒ well-ord(TFin(S,next), Subset-rel(TFin(S,next)))
apply (rule well-ordI)
      unfolding Subset-rel-def linear-def

```

Prove the well-foundedness goal

```

apply (rule wf-onI)
apply (frule well-ord-TFin-lemma, assumption)
apply (drule-tac x = ⋂(Z) in bspec, assumption)
apply blast

```

Now prove the linearity goal

```

apply (intro ballI)
apply (case-tac x=y)
apply blast

```

The  $x \neq y$  case remains

```

apply (rule-tac n1=x and m1=y in TFin-subset-linear [THEN disjE],
      assumption+, blast+)
done

```

\* Defining the "next" operation for Zermelo's Theorem \*

```

lemma choice-Diff:
  ⌊ch ∈ (⋂ X ∈ Pow(S) - {0}. X); X ⊆ S; X ≠ S⌋ ⇒ ch '(S-X) ∈ S-X
apply (erule apply-type)
apply (blast elim!: equalityE)
done

```

This justifies Definition 6.1

```

lemma Zermelo-next-exists:
  ch ∈ (⋂ X ∈ Pow(S) - {0}. X) ⇒
    ∃ next ∈ increasing(S). ∀ X ∈ Pow(S).
      next'X = (if X=S then S else cons(ch'(S-X), X))
apply (rule-tac x=λX∈Pow(S). if X=S then S else cons(ch'(S-X), X)
      in bexI)
apply force
      unfolding increasing-def
apply (rule CollectI)
apply (rule lam-type)

```

Type checking is surprisingly hard!

```

apply (simp (no-asm-simp) add: Pow-iff cons-subset-iff subset-refl)
apply (blast intro!: choice-Diff [THEN DiffD1])

```

Verify that it increases

```

apply (intro allI impI)
apply (simp add: Pow-iff subset-consI subset-refl)
done

```

The construction of the injection

**lemma** *choice-imp-injection*:

```

  [[ch ∈ (∏ X ∈ Pow(S) - {0}. X);
    next ∈ increasing(S);
    ∀ X ∈ Pow(S). next'X = if(X=S, S, cons(ch'(S-X), X))]]
  ⇒ (λ x ∈ S. ∪({y ∈ TFin(S,next). x ∉ y}))
    ∈ inj(S, TFin(S,next) - {S})
apply (rule-tac d = λy. ch' (S-y) in lam-injective)
apply (rule DiffI)
apply (rule Collect-subset [THEN TFin-UnionI])
apply (blast intro!: Collect-subset [THEN TFin-UnionI] elim: equalityE)
apply (subgoal-tac x ∉ ∪({y ∈ TFin (S,next) . x ∉ y}) )
prefer 2 apply (blast elim: equalityE)
apply (subgoal-tac ∪({y ∈ TFin (S,next) . x ∉ y}) ≠ S)
prefer 2 apply (blast elim: equalityE)

```

For proving  $x \in \text{next}' \bigcup(\dots)$ . Abrial and Laffitte's justification appears to be faulty.

```

apply (subgoal-tac ¬ next' Union({y ∈ TFin (S,next) . x ∉ y})
  ⊆ ∪({y ∈ TFin (S,next) . x ∉ y}) )
prefer 2
apply (simp del: Union-iff
  add: Collect-subset [THEN TFin-UnionI, THEN TFin-is-subset]
  Pow-iff cons-subset-iff subset-refl choice-Diff [THEN DiffD2])
apply (subgoal-tac x ∈ next' Union({y ∈ TFin (S,next) . x ∉ y}) )
prefer 2
apply (blast intro!: Collect-subset [THEN TFin-UnionI] TFin.nextI)

```

End of the lemmas!

```

apply (simp add: Collect-subset [THEN TFin-UnionI, THEN TFin-is-subset])
done

```

The wellordering theorem

```

theorem AC-well-ord: ∃ r. well-ord(S,r)
apply (rule AC-Pi-Pow [THEN exE])
apply (rule Zermelo-next-exists [THEN bexE], assumption)
apply (rule exI)
apply (rule well-ord-rvimage)
apply (erule-tac [2] well-ord-TFin)
apply (rule choice-imp-injection [THEN inj-weaken-type], blast+)
done

```

### 37.7 Zorn's Lemma for Partial Orders

Reimported from HOL by Clemens Ballarin.

**definition** *Chain* ::  $i \Rightarrow i$  **where**

$\text{Chain}(r) = \{A \in \text{Pow}(\text{field}(r)). \forall a \in A. \forall b \in A. \langle a, b \rangle \in r \mid \langle b, a \rangle \in r\}$

**lemma** *mono-Chain*:

$r \subseteq s \implies \text{Chain}(r) \subseteq \text{Chain}(s)$   
**unfolding** *Chain-def*  
**by** *blast*

**theorem** *Zorn-po*:

**assumes** *po*: *Partial-order*(*r*)  
**and** *u*:  $\forall C \in \text{Chain}(r). \exists u \in \text{field}(r). \forall a \in C. \langle a, u \rangle \in r$   
**shows**  $\exists m \in \text{field}(r). \forall a \in \text{field}(r). \langle m, a \rangle \in r \longrightarrow a = m$

**proof** –

**have** *Preorder*(*r*) **using** *po* **by** (*simp add: partial-order-on-def*)  
 — Mirror *r* in the set of subsets below (wrt *r*) elements of *A* (?).

**let**  $?B = \lambda x \in \text{field}(r). r - \{x\}$  **let**  $?S = ?B \text{ `` } \text{field}(r)$

**have**  $\forall C \in \text{chain}(?S). \exists U \in ?S. \forall A \in C. A \subseteq U$

**proof** (*clarsimp simp: chain-def Subset-rel-def bex-image-simp*)

**fix** *C*

**assume** 1:  $C \subseteq ?S$  **and** 2:  $\forall A \in C. \forall B \in C. A \subseteq B \mid B \subseteq A$

**let**  $?A = \{x \in \text{field}(r). \exists M \in C. M = ?B \{x\}$

**have**  $C = ?B \text{ `` } ?A$  **using** 1

**apply** (*auto simp: image-def*)

**apply** *rule*

**apply** *rule*

**apply** (*drule subsetD*) **apply** *assumption*

**apply** (*erule CollectE*)

**apply** *rule* **apply** *assumption*

**apply** (*erule bexE*)

**apply** *rule* **prefer** 2 **apply** *assumption*

**apply** *rule*

**apply** (*erule lamE*) **apply** *simp*

**apply** *assumption*

**apply** (*thin-tac*  $C \subseteq X$  **for** *X*)

**apply** (*fast elim: lamE*)

**done**

**have**  $?A \in \text{Chain}(r)$

**proof** (*simp add: Chain-def subsetI, intro conjI ballI impI*)

**fix** *a b*

**assume**  $a \in \text{field}(r)$   $r - \{a\} \in C$   $b \in \text{field}(r)$   $r - \{b\} \in C$

**hence**  $r - \{a\} \subseteq r - \{b\} \mid r - \{b\} \subseteq r - \{a\}$  **using** 2 **by** *auto*

**then show**  $\langle a, b \rangle \in r \mid \langle b, a \rangle \in r$

**using**  $\langle \text{Preorder}(r) \rangle \langle a \in \text{field}(r) \rangle \langle b \in \text{field}(r) \rangle$

**by** (*simp add: subset-vimage1-vimage1-iff*)

**qed**

**then obtain** *u* **where**  $uA: u \in \text{field}(r) \forall a \in ?A. \langle a, u \rangle \in r$

**using** *u*

**apply** *auto*

**apply** (*drule bspec*) **apply** *assumption*

**apply** *auto*

**done**

**have**  $\forall A \in C. A \subseteq r - \{u\}$

```

proof (auto intro!: vimageI)
  fix a B
  assume aB:  $B \in C$   $a \in B$ 
  with 1 obtain x where  $x \in \text{field}(r)$   $B = r - \{x\}$ 
    apply -
    apply (drule subsetD) apply assumption
    apply (erule imageE)
    apply (erule lamE)
    apply simp
    done
  then show  $\langle a, u \rangle \in r$  using uA aB  $\langle \text{Preorder}(r) \rangle$ 
    by (auto simp: preorder-on-def refl-def) (blast dest: trans-onD)+
  qed
  then show  $\exists U \in \text{field}(r). \forall A \in C. A \subseteq r - \{U\}$ 
    using  $\langle u \in \text{field}(r) \rangle$  ..
  qed
from Zorn2 [OF this]
obtain m B where  $m \in \text{field}(r)$   $B = r - \{m\}$ 
   $\forall x \in \text{field}(r). B \subseteq r - \{x\} \longrightarrow B = r - \{x\}$ 
  by (auto elim!: lamE simp: ball-image-simp)
  then have  $\forall a \in \text{field}(r). \langle m, a \rangle \in r \longrightarrow a = m$ 
    using po  $\langle \text{Preorder}(r) \rangle$   $\langle m \in \text{field}(r) \rangle$ 
  by (auto simp: subset-vimage1-vimage1-iff Partial-order-eq-vimage1-vimage1-iff)
  then show ?thesis using  $\langle m \in \text{field}(r) \rangle$  by blast
qed

end

```

## 38 Cardinal Arithmetic Using AC

**theory** Cardinal-AC **imports** CardinalArith Zorn **begin**

### 38.1 Strengthened Forms of Existing Theorems on Cardinals

```

lemma cardinal-epoll:  $|A| \approx A$ 
apply (rule AC-well-ord [THEN exE])
apply (erule well-ord-cardinal-epoll)
done

```

The theorem  $||A|| = |A|$

```

lemmas cardinal-idem = cardinal-epoll [THEN cardinal-cong, simp]

```

```

lemma cardinal-eqE:  $|X| = |Y| \implies X \approx Y$ 
apply (rule AC-well-ord [THEN exE])
apply (rule AC-well-ord [THEN exE])
apply (rule well-ord-cardinal-eqE, assumption+)
done

```

```

lemma cardinal-epoll-iff:  $|X| = |Y| \longleftrightarrow X \approx Y$ 

```



by (blast intro: cardinal-cong cardinal-*eqE*)

**lemma** *cardinal-disjoint-Un*:

$\llbracket |A|=|B|; |C|=|D|; A \cap C = 0; B \cap D = 0 \rrbracket$   
 $\implies |A \cup C| = |B \cup D|$

by (simp add: cardinal-*eqpoll-iff eqpoll-disjoint-Un*)

**lemma** *lepoll-imp-cardinal-le*:  $A \lesssim B \implies |A| \leq |B|$

apply (rule AC-well-ord [THEN *exE*])

apply (erule well-ord-lepoll-imp-cardinal-le, assumption)

done

**lemma** *cadd-assoc*:  $(i \oplus j) \oplus k = i \oplus (j \oplus k)$

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule well-ord-cadd-*assoc*, assumption+)

done

**lemma** *cmult-assoc*:  $(i \otimes j) \otimes k = i \otimes (j \otimes k)$

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule well-ord-cmult-*assoc*, assumption+)

done

**lemma** *cadd-cmult-distrib*:  $(i \oplus j) \otimes k = (i \otimes k) \oplus (j \otimes k)$

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule AC-well-ord [THEN *exE*])

apply (rule well-ord-cadd-cmult-*distrib*, assumption+)

done

**lemma** *InfCard-square-eq*:  $\text{InfCard}(|A|) \implies A * A \approx A$

apply (rule AC-well-ord [THEN *exE*])

apply (erule well-ord-*InfCard-square-eq*, assumption)

done

## 38.2 The relationship between cardinality and le-pollence

**lemma** *Card-le-imp-lepoll*:

assumes  $|A| \leq |B|$  shows  $A \lesssim B$

proof –

have  $A \approx |A|$

by (rule cardinal-*eqpoll* [THEN *eqpoll-sym*])

also have  $\dots \lesssim |B|$

by (rule le-*imp-subset* [THEN *subset-imp-lepoll*]) (rule *assms*)

also have  $\dots \approx B$

by (rule cardinal-*eqpoll*)

finally show ?thesis .  
qed

lemma le-Card-iff:  $\text{Card}(K) \implies |A| \leq K \longleftrightarrow A \lesssim K$   
 apply (erule Card-cardinal-eq [THEN subst], rule iffI,  
       erule Card-le-imp-lepoll)  
 apply (erule lepoll-imp-cardinal-le)  
 done

lemma cardinal-0-iff-0 [simp]:  $|A| = 0 \longleftrightarrow A = 0$   
 apply auto  
 apply (drule cardinal-0 [THEN ssubst])  
 apply (blast intro: eqpoll-0-iff [THEN iffD1] cardinal-eqpoll-iff [THEN iffD1])  
 done

lemma cardinal-lt-iff-lesspoll:  
 assumes  $i: \text{Ord}(i)$  shows  $i < |A| \longleftrightarrow i \prec A$   
 proof  
   assume  $i < |A|$   
   hence  $i \prec |A|$   
     by (blast intro: lt-Card-imp-lesspoll Card-cardinal)  
   also have  $\dots \approx A$   
     by (rule cardinal-eqpoll)  
   finally show  $i \prec A$  .  
 next  
   assume  $i \prec A$   
   also have  $\dots \approx |A|$   
     by (blast intro: cardinal-eqpoll eqpoll-sym)  
   finally have  $i \prec |A|$  .  
   thus  $i < |A|$  using  $i$   
     by (force intro: cardinal-lt-imp-lt lesspoll-cardinal-lt)  
 qed

lemma cardinal-le-imp-lepoll:  $i \leq |A| \implies i \lesssim A$   
 by (blast intro: lt-Ord Card-le-imp-lepoll Ord-cardinal-le le-trans)

### 38.3 Other Applications of AC

lemma surj-implies-inj:  
 assumes  $f: f \in \text{surj}(X, Y)$  shows  $\exists g. g \in \text{inj}(Y, X)$   
 proof –  
   from  $f \text{ AC-Pi } [of\ Y\ \lambda y. f - \{y\}]$   
   obtain  $z$  where  $z: z \in (\prod_{y \in Y}. f - \{y\})$   
     by (auto simp add: surj-def) (fast dest: apply-Pair)  
   show ?thesis  
   proof  
     show  $z \in \text{inj}(Y, X)$  using  $z \text{ surj-is-fun } [OF\ f]$   
       by (blast dest: apply-type Pi-memberD  
             intro: apply-equality Pi-type f-imp-injective)

qed  
qed

Kunen's Lemma 10.20

**lemma** *surj-implies-cardinal-le*:

**assumes**  $f: f \in \text{surj}(X, Y)$  **shows**  $|Y| \leq |X|$

**proof** (*rule lepoll-imp-cardinal-le*)

**from**  $f$  [*THEN surj-implies-inj*] **obtain**  $g$  **where**  $g \in \text{inj}(Y, X)$  ..

**thus**  $Y \lesssim X$

**by** (*auto simp add: lepoll-def*)

qed

Kunen's Lemma 10.21

**lemma** *cardinal-UN-le*:

**assumes**  $K: \text{InfCard}(K)$

**shows**  $(\bigwedge i. i \in K \implies |X(i)| \leq K) \implies |\bigcup i \in K. X(i)| \leq K$

**proof** (*simp add: K InfCard-is-Card le-Card-iff*)

**have** [*intro*]:  $\text{Ord}(K)$  **by** (*blast intro: InfCard-is-Card Card-is-Ord K*)

**assume**  $\bigwedge i. i \in K \implies X(i) \lesssim K$

**hence**  $\bigwedge i. i \in K \implies \exists f. f \in \text{inj}(X(i), K)$  **by** (*simp add: lepoll-def*)

**with** *AC-Pi* **obtain**  $f$  **where**  $f: f \in (\prod i \in K. \text{inj}(X(i), K))$

**by** *force*

{ **fix**  $z$

**assume**  $z: z \in (\bigcup i \in K. X(i))$

**then obtain**  $i$  **where**  $i: i \in K \text{ Ord}(i) z \in X(i)$

**by** (*blast intro: Ord-in-Ord [of K]*)

**hence**  $(\mu i. z \in X(i)) \leq i$  **by** (*fast intro: Least-le*)

**hence**  $(\mu i. z \in X(i)) < K$  **by** (*best intro: lt-trans1 ltI i*)

**hence**  $(\mu i. z \in X(i)) \in K$  **and**  $z \in X(\mu i. z \in X(i))$

**by** (*auto intro: LeastI ltD i*)

} **note** *mems = this*

**have**  $(\bigcup i \in K. X(i)) \lesssim K \times K$

**proof** (*unfold lepoll-def*)

**show**  $\exists f. f \in \text{inj}(\bigcup \text{RepFun}(K, X), K \times K)$

**apply** (*rule exI*)

**apply** (*rule-tac c =  $\lambda z. \langle \mu i. z \in X(i), f ' (\mu i. z \in X(i)) ' z \rangle$*

**and**  $d = \lambda \langle i, j \rangle. \text{converse}(f' i) ' j$  **in** *lam-injective*)

**apply** (*force intro: f inj-is-fun mems apply-type Perm.left-inverse*) +

**done**

qed

**also have**  $\dots \approx K$

**by** (*simp add: K InfCard-square-eq InfCard-is-Card Card-cardinal-eq*)

**finally show**  $(\bigcup i \in K. X(i)) \lesssim K$  .

qed

The same again, using *csucc*

**lemma** *cardinal-UN-lt-csucc*:

$\llbracket \text{InfCard}(K); \bigwedge i. i \in K \implies |X(i)| < \text{csucc}(K) \rrbracket$

$\implies |\bigcup i \in K. X(i)| < \text{csucc}(K)$

**by** (*simp add: Card-lt-csucc-iff cardinal-UN-le InfCard-is-Card Card-cardinal*)

The same again, for a union of ordinals. In use,  $j(i)$  is a bit like  $\text{rank}(i)$ , the least ordinal  $j$  such that  $i:V_{\text{from}}(A,j)$ .

**lemma** *cardinal-UN-Ord-lt-csucc*:

$\llbracket \text{InfCard}(K); \bigwedge i. i \in K \implies j(i) < \text{csucc}(K) \rrbracket$   
 $\implies (\bigcup i \in K. j(i)) < \text{csucc}(K)$

**apply** (*rule cardinal-UN-lt-csucc [THEN Card-lt-imp-lt], assumption*)

**apply** (*blast intro: Ord-cardinal-le [THEN lt-trans1] elim: ltE*)

**apply** (*blast intro!: Ord-UN elim: ltE*)

**apply** (*erule InfCard-is-Card [THEN Card-is-Ord, THEN Card-csucc]*)

**done**

### 38.4 The Main Result for Infinite-Branching Datatypes

As above, but the index set need not be a cardinal. Work backwards along the injection from  $W$  into  $K$ , given that  $W \neq 0$ .

**lemma** *inj-UN-subset*:

**assumes**  $f: f \in \text{inj}(A,B)$  **and**  $a: a \in A$

**shows**  $(\bigcup x \in A. C(x)) \subseteq (\bigcup y \in B. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) 'y \text{ else } a))$

**proof** (*rule UN-least*)

**fix**  $x$

**assume**  $x: x \in A$

**hence**  $fx: f ' x \in B$  **by** (*blast intro: f inj-is-fun [THEN apply-type]*)

**have**  $C(x) \subseteq C(\text{if } f ' x \in \text{range}(f) \text{ then } \text{converse}(f) ' (f ' x) \text{ else } a)$

**using**  $fx$  **by** (*simp add: inj-is-fun [THEN apply-rangeI]*)

**also have**  $\dots \subseteq (\bigcup y \in B. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) ' y \text{ else } a))$

**by** (*rule UN-upper [OF fx]*)

**finally show**  $C(x) \subseteq (\bigcup y \in B. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) ' y \text{ else } a))$  .

**qed**

**theorem** *le-UN-Ord-lt-csucc*:

**assumes**  $IK: \text{InfCard}(K)$  **and**  $WK: |W| \leq K$  **and**  $j: \bigwedge w. w \in W \implies j(w) < \text{csucc}(K)$

**shows**  $(\bigcup w \in W. j(w)) < \text{csucc}(K)$

**proof** –

**have**  $CK: \text{Card}(K)$

**by** (*simp add: InfCard-is-Card IK*)

**then obtain**  $f$  **where**  $f: f \in \text{inj}(W, K)$  **using**  $WK$

**by** (*auto simp add: le-Card-iff lepoll-def*)

**have**  $OU: \text{Ord}(\bigcup w \in W. j(w))$  **using**  $j$

**by** (*blast elim: ltE*)

**note** *lt-subset-trans* [*OF - - OU, trans*]

**show** *?thesis*

**proof** (*cases W=0*)

**case** *True* — solve the easy 0 case

**thus** *?thesis* **by** (*simp add: CK Card-is-Ord Card-csucc Ord-0-lt-csucc*)

**next**

```

case False
then obtain x where x: x ∈ W by blast
have (⋃ x ∈ W. j(x)) ⊆ (⋃ k ∈ K. j(if k ∈ range(f) then converse(f) ‘ k else
x))
  by (rule inj-UN-subset [OF f x])
also have ... < csucc(K) using IK
proof (rule cardinal-UN-Ord-lt-csucc)
  fix k
  assume k ∈ K
  thus j(if k ∈ range(f) then converse(f) ‘ k else x) < csucc(K) using f x j
    by (simp add: inj-converse-fun [THEN apply-type])
qed
finally show ?thesis .
qed
qed
end

```

### 39 Infinite-Branching Datatype Definitions

**theory** InfDatatype **imports** Datatype Univ Finite Cardinal-AC **begin**

**lemmas** fun-Limit-VfromE =

Limit-VfromE [OF apply-funtype InfCard-csucc [THEN InfCard-is-Limit]]

**lemma** fun-Vcsucc-lemma:

**assumes** f: f ∈ D → Vfrom(A, csucc(K)) **and** DK: |D| ≤ K **and** ICK: Inf-Card(K)

**shows** ∃ j. f ∈ D → Vfrom(A, j) ∧ j < csucc(K)

**proof** (rule exI, rule conjI)

**show** f ∈ D → Vfrom(A, ⋃ z ∈ D. μ i. f ‘ z ∈ Vfrom(A, i))

**proof** (rule Pi-type [OF f])

**fix** d

**assume** d: d ∈ D

**show** f ‘ d ∈ Vfrom(A, ⋃ z ∈ D. μ i. f ‘ z ∈ Vfrom(A, i))

**proof** (rule fun-Limit-VfromE [OF f d ICK])

**fix** x

**assume** x < csucc(K) f ‘ d ∈ Vfrom(A, x)

**hence** f ‘ d ∈ Vfrom(A, μ i. f ‘ d ∈ Vfrom(A, i)) **using** d

**by** (fast elim: LeastI ltE)

**also have** ... ⊆ Vfrom(A, ⋃ z ∈ D. μ i. f ‘ z ∈ Vfrom(A, i))

**by** (rule Vfrom-mono) (auto intro: d)

**finally show** f ‘ d ∈ Vfrom(A, ⋃ z ∈ D. μ i. f ‘ z ∈ Vfrom(A, i)) .

**qed**

**qed**

**next**

**show** (⋃ d ∈ D. μ i. f ‘ d ∈ Vfrom(A, i)) < csucc(K)

**proof** (rule le-UN-Ord-lt-csucc [OF ICK DK])

**fix** d

```

assume  $d: d \in D$ 
show  $(\mu i. f \text{ ' } d \in Vfrom(A, i)) < csucc(K)$ 
proof (rule fun-Limit-VfromE [OF f d ICK])
  fix  $x$ 
  assume  $x < csucc(K) \text{ ' } f \text{ ' } d \in Vfrom(A, x)$ 
  thus  $(\mu i. f \text{ ' } d \in Vfrom(A, i)) < csucc(K)$ 
  by (blast intro: Least-le lt-trans1 lt-Ord)
qed
qed
qed

```

```

lemma subset-Vsucc:
   $\llbracket D \subseteq Vfrom(A, csucc(K)); |D| \leq K; InfCard(K) \rrbracket$ 
   $\implies \exists j. D \subseteq Vfrom(A, j) \wedge j < csucc(K)$ 
by (simp add: subset-iff-id fun-Vsucc-lemma)

```

```

lemma fun-Vsucc:
   $\llbracket |D| \leq K; InfCard(K); D \subseteq Vfrom(A, csucc(K)) \rrbracket \implies$ 
   $D \rightarrow Vfrom(A, csucc(K)) \subseteq Vfrom(A, csucc(K))$ 
apply (safe dest!: fun-Vsucc-lemma subset-Vsucc)
apply (rule Vfrom [THEN ssubst])
apply (drule fun-is-rel)

```

```

apply (rule-tac a1 = succ (succ (j  $\cup$  ja)) in UN-I [THEN UnI2])
apply (blast intro: ltD InfCard-csucc InfCard-is-Limit Limit-has-succ
  Un-least-lt)
apply (erule subset-trans [THEN PowI])
apply (fast intro: Pair-in-Vfrom Vfrom-UnI1 Vfrom-UnI2)
done

```

```

lemma fun-in-Vsucc:
   $\llbracket f: D \rightarrow Vfrom(A, csucc(K)); |D| \leq K; InfCard(K);$ 
   $D \subseteq Vfrom(A, csucc(K)) \rrbracket$ 
   $\implies f: Vfrom(A, csucc(K))$ 
by (blast intro: fun-Vsucc [THEN subsetD])

```

Remove  $\subseteq$  from the rule above

```

lemmas fun-in-Vsucc' = fun-in-Vsucc [OF - - - subsetI]

```

```

lemma Card-fun-Vsucc:
   $InfCard(K) \implies K \rightarrow Vfrom(A, csucc(K)) \subseteq Vfrom(A, csucc(K))$ 
apply (frule InfCard-is-Card [THEN Card-is-Ord])
apply (blast del: subsetI
  intro: fun-Vsucc Ord-cardinal-le i-subset-Vfrom
  lt-csucc [THEN leI, THEN le-imp-subset, THEN subset-trans])
done

```

```

lemma Card-fun-in-Vsucc:
   $\llbracket f: K \rightarrow V_{\text{from}}(A, \text{csucc}(K)); \text{InfCard}(K) \rrbracket \implies f: V_{\text{from}}(A, \text{csucc}(K))$ 
by (blast intro: Card-fun-Vsucc [THEN subsetD])

lemma Limit-csucc:  $\text{InfCard}(K) \implies \text{Limit}(\text{csucc}(K))$ 
by (erule InfCard-csucc [THEN InfCard-is-Limit])

lemmas Pair-in-Vsucc = Pair-in-VLimit [OF - - Limit-csucc]
lemmas Inl-in-Vsucc = Inl-in-VLimit [OF - Limit-csucc]
lemmas Inr-in-Vsucc = Inr-in-VLimit [OF - Limit-csucc]
lemmas zero-in-Vsucc = Limit-csucc [THEN zero-in-VLimit]
lemmas nat-into-Vsucc = nat-into-VLimit [OF - Limit-csucc]

lemmas InfCard-nat-Un-cardinal = InfCard-Un [OF InfCard-nat Card-cardinal]

lemmas le-nat-Un-cardinal =
  Un-upper2-le [OF Ord-nat Card-cardinal [THEN Card-is-Ord]]

lemmas UN-upper-cardinal = UN-upper [THEN subset-imp-lepoll, THEN lepoll-imp-cardinal-le]

lemmas Data-Arg-intros =
  SigmaI InlI InrI
  Pair-in-univ Inl-in-univ Inr-in-univ
  zero-in-univ A-into-univ nat-into-univ UnCI

lemmas inf-datatype-intros =
  InfCard-nat InfCard-nat-Un-cardinal
  Pair-in-Vsucc Inl-in-Vsucc Inr-in-Vsucc
  zero-in-Vsucc A-into-Vfrom nat-into-Vsucc
  Card-fun-in-Vsucc fun-in-Vsucc' UN-I

end
theory ZFC imports ZF InfDatatype
begin

end

```