Research Staff Forum Meeting

Wednesday 21 November 2018, 12noon, FW11

Agenda

Membership
Daniel Bates (DB)  Yousun Ko (YK)
Alan Blackwell (AFB)  Marwa Mahmoud (MMAM)
Claire Chapman (CLC) (Secretary)  Andy Rice (ACR)
Matt Danish (MRD) (Chair)  Zohreh Shams (ZS)
Nathaniel Filardo (NF)  James Sharkey (JPS)
Heidi Howard (HH)  Caroline Stewart (CS)
Ekaterina Kochmar (EK)
Angeliki Koutsoukou-Argyraki (AKA)

1. Apologies
   Alan Blackwell
   Zohreh Shams

2. Welcome to new members
   Heidi, Nathaniel, Yousun and Angeliki

3. Minutes of last minutes
   The minutes from the last meeting held on 20 June 2018 are attached.
   (2018-11-03)

4. Report on actions from last meeting
   i. RA guidelines on applying for travel/conference funds in exceptional circumstances
      To include this sentence to the Induction Checklist ‘Requests for travel funds can be made at any time to the Department Secretary and awarded at the discretion of the Department’.
      Action: CLC
   ii. Finance Training for RAs and guidelines on Research Grants for web
      Do forum members agree with the Financial Guidelines document? (2018-11-4ii)
iii. **Cycle Parking**  
Feedback from the HoD team.  

**Action: CS**

iv. **How to improve the Progression of Post-docs beyond their current position in the Department**  
To set up a Wiki page to include all the resources available to research staff.  

**Action: Forum Member**  
To add the following links on the committee page: -

- Postdocs of Cambridge Society (PdOC)  
- Office of Postdoctoral Affairs (OPdA)  
- Personal and Professional Development (PPD) training programs  
- Career service for postdocs  
- Postdoc induction event  
- Departmental postdoc mentoring scheme  
- Departmental postdoc social tea event  
- Lecturing opportunities  
- Entrepreneurial opportunities (EPOC)

**Action: CLC**

v. **Suggested links to add to the committee page for resources for research assistants**

**Action: Forum Members**

vi. **Admissions Selection help for Directors of Studies**  
To circulate the list of attendees to the DoS forum and to provide a list to Reception.  

**Action: CLC**

vii. **Revising the naming of the Forum and its statement of purpose**  
To redirect previous committee page to new page.  

**Action: CLC**

viii. **Post Doc training fund**  
Update on current guidelines.  

**Action: CS**

ix. **Researcher Development Fund**  
A list of yearly expenditure of the Research Forum to Lise Gough.  

**Action: CLC**  
To advertise language training to Research Staff
**Action: CS**

x. Lecturing opportunities for RAs, and what the Department might do to facilitate more of them.

A wiki page:- https://www.vle.cam.ac.uk/course/view.php?id=124481 has been set up on the Supervisor Matchmaking site offering the chance for RAs who are interested in lecturing opportunities to enter details of the course(s) they would like to guest lecture and to upload a copy of their CV. This will be monitored by Dinah Pounds.

Do Forum Members have any comments?

5. **Voting for Post Docs on governing body**
   Update from MRD

6. **Any other business**

7. **Date of next meeting**
   To be held in Lent Term
Minutes of the meeting of the Research Staff Forum held at 12 noon on Wednesday 20 June 2018, in Room FW11, William Gates Building

Present: Daniel Bates  
Ekaterina Kochmar  
Claire Chapman (Secretary)  
Marwa Mahmoud  
Stephen Kell (Chair)  
Caroline Stewart  
Andrea Kells  
Noa Zilberman

1. Apologies  
Alan Blackwell  
Matt Danish  
Alice Hutchings  
Andy Rice  
Zohreh Shams  
James Sharkey

2. Minutes of last minutes  
The minutes from the last meeting held on 28 March 2018 were approved.

3. Report on actions from last meeting

i. RA guidelines on applying for travel/conference funds in exceptional circumstances  
Details of the Wiseman Award has been added to the Induction Checklist and last year’s recipients are now listed on the Wiseman Award web page.

CS said a call for nominations for the Wiseman Award is circulated to PIs every July.

A link to the Cambridge Philosophical Society and information that it can fund travel for members has been added to the Induction Checklist.

As individuals are unable to nominate themselves for the award as it is dependent on nominations from their PI, it was agreed to add this sentence to the Induction Checklist ‘Requests for travel funds can be made at any time to the Department Secretary and awarded at the discretion of the Department’.

Action: CLC

ii. Finance Training for RAs and guidelines on Research Grants for web  
SRK reported that a productive meeting took place and all the suggestions and comments will be incorporated into the working document. It was agreed that the final version will be circulated to UTOs for their comments.

Action: ARK
iii. **Cycle Parking**  
The proposals are still awaiting feedback from the Head of Department Team and this item will be kept on the agenda  

**Action: CS**

iv. **How to improve the Progression of Post-docs beyond their current position in the Department**  
NZ gave a brief summary of the results from the Career Progression Survey which was circulated in May 2018. Survey results attached (2018-06-3iv)  

Replies were received from all research groups with the majority of replies from Post Docs who have been in post for 2 years or more. 

One of the key outcomes received was: -  

- Research Staff were interested in the setting up a Wiki page to include all the resources available to research staff and to include a mailing list for academic jobs. A volunteer from the forum is asked to come forward to initially set up the Wiki. It was agreed that a Wiki would be more suitable rather than a webpage as it will crowd source extra content  

**Action: Forum Member**  
In the short term, it was agreed to add links on the committee website regarding related resources.  

**Addendum:**  
NZ submitted the following resources to include on the webpage and Wiki: -  

- Postdocs of Cambridge Society (PdOC)  
- Office of Postdoctoral Affairs (OPdA)  
- Personal and Professional Development (PPD) training programs  
- Career service for postdocs  
- Postdoc induction event  
- Departmental postdoc mentoring scheme  
- Departmental postdoc social tea event  
- Lecturing opportunities  
- Entrepreneurial opportunities (EPOC)  

NZ noted that one concern is that it doesn't provide a lot of resources for research assistants and this item will be put on agenda for discussion at the next forum meeting.  

**Action: CLC**

v. **Tracking the career path of past student alumni**  
Suggestion of AH to hold a talk on the new Data Protection Act talk and how it will affect research. AFB submitted the following report: -  

A session on Issues in online research and observation of human participants is presented by Cecilia Mascolo already in the Lent Term Research Skills Programme and is open to all forum members. Cecilia has suggested that AH covers the course next year, if she would like her own presentation to avoid
overlap of material.

Due to AFB and AH not being present, it was agreed to keep this on the agenda for the next meeting.

Action: CLC

vi. **Admissions Selection help for Directors of Studies**

A list of attendees who attended the Undergraduate Admissions Training course run by Cecilia Mascolo and Andy Rice on 11 May have been put in reception and the list will also be included on the agenda of the DoS forum in July.

Action: CLC

4. **Revising the naming of the Forum and its statement of purpose**

The name of the Forum has been changed to Research Staff Forum on the committee page and the remit has been revised. SRK asked if the previous committee page can redirect to the new page.

A list of all Research Assistants in the Department (excluding PhD students) has been sent to JPS.

Action: CLC

5. **Post Doc training fund**

SRK sent a paragraph to ARK outlining his concerns why research money should not be used to fund training. ARK said that as University Policy mandates this, we can only register our objection. A list of funders has already been identified who will not meet this cost and this includes Wellcome, The Leverhulme Trust and CRUK. This item will be kept on the agenda for ARK to monitor and report back at the next meeting.

Action: ARK

6. **Researcher Development Fund**

Lise Gough submitted the following report:

‘With effect from 2016, a proportion of the Researcher Development budget was set aside for the Research Staff Forum which was £500.00. As the budget for the overall Researcher Development has overspent this year, we will try to negotiate extra funds for 2018-19. It would be helpful if a list of activities from the Research Staff Forum is relayed to the Researcher Development Committee of the School of Technology to justify the allocation of an increased budget’.

CLC will send a list of yearly expenditure to Lise Gough.

Action: CLC

CS said that the majority of the Researcher Development Fund is spent on funding Language Training at The Language Centre. CS said that Language Training is available for Research Staff as well as PhD Students. As this was not known, it was agreed to advertise the language training to Post Docs.

Action: CS
7. **Lecturing opportunities for RAs, and what the Department might do to facilitate more of them.**

Forum members discussed the TMC comments. It was agreed that ACS courses are more readily susceptible of Post Docs lecturing. CS said this may be down to the TEF. There are requirements for student satisfaction and there has been feedback that students’ expectations are that they are lectured by a University Teaching Officer.

Forum members had the following questions and SRK will draft a response and submit to the TMC for their meeting on 29 June:

- Deliver part of a lecture – does this mean a timed slot as part of a lecture or a number of lectures in the lecture series?
- Check exam questions – does this mean checking the exam questions or marking of scripts?
- Be involved in practical's – does this mean ticking and demonstrating?

Action: SRK

8. **Replacement of Chair for 2018-19**

CS thanked SRK for his work as Chair of the forum as he will be stepping down as Chair on 31 July 2018.

A new Chair is now sought from current forum members and anyone interested, should contact CS and CLC.

It was also noted that AH will be stepping down from the Forum due to her appointment as a University Lecturer and a new member from the Security Group is sought.

Action: CLC

9. **Any other business**

None.

10. **Date of next meeting**

To be held in Michaelmas Term
Research Staff Career Progression Survey, May 2018

Summary

Key outcomes:

- Research staff is most interested in setting up a Wiki page with all the resources related to postdocs and in a mailing list for academic jobs.
- Research staff is least interested in entrepreneurial opportunities and events with industry.
- Research staff is most familiar with PdOC, OPdA and the career service.
- Research staff is least familiar with lecturing and entrepreneurial opportunities.
- Many research staff comments raised challenges in becoming independent researchers, with a focus on grant applications.

Interest in services and events:

<table>
<thead>
<tr>
<th>Service/Event</th>
<th>Interest</th>
</tr>
</thead>
<tbody>
<tr>
<td>Entrepreneurial opportunities</td>
<td>30.4%</td>
</tr>
<tr>
<td>Show and tell research events (industrial and postdoc tailored)</td>
<td>39.1%</td>
</tr>
<tr>
<td>Social and networking events (industrial)</td>
<td>43.5%</td>
</tr>
<tr>
<td>Special theme discussion panels (e.g. successful fellowship applications)</td>
<td>47.8%</td>
</tr>
<tr>
<td>Show and tell research events (academic)</td>
<td>60.9%</td>
</tr>
<tr>
<td>Social and networking events (academic)</td>
<td>60.9%</td>
</tr>
<tr>
<td>Lecturing opportunities</td>
<td>60.9%</td>
</tr>
<tr>
<td>Travel funding opportunities</td>
<td>60.9%</td>
</tr>
<tr>
<td>Mailing list for academic job ads</td>
<td>65.2%</td>
</tr>
<tr>
<td>Wikipage including all university level and departmental resources related to postdocs</td>
<td>78.3%</td>
</tr>
</tbody>
</table>
Familiarity with services and events:

<table>
<thead>
<tr>
<th>Service</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lecturing opportunities</td>
<td>35.0%</td>
</tr>
<tr>
<td>Entrepreneurial opportunities (EPOC)</td>
<td>35.0%</td>
</tr>
<tr>
<td>Departmental postdoc mentoring scheme</td>
<td>50.0%</td>
</tr>
<tr>
<td>Postdoc induction event</td>
<td>55.0%</td>
</tr>
<tr>
<td>Departmental postdoc social tea event</td>
<td>65.0%</td>
</tr>
<tr>
<td>Personal and Professional Development (PPD) training programs</td>
<td>65.0%</td>
</tr>
<tr>
<td>Career service for postdocs</td>
<td>70.0%</td>
</tr>
<tr>
<td>Office of Postdoctoral Affairs (OPdA)</td>
<td>75.0%</td>
</tr>
<tr>
<td>Postdocs of Cambridge Society (PdOC)</td>
<td>90.0%</td>
</tr>
</tbody>
</table>

Further suggestions:

- Engineering is exploring research career paths, which looks like it would including funding independent researchers and providing support for grant applications. Here, we’re not allowed to apply for grant funding.
- Explicit and deliberate support for post-docs to work towards being PIs on grants, e.g. after being a CO-I for a couple of grants certified to be a PI going forwards (with some support from a UTO initially).
- Current artificial distinction between research staff and UTOs with respect to being PIs is unhelpful. How can we demonstrate independence if we can't be PIs.
- Implement a "match-making" service to match postdocs with organisations that require researchers (academic, governmental, private) to help postdocs progress in their careers. We don't want to be eternal postdocs depending on temporary contracts for ever.
- The department needs to provide a progression path as an independent researcher. Currently non-UTOs can not apply to grants without a UTO, and even funding they receive is not attributed (or sometime - accessible) to them. The department therefore actively holds back pdocs instead of encouraging career progression.
- The department should set to UTOs targets for postdocs being involved in publications - unlike PhD students, postdocs are set to do "important" and "substantial" work that does not result in publications - leading for postdocs having to take a 2nd position after UCAM.
- The most common advice to UCAM pdoc is to find a job away from UCAM.
- The two things for academic careers that help most and are currently difficult: getting grants, getting lecturing experience
- I think providing an integrate webpage with links to all the services is very good idea

There were no expressions of interest in assisting to organize activities.

Meta data:

Response rate: ~24% (24 out of ~99)
General Financial Guidance for Research Staff

When you find a funder or a scheme that you would like to apply for you need to let the Finance office know by e-mailing research-grants@cl.cam.ac.uk or visit GE05. You will need to know and have to hand the funder, the scheme, the guidance for applicants and when the deadline is and if there are any collaborating partners (other University Departments or External). You should also request any University Teaching Officer (UTO) and Head of Department (HoD) permissions (if in doubt ask the Finance Office). This should be notified to the Finance Office at least 3 weeks before the sponsor deadline. PLEASE READ THE SCHEME NOTES BEFORE CONTACTING US.

Who can apply and for what

Senior Research Associates (SRAs)

<table>
<thead>
<tr>
<th>Funder - Projects</th>
<th>PI</th>
<th>Co-I</th>
<th>Researcher Co-I</th>
<th>UTO Support Needed</th>
<th>HOD approval</th>
</tr>
</thead>
<tbody>
<tr>
<td>EPSRC</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>DARPA</td>
<td>No</td>
<td>Yes</td>
<td>N/A</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Industry</td>
<td>Yes</td>
<td>N/A</td>
<td>N/A</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

Funder - Fellowships

Yes | N/A | N/A | Yes

Research Associates (RAs)

<table>
<thead>
<tr>
<th>Funder - Projects</th>
<th>PI</th>
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<tr>
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<td>No</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Industry</td>
<td>No</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Funder - Fellowships

Yes | N/A | N/A | Yes | Yes

Definitions

Principal Investigator (PI)

A PI is an established member of staff who would be the lead researcher on a research grant. PIs are UTOs – individuals holding an established office of teaching and/or research in the University, e.g. Lecturer, Reader, Professor.

Co-Investigator (Co-I)

*A Co-I would usually be an established member of staff who would assist the PI in the management and leadership of the project. RAs who hold a Royal Society or RAEng fellowship aimed at later career stages which requires approval from the Sponsor can also be co-investigators as long as the project does not end after their fellowship.
Researcher Co-Investigator

This is a category that is used on EPSRC grants and it usually applies to SRAs/RAs. In order to apply as researcher co-investigators on JeS the staff member needs to show that they have made a substantial and clearly identified contribution to the development of an application and that they will be employed on the project for a significant proportion of their time (normally in excess of 50% of contracted hours), if it is funded. It is the expectation that the member of staff will be employed on the grant for the duration of the award.

Senior Research Associates (SRA)

An SRA is a researcher with at least three years' experience as a postdoctoral Research Associate, or equivalent. Most SRAs have full operational responsibility for a major project or research facility and some may hold some research grants in their own right. They will have demonstrated a high level of competence and an independent standing as researchers. An SRA is a member of staff who does not have an established position and the length of time they spend at the University depends on the Research grants they are paid from. SRAs cannot be PIs on Research Council grants as their salaried position does not extend beyond the grant that they are paid from. However if an SRA has a Fellowship please check with the Finance Office first to see if you can apply.

SRAs can apply for specific European Research Council (ERC) grants, with UTO and HoD permission, but please check eligibility on the website. The SRA will also need to be employed for the duration of the award.

The promotion criteria from RA to SRA can be found on the departmental webpages.

Sources of funding

1. UK Research and Innovation (UKRI) grants

UKRI consists of EPSRC, BBSRC, MRC, ESRC, NERC, AHRC, STFC, Innovate UK and Research England. These funding bodies release calls throughout the year offering grants to fund fellowships, collaborative projects and other large scale projects. Please refer to the following website for information about particular funding calls. https://www.ukri.org/

JeS System Registration

If you would like to apply for a research grant with funding from UKRI you will need to register with JeS. (https://je-s.rcuk.ac.uk/Jes2WebLoginSite/login.aspx) Your registration will be submitted to the Research Council and then the Research Operations Office (ROO) will contact the department to confirm that they are aware of your request, please let the Finance Office know if you are applying for a JeS account and why, this will save time in approving your request.

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1 http://www.cl.cam.ac.uk/local/personnel/promotiontosra.html
2. Charities and Trusts

The Royal Society and Leverhulme Trust offer funding for RA’s and SRA’s. There are fellowships, travel grants and collaborative projects. Please refer to the sponsor’s website for more information about eligibility for the various calls. ([https://royalsociety.org/](https://royalsociety.org/)), ([https://www.leverhulme.ac.uk/](https://www.leverhulme.ac.uk/)). Be aware there are limits on most Charity funding for stipends and any shortfall would need to be found by your UTO before applying.

3. UK Government Departments

There are various opportunities to apply for funding from the UK Government. The department has applied for funding in the past from the Home Office and the Department of Education. If you do become aware of any opportunities please let the Finance Office know and send the web link to the scheme as soon as possible because these grants often have special conditions.

4. Applying for EC/ERC funding

The Funding from the European Commission comes under the H2020 Programme. This consists of four main types of grants: ERC, Multi-beneficiary projects, Marie Curies and European Innovation Council. Each of these types of grants have many different possibilities depending on the experience and project area. You should consult the H2020 website for further information. ([https://ec.europa.eu/programmes/horizon2020/](https://ec.europa.eu/programmes/horizon2020/))

SRAs can apply for ERC and other EC grants. ERC grants are similar to UKRI fellowships, please check the eligibility on the website you will also require UTO and HoD permission.

5. Applying for US Funding

Another source of funding that the department applies for is from America. Applications are usually submitted to DARPA or other Federal agencies. The department would apply as a subcontractor, meaning that a US company/institution would be the main award holder and we would receive the funds through them. American applications are very time consuming and complicated so please alert the finance office as soon as you hear about a funding opportunity.

There are some ‘invitation only’ grants which would need to be bought to the attention of the Finance Office as soon as possible.

**Please note that these are general guidelines and you must liaise with the Finance Office to check your eligibility for any research grant/fellowship.**

**Deadlines**

If you are thinking about applying for funding then let the Finance Office know as early as possible and not less than 3 full weeks before the deadline. From the 1 October 2018 the Research Operations Office (ROO) require 5 working days to submit applications, once the Department has approved for submission.

**The Department requires at least three weeks’ notice if a costing is needed for a Research proposal.**
Proposal Financials

1. **X5 Costing**

   This is the costing tool that the University of Cambridge uses to determine the cost and price of a grant proposal. The X5 will be done by the Finance Office and will need to go through departmental approval before being submitted to the ROO who require **5 working days before the deadline**.

2. **Full Economic Costing (fEC)**

   fEC was introduced in September 2005 to ensure that universities were monitoring the true cost of their research and where possible, recover that cost.

3. **New funders**

   If you are applying to a funder that has not been used by the University before, then a new funder form will need to be completed by the finance office and then passed on to ROO to be added to X5. This can take from a few days to a week or more so you should include this in your timescale. If it is an international funder then it could take longer.

4. **Directly incurred Costs**

   Directly Incurred costs are costs that are explicitly identifiable arising from the conduct of the research. These are usually staff, other costs/consumables, equipment and travel.

   **Staff costs**: These are costs for Research Assistants (Grade 5), Research Associates (Grade 7) and Senior Research Associates (Grade 9). These costs include pension contributions, national insurance and any annual increments that might be due.

   **Students/UROP**: Generally PhD students should not be part of a budget on Research Grants. Please talk to the finance office for further details if you need to budget for a student.

   **Interns**: Cannot be applied for on UKRI grants and are problematic on EU grants if these are integral to the research please speak to the Finance Office. Interns would be paid through the Temporary Employment Service (TES).

   **Travel**: These are funds that allow the researcher to participate in conferences and meetings at home and overseas. You should always over-budget on travel. Please check Conference websites for Conference Registration, flight, accommodation, local travel and subsistence which must be in-line with University Travel and Subsistence Policy [https://www.finance.admin.cam.ac.uk/finance-staff/accounts-payable/expense-claims/subsistence-rates](https://www.finance.admin.cam.ac.uk/finance-staff/accounts-payable/expense-claims/subsistence-rates) using a Raven Password.

   **Other costs/Consumables**: Again, it is best to over-budget rather than under-budget. This category includes Professional Development which is non-negotiable and costs £500 per annum per staff member and any other items under £10,000. For further information about this please speak to the Finance Office.

   **Equipment costs**: For proposals to EPSRC and other UK Research Councils. If a piece of equipment costs between £10,000 and £135,668 (inc vat – more than this requires a formal tender process) then the School of Technology will need to fund half of the equipment cost. Please speak with the Finance
Office if you plan on purchasing a piece of equipment as there are specific procedures that must be adhered to.

5. Overheads

These are essential costs that are needed to do the project. They are also known as indirect and estate costs. Some funders that don’t pay fEC may have a fixed overhead rate. HoD approval should be sought if funding is being applied for that does not include fEC.

**Indirect Costs:** These are costs that are a necessary part of the costs of undertaking a project. These costs include Finance, Human Resources, Legal, Library Facilities, Registry, Research Operations Office and the University Information Services.

**Estate costs:** These are costs shared by other activities and projects, based on estimates, and do not represent actual costs on a project-by-project basis, for instance 10% of electricity, 5% of cleaning etc. They cannot be easily identified for one particular project.

**PI Time:** The Department requires that you put 20% of the PI’s time on a grant. If less than this is required then you need to get permission from the Head of Department and if under 10% would need justification from HoD.

6. Other Directly Allocated Costs

This costing type is mainly used by the Research Councils and the following categories are used:

**Computer Officer/ Pooled Labour:** In the department, these are the people who assist with any technical/network issues that you may incur over the duration of the grant. When applying to Research Councils, e.g. EPSRC then 10% of a Computer Officer’s time should be included in your estimation. This will be done by the finance office when the X5 is produced.

**Research Facilities:** These can be major or small and are put on the X5 as directly allocated costs. In the department there is the Cambridge Experimental Use Machines Facility (Caelum).

7. Writing the JoR

A Justification of Resources (JoR) is required for all applications to the Research Councils. The JoR is where all of the costs in the proposal need to be justified. What staff? Why? What travel? Where? Why? What consumables? Why? If a JoR is not completed clearly and accurately then the proposal may be returned by the Research Council. See the following link from the EPSRC website https://epsrc.ukri.org/funding/applicationprocess/preparing/writing/jor/.

8. Letters of Support from Head of Department

If you need a Letter of Support from the Head of Department, notify the HoD PA/Finance Office as soon as possible. These letters are generally needed for Fellowship applications and specific Research Council calls. For any letter of support that needs to be from the VC or PVC then notify the Finance Office immediately as the request needs to be submitted 15 working days before the sponsor’s deadline.

9. Collaborating with other departments

If you are planning to collaborate with other departments across the University and this department is the lead then the X5 costing will need to be started by the Finance Office, and the collaborating
departments will be asked to add their costs to that X5. If the department is not the lead then the
Finance Office need to wait to be asked to add the department’s figures. Therefore it is the
PI/researcher’s responsibility to inform the Finance Office of any proposals involving other
departments.

10. Collaborating with other institutions

If you are collaborating with other institutions/partners these institutions will need to be added to X5
if they are not already there. The ROO add new external partners and this is done through filling out
a form, which the Finance Office will do. However, we may need assistance with some of the
questions. We require the partner costs, to add to our X5, in similar format. If we are not leading and
we are collaborating with another partner then we would only do a costing for the Cambridge costs
but also do need a copy of the collaborators costs and application.

11. Industrial funding

Many companies provide sponsorship for research projects, either wholly, or as a contribution to a
proposal submitted to another funder. This contribution can either be financial, or in-kind – where the
company contribute staff time, access to facilities, host staff visits and internships, sit on project
advisory boards etc. If you are talking to a company that is interested in directly funding research,
please talk to the Finance Office before providing the company with any figures or other financial
information.

Donations

A company may approach you offering a donation to the department. Any donation that is over
£100,000 needs to be set up as a Research Grant and will need intervention by CUDAR and the Vice
Chancellor. You should be aware that the University policy on donations is that there is a 30% indirect
cost contribution on any staff costs allocated to these funds. Please speak to the Finance Office as
soon as possible about any donations and we will advise you accordingly. These funds would be held
by the UTO.

Intellectual Property and Tech Transfer

The University’s IP Policy assigns copyright to the creator\(^2\). This explicitly includes software copyright
allowing academics to choose open source as a path for dissemination. However, the University’s
policy is overridden by any agreements that academics (essentially the PI on each project) make with
research sponsors. Increasingly, contracts proposed by commercial sponsors are including software
copyright within their definition of intellectual property, therefore, laying claim to such copyright.
Agreeing to such terms will compromise open source projects. You should be vigilant in looking at
such agreements to make sure that you are not entering them without due consideration. The
Department has dedicated contracts specialists within ROO to help with negotiating any such
agreements – please contact the Finance Office for more information.

Abbreviations and Acronyms

**Funders:**
AHRC – Arts and Humanities Research Council
ATI – Alan Turing Institute
BBSRC - Biotechnology and Biological Sciences Research Council
DARPA - Defence Advanced Research Projects Agency, USA
DfE – Department for Education
EC – European Commission
EPSRC - Engineering and Physical Sciences Research Council
ERC – European Research Council
ESRC - Economic and Social Research Council
MRC – Medical Research Council
NERC - Natural Environment Research Council
NIH – National Institute of Health, USA
STFC- Science and Technology Facilities Council
RAEng - Royal Academy of Engineering
RCUK – Research Councils United Kingdom (now UKRI)
UKRI – United Kingdom Research and Innovation

**Costing terminology:**
X5 – University Costing Tool
CO – Computer Officer
Co-I - Co-Investigator
CUFS – Cambridge University Finance System
DA – Directly Allocated
DI – Directly Incurred
fEC – Full Economic Costing
FTE – Full Time Equivalent
HoD – Head of Department
JeS - Joint electronic Submissions system
PI – Principal Investigator
SRA – Senior Research Associate
RA – Research Associate
RAsst – Research Assistant
ROO – Research Operations Office
RSO – Research Strategy Office
SRF – Small Research Facility
MRF – Major Research Facility
UROP – Undergraduate Research Opportunities Programme