# Higher connectivity in linear λ-terms as 3-valent graphs

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an update on work-in-progress w/Jason Reed

also showcasing some tools by George Kaye

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### [Background] A few views on linear & planar λ-calculus



 $\lambda x.\lambda y.\lambda z.x(yz)$   $\lambda x.\lambda y.\lambda z.(xz)y$ 

 $x,y \vdash (xy)(\lambda z.z) \quad x,y \vdash x((\lambda z.z)y)$ 

### Classical lambda calculus

Raw syntax:

Rewriting rules:

$$(\lambda x.t_1) t_2 \rightarrow_{\beta} t_1[t_2/x]$$
  
 $t \rightarrow_{\eta} \lambda x.(t x)$ 

 $\alpha$ -equivalence: names are just placeholders

$$\lambda x.\lambda y.x (y x) \equiv_{\alpha} \lambda y.\lambda x.y (x y) \equiv_{\alpha} \lambda a.\lambda b.a (b a)$$

### Linear lambda calculus

free

bound

An abstraction  $\lambda x.t$  is said to **bind** the occurrences of x in t

A variable which is not bound by any  $\lambda$  is said to be **free** 

A term is called **linear** if every free or bound variable occurs exactly once

 $\lambda x.\lambda y.\lambda z.x (y z)$   $\lambda x.\lambda y.\lambda z.(x z) y$ *linear! non-linear!* 

Fun fact: β-normalization of linear terms is PTIME-complete (Mairson 2004)

### Planar lambda calculus

(cf. Abramsky, "Temperley-Lieb Algebra: From Knot Theory to Logic & Computation via QM")

A (closed) linear term is called **ordered** (or **planar**) if every variable is used in the order it is bound...

 $\lambda x. \lambda y. \lambda z. x (y z) \qquad \lambda x. \lambda y. \lambda z. (x z) y$ 

ordered!

non-ordered!

(The reason why ordered=planar will become clear later.)

Open problem: how hard is  $\beta$ -normalization of ordered linear terms?

### Linear lambda calculus, take #2

(cf. Hyland, "Classical lambda calculus in modern dress")

Untyped linear terms may be naturally organized into a symmetric operad

•  $\Lambda(n) = \text{set of } \alpha$ -equivalence classes of linear terms in context  $x_1, \dots, x_n \vdash t$ 

 $\begin{array}{c|c} & \Gamma \vdash t_1 & \Delta \vdash t_2 & \Gamma, x \vdash t_1 \\ \hline x \vdash x & \Gamma, \Delta \vdash t_1 t_2 & \Gamma \vdash \lambda x. t_1 \end{array}$ 

• •:  $\Lambda(m+1) \times \Lambda(n) \rightarrow \Lambda(m+n)$  defined by (linear) substitution

 $\Theta \vdash t_2 \quad \Gamma, \mathbf{X}, \Delta \vdash t_1$  $\Gamma, \Theta, \Delta \vdash t_1[t_2/\mathbf{X}]$ 

• symmetric action  $S_n \times \Lambda(n) \rightarrow \Lambda(n)$  defined by permuting the context

Γ,y,x,∆⊢t

#### Γ,x,y,∆⊢t

Untyped ordered terms form a plain operad: just drop the symmetric action

### Linear lambda calculus, take #3

(cf. Lambek, "Deductive systems and categories")

<u>Typed</u> linear terms modulo βη may also be seen as a presentation of the *free closed symmetric multicategory* over a set of atomic types

 $\Gamma \vdash t : A \multimap B$  $\Delta \vdash u : A$  $\Gamma, x : A \vdash t : B$  $x : A \vdash x : A$  $\Gamma, \Delta \vdash t u : B$  $\Gamma \vdash \lambda x.t : A \multimap B$ 

(A multicategory M is **closed** if for any pair of objects A,B there is a binary map

$$A \rightarrow B, A \xrightarrow{eval} B$$

together with a family of bijections on multi-hom-sets

$$\lambda : M(\Gamma, A ; B) \cong M(\Gamma ; A \multimap B)$$

whose inverse is the operation of post-composition with eval.)

### Linear lambda calculus, take #4

(cf. Scott, "Relating theories of the  $\lambda$ -calculus")

Combining takes #2 and #3, untyped linear terms may be interpreted as *endomorphisms of a reflexive object* in a closed symmetric (2-)multicategory.

By "reflexive object" we mean (with a bit of ambiguity) an object U equipped with an isomorphism/section/adjunction to its space of endomorphisms:

$$U \xrightarrow[lam]{app} U \longrightarrow U$$

With the most liberal definition, the 2-cells app  $\circ$  lam  $\Rightarrow$  id and id  $\Rightarrow$  lam  $\circ$  app model  $\beta$ -reduction and  $\eta$ -expansion.

### From reflexive objects to HOAS

Representation of untyped terms using higher-order abstract syntax (in Twelf):

u : type. app :  $u \rightarrow (u \rightarrow u)$ . lam : (u -> u) -> u.t1 : u = lam [x] lam [y] lam [z] app x (app y z).t2: u = lam[x] lam[y] lam[z] app (app x z) y.t3 : u -> u -> u = [x] [y] app (app x y) (lam [z] z).t4 : u = lam [x] lam [y] app x (app y x).t5 : u -> u = [x] lam [y] x.

### From reflexive objects to string diagrams

A compact closed category is a particular kind of closed category in which

$$A \multimap B \approx B \otimes A^*$$
.

By interpreting reflexive objects in the graphical language of compact closed (2-)categories, we derive a graphical representation for linear terms.



### From reflexive objects to string diagrams

Some examples:



lam [x] lam [y] lam [z] app x (app y z)





lam [x] lam [y] lam [z] app (app x z) y

[x] [y] app (app x y) (lam [z] z)

To play more with these kinds of diagrams, try: https://www.georgejkaye.com/fyp/visualiser.html https://www.georgejkaye.com/fyp/gallery

### An idea from the folklore

Representing  $\lambda$ -terms this way is an old idea (just under different names)...

Knuth (1970), "Examples of Formal Semantics"



corresponding HOAS:

[x] app (lam [y] lam [z] app y z) x

Statman (1974), "Structural complexity of proofs"



corresponding HOAS:

lam [x] lam [y] lam [z] app x (app y z)



### Some enumerative connections

family of rooted maps	family of lambda terms	sequence	OEIS
trivalent maps (genus g≥0)	linear terms	1,5,60,1105,27120,	A062980
planar trivalent maps	ordered terms	1,4,32,336,4096,	A002005
bridgeless trivalent maps	unitless linear terms	1,2,20,352,8624,	A267827
bridgeless planar trivalent maps	unitless ordered terms	1,1,4,24,176,1456,	A000309
maps (genus g≥0)	normal linear terms (mod ~)	1,2,10,74,706,8162,	A000698
planar maps	normal ordered terms	1,2,9,54,378,2916,	A000168
bridgeless maps	normal unitless linear terms (mod ~)	1,1,4,27,248,2830,	A000699
bridgeless planar maps	normal unitless ordered terms	1,1,3,13,68,399,	A000260

O. Bodini, D. Gardy, A. Jacquot (2013), Asymptotics and random sampling for BCI and BCK lambda terms, TCS 502: 227-238
 Z, A. Giorgetti (2015), A correspondence between rooted planar maps and normal planar lambda terms, LMCS 11(3:22): 1-39
 Z (2015), Counting isomorphism classes of beta-normal linear lambda terms, arXiv:1509.07596
 Z (2016), Linear lambda terms as invariants of rooted trivalent maps, J. Functional Programming 26(e21)
 J. Courtiel, K. Yeats, Z (2016), Connected chord diagrams and bridgeless maps, arXiv:1611.04611
 Z (2017), A sequent calculus for a semi-associative law, FSCD



### [Background] A few views on maps



### **Topological definition**

**map** = 2-cell embedding of a graph into a surface<sup>\*</sup>



### considered up to deformation of the underlying surface.

\*All surfaces are assumed to be connected and oriented throughout this talk

### Algebraic definition

**map** = transitive permutation representation of the group

$$\mathsf{G} = \langle v, e, f \mid e^2 = vef = 1 \rangle$$

considered up to G-equivariant isomorphism.



 $v = (1 \ 2 \ 3)(4 \ 5 \ 6)(7 \ 8 \ 9)(10 \ 11 \ 12)$  $e = (1 \ 8)(2 \ 11)(3 \ 4)(5 \ 12)(6 \ 7)(9 \ 10)$  $f = (1 \ 7 \ 5 \ 11)(2 \ 10 \ 8 \ 3 \ 6 \ 9 \ 12 \ 4)$ 

$$c(v) - c(e) + c(f) = 2 - 2g$$

### **Combinatorial definition**

map = connected graph + cyclic ordering of
the half-edges around each vertex (say, as given
by a drawing with "virtual crossings").





### Graph versus Map



### Some special kinds of maps



### Four Colour Theorem

The 4CT is a statement about maps.

every bridgeless planar map has a proper face 4-coloring



By a well-known reduction (Tait 1880), 4CT is equivalent to a statement about 3-valent maps

every bridgeless planar 3-valent map has a proper edge 3-coloring





### Map enumeration

From time to time in a graph-theoretical career one's thoughts turn to the Four Colour Problem. It occurred to me once that it might be possible to get results of interest in the theory of map-colourings without actually solving the Problem. For example, it might be possible to find the average number of colourings on vertices, for planar triangulations of a given size.

One would determine the number of triangulations of 2n faces, and then the number of 4-coloured triangulations of 2n faces. Then one would divide the second number by the first to get the required average. I gathered that this sort of retreat from a difficult problem to a related average was not unknown in other branches of Mathematics, and that it was particularly common in Number Theory.

#### W. T. Tutte, Graph Theory as I Have Known It

### Map enumeration

### Tutte wrote a pioneering series of papers (1962-1969)

W. T. Tutte (1962), A census of planar triangulations. Canadian Journal of Mathematics 14:21-38

W. T. Tutte (1962), A census of Hamiltonian polygons. Can. J. Math. 14:402-417

W. T. Tutte (1962), A census of slicings. Can. J. Math. 14:708-722

W. T. Tutte (1963), A census of planar maps. Can. J. Math. 15:249-271

W. T. Tutte (1968), On the enumeration of planar maps. Bulletin of the American Mathematical Society 74:64-74

W. T. Tutte (1969), On the enumeration of four-colored maps. SIAM Journal on Applied Mathematics 17:454-460

### One of his insights was to consider *rooted* maps



*Key property: rooted maps have no non-trivial automorphisms* 

### Map enumeration

Ultimately, Tutte obtained some remarkably simple formulas for counting different families of rooted planar maps.

(5.1) The number  $a_n$  of rooted maps with n edges is

 $\frac{2(2n)!\,3^n}{n!\,(n+2)!}.$ 

We write

$$A(x) = \sum_{n=1}^{\infty} a_n x^n.$$

Thus  $A(x) = 2x + 9x^2 + 54x^3 + 378x^4 + \ldots$  Figure 2 shows the 2 rooted maps with 1 edge, and Figure 3 the 9 rooted maps with 2 edges.

### [Background]

# A bijection between linear λ-terms and rooted 3-valent maps

(cf. Bodini et al 2013, Z 2016)



### From linear terms to rooted 3-valent maps via string diagrams

 $\lambda x.\lambda y.\lambda z.x(yz)$   $\lambda x.\lambda y.\lambda z.(xz)y$   $x,y \vdash$ 

 $x,y \vdash (xy)(\lambda z.z) \quad x,y \vdash x((\lambda z.z)y)$ 

# From linear terms to rooted 3-valent maps via string diagrams



## From linear terms to rooted 3-valent maps via string diagrams



 $\lambda x.\lambda y.\lambda z.x(yz)$ 

λx.λy.λz.(xz)y

 $x,y \vdash (xy)(\lambda z.z) \quad x,y \vdash x((\lambda z.z)y)$ 

# From rooted 3-valent maps to linear terms by induction

Observation: any rooted 3-valent map must have one of the following forms.



# From rooted 3-valent maps to linear terms by induction

...but this exactly mirrors the inductive structure of linear lambda terms!



















 $\lambda a.\lambda b.\lambda c.\lambda d.\lambda e.a(\lambda f.c(e(b(df))))$ 

### Some more examples\*



 $\lambda$ abcde.a ( $\lambda$ fg.b ( $\lambda$ h.c ( $\lambda$ i.d ( $\lambda$ j.e (f ( $\lambda$ k.g (h (i (j k))))))))

\*computed with the help of https://jcreedcmu.github.io/demo/lambda-map-drawer/public/index.html

### Some more examples\*



 $\lambda a b c d e f g h i.a (\lambda j k.b (\lambda l m.(\lambda n o.c (\lambda p.d (\lambda q.e (\lambda r.n (o (p (q r)))))) (\lambda s t.f (\lambda u.g (\lambda v.h (\lambda w.s (t (u (v w))))))) (\lambda x.i (j (k | (m x))))))$ 

\*computed with the help of https://jcreedcmu.github.io/demo/lambda-map-drawer/public/index.html

### Some more examples\*



 $\lambda$ abcdefghijklm.a ( $\lambda$ n.c ( $\lambda$ opqr.( $\lambda$ stuv.d ( $\lambda$ w.e (g (( $\lambda$ x.s ( $\lambda$ y.t (v (n (b o) p (y u)))) (j (l x)) k) m (w f))))) ( $\lambda$ z.h (i (q z) r))))

\*computed with the help of https://jcreedcmu.github.io/demo/lambda-map-drawer/public/index.html

### [work-in-progress] Higher connectivity of linear λ-terms



### characterization of bridgeless terms

\*reminder: bridgeless = stays connected after removing any edge.

from the description of the bijection  $\varphi$ , it's not hard to prove that...

### M bridgeless $\Leftrightarrow \phi(M)$ has no closed subterms



one corollary: equivalent λ-calculus reformulation of 4CT! (cf. JFP 2016, LICS 2018)

### k-edge-connection

a graph is **k-edge-connected** if it stays connected after cutting any j < k edges

(e.g., 1-edge-connected = connected, 2-edge-connected = bridgeless)

turns out useful to weaken to "internal" k-edge-connection (only trivial j-cuts)



internally 4-edge-connected (trivial 3-cut, non-trivial 4-cut)

What does it mean for a  $\lambda$ -term to be internally k-edge-connected?

### a term which is 2- but not 3-edge-connected



a, b  $\vdash \lambda c.a (\lambda d.(b c) d)$ 

### a 3-edge-connected term



a, b  $\vdash \lambda c.a (\lambda d.b (c d))$ 

A **cut** is a decomposition

$$t_1 = C\{t_2\}$$

of a term  $t_1$  into a *subterm*  $t_2$  together with its surrounding *context* C. Roughly speaking, a "context" is just a term with a hole/metavariable.

This definition gets a lot more interesting if we represent terms using HOAS and allow ("generalized") subterms to have higher type.

Then we say that the **type** of a cut  $t_1 = C\{t_2\}$  is the type of  $t_2$ .

For example, a few slides ago, we saw a term with a cut of type  $U \rightarrow U$ 



a, b  $\vdash \lambda c.a (\lambda d.(b c) d)$ 

Here is an example of a term with a yellow cut of type  $(U \rightarrow U) \rightarrow U$ and a blue cut of type  $U \rightarrow (U \rightarrow U)$ 



 $\lambda a.\lambda b.\lambda c.a (\lambda d.\lambda e.\lambda f.(b (c d)) (e f))$ 

 $\begin{array}{l} t_1: U \\ t_1 = lam [a] lam [b] lam [c] app a (lam [d] lam [e] lam [f] \\ app (app b (app c d)) (app e f) \end{array}$ 

t₂ : (U → U) → U t₂ = [G] lam [e] lam [f] G (app e f)

 $\mathsf{C}:(\mathsf{U}\multimap\mathsf{U})\multimap\mathsf{U}\Rightarrow\mathsf{U}$ 

C = {X}lam [a] lam [b] lam [c] app a (lam [d] X ([y] app (app b (app c d)) y))

 $\begin{array}{l} t_{2}': U \multimap (U \multimap U) \\ t_{2}' = [b] [c] lam [d] lam [e] lam [f] \\ app (app b (app c d)) (app e f)) \\ C': U \multimap (U \multimap U) \Rightarrow U \\ C' = {X} lam [a] lam [b] lam [c] app a (X b c) \end{array}$ 

Let us say that a cut  $t_1 = C\{t_2\}$  is **trivial** if either C is the identity context or  $t_2$  is one of the following **elementary** terms:

$$\begin{array}{c} \lambda x.x : U \rightarrow U \\ app : U \rightarrow (U \rightarrow U) \\ lam : (U \rightarrow U) \rightarrow U \end{array}$$

Define the **size** of a type as the number of occurrences of "U" (e.g.,  $|U \rightarrow U| = 2$ )

Definition: a term is **k-indecomposable** if it has no non-trivial  $\tau$ -cuts for  $|\tau| < k$ 

**Claim (conjecture):** t is k-indecomposable iff t is internally k-edge-connected.

Internally 3- and 4-edge-connected planar 3-valent maps were first enumerated by Tutte (1961) who found some nice counting formulas.

Surprisingly, Tutte's formula for 3-edge-connected planar 3-valent maps also counts β-normal 2-indecomposable ordered terms (A000260).

Indeed, there is a simple bijection

#### [3-ind ordered terms] $\leftrightarrow$ [ $\beta$ -normal 2-ind ordered terms]

the bijection goes by way of open "neutral" terms, although it is not obviously meaningful... here is the graph of the bijection at n=3 apps:

 $a (b (c d)) \leftrightarrow a (b (c d))$  $a ((b c) d) \leftrightarrow a ((b c) d)$  $(a b) (c d) \leftrightarrow (a b) (c d)$  $(a (b c)) d \leftrightarrow (a (b c)) d$  $((a b) c) d \leftrightarrow ((a b) c) d$ 

 $\lambda c.a (\lambda d.b (c d)) \leftrightarrow a (\lambda c.b (\lambda d.c d))$   $\lambda c.\lambda d.a (b (c d)) \leftrightarrow a (\lambda c.\lambda d.b (c d))$   $\lambda c.\lambda d.a ((b c) d) \leftrightarrow a (\lambda c.\lambda d.(b c) d)$   $a (\lambda d.b (c d)) \leftrightarrow a (b (\lambda d.c d))$  $\lambda d.a (b (c d)) \leftrightarrow a (\lambda d.b (c d))$   $\lambda$ d.a ((b c) d) ↔ a ( $\lambda$ d.(b c) d)  $\lambda$ d.(a b) (c d) ↔ (a b) ( $\lambda$ d.c d) ( $\lambda$ d.a (b d)) c ↔ (a ( $\lambda$ d.b d)) c

#### Conjecture: β-normal 3-ind ordered terms are counted by A000257.

one of our original motivations was to revisit some old results in graph theory, such as Whitney's theorem (1931) that every internally 4-edge-connected planar 3-valent map has a Hamiltonian cycle on its faces.

4. A theorem on maps deducible immediately from Theorem I is the following, as we shall see later:

THEOREM II. Given a map on the surface of a sphere containing at least three regions in which:

 $(A_1)$  The boundary of each region is a single closed curve without multiple point,

(B) Exactly three boundary lines meet at each vertex,

 $(A_2)$  No pair of regions taken together with any boundary lines separating them form a multiply connected region,



 $(A_2)$  No three regions taken together with any boundary Fig. 3. lines separating them form a multiply connected region, we may draw a closed curve which passes through each region of the map once and only once, and touches no vertex.

Question: is there a nice/new proof of Whitney's theorem as a statement about 4-indecomposable ordered  $\lambda$ -terms?



More broadly speaking, would like to better understand the relationships between a term and its (generalized) subterms.

How do cuts evolve over the course of evaluation?



What are the  $\lambda$ -analogues of graph minor theorems?