

# Transformation Structures for 2-Group Actions

(Joint work with Roger Picken)

Jeffrey C. Morton

SUNY Buffalo State College

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This talk is based on two articles by J.M. and Roger Picken:

- ▶ “Transformation double categories associated to 2-group actions” (Th. App. Cat. or arXiv:1401.0149)
- ▶ “2-Group Actions and Moduli Spaces of Higher Gauge Theory” (arXiv:1904.10865)

- ▶ Transformation Groupoids for Group Actions
- ▶ 2-Groups and Crossed Modules
- ▶ Actions of 2-Groups
- ▶ Transformation Double Groupoid
- ▶ Application to Higher Gauge Theory

# Transformation Groupoids

**Idea:** *Categorification of symmetry.* To describe symmetry of categories, generalize group actions.

Global symmetry involves group actions:

## Definition

A group action  $\phi$  on a set  $X$  is a functor  $F : G \rightarrow \mathbf{Sets}$  where the unique object of  $G$  is sent to  $X$ . Equivalently, it is a function  $\hat{F} : G \times X \rightarrow X$  which commutes with the multiplication (composition) of  $G$ :

$$\begin{array}{ccc} G \times G \times X & \xrightarrow{(1_G, \hat{F})} & G \times X \\ (m, 1_X) \downarrow & & \downarrow \hat{F} \\ G \times X & \xrightarrow{\hat{F}} & X \end{array}$$

Any group action gives a groupoid:

### Definition

The **transformation groupoid** of an action of a group  $G$  on a set  $X$  is the groupoid  $X//G$  with:

- ▶ **Objects:**  $X$  (that is, all  $x \in X$ )
- ▶ **Morphisms:**  $G \times X$  (that is, pairs  $(g, x) : x \rightarrow gx$ )
- ▶ **Composition:**  $(g', gx) \circ (g, x) = (g'g, x)$

**Note:** This relies on the fact that  $G$  is a group object in **Sets**. We use this to categorify the “transformation groupoid” construction.

## 2-Groups and Crossed Modules

**Idea:** *Categorification of symmetry.* To describe symmetry of categories, generalize group actions.

### Definition

A **2-group**  $\mathcal{G}$  is a 2-category with one object, and all morphisms and 2-morphisms invertible.

A **categorical group** is a group object in **Gpd**: a category  $\mathcal{G}$  with  $\otimes : \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$  and an inverse map satisfying the usual group axioms. In particular, a monoidal category  $(\mathcal{G}, \otimes)$  where every object and morphism is invertible with respect to  $\otimes$ .

I will use “2-group” for both except where the distinction is critical.

2-groups are classified by crossed modules:

### Definition

A crossed module consists of  $(G, H, \triangleright, \partial)$ , where:

- ▶  $G, H \in \mathbf{Grp}$
- ▶  $G \triangleright H$  an action of  $G$  on  $H$  by automorphisms
- ▶  $\partial : H \rightarrow G$  a homomorphism

satisfying

- ▶  $\partial(g \triangleright h) = g\partial(h)g^{-1}$
- ▶  $\partial(h_1) \triangleright h_2 = h_1h_2h_1^{-1}$

A homomorphism of crossed modules is a pair of homomorphisms  $G \rightarrow G'$  and  $H \rightarrow H'$  which is compatible with  $\triangleright$ .

## Theorem

The category of crossed modules and homomorphisms is equivalent to the category of 2-groups under with a correspondence determined by  $\mathbf{G}(G, H, \triangleright, \partial)$  with:

- ▶ **Objects:** elements of  $G$
- ▶ **Morphisms:** elements of the semidirect product  $G \times H$ , with  $(g, h) : g \rightarrow (\partial h)g$  and

$$(\partial(\eta)g, \zeta) \circ (g, \eta) = (g, \zeta\eta).$$

- ▶ **Monoidal Structure:**

$$\begin{array}{|c|c|} \hline g & g' \\ \hline \eta & \eta' \\ \hline (\partial\eta)g & (\partial\eta')g' \\ \hline \end{array} = \begin{array}{|c|} \hline gg' \\ \hline \eta(g \triangleright \eta') \\ \hline (\partial\eta)g(\partial\eta')g' \\ \hline \end{array}$$



# Examples of 2-Groups

## Examples of 2-Groups

- ▶ Any group  $G$  has a corresponding shifted 2-group given by  $(1, G, id, 1)$  and the adjoint 2-group  $(G, G, Ad, 1)$
- ▶ If  $G$  is a group and  $M$  a left  $G$ -module, then the crossed module  $(G, M, \triangleright, 1)$  defines a 2-group
- ▶ If  $i : N \rightarrow K$  is the inclusion of a normal subgroup, the crossed module  $(K, N, \cdot, i)$  defines a 2-group
- ▶ For any category  $\mathbf{C}$ , the 2-group  $Aut(\mathbf{C})$  has:
  - ▶ Objects: invertible functors  $F : \mathbf{C} \rightarrow \mathbf{C}$
  - ▶ Morphisms: invertible natural transformations  $n : F \rightarrow F'$
- ▶ For any monoidal category  $(\mathbf{C}, \otimes)$ , the Picard groupoid of invertible objects and morphisms is a 2-group

## Definition

A (strict) action of a 2-group  $\mathcal{G}$  on a category  $\mathbf{C}$  is a functor  $\hat{\Phi} : \mathcal{G} \times \mathbf{C} \rightarrow \mathbf{C}$  (strictly) satisfying the action diagram in **Cat**:

$$\begin{array}{ccc} \mathcal{G} \times \mathcal{G} \times \mathbf{C} & \xrightarrow{\otimes \times Id_{\mathbf{C}}} & \mathcal{G} \times \mathbf{C} \\ \downarrow Id_{\mathcal{G}} \times \hat{\Phi} & & \downarrow \hat{\Phi} \\ \mathcal{G} \times \mathbf{C} & \xrightarrow{\hat{\Phi}} & \mathbf{C} \end{array}$$

Actions of 2-groups make sense in any 2-category, but only take this special form (by *currying*) in the **Cat**:

## Lemma

For any  $\mathbf{C} \in \mathbf{Cat}$ , a strict monoidal functor  $\Phi : \mathcal{G} \rightarrow \mathbf{End}(\mathbf{C})$  is equivalent to a strict action  $\hat{\Phi} : \mathcal{G} \times \mathbf{C} \rightarrow \mathbf{C}$ .

## Three Group Actions in a 2-Group Action

If  $\mathcal{G}$  is a 2-group classified by the crossed module  $(G, H, \triangleright, \partial)$ , and  $\hat{\Phi} : \mathcal{G} \times \mathbf{C} \rightarrow \mathbf{C}$  is a strict action, by abuse of notation we denote by  $\blacktriangleright$  three interconnected group actions. Two actions of  $G$  on objects and morphisms of  $\mathbf{C}$ :

- ▶ Given  $\gamma \in \text{Ob}(\mathcal{G}) = G$  and  $x \in \text{Ob}(\mathbf{C})$ , let

$$\gamma \blacktriangleright x = \Phi_\gamma(x) = \hat{\Phi}(\gamma, x)$$

- ▶ Given  $\gamma \in \text{Ob}(\mathcal{G}) = G$  and  $f \in \text{Mor}(\mathbf{C})$ , let

$$\gamma \blacktriangleright f = \Phi_\gamma(f) = \hat{\Phi}((\gamma, 1_H), f)$$

(Which are compatible with the structure maps of  $\mathbf{C}$ : source, target, composition, etc.)

One action of  $G \times H$  on morphisms of  $\mathbf{C}$ :

- ▶ Given  $(\gamma, \chi) \in \text{Mor}(\mathcal{G}) = G \times H$  and  $(f : x \rightarrow y) \in \text{Mor}(\mathbf{C})$ , let

$$(\gamma, \chi) \triangleright f = \hat{\Phi}((\gamma, \chi), f)$$

be the diagonal whose existence is guaranteed by the naturality of the square associated to  $f : x \rightarrow y$  in  $\mathbf{C}$ :

$$\begin{array}{ccc}
 \gamma \triangleright x & \xrightarrow{\gamma \triangleright f} & \gamma \triangleright y \\
 \downarrow \Phi_{(\gamma, \eta)_x} & \searrow (\gamma, \chi) \triangleright f & \downarrow \Phi_{(\gamma, \eta)_y} \\
 (\partial \eta) \gamma \triangleright x & \xrightarrow{(\partial \eta) \gamma \triangleright f} & (\partial \eta) \gamma \triangleright y
 \end{array}$$

(Note  $\Phi_{(\gamma, \chi)}$  typically assigns a nonidentity morphism to  $x$ , so there is no action of  $G \times H$  on objects of  $\mathbf{C}$ )

## Example: Adjoint Action of $\mathcal{G}$

### Definition (Part 1)

If  $\mathcal{G} \sim (G, H, \triangleright, \partial)$ , then the *adjoint action* of  $\mathcal{G}$  (seen as a 2-group) on  $\mathcal{G}$  (seen as a categorical group) is given by the 2-functor:

- ▶  $\gamma \in \text{Ob}(\mathcal{G})$  gives an endofunctor  $\Phi_\gamma : \mathcal{G} \rightarrow \mathcal{G}$  which itself is defined by:
  - ▶  $\Phi_\gamma(g) = \gamma g \gamma^{-1}$
  - ▶  $\Phi_\gamma(g, \eta) = (\gamma g \gamma^{-1}, \gamma \triangleright \eta)$

Draw this as:

$$\begin{array}{c} g \\ \boxed{\eta} \\ \partial(\eta)g \end{array} \xrightarrow{\Phi_\gamma} \begin{array}{c} \gamma \quad g \quad \gamma^{-1} \\ \boxed{\quad \quad \eta \quad \quad} \\ \gamma \quad \partial(\eta)g \quad \gamma^{-1} \end{array} = \begin{array}{c} \gamma g \gamma^{-1} \\ \boxed{\gamma \triangleright \eta} \\ \gamma \partial(\eta)g \gamma^{-1} \end{array} = \begin{array}{c} \gamma \blacktriangleright g \\ \boxed{\gamma \triangleright \eta} \\ \gamma \blacktriangleright \partial(\eta)g \end{array}$$

## Definition (Part 2)

- ▶  $(\gamma, \chi) \in \text{Mor}(\mathcal{G})$  gives a natural transformation  $\Phi_{(\gamma, \chi)} : \Phi_\gamma \Rightarrow \Phi_{\partial(\chi)\gamma}$ , defined by:

$$\Phi_{(\gamma, \chi)}(g) = (\gamma g \gamma^{-1}, \chi(\gamma g \gamma^{-1}) \triangleright \chi^{-1})$$

Draw this as:

$$\Phi_{(\gamma, \chi)}(g) = \begin{array}{|c|c|c|} \hline \gamma & g & \gamma^{-1} \\ \hline \chi & & \chi^{-1} \\ \hline \partial(\chi)\gamma & g & (\partial(\chi)\gamma)^{-1} \\ \hline \end{array} = \begin{array}{|c|} \hline \gamma \blacktriangleright g \\ \hline \chi(\gamma \blacktriangleright g) \triangleright \chi^{-1} \\ \hline \partial(\chi)\gamma \blacktriangleright g \\ \hline \end{array}$$

# Transformation Double Categories

## Definition

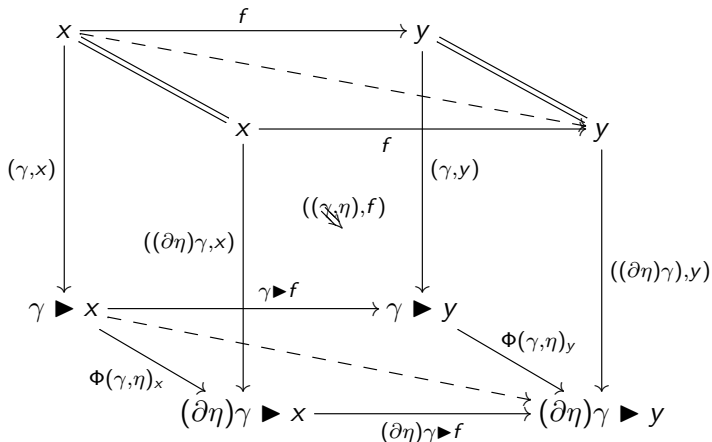
Given a 2-group  $\mathcal{G}$ , a category  $\mathbf{C}$ , and an action of  $\mathcal{G}$  on  $\mathbf{C}$ , the transformation 2-groupoid  $\mathbf{C} // \mathcal{G}$  is the groupoid in  $\mathbf{Cat}$  with:

- ▶  $Ob(\mathbf{C} // \mathcal{G}) = \mathbf{C}$ .
- ▶  $Mor(\mathbf{C} // \mathcal{G}) = \mathcal{G} \times \mathbf{C}$ , with
  - ▶ **Source** functor  $s = \pi_{\mathbf{C}} : \mathcal{G} \times \mathbf{C} \rightarrow \mathbf{C}$
  - ▶ **Target** functor  $t = \hat{\Phi} : \mathcal{G} \times \mathbf{C} \rightarrow \mathbf{C}$
  - ▶ **Composition**: (given by the action condition)

This is really a *double category* - the morphisms of  $Mor(\mathbf{C} // \mathcal{G})$  are squares:

$$\begin{array}{ccc}
 x & \xrightarrow{f} & y \\
 (\gamma, x) \downarrow & ((\gamma, \eta), f) & \downarrow ((\partial\eta)\gamma, y) \\
 \gamma \blacktriangleright x & \xrightarrow{(\gamma, \eta) \blacktriangleright f} & (\partial\eta)\gamma \blacktriangleright y
 \end{array}$$

The squares describe the action of  $G \times H$  on  $Mor(\mathbf{C})$ . They come from diagonals of the cube:





# Structure of Transformation Groupoid

## Theorem

If  $\mathcal{G}$  is given by a crossed module  $(G, H, \partial, \triangleright)$ , acts on a category  $\mathbf{C}$ , the double category has:

- ▶ *Horizontal Category:*  $\mathbf{C}$
- ▶ *Vertical Category:*  $Ob(\mathbf{C})//G$ , the transformation groupoid for the action of  $G$  on the objects of  $\mathbf{C}$
- ▶ *Horizontal Category of Squares:*  $\mathbf{C} \times \mathcal{G}$
- ▶ *Vertical Category of Squares:*  $Mor(\mathbf{C})//(G \times H)$ , the transformation groupoid for the action of  $G \times H$  on the morphisms of  $\mathbf{C}$

So  $\mathbf{C}//\mathcal{G}$  contains transformation groupoids for our three group actions ▶ as:

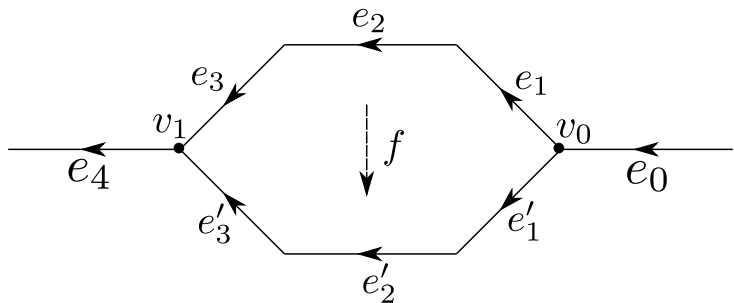
$$Ob(\mathbf{C}//\mathcal{G}) \subset \mathbf{C}^{(1)}//\mathcal{G}^{(0)} \subset Ver(\mathbf{C}//\mathcal{G})$$

related by identity-inclusion maps.

## Our Motivating Example

Our original motivation in developing this machinery was to describe the **symmetry** of **moduli spaces** of **connections on gerbes**. Think of these as determining **holonomies** valued in a 2-group  $\mathcal{G} \sim (G, H, \triangleright, \partial)$  to paths and homotopies of paths in a manifold.

We simplified things by using discrete paths and homotopies made of chosen edges and faces:



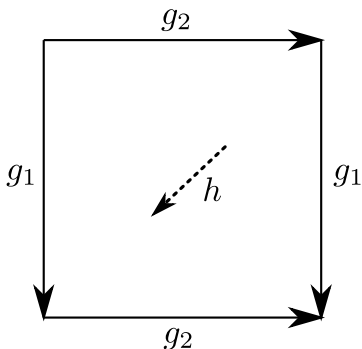
## Definition

The **category of connections**, **Conn** has:

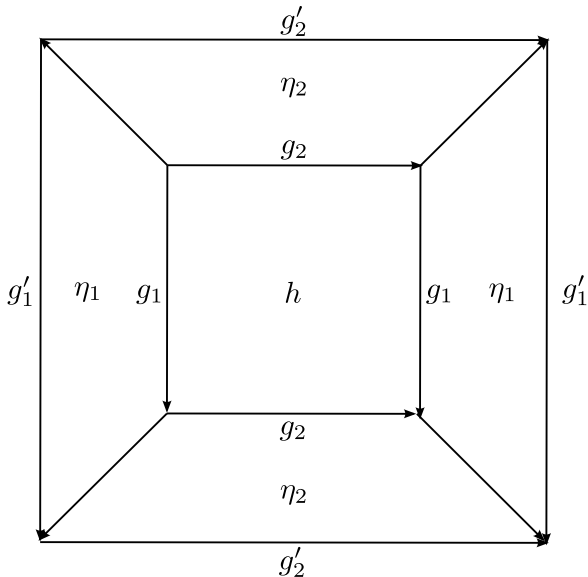
- ▶ **Objects:** pairs  $(g, h)$ , where  $g : E \rightarrow G$ ,  $h : F \rightarrow H$  (with some compatibility condition)
- ▶ **Morphisms:**  $((g, h), \eta)$  where  $\eta : E \rightarrow H$ , seen as  $((g, h), \eta) : (g, h) \rightarrow (g', h')$  where: for each edge  $e$ . (And a condition for each face.)

This does form a category (MP2).

Intuitively, given 2-group  $\mathcal{G}$ , the object of **Conn** are connections - which assign  $G$ -holonomies to edges and  $H$ -holonomies to faces:



A Connection on  $(T^2, \mathcal{D})$



Horizontal Morphism in  $\mathbf{Conn}(T^2, \mathcal{D})$

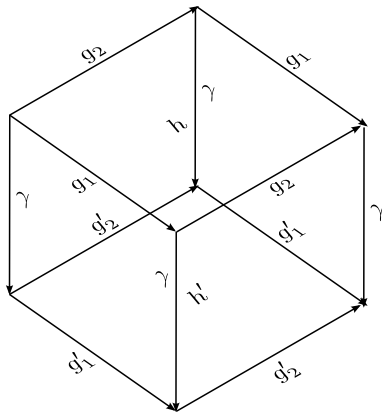
## Definition

The **2-group of gauge symmetries** is  $\mathbf{Gauge} = \mathcal{G}^V$ , with:

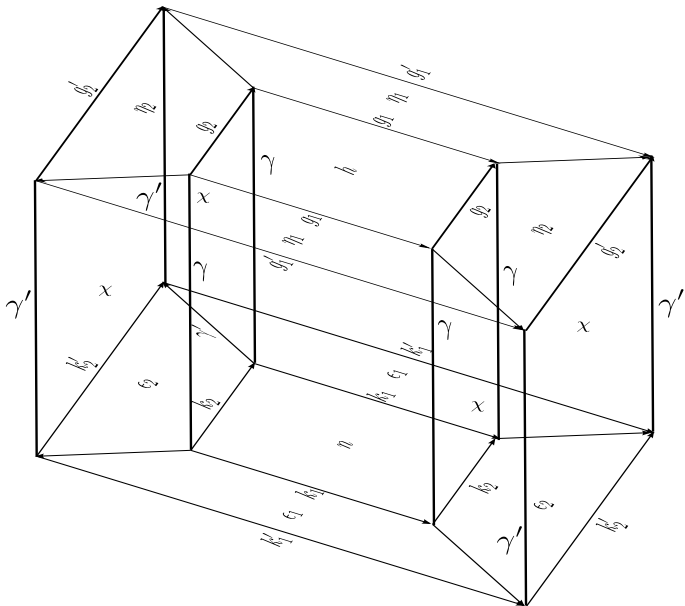
- ▶ **Objects** are the set of maps  $\gamma : V \rightarrow G$
- ▶ **Morphisms** are the set of pairs  $(\gamma, \chi)$  where  $\gamma$  is an object and  $\chi : V \rightarrow H$ , such that at each  $v \in V$ :

$$\begin{array}{c} \gamma(v) \\ \boxed{\chi(v)} \\ \gamma'(v) \end{array} \quad (1)$$

This is a 2-group, and it acts on **Conn**.



Vertical Morphism in **Conn**//**Gauge**( $T^2, \mathcal{D}$ ) (From action of  $G^V$ )



Square - Gauge Modification on  $(T^2, \mathcal{D})$  (from action of  $(G \times H)^V$ )



## Preview

In our forthcoming work (MP3) we will prove something about this double groupoid:

$$\mathbf{Conn} // \mathbf{Gauge} \cong \mathit{Hom}_{\square}(\Pi_2(M), \mathcal{G}) \quad (2)$$

Where, for bicategories  $\mathbf{A}$  and  $\mathbf{B}$ ,  $\mathit{Hom}_{\square}(\mathbf{A}, \mathbf{B})$  is a double category with:

- ▶ **Objects:** 2-functors from  $\mathbf{A}$  to  $\mathbf{B}$
- ▶ **Vertical Morphisms:** *Strict* natural transformations between 2-functors
- ▶ **Horizontal Morphisms:** *Costrict Pseudonatural* transformations between 2-functors
- ▶ **Squares:** Modifications  $M : s_2 \circ c_F \Rightarrow c_G \circ s_1$ :

$$\begin{array}{ccc} F_1 & \xrightarrow{c_1} & G_1 \\ s_F \downarrow & \Downarrow M & \downarrow s_G \\ F_2 & \xrightarrow{c_2} & G_2 \end{array} \quad (3)$$