# Building models from finite pieces

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#### **Overview**

1 Three perspectives on toposes

Praïssé theory

O Applications

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# Sketch 1



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#### Geometric logic

In topos theory, we consider theories in the positive fragment of infinitary first-order logic, geometric logic. Formulas are constructed from typed variables, function and relation symbols from a signature  $\Sigma$  and the following connectives:

$$\bigvee_{i\in I}\phi_i \qquad \phi \land \phi' \qquad \exists x.\phi(x)$$

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A theory is a collection of axioms, which are sequents with a context:

 $\phi \vdash_{\vec{x}} \psi.$ 

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Given an interpretation of the types, functions and relations from  $\Sigma$  in a topos  $\mathcal{E}$ , each formula defines a subobject. A *model* of  $\mathbb{T}$  in  $\mathcal{E}$  is an interpretation such that the axioms become inclusions of subobjects.

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# Classifying toposes

Recall the notion of geometric morphism:



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# Classifying toposes

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We consider these theories because they capture representable structure in Grothendieck toposes.

#### Fundamental theorem of classifying toposes

For a geometric theory  $\mathbb T$  there is a topos  $\textbf{Set}[\mathbb T]$  (the classifying topos of  $\mathbb T)$  such that,

$$\mathbb{T}\operatorname{-mod}(\mathcal{E}) \simeq \operatorname{Geom}(\mathcal{E}, \operatorname{\mathbf{Set}}[\mathbb{T}]),$$
$$M \mapsto \ulcorner M \urcorner$$

naturally in  $\mathcal{E}$ . Conversely, any topos  $\mathcal{F}$  is equivalent to  $Set[\mathbb{T}]$  for some geometric theory  $\mathbb{T}$ .

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# Sketch 2



# Toposes from monoids

Let L be a topological monoid. A *continuous action* of L on a set X is an action:

$$L \times X \xrightarrow{\alpha} X$$

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Proposition [R]

The category of continuous actions of L on sets is a Grothendieck topos, Cont(L).

Note that this discards most 'connected' structure in *L*. Interesting examples: ordinary (discrete) monoids; profinite completions  $\hat{\mathbb{Z}}$ ,  $\hat{\mathbb{Z}}_p$ ; prodiscrete monoids; End( $\mathbb{N}$ ) with the pointwise convergence topology.

## Pointed toposes

A *point* of a topos  $\mathcal{E}$  is a geometric morphism  $\textbf{Set} \to \mathcal{E}$ .

The forgetful functor  $Cont(L) \rightarrow Set$  has a right adjoint and preserves finite limits, so determines a point, p.

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#### Theorem [R]

Pairs of the form (Cont(L), p) are coreflective in the 2-category of pointed toposes.

In particular, given a model L of a geometric theory  $\mathbb{T}$  in **Set**, the pointed topos  $(\mathbf{Set}[\mathbb{T}], \ulcorner M \urcorner)$  coreflects to End(L) with the 'pointwise convergence' topology. (See previous SYCO talk!)

## Monoids vs Theories

**Q.** Which theories  $\mathbb{T}$  have  $\mathbf{Set}[\mathbb{T}] \simeq \mathrm{Cont}(M)$ ?

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# Monoids vs Theories

**Q.** Which theories  $\mathbb{T}$  have  $\mathbf{Set}[\mathbb{T}] \simeq \mathrm{Cont}(M)$ ?

Note that p must correspond to a *special model* of any such theory  $\mathbb{T}$ , so another way of asking the question is:

 ${\bf Q}.$  Which theories  ${\mathbb T}$  have a special model?

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# Sketch 3



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A *site* consists of a small category C and a Grothendieck coverage J. This induces a reflective subcategory (subtopos):

$$\mathsf{Sh}(\mathcal{C},J) \xleftarrow{\perp} \mathsf{PSh}(\mathcal{C})$$

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## Sites of principal actions

A site consists of a small category C and a Grothendieck coverage J. This induces a reflective subcategory (subtopos):

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#### Example

For a topological monoid *L*, denote by  $C_s$  the category of (continuous) *principal* actions of *L*. This has a canonical Grothendieck coverage  $J_s$  generated by quotient maps  $X \to X/\sim$ . One can show that

 $\operatorname{Cont}(L) \simeq \operatorname{Sh}(\mathcal{C}_s, J_s).$ 

# Theories of presheaf type

A theory is said to be *of presheaf type* when  $\mathbf{Set}[\mathbb{T}] \simeq \mathsf{PSh}(\mathcal{C})$ . These theories are particularly convenient because we have:

 $\mathcal{K} := \mathsf{f.p.}\mathbb{T}\text{-}\mathsf{mod}(\mathbf{Set}) \simeq \mathcal{C}^{\mathrm{op}} \quad \mathsf{and} \quad \mathbb{T}\text{-}\mathsf{mod}(\mathbf{Set}) = \mathsf{Ind}(\mathcal{K}),$ 

where 'f.p.' stands for *finitely presentable*.

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where 'f.p.' stands for *finitely presentable*.

Meanwhile, a subtopos of  $Set[\mathbb{T}]$  classifies a 'quotient' of  $\mathbb{T}$ , meaning a theory obtained by adding axioms.

#### Corollary

If Cont(L) classifies a quotient of any theory  $\mathbb{T}$  of presheaf type such that  $\mathcal{K} := f.p.\mathbb{T}\text{-mod}(\mathbf{Set}) \simeq \mathcal{C}_s^{\mathrm{op}}.$ 

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## Properties of principal actions

We can derive necessary conditions on the category of f.p. models by considering properties of  $C_s$ . For instance K must satisfy:

(OFS) There is an *orthogonal factorization system*  $(\mathcal{L}, \mathcal{R})$ , where the left class are epimorphisms and the right class are strong monomorphisms.

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- (JEP) The *joint embedding property* requires that for any pair of objects X, Y, there is an object Z and (strong) monomorphisms,

$$X \rightarrowtail Z \longleftarrow Y.$$

(TP) The transferability property requires that any span with one leg a (strong) monomorphism can be completed to a square where the opposite side is also monic:



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Compare JEP and Amalgamation Property (AP) in Fraissé theory.

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#### The special model with respect to the OFS

The OFS  $(\mathcal{L}, \mathcal{R})$  on  $\mathcal{K}$  extends to an OFS  $(\overline{\mathcal{L}}, \overline{\mathcal{R}})$  on  $\mathbb{T}$ -mod(**Set**) = Ind( $\mathcal{K}$ ) by the usual small object argument.

Applications

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(dir) M is the directed colimit of a diagram of  $\mathcal{R}$ -morphisms.

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(dir) M is the directed colimit of a diagram of  $\mathcal{R}$ -morphisms. These conditions are necessary *and sufficient*.

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#### Proposition

Let  $\mathcal{K}$  be a *countable* category satisfying OFS, JEP and TP. Then there exists an object of Ind( $\mathcal{K}$ ) satisfying (inj), (univ) and (dir).

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**Proof.** We inductively construct a chain of objects  $U_i$  of  $\mathcal{K}$  whose colimit has the desired properties.

Let  $\pi : \omega \times \omega \times \omega \to \omega$  be a bijection such that  $\pi(i, j, k) \ge k$ . Enumerate  $\mathcal{L}$  as  $\{I_i : X_i \to Y_i\}_{i < \omega}$ . Base case. Let  $U_0 = A_0$ . (We use the enumeration for objects too.)

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Induction step. Given  $U_k$ , we can enumerate spans of the following form,

$$\left\{ \begin{array}{c|c} X_i & \xrightarrow{f_{i,j,k}} & U_k \\ I_i & & \\ Y_i & & \\ \end{array} \right| j < \omega \left\}.$$

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The colimit satisfies (dir) by construction. For (inj), any span must factorize through an enumerated one. Lastly,  $A_k \rightarrow U_k \rightarrow M$  is in  $\overline{\mathcal{R}}$ , giving (univ).



Let  $\mathbb T$  be a theory whose finitely presentable models form a countable category  $\mathcal K$  satisfying OFS, JEP and TP, we obtain a special model M of an extension  $\mathbb T'$  of  $\mathbb T$  such that,

 $\mathbf{Set}[\mathbb{T}'] \simeq \mathsf{Cont}(\mathsf{End}(M)) \simeq \mathsf{Sh}(\mathcal{K}^{\mathrm{op}}, J_{\mathcal{R}^{\mathrm{op}}}).$ 

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Specifically, the extension  $\mathbb{T}'$  requires each monomorphism  $X \rightarrow Y$  in  $\mathcal{K}$  to induce an epimorphism  $\operatorname{Hom}(Y, M) \rightarrow \operatorname{Hom}(X, M)$ .

We can add torsion axioms in  $\mathbb T$  to force f.p. models to be finite models.

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- Dense linear orderings  $(\mathbb{Q})$
- Simple graphs (Rado graph)
- Fields of characteristic  $p(\overline{\mathbb{F}}_p)$
- Groups (Hall's universal group)
- Boolean algebras (countable atomless Boolean algebra)

Caramello covered these cases.

Let  $L = \langle \mathcal{A} \mid \mathcal{R} \rangle$  be a finitely generated monoid. Let  $\mathbb{T}$  be the theory of finite Boolean algebras equipped with a right action of *L*. Explicitly, this is based on a signature  $\Sigma$  containing:

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- Function symbols on *B* for:
  - Constants 0, 1;
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These satisfy axioms making:

- $(B,0,1,\cup,\cap,\neg)$  a Boolean algebra,
- Each *m*<sup>\*</sup> commute with each of the Boolean algebra operations and the ordering,
- $m^*n^*(x) = (nm)^*(x)$  for  $m, n \in L$  (it is a *right* action),

In addition to ...

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Applications

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• A 'local finiteness' condition:

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$$\phi_n(x) \land \phi_n(y) \land (D_n(x) \cap D_n(y) = 0) \vdash_{x,y} (x = 0) \lor (y = 0)$$

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If I have constructed this correctly, the finitely presentable models  $\mathcal{K}$  are finite and we have a duality with finite principal *left L*-sets (by analogy with Stone duality).

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## A free example

The theorem applies: there exists a universal, injective Boolean algebra B equipped with a (locally finite, connected) right *L*-action into which all of the finite ones embed, and such that,

$$\mathbf{Set}[\mathbb{T}']\simeq\mathsf{Cont}(\mathsf{End}(B))\simeq\mathsf{Sh}(\mathcal{K},J_{\mathrm{mono^{op}}})$$

In fact, End(B) is exactly the profinite completion of L with respect to its left actions.

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In fact, End(B) is exactly the profinite completion of L with respect to its left actions.

#### Concrete example

Let  $L = A^*$  for some finite alphabet A. A left *L*-set 'is' a set of states Q equipped with a transition function  $A \times Q \rightarrow Q$ . [Almost an automaton.]

The theory of Boolean algebras with a (locally finite, connected) right action of *L* is classified by the topos of transition functions in which every state generates a finite subset. [*Almost finite automata; the corresponding languages are regular*]

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# Why so complicated?

This class of examples was constructed in reverse (starting from Cont(L)). Couldn't simpler theories give examples?

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Algebraic theories can't work: if  $\mathcal{K}$  has products, (TP) implies (AP), so the subcategory of monos is enough for classical Fraïssé theory!

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