

# Surface Diagrams for Grothendieck-Verdier Categories

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arXiv:2503.13325

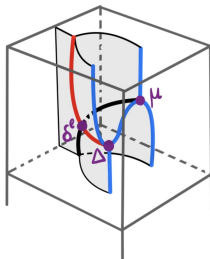
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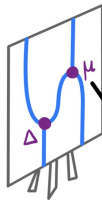
## Outlook

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# Grothendieck-Verdier categories



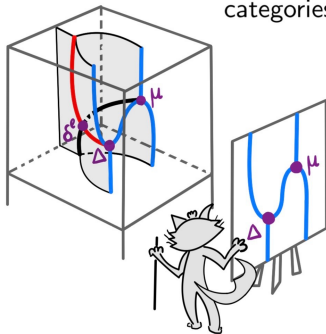
(rigid) monoidal  
categories



category  
theorist



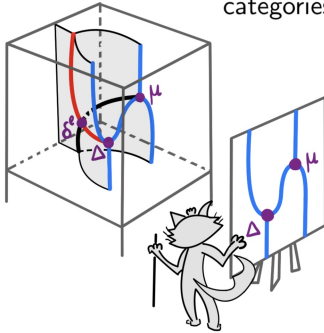
# Grothendieck-Verdier categories



(rigid) monoidal  
categories

category  
theorist

Grothendieck-Verdier  
categories



(rigid) monoidal  
categories

category  
theorist

'Coherence morphisms live in a third dimension.'

Let's begin with the protagonist:  
Grothendieck–Verdier categories.

## **A toy example**

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## Bimodules: Monoidal structure

$A$   $\rightsquigarrow$  a  $k$ -algebra.

$A$ -bimod  $\rightsquigarrow$  category of **A-bimodules**.

$A$ -bimod carries a **monoidal structure**  $(\otimes_A, A)$ :

$$M \otimes_k A \otimes_k N \rightrightarrows M \otimes_k N \rightarrow M \otimes_A N \quad (M, N \in A\text{-bimod}).$$

The tensor product  $\otimes_A$  is right-exact.



## Bimodules: Monoidal structure

$A$   $\rightsquigarrow$  a finite-dimensional  $k$ -algebra.

$A\text{-bimod}_{\text{f.d.}}$   $\rightsquigarrow$  category of finite-dimensional **A-bimodules**.

$A\text{-bimod}_{\text{f.d.}}$  carries a **monoidal structure**  $(\otimes_A, A)$ :

$$M \otimes_k A \otimes_k N \rightrightarrows M \otimes_k N \rightarrow M \otimes_A N \quad (M, N \in A\text{-bimod}_{\text{f.d.}}).$$

The tensor product  $\otimes_A$  is right-exact.

## Bimodules: Duality

For  $M \in A\text{-bimod}_{\text{f.d.}}$ , the  $k$ -linear **dual**  $DM := \text{Hom}_k(M, k)$  becomes a f.d.  $A$ -bimodule via

$$(x.f.y)(m) := f(y.m.x),$$

for  $x, y \in A$  and  $f \in DM$ .

This yields an **antiequivalence**

$$D: A\text{-bimod}_{\text{f.d.}} \xrightarrow{\simeq} (A\text{-bimod}_{\text{f.d.}})^{\text{op}},$$

with quasi-inverse  $D^{-1} = D$ .

## Bimodules: De Morgan Duality

$$D: A\text{-bimod}_{\text{f.d.}} \xrightarrow{\cong} (A\text{-bimod}_{\text{f.d.}})^{\text{op}}$$

induces a **second monoidal structure**  $(\otimes^A, DA)$  on  $A\text{-bimod}_{\text{f.d.}}$ :

$$M \otimes^A N := D(D^{-1}N \otimes_A D^{-1}M) \quad (M, N \in A\text{-bimod}_{\text{f.d.}}).$$

The tensor product  $\otimes^A$  is left-exact.

What is the appropriate *categorical* duality structure on  $A\text{-bimod}_{f.d.}$ ?

Rigidity?

$A\text{-bimod}_{f,d}$  is generally not rigid, since ...

$A$ - $\text{bimod}_{f,d}$  is generally not rigid, since ...

...  $\otimes_A$  is not exact ...

A second attempt ...



# Grothendieck-Verdier categories

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### Definition.

Let  $(\mathcal{C}, \otimes, 1)$  be a monoidal category.  $K \in \mathcal{C}$  is *dualizing* if

1.  $\forall Y \in \mathcal{C}$ , the functor

$$X \mapsto \mathrm{Hom}_{\mathcal{C}}(X \otimes Y, K)$$

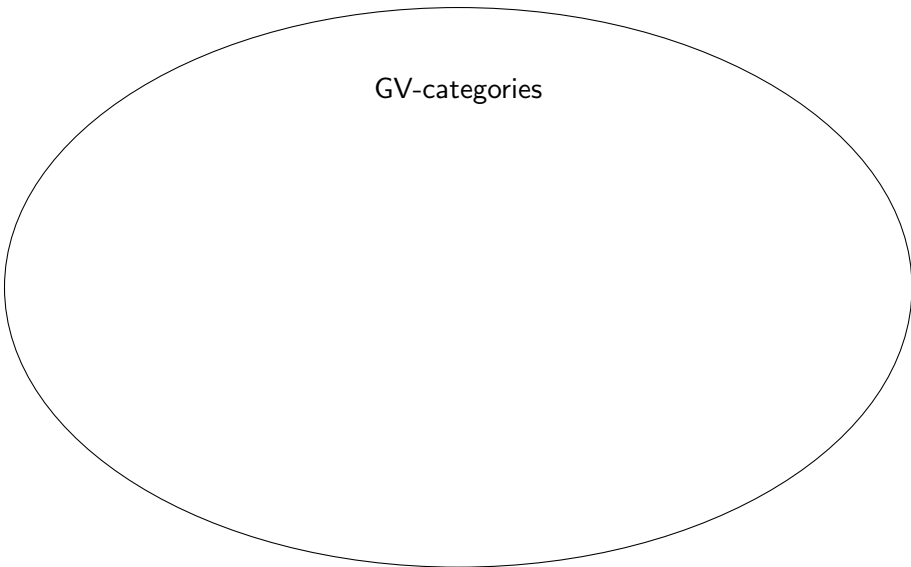
is representable, i.e.

$\exists DY \in \mathcal{C}$  such that  $\mathrm{Hom}_{\mathcal{C}}(- \otimes Y, K) \cong \mathrm{Hom}_{\mathcal{C}}(-, DY)$ .

2. The contravariant functor  $D$  from 1. is an antiequivalence.

$(\mathcal{C}, K)$  is called a *Grothendieck-Verdier (GV) category*.

Also known as *\*-autonomous categories*.



GV-categories

GV-categories

The diagram consists of a large outer oval labeled 'GV-categories' and a smaller inner circle labeled 'rigid monoidal categories'. The inner circle is centered within the outer oval, indicating that rigid monoidal categories are a subset of GV-categories.

rigid  
monoidal  
categories

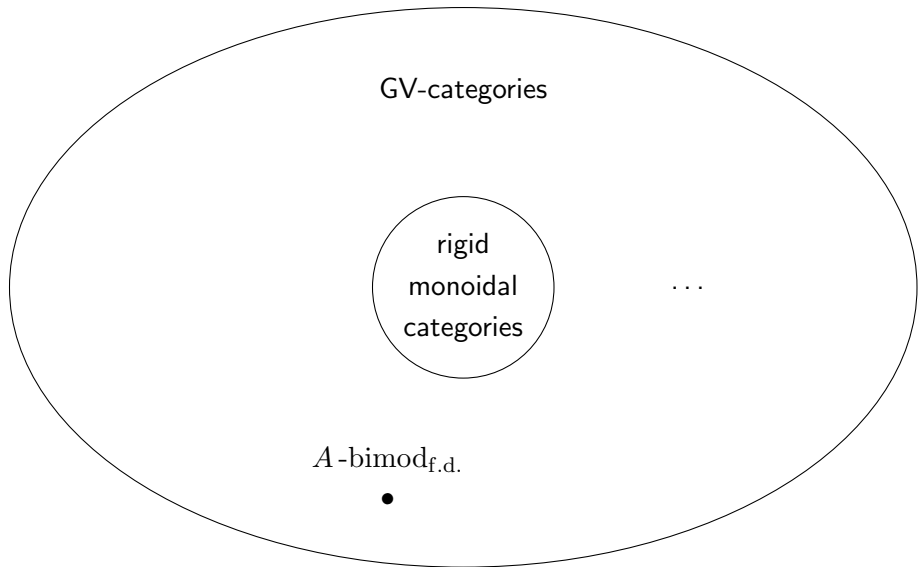
The diagram consists of a large outer oval and a smaller inner circle. The text 'GV-categories' is centered within the oval. The text 'rigid monoidal categories' is centered within the circle. The text ' $A$ -bimod<sub>f,d</sub>' is positioned below the circle, with a small black dot centered directly beneath it.

GV-categories

rigid  
monoidal  
categories

$A$ -bimod<sub>f,d</sub>





GV-categories

Categories of modules  
over a  
vertex operator algebra  
[arXiv:2107.05718]

rigid  
monoidal  
categories

...

$A$ -bimod<sub>f,d.</sub>

GV-categories

Categories of modules  
over a  
vertex operator algebra  
[arXiv:2107.05718]

rigid  
monoidal  
categories

...

Modules  
over a

$A$ -bimod<sub>f,d.</sub>

full Hopf algebroid



Recall that

$A$ - $\text{bimod}_{\text{f.d.}}$  carries a second monoidal structure  $(\otimes^A, DA)$ .

## **Linearly distributive categories**

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## Linearly distributive categories

### Definition (Cockett-Seely '97).

A *linearly distributive (LD) category* is a category  $\mathcal{C}$  with:

- Two monoidal structures  $(\otimes, 1)$  and  $(\wp, K)$  on  $\mathcal{C}$ .
- Two natural transformations

$$\delta^l : \otimes \circ (\text{id}_{\mathcal{C}} \times \wp) \Longrightarrow \wp \circ (\otimes \times \text{id}_{\mathcal{C}}),$$

$$\delta^r : \otimes \circ (\wp \times \text{id}_{\mathcal{C}}) \Longrightarrow \wp \circ (\text{id}_{\mathcal{C}} \times \otimes),$$

satisfying coherence axioms.

The distributors are not required to be invertible.

unitors:

$$\begin{array}{ccc}
 1 \otimes (A \wp B) & \xrightarrow{\delta_{1,A,B}^l} & (1 \otimes A) \wp B \\
 & \searrow \sim & \downarrow \wr l_A^{\otimes} \wp B \\
 & & A \wp B \\
 & \swarrow l_{A \wp B}^{\otimes} & \\
 & & 
 \end{array}$$

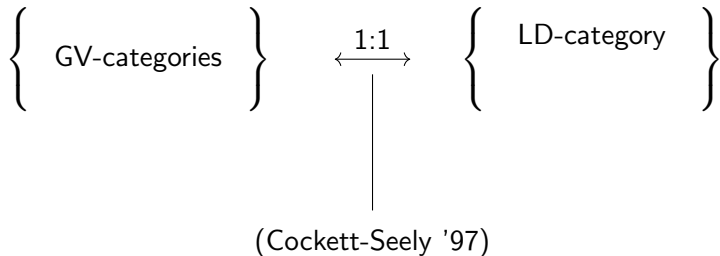
+ 3 more triangle diagrams

associators:

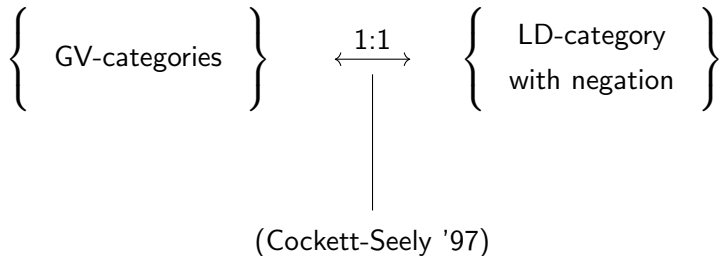
$$\begin{array}{ccc}
 (A \otimes B) \otimes (C \wp D) & \xrightarrow[\sim]{\alpha_{A,B,C \wp D}^{\otimes}} & A \otimes (B \otimes (C \wp D)) \\
 \downarrow \delta_{A \otimes B, C, D}^l & & \downarrow A \otimes \delta_{B, C, D}^l \\
 & & A \otimes ((B \otimes C) \wp D) \\
 & & \downarrow \delta_{A, B \otimes C, D}^l \\
 ((A \otimes B) \otimes C) \wp D & \xrightarrow[\sim]{\alpha_{A, B, C}^{\otimes} \wp D} & (A \otimes (B \otimes C)) \wp D
 \end{array}$$

+ 5 more pentagon diagrams

## A correspondence



## A correspondence



# Problem

A lot of coherence axioms!

~> Difficult and tedious calculations.



## A no-go theorem

LD-categories are generally not strictifiable.

### **Theorem (Cockett-Seely '97).**

Let  $\mathcal{C}$  be an LD-category with invertible distributors.

Then  $\mathcal{C}$  is suitably equivalent to a *shift monoidal category*,

i.e. an LD-category  $(\mathcal{D}, \otimes, 1, \wp, K)$ , where

$$X \wp Y = Y \otimes (S \otimes X),$$

for an  $\otimes$ -invertible object  $S \in \mathcal{D}$ .

LD-categories are generally not coherent.

Consider, for instance, the  $\mathbb{C}$ -algebra

$$A := \mathbb{C}[x, y] / \langle x^2, y^2, xy \rangle.$$

There exists a formal diagram in the LD-category  $A\text{-bimod}_{\text{f.d.}}$  that does not commute.

## Surface diagrams

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Fix an LD-category  $\mathcal{C}$ .

By definition,  $\mathcal{C}$  lives internal to the monoidal 2-category  $\text{Cat}$ .  
Monoidal 2-categories admit a three-dimensional graphical calculus.

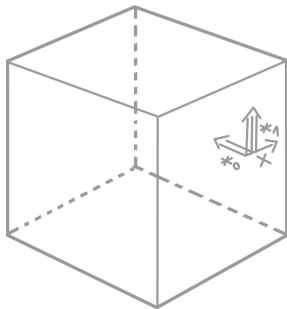
# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ \mathbf{c} & \text{id}_{\otimes} & \mathbf{c} \times \mathbf{c} \\ & \parallel & \\ & \otimes & \end{array}$$

# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ \curvearrowleft & \uparrow & \curvearrowright \\ \mathbf{c} & \text{id}_{\otimes} & \mathbf{c} \times \mathbf{c} \\ \curvearrowright & \parallel & \\ & \otimes & \end{array}$$

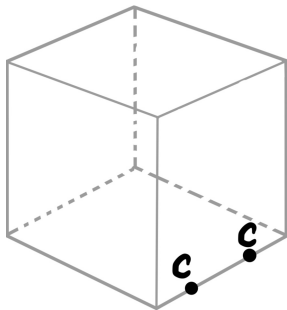
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# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ \mathcal{C} & \text{id}_{\otimes} & \mathcal{C} \times \mathcal{C} \\ & \parallel & \\ & \otimes & \end{array}$$

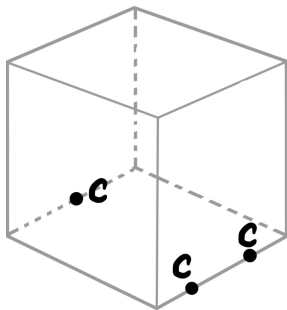
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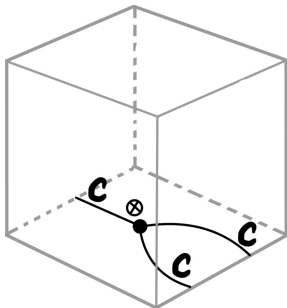




# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ \mathbf{c} & \text{id}_{\otimes} & \mathbf{c} \times \mathbf{c} \\ & \parallel & \\ & \otimes & \end{array}$$

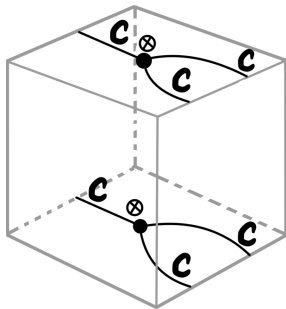
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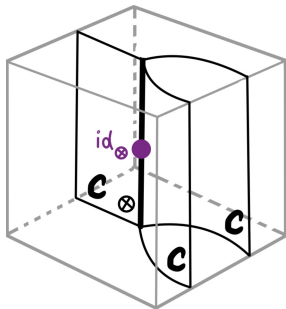
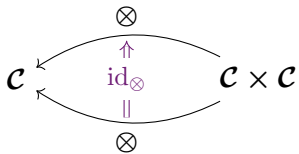
# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ c & \text{id}_{\otimes} & c \times c \\ & \downarrow & \\ & \otimes & \end{array}$$

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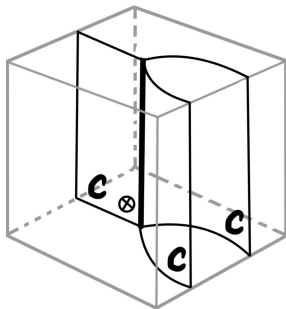
# Monoidal tuning fork



# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ \mathbf{c} & \text{id}_{\otimes} & \mathbf{c} \times \mathbf{c} \\ & \parallel & \\ & \otimes & \end{array}$$

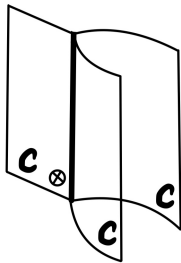
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# Monoidal tuning fork

$$\begin{array}{ccc} & \otimes & \\ & \uparrow & \\ \mathbf{c} & \text{id}_{\otimes} & \mathbf{c} \times \mathbf{c} \\ & \parallel & \\ & \otimes & \end{array}$$

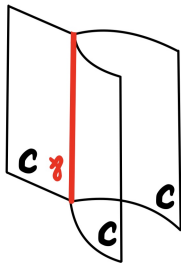
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# Monoidal tuning fork

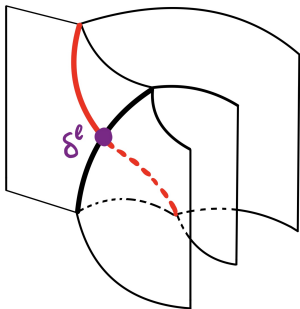
$$\begin{array}{ccc} & \mathfrak{F} & \\ & \uparrow & \\ \mathcal{C} & \text{id}_{\mathfrak{F}} & \mathcal{C} \times \mathcal{C} \\ & \parallel & \\ & \mathfrak{F} & \end{array}$$

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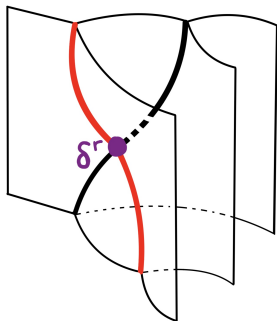
## Left distributor

$$\otimes \circ (\text{id}_C \times \mathfrak{A}) \xrightarrow{\delta^l} \mathfrak{A} \circ (\otimes \times \text{id}_C)$$



## Right distributor

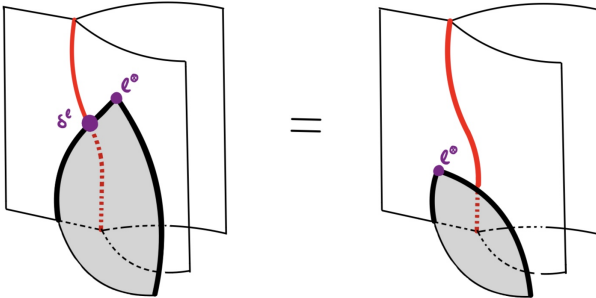
$$\otimes \circ (\wp \times \text{id}_{\mathcal{C}}) \xRightarrow{\delta^r} \wp \circ (\text{id}_{\mathcal{C}} \times \otimes)$$





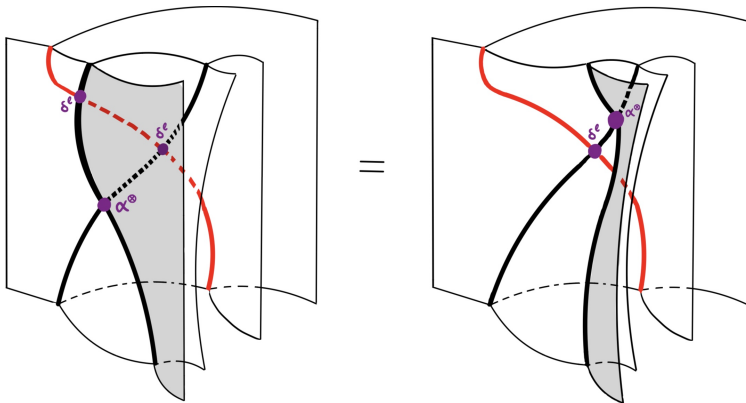
# Coherence axioms

A triangle diagram



# Coherence axioms

A pentagon diagram



# Frobenius algebras

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## Definition.

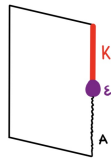
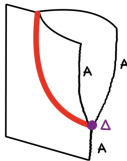
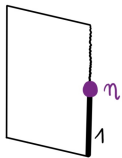
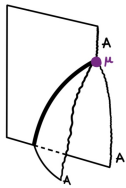
An *LD-Frobenius algebra* in  $\mathcal{C}$  consists of

- a unital associative algebra  $(A, \mu, \eta)$  in  $(\mathcal{C}, \otimes, 1)$ ,
- a counital coassociative coalgebra  $(A, \Delta, \epsilon)$  in  $(\mathcal{C}, \wp, K)$ ,

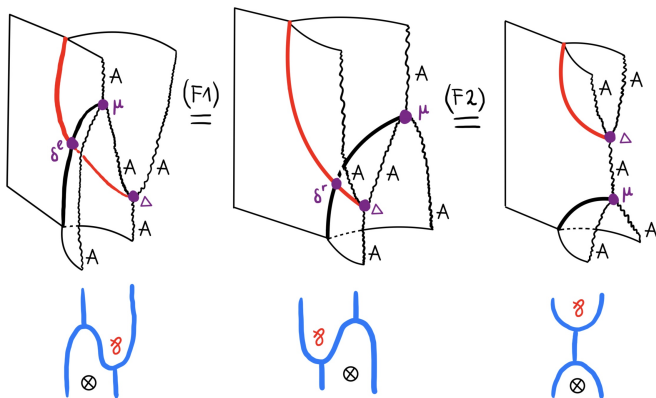
such that the following two LD-Frobenius relations hold

$$(\mu \wp A) \circ \delta_{A,A,A}^l \circ (A \otimes \Delta) \stackrel{(F1)}{=} (A \wp \mu) \circ \delta_{A,A,A}^r \circ (\Delta \otimes A) \stackrel{(F2)}{=} \Delta \circ \mu.$$

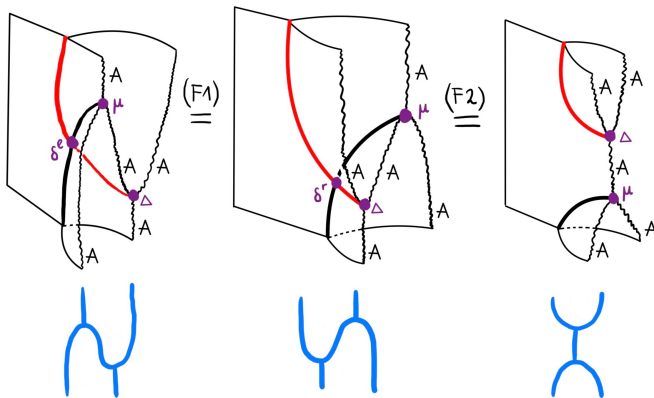
# (Co)algebras



# Frobenius relations



# Frobenius relations



**Proposition (D, Schweigert '24).**

Let  $(A, \mu, \eta)$  be a unital associative algebra in  $(\mathcal{C}, \otimes, 1)$ .

Let  $(A, \Delta, \epsilon)$  be a counital coassociative coalgebra in  $(\mathcal{C}, \wp, K)$ .

If  $(A, \mu, \eta, \Delta, \epsilon)$  satisfies Frobenius relation (F1),  
then it also satisfies Frobenius relation (F2).

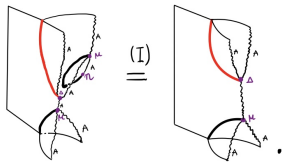
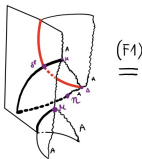
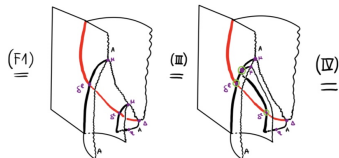
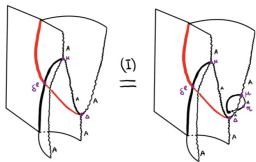


# Proof

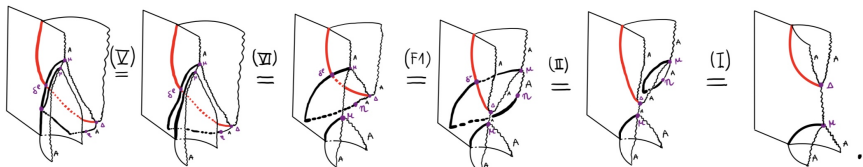
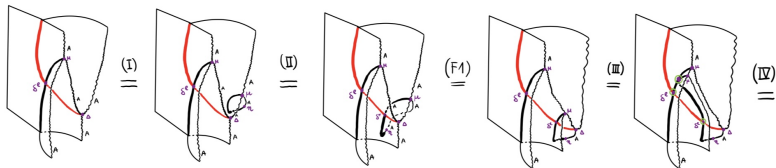
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# Proof



# Proof



**Proposition (D, Schweigert '24).**

Let  $\mathcal{C}$  be an abelian LD-category with negation. An LD-Frobenius algebra in  $\mathcal{C}$  amounts to an algebra  $(A, \mu, \eta)$  in  $(\mathcal{C}, \otimes, 1)$  with a morphism  $\lambda \in \text{Hom}_{\mathcal{C}}(A, K)$  whose kernel contains non non-zero left ideals of  $A$ .

### **Proposition (D, Schweigert '24).**

Let  $(A, \mu, \eta, \Delta, \epsilon)$  be an LD-Frobenius algebra. The category of left  $A$ -modules is isomorphic to the category of left  $A$ -comodules.

### **Proposition.**

Morphisms of LD-Frobenius algebras are invertible.

### **Proposition.**

Frobenius LD-functors preserve LD-Frobenius algebras.

### **Proposition.**

LD-Frobenius algebras are self-dual.

...

## Higher Frobenius-Schur indicators

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Ordinary *Higher Frobenius-Schur indicators*  
are  
invariants of  $k$ -linear pivotal rigid monoidal categories.

Generalize to:

*Higher Frobenius-Schur indicators*

are

invariants of  $k$ -linear pivotal [GV-categories](#).



**Definition.**

A *pivotal structure* on a rigid monoidal category  $(\mathcal{C}, \otimes, 1)$  is an isomorphism of monoidal functors

$$\rho: \text{id}_{\mathcal{C}} \xrightarrow{\cong} D^2,$$

where  $D$  is the duality functor on  $\mathcal{C}$ .

# Pivotality

## Definition.

A *pivotal structure* on a GV-category  $(\mathcal{C}, \otimes, 1, K)$  is an isomorphism of Frobenius-LD functors

$$\rho: \text{id}_{\mathcal{C}} \xrightarrow{\simeq} D^2,$$

where  $D$  is the duality functor on  $\mathcal{C}$ .

# Pivotality

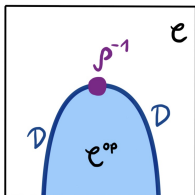
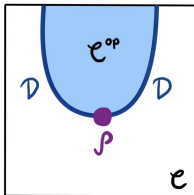
## Definition.

A *pivotal structure* on a **GV-category**  $(\mathcal{C}, \otimes, 1, K)$  is an isomorphism of **Frobenius-LD functors**

$$\rho: \text{id}_{\mathcal{C}} \xrightarrow{\cong} D^2,$$

where  $D$  is the duality functor on  $\mathcal{C}$ .

Graphically:



Fix a field  $k$ .

Fix a  $k$ -linear pivotal GV-category  
with finite-dimensional hom-spaces  $(\mathcal{C}, \rho^{\mathcal{C}})$ .

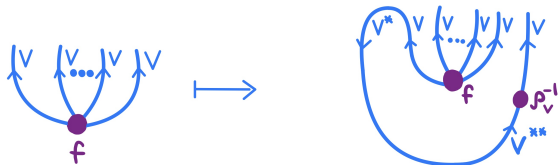
Fix  $V \in \mathcal{C}$ .

For every integer  $n \geq 1$ , define a  $k$ -linear endomorphism

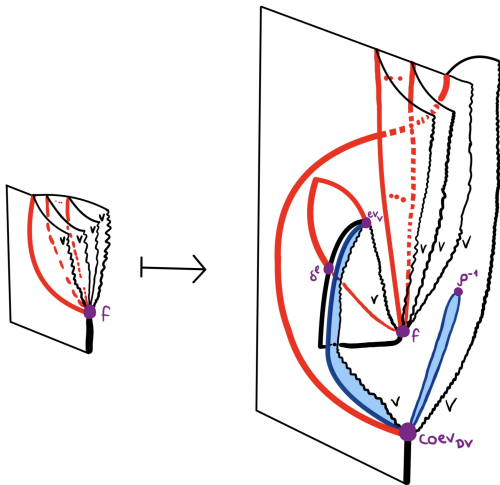
$$E_V^{(n)} : \text{Hom}_{\mathcal{C}}(1, V^{\otimes n}) \longrightarrow \text{Hom}_{\mathcal{C}}(1, V^{\otimes n})$$

as follows ...

# 'Definition' of $E_V^{(n)}$



# Definition of $E_V^{(n)}$



## Definition of $\nu_{n,r}(V)$

### **Definition.**

For integers  $n, r \geq 1$ , we call the  $k$ -linear trace

$$\nu_{n,r}(V) := \text{Tr}((E_V^{(n)})^r) \in k$$

the  $(n, r)$ -th *Frobenius-Schur indicator* of  $V \in \mathcal{C}$ .



## **Theorem (D, Schweigert '24).**

For all  $n, r \geq 1$ , the  $(n, r)$ -th Frobenius-Schur indicator  $\nu_{n,r}$  is invariant under  $k$ -linear pivotal Frobenius LD-equivalence.

The theorem has a surface diagrammatic proof.

## **Proposition (D, Schweigert '24).**

We have  $(E_V^{(n)})^n = \text{id}$ .

In particular,  $\nu_{n,n}(V) = 1$ . Thus, for an algebraically closed field  $k$  of characteristic zero,  $\nu_{n,r}(V)$  is a cyclotomic integer in  $\mathbb{Q}_n \subset k$ .

Compute higher Frobenius-Schur indicators for  $A$ - $\text{bimod}_{\text{f.d.}}$   
and for representation categories of vertex operator algebras.

# Thank you!

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Details: [arXiv:2503.13325](https://arxiv.org/abs/2503.13325)

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