

# Monoidal Meta-Theorem

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# Outline

- 1 Introduction
- 2 Coherence
- 3 Universal Algebra
- 4 Soundness & Completeness

# Monoidal Meta-Theorem

## Theorem (Monoidal Meta-Theorem)

*Let  $E \cup \{\phi\}$  (cartesian/symmetric) monoidal  $\sigma$ -theory and let  $C$  be a (cartesian/symmetric) monoidal category. Then*

$$E \vDash_{\text{Set}} \phi \text{ implies } E \vDash_C \phi.$$

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- $E \models_C T$  for all symmetric monoidal categories  $C$ .

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- $m \models E$  but  $m \not\models f \approx g$ .



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- Let  $X = \{x, y\}$ . The set of essential indices of  $v = ((xe)(y(ex))) \in X^*$  is  $l_v = \{0, 2, 4\}$  and  $\tau(v) = xeyex$ .

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The category  $\text{CM}(I)$  has a cartesian monoidal structure. Furthermore,  $\text{CM}(I)$  has two wide subcategories the symmetric monoidal completion  $\text{SM}(I)$  and the monoidal completion  $\text{M}(I)$  of  $I$  defined by morphisms  $(\theta, f), (\phi, g)$ , respectively, where  $\theta$  is a bijection and  $\phi$  is an increasing bijection.



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*In addition, if  $F$  is constant on all hom-sets, then  $\bar{F}$  is constant on hom-sets  $\text{Hom}(v, w)$ , where the directed path components  $[v_i], i \in I_v$ , are pairwise disjoint in  $I$ .*

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As an example, we have a unique morphism  $\alpha: ((xy)z) \rightarrow (x(yz))$  in  $T(\{x, y, z\})$ . Thus we attain a natural transformation between two functors  $C^3 \cong C^I \rightrightarrows C$ , which is the whole associator itself.



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Notation:

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We will often just denote  $\sigma = (S, M)$  or  $\sigma = (S, M, V)$ .

Notation:

- $x: s \in V$ .
- $f: a \rightarrow b \in M$ .



# Signature of Universal Algebra

## Definition (Signature)

A multi-sorted signature  $\sigma$  of universal algebra consists of the following data:

- A set  $S$  of sorts.
- A graph of morphism symbols  $M \rightarrow S^+ \times S$ .
- A typed set of variable symbols  $V \rightarrow S$ , where each fiber is countably infinite.

We will often just denote  $\sigma = (S, M)$  or  $\sigma = (S, M, V)$ .

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- $x: s \in V$ .
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- If  $a = ()$ , then  $f: b \in M$ .

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A  $\sigma$ -model morphism  $m \rightarrow n$  in  $C$  consists of a family  $f$  of morphisms  $f_s: m(s) \rightarrow n(s)$ ,  $s \in S$ , where for all morphism symbols  $\alpha: a \rightarrow b$  we have a commuting diagram

$$\begin{array}{ccc}
 m(rb(a)) & \xrightarrow{m(\alpha)} & m(b) \\
 f_{rb(a)} \downarrow & & \downarrow f_b \\
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We define  $\tau: Term \rightarrow V^+$ . For a term  $t \in Term$  we form the list

$$\text{of variables } \tau(t) = \begin{cases} (), & \text{if } t = c \\ v, & \text{if } t = v \\ \tau(t_1) \cdots \tau(t_n), & \text{if } t = f(t_1, \dots, t_n). \end{cases}$$

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- $v$  is a monoidal context for  $t$ , if  $\tau(t) = v$ .
- The term  $t$  is called a monoidal term, if  $\tau(t)$  is a context.

Monoidal terms can be constructed recursively.



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## Definition (Equation & Theory)

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- We call  $m_{v,w}: m_v \rightarrow m_w$  a canonical morphism.

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- $$\begin{array}{ccc}
 m_v & \xrightarrow{m_{v,v_1 \dots v_n}} & m_{v_1} \otimes \dots \otimes m_{v_n} \\
 m_v(t) \downarrow & & \downarrow m_{v_1(t_1)} \otimes \dots \otimes m_{v_n(t_n)} \\
 m(b) & \xleftarrow{m(f)} & m_a
 \end{array}$$

for  $t = f(t_1, \dots, t_n)$  and  
 $v_i = \text{rb}(\tau(t_i)), i \leq n$ .



# Logical Entailment

## Lemma (Partial Context Independence)

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*Let  $m$  be a  $\sigma$ -model in a (cartesian/symmetric) monoidal category  $C$ . Let  $v, w \in V^*$  be (cartesian/symmetric) monoidal contexts for terms  $t, t_1, t_2: b$  and  $v$  is a context for  $w$ . Then the following assertions hold:*

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- The diagram

$$\begin{array}{ccc}
 m_v & & \\
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commutes.

- If the equation  $m_w(t_1) = m_w(t_2)$  holds, so does  $m_v(t_1) = m_v(t_2)$ .

# Logical Entailment

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Let  $m$  be a  $\sigma$ -model in a (symmetric) monoidal category  $C$ , we define:

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- (Symmetric) monoidal theory  $E$  entails  $\phi$  in  $C$ , if  $m \models E$  implies  $m \models \phi$  for all  $\sigma$ -models  $m$  in  $C$ . This is denoted  $E \models_C \phi$ .

## Examples of Theories

### Example (Enriched Category)

Let  $S$  be a set. Consider a signature  $\sigma = (S \times S, M = \{\circ_{a,b,c} : (b, c)(a, b) \rightarrow (a, c), id_a : () \rightarrow (a, a) \mid a, b, c \in S\})$ .



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$$(h \circ_{b,c,d} g) \circ_{a,b,d} f \approx h \circ_{a,c,d} (g \circ_{a,b,c} f)$$

$$f \circ_{a,a,b} id_a \approx f$$

$$id_b \circ_{a,b,b} f \approx f$$

for all  $a, b, c, d \in S$  and distinct variable symbols  $f : (a, b), g : (b, c)$  and  $h : (c, d)$ .

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for all  $a, b, c, d \in S$  and distinct variable symbols  $f : (a, b), g : (b, c)$  and  $h : (c, d)$ .

The  $\sigma$ -models satisfying  $E$  in a monoidal category  $C$  are exactly  $C$ -enriched categories with  $S$  being the set of objects.

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for  $a^1, \dots, a^n, b = b_1 \cdots b_n \in S^+$  and  $c, d \in S$ . Let  $E$  consist of the following equations

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- $f \circ (id, \dots, id) \approx f$
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for a suitable choice of sorts and distinct variable symbols  $h, g_i, f_j^i$  for  $i \leq n$  and  $j \leq m_i$ . Models for  $E$  in a symmetric monoidal category  $V$  are exactly the  $V$ -enriched multi-categories with  $S$  as the set of objects. A single object  $V$ -enriched multi-category is then an  $V$ -enriched operad.

# Substitution

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Let  $\sigma = (S, M, V)$  be a signature and let  $v = v_1 \cdots v_n$  be a context, where  $v_1, \dots, v_n \in V$ . A function  $s: \text{Var}(v) = \{v_1, \dots, v_n\} \rightarrow \text{Term}$  that preserves the typing is called a renaming of variables in  $v$ .



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Any renaming  $s: \text{Var}(v) \rightarrow \text{Term}$  extends uniquely to a typing preserving function  $\bar{s}: \text{Term}_v \rightarrow \text{Term}$ , where

$$\bar{s}(t) = \begin{cases} c, & \text{if } t = c \\ s(x), & \text{if } t = x \\ f(\bar{s}(t_1), \dots, \bar{s}(t_n)), & \text{if } t = f(t_1, \dots, t_n), \end{cases} \quad \text{for } t \in \text{Term}_v.$$

Furthermore, if  $s$  is a monoidal renaming, then  $\bar{s}$  maps monoidal terms to monoidal terms.

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- 4 If  $t_1 \approx t_2, t_2 \approx t_3 \in D_E$ , then  $t_1 \approx t_3 \in D_E$ .



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- 3 If  $t_1 \approx t_2 \in D_E$ , then  $t_2 \approx t_1 \in D_E$ .
- 4 If  $t_1 \approx t_2, t_2 \approx t_3 \in D_E$ , then  $t_1 \approx t_3 \in D_E$ .
- 5 Let  $t_1 \approx t_2 \in D_E$ . Let  $s_1, s_2: \text{Var}(t_1) \rightarrow \text{Term}$  be a monoidal renamings, where  $s_1(x) \approx s_2(x) \in D_E$  for all  $x \in \text{Var}(t_1)$ . Then  $s(t_1) \approx s(t_2) \in D_E$ .

# Synatactic deduction

## Definition (Deduction)

Let  $\sigma$  be a signature and  $E$  a (symmetric) monoidal theory. We define the set  $D_E$  of all deduced equations from  $E$  as the smallest set satisfying the following conditions:

- 1  $E \subset D_E$ .
- 2  $t \approx t \in D_E$  for all monoidal terms  $t$ .
- 3 If  $t_1 \approx t_2 \in D_E$ , then  $t_2 \approx t_1 \in D_E$ .
- 4 If  $t_1 \approx t_2, t_2 \approx t_3 \in D_E$ , then  $t_1 \approx t_3 \in D_E$ .
- 5 Let  $t_1 \approx t_2 \in D_E$ . Let  $s_1, s_2: \text{Var}(t_1) \rightarrow \text{Term}$  be a monoidal renamings, where  $s_1(x) \approx s_2(x) \in D_E$  for all  $x \in \text{Var}(t_1)$ . Then  $s(t_1) \approx s(t_2) \in D_E$ .

If  $\phi \in D_E$ , we write  $E \vdash \phi$  and say that  $\phi$  is syntactically deduced from  $E$ .

# Soundness

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## Lemma (Substitution Lemma)

*Let  $m$  be a  $\sigma$ -model in (cartesian/symmetric) monoidal category  $C$ . Assume that  $v = v_1 \dots v_n$  is a (cartesian/symmetric) monoidal context for a term  $t: b$ . Assume that  $s: \text{Var}(v) \rightarrow \text{Term}$  is a (cartesian) monoidal renaming.*

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Then for any (cartesian/symmetric) monoidal contexts  $w, w_1, \dots, w_n$  for  $s(t), s(v_1), \dots, s(v_n)$ , respectively, where  $w_i$  has its variables expressed in  $w$  for  $i \leq n$ , the equation holds

$$m_w(s(t)) = m_v(t) \circ m_{w_1}(s(v_1)) \otimes \dots \otimes m_{w_n}(s(v_n)) \circ m_{w, w_1 \dots w_n}.$$

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## Theorem (Soundness)

Let  $E \cup \{\phi\}$  be a (symmetric) monoidal  $\sigma$ -theory. Let  $\mathcal{C}$  be a (symmetric) monoidal category. Then  $E \vdash \phi$  implies  $E \vDash_{\mathcal{C}} \phi$ .

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$$\begin{aligned} m_w(s_1(t_1)) &= m_v(t_1) \circ m_{w_1}(s_1(v_1)) \otimes \dots \otimes m_{w_n}(s_1(v_n)) \circ m_{w, w_1 \dots w_n} \\ &= m_v(t_2) \circ m_{w_1}(s_2(v_1)) \otimes \dots \otimes m_{w_n}(s_2(v_n)) \circ m_{w, w_1 \dots w_n} \\ &= m_w(s_2(t_2)) \end{aligned}$$

for  $w_i = \tau(s_1(v_i))$ ,  $i \leq n$ . Hence  $s_1(t_1) \approx s_2(t_2) \in T$ . □

# Modified Lindenbaum-Tarski-algebras

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## Definition (Modified Term-algebras)

Let  $E$  be a (symmetric) monoidal  $\sigma$ -theory. We define the monoidal  $\sigma$  term model  $n$  and the monoidal  $E$ -model  $m$  in **Set** as follows:

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- $n(\alpha): n(a) \rightarrow n(b)$ ,  
 $(u_1, \dots, u_n) \mapsto \begin{cases} f(u_1, \dots, u_n), & \text{if } f(u_1, \dots, u_n) \in n(b) \\ *, & \text{else} \end{cases}$

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$m(\alpha): m(a) \rightarrow m(b)$  is the unique map making the commutative diagram

$$\begin{array}{ccc} n(a) & \xrightarrow{n(\alpha)} & n(b) \\ q_a \downarrow & & \downarrow q_b \\ m(a) & \xrightarrow{m(\alpha)} & m(b) \end{array}$$

# Completeness



# Completeness

## Lemma (Term-Naturality of Model Morphisms)

Let  $f: m \rightarrow n$  be a morphism of  $\sigma$ -models in a (cartesian/symmetric) monoidal category  $\mathcal{C}$ . Let  $v$  be a (cartesian/symmetric) monoidal context for a term  $t: b$ . Then the diagram

$$\begin{array}{ccc} m_v & \xrightarrow{m_v(t)} & m(b) \\ \downarrow f_v & & \downarrow f_b \\ n_v & \xrightarrow{n_v(t)} & n(b) \end{array}$$

commutes.

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Let  $E$  be a (symmetric) monoidal  $\sigma$ -theory. Let  $m$  be the monoidal  $E$ -model. Let  $v = v_1 \cdots v_n$  be a context for a term  $t: b$ . Denote the variables expressed in  $t$  by  $v_{i_1}, \dots, v_{i_k}$ . Then

$$n_v(t)(u_1, \dots, u_n) = \begin{cases} *, & \text{if } * \text{ or a variable twice in } (u_{i_1}, \dots, u_{i_k}), \\ s(t), & \text{else where } s(v_{i_j}) = u_{i_j}, j \leq k. \end{cases}$$

for  $(u_1, \dots, u_n) \in n_v$ .

# Completeness

## Theorem (Completeness)

*Let  $E \cup \{\phi\}$  be a (symmetric) monoidal theory and let  $m$  be the monoidal  $E$ -model. Then  $m \models \phi$  if and only if  $E \vdash \phi$ . Especially,  $E \vdash \phi$  if and only if  $E \models_{\text{Set}} \phi$ .*

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## Proof.

$\Rightarrow$ : Let  $v = v_1 \dots v_n$  be a (symmetric) monoidal context for terms  $t_1, t_2 : b$ . Assume that  $m_v(t_1) = m_v(t_2)$ . By the Evaluation Lemma and the term naturality of the quotient  $q: n \rightarrow m$ , it follows that

$$\begin{aligned} [t_1] &= m_v(t_1)([v_1], \dots, [v_n]) \\ &= m_v(t_2)([v_1], \dots, [v_n]) \\ &= [t_2] \end{aligned}$$

and hence  $E \vdash t_1 \approx t_2$ .

# Completeness

## $E$ -Model Completeness (continued).

$\Leftarrow$ : Assume then that  $E \vdash t_1 \approx t_2$ . We show that  $m_v(t_1) = m_v(t_2)$ .  
Let  $([u_1], \dots, [u_n]) \in m_v$ . Now again by the previous lemmas again

$$\begin{aligned} m_v(t_1)([u_1], \dots, [u_n]) &= [n_v(t_1)(u_1, \dots, u_n)] \\ &= \begin{cases} [*], & \text{if } * \text{ or a variable twice in } (u_1, \dots, u_n) \\ [s(t_1)], & \text{else where } s(v_i) = u_i, i \leq n \end{cases} \\ &= \begin{cases} [*], & \text{if } * \text{ or a variable twice in } (u_1, \dots, u_n) \\ [s(t_2)], & \text{else where } s(v_i) = u_i, i \leq n \end{cases} \\ &= [n_v(t_2)(u_1, \dots, u_n)] \\ &= m_v(t_2)([u_1], \dots, [u_n]) \end{aligned}$$

Thus  $m_v(t_1) = m_v(t_2)$ . □

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*Let  $E \cup \{\phi\}$  (cartesian/symmetric) monoidal theory. Then  $E \vDash_{\mathbf{Set}} \phi$  implies  $E \vDash_C \phi$  for all (cartesian/symmetric) monoidal categories  $C$ .*

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## Proof.

If  $E \vDash_{\mathbf{Set}} \phi$ , then by completeness  $E \vdash \phi$  and hence by soundness  $E \vDash_C \phi$  for all (cartesian/symmetric) monoidal categories  $C$ .  $\square$

# Thank You

Thank you for your attention!