

Algebraic Recognition of Regular Functions

Lê Thành Dũng (Tito) Nguyễn — nltd@nguyentito.eu — ÉNS Lyon
joint work with Mikołaj Bojańczyk (MIMUW, University of Warsaw)

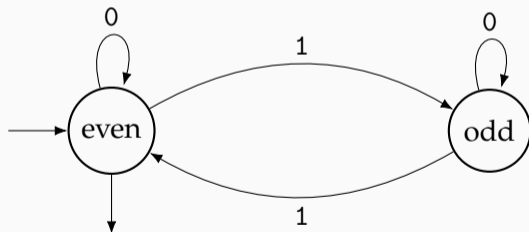
11th Symposium on **Compositional Structures** – April 20th, 2023

Reminder: automata and regular languages

Languages = sets of words $L \subseteq \Sigma^* \cong$ decision problems $\Sigma^* \rightarrow \{\text{yes, no}\}$

Regular languages: fundamental class in comp. sci., many definitions

- *regular expressions*: $0^*(10^*10^*)^*$ = “only 0s and 1s & even number of 1s”
- *finite automata* (deterministic or not): e.g. drawing below



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- *regular expressions*: $0^*(10^*10^*)^*$ = “only 0s and 1s & even number of 1s”
- *finite automata* (deterministic or not)
- *algebraic* definition below (very close to automata), e.g. $M = \mathbb{Z}/(2)$

Theorem (classical)

A language $L \subseteq \Sigma^*$ is regular \iff there are a monoid morphism $\varphi: \Sigma^* \rightarrow M$ to a finite monoid M and a subset $P \subseteq M$ such that $L = \varphi^{-1}(P) = \{w \in \Sigma^* \mid \varphi(w) \in P\}$.

$\Sigma^* = \{\text{words over the finite alphabet } \Sigma\} = \text{free monoid}$

- monadic 2nd-order logic, simply typed λ -calculus [Hillebrand & Kanellakis 1996], ...

Algebraic recognition of regular languages

A language $L \subseteq \Sigma^*$ is regular \iff the corresponding decision problem *factors* as

$$\Sigma^* \xrightarrow{\text{some morphism}} \text{some finite monoid } M \rightarrow \{\text{yes, no}\}$$

\rightsquigarrow terminology: “ M recognizes L ”

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Varying the monoids M allowed leads to *algebraic language theory*

Founding example: Schützenberger’s theorem on star-free languages

L is recognized by some *aperiodic* finite monoid ($\forall x \in M, \exists n \in \mathbb{N} : x^n = x^{n+1}$)

\iff it is described by some *star-free expression*

$$E, E' ::= \emptyset \mid \overbrace{\varepsilon}^{\text{empty string}} \mid \underbrace{a}_{\text{letter in a finite alphabet } \Sigma} \mid E \cup E' \mid \overbrace{E \cdot E'}^{\text{concatenation}} \mid \underbrace{\neg E}_{\text{complement}} \quad \rightsquigarrow \quad \llbracket E \rrbracket \subseteq \Sigma^*$$

Semigroups instead of monoids

A language $L \subseteq \Sigma^*$ is regular \iff the corresponding decision problem factors as

$$\Sigma^* \xrightarrow{\text{some morphism}} \text{some finite semigroup } S \rightarrow \{\text{yes, no}\}$$

Definition

Semigroup = set + associative binary operation (so monoid = semigroup + unit)

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Definition

Semigroup = set + associative binary operation (so monoid = semigroup + unit)

We still have: star-free language \iff recognized by *aperiodic* finite semigroup

Semigroups are sometimes more convenient than monoids

A finite semigroup is aperiodic ($\forall x \in S, \exists n \geq 1 : x^n = x^{n+1}$)

\iff none of its non-trivial subsemigroups are groups ((\Leftarrow) fails with submonoids)

Remark: every finite semigroup “is built from” groups & aperiodic semigroups
divides a wreath product of (Krohn–Rhodes decomposition)

From languages to functions

Finite semigroups recognize regular *languages* $L \subseteq \Sigma^* \rightsquigarrow$ leads to a rich theory

What about functions $f: \Sigma^* \rightarrow \Gamma^*$?

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(sequential functions, rational functions, polyregular functions...)

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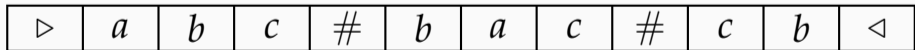
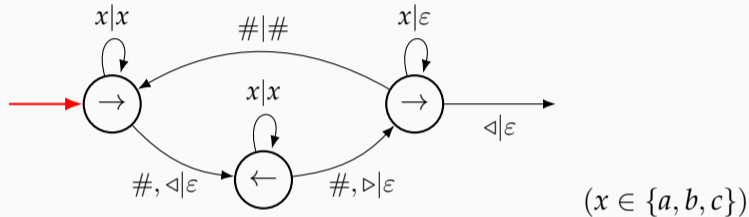
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Regular functions are one of the most robust/canonical classes

- several equivalent definitions (next slides)
- previously, no concise algebraic one \longrightarrow **our contribution**
using a bit of category theory!

The first definition of regular functions: (deterministic) two-way transducers

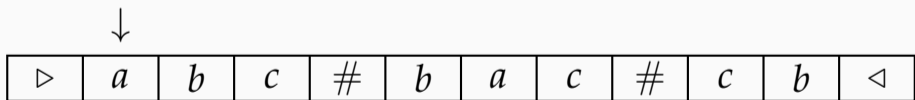
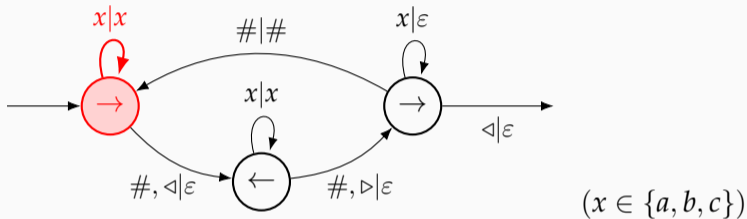
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Output:

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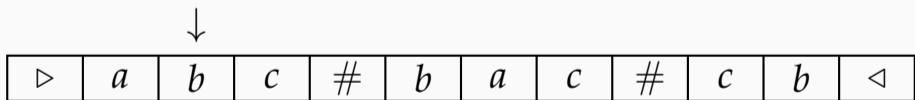
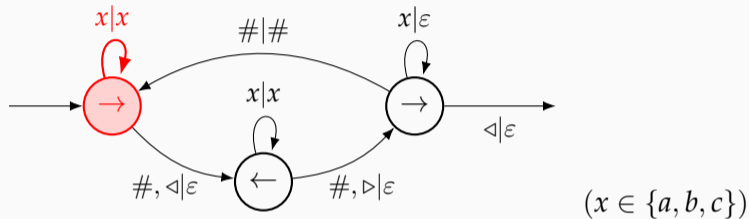
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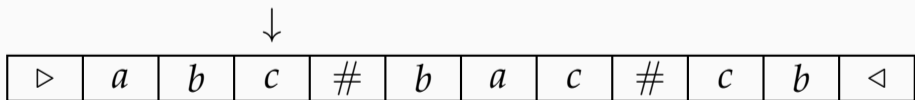
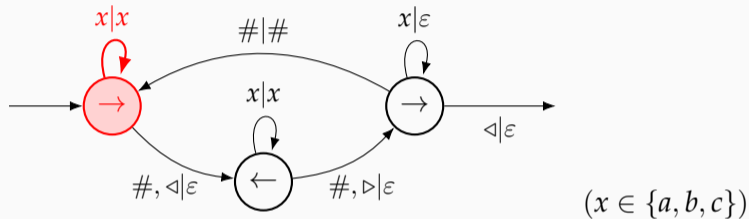
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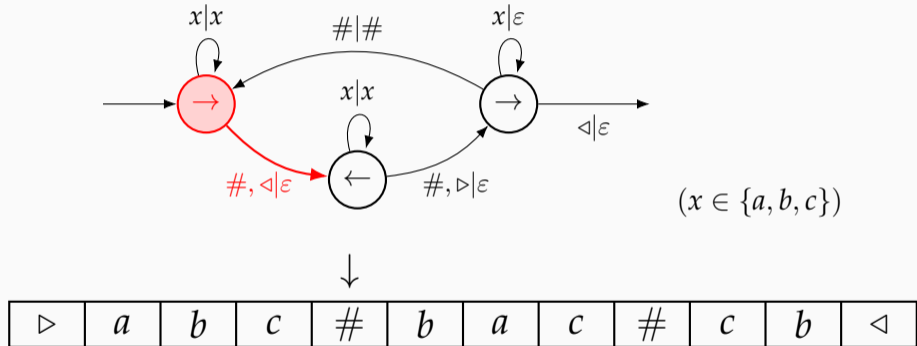
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Output: ab

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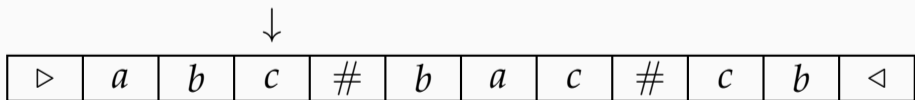
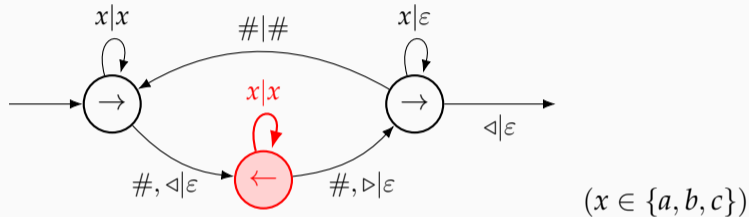


$(x \in \{a, b, c\})$

Output: abc

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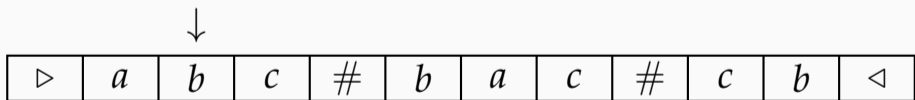
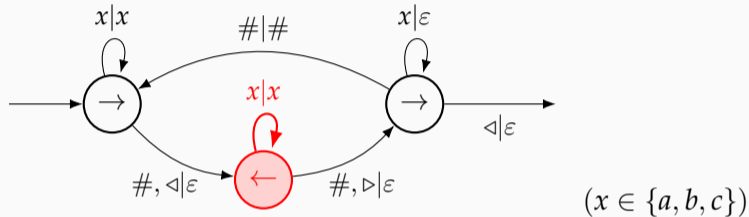
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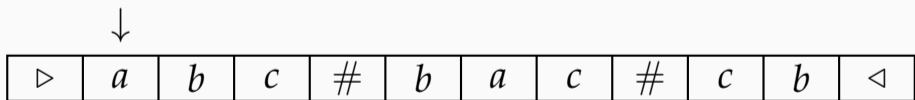
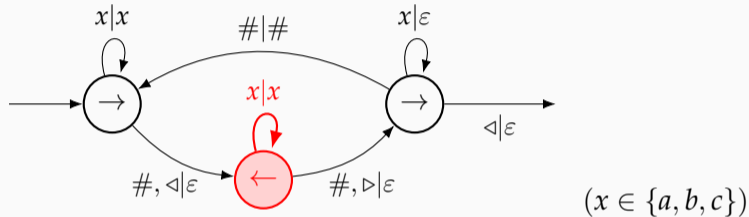
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Output: $abcc$

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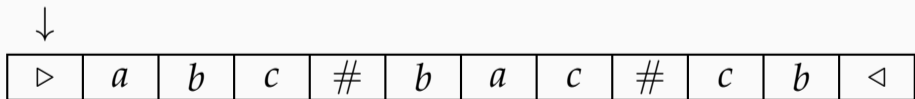
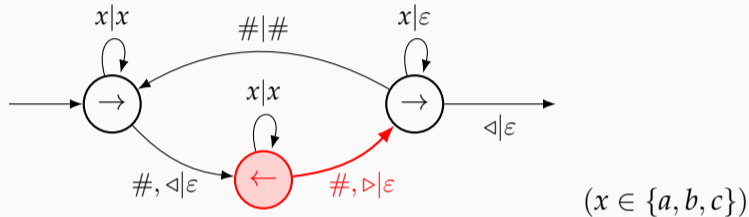
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Output: $abccb$

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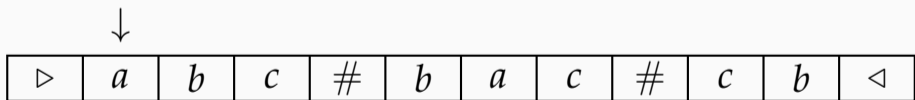
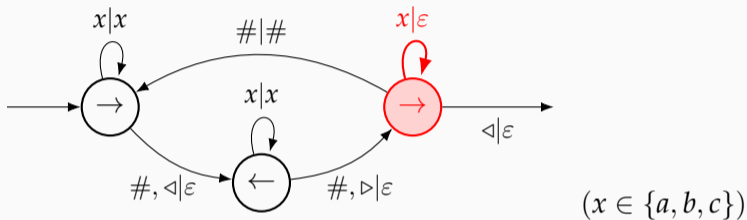
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Output: *abccba*

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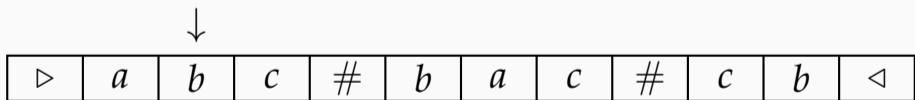
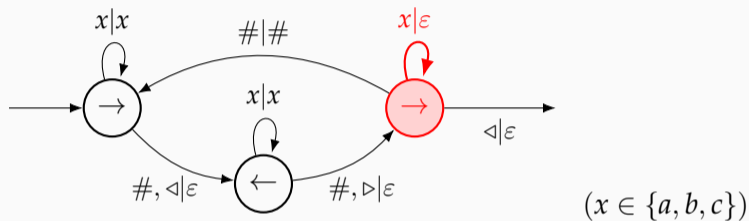
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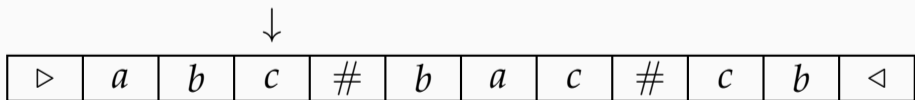
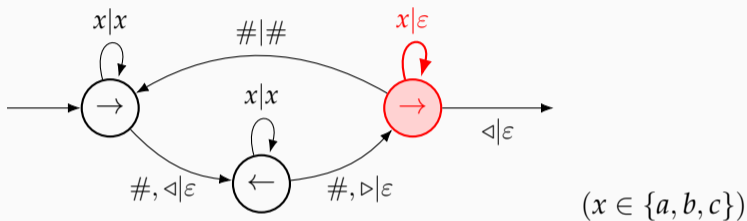
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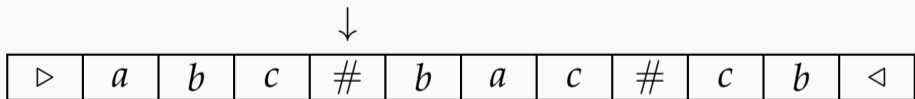
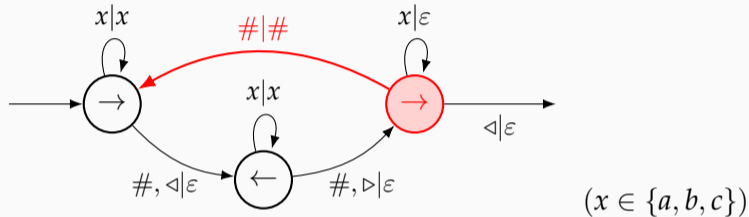
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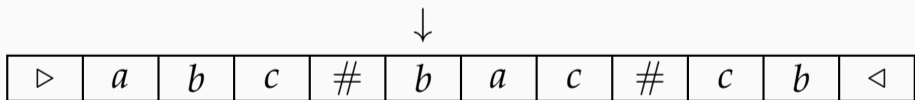
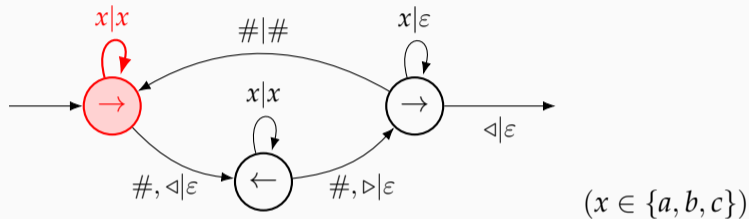
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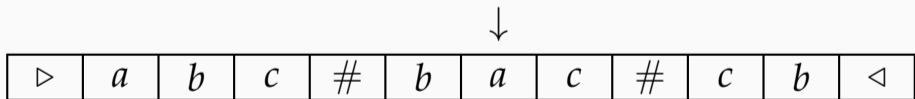
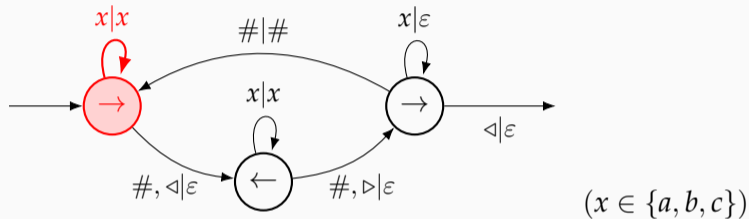
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Output: $abccba\#$

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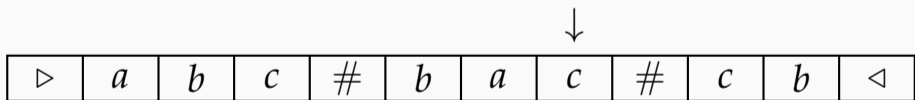
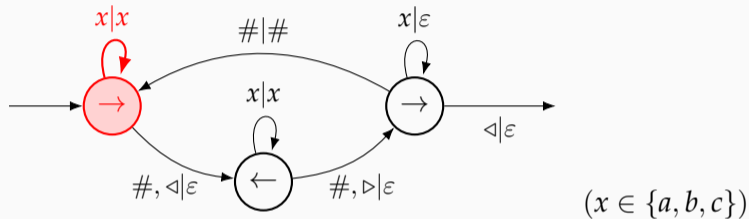
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Output: $abccba\#b$

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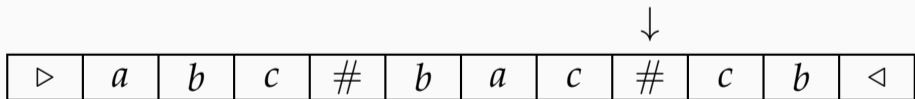
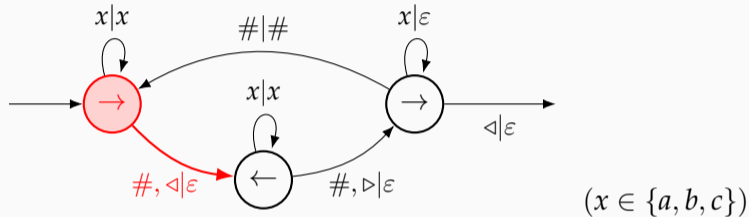
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Output: $abccba\#ba$

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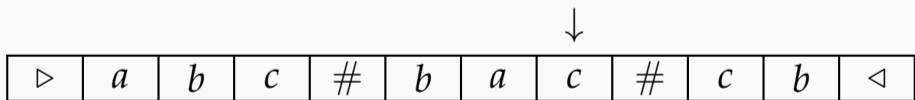
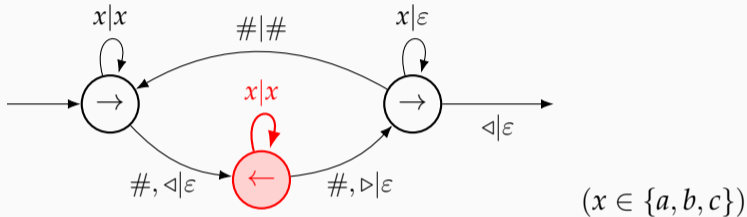
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Output: $abccba\#bac$

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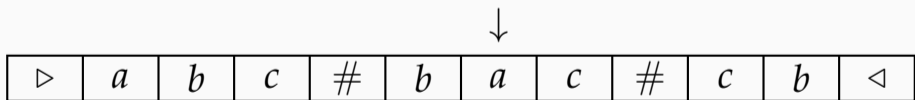
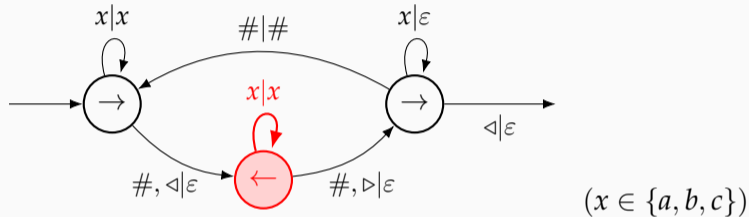
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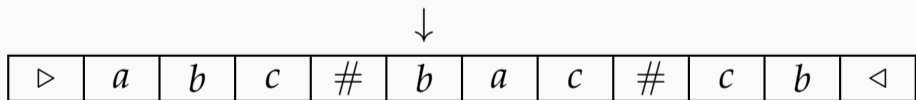
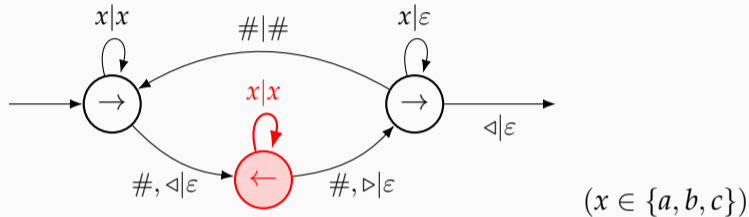
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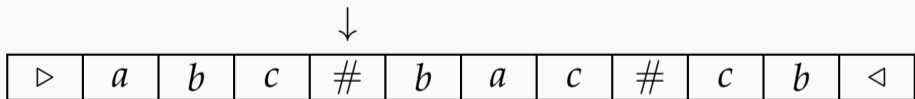
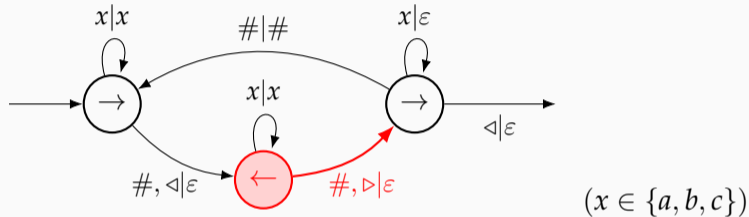
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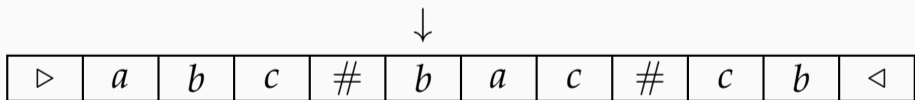
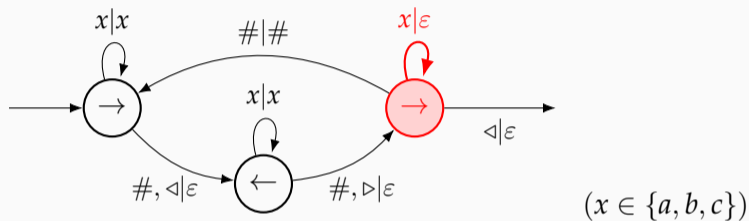
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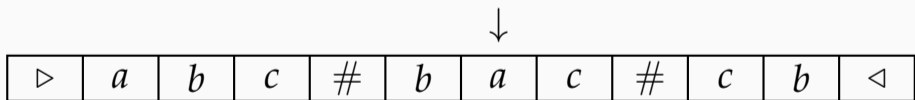
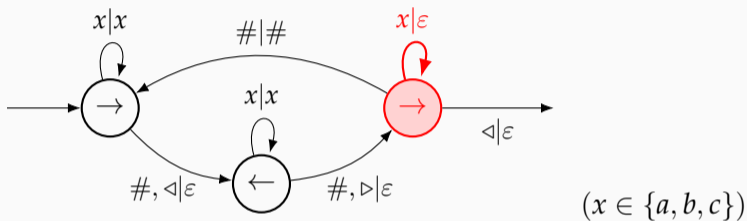
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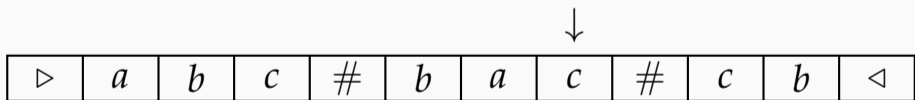
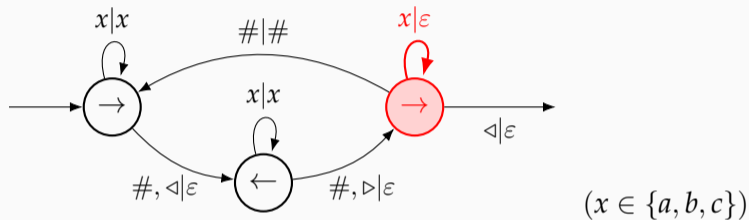
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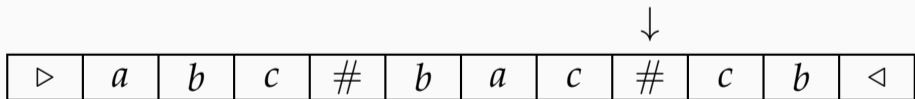
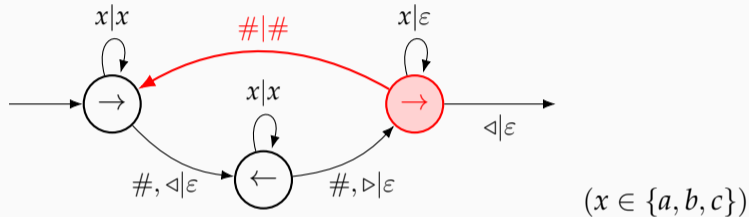
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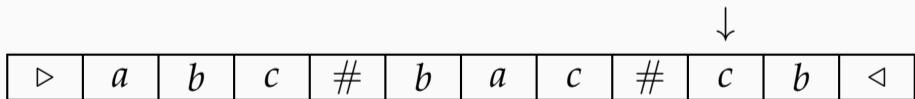
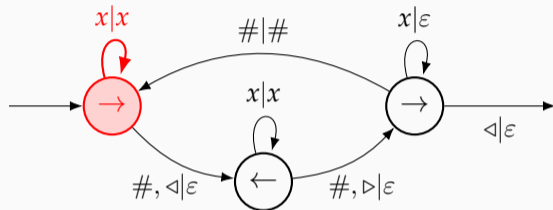
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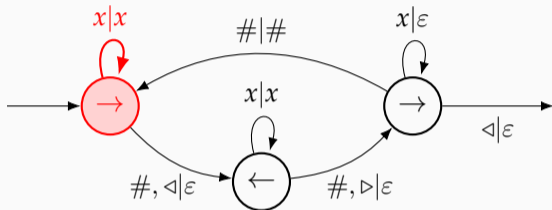
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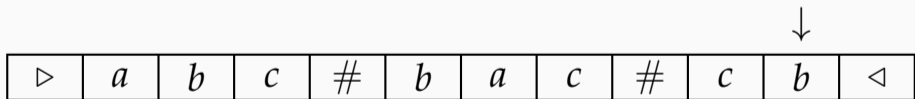
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The first definition of regular functions: (deterministic) two-way transducers

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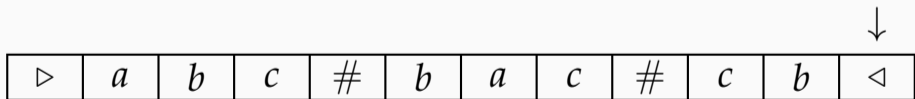
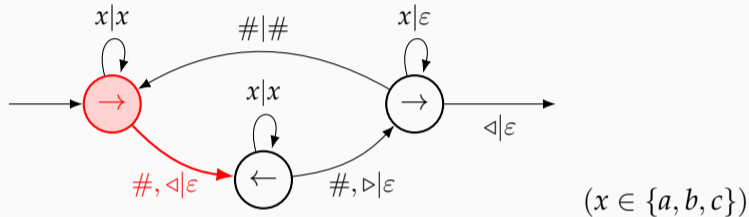
$(x \in \{a, b, c\})$



Output: $abccb\#baccab\#c$

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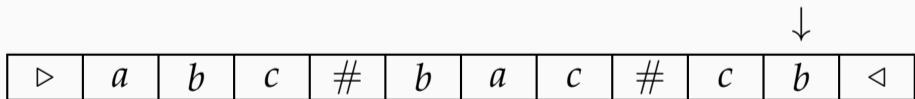
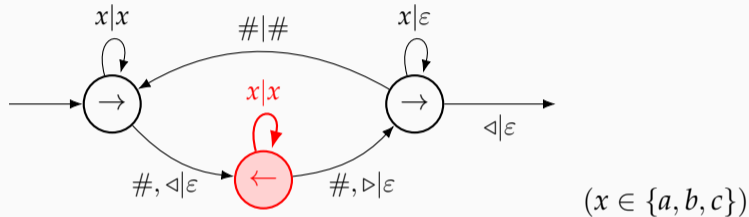
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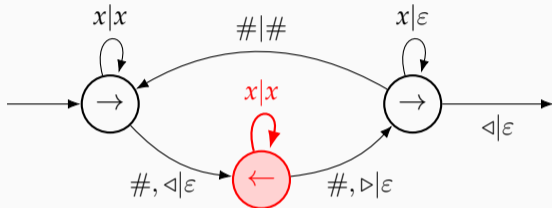
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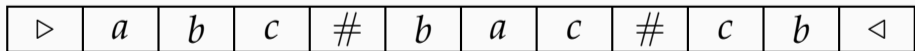
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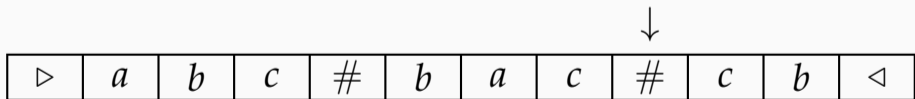
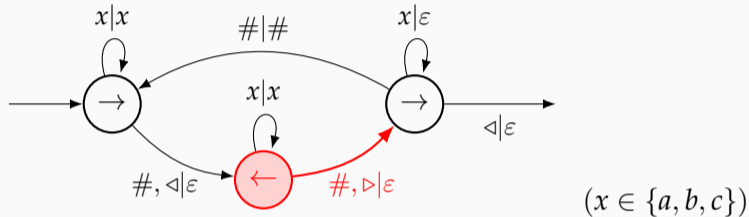
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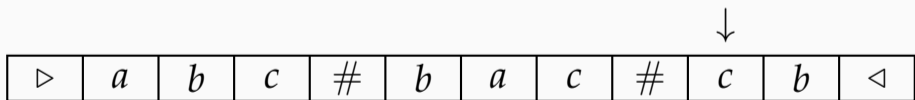
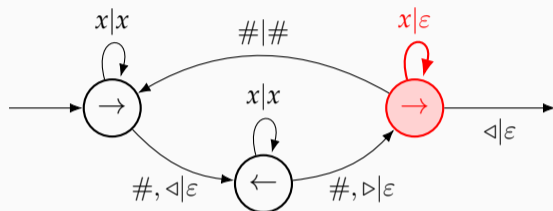
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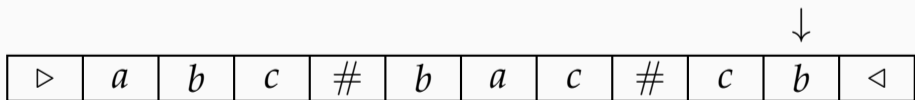
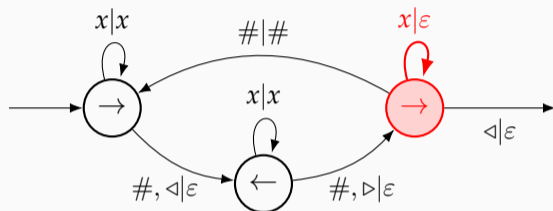
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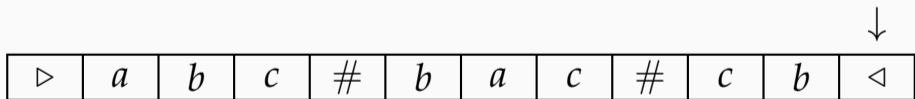
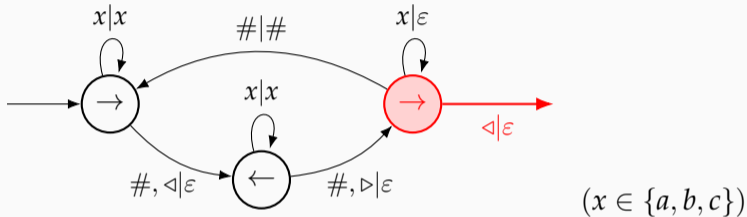
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Streaming string transducers = finite automata + string-valued registers

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<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

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----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

$$X = ca \quad Y = \varepsilon$$

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↓

<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

$$X = aca \quad Y = \varepsilon$$

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↓

<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

$$X = \textit{baca} \quad Y = \varepsilon$$

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↓

<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
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$$X = \varepsilon \quad Y = \text{baca}\#$$

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----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

$$X = b \quad Y = baca\#$$

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↓

<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

$$X = cb \quad Y = baca\#$$

Streaming string transducers = finite automata + string-valued registers

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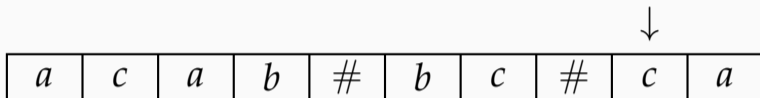
↓

<i>a</i>	<i>c</i>	<i>a</i>	<i>b</i>	<i>#</i>	<i>b</i>	<i>c</i>	<i>#</i>	<i>c</i>	<i>a</i>
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$$X = \varepsilon \quad Y = \textit{baca}\#\textit{cb}\#$$

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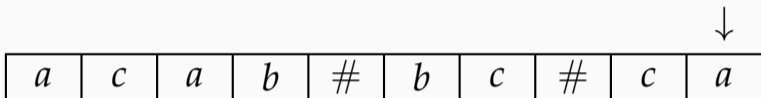
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$$X = c \quad Y = \text{baca}\#\text{cb}\#$$

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$$X = ac \quad Y = baca\#cb\#$$

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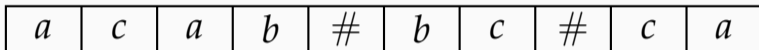
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Regular functions = computed by copyless SSTs

$$a \mapsto \begin{cases} X := aX \\ Y := Y \end{cases} \quad \# \mapsto \begin{cases} X := \varepsilon \\ Y := YX\# \end{cases} \quad \begin{array}{l} \text{each register appears } \textit{at most once} \\ \text{on the right of a } := \text{ in a transition} \end{array}$$

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\rightsquigarrow connection with *linear logic* [Gallot, Lemay & Salvati 2020; N. & Pradic (in my PhD)]

Recognizing regular functions with functors on semigroups

A language is regular \iff the corresponding decision problem factors as

$$\Sigma^* \xrightarrow{\text{some morphism}} \text{some finite semigroup} \rightarrow \{\text{yes, no}\}$$

The main theorem

A string-to-string function is regular \iff it factors as

$$\Sigma^* \xrightarrow{\text{some morphism}} F\Gamma^* \xrightarrow{\text{out}_{\Gamma^*}} \Gamma^*$$

- for some *endofunctor* F on semigroups with S finite $\Rightarrow F(S)$ finite
- and some *natural transformation* $\text{out} : UF \Rightarrow U$ (where $U = \text{forgetful to Set}$)

(Monoids instead of semigroups \rightsquigarrow regular functions f such that $f(\varepsilon) = \varepsilon$)

Example

The following regular function maps baa to $cccaab$:

$$\{a, b\}^* \xrightarrow{\langle (- \mapsto c), \text{reverse} \rangle} \{a, b, c\}^* \times (\{a, b, c\}^*)^{\text{op}} \xrightarrow{\text{concatenate}} \Sigma^*$$

- $S^{\text{op}} = S$ where the product is reversed; $\text{reverse}: \Sigma^* \rightarrow (\Sigma^*)^{\text{op}}$ is a morphism
- $\text{FS} = S \times S^{\text{op}}$ is a finiteness-preserving endofunctor
- $\cdot_S: S \times S^{\text{op}} \rightarrow S$ is family of **Set**-functions natural in S

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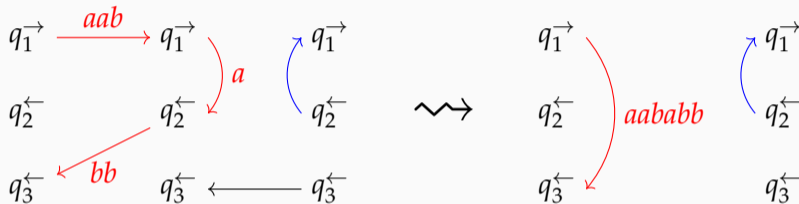
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- with S finite $\Rightarrow F(S)$ finite $\rightsquigarrow S$ -independent part \simeq some *finite state*

Proof idea (1): two-way transducer \longrightarrow functor

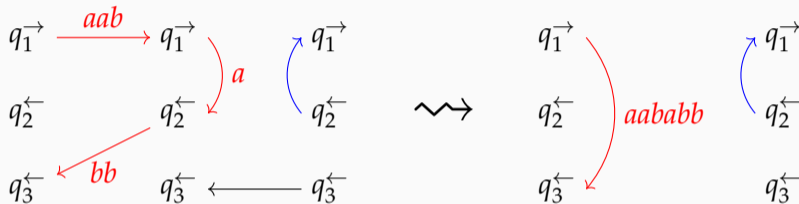
Behaviors of two-way transducers have a semigroup structure:



connection with traced monoidal categories: shapes = $\text{Int}(\mathbf{Set}_{\text{partial}})(Q, Q)$ [Hines 2003]

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Finitely many “shapes” \rightsquigarrow finiteness-preserving FS = $\sum_{\text{shapes}} S^{\text{number of labels}}$

(Actual proof in paper: similar phenomenon for streaming string transducers)

Proof idea (2): functor \longrightarrow streaming string transducer

Key property of a “functorially recognized” function $f: \Sigma^* \rightarrow \Gamma^*$

For all $u, v \in \Sigma^*$, the parts of the output $f(uv)$ “caused by” the input prefix u consist of a *bounded number of factors* (contiguous subwords).

For $f: w \mapsto c^{|w|} \cdot \text{reverse}(w)$, at most 2 factors: $f(\underline{baa}) = \underline{cccaab}$

\longrightarrow build a transducer whose registers store these factors after reading u

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Formally: for f factored into $\Sigma^* \xrightarrow{h} F\Gamma^* \xrightarrow{\text{out}_{\Gamma^*}} \Gamma^*$, consider $(\oplus = \text{coproduct})$

$$\text{out}(F_{\underline{l}}(h(ba)) \cdot F_l(h(a))) = \underline{cc} \cdot ca \cdot \underline{ab} \in \underline{\Sigma^*} \oplus \Sigma^*$$

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Its “shape” $\underline{1} \cdot 1 \cdot \underline{1}$ is determined by $(F\underline{\top}(h(ba)), F\underline{\top}(h(a))) \in (F1)^2$ $(\top: \Sigma^* \rightarrow 1)$
 $+ (1 \text{ finite} \implies F1 \text{ finite}) \rightsquigarrow$ finitely many shapes \rightsquigarrow desired bound

Conclusion

A language is regular \iff the corresponding decision problem factors as

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