

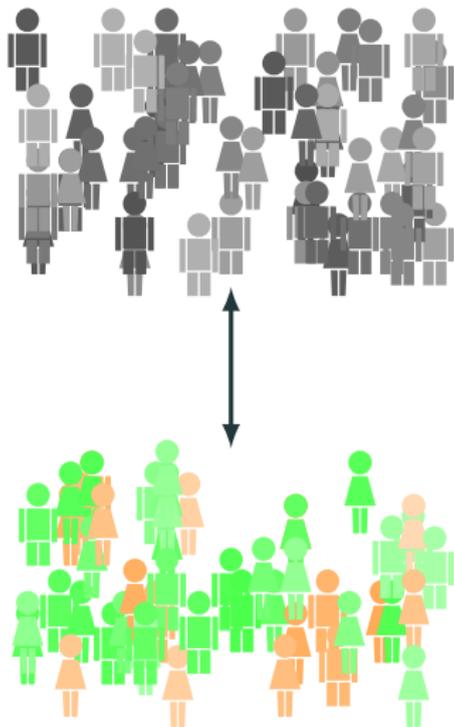


# A genetic test for differential causative pathology in disease subgroups

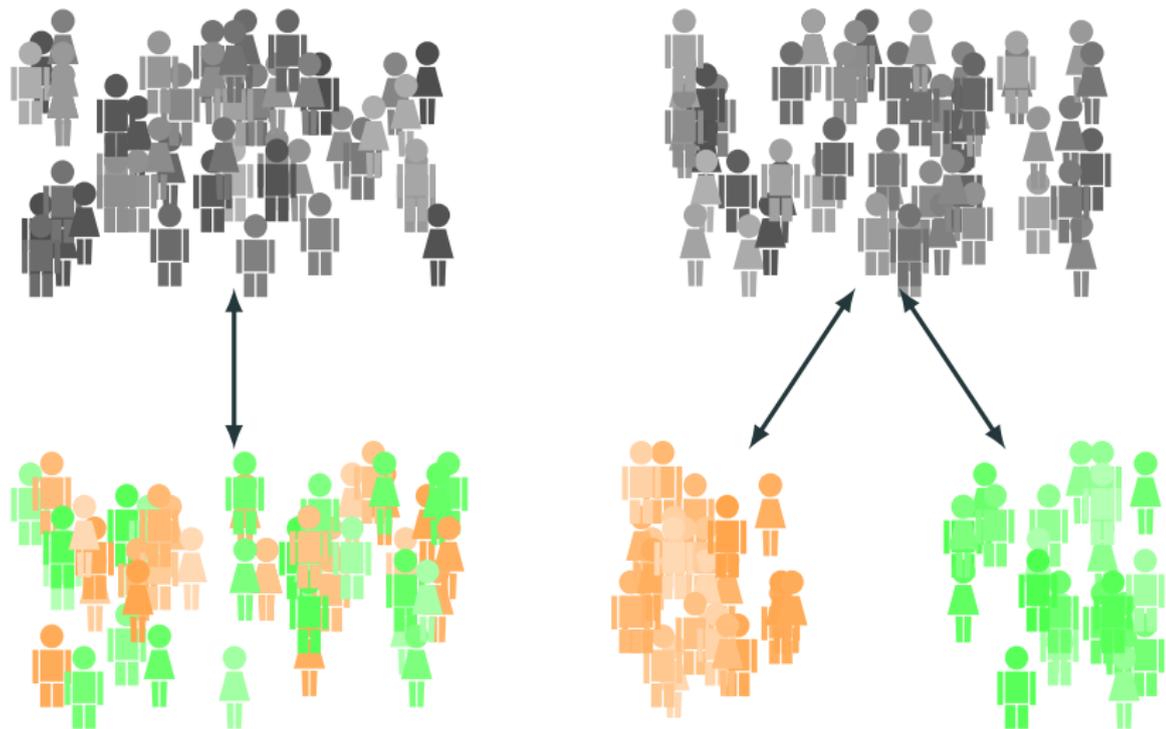
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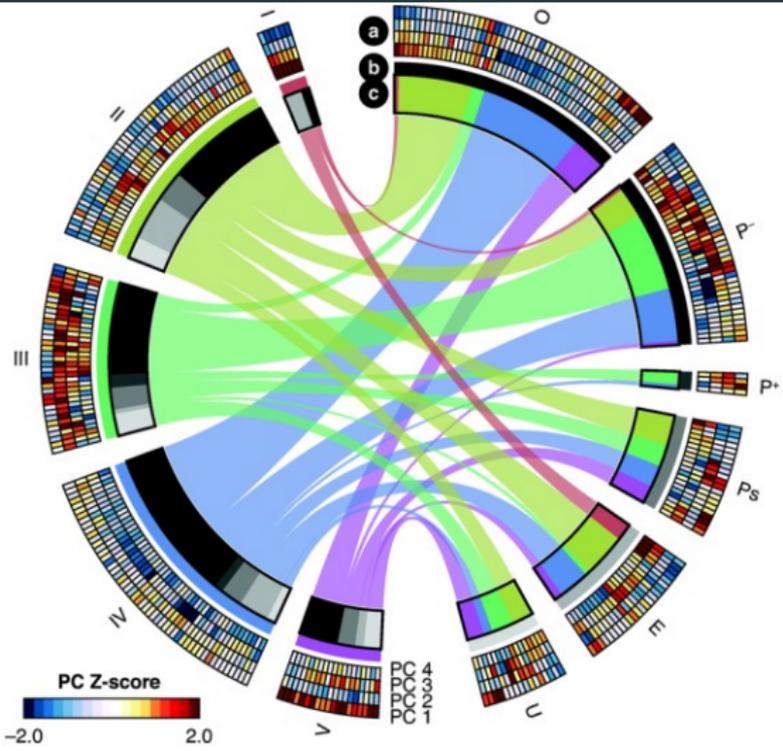
# Clinical heterogeneity in disease often ignored in GWAS



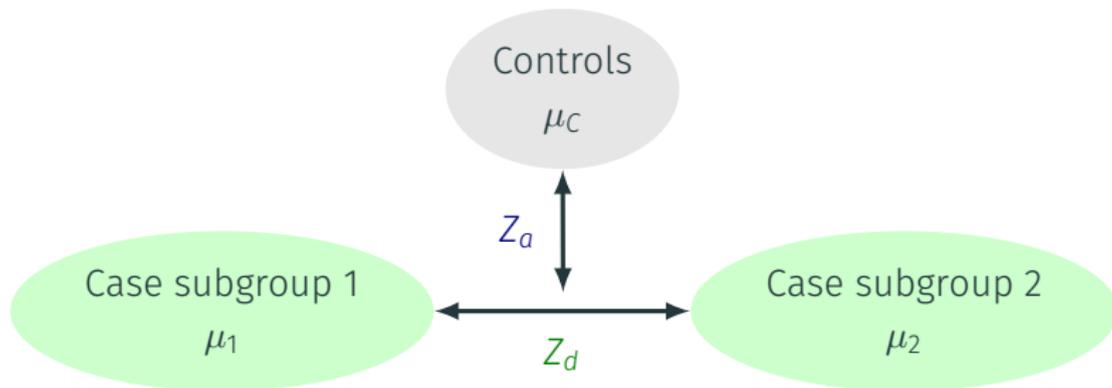
# Clinical heterogeneity in disease often ignored in GWAS



# Juvenile Idiopathic Arthritis is a heterogeneous family of diseases



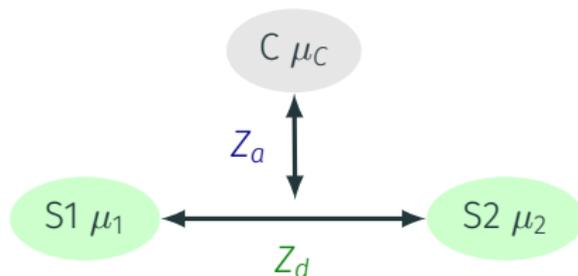
## Two dimensional GWAS model



$\mu$  represents population allele frequency at a given genetic variant (SNP) in each group

Test hypotheses of the form  $\mu_1 = \mu_2$  to derive a Z score at each SNP

## Two dimensional GWAS model



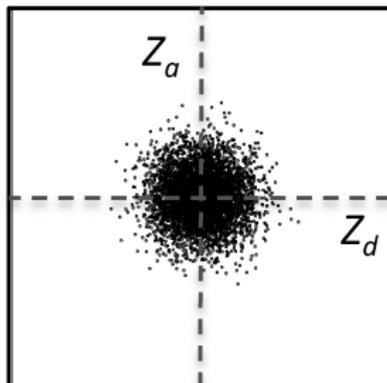
Joint mixture Gaussian model of  $(Z_a, Z_d)$ . SNPs may fall into one of three groups:

- Group 1** SNPs not associated with the disease and with the same frequency in subgroups ( $\mu_1 = \mu_C = \mu_2$ )
- Group 2** SNPs associated with the disease, but with the same frequency in subgroups ( $\mu_1 = \mu_2 \neq \mu_C$ )
- Group 3** SNPs with different frequencies in subgroups ( $\mu_1 \neq \mu_2$ )

## Group 1: $\mu_C = \mu_1 = \mu_2$

$Z_a, Z_d$  both  $\sim N(0, 1)$ , and are independent.

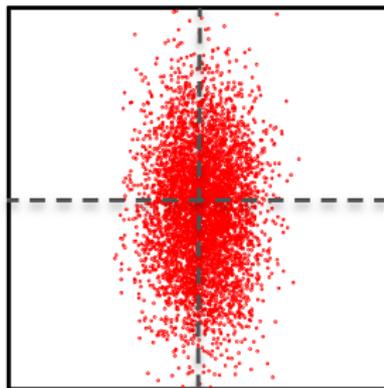
$$\begin{pmatrix} Z_d \\ Z_a \end{pmatrix} \sim N \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right)$$



## Group 2: $\mu_C \neq \mu_1 = \mu_2$

Assume that underlying **case-control** effect sizes  $\log OR(\mu_C, \mu_{12})$  are normally distributed with mean 0

$$\begin{pmatrix} Z_d \\ Z_a \end{pmatrix} \sim N \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & \sigma_1^2 \end{pmatrix} \right)$$

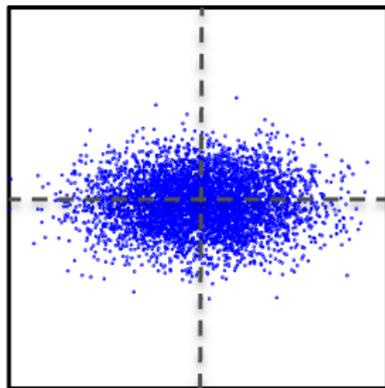


## Group 3 $\mu_1 \neq \mu_2$ – Null hypothesis

Assume that underlying **between subgroup** effect sizes  $\log OR(\mu_1, \mu_2)$  are normally distributed with mean 0

The overall allele frequency should be the same in cases and controls, so  $Z_a \sim N(0, 1)$

$$\begin{pmatrix} Z_d \\ Z_a \end{pmatrix} \sim N \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} \tau^2 & 0 \\ 0 & 1 \end{pmatrix} \right)$$

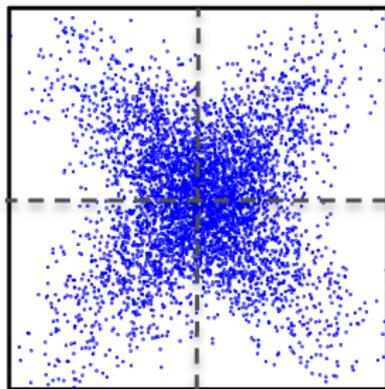


## Group 3 $\mu_1 \neq \mu_2, \mu_{12} \neq \mu_C$ – Alternative hypothesis

If SNPs have different effect sizes between subgroups, and are associated with the phenotype as a whole, then we expect both  $SD(Z_a) > 1$  and  $SD(Z_d) > 1$ .

They may also be correlated.

$$\begin{pmatrix} Z_d \\ Z_a \end{pmatrix} \sim \begin{cases} N \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} \tau^2 & \rho \\ \rho & \sigma_2^2 \end{pmatrix} \right) \\ N \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} \tau^2 & -\rho \\ -\rho & \sigma_2^2 \end{pmatrix} \right) \end{cases}$$



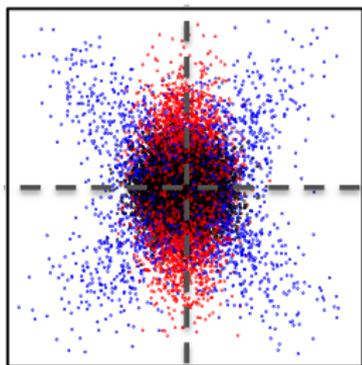
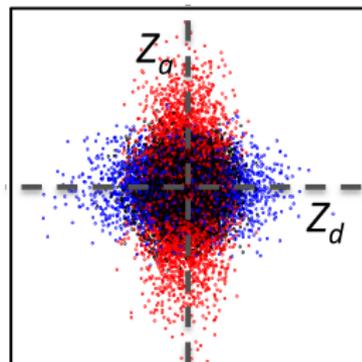
# Three-Gaussian model

Assume proportion of SNPs in each group is  $\pi_0, \pi_1, \pi_2$ .

Find MLE of  $\Theta_1 = (\pi_0, \pi_1, \pi_2, \sigma_1^2, \sigma_2^2, \rho)$  using E-M algorithm

Find MLE of  $\Theta_0 = (\pi_0, \pi_1, \pi_2, \sigma_1^2 | \sigma_2^2 = 1, \rho = 0)$  (null model)

Compare likelihood under  $\Theta_1$  and  $\Theta_0$



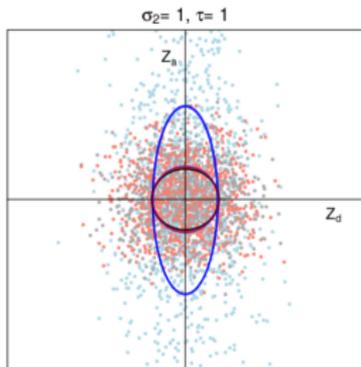
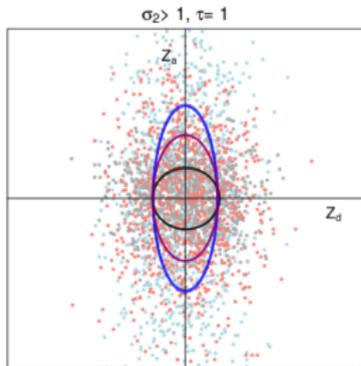
# Pseudo likelihood ratio test - challenges

1. Observations are dependent due to linkage disequilibrium between SNPs. We weight individual contributions from individual SNPs using LDAK<sup>1</sup>, but some residual correlation remains.

# Pseudo likelihood ratio test - challenges

1. Observations are dependent due to linkage disequilibrium between SNPs. We weight individual contributions from individual SNPs using LDAK<sup>1</sup>, but some residual correlation remains.
2. If there are no SNPs in group 3 and log OR not exactly normal, then  $H_1$  will always fit better.  
We condition on  $Z_d$

$$PLR = \frac{\prod_i w_i \times PDF \left( Z_d^{(i)} | Z_a^{(i)}; \Theta_1 \right)}{\prod_i w_i \times PDF \left( Z_d^{(i)} | Z_a^{(i)}; \Theta_0 \right)}$$



# Null distribution of PLR

Null parameter values are on a boundary, so PLR will have a mixture  $\chi^2$  distribution

Non-independence between SNPs results in scaling of mixture  $\chi^2$  distribution

Null distribution of PLR is a scaled and transposed  $\chi^2$  distribution:

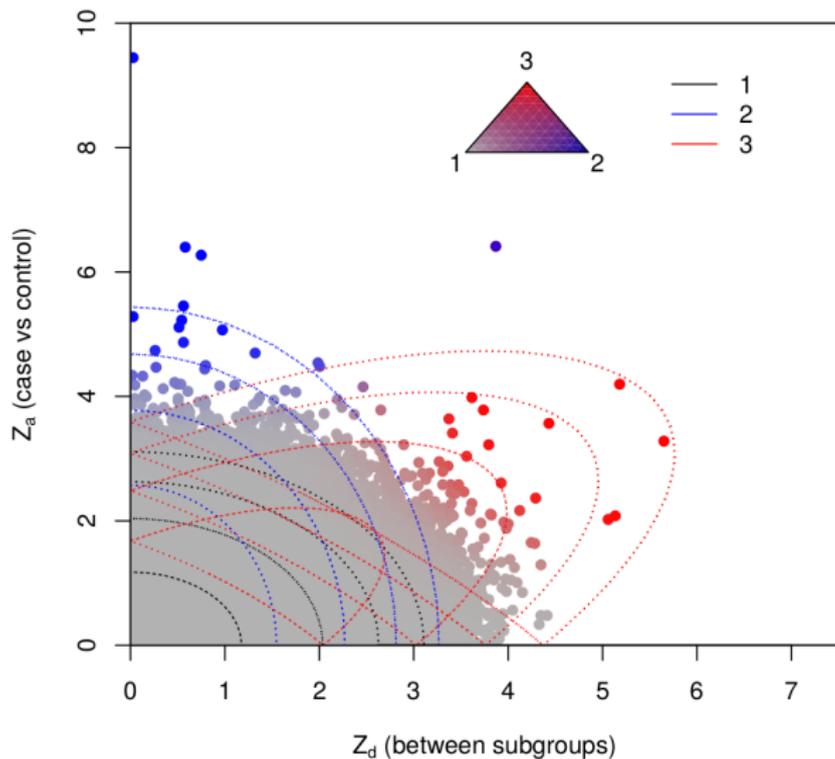
$$PLR \sim \begin{cases} \gamma\chi_1^2 & \rho = \kappa \\ \gamma\chi_2^2 & \rho = 1 - \kappa \end{cases}$$

$\gamma$  depends on the covariance matrix (LD) between Z scores through the weights  $\{w_i\}$

$\kappa$  depends on probability  $\rho = 0$  - approximately 0.5.

These parameters can be estimated by resampling.

# Results for T1D/RA as subgroups of “autoimmune disease”



$$p = 3 \times 10^{12}$$

## Post-hoc single SNP analysis

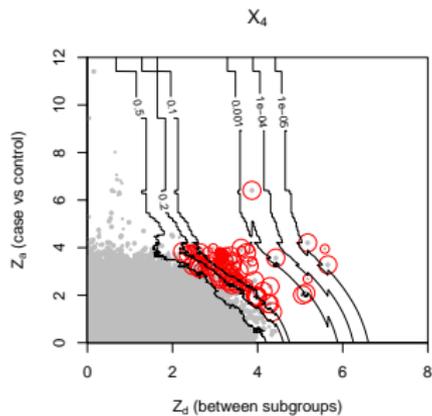
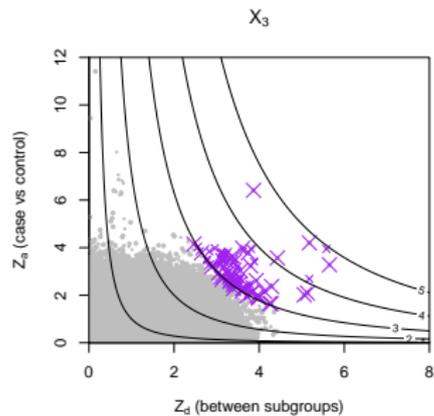
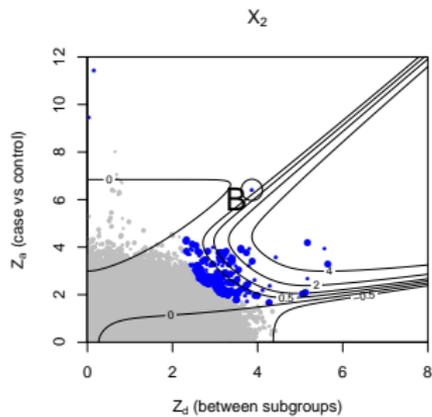
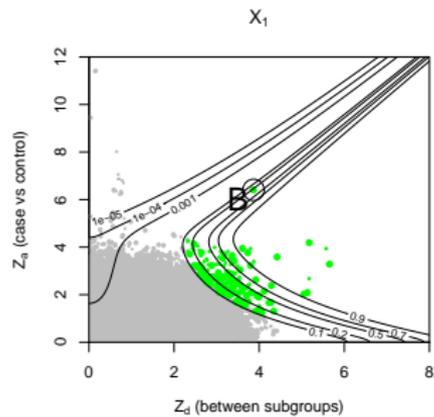
Several options.

- Posterior probability of group 3 membership - can be large when  $|Z_a|$  large but  $|Z_d|$  small
- $\log P(Z_a, Z_d | \Theta_1) - \log P(Z_a, Z_d | \Theta_0)$  - sensitive to fitted  $\Theta_1$
- Conditional false discovery rate for related null hypothesis  
 $H'_0 : \mu_1 = \mu_2$

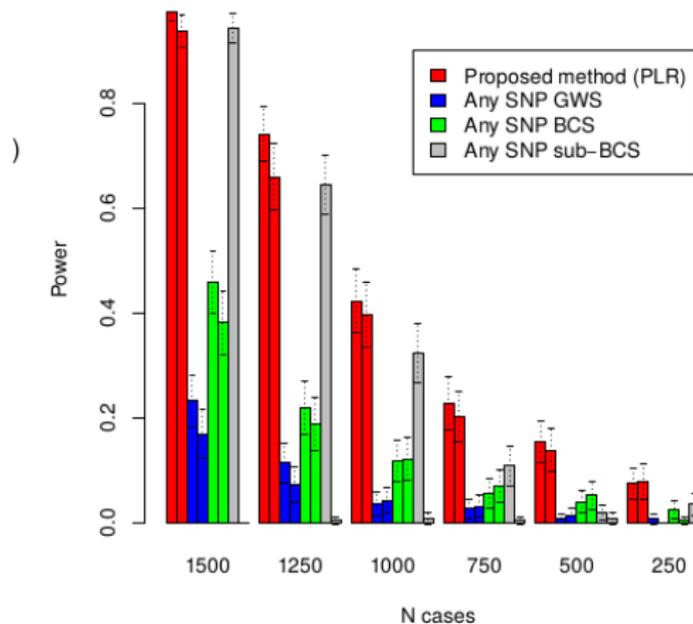
$$P(H'_0 | \tilde{Z}_a \geq z_a, \tilde{Z}_d \geq z_d) = \frac{P(\tilde{Z}_a \geq z_a, \tilde{Z}_d \geq z_d | \mu_1 = \mu_2) P(H'_0)}{P(\tilde{Z}_a \geq z_a, \tilde{Z}_d \geq z_d)}$$
$$\leq \frac{P(\tilde{Z}_d > z_d | \tilde{Z}_d \sim N(0, 1)) \times P(\tilde{Z}_a \geq z_a) \times 1}{P(\tilde{Z}_a \geq z_a, \tilde{Z}_d \geq z_d)}$$

where  $\tilde{Z} = |Z|$

# Post-hoc single SNP analysis



# Power of PLR vs single SNP significance



# Auto-antibody specific type 1 diabetes subtyping

|                  | Model | $\pi_0$ | $\pi_1$                | $\pi_2$                | $\sigma_1$ | $\sigma_2$ | $\tau$ | $\rho$ | p-value               |
|------------------|-------|---------|------------------------|------------------------|------------|------------|--------|--------|-----------------------|
| TPO-Ab           | Full  | 0.511   | 0.487                  | $2.407 \times 10^{-3}$ | 0.994      | 6.545      | 1.552  | 0.991  | $< 1 \times 10^{-20}$ |
|                  | Null  | 0.987   | $2.333 \times 10^{-3}$ | 0.011                  | 6.634      | -          | 1.308  | -      |                       |
| TPO-Ab<br>no MHC | Full  | 0.997   | $2.898 \times 10^{-4}$ | $3.031 \times 10^{-3}$ | 4.698      | 2.291      | 1.497  | 0.338  | $1.5 \times 10^{-4}$  |
|                  | Null  | 0.989   | $1.882 \times 10^{-3}$ | $9.087 \times 10^{-3}$ | 3.11       | -          | 1.318  | -      |                       |
| GAD-Ab           | Full  | 0.995   | $3.557 \times 10^{-3}$ | $1.057 \times 10^{-3}$ | 2.832      | 8.866      | 2.295  | 5.484  | $< 1 \times 10^{-20}$ |
|                  | Null  | 0.997   | $2.328 \times 10^{-3}$ | $3.002 \times 10^{-4}$ | 6.639      | -          | 2.153  | -      |                       |
| GAD-Ab<br>no MHC | Full  | 0.997   | $2.9 \times 10^{-3}$   | $3.434 \times 10^{-4}$ | 2.279      | 4.531      | 1.055  | 3.424  | 0.002                 |
|                  | Null  | 0.792   | $1.883 \times 10^{-3}$ | 0.206                  | 3.111      | -          | 0.997  | -      |                       |
| IA2-Ab           | Full  | 0.995   | $3.275 \times 10^{-3}$ | $1.244 \times 10^{-3}$ | 2.804      | 8.291      | 3.027  | 1.575  | $< 1 \times 10^{-20}$ |
|                  | Null  | 0.997   | $2.287 \times 10^{-3}$ | $3.805 \times 10^{-4}$ | 6.674      | -          | 3.852  | -      |                       |
| IA2-Ab<br>no MHC | Full  | 0.998   | $1.362 \times 10^{-3}$ | $7.904 \times 10^{-4}$ | 3.318      | 2.212      | 2.145  | 0      | 0.008                 |
|                  | Null  | 0.998   | $1.88 \times 10^{-3}$  | $2.073 \times 10^{-4}$ | 3.112      | -          | 2.889  | -      |                       |
| PCA-Ab           | Full  | 0.997   | $2.336 \times 10^{-3}$ | $3.413 \times 10^{-4}$ | 6.631      | 0.37       | 2.097  | 0.422  | $> 0.5$               |
|                  | Null  | 0.998   | $2.335 \times 10^{-3}$ | $1.276 \times 10^{-4}$ | 6.632      | -          | 2.54   | -      |                       |
| PCA-Ab<br>no MHC | Full  | 0.997   | $2.759 \times 10^{-3}$ | $1.303 \times 10^{-4}$ | 2.508      | 5.58       | 2.256  | 0      | $> 0.5$               |
|                  | Null  | 0.998   | $1.884 \times 10^{-3}$ | $1.384 \times 10^{-4}$ | 3.111      | -          | 2.5    | -      |                       |

## Relationship to genetic correlation

Genetic heritability,  $\sigma_g^2$ , can be estimated by partitioning the covariance matrix for a single trait  $X$  measured in  $n$  individuals with kinship matrix  $\Phi$

$$\Omega = 2\Phi\sigma_g^2 + I_n\sigma_e^2$$

where  $\Omega_{i,j} = \text{cov}(X_i, X_j)$ .

Similarly, genetic correlation  $r_g = \frac{\sigma_{g_{XY}}^2}{\sigma_{g_X}\sigma_{g_Y}}$  between two traits can be estimated from by partitioning the bivariate correlation matrix

$$\Omega_{XY} = 2\Phi\sigma_{g_{XY}}^2 + I_n\sigma_e^2$$

where  $\Omega_{XY_{i,j}} = \text{cov}(X_i, Y_j)$ .

# Relationship to genetic correlation

Genetic correlation can also be estimated directly from GWAS data for two traits from distinct datasets<sup>1</sup>.

Can subtypes be detected by testing  $r_g$  for specific GWAS comparisons?

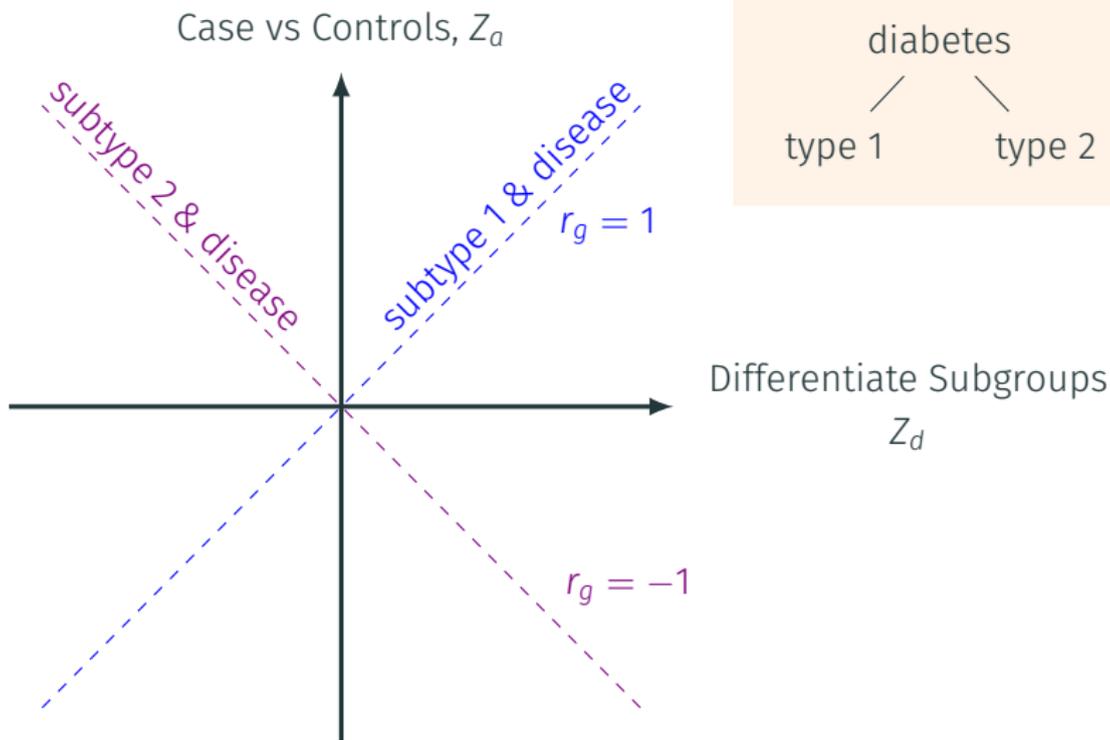
$$r_g(Z_a, Z_d) > 0 \quad \text{or} \quad r_g(S1 \text{ vs } C, S2 \text{ vs } C) < 1$$

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<sup>1</sup>Bulik-Sullivan et al., Nature 2015

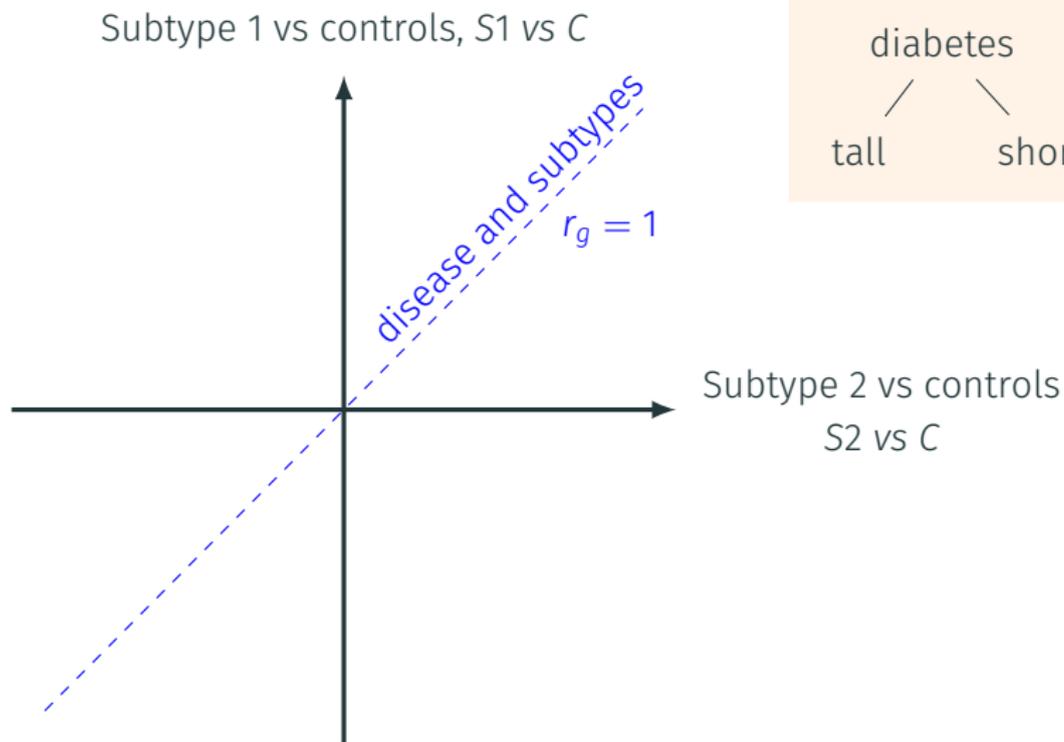
$$r_g(Z_a, Z_d) > 0$$

tests correlation of signed rather than absolute Z scores



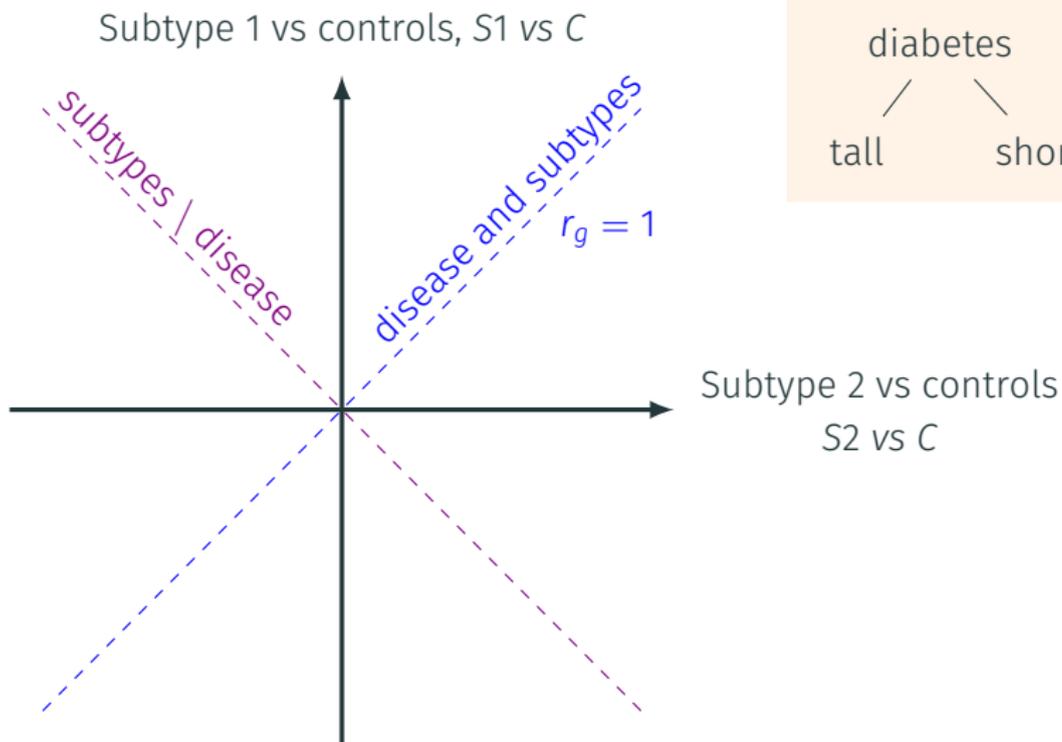
$$r_g(S1 \text{ vs } C, S2 \text{ vs } C) < 1$$

assumes no disease-independent variants distinguish subtypes



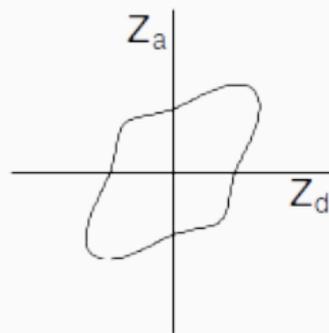
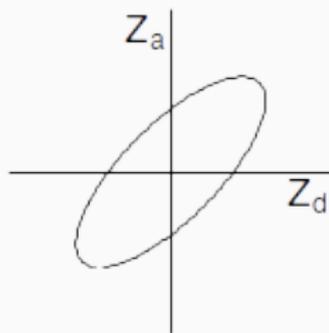
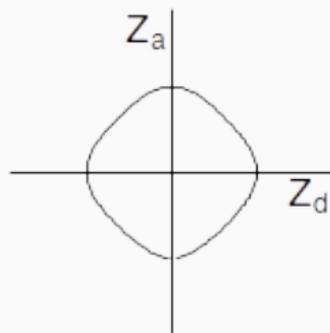
$$r_g(S1 \text{ vs } C, S2 \text{ vs } C) < 1$$

assumes no disease-independent variants distinguish subtypes



# Future Directions

## Further inference of causes of heterogeneity



## Applications to other diseases

JIA, vasculitis

# Acknowledgements



**wellcome**trust



**NHS**  
*National Institute for  
Health Research*

**JDRF** IMPROVING  
LIVES.  
CURING  
TYPE 1  
DIABETES.

James Liley



Software: <https://github.com/jamesliley/subtest>

Preprint: <http://biorxiv.org/content/early/2016/08/02/037713>