Complexity Theory Lent 2004 Suggested Exercises 1

- 1. In the lecture, a proof was sketched showing a  $\Omega(n \log n)$  lower bound on the complexity of the sorting problem. It was also stated that a similar analysis could be used to establish the same bound for the Travelling Salesman Problem. Give a detailed sketch of such an argument. Can you think of a way to improve the lower bound?
- 2. Consider the language Unary-Comp in the one letter alphabet  $\{a\}$  defined by

 $\mathsf{Unary} - \mathsf{Comp} = \{a^n \mid n \text{ is not a prime}\}.$ 

Show that this language is in P.

3. We say that a propositional formula  $\phi$  is in 2CNF if it is a conjunction of clauses, each of which contains exactly 2 literals. The point of this problem is to show that the satisfiability problem for formulas in 2CNF can be solved by a polynomial time algorithm.

First note that any clause with 2 literals can be written as an implication in exactly two ways. For instance  $(p \lor \neg q)$  is equivalent to  $(q \to p)$  and  $(\neg p \to \neg q)$ , and  $(p \lor q)$  is equivalent to  $(\neg p \to q)$  and  $(\neg q \to p)$ .

For any formula  $\phi$ , define the directed graph  $G_{\phi}$  to be the graph whose set of vertices is the set of all literals that occur in  $\phi$ , and in which there is an edge from literal x to literal y if, and only if, the implication  $(x \to y)$  is equivalent to one of the clauses in  $\phi$ .

- (a) If  $\phi$  has *n* variables and *m* clauses, give an upper bound on the number of vertices and edges in  $G_{\phi}$ .
- (b) Show that  $\phi$  is *unsatisfiable* if, and only if, there is a literal x such that there is a path in  $G_{\phi}$  from x to  $\neg x$  and a path from  $\neg x$  to x.
- (c) Give an algorithm for verifying that a graph  $G_{\phi}$  satisfies the property stated in (b) above. What is the complexity of your algorithm?
- (d) From (c) deduce that there is a polynomial time algorithm for testing whether or not a 2CNF propositional formula is satisfiable.
- (e) Why does this idea not work if we have 3 literals per clause?
- 4. A clause (i.e. a disjunction of literals) is called a *Horn* clause, if it contains at most one positive literal. Such a clause can be written as an implication:  $(x \lor (\neg y) \lor (\neg w) \lor (\neg z))$  is equivalent to  $((y \land w \land z) \rightarrow x))$ . HORNSAT is the problem of deciding whether a given Boolean expression that is a conjunction of Horn clauses is satisfiable.

- (a) Show that there is a polynomial time algorithm for solving HORNSAT. (Hint: if a variable is the only literal in a clause, it must be set to true; if all the negative variables in a clause have been set to true, then the positive one must also be set to true. Continue this procedure until a contradiction is reached or a satisfying truth assignment is found).
- (b) In the proof of the NP-completeness of SAT it was shown how to construct, for every nondeterministic machine M, integer k and string x a Boolean expression  $\phi$  which is satisfiable if, and only if, M accepts x within  $n^k$  steps. Show that, if M is deterministic, than  $\phi$  can be chosen to be a conjunction of Horn clauses.
- (c) Conclude from (b) that the problem HORNSAT is P-complete under L-reductions.
- 5. In general k-colourability is the problem of deciding, given a graph G = (V, E), whether there is a colouring  $\chi : V \to \{1, \ldots, k\}$  of the vertices such that if  $(u, v) \in E$ , then  $\chi(u) \neq \chi(v)$ . That is, adjacent vertices do not have the same colour.
  - (a) Show that there is a polynomial time algorithm for solving 2-colourability.
  - (b) Show that, for each k, k-colourability is reducible to k + 1-colourability. What can you conclude from this about the complexity of 4-colourability?