Side-channel attacks: new directions and horizons

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Introduction: Who needs secure chips?

- car industry: anti-theft protection, spare parts identification
- service providers: access cards, payment tokens, RFID tags, electronic keys, software license dongles
- mobile phone manufacturers: batteries and accessories control
- printer manufacturers: toner cartridges, memory modules
- manufacturers of entertainment systems: copy protection, consumables and accessories control
- manufacturers of devices and equipment: protection against cloning and reverse engineering, IP protection (hardware, software, algorithms)
- banking industry: secure payment cards, secure processing
- military applications: data protection, encrypted communication

Attack categories

<u>Side-channel attacks</u>

- techniques that allows the attacker to monitor the analog characteristics of power supply and interface connections and any electromagnetic radiation
- Software attacks
 - use the normal communication interface and exploit security vulnerabilities found in the protocols, cryptographic algorithms, or their implementation
- Fault generation
 - use abnormal environmental conditions to generate malfunctions in the system that provide additional access

Microprobing

- can be used to access the chip surface directly, so we can observe, manipulate, and interfere with the device
- Reverse engineering
 - used to understand the inner structure of the device and learn or emulate its functionality; requires the use of the same technology available to semiconductor manufacturers and gives similar capabilities to the attacker

Side-channel attacks

- Easy to understand
 - simple principles
 - straightforward implementation
- Affordable
 - off-the-shelf equipment is available
 - no special computational hardware is required apart from PC
 - broad knowledge is build with over a decade of research
- Reliable
 - easy to reproduce
- Quick turnaround
 - fast result with minimal effort
- Dangerous for secure chip manufacturers
 - attackers can share information without the need of hardware

Attack methods

- Non-invasive attacks (low-cost)
 - observe or manipulate the device without physical harm to it
 - require only moderately sophisticated equipment and knowledge to implement
- Invasive attacks (expensive)
 - almost unlimited capabilities to extract information from chips and understand their functionality
 - normally require expensive equipment, knowledgeable attackers and time
- Semi-invasive attacks (affordable)
 - semiconductor chip is depackaged but the internal structure of it remains intact
 - fill the gap between non-invasive and invasive types, being both inexpensive and easily repeatable

Non-invasive attacks

- Non-penetrative to the attacked device

 normally do not leave tamper evidence of the attack
- Tools
 - digital multimeter
 - IC soldering/desoldering station
 - universal programmer and IC tester
 - oscilloscope and logic analyser
 - signal generator
 - programmable power supplies
 - PC with data acquisition board
 - FPGA board
 - prototyping boards

Non-invasive attacks: side-channel

- Timing attacks aimed at different computation time
 - incorrect password verification: termination on incorrect byte, different computation length for incorrect bytes
 - incorrect implementation of encryption algorithms: performance optimisation, cache memory usage, non-fixed time operations
- Today: timing attacks became harder to apply
 - common mistakes were fixed by manufacturers
 - internal clock sources and use of PLL made analysis difficult
 - countermeasures are in place: randomised clock, dummy cycles
 - careful selection of hardware eliminates many problems

Non-invasive attacks: side-channel

- Power analysis: measuring power consumption in time
 - very simple set of equipment a PC with an oscilloscope and a small resistor in power supply line; very effective against many cryptographic algorithms and password verification schemes
 - some knowledge in electrical engineering and digital signal processing is required
 - two basic methods: simple (SPA) and differential (DPA)
- Electro-magnetic analysis (EMA): measuring emission
 - similar to power analysis, but instead of resistor, a small magnetic coil is used allowing precise positioning over the chip
- Today: SPA/DPA and EMA became more challenging
 - higher operating frequency and noise: faster equipment is required
 - power supply is reduced from 5V to 1V: lower signal, more noise
 - 8-bit data vs 32-bit data: harder to distinguish single-bit change
 - more complex circuits: higher noise from other parts, hence, more signal averaging and digital signal processing are required
 - effective countermeasures for many cryptographic algorithms

Invasive attacks

- Penetrative attacks
 - leave tamper evidence of the attack or even destroy the device
- Tools
 - IC soldering/desoldering station
 - simple chemical lab
 - high-resolution optical microscope
 - wire bonding machine
 - laser cutting system
 - microprobing station
 - oscilloscope and logic analyser
 - signal generator
 - scanning electron microscope
 - focused ion beam workstation

Invasive attacks: sample preparation

- Decapsulation
 - manual with fuming nitric acid (HNO₃) and acetone at 60°C
 - automatic using mixture of HNO₃ and H₂SO₄
 - full or partial
 - from front side and from rear side
- Today: more challenging due to small and BGA packages













Invasive attacks: imaging

- Optical imaging
 - resolution is limited by optics and wavelength of a light:
 - R = 0.61 λ / NA = 0.61 λ / n sin(μ) best is 0.18 μ m technology
 - reduce wavelength of the light using UV sources
 - increasing the angular aperture, e.g. dry objectives have NA = 0.95
 - increase refraction index of the media using immersion oil (n = 1.5)
- Today: optical imaging is replaced by electron microscopy





Bausch&Lomb MicroZoom, 50×2×, NA = 0.45

Leitz Ergolux AMC, 100×, NA = 0.9

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Invasive attacks: microprobing

- Microprobing with fine electrodes
 - eavesdropping on signals inside a chip
 - injection of test signals and observing the reaction
 - can be used for extraction of secret keys and memory contents
 - limited use for 0.35µm and smaller chips







Invasive attacks: microprobing

- Laser cutting systems
 - removing polymer layer from a chip surface
 - local removing of a passivation layer for microprobing attacks
 - cutting metal wires inside a chip down to a third metal layer
 - EMA can be performed without removing the passivation layer



Invasive attacks: chip modification

- Today: Focused Ion Beam workstation
 - chip-level surgery with 10nm precision
 - create small antennas and probing points inside secure chips and eavesdrop on internal communication
 - modern FIBs allow backside access, but require special chip preparation techniques to reduce the thickness of silicon





Semi-invasive attacks

- Filling the gap between non-invasive and invasive attacks
 - less damaging to target device (decapsulation without penetration)
 - less expensive and easier to setup and repeat than invasive attacks
- Tools
 - IC soldering/desoldering station
 - simple chemical lab
 - high-resolution optical microscope
 - UV light sources
 - lasers
 - oscilloscope and logic analyser
 - signal generator
 - PC with data acquisition board
 - FPGA board
 - prototyping boards
 - special microscopes (laser scanning, infrared etc.)

Semi-invasive attacks: sample preparation

- Decapsulation
 - manual with fuming nitric acid (HNO₃) and acetone at 60°C
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 - full or partial
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Backside sample preparation

- Sample preparation for modern chips (<0.5µm and >2M)
 - only backside approach is effective
 - it is very simple and inexpensive
 - no chemicals are required



Semi-invasive attacks: imaging

- Backside infrared imaging
 - microscopes with IR optics give better quality of image
 - IR-enhanced CCD cameras or special cameras must be used
 - resolution is limited to ~0.6µm by the wavelength of used light
 - view is not obstructed by multiple metal layers





Semi-invasive attacks: imaging

- Backside infrared imaging

 Mask ROM extraction without chemical etching
- Today: the main option for 0.35µm and smaller chips
 multiple metal wires do not block the optical path





Texas Instruments MSP430F112 microcontroller

0.35 µm





Motorola MC68HC705P6A microcontroller 1.2 µm

- Optically enhanced position-locked power analysis
 - Microchip PIC16F84 microcontroller with test program at 4MHz
 - classic power analysis setup (10Ω resistor in GND, digital storage oscilloscope) plus laser microscope scanning setup
 - test pattern
 - run the code inside the microcontroller and store the power trace
 - point the laser at a particular transistor and store the power trace
 - compare two traces







- Optically enhanced position-locked power analysis
 - results for memory read operations: non-destructive analysis of active memory locations ('0' and '1')
 - results for memory write operations: non-destructive analysis of active memory locations (' $0 \rightarrow 0$ ', ' $0 \rightarrow 1$ ', ' $1 \rightarrow 0$ ' and ' $1 \rightarrow 1$ ')
- Today: backside approach for 0.35µm and smaller chips

 single-cell access is limited to 0.5µm laser spot



- Operating semiconductor circuits emit photons
 - known for over 40 years
 - actively used in failure analysis for over 20 years
- Existing failure analysis techniques
 - picosecond imaging circuit analysis (PICA) uses photomultiplier array
 - photon emission microscopy (PEM) uses special IR cameras
 - both techniques are expensive and require sophisticated sample preparation
- What about hardware security?
 - any possibility of seeing internal signals?
 - any leaks from memory arrays?

- Challenges
 - find low-cost detectors suitable for optical emission analysis
 - reduce the cost of sample preparation
- Any technical progress for the past 20 years?
 - are modern CCD cameras good for the attack?
 - what about photomultipliers (PMT)?
 - what parameters are essential for such detectors?
- If optical emission from operating chip has correlation with processed data, is there any correlation between photon emission and power consumption?
 - if found, this can be used for finding weak spots in protection against power analysis attacks
 - optical emission can be scaled down to an individual transistor

- What is the problem with optical emission analysis attacks?
- Number of photons emitted per every switch of a transistor $N_e = S_e B(L_H I_d/qv_s) T_s \sim 10^{-2} \dots 10^{-4}$ photons/switch
 - $\rm S_{_e}$ spectral emission density, B emission bandwidth, $\rm L_{_H}$ hot-carrier region length,
 - I_d drain current, q e⁻ charge, v_s carrier saturated velocity, T_s transition time
- Emission spectrum is from ~500nm to above 1200nm with maximum emission at 900nm...1100nm (NIR region)
- Small fraction of emitted photons can be detected: <1%
 - emission is isotropic, so with a lens only 25%...45% is observed
 - there are losses in optics due to reflections and absorption (80%)
 - low quantum efficiency (QE) of detectors in NIR region: 1%...20%
- Backside approach: <0.1%
 - high refractive index of silicon (n_{1000nm} =3.58) causes high reflection (32%) and low critical angle (θ =16.2°) results in reduced aperture

Background

- Optical emission is higher from the n-MOS transistor due to higher mobility of electrons
- Emission takes place near the drain area where the speed of carriers declines



Experimental setup

- Challenges in choosing the right detector
 - single-photon sensitivity
 - low emission intensity requires longer integration time, hence, detectors must have low noise and low dark current
 - NIR emission spectrum requires detectors sensitive in that area
- Photomultiplier (PMT)
 - single-sensor detector with large aperture and fast detection
- Avalanche photodiode (APD)
 - single-sensor detector with small aperture and fast detection
- Cameras with charge-coupled devices (CCD)
 - 2D detector with high resolution: 500x500 to 4000x3000
 - very low frame rate: 10µs to 1s
 - CCTV and hobbyist astronomical cameras have low dark current, good NIR sensitivity and affordable price

Experimental setup

- PMT setup: decapsulated chip facing sensor's aperture
 - Hamamatsu H6780-01 PMT sensor
- CCD setup: camera mounted on a microscope with the chip placed in a test socket
 - Starlight Xpress SXV-H9 CCD camera





- PMT: 60' acquisition time, digital storage oscilloscope in color-graded mode with infinite persistence with histogram
- SPA: 10Ω resistor, digital storage oscilloscope with active probe
- Test code: bsf portb,3

clrf 0x75 decf 0x75,f bcf portb,3 goto loop

- PMT vs SPA
 - higher bandwidth
 - special hardware will suit better as oscilloscope is not designed for long-time integration (latency issue)





- CCD
 - 2× objective lens
 - 30' integration time
 - EEPROM data: 00h, FFh
 - SRAM data: variable 00h...FFh
 - continuous EEPROM reading and SRAM writing and reading
- Test code: incf EEADR,f bsf EECON1,RD movf EEDATA,w decf 0x75,f goto loop
- 2D image with recognisable areas of emission from Flash, EEPROM, SRAM and CPU



- EEPROM area
 - 10× objective lens
 - 10' integration time
 - data: 56h, 56h, 56h...56h, 00h
 - continuous EEPROM reading
- Test code: incf EEADR,f bsf EECON1,RD movf EEDATA,w goto loop
- Flash memory has similar structure and gives similar result
 - data extraction is complicated by the fact that program code is executed from the flash memory





- SRAM area
 - 10× objective lens
 - 10' integration time
 - data: A6h, W=A6h
 - continuous reading and writing
- Test code: movf 0x75, w movwf 0x75
 goto loop goto loop
- Low emission from memory cells
 - write drivers, bus drivers, row and column selectors leak the most
- Write data have the same emission for '0' and '1'
 - dual-rail logic used in SRAM: separate bit lines for writing '0' & '1'
 - difference in the emission could predict leakage in the power trace





Limitations and improvements

- Data recovery
 - slow process: minimum 1 minute per byte
- Modern chips
 - three or more metal layers prevent direct observation and analysis
 - smaller technologies will require longer integration time
- Backside approach
 - silicon is transparent to light with wavelengths above 1000 nm
 - lower spatial resolution of ~1 μ m (R=0.61 λ /NA)
 - longer integration time due to higher losses in silicon and optics
 - higher magnification lenses give better result
 - use of NIR optics improves result, but expensive
 - substrate thinning and AR coating are useful, but expensive
 - increase of the power supply voltage boosts the optical emission

Limitations and improvements

- Increasing the power supply voltage: every 10% of increase above nominal voltage boosts the emission by 40%...120%
- PIC16F628: EEPROM reading

Power supply voltage	3.5V	4.0V	4.5V	5.0V	5.5V	6.0V
Photometry results	1046	1286	2427	8400	23292	43026

- Optical emission analysis: new challenges
 - Actel[®] ProASIC3[®] 0.13µm, 7 metal layers, flash FPGA
 - *"highly secure FPGA"* which is reprogrammable, non-volatile, single-chip and live-at-power-up solution
 - "offer one of the highest levels of design security in the industry"
 - robust design security features: flash logic array, flash ROM, security fuses, FlashLock[™], AES
 - "even without any security measures (such as FlashLock with AES), it is not possible to read back the programming data from a programmed device"
 - allows secure ISP field upgrades using 128-bit AES-encrypted bitstream with AES authentication and MAC verification
 - other security measures: voltage monitors, internal charge pumps, asynchronous internal clock and many others

- Sample preparation of A3P060 FPGA: front and rear
 - the surface is covered with sticky polymer which needs to be removed for physical access to the surface
 - >99% of the surface is covered with supply grid and dummy fillers
 - backside: low-cost approach used without any treatment





- Backside imaging is the only possibility
 - low spatial resolution of about $1\mu m$ (R=0.61 λ /NA=0.61 \cdot 1000/0.5)
- 20× NIR objective lens, light source with Si filter
- Locating internal blocks: JTAG, Flash ROM, SRAM
- Optical emission analysis
 - power supply was increased from 1.5V to 2.0V to boost the emission



- Increasing the power supply voltage: every 10% of increase above nominal Vcc boosts the emission by 40%...120%
- A3P060: JTAG ID reading

Power supply voltage	1.5V	1.6V	1.8V	2.0V	2.2V	2.5V
Photometry results	889	1194	1953	5270	9536	23270





- Flash ROM (Settings + Data)
 - 20× NIR objective lens
 - 60' integration time
 - continuous reading
- Recognisable data pattern
 - some data can be extracted
 - gives information about location







- SRAM dedicated for AES
 - 20× NIR objective lens
 - 120' integration time
 - continuous initialisation
- AES key recovery
 - key scheduling used in AES
 - AES key can be easily calculated from any round key
 - existence of separate JTAG commands for AES initialisation, authentication and decryption
 - information is leaked by the
 SRAM array and write drivers





- SRAM dedicated for AES
 - 20× NIR objective lens
 - 120' integration time
 - continuous initialisation
- Exploiting power supply trick
 - alternating the supply voltage during the operation: 2.0V peak
 - 16µs per AES initialisation
 - 1.6µs per each round key: calculation + storage
 - 16 bit at a time: 8 write cycles





- SRAM dedicated for AES
 - 20× NIR objective lens
 - 120' integration time
 - continuous initialisation
- Exploiting power supply trick
 - alternating the supply voltage during the round key operation: 2.5V peak
 - 0.2µs increase of the supply voltage from 1.5V to 2.5V for one write cycle







Comparing the attack methods

- Target: Actel ProASIC3 secure FPGA family (military use)
 - secure configuration data update using AES-128 encryption
 - designed to prevent IP theft, cloning and overbuilding
- Can we attack the AES key used for bitstream encryption? – if the AES key is known then the device can be cloned
- Invasive attacks (expensive)
 - partial reverse engineering followed by microprobing
- Semi-invasive attacks (affordable)
 - optical fault injection attack
 - optical emission analysis
- Non-invasive attacks (simple)
 - side-channel attacks such as SPA, DPA, CPA, EMA, DEMA
 - poor signal-to-noise ratio of about -15dB due to low-power operation and multiple sources of noise (internal clock operaton, charge pumps, low level of the leakage signal)

How long does it take to get the AES key?

- Initial evaluation time for all attacks from 1 week 1 month
- Invasive attacks (microprobing)
 - 1 day with FIB and probing station
- Semi-invasive attacks (side-channel and fault attacks)
 - 1 week/1 hour with optical emission analysis (FDTC2009)
 - 1 hour with optical fault injection attack (CHES2002)
- Non-invasive attacks (side-channel attacks)
 - **1 day** with low-cost DPA setup: resistor in V_{cc} core supply line, oscilloscope with active probe and PC with MatLab software
 - 1 hour/10 minutes with commercial DPA tools (DPA Workstation from Cryptography Research Inc. or Inspector SCA from Riscure)
 - 1 second with QVL-E board using special SCA sensor from QVL
 - 0.01 second with QVL/Espial tester using breakthrough approach to power analysis technique from QVL

New technology to improve attacks



 Plus another 9 problems to address and solve in order to get from 100 to 1'000'000 times improvement

 what if 99% of information is lost during acquisition or 99.9%?

QVL technology

- Overview
 - new approach to sensor technology: precision measurements with higher sensitivity and lower noise compared to standard technology
 - does not add new attacks just revisit the existing: what was not possible due to high cost and long time required, becomes feasible
- Capabilities
 - extract cryptographic keys and passwords
 - reverse engineering of algorithms and internal operations
 - monitor device activity to spot faults, trojans and backdoors
- Applications
 - failure analysis, security evaluation, chip health monitoring
 - scanning for trojans and backdoors inserted by third parties
- Information
 - QVL technology is being evaluated for various secure chips
 - http://www.quovadislabs.com/

Quest for trojans and backdoors

- What x1'000'000 improvement would mean for real device?
 1 day for an attack which normally takes 2000 years to succeed
 - 1 second for an attack which normally takes 10 days to succeed
- It might be OK to have backdoors and trojans in highly secure devices, but they should be kept secret and never used to boost the existing security measures
- QVL technology was successfully tested on real chips

 Actel secure FPGAs: ProASIC3, Igloo, Fusion and SmartFusion
- Actel secure FPGAs have some security engineering bugs
 - it is possible to use the secret factory access key for generating authentication signature with AES and then attack it with SCA
 - latest generation of Flash FPGA devices share the same key
- What can be done if the backdoor secret key is known?
 - turn some ROM areas (OTP) into reprogrammable Flash areas
 - reprogram low-level features
 - access hidden JTAG registers
 - access secret data, information, configuration and IP

Defence technologies: tamper protection

- Additional protections
 - top metal layers with sensors
 - glue logic design hard to reverse engineer
 - voltage, frequency and temperature sensors
 - memory access protection, crypto-coprocessors
 - internal clocks, power supply pumps
 - asynchronous logic design, symmetric design, dual-rail logic
 - ASICs, secure FPGAs and custom-designed ICs
 - software countermeasures





STMicroelectronics ST16 smartcard

Defence technologies: what goes wrong?

- Security advertising without proof
 - no means of comparing security, lack of independent analysis
 - no guarantee and no responsibility from chip manufacturers
 - wide use of magic words: protection, encryption, authentication, unique, highly secure, strong defence, unbreakable, impossible, cannot be attacked, uncompromising, buried under metal layers
- Constant economics pressure on cost reduction
 - less investment, hence, cheaper solutions and outsourcing
 - security via obscurity approach
- Quicker turnaround
 - less testing, hence, more bugs
- What about back-doors?
 - access to the on-chip data for factory testing purposes
 - how reliably was the factory testing feature disabled?
 - how difficult is to attack the access port?

New directions for research

- Boosting side-channel attacks with new methods and techniques aimed at improvement by a factor of 1'000'000
 - off-the-shelf solution vs special hardware
 - what a million times improvement would mean for a real device?
 - 1 day for an attack which normally takes 2000 years to succeed
 - 1 second for an attack which normally takes 10 days to succeed
- Fixed funds and fixed term attacks?
 - how far could an attacker move given X budget and limited time?
- What is 'practical attack'?
 - could someone achieve key extraction within 1 second and 1000\$
- Backdoors testing
 - many chips have Factory test and Debug modes, are they secure?
- Clone dilemma
 - how one can prove that another product is a clone and not a compatible product (forensic analysis within security constraints)?
 - if a product is cloned, how was it done (there are many ways)? 49

Future work

- Improving semi-invasive attacks
 - some of 180nm, 130nm and 90nm chips were tested
 - preparation for testing 65nm chips is under way
- Seeking collaboration with industry
 - evaluation of products against new attacks
 - developing new attack methods and techniques
 - focusing on low-cost attacks which are more dangerous
- New challenges
 - synchronisation techniques for side-channel attacks
 - improving side-channel attacks with new techniques
 - making previously infeasible attacks possible with the use of new technologies from QVL
- Developing new countermeasures
 - if it takes a few seconds to extract crypto-key or password then existing countermeasures may fail to protect from adversaries 50

Conclusions

- There is no such a thing as absolute protection
 - given enough time and resources any protection can be broken
- Side-channel attacks pose serious threat to hardware security
 - low-cost setup, small attack time, easy to reproduce
- Defence should be adequate to anticipated attacks
 - security hardware engineers must be familiar with attack technologies to develop adequate protection
 - choosing the correct protection saves money in development and manufacturing
- Attack technologies are constantly improving, so should the defence technologies
- Many vulnerabilities were found in various secure chips and more are to be found, that poses more challenges to hardware security engineers 51

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