

Curriculum Vitae – Ross Anderson

I am Professor of Security Engineering at Cambridge University Computer Laboratory. Security Engineering is about building systems to remain dependable in the face of malice, error or mischance. As a discipline, it focuses on the tools, processes and methods needed to design, implement and test complete systems, and to adapt existing systems as their environment evolves.

The focus of my work in academia has been building security engineering into a discipline. Fifteen years ago, some tractable parts of it – cryptography, protocols and operating system security – had well-developed theory, but the experts mostly didn't talk to each other. Other aspects, such as software security, were a practitioners' art, while yet other aspects (such as hardware security) were a combination of snake-oil and black magic.

Over the last fifteen years I've started strong research threads in neglected areas, ranging from hardware security to the uses of signal processing. I've also documented the evolution of a number of interesting new applications from ATMs to medical records, which have failure modes from which engineers can learn. In the past ten years I've developed security economics as an alternative framework for understanding the subject: very often systems fail not because of some technical mistake but because of misaligned incentives. For example, the people guarding a system are often not the people who suffer when it fails. I have written a book, *'Security Engineering – A Guide to Building Dependable Distributed Systems'* [88, 157], which is now the standard reference. Along the way I've contributed to the design of a number of widely-deployed systems, from peer-to-peer systems through prepayment utility meters to the Home-Plug standard for power-line communications.

Security engineering will replace 'information security' or 'computer security' as a subject because of the spread of computation and communications. There are already more mobile phones connected to the Internet than computers. Within a few years we will see many of the world's fridges, heart monitors, bus ticket dispensers, burglar alarms, and utility meters talking IP. Computing will be embedded invisibly everywhere; and many of the problems we've experienced with PCs are starting to turn up in other applications. Many insecure systems are built, and the resulting safety, privacy and crime prevention problems (both real and perceived) are a significant impediment to building the 'electronic society'. The resulting policy issues – privacy, surveillance, forensics, DRM, competition policy, crime and espionage – are steadily moving up the political agenda.

I chair the Foundation for Information Policy Research, the UK's premier information think-tank, and was an elected member of my University's governing body, the Council, for 2003-2010. I also teach undergraduate courses in security and software engineering, a service course in economics and law for computer science undergrads, and a graduate course in security.

Ross Anderson FRS FREng
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1 Research

1.1 Economics and security

My major recent achievement has been establishing security economics as a thriving academic discipline. Back in 2000 I was one of two pioneers. This discipline now has over 100 researchers at its annual conference (WEIS, which I cofounded in 2002). We observed that information insecurity is due to perverse incentives at least as often as to deficient mechanisms: systems typically fail when the people who guard them are not the people who suffer when they fail. But there is more to it than that. Many real problems can be best explained using the language of microeconomics: network externalities, asymmetric information, moral hazard, adverse selection, liability dumping and the tragedy of the commons. Although I did some early work in 1993-4 [10, 12], the field really took off only since 2001 [90, 94, 101, 103, 105, 106]. For recent surveys, see [134, 145, 168] and [184]. My most important recent work may have been two major studies for the European Commission of the security economics and policy options in the fields of cyber-crime [154, 160] and the resilience of the Internet [183]. I have other papers on online crime [173] and the security economics of critical national infrastructure [167, 172]. Another current project is to grow security economics out through behavioural economics into psychology [176, 184]. I have organised four workshops now on Security and Human Behaviour that have brought together security engineers with behavioral economists, psychologists, and others.

1.2 Peer-to-Peer systems

Since about the middle of 2000, there has been an explosion of interest in peer-to-peer networking – the business of building useful systems out of large numbers of intermittently connected machines, with virtual infrastructures that are tailored to the application. I wrote one of the seminal papers, on The Eternity Service [35]. I had been alarmed by the Scientologists' success at closing down the `penet` remailer in Finland, and tried to design a system that would be less vulnerable to attacks based on coercion. The ideas in my paper have since been taken up by Freenet, Gnutella, Publius, Kazaa and others. This is an active area of research by my team, and further papers include [58, 62, 70, 71, 76, 82, 84, 105, 106, 108, 118, 121, 128, 138, 144, 155]. I designed the key-management mechanisms for HomePlug, an industry alliance for power-line communications; my protocols are in millions of consumer electronic devices [128, 138]. We have also been looking at Facebook where we've discovered all sorts of privacy problems [161, 166].

1.3 What goes wrong with real systems

Engineers learn much more from the bridge that falls down than from the hundred that remain standing. I applied this principle to computer security by studying the failure modes of a number of important distributed systems including ATM and bank card

systems [10, 12, 17, 113, 120, 142, 125, 139, 143, 153, 159, 163, 174, 177, 181], pre-payment electricity meters [18, 30], medical record systems [23, 29, 61, 68, 69, 129, 136, 151] and digital tachographs [56]. This work follows our laboratory's maxim that 'good research comes from real problems'. It has led to a number of papers in which I try to distil the essence of good security design [6, 14, 16, 21, 25, 31, 36, 47]. One recent high-profile work has been on databases designed to support child protection [135]; another was an investigation into how Chinese agents compromised the Dalai Lama's office computers [165]; I'm now tackling smart grids and smart meters [167, 172, 178, 180].

1.4 Cryptographic protocols and system level security

Many of the most interesting technical attacks on security systems fall under the general heading of protocol failure. This includes design flaws in which the wrong things are encrypted, or the right things are encrypted in the wrong way; such flaws are extremely common in practice but notoriously difficult to spot. Over the years I have discovered quite a number of protocol attacks [5, 14, 21, 33, 40, 41, 43]. I was the first to use formal methods to verify the crypto protocols underlying a real banking system [6, 16, 45]. I have also designed a number of protocols [13, 28, 46, 58, 62, 93]; this thread spawned work on trusted publishing [70]. I was also one of the inventors of micropayments [28], and of the idea of making files sufficiently invisible that their existence can be plausibly denied even in the face of compulsion (the 'Steganographic File System' – [52]). Recently I've been working on the interaction between protocols and economics [115, 182].

1.5 API security

An influential recent technical innovation was API attacks. These extend protocol analysis to the application programming interfaces of cryptographic processors. These devices typically have from dozens of transactions that can be performed using internally protected keys; most of the devices we've looked at can have be broken by issuing a suitably chosen sequence of transactions. I initiated this field of research with [80]; further papers can be found at [89, 102, 142, 125, 126] and a survey at [122]. Our work has forced manufacturers to redesign many products. Other researchers have found attacks on GSM key management and the TPM chips; the field now has its own workshop.

1.6 Hardware tamper-resistance

In 1996, we demolished a popular belief in the tamper-resistance of smartcards: our initial paper on attack techniques [37] won an award and has been very widely cited. Later work on this topic can be found in [41, 122], while in [95, 97] we opened up the fast-growing field of optical security in which laser probing is used to induce revealing faults in semiconductors and to read out memory contents without using the circuits supplied by the chip vendor for that purpose. From 1999 to 2003, I had

a large EU-funded project aimed at making smartcard CPUs much less vulnerable to attack by constructing them from self-timed dual-rail logic with inbuilt alarm circuitry [86, 92, 98]. Recently we've shown that the supposed tamper-resistance of common PIN Entry Devices is quite unsatisfactory and that the system used to certify them is deeply flawed [153].

1.7 Analysis and design of ciphers

Cryptology is a subject to which I return every few years. Breaking ciphers was my introduction to information security in the mid-1980's when I found a number of attacks on the stream ciphers then in use [3, 4] and proposed improved versions [1]. I returned to the subject again in the early 1990s [7, 15, 19]; this, plus some work on hash functions [11, 26] led me to find ways to construct block ciphers from hash functions and stream ciphers [27]. My most substantial work was 'Serpent', a block cipher which was a finalist in the Advanced Encryption Standard contest [54, 59, 60]. The winner, Rijndael, got 87 votes at the final AES conference while Serpent with 59 votes was second.

1.8 Signal processing and security

In the late 1990s, I spent some time applying signal processing ideas to computer security. The most novel development was 'Soft Tempest'. It had previously been believed that providing a computer with Tempest protection (that is, preventing opponents from reconstructing information from stray RF emanations) involved hardware techniques such as metal shielding. We showed that substantial protection can be given using software [51, 75]. We got interested in digital copyright watermarking in 1995 and within a few years we broke essentially all the existing copyright marking schemes [50]. The 'Stirmark' software we wrote became the industry standard for testing marking systems [72] (see also [32, 42, 49, 55], and our survey paper [73]).

1.9 Dependability

The main lesson learned from studying real security systems was that most real life failures resulted from the opportunistic exploitation of bugs and blunders. This motivated the study of design assurance. My first paper on the subject provided a rigorous explanation, under quite general assumptions, of why the growth in reliability of large systems in response to testing is often as poor as can possibly be: a software engineer's version of 'Murphy's Law' [74]. A related issue is parallelism. Open source products such as Linux and Apache show how maintenance can be parallelised efficiently; I conducted an experiment which shows that the same applies in large part to requirements engineering [77]. The most controversial result is a proof that, under the standard assumptions used in reliability growth modelling, open source and proprietary systems are security equivalent – in the sense that opening up the design helps the attacker and the defender to exactly the same extent [96]. So when we want to argue that a given

system will be more secure open (or closed), we should look at the ways in which the reliability growth model's assumptions are violated. This has led to empirical work on whether software systems improve or degrade with age.

1.10 Policy

Over the last decade or so, the world of information security has lost its innocence, as physics did in 1945. The 1990s saw the 'Crypto wars' as governments claimed that cryptography needed to be controlled, in order to stop it getting in the way of law enforcement. I was an author of probably the most influential and widely cited paper on this topic [44]; see also [22, 43, 44, 48, 53, 65, 87]. In May 1998, I was one of the founders of the Foundation for Information Policy Research, which has grown into the UK's premier think-tank for information policy. We secured worthwhile amendments to various laws including the RIP Act and the Export Control Act in the UK and the IPR Enforcement Directive in Brussels. I also advise the European Commission on 'Trusted Computing': the FAQ I wrote on this [100, 101] and my economic analysis [103] have been very influential. I coauthored a copyright policy document adopted by many European NGOs [110] and FIPR consultation responses on the RIP Act [130, 131]; I wrote FIPR responses on organised crime powers [132], personal internet security [133], the Cabinet Office Framework for Information Assurance [140], the Electronic Patient Record [141] the Hunt Review of the Financial Ombudsman Service [150], the Walport-Thomas data sharing review [152] the Regulation of Investigatory Powers Act 2000 Consolidating Orders and Codes of Practice [169] and the Interception Modernisation Programme [170].

My four highest-impact recent policy works were as a report for the Information Commissioner on children's databases [135]; of a report published by the Joseph Rowntree Reform Trust entitled '*Database State*' on the safety, privacy and legality of large UK public-sector databases [164]; a study of the security economics and policy options in cybercrime [154]; and a study of the resilience of the Internet [183]. The last two reports have been largely adopted as policy by the European Commission, while the 'Database State' report was adopted by both Conservative and Liberal Democrat parties before the 2010 election, which they won. As a result, a number of its recommendations have been implemented, including the abandonment of the ContactPoint and eCAF children's databases.

1.11 Research management

I am currently supervising four research students (Joe Bonneau, Wei-Ming Khoo, Rubin Xu and Dongting Yu). I have four postdocs (Richard Clayton, Steven Murdoch, Sergei Skorobogatov and Robert Watson). Two former students now lecture here (Markus Kuhn and Frank Stajano), while Jianxin Yan and Feng Hao lecture at Newcastle, and Susan Pancho in the Phillipines. Twenty of my former research students have earned PhDs (Jong-Hyeon Lee, Fabien Petitcolas, Frank Stajano, Harry Manifavas, Markus Kuhn, Ulrich Lang, Jianxin Yan, Susan Pancho, Mike Bond, George Danezis, Sergei Skorobogatov, Hyun-Jin Choi, Richard Clayton, Jolyon Clulow, Feng

Hao, Andy Ozment, Tyler Moore, Shishir Nagaraja, Robert Watson, Hyounghick Kim and Shailendra Fuloria).

I started four successful conference series (Fast Software Encryption in 1993 [9], Information Hiding [38] in 1996, the Workshop on Economics and Information Security in 2002 and the Workshop on Security and Human Behaviour in 2008), as well as one journal (Computer and Communications Security Reviews).

Current direct research funding sources include ABB, Google, DARPA, NGC, the NPL and the Tor Foundation; workshop sponsors also include Microsoft, Juniper, Thales and HP.

Consultancy clients over the last fifteen years include RealVNC, Alcatel-Lucent, Qualcomm, Samsung, Actel, Securicor, Lehman Brothers, Kudelski, Matsushita, Microsoft, Intel, VISA, the Department of Transport, the British and Icelandic Medical Associations, the Government of Singapore and the Electricity Supply Commission of South Africa. Many of these assignments have led to research papers.

2 Teaching and other activities

My teaching responsibilities cover those areas of the curriculum which have to do with the dependability of computer systems. My lecture courses are in software engineering (for part Ib), economics and law (for part Ib) and security (for part II). I also developed the Ib security course and the part II electronic commerce course, which are now taught by others. I jointly run the group projects, which are designed to teach undergraduates about developing software in teams. I was the Chief Examiner for 2000-2001.

I was elected to Council – the University’s governing body – for 2003–2006, and re-elected top of the poll for 2007-2010, following my leadership of the ‘Campaign for Cambridge Freedoms’ which substantially amended a policy on intellectual property that would have done great damage.

3 Work history

1992–present: Cambridge University Computer Laboratory. Professor of Security Engineering since October 2003; Reader in Security Engineering 2000–3; University Lecturer 1995-2000; previously Senior Research Associate.

2011: Visiting scientist, Google

1984–1991: Self employed consultant working mostly in projects related to computer security. The project which has had the greatest impact was probably the design of protocols for a smartcard payment system that has since become VISA’s ‘COPAC’ electronic purse product, used in countries with poor telecomms [45].

1981–83: worked on multilingual typesetting

1979–80: gap-year travel in Europe, Africa, and the Middle East

1974–5: worked for Ferranti as a development engineer on avionics

4 Education, qualifications and memberships

2009: Fellow, Royal Society

2009: Fellow, Royal Academy of Engineering

2009: Fellow, Institute of Physics

2000: Fellow, IEE (now IET)

1995: PhD, University of Cambridge; Fellow, RSA

1994: Member, IEE; Chartered Engineer

1993: Fellow, IMA; Chartered Mathematician

1987: Member, Institute of Bankers (lapsed)

1974–8: BA, Trinity College, Cambridge; part II Mathematics, part II History and Philosophy of Science (converted to MA, 1982)

1976: CEI part II in computer engineering; AMIEE

1973: Higher grade maths, physics, chemistry, biology, geography, english, french, german, latin; High School of Glasgow

5 Appointments and editorships

Foundation for Information Policy Research, Chair, since 1998; <http://www.fipr.org>

Chair: Workshop on Security and Human Behaviour 2008–2010; Workshop on Economics and Information Security, 2002 and 2006; Computer Security Applications Conference (European Co-Chair), 2000 and 2001; Eurocrypt 99 (rump session); Scrambling for Safety, 1998; Workshop on Personal Information, Isaac Newton Institute, Cambridge, June 1996 [38]; Workshop on Information Hiding, Isaac Newton Institute, Cambridge, May-June 1996 [39]; Workshop on Fast Software Encryption, Cambridge, December 1993 [9]

Program Committee Member: Workshop on Economics and Information Security, 2002–12; Security and Human behaviour 20011–12; Financial Cryptography 2009–2011; Information Hiding 1996–2012; FOCI 2011; SOUPS 2006, 2011; ACM Electronic Commerce 2000, 2004, 2006 and 2010; ESORICS 2002, 2005 and 2007; ESCAR 2005–7; USEC 2007; Oakland (IEEE Computer Society Symposium on Security and Privacy), 1994–5, 2002 and 2009; Workshop on the Economics of Securing the Information Infrastructure 2006; CHES 2001, 2003 and 2005; SIGCOMM 2003; Fast Software Encryption 1993–2007; IPTWS 2002; RSA 2001; ACISP 2001; Asiacypt 1996 and 2000; ICICS 99; EICAR 99; Usenix Electronic Commerce 96–8; Mednet 97; Crypto 95; Cryptography Policy and Algorithms 95; Cardis 94.

World Economic Forum: Member, Global Agenda Council on the Future of the Internet (from 2008)

Visiting Professor: CMU Cylab; 2011; Rukmini Gopalakrishnan Chair, India Institute of Science, 2009; UC Berkeley, 2001–2; MIT, 2002; Queensland University of Technology, July 1995

Distinguished / Keynote / Invited Speaker: Indocrypt 2011; Govcert 2011; ES-ORICS 2011; AusCERT 2011; CMU Cylab 2011; DHS/SRI ITTC 2011; OII 2011; Visions of Computer Science (launch of the Academy of Computer Science), Edinburgh 2010; Plenary lecture, Federal Reserve Conference on the Economics of Payments, 2010; IET Prestige Lecture, 2010; Centenary lecture, India Institute of Science, Bangalore, 2009; OWASP 2009; De Montfort STRL Annual Distinguished Seminar 2009; Wisec 2009; UK Unix User Group 2009; International Symposium on Resilient Control Systems 2009; SCADA Security Scientific Symposium 2009; ITU Telecom World 2009; SOUPS 2008; DEON'08; All Hands e-Science Conference 2008; TTeC (Tromso Telemedicine and e-Health Conference) 2008; Gartner IT Security Summit 2008; Crypto 2007; IFIP SEC 2007; Federal Reserve Santa Fe Conference 2007; IDC Security Conference 2007; Softint 2007; University of Edinburgh 2006; Science, Technology and Society 2006; EMIS NUG 2006; Networkshop 2006; University of Washington 2005; ISSE 2005; Science and Society 2005; Body Sensor Networks 2005; 3rd DRM Conference, 2005; IST 2004; Wizards of OS 2004; NITES 2004; Principles of Distributed Computing, 2003; J. Barkley Rosser Memorial Lecture, University of Wisconsin, 2002; IFIP 2002; Economics of Open Source Software, 2002; Symposium on Operating System Principles, 2001; CHES 2001; MIT Distinguished Lecture Series, 2000; Carnegie Mellon University, 1999; Applications Security, 1999; Symposium für Datenschutz und Datensicherheit, 1998; ACM Conference on Computer and Communications Security, 1997; Royal Dutch Medical Association, 1997; HealthCare 96; Securicom 1995; and the Cryptography Policy and Algorithms Conference, Brisbane, 1995. Invited seminar talks include ETH Zürich and the Universities of Michigan, Frankfurt, Århus, Twente, York and Newcastle; the National Physical Laboratory, the Centrum voor Wiskunde en Informatik, Amsterdam; SRI, California; Microsoft Inc., Seattle; Dansk Dataforening, Copenhagen; and the Ecole Normale Supérieure, Paris.

Royal Society Committees: scientific aspects of international security, industrial fellowships panel, audit committee

House of Commons. Special adviser to the Health Committee Inquiry into the Electronic Patient Record, 2007

Helsinki Institute for Information Technology, *Scientific Advisory Board member*

Information Security and Cryptography, *Advisory board member*, <http://www.springer.de/comp/series/is&c.html>

Isaac Newton Institute: *Principal Organiser*, research programme on Computer Security, Cryptology and Coding Theory, January – June 1996

Computer and Communications Security Reviews, *Editor-in-Chief, 1998-9; Editor, 1992-98.* This is the abstracts journal in my field, which I founded in 1992 and sold in 1998

IEEE Transactions on Special Areas in Communications: *Guest Editor*, special issue on Information Hiding (v 16 no 4, May 1998) [55]

Health Informatics Journal, *Guest Editor*, special issue on privacy and safety (v 4 no 3/4, January 1999) [64]

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