

The face is not an empty canvas: How facial expressions interact with facial appearance

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For Review Only

Abstract

Faces are not simply blank canvases upon which facial expressions write their emotional messages. In fact, facial appearance and facial movement are each important social signaling systems in their own right. We here provide multiple lines of evidence for the notion that the social signals derived from facial appearance on the one hand and facial movement on the other interact in a complex manner, sometimes reinforcing and sometimes contradicting one another. Faces provide information on who a person is. Sex, age, ethnicity, personality and other characteristics which can define a person and the social group the person belongs to can all be derived from the face alone. The present article argues that faces interact with the perception of emotion expressions because this information informs a decoder's expectations regarding an expresser's likely emotional reactions. Facial appearance also interacts more directly with the interpretation of facial movement because some of the features that are used to derive personality or sex information are also features that closely resemble certain emotional expressions, thereby enhancing or diluting the perceived strength of particular expressions.

The face is not an empty canvas: How facial expressions interact with facial appearance

Facial expressions of emotion have long been of interest to philosophers and psychologists. Darwin's (1872/1965) seminal work "On the expression of the emotions in man and animals" was a first attempt to systematically understand emotion expression and its meaning. In this book he proposed a number of explanations for why certain facial and bodily behaviors communicate certain emotions. In so doing, Darwin had no doubt that the expressive behavior that he described was part of an underlying emotional state, that is, that emotion expressions have communicative value specifically *because* they are outward manifestations of an inner state. Darwin assumed clear parallels and antecedents to human emotions in the emotions of animals and our humanoid ancestors and consequently considered emotion expressions to be universal across human cultures.

What do facial expressions express?

Yet, right from the beginning, Darwin's view of emotion expressions as the visible part of an underlying emotional state was disputed and rejected by those who considered facial expressions as learned social signals that varied across cultures. Indeed, research in the first half of the 20th century produced inconsistent results regarding whether even members of the same culture could accurately interpret the facial expressions of their social group. This disparity in findings led Bruner and Tagiuri in their 1954 *Handbook of Social Psychology* review

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3 article to state that "... the evidence for the recognizability of emotional
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5 expressions is unclear" (p. 634). Research by Ekman and colleagues (e.g., Ekman,
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7 1972; Ekman, et al., 1987; Ekman, Sorenson, & Friesen, 1969) as well as Izard (e.g.,
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9 Izard, 1971) caused the pendulum to swing back toward Darwin's view in
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11 establishing that certain "basic" emotion expressions are indeed universally
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13 recognized.
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18 Yet, even if certain expressions are *recognized* as signaling certain
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20 emotions, this does not necessarily mean that the expressions are in fact the
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22 output of an underlying affective state. In this vein, Fridlund (1994) emphasized
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24 that for emotion expressions to be truly useful as a communicative signal they
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26 should be linked to the organism's social motives rather than to quasi-reflexive
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28 emotions and concluded that emotion expressions should be considered as
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30 unrelated to an underlying emotional state. A series of studies published since
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32 Fridlund made this argument have shown that emotional facial expressions
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34 function *both* as symptoms of an underlying state and as communicative signals
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36 relevant to social motives (e.g., Hess, Kappas, & Banse, 1995; Jakobs, Manstead,
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38 & Fischer, 1999a, 1999b; Jakobs, Manstead, & Fischer, 2001; Manstead, Fischer, &
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40 Jakobs, 1999).
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48 The observation of – not always insubstantial – differences in emotion
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50 recognition rates between cultures led more recently to the notion of cultural
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52 dialects (Elfenbein & Ambady, 2003; Elfenbein, Beaupré, Levesque, & Hess,
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54 2007). Specifically it has been argued that there is a universal language of
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3 emotion but with local dialects that differ subtly from each other. These dialect
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5 differences are small enough to allow high levels of recognizability across
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8 cultures, yet large enough that judgments are faster and more accurate for
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10 perceivers familiar with these subtle variations.

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13 *Facial expressions in research and in everyday life*

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16 In sum, to date there is little doubt that emotional facial expressions can be
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18 recognized across cultures. Also, observers consider them to be an honest signal
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20 of an underlying emotion (Fiske, 2004). Yet, it is important to note that the
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22 stimuli used in research on the recognition of facial expressions of emotion
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24 generally have two features that set them apart from the expressions we see in
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26 the faces of the people we interact with on a daily basis. First, participants see the
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28 same expression on several faces and their success in recognition is averaged
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30 across these exemplars and second, the expressions that are used are intense to a
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32 degree rarely encountered in every day life.

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38 As regards the first issue, Wiggers (1982) noted that the same combination
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40 of facial actions on two different models yields different recognition rates. These
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42 observations led to the methodological advice to use more than one model in
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44 emotion expression research but no effort was made to understand the source of
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46 these across model differences.

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50 As regards the second issue, full-blown facial expressions are by far the
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52 exception in every day interactions and seldom take the canonic form employed
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54 as stimuli by emotion researchers (e.g., Ekman, et al., 1969). Indeed, facial
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3 expressions can be expressed partially or they can be the result of blends that
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5 convey different emotions at the same time (Ekman & O'Sullivan, 1991). Hence,
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7 the facial expressions we commonly encounter are weak, elusive or blended,
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9 resulting in a signal that often is ambiguous and requires substantial
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11 interpretative work. Thus, the majority of the research referred to above neglects
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13 two pertinent elements of actual emotion recognition in interactions. First, it has
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15 not explored the impact of the morphology of the face on how expressions
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17 appearing on any one face will be interpreted. Secondly, the challenge posed by
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19 the more ambiguous expressions that most people show in most situations has
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21 not been adequately addressed. These will be discussed in more detail below.
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28 29 *Two strategies for the recognition of emotion*

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31 There are two principal strategies for the decoding of emotion displays
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33 (Kirouac & Hess, 1999). First, pattern matching can be used to draw inferences
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35 regarding an expresser's presumed emotional state using a strategy where
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37 specific features of the expression are associated with specific emotions (Buck,
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39 1984). Thus, upturned corners of the mouth or lowered brows are recognized as
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41 smiles or frowns and a perceiver can thus conclude that the individual is happy
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43 or angry respectively. This approach breaks down when the features are either
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45 too weak to be classified or lead to contradictory conclusions – such as would be
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47 the case when a person both smiles and frowns at the same time. Pattern-
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49 matching is the principal strategy tested in the studies referred to above, where
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3 participants typically rate the emotions from intense expressions shown by
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5 several unknown others.
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8 The second decoding strategy depends upon the knowledge that the
9
10 perceiver possesses regarding the sender and/or the social situation in which the
11
12 interaction is taking place. This information permits the perceiver to take the
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14 perspective of the encoder and helps him or her to correctly infer the emotional
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16 state that the sender is most likely experiencing. Thus, learning that someone's
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18 car was vandalized would lead to the expectation that the person is likely angry.
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20 This in turn would influence expectations regarding the likely expression shown
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22 -- depending also on knowledge about the temperament of the person. If the
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24 sender and the receiver know each other well, the receiver usually is aware of the
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26 sender's personality, beliefs, preferences, and emotional style. This knowledge
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28 then permits the receiver to take the perspective of the sender and to deduce how
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30 the sender would most likely react in the given situation. Thus we may expect
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32 more intense anger from a choleric than from an easy-going person. But what
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34 happens when we do not know the other person well or at all?
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43 Studies in which people are asked to judge the likely personality of
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45 complete strangers show that people can and do draw conclusions about a
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47 person's personality from no more information than is provided by the face (e.g.,
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49 Ambady, Hallahan, & Rosenthal, 1995). Yet more importantly, the face tells us a
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51 great deal about the social categories into which our interaction partners fit. That
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53 is, faces tell us the sex, age, and race of the other person and this knowledge can
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3 be used by observers to predict the likely emotional reactions of the sender.
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6 Thus, for example, when imagining that an individual's car had been vandalized,
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8 participants predicted that a man would show anger but a woman sadness
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11 (Hess, Adams, & Kleck, 2005).
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13 A further example of the impact on decoding of such generalized beliefs
14 based on social group membership inferred from facial appearance, is provided
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16 by Matsumoto, Kasri and Kooken (1999) who studied judgments of emotion
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18 expressions by Americans and Japanese. Americans are usually encouraged to
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20 show emotions, especially positive emotions and tend to show an emotion more
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22 intensely than is warranted by the underlying feeling state. This is not the case in
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24 Japan. Consequently, Americans attribute less intense underlying emotional
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26 arousal to expressions of the same objective intensity than do Japanese, that is,
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28 they "correct" their estimate of a person's feeling state based on the belief that
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30 people are likely to exaggerate their expressions.
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38 The social group context can impact not only on the knowledge used to
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40 interpret an expression but also on the specific nature of the face on which they
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42 are displayed. Thus, facial morphological differences between men and women
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44 or members of different racial groups may enhance or obscure some expressive
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46 elements and hence bias pattern matching. The facial morphology of women and
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48 younger individuals, for example, appears to enhance the cues associated with
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50 happiness, whereas those of men and older individuals enhance the cues
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52 associated with anger (Becker, Kenrick, Neuberg, Blackwell, & Smith, 2007; Hess,
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3 Adams, & Kleck, 2009b). Further, certain facial configurations make neutral faces
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5 appear to show emotions. Thus, a shorter distance between the eyes and mouth,
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7 more typical for male faces, leads to the perception of an angrier face (Neth &
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9 Martinez, 2009).

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13 *The functional equivalence hypothesis*

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16 In sum, there are at least two important reasons why the same facial
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18 expressions shown by two individuals may not be interpreted the same way.
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20 First, the beliefs we have about the individuals may lead us to different
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22 conclusions regarding their likely underlying emotional state and second, facial
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24 features and facial expressions may interact such that pattern-matching errors
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26 are made.
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31 As regards the latter, Darwin (1872/1965) first suggested that some
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33 emotion expressions may actually imitate morphological features. For example,
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35 he noted that piloerection and the utterance of harsh sounds by 'angry' animals
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37 are 'voluntarily' enacted to make the animal appear larger and hence a more
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39 threatening adversary (see for example, p. 95 and p.104). This notion of a
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41 perceptual overlap between emotion expressions and certain trait markers,
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43 which then influences emotion communication, has been more recently taken up
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45 by Zebrowitz (see Zebrowitz & Montepare, 2006) as well as Hess, Adams and
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47 Kleck (2007, 2009a; 2008). Specifically, Hess et al (2007) proposed the notion that
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49 some aspects of facial expressive behavior and morphological cues to dominance
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51 and affiliation are equivalent in their effects on emotional attributions, *the*
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functional equivalence hypothesis. In what follows we will explore the impact of beliefs on one hand and facial morphology on the other on perceptions of emotions in men and women.

Beliefs about the emotionality of others

People hold stereotypical beliefs about the emotions of others based on a number of characteristics, which are readily – albeit not always accurately (Ambady, et al., 1995) -- discernable from a person's face. In addition to beliefs about ethnicity, as in the Matsumoto et al. study described above, they also hold beliefs about the emotions of others based on their sex, their age, and their personality.

Thus, for example, women are expected to smile more and in fact also do smile more. By contrast men are expected to show more anger but do not seem to in fact do so (Brody & Hall, 2000; Fischer, 1993). These expectations are socialized early and can have dramatic consequences for the perception of emotion in others. Even children as young as 5 years tend to consider a crying baby as "mad" when the baby is purported to be a boy but not when it is purported to be a girl (Haugh, Hoffman, & Cowan, 1980). Thus, the 'knowledge' that the baby was a boy or a girl, biased the perception of the otherwise ambiguous emotion display. In line with these expectations, expressions from a standardized set of expressions -- assuring equivalence across genders – were rated as more intense when anger was shown by a male actor and when happiness was shown by a female actor than vice versa (Hess, Blairy, & Kleck, 1997). That is, the

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3 stereotypical view that associates anger more strongly with men and happiness
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5 more strongly with women biases the decoding of emotional behavior.
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9 People also have beliefs about age and emotionality. In a recent study we
10 showed participants photos of individuals from four different age groups (18-29;
11 30-49; 50-69; 70+) and asked them to indicate how likely they thought it that the
12 person shown in the photo would express each of four emotions (happiness,
13 sadness, anger, and fear) in everyday life. The responses differed with regard to
14 both sex and age. Thus, as they get older men were perceived to be less likely to
15 show anger, whereas the reverse was the case for women. Men were also
16 perceived as more likely to show sadness as they get older.
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28 An individual's perceived social dispositions are another source of strong
29 beliefs that can impact the decoding of emotional expressions. Certain relatively
30 static facial features are strongly associated with dominance and affiliation.
31 Specifically, a high forehead, a square jaw and thicker eyebrows have been
32 linked to perceptions of dominance (e.g., Keating, Mazur, Segall, et al., 1981;
33 Zebrowitz, 1997) whereas a rounded baby-face is both feminine and perceived as
34 more approachable (Berry & Brownlow, 1989) and warm (Berry & McArthur,
35 1986), central aspects of an affiliative or nurturing orientation. These behavioral
36 tendencies are also perceived as predictive of an individual's emotionality. Thus,
37 dominant individuals are believed to be more likely to show anger than are
38 submissive ones, whereas affiliative individuals are believed to be more likely to
39 show happiness (Hess, et al., 2005).
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3 *Facial appearance and beliefs about the emotionality of women and men*
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5 The beliefs about men's and women's emotionality and beliefs about the
6 emotionality of dominant and affiliative individuals are not independent.
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8 Specifically, Hess et al. (2005) could show that some of the stereotypical beliefs
9 about men's and women's emotions can in fact be traced to beliefs about
10 dominant and affiliative individuals. They asked separate groups of participants
11 to rate men's and women's neutral faces either with regard to how dominant or
12 affiliative they appeared and with regard to the likelihood that the person in the
13 photo would show various emotions in everyday life. Men's faces were
14 perceived as more dominant in appearance and men were rated as more likely to
15 show anger, disgust and contempt. By contrast, women's faces were rated as
16 more affiliative in appearance and women were expected to be more likely to
17 show happiness, surprise, sadness and fear.
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36 Mediation analyses showed that the tendency to perceive women as
37 more likely to show happiness, surprise, sadness and fear was in fact partially
38 mediated by their higher perceived affiliation and lower perceived dominance
39 respectively. The tendency to perceive men as more prone to show anger,
40 disgust, and contempt was partially mediated by both their higher level of
41 perceived dominance and their lower level of perceived affiliation.
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50 These beliefs are also normative. For example, when presented with a
51 vignette that describes a person who just learned that their car was vandalized,
52 participants rated the person as very likely to be angry – regardless of whether
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3 the person was described as a man or a woman (Hess, et al., 2005). However,
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5 even though a man was then expected to show his anger, a woman was expected
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7 to show sadness instead – but not if she was described as highly dominant. In
8
9 this latter case, showing anger was expected for men and women equally. In a
10
11 similar vein, men were expected to show less happiness unless they were
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13 described as high in affiliation, in which case they were expected to smile even
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15 more than women. Thus, the judgment of the appropriateness of showing anger
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17 or happiness was heavily dependent on the perceived dominance and affiliation
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19 of the protagonist, and not just the product of gender category membership *per*
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28 In sum, some of the beliefs that people hold about men and women – and
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30 which influence the decoding of facial expressions shown by men and women -
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32 can in fact be traced to differences in facial appearance, specifically, to differences
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34 in perceived facial dominance and affiliation. These differences in turn are due to
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36 the morphological variations that characterize men's and women's faces.
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38 Moreover, as we will show below, these differences between men's and women's
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40 facial structure have an even more direct impact on facial expression perception
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42 when it comes to pattern-matching.
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48 *Pattern matching*

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50 Specifically, the facial morphology that makes a face appear male or
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52 female and in turn dominant and affiliative interacts directly with the movement
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54 patterns that characterize specific emotional expressions. Importantly, certain of
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3 the perceptual cues that mark anger expressions, such as lowered eyebrows and
4 tight lips, mimic features also associated with dominance. On the other hand,
5 high eyebrows and smiling in happiness expressions reinforce affiliative features.
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10 The perceptual overlap between facial expressions of anger and dominance on
11 one hand, and facial expressions of happiness and affiliation on the other was
12 recently demonstrated by Hess, Adams & Kleck (2009b). They used a double
13 oddball task, where participants had to identify neutral expressions of highly
14 dominant and highly affiliative appearing individuals embedded in either a
15 series of angry or happy or fear faces. In this type of task, participants are slower
16 to identify faces as neutral if they share perceptual space (Face-space; Valentine,
17 1991, 2001) with the emotional faces into which they are embedded. That is, the
18 more anger and dominance look alike, the harder it is to identify a dominant
19 neutral face within a series of anger faces. By contrast, the identification of the
20 affiliative neutral faces is comparatively easy. The converse is the case for
21 affiliative faces embedded in a series of happy expressions.
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40 As predicted, participants were slower to identify dominant faces
41 embedded in angry expressions and affiliative faces embedded in happy
42 expressions than vice versa. This finding supports the notion that the perceptual
43 markers for anger and dominance as well as happiness and affiliation have some
44 appearance characteristics in common. This implies that for all intents and
45 purposes a highly dominant face looks angry even when no actual facial
46 movement is present. By contrast highly affiliative neutral faces look happy. Put
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3 another way, the facial configurations that create impressions of dominance and
4 affiliation are the same that make a face appear to show anger and happiness in
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6 line with the findings of Netz and Martinez (2009). These perceptual similarities
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8 between dominance/anger and affiliation/happiness then can be expected to
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10 bias the perception of these emotions, especially when facial expressions are
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12 weak and ambiguous.
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18 The perceptual overlap between dominant facial markers and expressive
19 markers of anger on one hand, and affiliative facial markers and expressive
20 marker of happiness on the other, also implies that male and female faces will be
21 reacted to differently. As mentioned above, men's faces are perceived as more
22 dominant and women's as more affiliative. In fact, the high forehead, square jaw
23 and thicker eyebrows that have been linked to perceptions of dominance (e.g.,
24 Keating, Mazur, & Segall, 1981; Zebrowitz, 1997) are also more typical for men's
25 faces (Brown & Perrett, 1993; Burton, Bruce, & Dench, 1993). On the other hand, a
26 rounded baby-face with large eyes is more feminine (Brown & Perrett, 1993;
27 Burton, Bruce, & Dench, 1993), perceived as more approachable and warm (Berry
28 & Brownlow, 1989), and is more typical for women's faces.
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45 Further, anger expressions signal dominance on the part of the expresser,
46 whereas happy expressions signal affiliation (Hess, Blairy, & Kleck, 2000;
47 Knutson, 1996). In turn, perceptions of the dominance and affiliation tendencies
48 of others are relevant to the approach/avoidance dimension. Specifically, in
49 hierarchical primate societies such as ours, highly dominant alpha individuals
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3 pose a certain threat insofar as they can claim territory or possessions (e.g., food)
4 from lower status group members (Menzel, 1973, 1974). Hence the presence of a
5 perceived dominant other should lead to increased vigilance and preparedness
6 for withdrawal (Coussi-Korbel, 1994). In contrast, affiliation is related to
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8 nurturing behaviors and should lead to approach when the other is perceived to
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10 be high on this behavioral disposition.
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18 Because anger, dominance and male sex markers on the one hand and
19 happiness, affiliation and female sex markers on the other overlap perceptually
20 in face space and are functionally equivalent, anger shown by women and
21 happiness shown by men can be expected to elicit different reactions from
22 observers. Specifically, when anger is shown on a highly dominant face the
23 threat signal of the expression and the threat signal derived from facial
24 morphology are congruent and reinforce each other. By contrast, when anger is
25 expressed on a highly affiliative face, the two signals contradict each other and
26 hence weaken the overall threat message. The converse is true for happy
27 expressions (Hess, Sabourin, & Kleck, 2007). Following this line of argument, the
28 female anger expression can be viewed as a combination of an appetitive face
29 with a threatening expression. Male anger, on the other hand, represents a less
30 ambiguous example of a threat stimulus. Conversely, female happiness is a
31 clearer appetitive stimulus than male happiness.
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53 Hess, Sabourin and Kleck (2007), tested this hypothesis using a startle-
54 reflex methodology. They assessed both the eye-blink reflex in response to an
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3 acoustic startle probe and the post-auricular reflex in response to the same
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5 sound. The eye-blink reflex to a sudden acoustic probe is modulated by
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7 emotional state (e.g., Lang, 1995; Lang, Bradley, & Cuthbert, 1990; Vrana, Spence,
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9 & Lang, 1988), such that when an individual is exposed to a threatening or
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11 withdrawal inducing stimulus, the reflex is potentiated. Conversely, a pattern
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13 opposite to that of the eye-blink reflex is found for the postauricular reflex, the
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15 muscle response that serves to pull the ears back and up (Berzin & Fortinguerra,
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17 1993). Specifically, individuals show an augmented postauricular reaction to an
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19 acoustic startle probe when exposed to appetitive stimuli (Benning, Patrick, &
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21 Lang, 2004).
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29 Congruent with the notion that dominance and affiliation signals from the
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31 face and facial expressions of anger and happiness interact perceptually, eye-
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33 blink startle was potentiated for male anger faces compared to neutral and happy
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35 faces, as well as compared to female anger faces. In contrast, the postauricular
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37 reflex was potentiated for female happiness faces and attenuated during male
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39 anger faces, compared to neutral faces as well as to male happiness faces. Thus,
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41 anger, even though this expression signals threat (e.g., Aronoff, Barclay, &
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43 Stevenson, 1988), potentiated eye-blink startle only when shown by a man, that
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45 is, shown on a face suggesting social dominance. Conversely, the post-auricular
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47 reflex was potentiated preferentially for female happy expressions.
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54 In brief, facial features and facial expressions interact when it comes to the
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56 perception of emotion expressions. The studies reported above, focused on male
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3 and female faces because these represent a natural category differing in facial
4 dominance and affiliation. But obviously individuals within each sex differ on
5 these dimensions and hence we would expect, for example, anger to be more
6 threatening when shown on a highly dominant female face and conversely male
7 anger to be less so when shown on a highly affiliative face. It is important to note
8 that not only do men and women differ with regard to these dimensions, but
9 other groups do as well. Thus, for example, age changes faces such that men's
10 faces are perceived as increasingly dominant until very old age, when they
11 appear as more affiliative. Women's faces also increase in apparent dominance as
12 they age. The impact of these age related changes in facial appearance on
13 emotional attributions is currently being investigated in our laboratories.
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31 In sum, both beliefs and facial morphology have an impact on the
32 perception of the facial movement involved in emotional expressions. In every
33 day life these two sources of influence will often be confounded. Thus, as we
34 have seen, male faces appear generally more dominant, masculine and mature
35 than female faces and hence perceptually overlap with anger expressions.
36
37 Conversely, social roles are such that women are expected to feel less anger and
38 more fear and happiness. Yet, whereas beliefs based on social roles are based on
39 such relatively more malleable factors as the distribution of power and nurturing
40 versus agentic roles between the genders, facial appearance based effects are due
41 to the relative distribution of facial appearance cues associated with perceived
42 dominance and affiliation across genders. That is, these two factors, albeit
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confounded in our reality, actually represent conceptually different explanatory factors.

The role of beliefs and morphology for perceptions of emotionality

For all intents and purposes it is impossible to disentangle the unique contribution of gender differences in power, status, social roles, and facial appearance with regard to perceived emotionality in our society. In Western countries, men tend to occupy powerful social positions in politics and business and in many countries of this world they exclusively occupy these positions. Women not only exclusively bear children but also overwhelmingly are responsible for their upbringing, thereby assigning themselves a nurturing role.

However, it is not uncommon in science fiction to question gender roles and to imagine worlds where such roles are different from ours. This may include the addition of genders other than male and female or the redistribution of child rearing tasks (e.g., Cogenitors, in Star Trek Enterprise episode #48). Hess, Thibault, Adams, and Kleck (2009) therefore created a science fiction scenario in which a planet is inhabited by members of a race that has three genders: male, female, and caregiver. They manipulated social roles by describing the male and female as exactly equal in social dominance, whereas the submissive and nurturing role was assigned to a caregiver who was described as entirely responsible for the bearing and upbringing of the young. Facial appearance of the members of each gender was manipulated to be high, medium or low in facial cues to dominance. Participants read a description of Deluvia and its

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3 inhabitants and then rated the likelihood that a Deluvian would experience
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5 various emotions. The results showed that social roles and facial appearance had
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7 varying but comparable impact on these perceptions. *Sex per se* however did not
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9 influence ratings significantly. These findings suggest that the beliefs we have
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11 about men's and women's emotionality are indeed a composite of the emotions
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13 that are associated with nurturing versus agentic social roles on one hand, and
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15 the conclusions we draw about a person's emotional behavior based on the social
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17 signals that facial morphology transmits.
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23 *The face as a social signaling system*

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25 The line of research presented here shows that both the face and facial
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27 expressions of emotion have social signal value and that these signals interact in
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29 complex ways. Importantly, this means that when we perceive and react to the
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31 emotional facial expressions of others it really matters who shows what in which
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33 context. The appearance of the sender, what we know – or think we know about
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35 them and the situation, and the expressive movements themselves all contribute
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37 to this process. In the present context, we have focused on expressive movements
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39 captured in stills, that is, the departure from the neutral expression, however
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41 dynamic aspects of facial movement, such as the speeds of onset and offset or
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43 accompanying head movements also add to the picture (Boker, et al., in press).
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50 The present article has focused on the behavioral tendencies of dominance
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52 and affiliation and their relation to sex on one hand and the facial expressions of
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54 anger and happiness on the other. However, dominance and affiliation are not
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3 the only personality characteristics which can be deduced from the face and
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5 which could interact with our interpretations of emotion expressions. Thus, for
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7
8 example, Becker et al. (2007) found that perceived masculinity influences the
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10 decoding of anger expressions; also, facial maturity interacts with perceptions of
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12 fear (Marsh, Adams, & Kleck, 2005; Sacco & Hugenberg, 2009). Specifically,
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15 Becker et al. (2007) proposed that anger has evolved to mimic masculinity
16
17 whereas happiness has evolved to mimic femininity. They could show that faces
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19 that vary in masculinity cues (as manipulated by the eyebrow ridge) are rated as
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21 more angry to the degree that they are perceived as more masculine. That is, they
22
23 found some physical overlap between masculine morphology and an angry
24
25 appearance. Further, Marsh, Adams, and Kleck, (2005) found that fear shares
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27 perceptual overlap with babyfacedness, whereas anger shares overlap with a
28
29 mature appearance, a finding more recently replicated by Sacco and Hugenberg
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31 (2009).
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38 In a related vein, anger and happiness have also been associated with
39
40 another evolutionarily important behavioral intention, trustworthiness (Todorov,
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42 2008). Thus, trustworthy faces which expressed happiness were perceived as
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44 happier than untrustworthy faces, and untrustworthy faces which expressed
45
46 anger were perceived as angrier than trustworthy faces (Oosterhof & Todorov,
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48 2009).
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53 This slowly increasing list of evolutionarily important behavioral
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55 dispositions which people infer from facial traits and which interact directly with
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3 the perceptions of facial emotion expressions underlines the importance of
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5 emotions for social signaling. Specifically, the interpretation of facial features as
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7 signaling behavioral intentions is an extension of the signal value of facial
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9 expressions (Hess, Adams, et al., 2007; Hess, et al., 2008; Todorov, 2008;
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11 Zebrowitz, Fellous, Mignault, & Andreoletti, 2003). What this means in the
12
13 context of decoding facial expressions is that the face is not a blank canvas but
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15 more like a musical instrument that imbues the expression with its own timbre.
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20 21 *Implications for computational modeling*

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23 The importance of facial morphology for the interpretation of and
24
25 reactions to emotional facial expressions has relevance for the computational
26
27 modeling of human faces. Specifically, humans tend to treat computers in these
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29 interactions largely as they would treat humans (Reeves & Nass, 1996). In this
30
31 framework computer agents and robots have been designed that not only can
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33 interpret human emotions but also signal emotions via facial expressions (e.g.,
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35 Breazeal, 2003; Koda & Maes, 1996; Pelachaud & Bilvi, 2003). However, the
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37 research presented above suggests that humans do not restrict themselves to facial
38
39 expressive information when judging the emotions of others. Rather they use *all*
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41 the available information provided by the face and these additional sources of
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43 information can reinforce or obscure the emotional message transmitted by the
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45 facial expressions. It can be expected that this applies also to the avatars and
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47 agents used in human computer interfaces. Thus an agent with a very square
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The face is not a canvas 24

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3 masculine face may not be a good choice if warmth and care are to be
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5 transmitted.
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For Review Only

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