# Machine code, formal verification and decompilation

Lecture 4

MPhil ACS & Part III course, Functional Programming: Implementation, Specification and Verification

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High-level specification (e.g. operational semantics from Lec 2-3)

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Concrete machine-code implementation (e.g. x86, ARM, MIPS, Power)

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Operational semantics of machine code

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Concrete machine-code implementation (e.g. x86, ARM, MIPS, Power)

Operational semantics of machine code

connected by formal proof

High-level specification (e.g. operational semantics from Lec 2-3)

> memory management (GC) parsing/printing type inference interpretation compilation (dynamic)



Concrete machine-code implementation (e.g. x86, ARM, MIPS, Power)

Operational semantics of machine code

## connected by formal proof

#### A programming logic

- a formal system for reasoning about program text
- Hoare: "An axiomatic basis for computer programming", 1969
- very influential still today (active research area)

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Hoare triple (the judgement of Floyd-Hoare logic):

 $\{P\}C\{Q\}$ 

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$$\left\{ \begin{array}{c} P \end{array} \right\} C \left\{ \begin{array}{c} Q \end{array} \right]$$
 precondition

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$$\{P\}C\{Q\}$$
precondition
code
postconditio
Example: { x is even } x := x+1 { x is odd }

# Hoare logic formally

#### A little language:

where state = (string  $\rightarrow$  num)

# Hoare logic formally

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```
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```

#### **Big-step semantics:**

		$(s,p_1) \Downarrow s_1$	$(s_1, p_2) \Downarrow$	$s_2$
$(s, Assign \ v \ f) \Downarrow s[v \mapsto f(s)]$		$(s, Seq\ p_1\ p_2) \Downarrow s_2$		
$guard(s)$ $(s, p_1)$	$\Downarrow s_1$	$\neg guard(s)$	$(s, p_2) \Downarrow s_2$	
$(s, lf \ guard \ p_1 \ p_2) \Downarrow s_1$		$(s, lf \ guard \ p_1 \ p_2) \Downarrow s_2$		
$\neg guard(s)$	guard(s)	$(s, Seq\ body$	(While guard	$body)) \Downarrow t$
$(s, While \ guard \ body) \Downarrow s$		$(s, While \ gu$	ard $body) \Downarrow t$	

## Hoare triple

Partial correctness:

Hoare  $P \ C \ Q \equiv \forall s \ t. \ P \ s \land (s, C) \Downarrow t \implies Q \ t$ 

informally: "if P true and C terminates, then Q"

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Total correctness:

Total  $P \ C \ Q \equiv \forall s. P \ s \implies \exists t. (s, C) \Downarrow t \land Q \ t$ informally: "if P true, then C terminates and Q"

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Both Hoare and Total usually written  $\{P\}C\{Q\}$ 

# Hoare logic proof rules

Assignment 'axiom':

$$\{Q[E/x]\}x := E\{Q\}$$

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$$\{Q[E/x]\}x := E\{Q\}$$

In our formalisation this 'axiom' is a theorem in HOL

 $\forall Q \ x \ E.$  Hoare  $(\lambda s. \ Q \ (s[x \mapsto E(s)]))$  (Assign  $x \ E) \ Q$ 

proved based on the definition of Hoare.

Some other proof rules:

 $\{P\}C_1\{R\} \qquad \{R\}C_2\{Q\} \\ \{P\}Seq C_1 C_2\{Q\}$ 

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## Example proof: factorial

Code:

while (n  $\neq$  0) (r := r  $\times$  n; n := n - 1)

Invariant:

 $Inv \equiv (fac \ N = fac \ n \times r \land 0 \le n)$ 

By Assignment and Seq rule:

 $\{ Inv[n-1/n][r \times n/r] \}$  r := r × n; n := n - 1  $\{ Inv \}$ 

By Consequence rule:

 $\{Inv \land n \neq 0\}$  r := r × n; n := n - 1  $\{Inv\}$ 

By While rule:

 $\{Inv\}$  while (n  $\neq$  0) (r := r  $\times$  n; n := n - 1)  $\{Inv \land n = 0\}$ 

By Consequence rule:

 $\{N = n \land r = 1\}$  while (n  $\neq$  0) (r := r  $\times$  n; n := n - 1)  $\{r = fac N\}$ 

## Machine code

Moving on to something more realistic.

For real machine code:

- state is more complex (consists of registers, memory, status bits, modes etc.)
- code lives in memory
- program counter (PC) points to next instruction
- no obvious termination (small-step semantics)

Next few slides formalise a simple machine language...

## Semantics of machine code

Let state be a partial finite map from mc\_name to mc\_val

reg = 4-bit word
word = 32-bit word
address = word

mc\_name = Reg reg | Mem address | Flag | Pc

Real machine languages (x86, ARM, Power etc.) have roughly these components, but more registers, flags, modes etc.

# Semantics (cont.)

#### Type of instructions:

offset = word

Assume we have a (partial) decode function that maps word into mc\_inst.

# Semantics (cont.)

Small-step semantics  $(\xrightarrow{eval})$  defined using rules. Example:

s Pc = Word pc
s (Mem pc) = Word w
decode w = SubImm a b imm
s (Reg b) = Word x

s  $\xrightarrow{\text{eval}}$  s[Pc  $\mapsto$  Word (pc + 4)][Reg a  $\mapsto$  Word (x - imm)]

### Naive machine-code Hoare logic

For the While-language, we defined a total-correctness Hoare-triple:

 $\mathsf{Total} \ P \ C \ Q \ \equiv \ \forall s. \ P \ s \implies \exists t. \ (s, C) \Downarrow t \land Q \ t$ 

informally: "if P true, then C terminates and Q"

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Similar total-correctness machine-code Hoare judgement:

 $\mathsf{mcTotal} \ P \ Q \ \equiv \ \forall s. \ P \ s \implies \exists t. \ s \ \xrightarrow{\mathsf{eval}}^* t \land Q \ t$ 

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Similar total-correctness machine-code Hoare judgement:

mcTotal  $P \ Q \equiv \forall s. P \ s \implies \exists t. \ s \xrightarrow{\text{eval}}^* t \land Q \ t$ informally: "if P true, then execution reaches Q" makes sense only if  $\xrightarrow{\text{eval}}$  is deterministic

## Problems

mcTotal can be used:

- supports nice composition and sequence rules
- no need for special loop rule (composition suffices)
- ... however, assignment rules are dreadful

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#### Example:

• an mcHoare triple for SubImm

```
mcTotal

(\lambdas. \existspc a b w x imm.

Q (s[Pc \mapsto Word (pc + 4)][Reg a \mapsto Word (x - imm)]) \land

s Pc = Word pc \land

s (Mem pc) = Word w \land

decode w = SubImm a b imm \land

s (Reg b) = Word x)

Q
```

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    s Pc = Word pc \lambda
    s (Mem pc) = Word w \lambda
    decode w = SubImm a b imm \lambda
    s (Reg b) = Word x)
Q
too many preconditions
```
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Q
```

affected by memory store instructions

## Solution: separation logic

Separation logic

- a Hoare logic for 'local reasoning'
- developed recently by Reynolds, O'Hearn, Ishtiaq and Yang (based on some early ideas by Burstall)
- can express certain local concepts very succinctly, e.g. "and nothing else changed"

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Why local reasoning? Answer: \* consumes state.

$$((a \mapsto x) * (b \mapsto y) * frame) state \implies a \neq b \land state(a) = x \land state(b) = y \land \dots$$

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$$((a \mapsto x) * (b \mapsto y) * frame) \text{ state } \implies a \neq b \land \\state(a) = x \land \\state(b) = y \land \dots$$

$$false \text{ if frame mentions a or b}$$

### Machine-code Hoare triple

We define an improved machine-code Hoare triple:

{ P } C { Q } = ∀frame. mcTotal (P \* C \* frame) (Q \* C \* frame)

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#### Intention:

- can write pre- and postconditions using \*-separated assertions
- assertion C holds code assertions (that stays invariant)
- the frame expresses "and nothing else changes"
- explanation on next few slides

#### Example: Sub instruction

A machine-code Hoare triple for a SubImm instruction:

{ PC pc \* R 1 y \* R 2 x }
INST(pc, SubImm 1 2 50)
{ PC (pc+4) \* R 1 (x-50) \* R 2 x }

where:

PC pc = Pc  $\mapsto$  (Word pc)

 $R a x = (Reg a) \mapsto (Word x)$ 

 $M a x = (Mem a) \mapsto (Word x)$ 

INST(pc,inst) = ∃w. M pc w \* pure (decode w = inst)

pure b =  $\lambda$ s. domain s = {}  $\wedge$  b

## Why local reasoning?

Frame rule:

 $\{P\} C \{Q\} \implies \forall frame. \{P * frame \} C \{Q * frame \}$ 

## Why local reasoning?

#### Frame rule:

 $\{P\} \subset \{Q\} \implies \forall frame. \{P * frame\} \subset \{Q * frame\}$ 

Means e.g. that we can infer that reg. 3 was unaffected:

{ PC pc \* R 1 y \* R 2 x \* R 3 z }
INST(pc, SubImm 1 2 50)
{ PC (pc+4) \* R 1 (x-50) \* R 2 x \* R 3 z }

#### Composition

We also have frame rule for code segment:

 $\{P\} C \{Q\} \implies \forall frame. \{P\} (C * frame) \{Q\}$ 

Needed before composition:

 $\{P\}C\{R\}\land\{R\}C\{Q\} \implies \{P\}C\{Q\}$ 

Example of composition next slides...

Want to derive behaviour of the SubImm-then-Jump:

{ PC pc \* R 1 y \* R 2 x }
INST(pc, SubImm 1 2 50)
{ PC (pc + 4) \* R 1 (x-50) \* R 2 x }
{ PC pc }
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Instantiation and application of frame rules:

{ PC pc \* R 1 y \* R 2 x }
INST(pc, SubImm 1 2 50) \* INST(pc + 4, Jump 200)
{ PC (pc + 4) \* R 1 (x-50) \* R 2 x }
{ PC (pc + 4) \* R 1 (x-50) \* R 2 x }
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Want to derive behaviour of the SubImm-then-Jump:

```
{ PC pc * R 1 y * R 2 x }
INST(pc, SubImm 1 2 50)
{ PC (pc + 4) * R 1 (x-50) * R 2 x }
```

```
{ PC pc }
INST(pc, Jump 200)
{ PC (pc + 200) }
```

read: this code is sufficient to get execution to the postcondition

```
{ PC pc * R 1 y * R 2 x }
INST(pc, SubImm 1 2 50) * INST(pc + 4, Jump 200)
{ PC (pc + 4) * R 1 (x-50) * R 2 x }
```

```
{ PC (pc + 4) * R 1 (x-50) * R 2 x }
INST(pc, SubImm 1 2 50) * INST(pc + 4, Jump 200)
{ PC (pc + 204) * R 1 (x-50) * R 2 x }
```

Result of composition:

{ PC pc \* R 1 y \* R 2 x }
INST(pc, SubImm 1 2 50) \* INST(pc + 4, Jump 200)
{ PC (pc + 204) \* R 1 (x-50) \* R 2 x }

Separating conjunction keeps memory separate from code:

{ PC pc \* R 1 addr \* R 2 val \* M addr x }
INST(pc, Store 1 2)
{ PC (pc+4) \* R 1 addr \* R 2 val \* M addr val }

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Built-in assumption that pc and addr are separate. See definitions.

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Support for self-modifying code:

 $\{P\}(C1 * C2) \{Q\} \iff \{P * C2\} C1 \{Q * C2\}$ 

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 $\{P\}(C1 * C2) \{Q\} \iff \{P * C2\} C1 \{Q * C2\}$ 

Code can be moved into the pre and post and 'become data'.

# Reasoning still cumbersome...

Statements made succinct using separation logic.

However, reasoning (e.g. composition) is still very low-level...

## Decompilation into logic

Decompilation automates low-level reasoning (from my PhD).

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Example: given some machine code (assembly syntax & comments):

```
FAC:
```

cmp r0,0 jmp FAC EXIT:

compare r0 with zero jeq EXIT jump to EXIT, if r0 was mul r1,r1,r0 perform: r1 := r1 × r0 jump to EXIT, if r0 was zero sub r0,r0,1 perform: r0 := r0 - 1 jump to FAC

## Decompilation into logic

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cmp r0,0 compare r0 with zero jeq EXIT jump to EXIT, if r0 was mul r1,r1,r0 perform: r1 := r1 × r0 jump to EXIT, if r0 was zero sub r0,r0,1 perform: r0 := r0 - 1 jump to FAC

Decompiler extracts function describing the machine code:

```
fac (r0, r1) =
  if r0 = 0 then (r0, r1) else
    let r1 = r1 \times r0 in
    let r0 = r0 - 1 in
      fac (r0,r1)
```

Decompilation proves a certificate theorem:

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```
fac_pre (r0,r1) ⇒>
{ PC pc * R 0 r0 * R 1 r1 * F _ }
    " FAC: cmp r0,0
        jeq EXIT
        mul r1,r1,r0
        sub r0,r0,1
        jmp FAC
    EXIT:    "
{ let (r0,r1) = fac (r0,r1) in
        PC (pc + 20) * R 0 r0 * R 1 r1 * F _ }
```

where fac\_pre collects termination and side-conditions.

```
fac_pre (r0,r1) =
    if r0 = 0 then true else
        let r1 = r1 × r0 in
        let r0 = r0 - 1 in
        fac_pre (r0,r1)
```

Decompilation proves a certificate theorem:

Benefit:

Anything proved about fac and fac\_pre is also true of the machine code via this theorem.

where fac\_pre collects termination and side-conditions.

```
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    if r0 = 0 then true else
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        let r0 = r0 - 1 in
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```

## Verification using decomp.

Suffices to prove properties of fac and fac\_pre.

fac (n,1) = (0,n!)
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## How decompilation works

Implementation:

- I. compose Hoare triples along each path through code
- 2. apply loop rule, if applicable
- 3. read off function and precondition

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Implementation:

- I. compose Hoare triples along each path through code
- 2. apply loop rule, if applicable
- 3. read off function and precondition

Example: composition of triples gives:

```
{ PC pc * R 0 r0 * R 1 r1 * F _ }
    " FAC: cmp r0,0 ... "
{ if r0 = 0 then
    PC (pc + 20) * R 0 r0 * R 1 r1 * F _
    else
        let r1 = r1 × r0 in
        let r0 = r0 - 1 in
        PC pc * R 0 r0 * R 1 r1 * F _ }
```

### Loop rule

Loop rule introduces tail rec. function:

 $(\forall x. p x \Rightarrow \{ P x \} code \{ if g x then P (f x) else Q (d x) \}) \Rightarrow$ (∀x. side g f p x ⇒ { P x } code { Q (tailrec g f d x) })

where tailrec is a function template:

tailrec g f d x = if g x then tailrec g f d (f x) else d x

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every tail rec. function fits this template

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and side is ensures that tailrec terminates, definition:

side g f p x = (
$$\forall k$$
. ( $\forall m$ . m < k  $\Rightarrow$  g (f<sup>m</sup> x))  $\Rightarrow$  p (f<sup>k</sup> x))  $\land$   
( $\exists n. \neg g$  (f<sup>n</sup> x))

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derived equation:

side g f p x = (p x  $\land$  (g x  $\Rightarrow$  side g f p (f x)))

#### Instantiation for fac example

State assertions:

 $P = \lambda(r0, r1)$ . PC pc \* R 0 r0 \* R 1 r1 \* F \_

 $Q = \lambda(r0, r1)$ . PC (pc + 20) \* R 0 r0 \* R 1 r1 \* F \_

Function components:

 $g = \lambda(r0, r1)$ . if r0 = 0 then false else true

 $p = \lambda(r0, r1)$ . true

## Proof sketch for loop rule

#### Assume:

 $(\forall x. p x \Rightarrow \{ P x \} code \{ if g x then P (f x) else Q (d x) \})$ Want to show:

( $\forall x. side g f p x \Rightarrow \{ P x \} code \{ Q (tailrec g f d x) \}$ ) Goal simplifies to:

 $(\forall x. \dots \land (\exists n. \neg g (f^n x)) \Rightarrow \{P x \} code \{Q (tailrec g f d x) \})$ which simplifies to:

 $(\forall n x. ... \land \neg g (f^n x) \Rightarrow \{ P x \} code \{ Q (tailrec g f d x) \})$ which we can prove by induction on n:

case n = 0: ( $\forall x. \ldots \land \neg g \ x \Rightarrow \{ P \ x \}$  code { Q (tailrec g f d x) })
which is the same as:
( $\forall x. \ldots \land \neg g \ x \Rightarrow \{ P \ x \}$  code { Q (d x) })
which follows from the assumption.

## Proof sketch (cont.)

**case** n = k+1:

#### can assume:

 $(\forall x. \dots \land \neg g (f^k x) \Rightarrow \{ P x \} code \{ Q (tailrec g f d x) \})$ need to show:

 $(\forall x. \dots \land \neg g (f^{k+1} x) \Rightarrow \{ P x \} code \{ Q (tailrec g f d x) \})$ for any x, if ¬g x then same as base case, otherwise:  $\dots \land \neg g (f^k (f x)) \Rightarrow \{ P x \} code \{ Q (tailrec g f d (f x)) \})$ which is true by composition of the following two facts fact 1: p x \Rightarrow \{ P x \} code \{ P (f x) \} which is an instantiation of the assump. from last slide fact 2:  $\dots \land \neg g (f^k (f x)) \Rightarrow \{ P (f x) \} code \{ Q (tailrec g f d (f x)) \})$ which is an instantiation of the assump. from this slide (the inductive hypothesis)

# Summary

#### Hoare logic

- from Hoare's seminal paper "An axiomatic basis for computer programming", 1969
- a logic with judgements about program text

#### Hoare logic for machine code

- based on separation logic (due Reynolds et al.)
- supports the frame rule
- and self-modifying code

#### Decompilation into logic

- automation that aids in machine code verification
- extracts function from code
- automatically proves certificate theorem