

# Machine-code verification

Experience of tackling medium-sized case studies using decompilation into logic

ACL2'14, Vienna

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UNIVERSITY OF TECHNOLOGY

# Why machine code?

Computer systems:

computer networks

multi-language implementations

source code (Java, Lisp, C etc.)

bytecode or LLVM

machine code

hardware

electric charge

Proofs only target a **model** of reality.

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Ultimately all program verification ought to reach real machine code.

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However, for theorem-prover-based formal verification:

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Reason:

- ▶ all types are concrete: `word32`, `word8`, `bool`.
- ▶ state consists of a few simple components: a few registers, a memory and some status bits.
- ▶ each instruction performs only small well-defined updates.



# Challenges of Machine Code

machine code

code

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correctness

{P} code {Q}

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Challenges:

- ▶ several large, detailed models
- ▶ unstructured code
- ▶ very low-level and limited resources

# ***This talk***

**Part 1:** my approach (PhD work)

**Part 2:** verification of existing code

**Part 3:** construction of correct code

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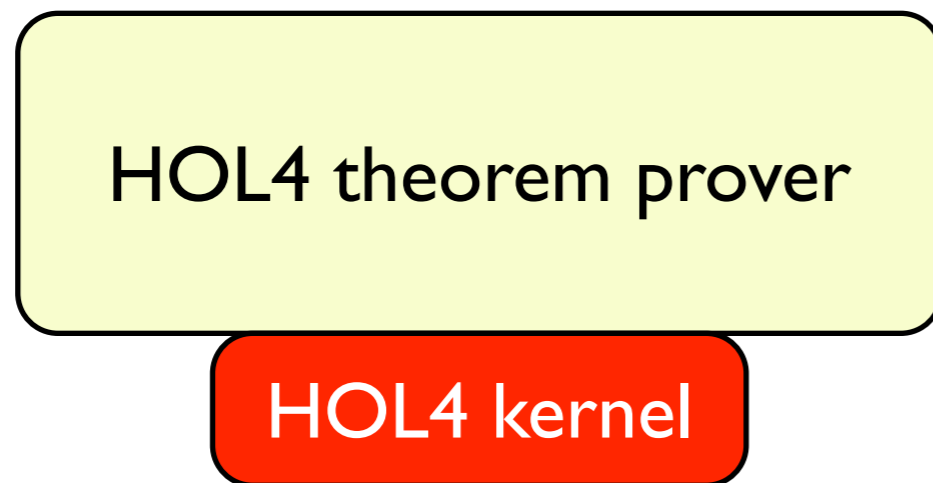
**Part 3:** construction of correct code

- ▶ verified implementation of Lisp that can run Jared Davis' Milawa

# HOL: fully-expansive LCF-style prover

The aim is to prove deep **functional properties** of machine code.

Proofs are performed in HOL4 — a **fully expansive** theorem prover

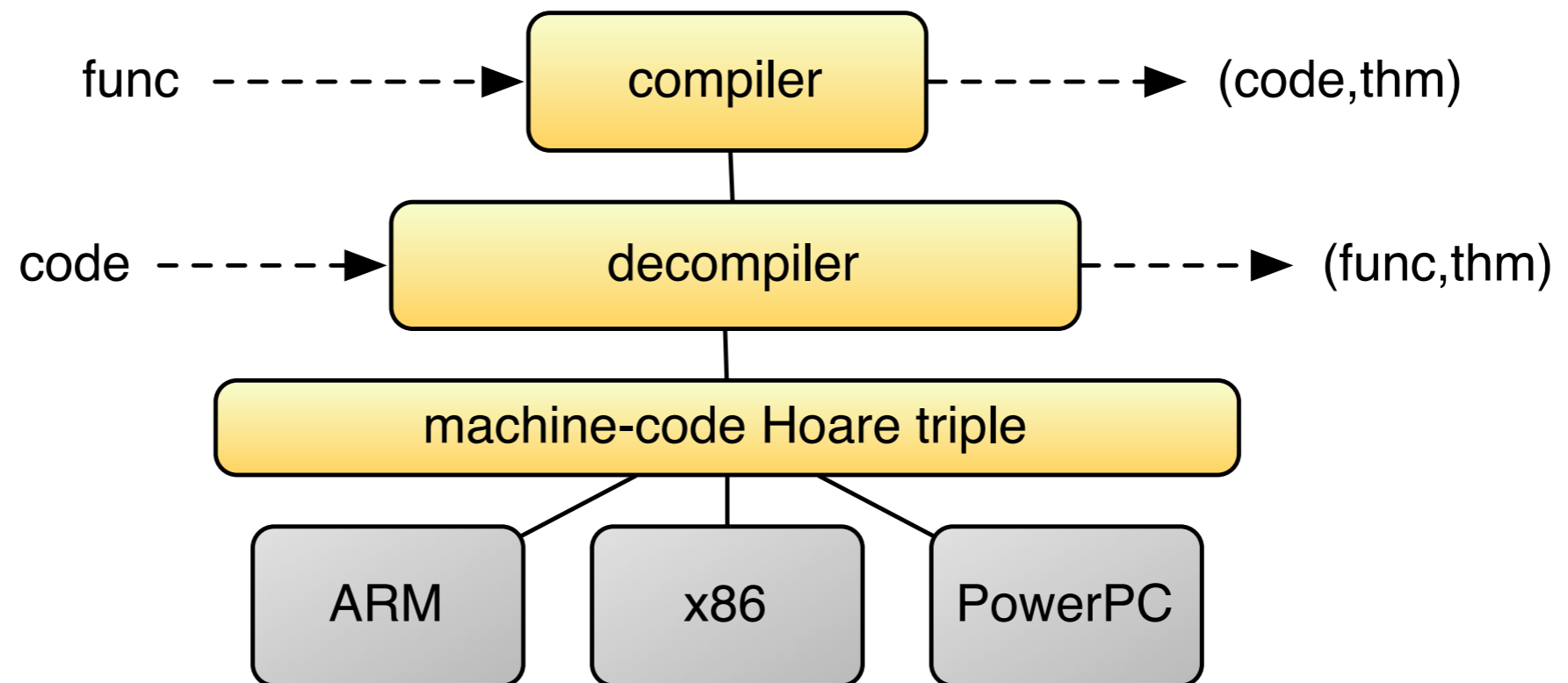


All proofs expand at runtime into primitive inferences in the HOL4 kernel.

The kernel implements the axioms and inference rules of higher-order logic.

# Infrastructure

During my PhD, I developed the following infrastructure:



... each part will be explained in the next slides.

# Models of machine code

Machine models borrowed from work by others:

## **ARM model, by Fox [TPHOLs'03]**

- ▶ covers practically all ARM instructions, for old and new ARMs
- ▶ still actively being developed

## **x86 model, by Sarkar et al. [POPL'09]**

- ▶ covers all addressing modes in 32-bit mode x86
- ▶ includes approximately 30 instructions

## **PowerPC model, originally from Leroy [POPL'06]**

- ▶ manual translation (Coq  $\rightarrow$  HOL4) of Leroy's PowerPC model
- ▶ instruction decoder added

# Hoare triples

Each model can be evaluated, e.g. ARM instruction `add r0,r0,r0` is described by theorem:

```
|- (ARM_READ_MEM ((31 >< 2) (ARM_READ_REG 15w state)) state =
    0xE0800000w) ^ ¬state.undefined ⇒
(NEXT_ARM_MMU cp state =
  ARM_WRITE_REG 15w (ARM_READ_REG 15w state + 4w)
  (ARM_WRITE_REG 0w
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As a total-correctness machine-code Hoare triple:

```
|- SPEC ARM_MODEL
  (aR 0w x * aPC p)
  { (p, 0xE0800000w) }
  (aR 0w (x+x) * aPC (p+4w))
```

Informal syntax for this talk:

```
{ R0 x * PC p }
p : E0800000
{ R0 (x+x) * PC (p+4) }
```

# Definition of Hoare triple

$$\{p\} c \{q\} \iff \forall s r. (p * r * \text{code } c) s \implies \exists n. (q * r * \text{code } c) (\text{run } n s)$$

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$$\{p\} c \{q\} \iff \begin{array}{c} \text{frame} \\ \forall s r. \end{array} (p * r * \text{code } c) s \implies \begin{array}{c} \text{code separate} \\ \exists n. (q * r * \text{code } c) (\text{run } n s) \end{array}$$

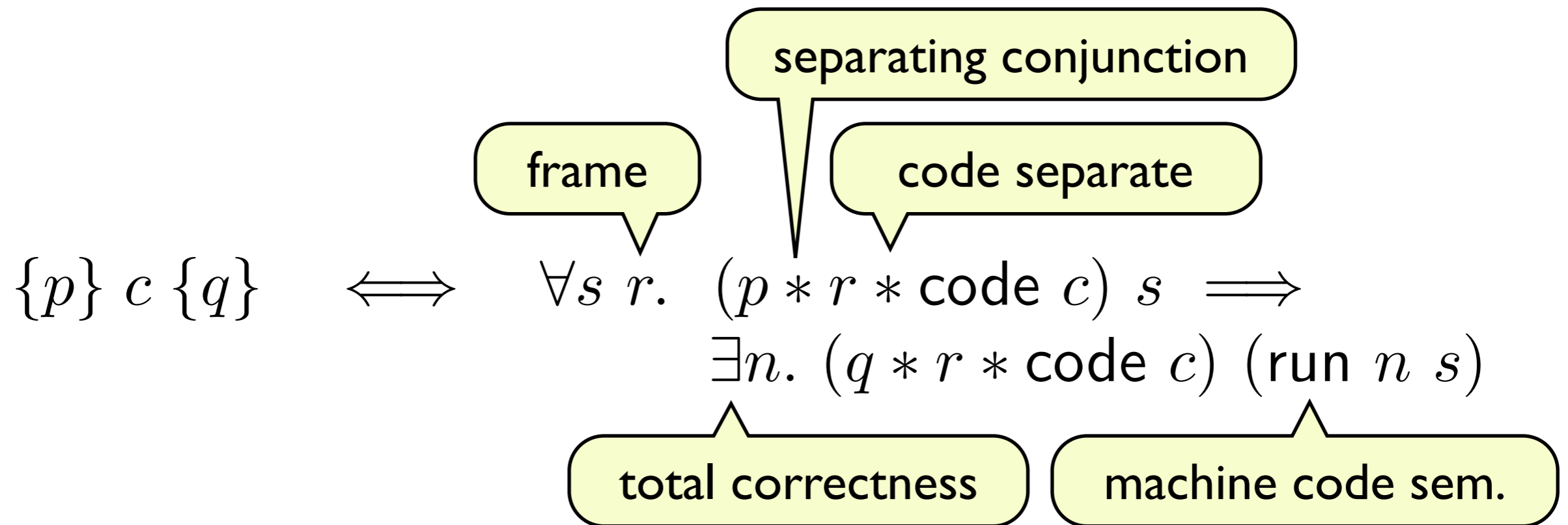
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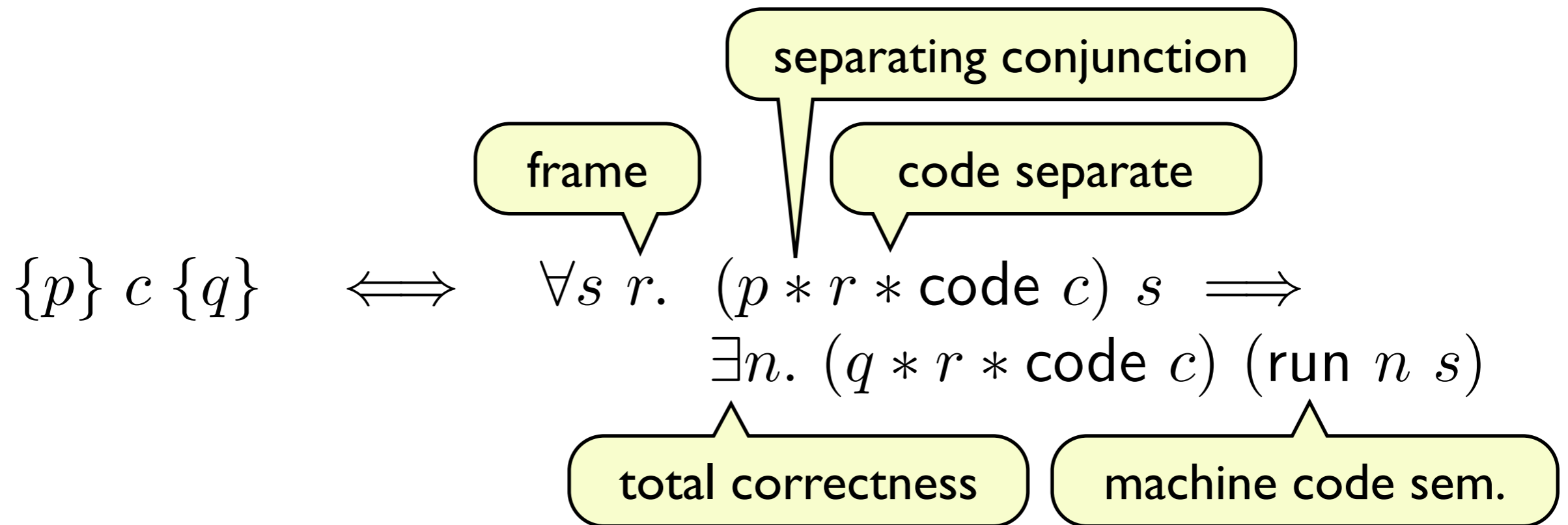
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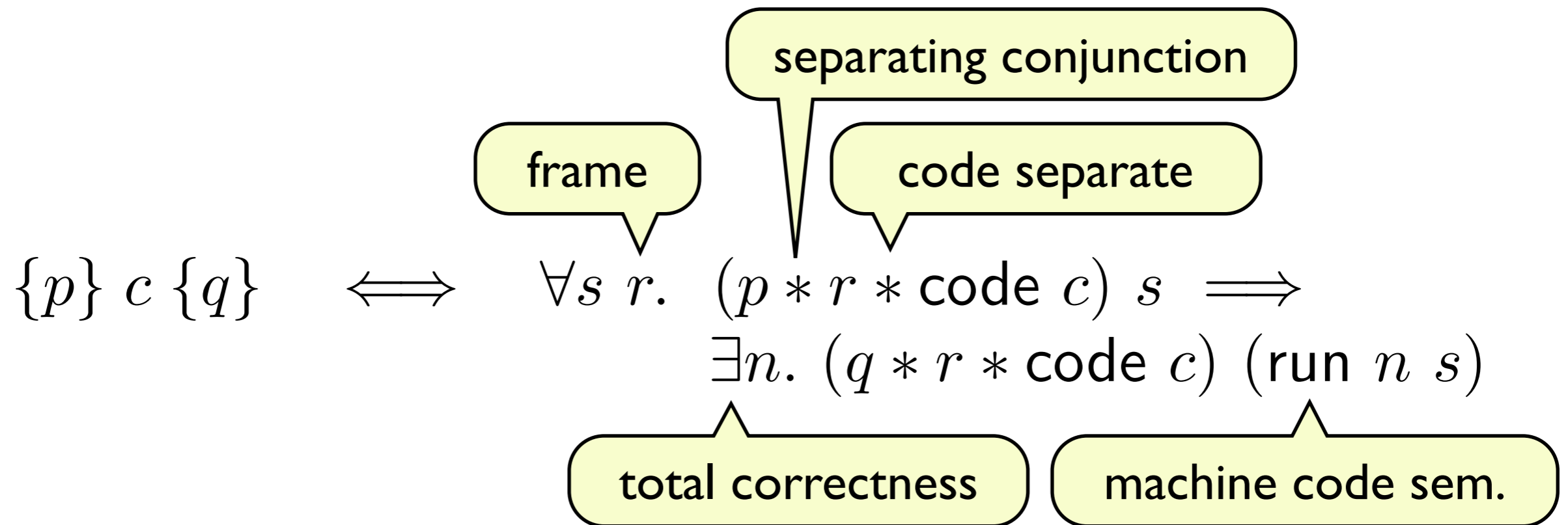


# Definition of Hoare triple



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Program logic can be used directly for verification.

But **direct reasoning** in this embedded logic is **tiresome**.

# Decompiler

Decompiler automates Hoare triple reasoning.

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**Example:** Given some ARM machine code,

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4: E3510000      L: cmp r1, #0
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the decompiler automatically extracts a readable function:

$$f(r_0, r_1, m) = \text{let } r_0 = 0 \text{ in } g(r_0, r_1, m)$$
$$g(r_0, r_1, m) = \text{if } r_1 = 0 \text{ then } (r_0, r_1, m) \text{ else} \\ \text{let } r_0 = r_0 + 1 \text{ in} \\ \text{let } r_1 = m(r_1) \text{ in} \\ g(r_0, r_1, m)$$

# Decompilation, correct?

Decompiler automatically proves a certificate theorem:

$$f_{pre}(r_0, r_1, m) \Rightarrow$$

$$\{ (R0, R1, M) \text{ is } (r_0, r_1, m) * \text{PC } p * S \}$$

$$p : \text{E3A00000 E3510000 12800001 15911000 1AFFFFFFB}$$

$$\{ (R0, R1, M) \text{ is } f(r_0, r_1, m) * \text{PC } (p + 20) * S \}$$

which informally reads:

for any initially value  $(r_0, r_1, m)$  in reg 0, reg 1 and memory,  
the code terminates with  $f(r_0, r_1, m)$  in reg 0, reg 1 and memory.

# Decompilation verification example

To verify code: prove properties of function  $f$ ,

$$\forall x \ l \ a \ m. \text{list}(l, a, m) \Rightarrow f(x, a, m) = (\text{length}(l), 0, m)$$

$$\forall x \ l \ a \ m. \text{list}(l, a, m) \Rightarrow f_{pre}(x, a, m)$$

since properties of  $f$  carry over to machine code via the certificate.

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**Proof reuse:** Given similar x86 and PowerPC code:

```
31C085F67405408B36EBF7
```

```
38A000002C140000408200107E80A02E38A500014BFFFFFF0
```

which decompiles into  $f'$  and  $f''$ , respectively. Manual proofs above can be reused if  $f = f' = f''$ .

# Decompilation

How to decompile:

```
e0810000  add  r0, r1, r0
e1a000a0  lsr  r0, r0, #1
e12fff1e  bx   lr
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# Decompilation

{ R0 i \* RI j \* PC p }  
p+0 : e0810000  
{ R0 (i+j) \* RI j \* PC (p+4) }

{ R0 i \* PC (p+4) }  
p+4 : e1a000a0  
{ R0 (i >> I) \* PC (p+8) }

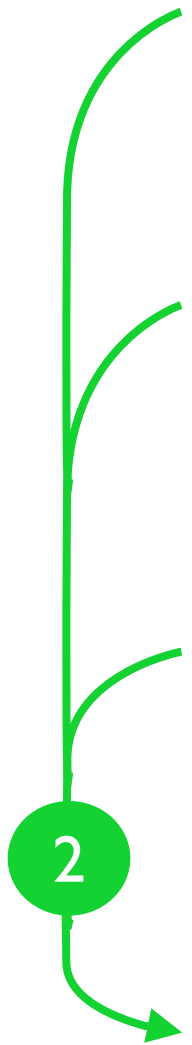
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1. derive Hoare triple theorems  
using Cambridge ARM model

# Decompilation



$\{ R0\ i * R1\ j * PC\ p \}$   
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1. derive Hoare triple theorems using Cambridge ARM model
2. compose Hoare triples



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1. derive Hoare triple theorems using Cambridge ARM model
  2. compose Hoare triples
  3. extract function
- (Loops result in recursive functions.)

3

$\text{avg}(i,j) = (i+j) >> 1$

# Decompiler implementation

Implementation:

- ▶ ML program which **fully-automatically** performs forward proof,
- ▶ **no heuristics** and no dangling proof obligations,
- ▶ loops are handled by a **special loop** rule which introduces tail-recursive functions:

$$tailrec(x) = \text{if } G(x) \text{ then } tailrec(F(x)) \text{ else } D(x)$$

with termination and side-conditions  $H$  collected as:

$$pre(x) = (\text{if } G(x) \text{ then } pre(F(x)) \text{ else true}) \wedge H(x)$$

Details in Myreen et al. [FMCAD'08].

# Comparison of approaches

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pro decompilation into logic

=

veri symbolic simulation + support for loops (tail-rec.),

- ▶ done over a program logic (not machine model)

code(!)

decompilation into logic

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- ▶ can implement *proof-producing compilation* (next slide)

# Proof-producing compilation

Synthesis often more practical. Given function  $f$ ,

$$f(r_1) = \text{if } r_1 < 10 \text{ then } r_1 \text{ else let } r_1 = r_1 - 10 \text{ in } f(r_1)$$

our *compiler* generates ARM machine code:

```
E351000A      L:  cmp r1,#10
2241100A      subcs r1,r1,#10
2AFFFFFC      bcs L
```

and automatically proves a certificate HOL theorem:

$$\vdash \{ R1 \mathit{r}_1 * PC \mathit{p} * s \}$$

$\mathit{p} : E351000A \ 2241100A \ 2AFFFFFC$

$$\{ R1 \mathit{f}(\mathit{r}_1) * PC (\mathit{p}+12) * s \}$$



# Compilation, example cont.

One can prove properties of  $f$  since it lives inside HOL:

$$\vdash \forall x. f(x) = x \bmod 10$$

Properties proved of  $f$  translate to properties of the machine code:

$$\begin{aligned} \vdash \{ & \text{R1 } r_1 * \text{PC } p * s \} \\ & p : \text{E351000A 2241100A 2AFFFFFC} \\ & \{ \text{R1 } (r_1 \bmod 10) * \text{PC } (p+12) * s \} \end{aligned}$$

**Additional feature:** the compiler can use the above theorem to extend its input language with: `let  $r_1 = r_1 \bmod 10$  in _`

# Implementation

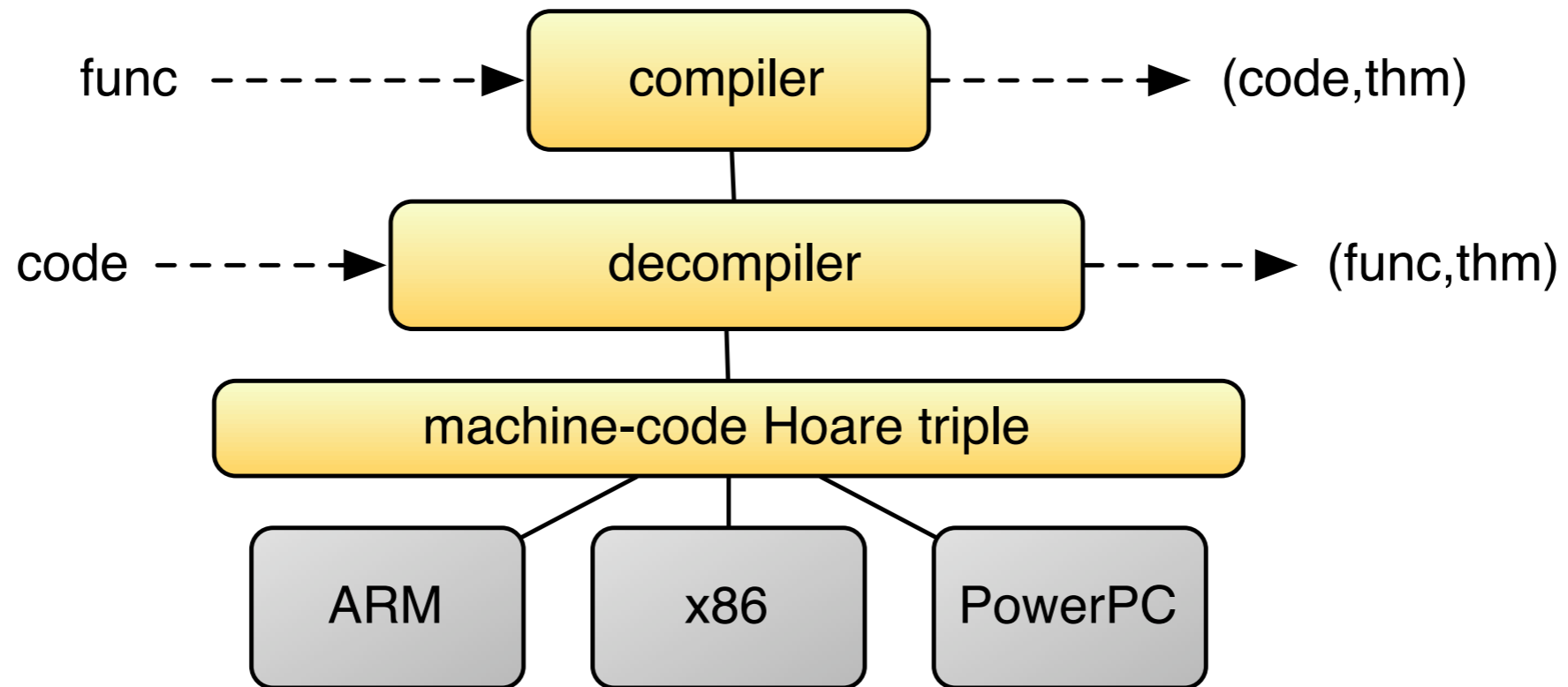
To compile function  $f$ :

1. generate, without proof, code from input  $f$ ;
2. decompile, with proof, a function  $f'$  from generated code;
3. prove  $f = f'$ .

Features:

- ▶ code generation **completely separate** from proof
- ▶ supports many light-weight **optimisations** without any additional proof burden: instruction reordering, conditional execution, dead-code elimination, duplicate-tail elimination, ...
- ▶ allows for significant **user-defined extensions**

# Infrastructure (again)



# ***This talk***

## Part 1:

- ▶ automation: code to spec
- ▶ automation: spec to code

## **Part 2:** verification of existing code

- ▶ verification of gcc output for microkernel (7,000 lines of C)

## Part 3:

- ▶ verified that can run Jared Davis'

# L4.verified

seL4 = a formally verified general-purpose microkernel

(Work by Gerwin Klein's team at NICTA, Australia)

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seL4 = a formally verified general-purpose microkernel

about 7,000 lines of C code and assembly

200,000 lines of Isabelle/HOL proofs

(Work by Gerwin Klein's team at NICTA, Australia)

# Assumptions

L4.verified project assumes correctness of:

- ▶ C compiler (gcc)
- ▶ inline assembly
- ▶ hardware
- ▶ hardware management
- ▶ boot code
- ▶ virtual memory



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The aim of this work is to remove the first assumption.

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- ▶ inline assembly
- ▶ hardware
- ▶ hardware management
- ▶ boot code
- ▶ virtual memory
- ▶ Cambridge ARM model

The aim of this work is to remove the first assumption.

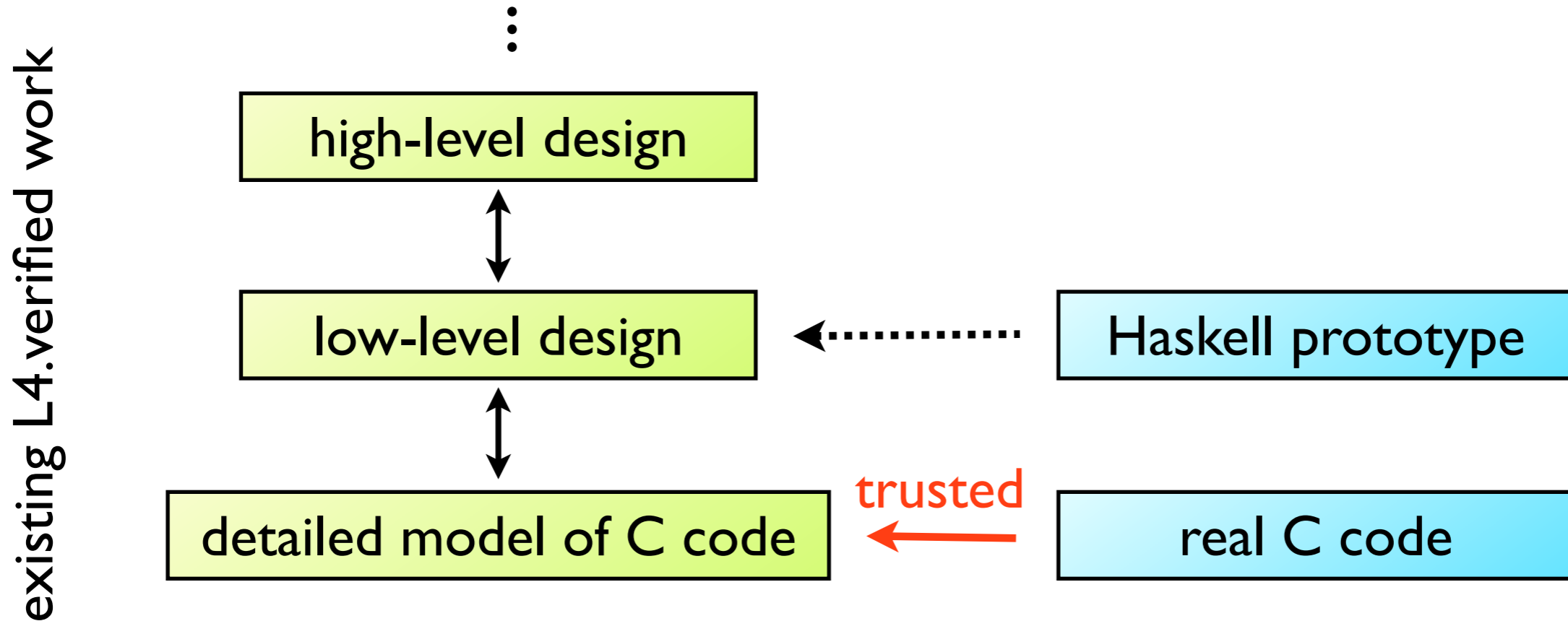
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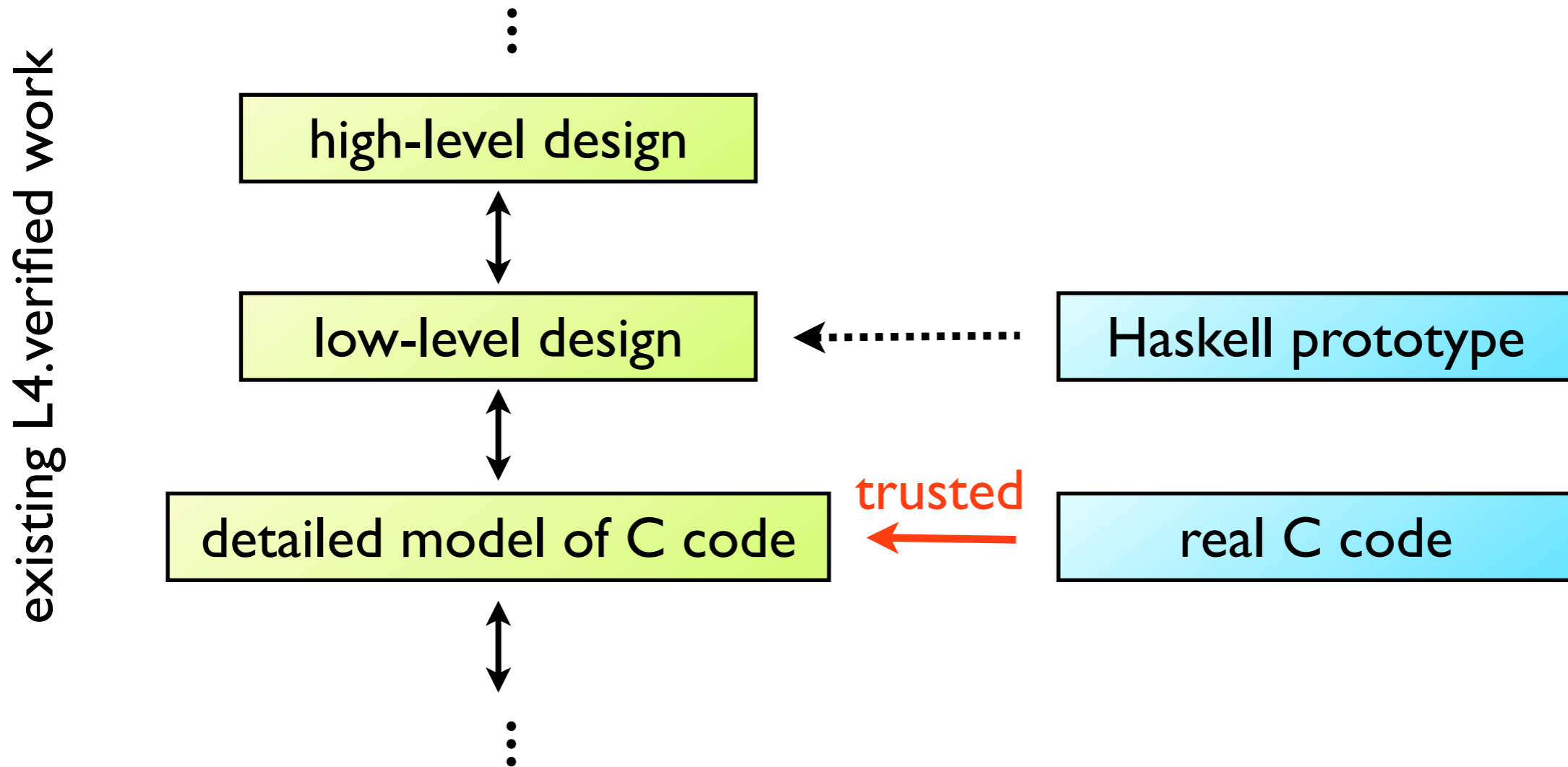
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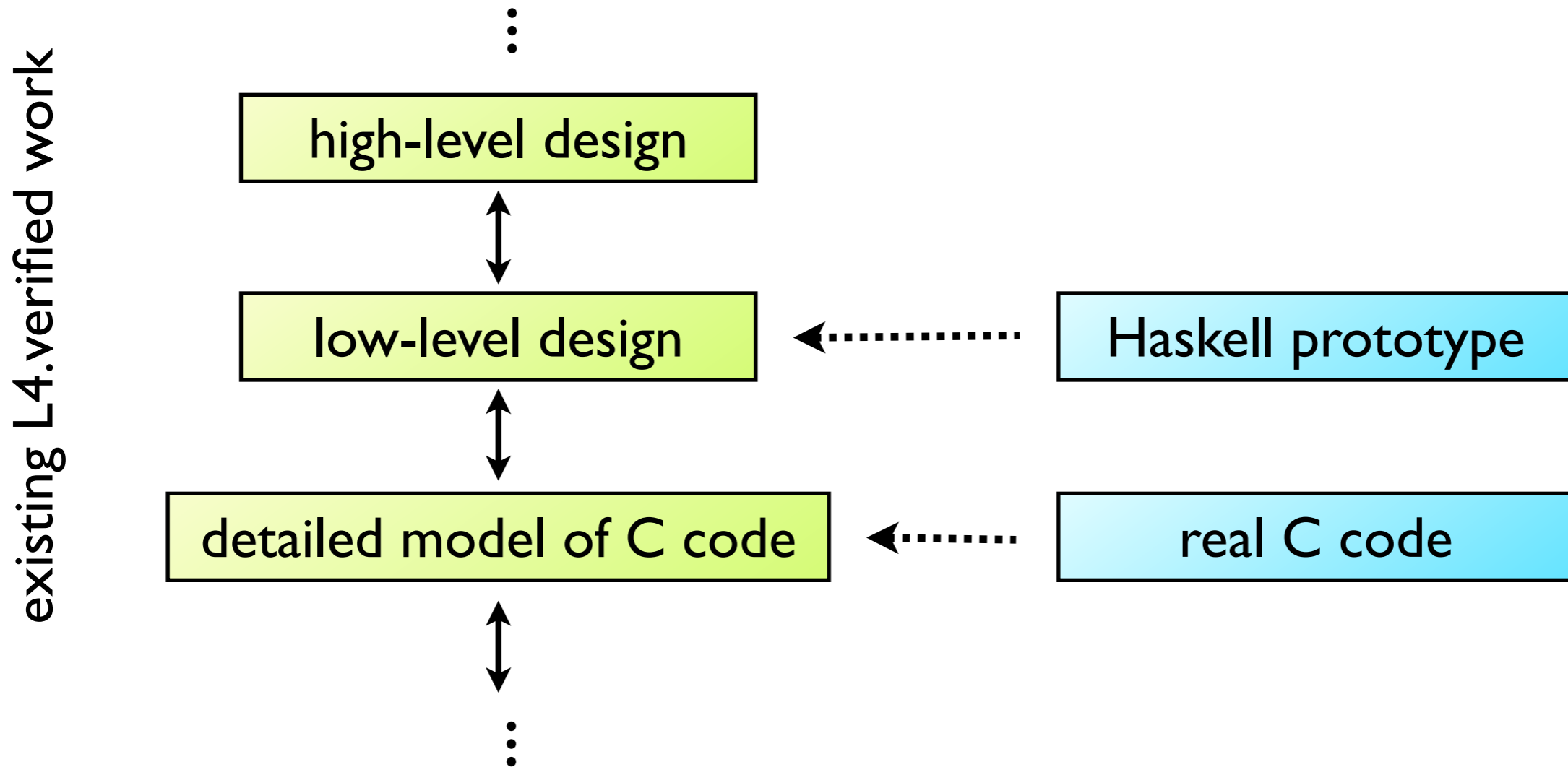


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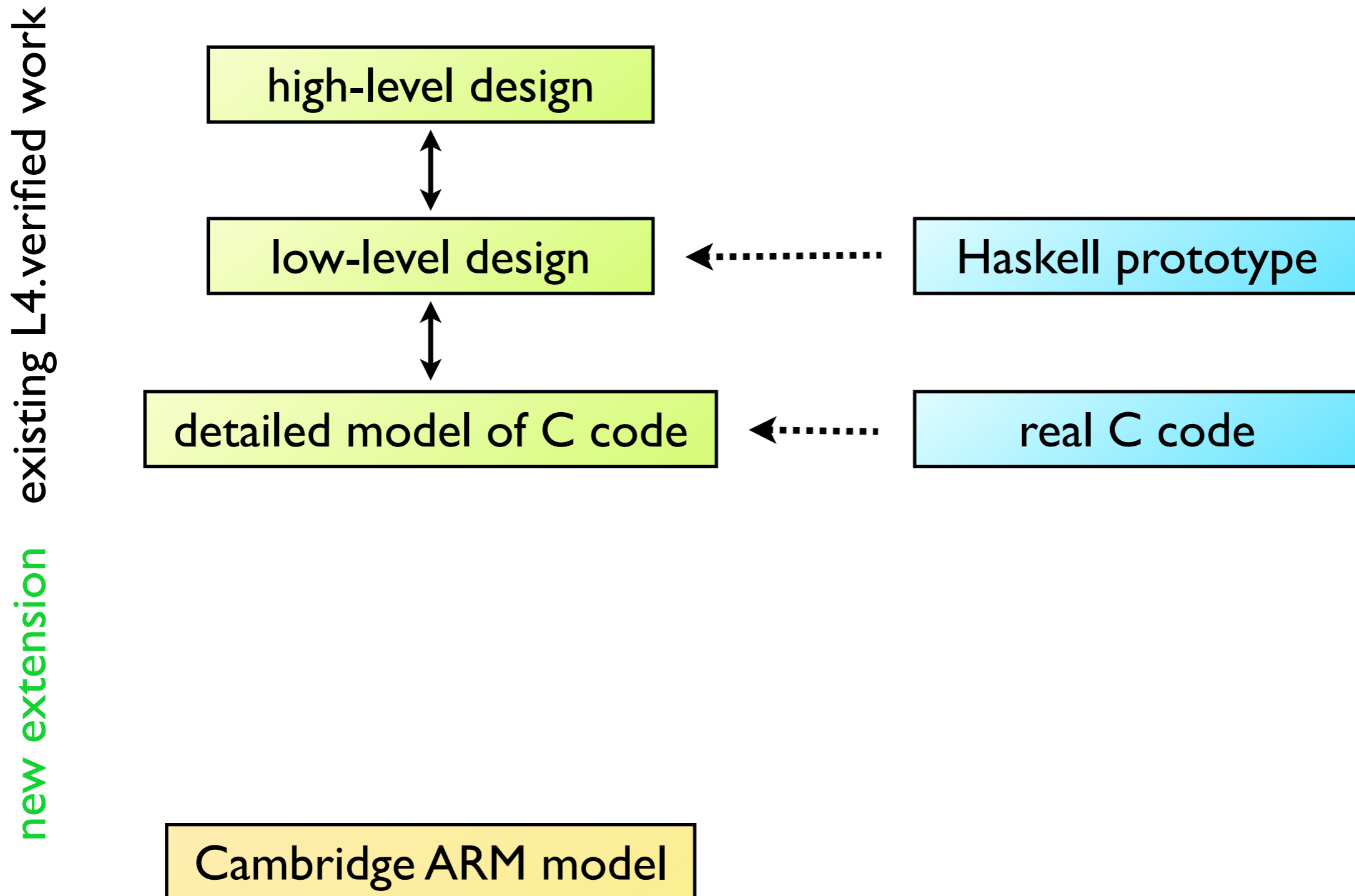
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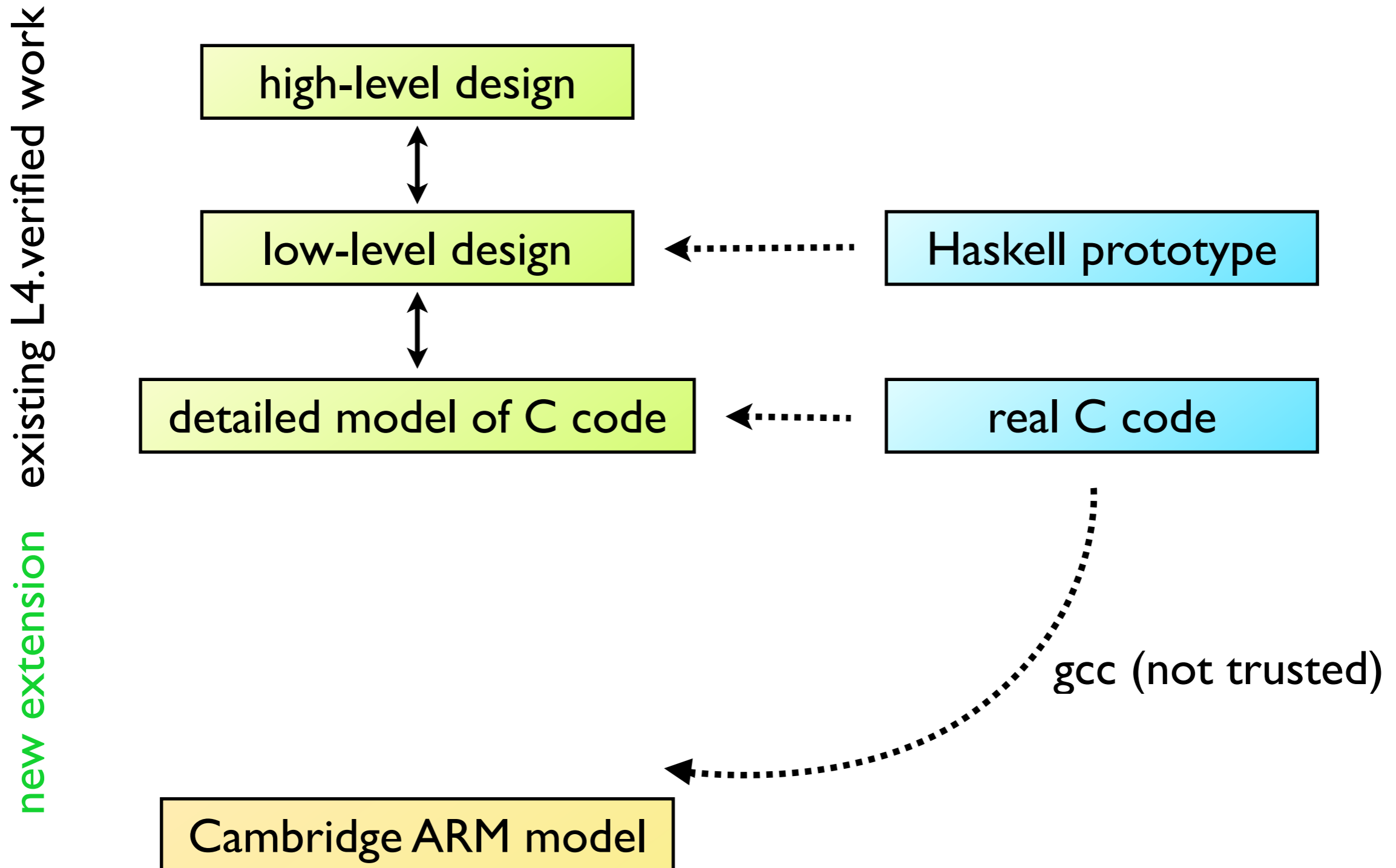


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# Using Cambridge ARM model

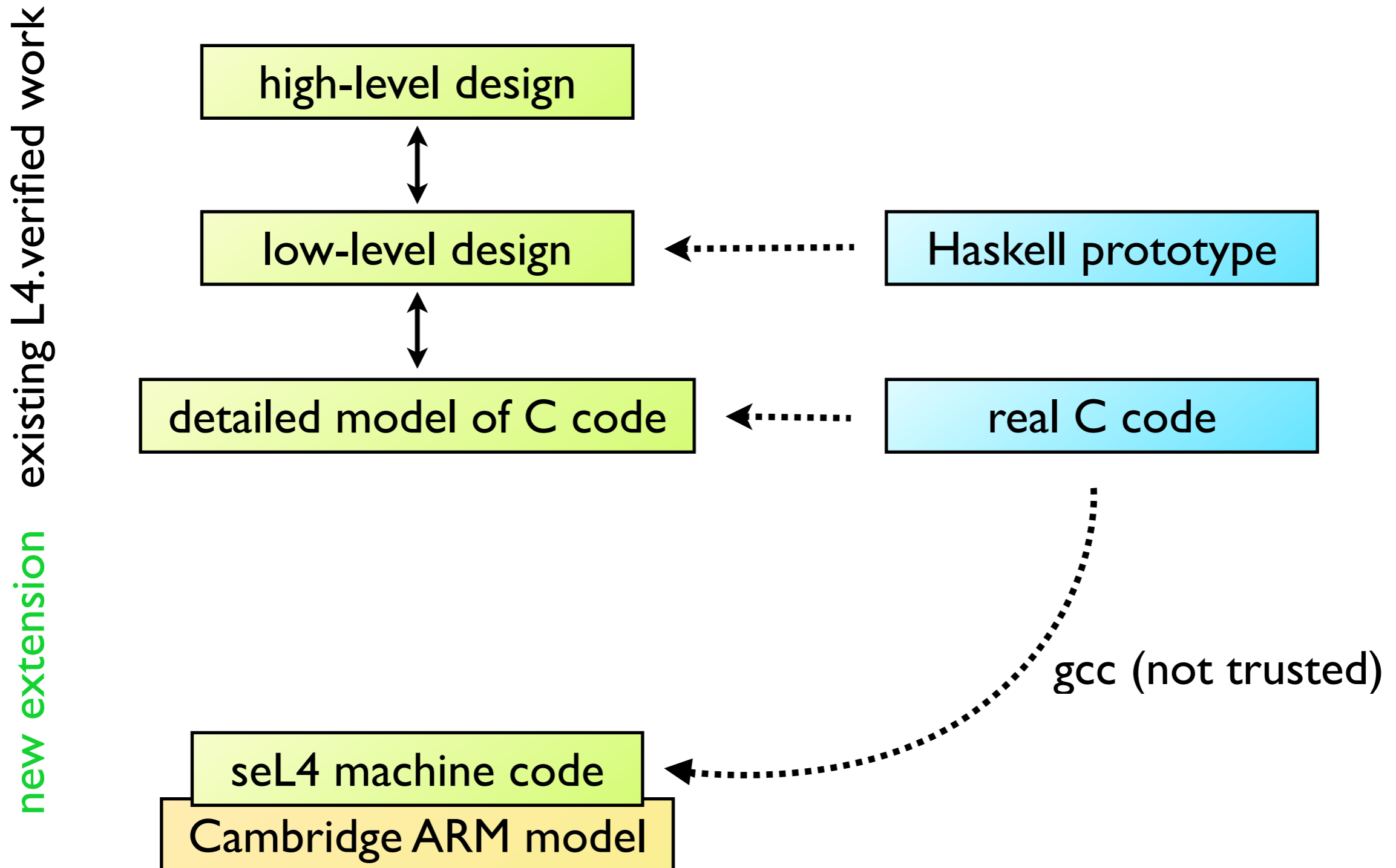


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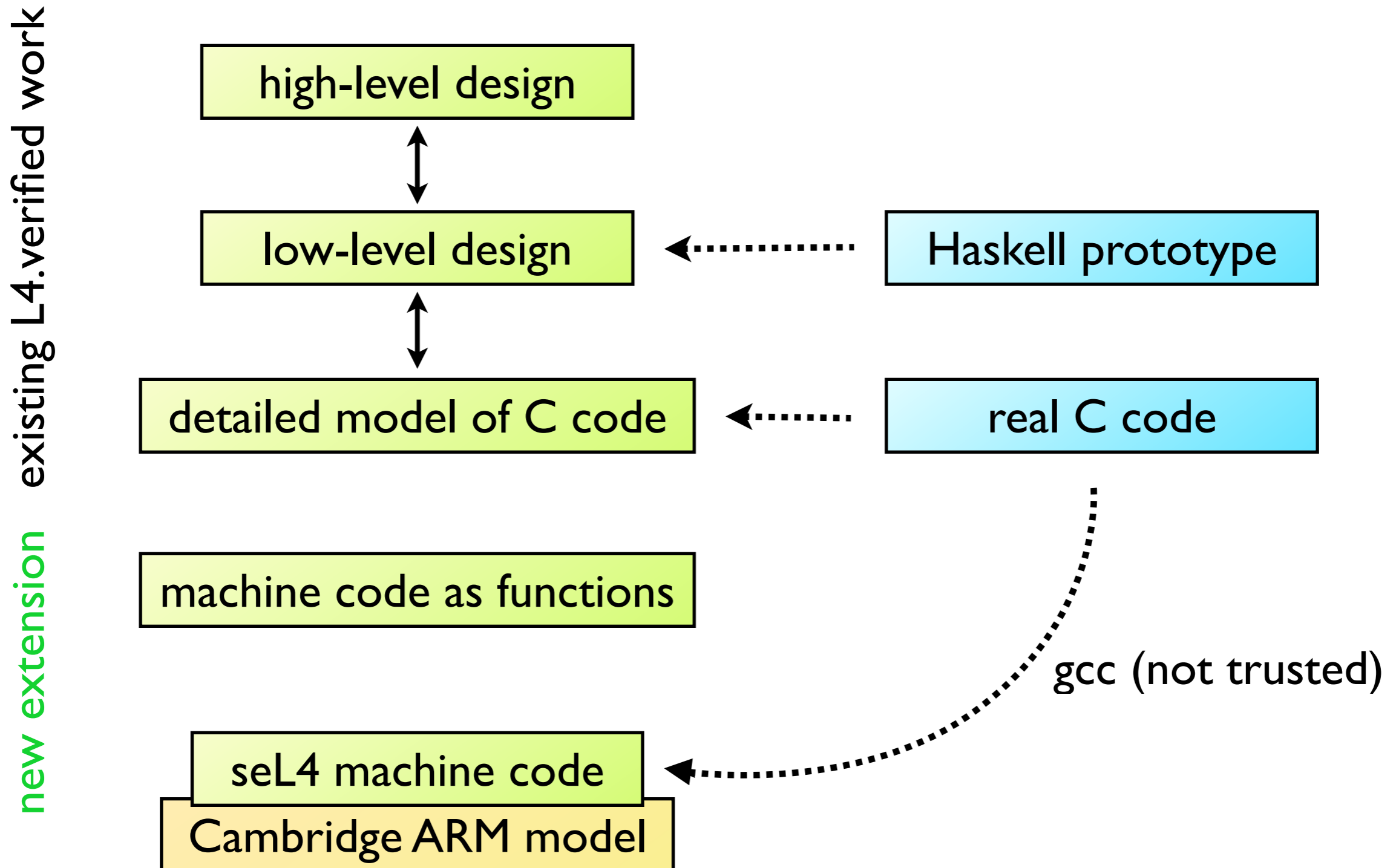




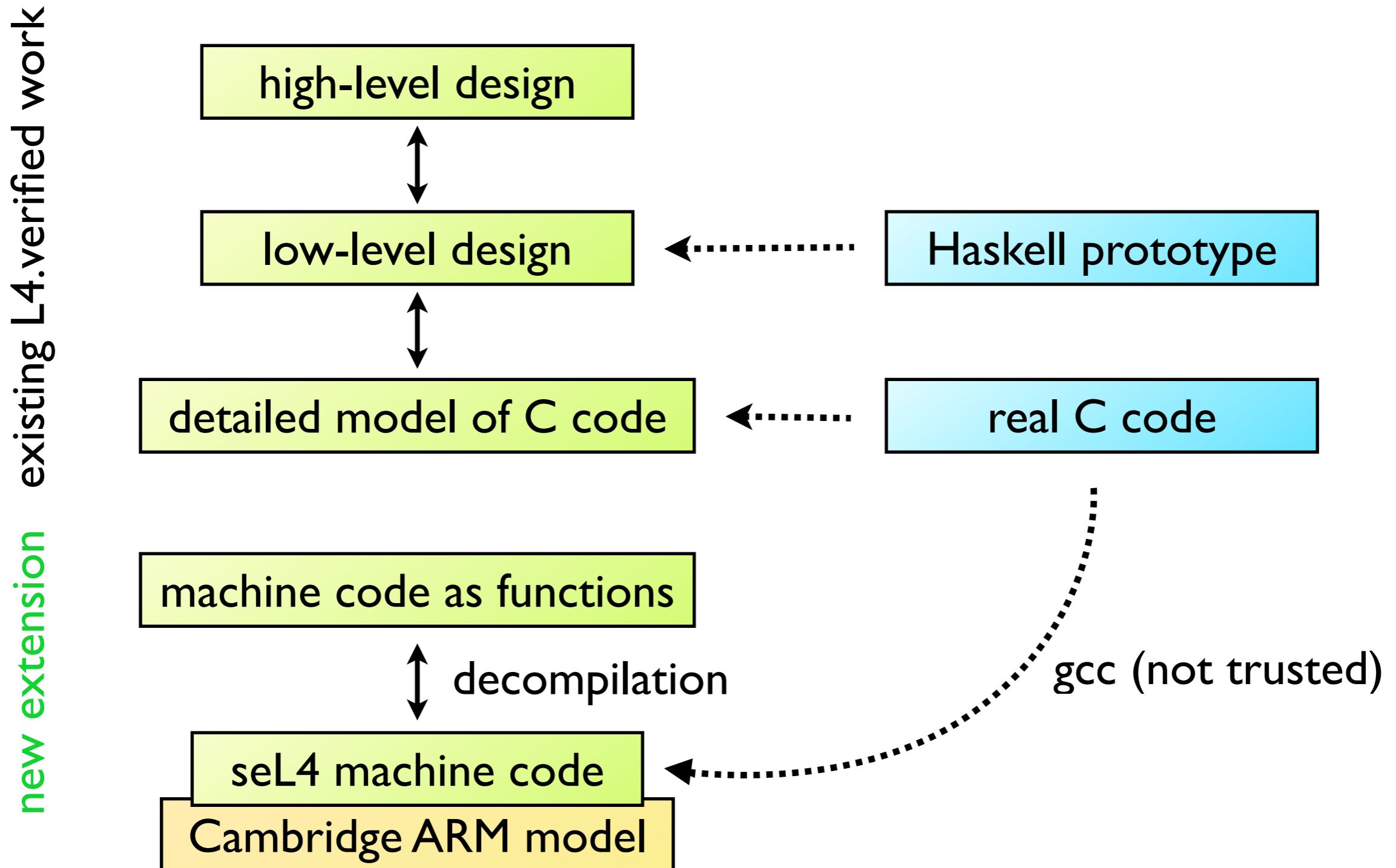
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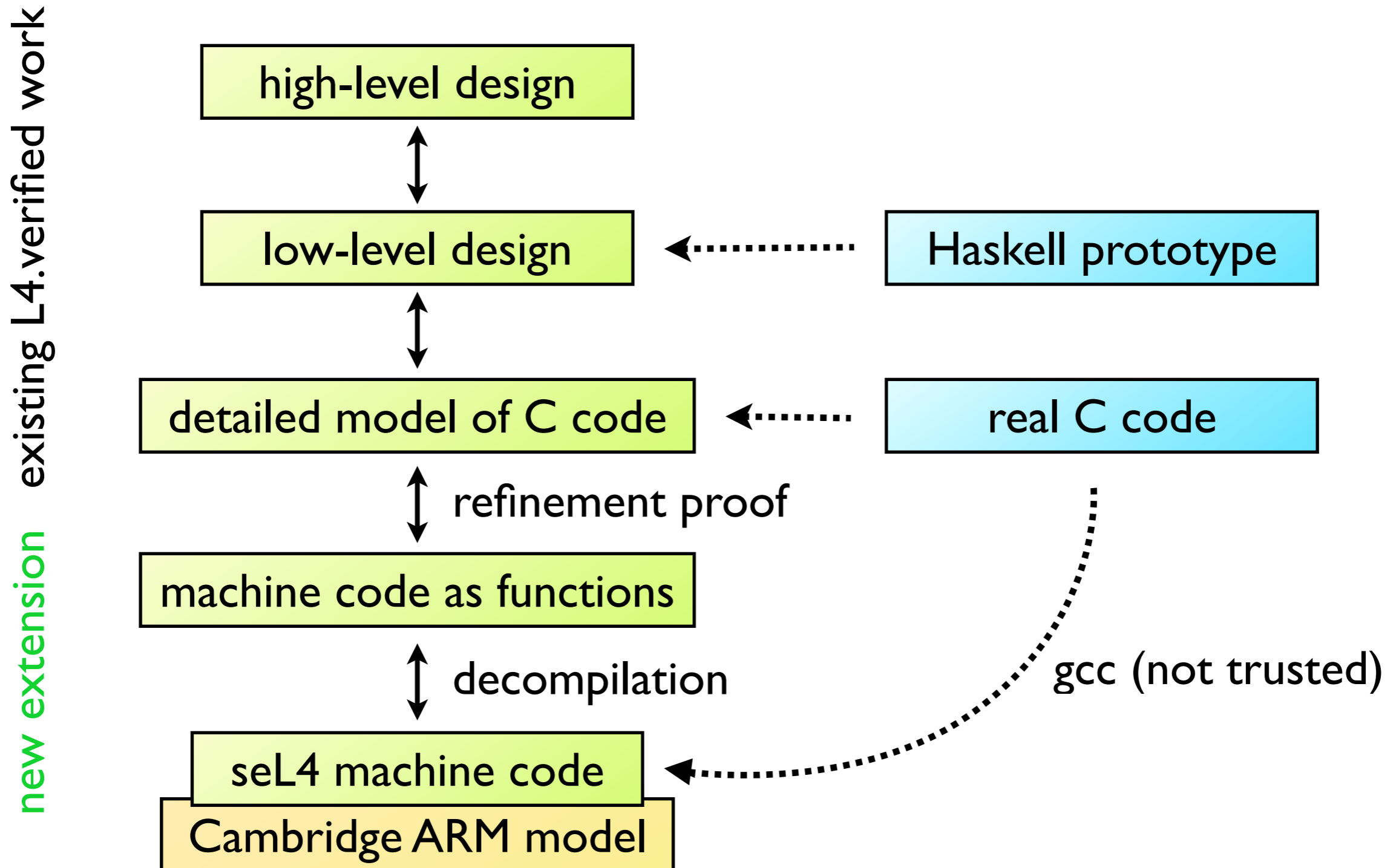
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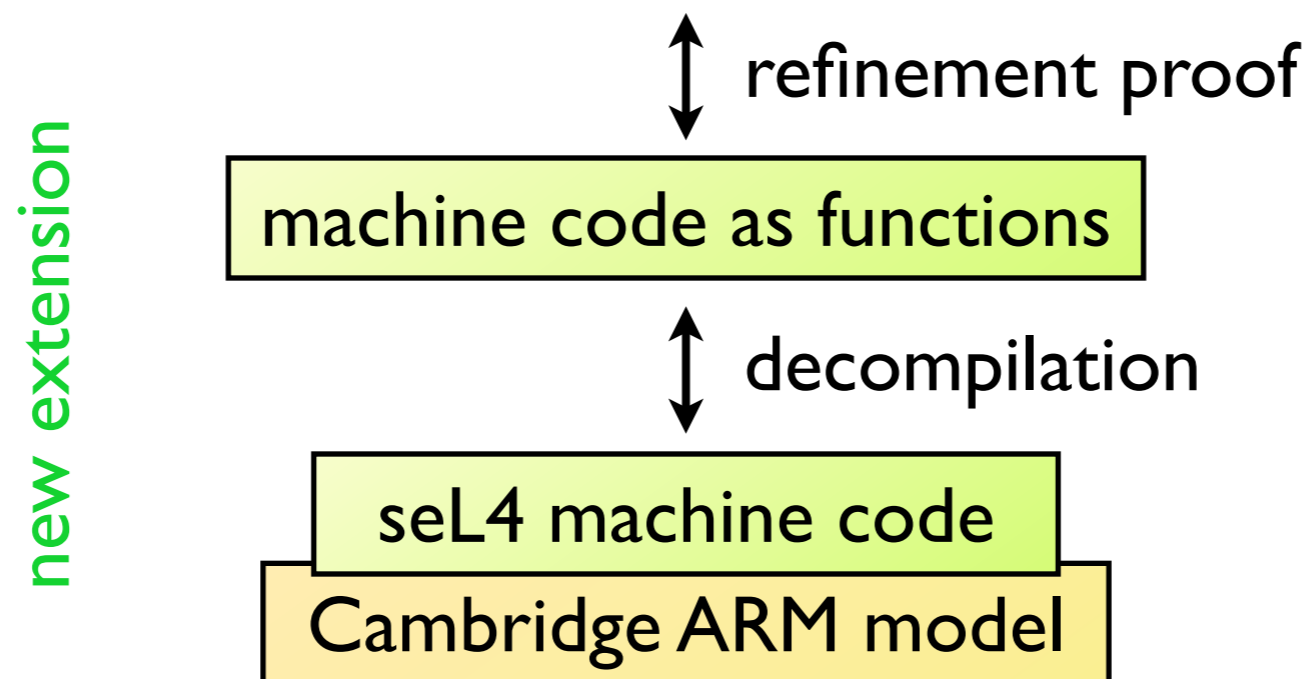
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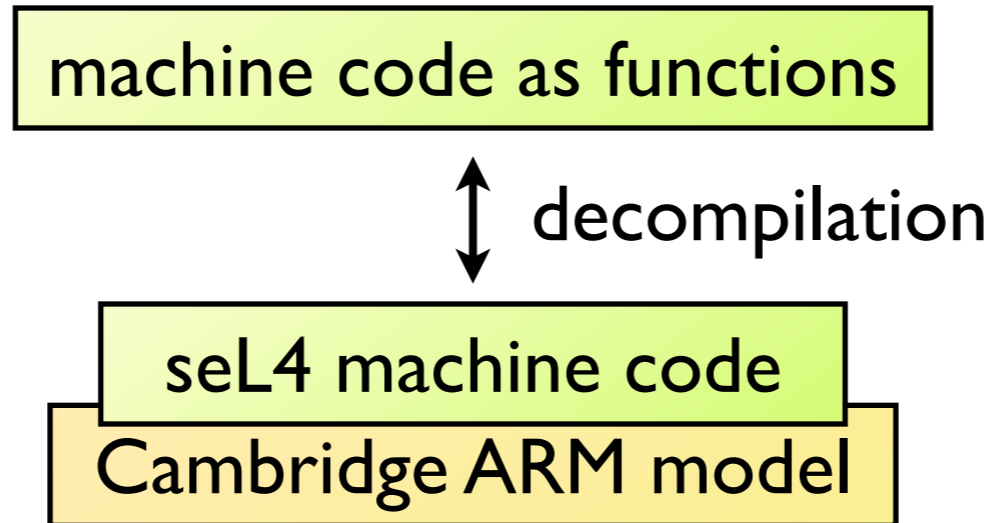


# Approach



- decompilation by me
- refinement proof by Thomas Sewell (NICTA)

# Stage 1: decompilation



# Decompilation

Sample C code:

```
uint avg (uint i, uint j) {  
    return (i + j) / 2;  
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decompilation via ARM model

Resulting function:

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avg (r0, r1) = let r0 = r1 + r0 in  
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HOL4 certificate theorem:

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- must be compatible with L4.verified proof
  - ➔ stack requires special treatment

# Stack visible in m. code

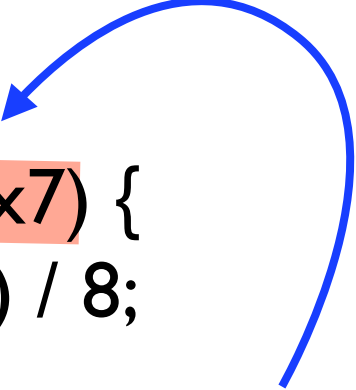
C code:

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uint avg8 (uint x0, x1, x2, x3, x4, x5, x6, x7) {  
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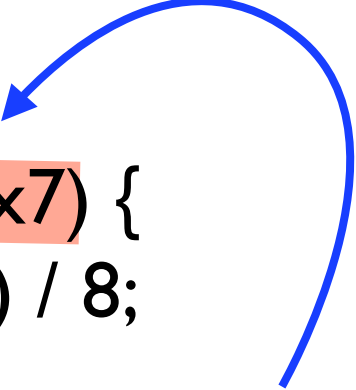


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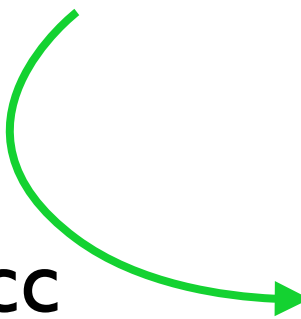
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add r1, r1, r3  
add r0, r1, r2  
ldmib sp, {r2, r3}  
add r0, r0, r2  
add r0, r0, r3  
ldr r3, [sp, #12]  
add r0, r0, r3  
lsr r0, r0, #3  
bx lr
```

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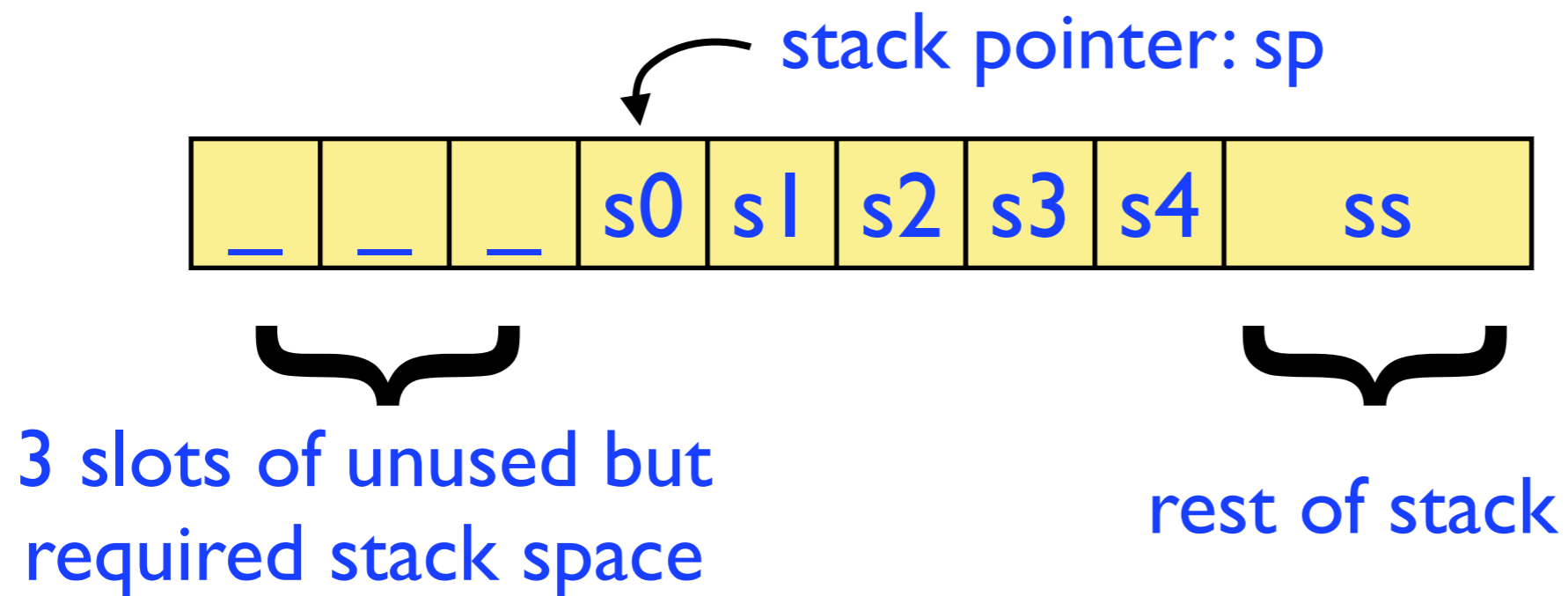
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```

Some arguments are passed on the stack,  
and cause memory ops in machine code

... that are not  
present in C semantics.

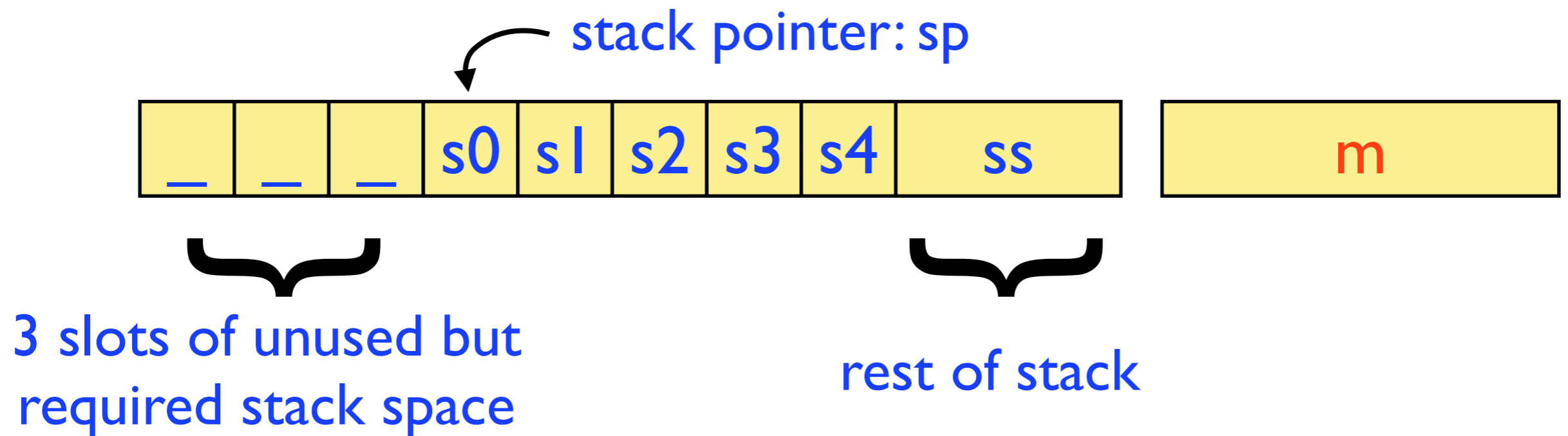
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Use separation-logic inspired approach



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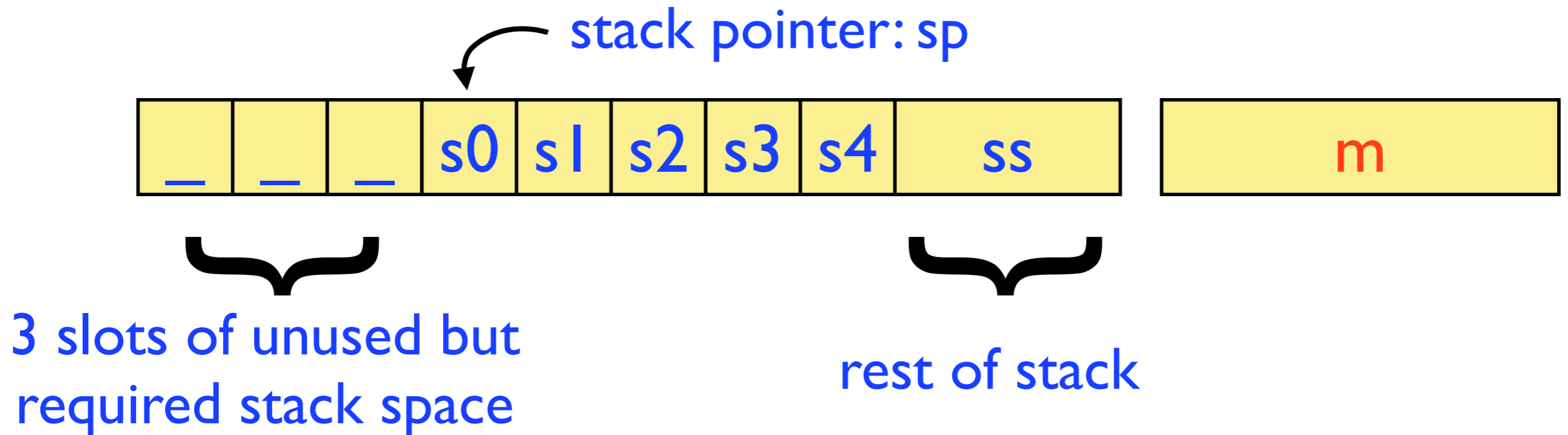
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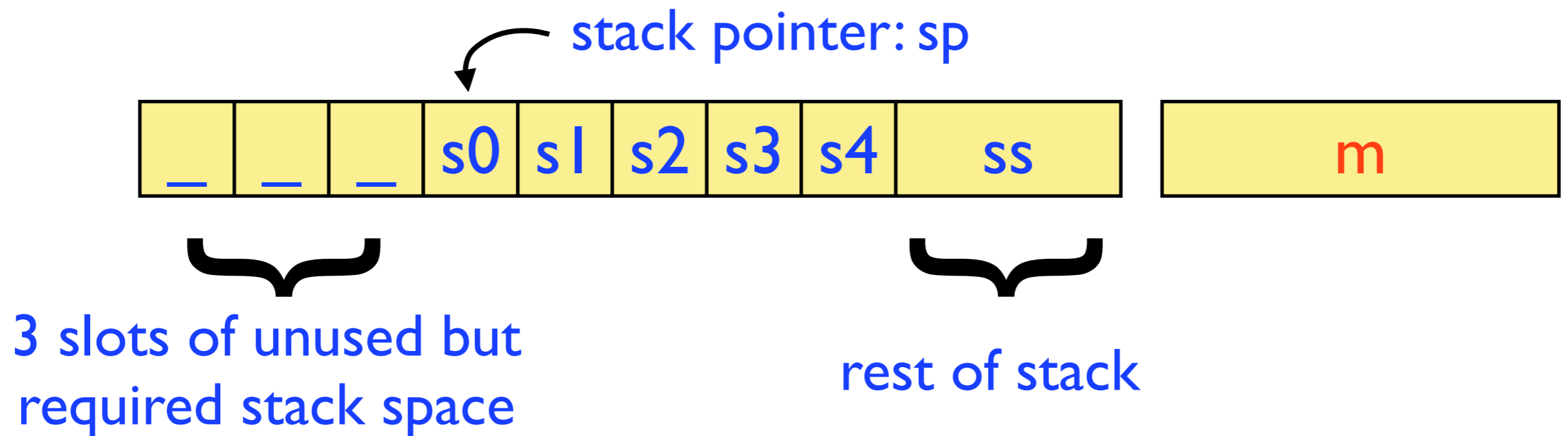
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`stack sp 3 (s0::s1::s2::s3::s4::ss)`

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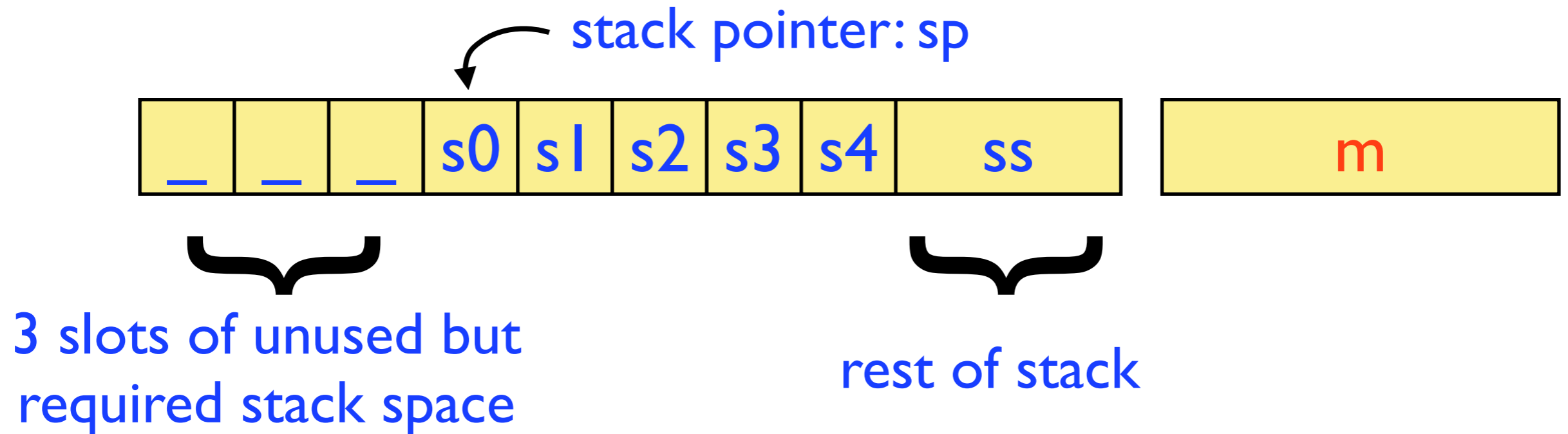
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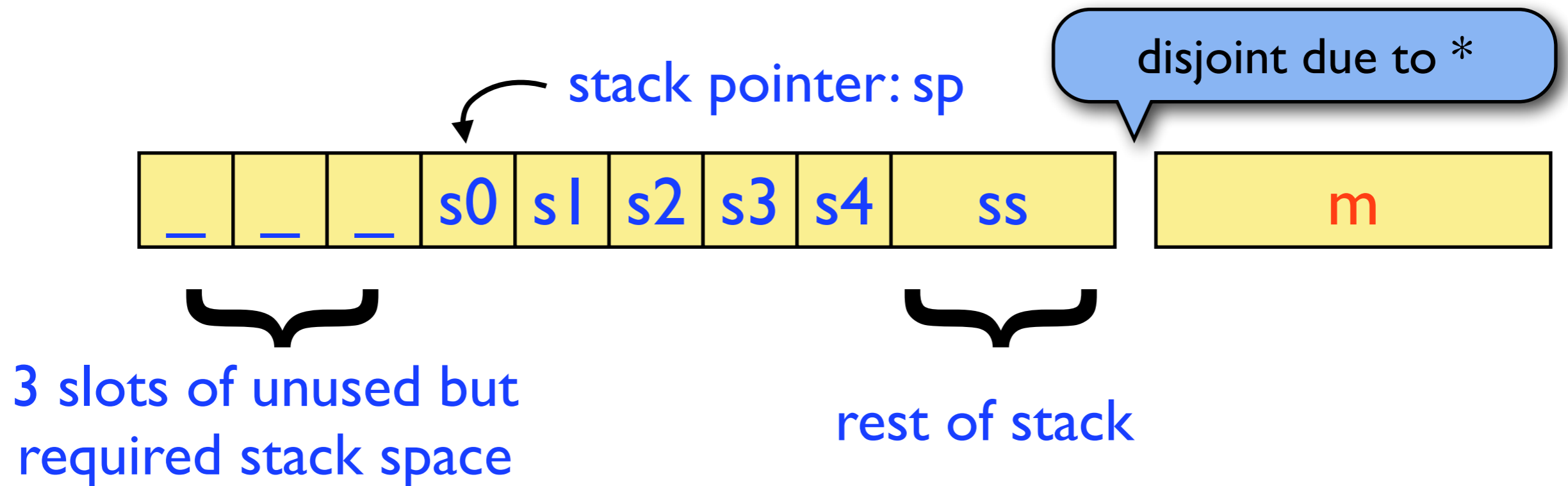


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# Solution (cont.)

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```

## Method:

1. static analysis to find stack operations,
2. derive stack-specific Hoare triples,
3. then run decompiler as before.

# Solution (cont.)

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# Result

Stack load/stores become straightforward assignments.

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```

→

avg8(r0,r1,r2,r3,s0,s1,s2,s3) =

```
let r1 = r1 + r0 in
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```
let r0 = r0 >> 3 in
```

```
r0
```

# Result

Stack load/stores become straightforward assignments.

Additional benefit:

automatically proved certificate theorem  
states explicitly stack shape/usage:

{ stack sp n (s0::s1::s2::s3::s) \* ... \* PC p }

p : code

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promises to leave stack unchanged

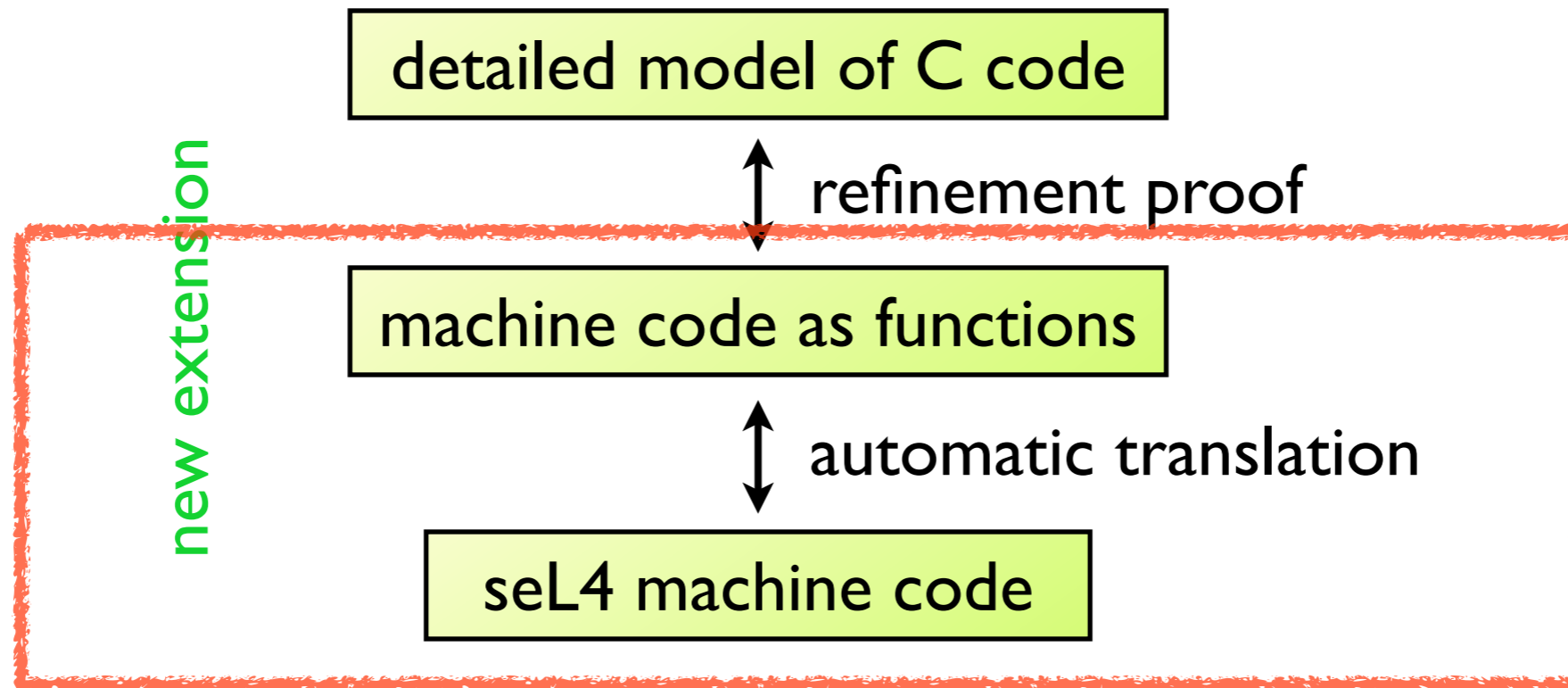
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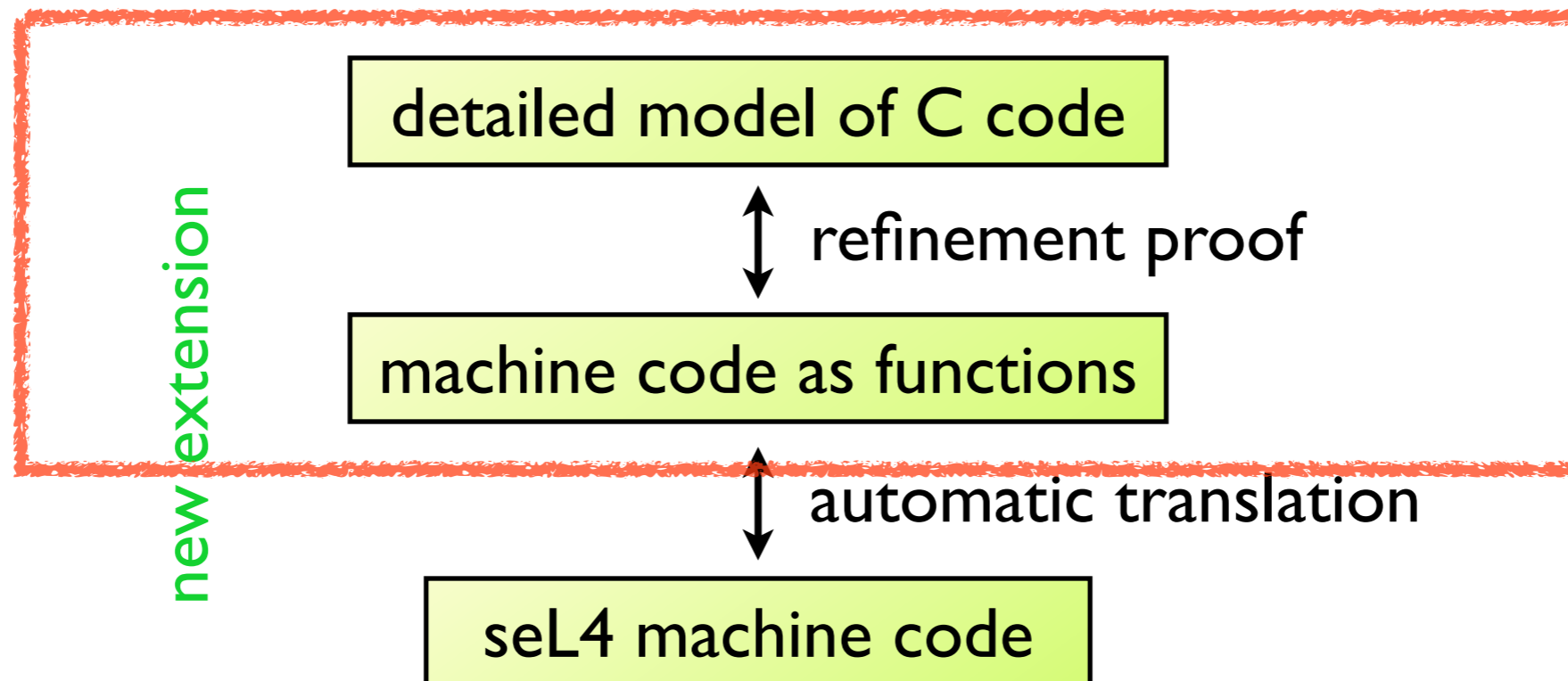
# Other C-specifics

- **struct as return value**
  - ▶ case of passing **pointer of stack location**
  - ▶ stack assertion strong enough
- **switch statements**
  - ▶ **position dependent**
  - ▶ must decompile elf-files, not object files
- **infinite loops in C**
  - ▶ make **gcc go weird**
  - ▶ must be pruned from control-flow graph

# Moving on to stage 2

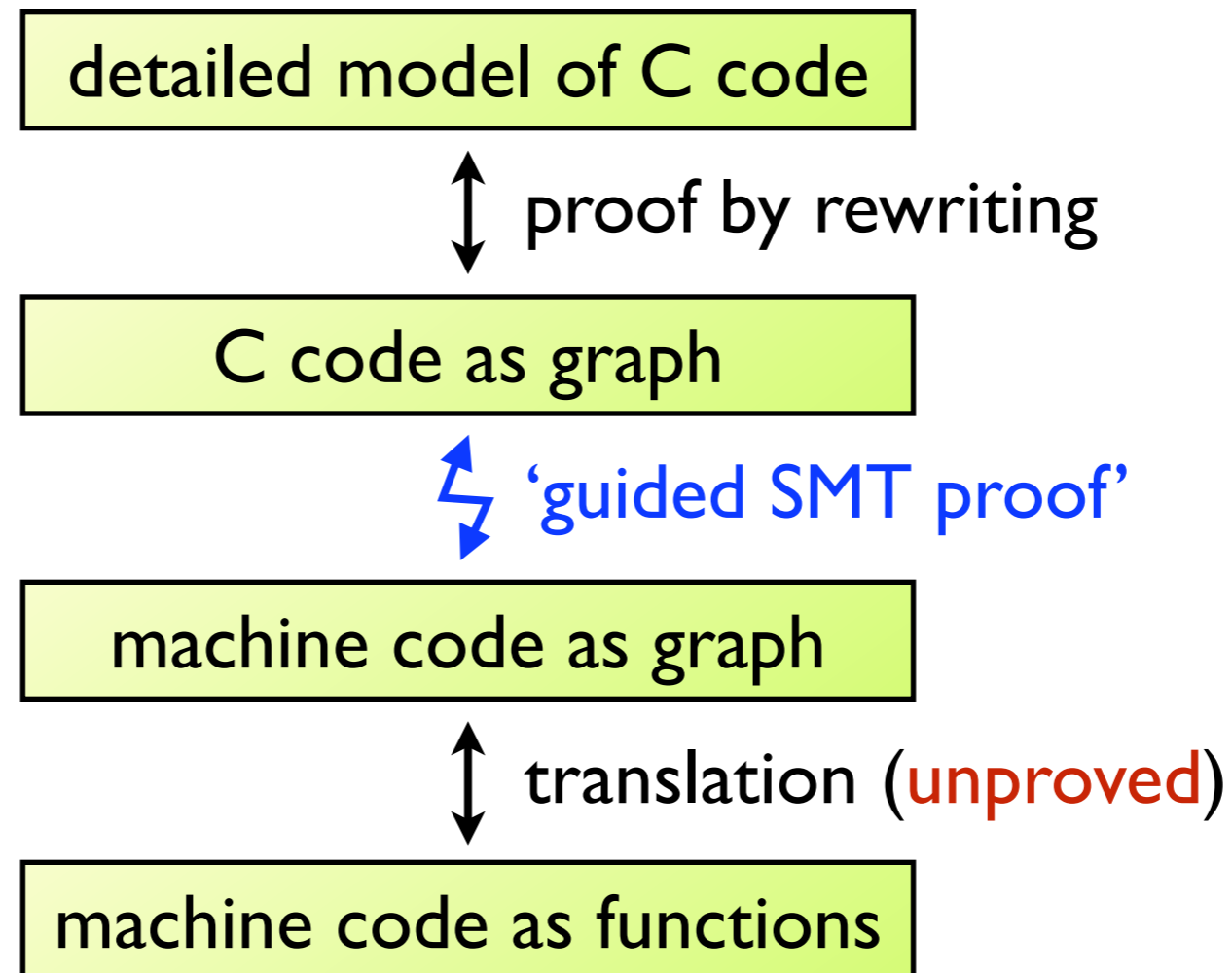


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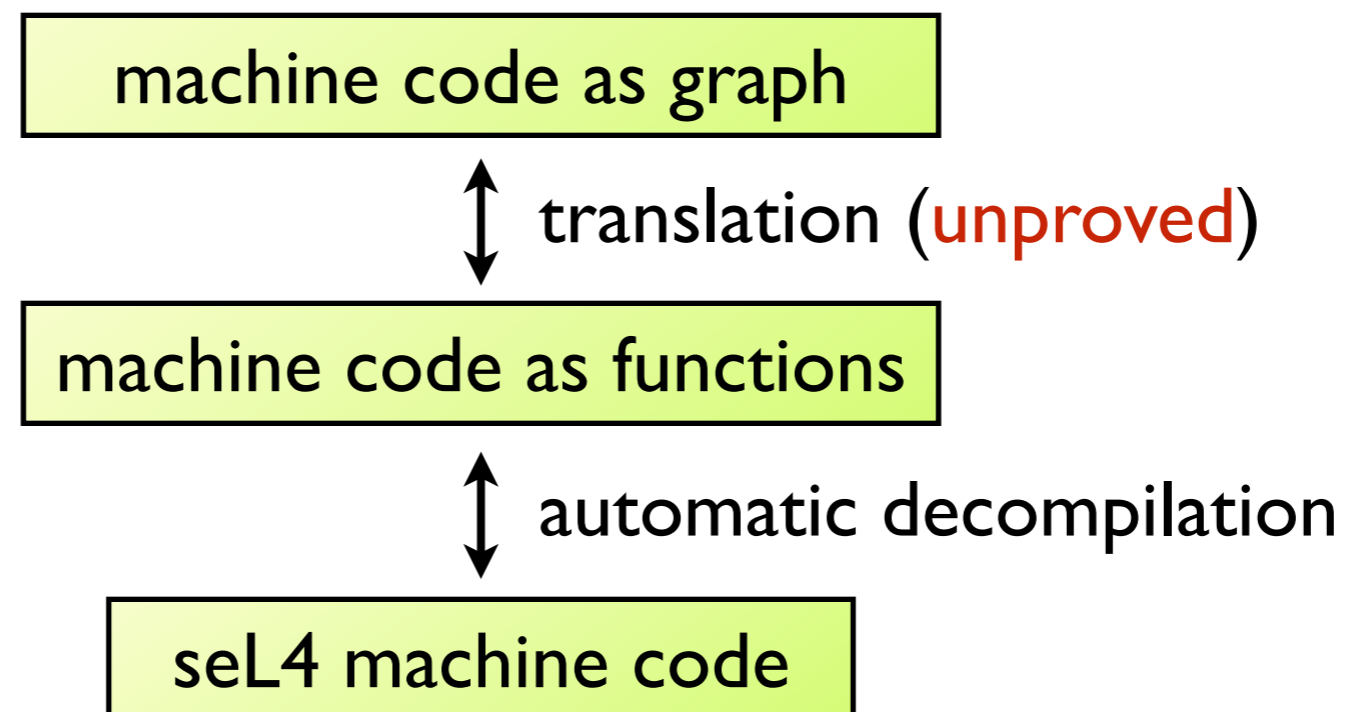


# Refinement proof

(Work by Thomas Sewell, NICTA)

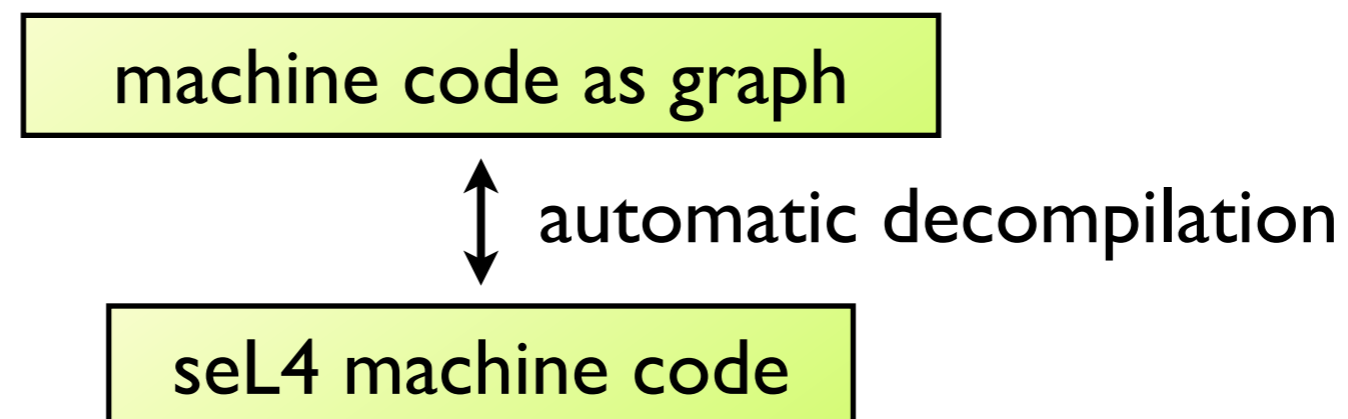


# Graph language





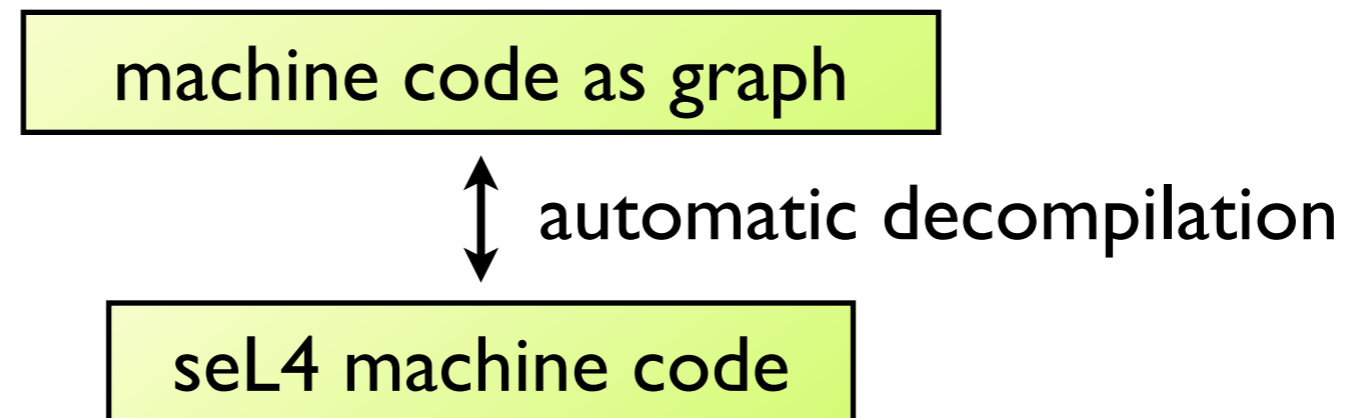
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## Node types:

- ▶ state update
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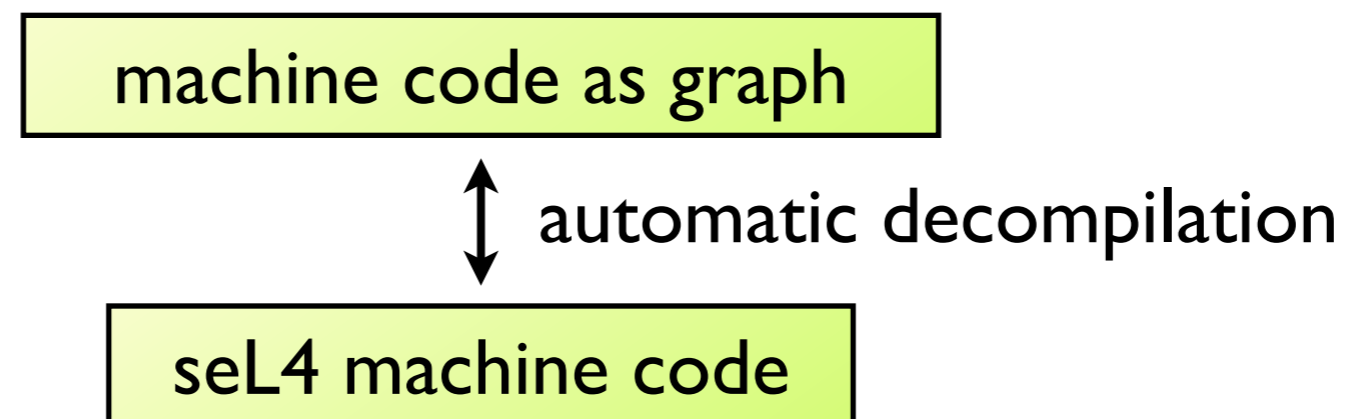
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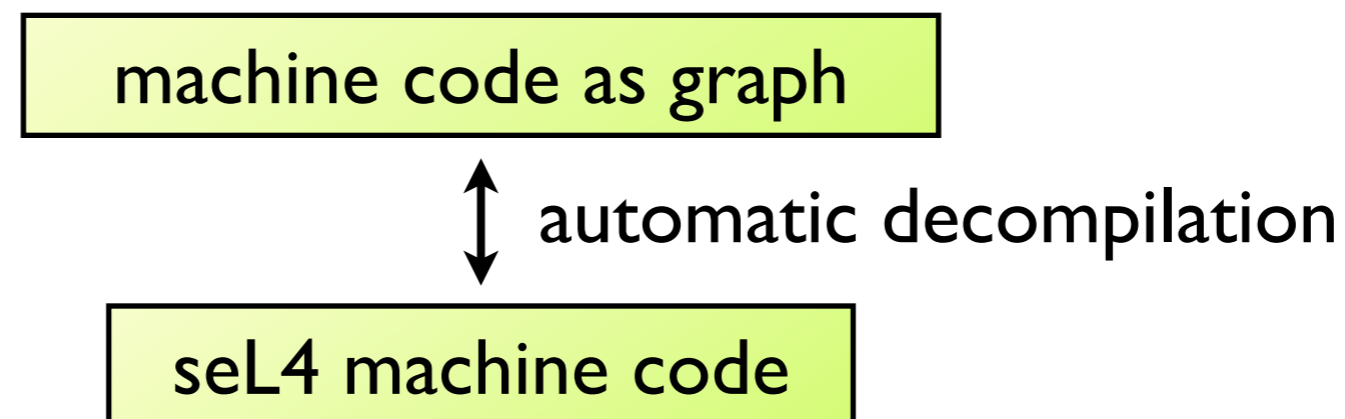
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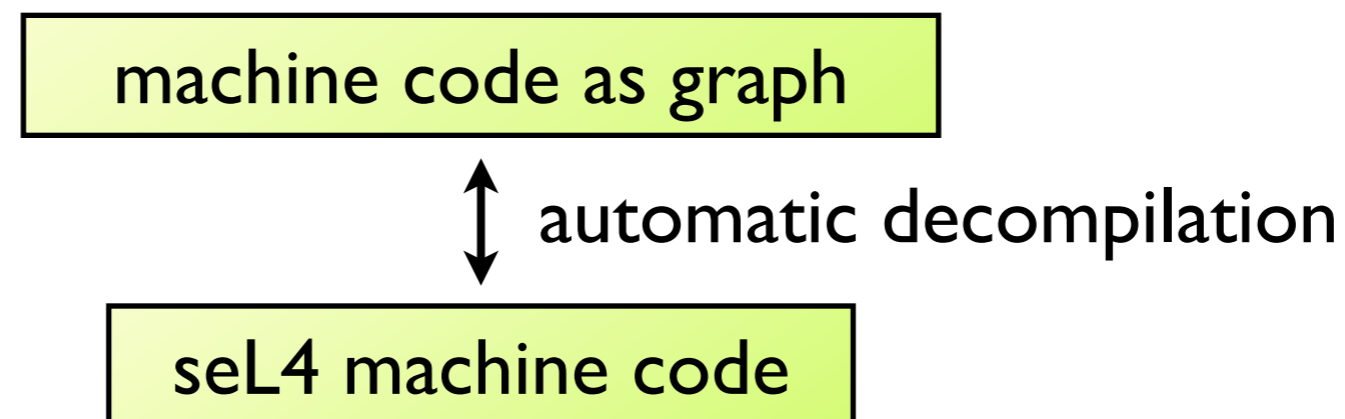
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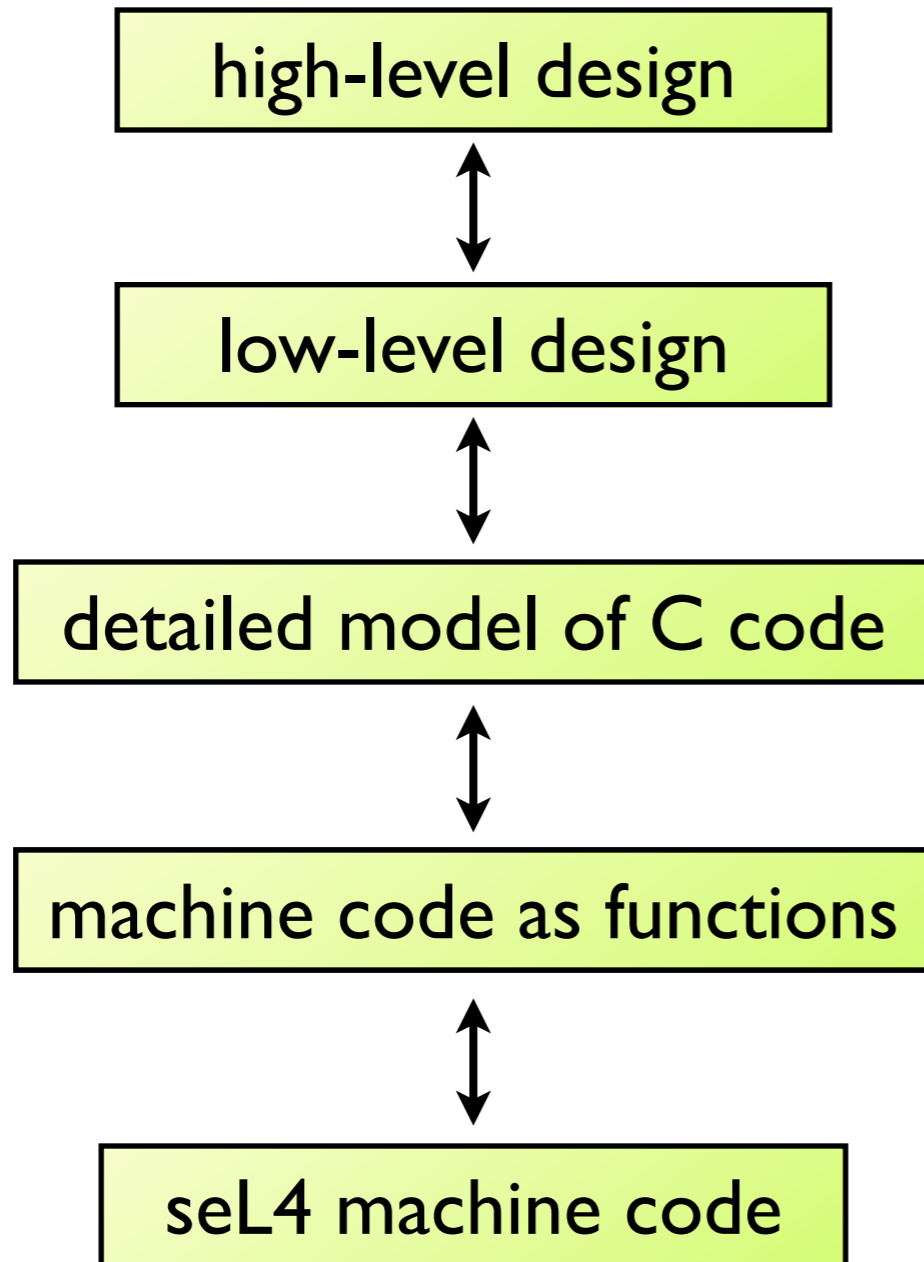
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Potential to suit other applications better, e.g. safety analysis.

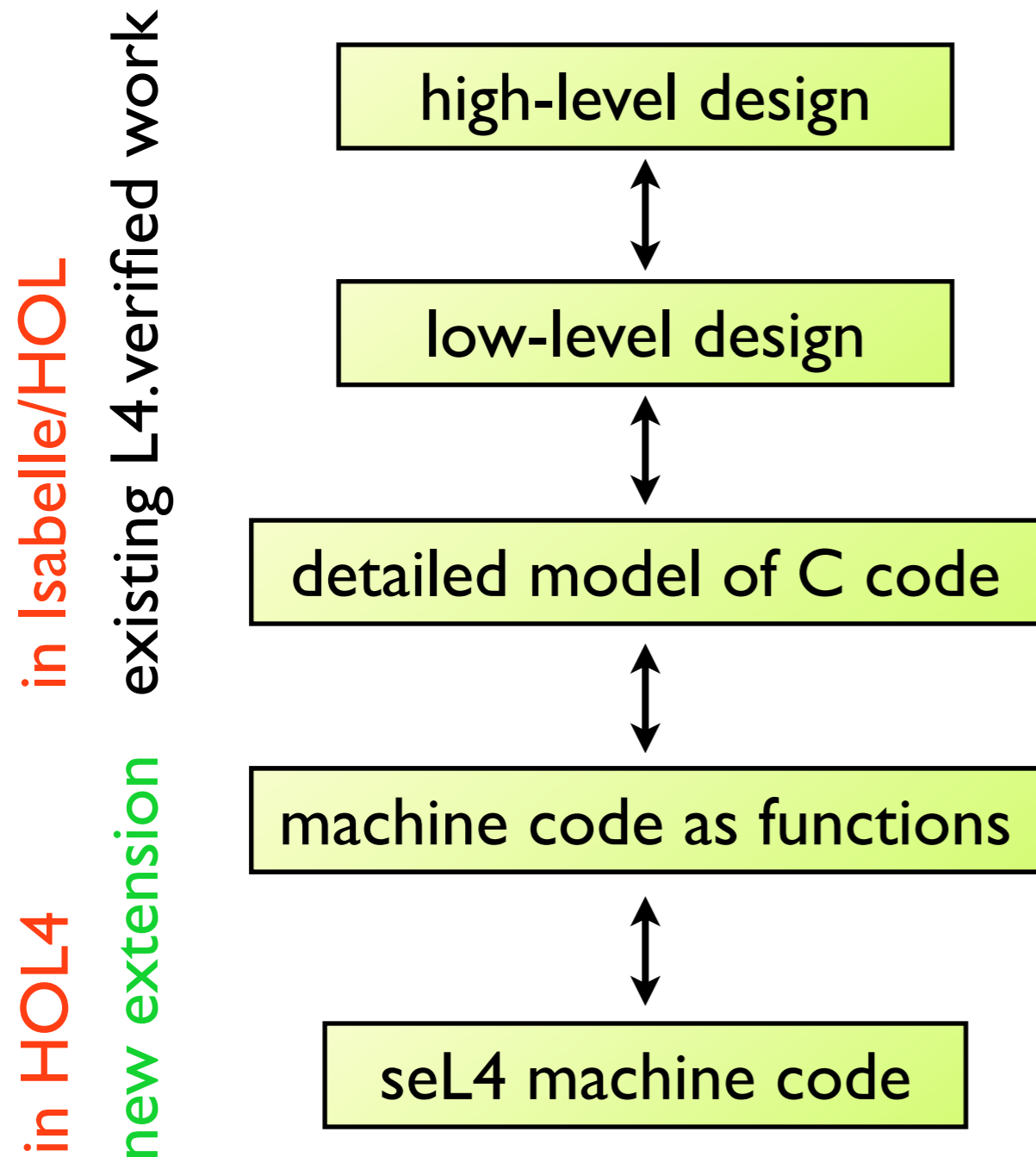
# Connecting provers

existing L4.verified work  
new extension



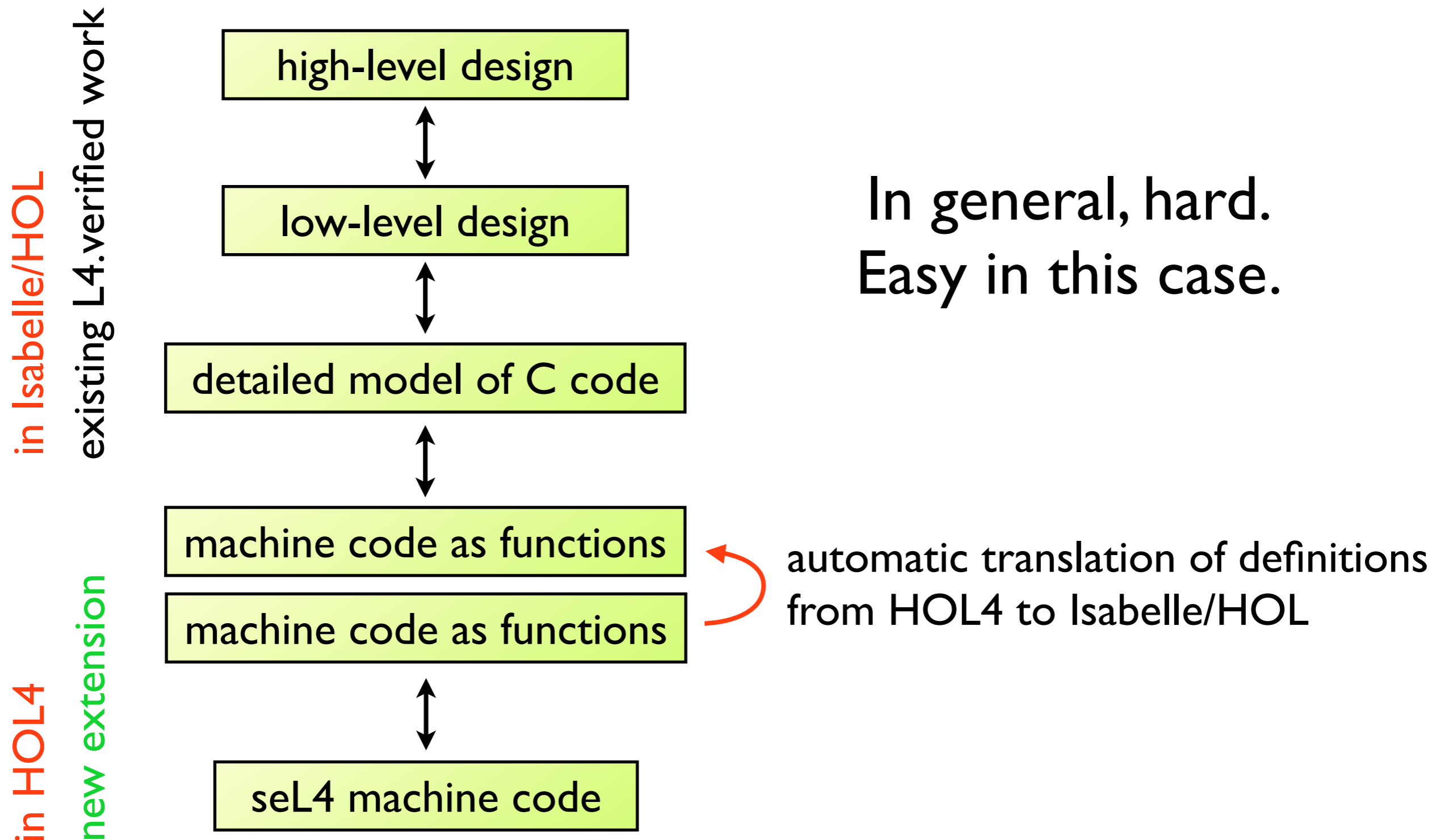
In general, hard.  
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# Looking back

**Success:** gcc output for -O1 and -O2 on seL4 decompiles.

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Should decompilation be over **program logic** or **machine model**?

# ***This talk***

## **Part 1:**

- ▶ automation: code to spec
- ▶ automation: spec to code

## **Part 2:**

- ▶ verification of  
microkernel

## **Part 3:** construction of correct code

- ▶ verified implementation of Lisp  
that can run Jared Davis' Milawa

# Inspiration: Lisp interpreter

**TPHOLs'09**

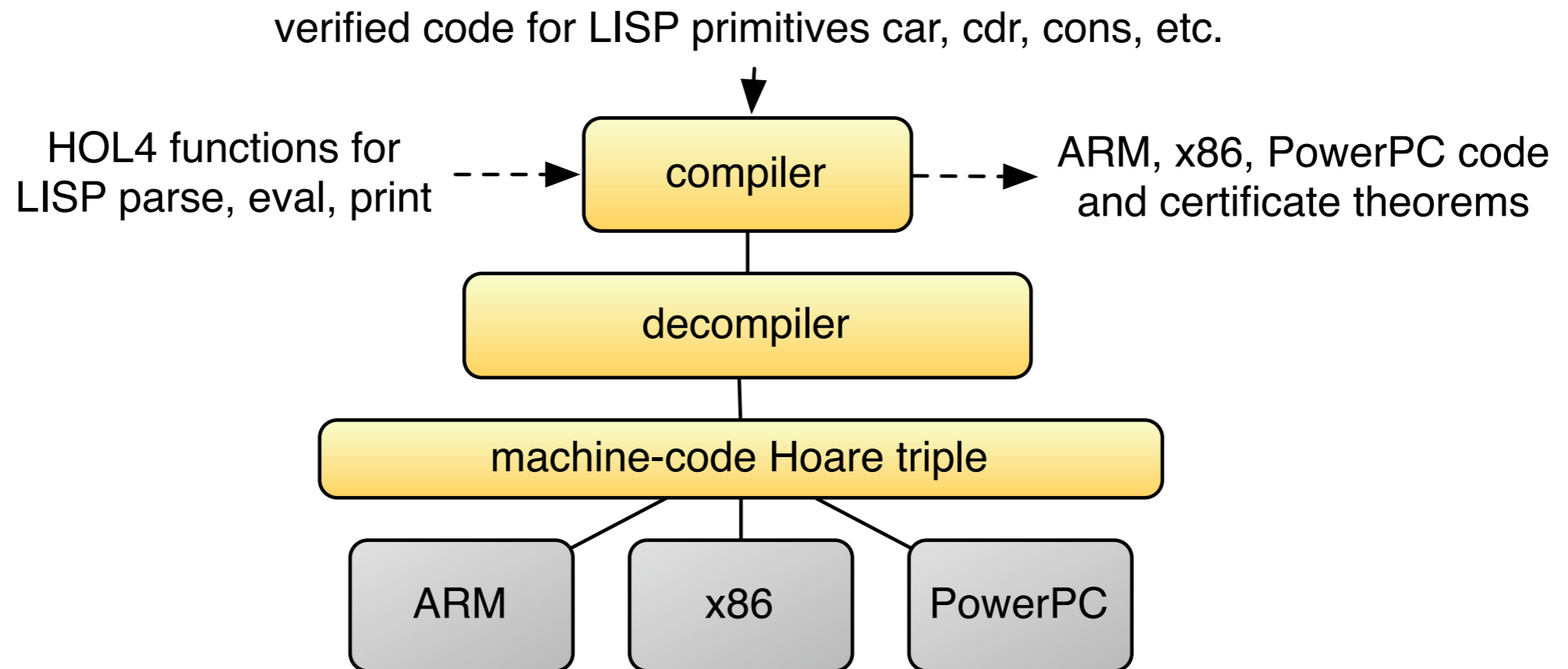
## Verified LISP implementations on ARM, x86 and PowerPC

Magnus O. Myreen and Michael J. C. Gordon  
Computer Laboratory, University of Cambridge, UK

**Abstract.** This paper reports on a case study, which we believe is the first to produce a formally verified end-to-end implementation of a functional programming language running on commercial processors. Interpreters for the core of McCarthy's LISP 1.5 were implemented in ARM, x86 and PowerPC machine code, and proved to correctly parse, evaluate and print LISP s-expressions. The proof of evaluation required working on top of verified implementations of memory allocation and garbage collection. All proofs are mechanised in the HOL4 theorem prover.

# A verified Lisp interpreter

Idea: create LISP implementations via compilation.



# Lisp formalised

LISP s-expressions defined as data-type SExp:

Num :  $\mathbb{N} \rightarrow \text{SExp}$

Sym : string  $\rightarrow$  SExp

Dot : SExp  $\rightarrow$  SExp  $\rightarrow$  SExp

LISP primitives were defined, e.g.

cons  $x y = \text{Dot } x y$

car (Dot  $x y$ ) =  $x$

plus (Num  $m$ ) (Num  $n$ ) = Num ( $m + n$ )

The semantics of LISP evaluation was taken to be Gordon's formalisation of 'LISP 1.5'-like evaluation



# Extending the compiler

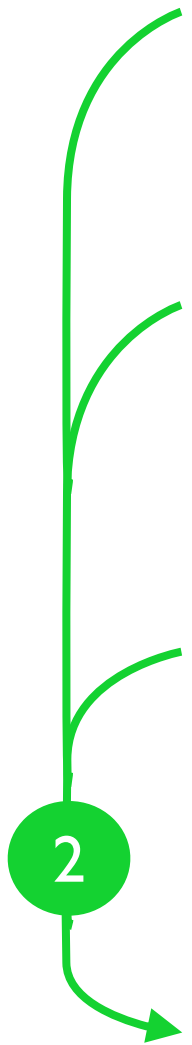
We define heap assertion 'lisp ( $v_1, v_2, v_3, v_4, v_5, v_6, l$ )' and prove implementations for primitive operations, e.g.

$$\begin{aligned} & \text{is\_pair } v_1 \Rightarrow \\ & \{ \text{lisp } (v_1, v_2, v_3, v_4, v_5, v_6, l) * \text{pc } p \} \\ & \quad p : \text{E5934000} \\ & \{ \text{lisp } (v_1, \text{car } v_1, v_3, v_4, v_5, v_6, l) * \text{pc } (p + 4) \} \end{aligned}$$
$$\begin{aligned} & \text{size } v_1 + \text{size } v_2 + \text{size } v_3 + \text{size } v_4 + \text{size } v_5 + \text{size } v_6 < l \Rightarrow \\ & \{ \text{lisp } (v_1, v_2, v_3, v_4, v_5, v_6, l) * \text{pc } p \} \\ & \quad p : \text{E50A3018 E50A4014 E50A5010 E50A600C } \dots \\ & \{ \text{lisp } (\text{cons } v_1 v_2, v_2, v_3, v_4, v_5, v_6, l) * \text{pc } (p + 332) \} \end{aligned}$$

with these the compiler understands:

$$\begin{aligned} & \text{let } v_2 = \text{car } v_1 \text{ in } \dots \\ & \text{let } v_1 = \text{cons } v_1 v_2 \text{ in } \dots \end{aligned}$$

# Reminder



```
{ R0 i * RI j * PC p }  
p+0 : e0810000  
{ R0 (i+j) * RI j * PC (p+4) }  
  
{ R0 i * PC (p+4) }  
p+4 : e1a000a0  
{ R0 (i >> 1) * PC (p+8) }  
  
{ LR lr * PC (p+8) }  
p+8 : e12fff1e  
{ LR lr * PC lr }  
  
{ R0 i * RI j * LR lr * PC p }  
p : e0810000 e1a000a0 e12fff1e  
{ R0 ((i+j)>>1) * RI j * LR lr * PC lr }
```

How to decompile:

```
e0810000 add r0, r1, r0  
e1a000a0 lsr r0, r0, #1  
e12fff1e bx lr
```

1. derive Hoare triple theorems using Cambridge ARM model
  2. compose Hoare triples
  3. extract function
- (Loops result in recursive functions.)



3

avg (i,j) = (i+j)>>1

# Reminder

## How to decompile:

We change these triples to be about lisp heap. Result: more abstraction.

$\{ R0\ i * R1\ j * PC\ p \}$   
 $p+0 : e0810000$   
 $\{ R0\ (i+j) * R1\ j * PC\ (p+4) \}$

$\{ R0\ i * PC\ (p+4) \}$   
 $p+4 : e1a000a0$   
 $\{ R0\ (i >> 1) * PC\ (p+8) \}$

$\{ LR\ lr * PC\ (p+8) \}$   
 $p+8 : e12fff1e$   
 $\{ LR\ lr * PC\ lr \}$

$\{ R0\ i * R1\ j * LR\ lr * PC\ p \}$   
 $p : e0810000\ e1a000a0\ e12fff1e$   
 $\{ R0\ ((i+j) >> 1) * R1\ j * LR\ lr * PC\ lr \}$

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2

# Running the Lisp interpreter



Nintendo DS lite (ARM)



MacBook (x86)



old MacMini (PowerPC)

```
(pascal-triangle '((1)) '6)
```

returns:

```
((1 6 15 20 15 6 1)  
 (1 5 10 10 5 1)  
 (1 4 6 4 1)  
 (1 3 3 1)  
 (1 2 1)  
 (1 1)  
 (1))
```

Can we do better than a simple Lisp **interpreter**?

# Two projects meet

Jared Davis

A self-verifying  
theorem prover



**Milawa**

Magnus Myreen

Verified Lisp  
implementations

*verified* **LISP** on  
ARM, x86, PowerPC

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My theorem prover is written in Lisp.  
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# Running Milawa



**Milawa**



*verified* **LISP** on  
ARM, x86, PowerPC  
(TPHOLs 2009)

# Running Milawa

Milawa's bootstrap proof:



*verified* **LISP** on  
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- ▶ 4 gigabyte proof file:  
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← hopelessly “toy”

# Running Milawa



*Jitawa: verified* **LISP**  
*with JIT compiler*

Milawa's bootstrap proof:

- ▶ 4 gigabyte proof file:  
>500 million unique conseqs
- ▶ takes 16 hours to run on a state-of-the-art runtime (CCL)

Contribution:

- ▶ a new verified Lisp which is able to host the Milawa thm prover



# A short introduction to



- Milawa is styled after theorem provers such as NQTHM and ACL2,
- has a **small trusted logical kernel** similar to LCF-style provers,
- ... but does not suffer the performance hit of LCF's fully expansive approach.

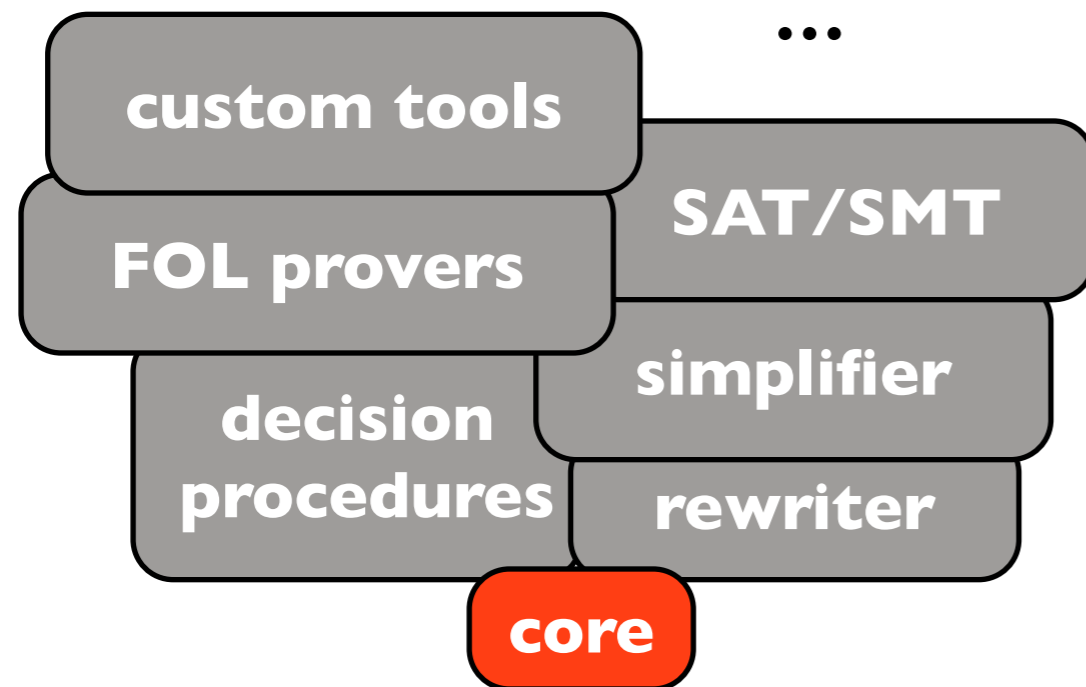
# Comparison with LCF approach

core

## LCF-style approach

- all proofs pass through the core's primitive inferences
- extensions steer the core

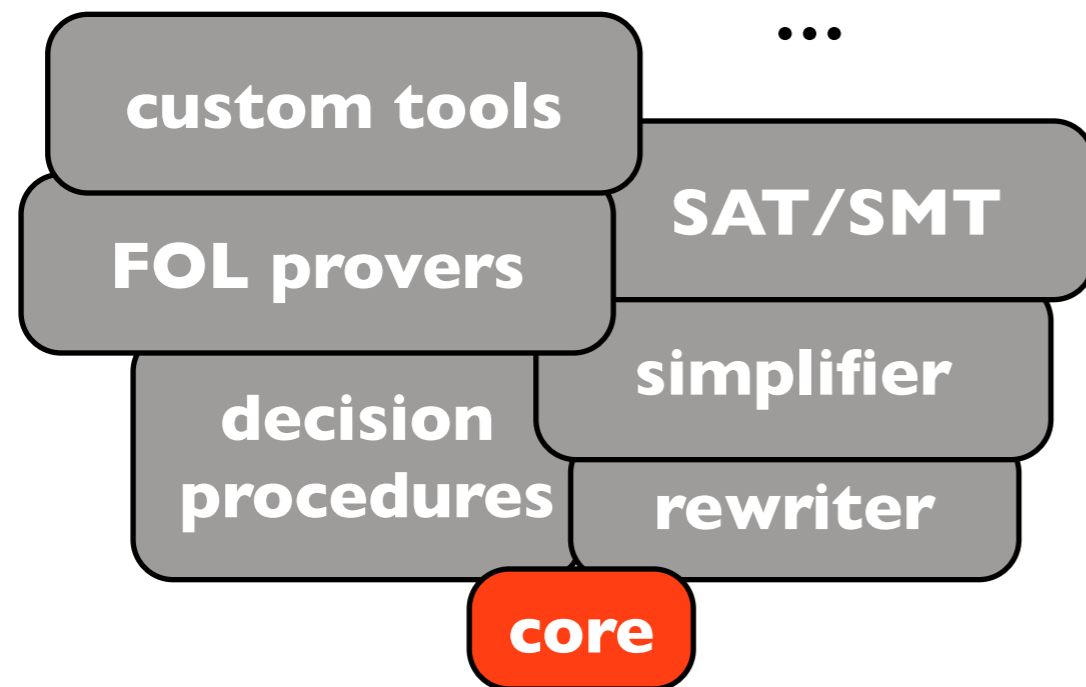
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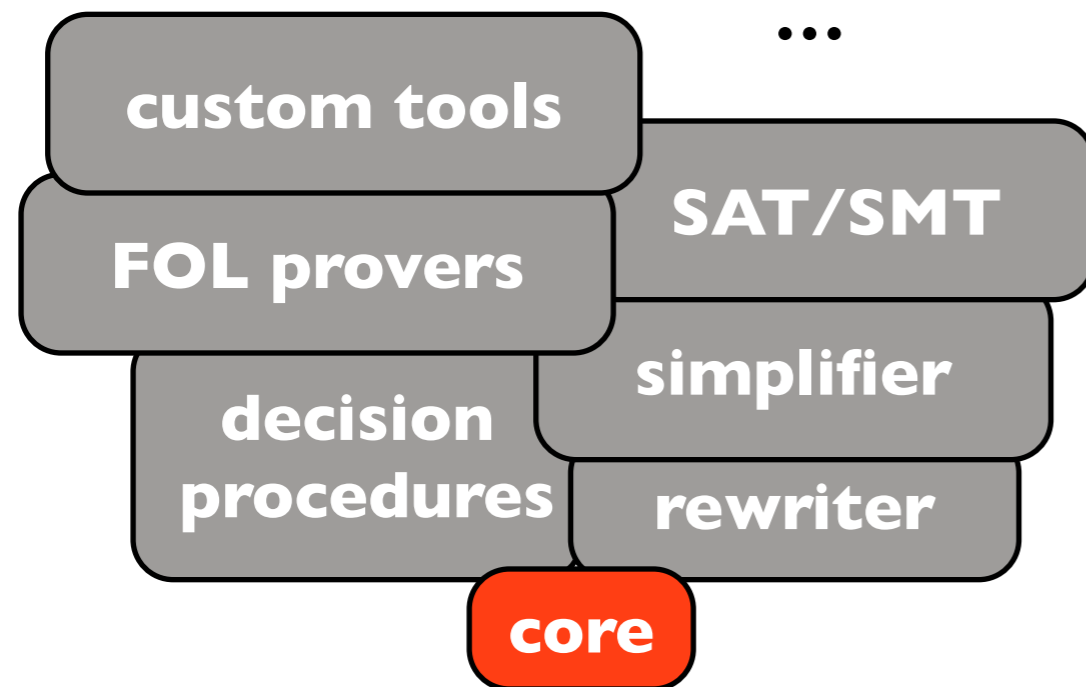
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- all proofs must pass the core
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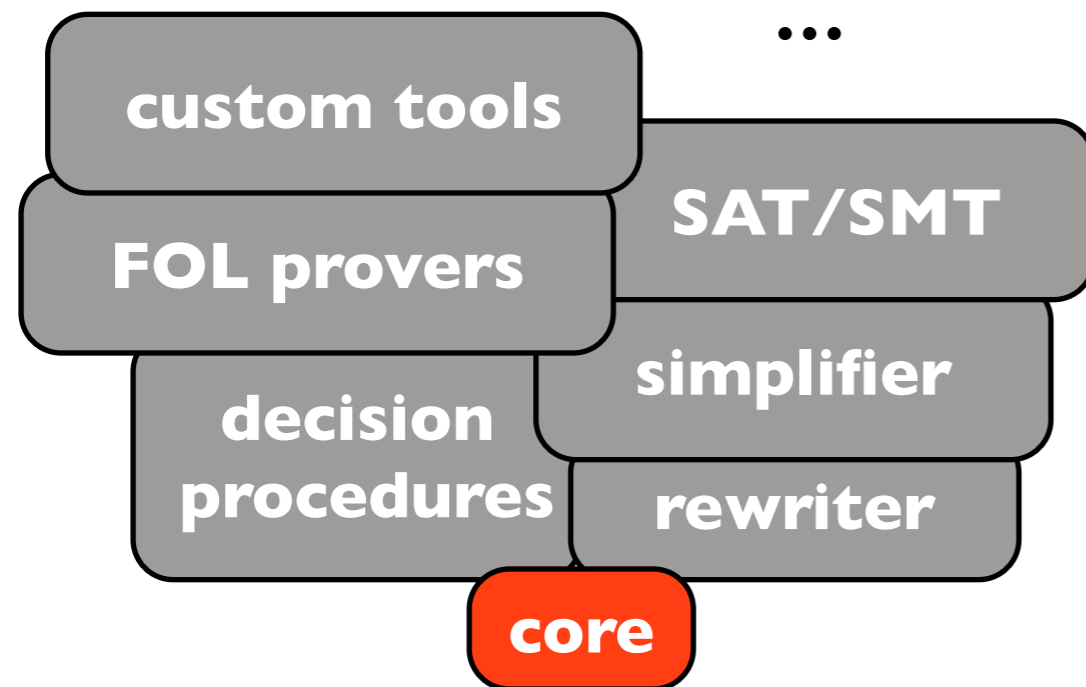
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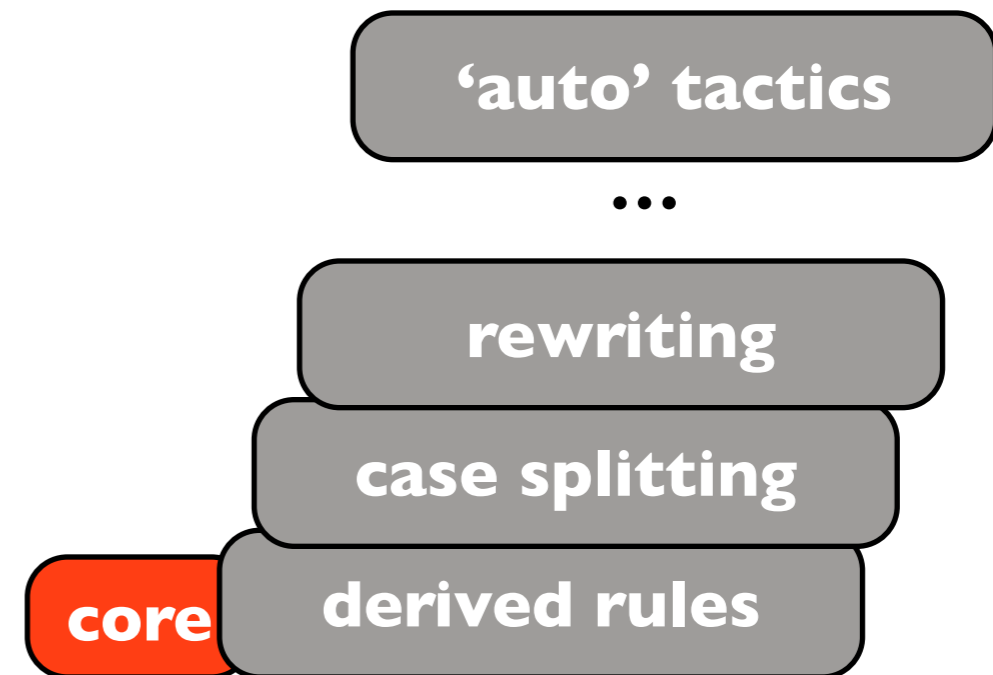
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## the Milawa approach

- all proofs must pass the core
- the **core proof checker** can be **replaced** at runtime

# Requirements on runtime

Milawa uses a subset of Common Lisp which

is for most part **first-order pure functions** over  
**natural numbers, symbols and conses,**

uses primitives: `cons car cdr consp natp symbolp  
equal + - < symbol-< if`

macros: `or and list let let* cond  
first second third fourth fifth`

and a simple form of lambda-applications.

(Lisp subset defined on later slide.)

# Requirements on runtime

...but Milawa also

- uses **destructive updates**, hash tables
- prints status messages, **timing data**
- uses Common Lisp's **checkpoints**
- forces function **compilation**
- makes **dynamic function calls**
- can produce **runtime errors**

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  - can produce runtime errors
- } not necessary
- } runtime must support

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- efficient scannerless parsing + abbreviations
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- efficient scannerless parsing + abbreviations
  - ▶ must cope with 4 gigabyte input
- graceful exits in all circumstances
  - ▶ allowed to run out of space, but must report it

# Workflow

1. specified input language: syntax & semantics
2. verified necessary algorithms, e.g.
  - compilation from source to bytecode
  - parsing and printing of s-expressions
  - copying garbage collection
3. proved refinements from algorithms to x86 code
4. plugged together to form read-eval-print loop



# Workflow

~30,000 lines of HOL4 scripts

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# AST of input language

<i>term</i>	::=	Const <i>sexp</i>	<i>sexp</i>	::=	Val <i>num</i>
		Var <i>string</i>			Sym <i>string</i>
		App <i>func</i> ( <i>term</i> list)			Dot <i>sexp sexp</i>
		If <i>term term term</i>			
		LambdaApp ( <i>string</i> list) <i>term</i> ( <i>term</i> list)			
		Or ( <i>term</i> list)			
		And ( <i>term</i> list)			(macro)
		List ( <i>term</i> list)			(macro)
		Let (( <i>string</i> × <i>term</i> ) list) <i>term</i>			(macro)
		LetStar (( <i>string</i> × <i>term</i> ) list) <i>term</i>			(macro)
		Cond (( <i>term</i> × <i>term</i> ) list)			(macro)
		First <i>term</i>   Second <i>term</i>   Third <i>term</i>			(macro)
		Fourth <i>term</i>   Fifth <i>term</i>			(macro)
<i>func</i>	::=	Define   Print   Error   Funcall			
		PrimitiveFun <i>primitive</i>   Fun <i>string</i>			
<i>primitive</i>	::=	Equal   Symbolp   SymbolLess			
		Consp   Cons   Car   Cdr			
		Natp   Add   Sub   Less			

# compile: AST $\rightarrow$ bytecode list

<i>bytecode</i>	::=	Pop	pop one stack element
		PopN <i>num</i>	pop <i>n</i> stack elements
		PushVal <i>num</i>	push a constant number
		PushSym <i>string</i>	push a constant symbol
		LookupConst <i>num</i>	push the <i>n</i> th constant from system state
		Load <i>num</i>	push the <i>n</i> th stack element
		Store <i>num</i>	overwrite the <i>n</i> th stack element
		DataOp <i>primitive</i>	add, subtract, car, cons, ...
		Jump <i>num</i>	jump to program point <i>n</i>
		JumpIfNil <i>num</i>	conditionally jump to <i>n</i>
		DynamicJump	jump to location given by stack top
		Call <i>num</i>	static function call (faster)
		DynamicCall	dynamic function call (slower)
		Return	return to calling function
		Fail	signal a runtime error
		Print	print an object to stdout
		Compile	compile a function definition

# How do we get just-in-time compilation?

We have verified compilation algorithm:

compile: AST  $\rightarrow$  bytecode list

but compiler must produce real x86 code...

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## Solution:

- bytecode is represented by numbers in memory that are x86 machine code
- we prove that jumping to the memory location of the bytecode executes it

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## Treating code as data:

$$\forall p \ c \ q. \ \{p\} \ c \ \{q\} = \{p * \text{code } c\} \ \emptyset \ \{q * \text{code } c\}$$

(POPL'10)

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$$\forall p \ c \ q. \ \{p\} \ c \ \{q\} = \{p * \text{code } c\} \ \emptyset \ \{q * \text{code } c\}$$

(POPL'10)

Definition of Hoare triple:

$$\{p\} \ c \ \{q\} = \forall s \ r. \ (p * r * \text{code } c) \ s \implies \\ \exists n. \ (q * r * \text{code } c) \ (\text{run } n \ s)$$

# I/O and efficient parsing

Jitawa implements a read-eval-print loop:

Use of external **C routines** adds assumptions to proof:

- reading next string from stdin
- printing null-terminated string to stdout



# Read-eval-print loop

- Result of reading **lazily**, writing **eagerly**
- Eval = **compile then jump-to-compiled-code**
- Specification: read-eval-print until end of input

$$\frac{\text{is\_empty (get\_input } io)}{(k, io) \xrightarrow{\text{exec}} io}}{\frac{\neg \text{is\_empty (get\_input } io) \wedge \text{next\_sexp (get\_input } io) = (s, rest) \wedge (\text{sexp2term } s, [], k, \text{set\_input } rest \ io) \xrightarrow{\text{ev}} (ans, k', io') \wedge (k', \text{append\_to\_output (sexp2string } ans) \ io') \xrightarrow{\text{exec}} io''}{(k, io) \xrightarrow{\text{exec}} io''}}$$

# Correctness theorem

Top-level correctness theorem:

$$\{ \text{init\_state } io * \text{pc } p * \langle \text{terminates\_for } io \rangle \}$$

$p$  : code\_for\_entire\_jitawa\_implementation

$$\{ \text{error\_message } \vee \exists io'. \langle ([], io) \xrightarrow{\text{exec}} io' \rangle * \text{final\_state } io' \}$$

# Correctness theorem

There must be enough  
memory and I/O  
assumptions must hold.

ness theorem:

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$\{ \text{init\_state } io * \text{pc } p * \langle \text{terminates\_for } io \rangle \}$

$p : \text{code\_for\_entire\_jitawa\_implementation}$  list of numbers

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Each execution is allowed to fail with an error message.

If there is no error message, then the result is described by the high-level op. semantics.

# Verified code

```
$ cat verified_code.s
```

```
/* Machine code automatically extracted from a HOL4 theorem. */
```

```
/* The code consists of 7423 instructions (31840 bytes). */
```

```
.byte 0x48, 0x8B, 0x5F, 0x18
```

```
.byte 0x4C, 0x8B, 0x7F, 0x10
```

```
.byte 0x48, 0x8B, 0x47, 0x20
```

```
.byte 0x48, 0x8B, 0x4F, 0x28
```

```
.byte 0x48, 0x8B, 0x57, 0x08
```

```
.byte 0x48, 0x8B, 0x37
```

```
.byte 0x4C, 0x8B, 0x47, 0x60
```

```
.byte 0x4C, 0x8B, 0x4F, 0x68
```

```
.byte 0x4C, 0x8B, 0x57, 0x58
```

```
.byte 0x48, 0x01, 0xC1
```

```
.byte 0xC7, 0x00, 0x04, 0x4E, 0x49, 0x4C
```

```
.byte 0x48, 0x83, 0xC0, 0x04
```

```
.byte 0xC7, 0x00, 0x02, 0x54, 0x06, 0x51
```

```
.byte 0x48, 0x83, 0xC0, 0x04
```

```
...
```



# Running Milawa on Jitawa

Running Milawa's 4-gigabyte bootstrap process:

CCL	16 hours	
SBCL	22 hours	
Jitawa	128 hours	(8x slower than CCL)

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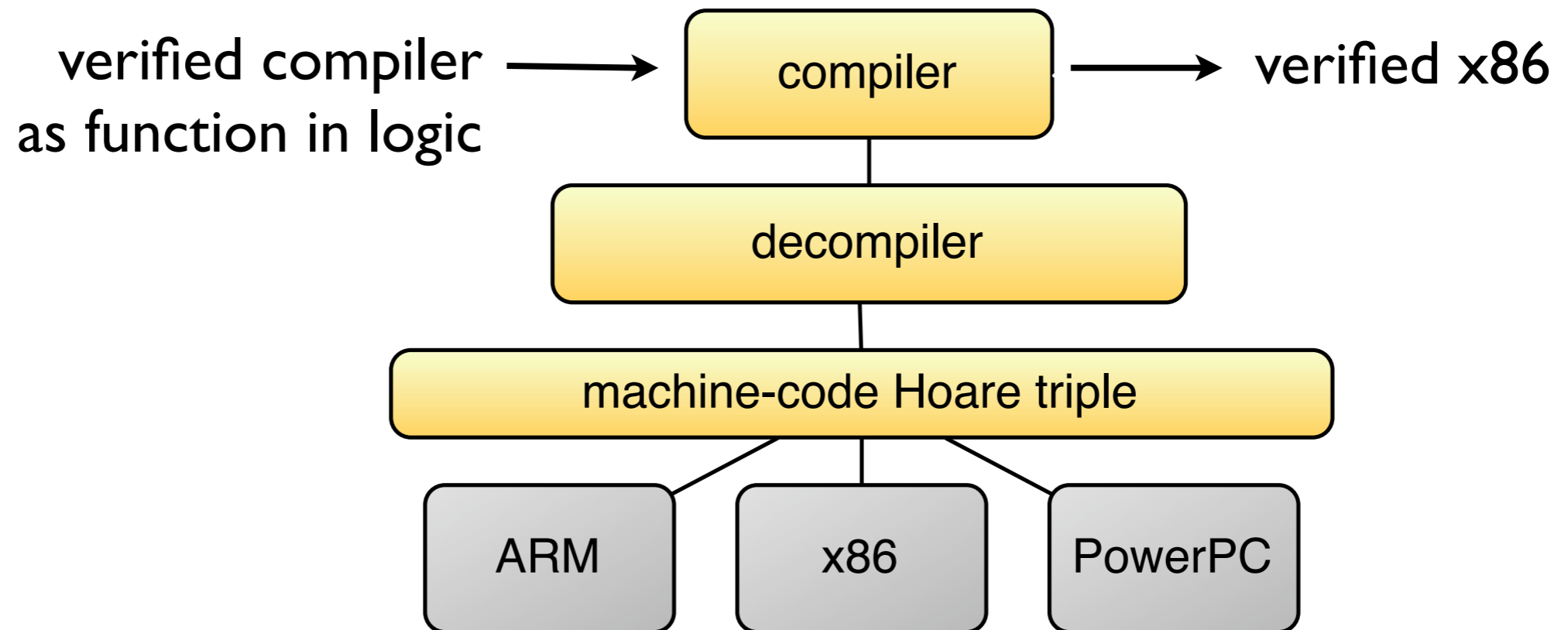
CCL	16 hours	Jitawa's compiler performs almost no optimisations.
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Parsing the 4 gigabyte input:

CCL	716 seconds (9x slower than Jitawa)
Jitawa	79 seconds

# Looking back...

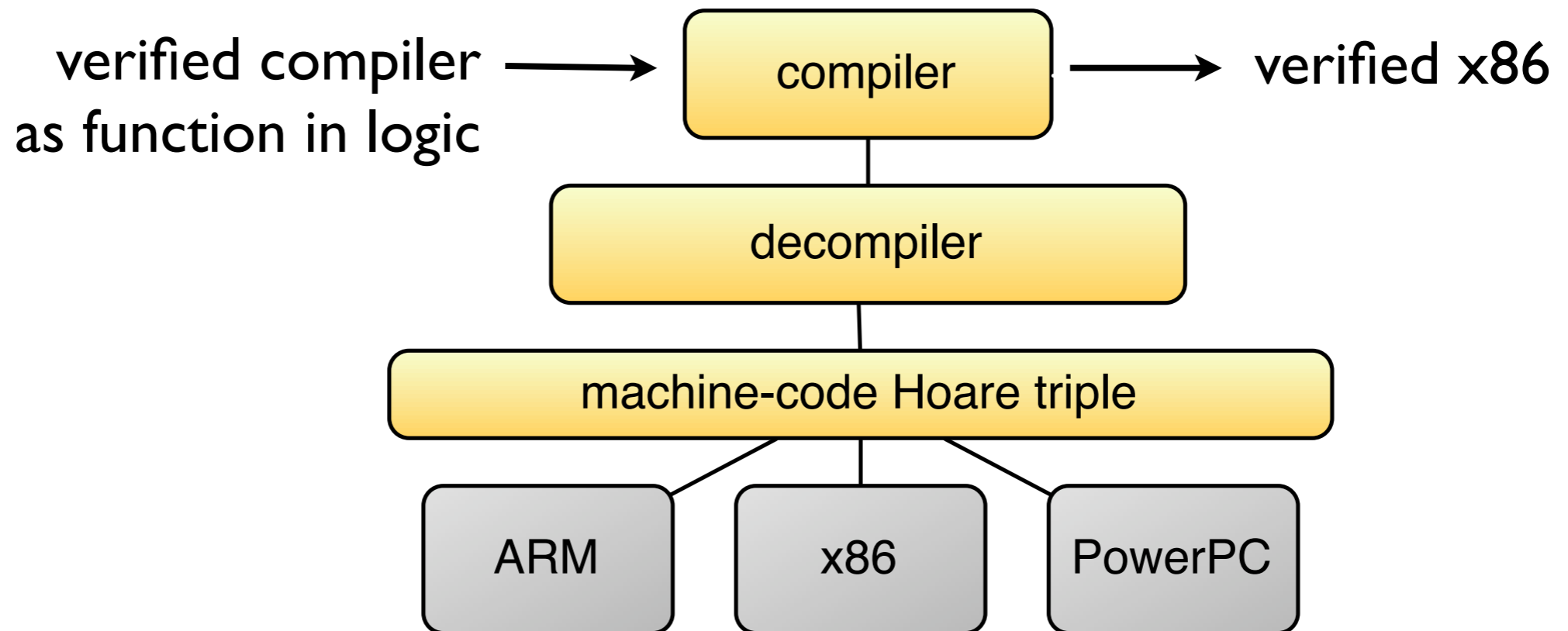
The x86 for the compile function was produced as follows:



Very cumbersome....

# Looking back...

The x86 for the compile function was produced as follows:



Very cumbersome....

...should have compiled the verified compiler using itself!

# Bootstrapping the compiler

**Instead:** we bootstrap the verified compile function, we evaluate the compiler on a deep embedding of itself within the logic:

`EVAL ``compile COMPILE```

derives a theorem:

`compile COMPILE = compiler-as-machine-code`

The first(?) bootstrapping of a formally verified compiler.

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derives a theorem:

in Lisp (eval '(compile compile)) ?

compile COMPILE = **compiler-as-machine-code**

The first(?) bootstrapping of a formally verified compiler.



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# POPL'14

## CakeML: A Verified Implementation of ML

Ramana Kumar<sup>\* 1</sup> Magnus O. Myreen<sup>† 1</sup> Michael Norrish<sup>2</sup> Scott Owens<sup>3</sup>

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### Abstract

We have developed and mechanically verified an ML system called CakeML, which supports a substantial subset of Standard ML. CakeML is implemented as an interactive read-eval-print loop that generates 64 machine code. Our correctness theorem ensures that only those results permitted by the semantics are produced on

### 1. Introduction

The last decade has seen a strong interest in verified compilation; and there have been significant, high-profile results, many based on the CompCert compiler for C [1, 14, 16, 29]. This interest is easy to justify: in the context of program verification, an unverified compiler forms a large and complex part of the trusted computing base. However, to our knowledge, none of the existing work on compilers for general-purpose languages has addressed all dimensions: one, the compilation

# ***This talk***

**Part 1:** my approach (PhD work)

- ▶ automation: code to spec
- ▶ automation: spec to code

**Part 2:** verification of existing code

- ▶ verification of gcc output for microkernel (7,000 lines of C)

**Part 3:** construction of correct code

- ▶ verified implementation of Lisp that can run Jared Davis' Milawa

# ***Summary***

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