### Tractable Approximations of Graph Isomorphism.

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## Graph Isomorphism

A graph G = (V, E) is a set of vertices V and a set of edges E (i.e. an *irreflexive, symmetric* relation  $E \subseteq V \times V$ ).

A graph is often represented by its *adjacency matrix*. This is a  $V \times V$  matrix of 0-1 entries.

*Graph Isomorphism*: Given graphs G, H, decide whether  $G \cong H$ .  $G \cong H$  if there is a *bijection*  $h : V(G) \to V(H)$  such that  $(u, v) \in E(G)$ *if, and only if,*  $(h(u), h(v)) \in E(H)$ 

In other words, can the adjacency matrix of G be *re-ordered* to get that for H?

# Complexity of Graph Isomorphism

The graph isomorphism problem has an unusual status in terms of computational complexity

It is:

- not known to be in P;
- in NP:
- not expected to be NP-complete.

In practice and on average, graph isomorphism is efficiently decidable.

# Tractable Approximations of Isomorphism

A *tractable approximation* of graph isomorphism is a *polynomial-time decidable* equivalence  $\equiv$  on graphs such that:

 $G \cong H \quad \Rightarrow \quad G \equiv H.$ 

Practical algorithms for testing graph isomorphism typically decide such an approximation.

If this fails to distinguish a pair of graphs G and H, more discriminating tests are deployed.

A complete isomorphism test might consist of a *family* of ever tighter approximations of isomorphism.

### Vertex Classification

The following problem, which we call the *vertex classification problem* is easily seen to be computationally equivalent to graph isomorphism:

Given a graph G and a pair of vertices u and v, decide if there is an automorphism of G that takes u to v.

That is to say, there is a *polynomial-time reduction* from the graph isomorphism problem to the vertex classification problem and *vice versa*.

### Reducing Classification to Isomorphism

Given a graph G and two vertices  $u, v \in V(G)$ , we construct a pair of graphs which are isomorphic *if*, and only *if*, some automorphism of G takes u to v.



where p is a simple path longer than any simple path in G.

### Reducing Isomorphism to Classification

Conversely, given two graphs G and H, we construct a graph with two distinguished vertices u, v so that there is an automorphism taking u to v iff  $G \cong H$ .



### Equivalence Relations

The algorithms we study decide equivalence relations on *vertices* (or tuples of vertices) that approximate the *orbits* of the automorphism group.

 $(G, u) \cong (G, v) \quad \Rightarrow \quad u \equiv v$ 

For such an equivalence relation, there is a *corresponding* equivalence relation on graphs that approximates *isomorphism*.

We abuse notation and use the same notation  $\equiv$  for the equivalence relation on vertices, on tuples of vertices and on graphs.

### **Equitable Partitions**

An equivalence relation  $\equiv$  on the vertices of a graph G = (V, E) induces an *equitable partition* if

for all  $u, v \in V$  with  $u \equiv v$  and each  $\equiv$ -equivalence class S,

 $|\{w \in S \mid (u, w) \in E\}| = |\{w \in S \mid (v, w) \in E\}|.$ 

The *naive vertex classification* algorithm finds the *coarsest* equitable partition of the vertices of G.

### Colour Refinement

Define, on a graph G = (V, E), a series of equivalence relations:

 $\equiv_0 \supseteq \equiv_1 \supseteq \cdots \supseteq \equiv_i \cdots$ 

where  $u \equiv_{i+1} v$  if they have the same number of neighbours in each  $\equiv_i$ -equivalence class.



This converges to the coarsest equitable partition of G:  $u \sim v$ .

### Almost All Graphs

*Naive vertex classification* provides a simple test for isomorphism that works (in a precise sense) on *almost all graphs*:

For graphs G on n vertices with vertices u and v, the probability that  $u \sim v$  goes to 0 as  $n \rightarrow \infty$ .

This also provides an algorithm with good *average case* performance:

Check if the input graphs are distinguished by naive vertex classification. In the small number of cases where it fails, try more sophisticated tests.

But the test fails miserably on *regular graphs* (i.e. graphs where all vertices have the same number of neighbours).

### Weisfeiler-Lehman Algorithms

The *k*-dimensional Weisfeiler-Lehman test for isomorphism (as described by **Babai**), generalises naive vertex classification to k-tuples.

We obtain, by successive refinements, an equivalence relation  $\equiv^k$  on k-tuples of vertices in a graph G:

### $\equiv_0^k \supseteq \equiv_1^k \supseteq \cdots \supseteq \equiv_i^k \cdots$

 $\mathbf{u} \equiv_0^k \mathbf{v}$  if the two tuples induce isomorphic k-vertex graphs.

The refinement is defined by an *easily checked* condition on tuples. The refinement is guaranteed to terminate within  $n^k$  iterations.

### Induced Partitions

Given an equivalence relation  $\equiv_i^k$ , each *k*-tuple **u** induces a *labelled partition* of the vertices *V*, where each vertex *u* is labelled by the *k*-tuple

 $\alpha_1,\ldots,\alpha_k$ 

of  $\equiv_i^k$ -equivalence classes obtained by substituting u in each of the k positions in  $\mathbf{u}$ .

Define  $\equiv_{i+1}^{k}$  to be the equivalence relation where  $\mathbf{u} \equiv_{i+1}^{k} \mathbf{v}$  if, in the partitions they induce, the corresponding labelled parts *have the same cardinality*.

V

#### Families of Tractable Approximations

If G, H are *n*-vertex graphs and k < n, we have:

 $G \cong H \quad \Leftrightarrow \quad G \equiv^n H \quad \Rightarrow \quad G \equiv^{k+1} H \quad \Rightarrow \quad G \equiv^k H.$ 

 $G \equiv^k H$  is decidable in time  $n^{O(k)}$ .

The equivalence relations  $\equiv^k$  form a *family* of tractable approximations of graph isomorphism.

They have many equivalent characterisations arising from *logic*; *algebra* and *combinatorics*.

### Restricted Graph Classes

If we restrict the class of graphs we consider,  $\equiv^k$  may coincide with isomorphism.

1. On *trees*, isomorphism is the same as  $\equiv^2$ .

(Immerman and Lander 1990).

- 2. There is a k such that on the class of *planar graphs* isomorphism is the same as  $\equiv^k$ . (Grohe 1998).
- 3. There is a k' such that on the class of graphs of *treewidth* at most k, isomorphism is the same as  $\equiv^{k'}$ . (Grohe and Mariño 1999).
- For any proper minor-closed class of graphs, C, there is a k such that isomorphism is the same as ≡<sup>k</sup>. (Grohe 2010).

These results emerged in the course of establishing *logical characterizations* of polynomial-time computability.

# Infinite Hierarchy

(Cai, Fürer, Immerman, 1992) show that there are polynomial-time decidable properties of graphs that are not definable in *fixed-point logic* with counting.

There is no fixed k for which  $\equiv^k$  coincides with isomorphism.

(Cai, Fürer, Immerman 1992).

They give a construction of a sequence of pairs of graphs  $G_k$ ,  $H_k(k \in \omega)$  such that for all k:

- $G_k \ncong H_k$
- $G_k \equiv^k H_k$ .

Moreover,  $G_k$ ,  $H_k$  can be chosen to be 3-regular and of colour-class size 4.

# Counting Logic

 $C^k$  is the logic obtained from *first-order logic* by allowing:

- counting quantifiers:  $\exists^i x \varphi$ ; and
- only the variables  $x_1, \ldots, x_k$ .

Every formula of  $C^k$  is equivalent to a formula of first-order logic, albeit one with more variables.

We write  $G \equiv^{C^k} H$  to denote that no sentence of  $C^k$  distinguishes G from H.

It is not difficult to show that  $G \equiv^{C^{k+1}} H$  if, and only if,  $G \equiv^k H$ .

# Counting Tuples of Elements

Consider extending the counting logic with quantifiers that count *tuples* of elements.

This does not add further expressive power.

 $\exists' \overline{x} \overline{y} \varphi$ 

is equivalent to

$$\bigvee_{f\in F}\bigwedge_{j\in \mathrm{dom}(f)}\exists^{f(j)}x\;\exists^jy\;\varphi$$

where *F* is the set of finite partial functions *f* on  $\mathbb{N}$  such that  $(\sum_{j \in \text{dom}(f)} jf(j)) = i.$ 

In other words, in the characterisation of  $\equiv^k$  in terms of induced partitions, there is no gain in considering partitions of  $V^m$  instead of V.

# Induced Partitions of $V^2$

Can we get a more *refined equivalence* if we use tuples **v** to induce partitions of  $V^2$  instead of V?



No. The *sizes* of these classes are determined by the sizes in the induced partition of V.

# Graph Isomorphism Integer Program

Yet another way of approximating the graph isomorphism relation is obtained by considering it as a 0/1 linear program.

If A and B are adjacency matrices of graphs G and H, then  $G \cong H$  if, and only if, there is a *permutation matrix* P such that:

 $PAP^{-1} = B$  or, equivalently PA = BP

A *permutation matrix* is a 0-1-matrix which has exactly one 1 in each row and column.

### Integer Program

Introducing a variable  $x_{ij}$  for each entry of P, the equation PA = BP becomes a system of *linear equations* 

$$\sum_k x_{ik} a_{kj} = \sum_k b_{ik} x_{kj}$$

Adding the constraints:

$$\sum_{i} x_{ij} = 1$$
 and  $\sum_{j} x_{ij} = 1$ 

we get a system of equations that has a 0-1 solution if, and only if, G and H are isomorphic.

### Fractional Isomorphism

To the system of equations:

$$PA = BP; \quad \sum_{i} x_{ij} = 1 \quad \text{and} \quad \sum_{j} x_{ij} = 1$$

add the inequalities

 $0 \leq x_{ij} \leq 1.$ 

Say that G and H are fractionally isomorphic ( $G \cong^{f} H$ ) if the resulting system has any real solution.

 $G \cong^{f} H$  if, and only if,  $G \sim H$ .

(Ramana, Scheiermann, Ullman 1994)

## Sherali-Adams Hierarchy

If we have any *linear program* for which we seek a *0-1 solution*, we can relax the constraint and admit *fractional solutions*.

The resulting linear program can be solved in *polynomial time*, but admits solutions which are not solutions to the original problem.

**Sherali and Adams (1990)** define a way of *tightening* the linear program by adding a number of *lift and project* constraints. Say that  $G \cong^{f,k} H$  if the *k*th lift-and-project of the *isomorphism program* 

on G and H admits a solution.

### Sherali-Adams Isomorphism

For each k

$$G \equiv^k H \Rightarrow G \cong^{f,k} H \Rightarrow G \equiv^{k-1} H$$

(Atserias, Maneva 2012)

For k > 2, the reverse implications fail.

(Grohe, Otto 2012)

# **Rank Logics**

The Cai-Fürer-Immerman construction can be reduced to the *solvability of systems of equations* over a 2-element field.

This motivates an extension of first-order logic with operators for the the *rank* of a matrix over a *finite field*.

(D., Grohe, Holm, Laubner, 2009)

For each prime p and each arity m, we have an operator  $\operatorname{rk}_{m}^{p}$  which binds 2m variables and defines the rank (over  $\mathbb{GF}(p)$ ) of the  $V^{m} \times V^{m}$  matrix defined by a formula  $\varphi(\mathbf{x}, \mathbf{y})$ .

## Equivalences and Rank Logic

The definition of rank logics yields a *family* of approximations of isomorphism.

 $G \equiv_{k,\Omega,m}^{R} H$  if G and H are not distinguished by any formula of FOrk with at most k variables using operators  $\operatorname{rk}_{m}^{p}$  for p in the finite set of primes  $\Omega$ .

We do not know if these relations are *tractable*. But, we can *refine* them further to obtain a tractable family:  $\equiv_{k,\Omega,m}^{\text{IM}}$ . (D., Holm 2012)

### Induced Partitions

For simplicity, consider the case when m = 1 and  $\Omega = \{p\}$ .

Given an equivalence relation  $\equiv$  on  $V^k$ , each k-tuple **u** induces a *labelled* partition of  $V \times V$ .



### Induced Partitions

Let  $P_1^{\mathbf{u}}, \ldots, P_s^{\mathbf{u}}$  be the parts of this partition (seen as 0-1  $V \times V$  matrices) and  $P_1^{\mathbf{v}}, \ldots, P_s^{\mathbf{v}}$  be the corresponding parts for a tuple  $\mathbf{v}$ .

Let  $\mathbf{u} \equiv_{i+1} \mathbf{v}$  if,  $\mathbf{u} \equiv_i \mathbf{v}$  and for any tuple  $\mu \in \{0, \dots, p-1\}^{[s]}$ , we have

$$\operatorname{rk}\left(\sum_{j}\mu_{i}P_{j}^{\mathbf{u}}\right)=\operatorname{rk}\left(\sum_{j}\mu_{j}P_{j}^{\mathbf{v}}\right).$$

where the rank is in the field  $\mathbb{GF}(p)$ .

 $\equiv_{k,\{p\},1}^{R}$  is the relation obtained by starting with  $\equiv_{0}^{k}$  and iteratively repeating this refinement.

For general *m* and  $\Omega$ , we need to consider partitions of  $V^m \times V^m$  and *rank* and *linear combinations* (mod *p*) for all  $p \in \Omega$ .

## Complexity of Refinement

We do not know if the relations  $\equiv_{k,\Omega,m}^{R}$  are *tractable* To check  $\mathbf{u} \equiv_{i+1} \mathbf{v}$ , we have to check the rank of a *potentially exponential* number of linear combinations.

But, we can *refine* the relations further to obtain a tractable family:  $\equiv_{k,\Omega,m}^{\text{IM}}$ 

(D., Holm 2012)

### Invertible Map Equivalence

The relation  $\equiv_{k,\{p\},1}^{IM}$  is obtained as the limit of the sequence of equivalence relations where:

 $\mathbf{u} \equiv_{i+1} \mathbf{v}$  if  $\mathbf{u} \equiv_i \mathbf{v}$  and there is an invertible matrix S (modulo p) such that we have for all j

 $SP_j^{\mathbf{u}}S^{-1}=P_j^{\mathbf{v}}.$ 

This implies, in particular, that all linear combinations have the same rank.

A result of (Chistov, Karpinsky, Ivanyov 1997) guarantees that simultaneous similarity of a collection of matrices is decidable in polynomial time. So we get a family of polynomial-time equivalence relations  $\equiv_{k,\Omega,m}^{IM}$ .

Could there be a fixed  $k, m, \Omega$  for which  $\equiv_{k,\Omega,m}^{\text{IM}}$  is the same as isomorphism?

### Coherent Algebras

Weisfeiler and Lehman presented their algorithm in terms of *cellular* algebras.

These are algebras of matrices on the *complex numbers* defined in terms of *Schur multiplication*:

 $(A \circ B)(i,j) = A(i,j)B(i,j)$ 

They are also called *coherent configurations* in the work of Higman.

Definition:

A *coherent algebra* with index set V is an algebra  $\mathcal{A}$  of  $V \times V$  matrices over  $\mathbb{C}$  that is:

closed under Hermitian adjoints; closed under Schur multiplication; contains the identity I and the all 1's matrix J.

#### Coherent Algebras

One can show that a coherent algebra has a *unique basis*  $A_1, \ldots, A_m$  of *0-1* matrices which is closed under *adjoints* and such that

$$\sum_i A_i = J.$$

One can also derive structure constants  $p_{ii}^k$  such that

$$A_i A_j = \sum_k p_{ij}^k A_k.$$

Associate with any graph G, its *coherent invariant*, defined as the smallest coherent algebra  $\mathcal{A}_G$  containing the adjacency matrix of G.

### Weisfeiler-Lehman method

Say that two graphs  $G_1$  and  $G_2$  are *WL*-equivalent if there is an isomorphism between their *coherent invariants*  $\mathcal{A}_{G_1}$  and  $\mathcal{A}_{G_2}$ .  $G_1$  and  $G_2$  are *WL*-equivalent if, and only if,  $G_1 \equiv^2 G_2$ .

**Friedland (1989)** has shown that two coherent algebras with standard bases  $A_1, \ldots, A_m$  and  $B_1, \ldots, B_m$  are isomorphic if, and only if, there is an invertible matrix S such that

 $SA_jS^{-1} = B_i$  for all  $1 \le j \le m$ .

### Complex Invertible Map Equivalence

Define  $\equiv_{\mathbb{C},k}^{\mathbb{IM}}$  as the limit of the sequence of equivalence relations  $\equiv_i$  where:

 $\mathbf{u} \equiv_{i+1} \mathbf{v}$  if there is an invertible linear map S on the vector space  $\mathbb{C}^{V}$  such that we have for all i

 $SP_j^{\mathbf{u}}S^{-1}=P_j^{\mathbf{v}}.$ 

We can show  $\equiv_{\mathbb{C},k+1}^{\mathrm{IM}} \subseteq \equiv^k \subseteq \equiv_{\mathbb{C},k-1}^{\mathrm{IM}}$ .

#### **Research Directions**

We can show that  $\equiv_{4,\{2\},1}^{\rm IM}$  is the same as isomorphism on graphs of colour class size 4.

- For all t, are there fixed k, Ω and m such that ≡<sup>IM</sup><sub>k,Ω,m</sub> is isomorphism on graphs of colour class size t?
- What about graphs of degree at most 3? or t?

Is the *arity hierarchy* really strict on graphs? Could it be that  $\equiv_{k,\Omega,m}^{\text{IM}}$  is subsumed by  $\equiv_{k',\Omega,1}^{\text{IM}}$  for sufficiently large k'?

Show that no fixed  $\equiv_{k,\Omega,m}^{IM}$  is the same as isomorphism on graphs.

Note: we can show that  $\equiv_{k,\Omega,1}^{IM}$  is not the same as isomorphism for any fixed k and  $\Omega$ .

# Summary

The *Weisfeiler-Lehman* family of approximations of graph isomorphism have a number of equivalent characterisations in terms of:

complex algebras; combinatorics; counting logics; bijection games; linear programming relaxations of isomorphism.

We have introduced a new and stronger family of approximations of graph isomorphism based on algebras over *finite fields*, and these capture isomorphism on some interesting classes.

There remain many questions about the strength of these approximations and their relations to logical definability.