Miranda in Isabelle

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Abstract

This paper describes our experience in formalising arguments about the Miranda functional programming language in Isabelle. After explaining some of the problems of reasoning about Miranda, we explain our two different approaches to encoding Miranda in Isabelle. We conclude by discussing some shorter examples and a case study of reasoning about hardware.

Miranda¹[Turner, 1990, Thompson, 1995b] is a modern functional programming language, allowing type polymorphism and higher-order functions in a similar way to ML[Milner *et al.*, 1990]. It differs from ML in being lazy – arguments to functions are only evaluated when and to the extent that they are needed – and in being side-effect free. It has long been an article of faith in the functional programming community that languages like this are ideal candidates for program veriÆcation because of their `declarative' nature. This is clearly true for idealised languages, but real languages like Miranda bring their own complexities which we have discussed in the past[Thompson, 1989, Thompson, 1995a].

In this paper we discuss our approaches to formalising proof about Miranda in Isabelle, speciÆcally Isabelle92, after a brief description of the language and how it is given a logical description.

1 Miranda

In this section we give a short survey of the main features of Miranda, and how we translate the deÆnitions into logical statements. Full details of a translation can be found in [Thompson, 1989, Thompson, 1995a].

Equations

The simplest deÆnitions in Miranda resemble equations. In deÆning a constant function we say

con :: * -> num

(1)

con x = 14

where the * is a type variable in the type of the function, indicating that the function can be given an argument of any type, and = is used to give a deÆnition. If we write \equiv for logical equality, then con e \equiv 14 for *any* expression e, including an expression whose value is undeÆned. We therefore translate the deÆnition (1) by the equation

 $\texttt{con } \texttt{x} \ \equiv \ \texttt{14}$

¹Miranda is a trademark of Research Software Limited

Sequencing

In general Miranda deÆnitions are more complex than the equation we have just seen. The deÆnitions are written in sequence, and this ordering is signiÆcant.

We distinguish between different cases using guards, giving an equation multiple clauses on the right hand side. For instance in comparing two numeric lists element by element we might say

compare :: [num] -> [num] -> [num]

```
compare (a:x) (b:y) = a : compare x y , if a <= b (2)
= b : compare x y , if True
```

The second right hand side does not hold in *all* circumstances, despite having the guard True; the clause applies only if the Ærst guard is False. In general a clause applies only if all the preceding guards are False. Logically we have

```
((a \le b) \equiv True \Rightarrow compare (a:x) (b:y) \equiv a : compare x y) \land
((a \le b) \equiv False \Rightarrow compare (a:x) (b:y) \equiv b : compare x y)
```

A deÆnition may well consist of more than one equation; (2) only applies when the two list arguments to compare are non-nil. We complete the deÆnition by giving the result in case either list is empty:

compare x y = [] (3)

This equation will only apply if the preceding equations *fail* to apply; in terms of patterns, it only applies to the *complement* of the preceding patterns. In this case, we have

compare [] y \equiv [] \land compare (a:x) [] \equiv []

When combined with complex guards and repeated variables in expressions, the translation of deÆnitions can become complex; we give a complete treatment in [Thompson, 1995a].

Local deÆnitions

Each equation can carry with it a collection of local deÆnitions, whose scope is restricted to the right hand side of the equation. For example, to substitute at the front of a list we can write

frontSubst :: [*] -> [*] -> [*] -> ([*] , bool) so that

frontSubst "cat" "dog" "catalyst" = ("dogalyst",True)
frontSubst "bat" "dog" "catalyst" = ("catalyst",False)

The Ærst element of the result is got by substituting the second argument ("dog") for the Ærst argument ("cat") when it occurs at the front of the third ("catalyst"); the second component of the result is a Øag signalling whether the substitution has been successful. The deÆnition of the function follows:

```
frontSubst [] rep st = ( rep++st , True )
frontSubst (a:x) rep [] = ( [] , False )
frontSubst (a:x) rep (b:y)
= ( b:y , False ) , if a f = b \/ fok
= ( out , True ) , otherwise
where
(out,ok) = frontSubst x rep y
```

The local deÆnition of (out,ok) is used to make a recursive call to frontSubst and to select its components.

In translating the Ænal equation, we introduce the locally deÆned objects by means of an existential quantiÆer and so translate it thus:

```
 \begin{array}{l} (\forall a,x,rep,b,y).(\exists out,ok).\\ (out,ok) \equiv frontSubst \ x \ rep \ y \ \land \\ ( \ (a \ f = b \ \backslash / \ f^{ok}) \equiv True \Rightarrow\\ frontSubst \ (a:x) \ rep \ (b:y) \equiv ( \ b:y \ , \ False \ ) \ \land \\ (a \ f = b \ \backslash / \ f^{ok}) \equiv False \Rightarrow\\ frontSubst \ (a:x) \ rep \ (b:y) \equiv ( \ out \ , \ True \ ) \ ) \end{array}
```

The order of the quantiÆers in the logical translation shows that out and ok depend on the parameters of the function a, x, rep, mi b and y as would be expected.

When local deÆnitions combine with the sequential features above, translation becomes complicated; see [Thompson, 1995a] for further details.

Types

Miranda types include characters, booleans, numbers (integers and Øoats combined into a single type) and algebraic types. Because Miranda is a lazy language, the structured types (like lists) contain partial elements such as $[2, \pm, 3]$ and `inÆnite' objects deÆned as follows:

```
ones = 1 : ones
primes = sieve [2..]
    where
    sieve (a:x) = a : sieve [ b | b<-x ; b mod a > 0 ]
```

and we therefore have to be careful in stating the exact rules for induction over algebraic types. Details of the various approaches can be found in [Paulson, 1987].

2 Miranda in Isabelle

We have given a translation of Miranda into Isabelle92, and in this section we comment on how the translation uses some of the features of the system.

- The Miranda logic is deÆned to be an extension of Ærst-order logic; Miranda functions are taken to be Isabelle functions. This has some advantages: type checking and other facilities are inherited from Isabelle, but also drawbacks which we come to presently.
- Miranda is a polymorphic language. We have an Isabelle class mira which is deÆned to represent the class of Miranda types. We are also assisted by being able to declare types as belonging to a default class, in this case the class mira.
- Miranda also contains some built-in overloaded operations, in particular the boolean operations
 of equality, ordering and so on as well as the printing functions. The classes are again useful
 here; for example we deÆne =:= for the Miranda equality operation in Isabelle, since = is used
 for identity, which we have denoted by riangle thus far in the paper. We also use overloading to
 deÆne a predicate def, for the fully-deÆned elements of each type.
- The syntax of Miranda differs from that of Isabelle. Function application is denoted by juxtaposition, with function application binding most tightly among the operations. We use the mixÆx facility to give expressions the same appearance that they have in Miranda. For instance, in translating the frontSubst function we declare

```
frontSubst :: "['a list,'a list,'a list] => ('a list * bool)"
                ("frontSubst _ _ " [110,110,110] 100)
        "frontSubst [] rep st = ( rep++st , true )"
fs1
        "frontSubst (a:x) rep []
                                 = ( [] , false )"
fs2
fsBlock
"EX out ok .( (out,ok) = frontSubst x rep y
    & (not (a =:= b) \backslash / not ok = true
        --> frontSubst (a:x) rep (b:y) = ( b:y , false ) )
                                                                                  (4)
    & (not (a =:= b) \backslash / not ok = false
        --> frontSubst (a:x) rep (b:y) = (out, true))
   & ( not (a =:= b) \\/ not ok = _|_
        --> frontSubst (a:x) rep (b:y) = | ))"
```

Figure 1: Translation of the function frontSubst

The full translation of the frontSubst function appears in Figure 1.

Note from Ægure 1 that some minor syntactic changes have to be made. We use the preÆx type constructor list rather than the Miranda square brackets, and we have to use true and false for the Boolean constants since their capitalised counterparts are used for the valid and contradictory propositions.

What are the drawbacks of this approach? Principally, we are unable to reØect the fact that Miranda functions are *curried*, so that a function of two (or more) arguments like

```
mult :: num -> num -> num
```

```
mult a b = a*b
```

can legitimately be given a single argument, returning a function:

mult 34 :: num -> num

To model these partial applications in Isabelle, we need to write a lambda term

```
\lambda b. mult 34 b
```

which is rather more unwieldy than the original.

A Second Approach

In this section we explore a second approach to coding Miranda in Isabelle.

The Basic Theory

For the Ænal case-study, an alternative approach was adopted addressing the concerns regarding curried functions. Again, the theory is based on the theory of Ærst-order logic provided as a standard component of the Isabelle system. In a departure from the previous study, a new type constructor and constant app are introduced to support the Miranda function space.

```
types
"->" 2 (infixr 50)
arities
```

```
"->" :: (mira,mira)mira
```

```
consts
```

```
app :: "[('a -> 'b),'a] => 'b" ("_ _" [100,101] 100)
```

This facilitates reasoning about higher-order Miranda terms. For example the rule for extensional equality might be couched as:

ALL x. f x = g x => f = g

However, one drawback (with Isabelle92) is that the parser is not able in all circumstances to parse function applications correctly. In particular, if a function is applied to an expression in parentheses, the parse will fail. To circumvent this problem, an explicit inÆx application operator, denoted \$ is provided and must be inserted in all places where the problem would arise. A parse-translator converts these to the standard application operator, so they never appear in printed terms.

For convenience, each built-in Miranda operator is described via two Isabelle constants. For example, for function composition we have:

```
"." :: "[('a->'b),('c->'a)] => 'c->'b" (infixl 70)
Dot_op :: "('a->'b) -> ('c->'a) -> ('c->'b)" ("'(.')")
```

The former allows expressions to be written in the familiar Miranda syntax, whereas the second can be used if it is ever necessary to reason with a curried operator. Two rules are given: the Ærst deÆnes the operator, and the second relates the two constants:

comp "(f . g) x = f \$ (g x)" Dot_op "(.) f g = f . g"

The core theory is extended to provide support for the fundamental Miranda datatypes. With each new type we introduce:

- a type constructor,
- constants representing the constructors,
- a set of standard functions,
- proof rules, including rules for deÆnedness and uniqueness,
- where appropriate, rules deÆning a computational equality.

For example, the theory of lists, given in Figure 2, deÆnes:

- the type constructor list,
- the constructors : and [],
- standard functions hd, tl, ++ and map,
- an induction rule for lists (the rule presented is only sound for chain-complete predicates); rules for deÆnedness of lists; rules asserting the uniqueness of the constructors,
- a deÆnition of computational equality for lists.

Other standard theories include the following:

- Booleans: true, false, cond the usual operators and computational equality,
- Natural numbers: succ, zero, +, 1, 2 etc.,

```
types
   list 1
arities
    list :: (mira)mira
consts
    ":" :: "['a, 'a list] => 'a list" (infixr 52)
   nil :: "'a list"
                                         ("[]")
    hd :: "'a list -> 'a"
        :: "'a list -> 'a list"
    tl
    "++" :: "['a list, 'a list] => 'a list" (infixr 52)
    map :: "('a -> 'b) -> 'a list -> 'b list"
rules
    listInd
        "[| ALL a x. P(x) \rightarrow P(a:x); P([]); P(_|_) |] ==>
         ALL x::'a list.P(x)"
    nilCons "[] = (a:x) <-> False"
    nilBot "[] = _|_ <-> False"
    consBot "(a:x) = |_ <-> False"
    defNil "def([]) <-> True"
    defCons "def(a:x) \langle - \rangle def(a) & def(x)"
    eqList0 "[] === [] = true"
    eqList1 "(a:x) === [] = false"
    eqList2 "[] === (b:y) = false"
    eqList3 "(a:x) === (b:y) = a === b && x === y"
    eqList4 "_|_ === y = _|_"
    eqList5 "x === _|_ = _|_"
            "hd [] = _|_"
    hd0
    hd1
            "hd $ (a:x) = a"
    hd2
            "hd _|_ = _|_"
    t10
            "tl [] = _|_"
           "tl $ (a:x) = x"
    tl1
           "t1 _|_ = _|_"
    t12
    conc0
            "[] ++ y = y"
    conc1
            "(a:x) ++ y = a : (x ++ y)"
          "_|_ ++ y = _|_"
    conc2
            "map f [] = []"
    map0
            "map f $ (a:x) = f a : map f x"
    map1
            "map f _|_
                         = _|_"
    map2
```

Figure 2: The Theory of Lists

- Tuples (currently up to 6-tuples),
- Association lists derived from the theory of lists.

The theory of natural numbers represents our Ærst departure from the Miranda system. No attempt has been made to account for the Miranda num type which is a conØation of arbitrary precision integers and Øoating point numbers.

Translation to Isabelle

In this exercise, no attempt has been made to address the whole of the Miranda language. In particular, the following restrictions have been introduced:

- deÆnitions are restricted to non-overlapping patterns,
- guards must be converted to conditional expressions,
- local deÆnitions must be lifted to the top level.

These restrictions are intended to bring the language closer to a logic. In particular deÆnitions can be converted directly to equations without the complications described in the previous section. Extra rules covering the case of undeÆned arguments are required for functions that perform pattern matching.

Algebraic types are translated according to the scheme described for lists. Synonym and abstract data types seem to be most conveniently represented as a one-constructor type in Isabelle. An alternative scheme would have been to expand synonyms, but in practice this leads to an unwieldy theory.

The translation of function type signatures is straightforward simply requiring the replacement of Miranda's star notation for type variables with Isabelle's more conventional identiÆer names, for example:

```
consts
    id :: "'a -> 'a"
    const :: "'a -> 'b -> 'a"
    apply :: "('a -> 'b) -> 'a -> 'b"
```

Currently, the translation process is done by hand. However, the method is entirely mechanical and could be automated.

3 Examples

We have developed a series of smaller examples, and a larger case study which we explore in the next section. In developing these smaller examples, we have often had to develop supporting libraries of proofs concerning the behaviour of elementary operations over simple data types. As we look at the examples we make some observations about our approach and the Isabelle system.

The second and third of the examples here were developed in collaboration with Gerald Nelson of the University of Kent.

Substitution

We chose the frontSubst function as an example since has many of the features of Miranda deÆnitions, including pattern matching, guards and a where clause. We can specify one aspect of its behaviour in a high-level way, thus:

```
"ALL x y z ans .
    def(x) --> def(y) --> def(z) --> def(ans) -->
    (frontsubst x y z = (ans,true) -->
    (EX w. x++w=z & y++w=ans))";
```

and we chose to prove this using Isabelle. The proof takes some 100 elementary steps, and proceeds by induction over Ænite lists. Much of the proof involves reasoning *forward* from comparatively large sets of assumptions. Some of the assumptions come from stripping off the deÆnedness hypotheses from (5), and others from opening up the existentially quantiÆed formula in Figure 1,(4).

Using these assumptions and a case analysis on the result of comparing elements under the ordering we apply *modus ponens* to close this assumption set. It was our experience that this needed hand guidance, and that we would Ænd it difÆcult using the available tools to automate this `closure under modus ponens' as a tactic. Clearly this would be desirable to support larger-scale proof development in a context like this.

Sorting

We have automated a proof of correctness of insertion sort,

by proving the following two propositions for all Ænite lists x

```
sorted (sort x) \equiv True
```

perm (x , sort x) \equiv True

where sorted expresses the fact that its argument is sorted, and perm the fact that its arguments are permutations of each other. The proof proceeds by induction at the top level, but also uses some twenty lemmas about elementary properties of orderings. We also have to introduce a function smallest which takes the smallest element of a list, and many of the lemmas involve proving simple properties of this function.

Simulation

Our third case study concerns a simulation of a bank, in which on arrival customers are placed in a single queue. A customer goes to a clerk when the clerk becomes free. Our proof shows that increasing the number of clerks will reduce the total waiting time of the customers, if it is initially non-zero. Details of the simulation can be found in Chapter 13 of [Thompson, 1995b].

The proof involves manipulating sums of lists of numbers; as in the previous example, it was necessary to develop a substantial foundation in order to build the required proof.

We also tried to prove that under a round-robin scheduling mechanism the total waiting time was reduced, but discovered that this was not the case. Increasing the number of clerks in this case can increase the total waiting time. The scenario in which this happens is when there are two customers requiring a long time to be served; with two queues they are allocated to one server, whereas with three they will be allocated to different servers, this means that they delay more people, and so increase the overall delay.

4 Case study ± Hardware Description

This case study describes an experiment in verifying the reÆnement of a processor description/simulation written in Miranda which is described more fully in [Hill, 1994]. The subject of this experiment is a step in the design of a simple microprocessor. There are two executable descriptions of the machine addressing two levels of abstraction. The aim of the veriÆcation is to show that these two descriptions behave in essentially the same way.

The Ærst machine, dubbed mo, has the following components:

- a memory ± implemented as an association between locations and contents
- a register set ± implemented as an association between register number and contents
- a statistics Æeld
- a halt Øag

The operation of the machine is described by transitions from one state to another, similar in style to that used in [Peyton Jones, 1992]. For example the transition that reads data from memory into a register is given by:

The second machine, dubbed m_1 , is more explicit about some of the internal structure. Its state is given by the following:

- a memory \pm as in m0,
- a memory interface ± implemented as a pair of values corresponding to a Memory Data Register (MDR) and Memory Address Register (MAR).
- a register set ± as in m0,
- a set of four buses ± implemented as a quadruple of values,
- a statistics Æeld,
- a halt Øag.

The transitions of this machine are more restricted. Data must pass from a register to a bus (A or B), and thence via the ALU to another bus (C). Data on the C-bus may be placed in a register or into the memory interface which is the only route to the memory. So, a typical transition might be:

```
regToAbus n (m, i, r, (a, b, c, d), s, h) =
    (m, i, r, (aLookup n r, b, c, d), s, h)
The machine is depicted in Figure 3.
```



Figure 3: Machine Architecture

Both machines deÆne three combinators to construct compound transitions from the basic operations.

- comma ± combines two transitions sequentially; a derived combinator do takes a list of transitions and combines them sequentially.
- switch ± selects a transition according to the contents of a register
- passReg ± passes the contents of a register to a transition

The behaviour of the full machine is ultimately implemented in terms of the basic transitions. The simulation has been used to describe a simple register machine, a memory-based stack machine and a register-based stack machine. The register machine is the subject of this veriÆcation, and supports an instruction set of some eight instructions supporting four addressing modes. A Øavour of the implementation is given in Figure 4.

VeriÆcation

The aim of the veriÆcation is to show that m1 is a faithful reÆnement of m0. The relationship that we wish to hold is depicted in Figure 5 and is rendered in Isabelle as:

```
t1 refines t0 ==
  (ALL m. spec (t0 m) =m1 t1 (spec m))
spec (m0 $ (m,r,s,h)) =
  m1 (m, _|_, r, _|_, _|_, h)
m1 $ (mm0,i0,rr0,b0,s0,h0) =m1
m1 $ (mm1,i1,rr1,b1,s1,h1) <->
  mm0 = mm1 & rr0 = rr1 & h0 = h1"
```

The specialisation function spec takes an m0 state and creates an m1 state, placing undeÆned values in the new Æelds. The predicate =m1 tests for equality of the m0 components of two m1 states.

The following simple example shows that the halt instruction in m1 is indeed a reÆnement of the equivalent m0 transition. It gives a Øavour of the style of the goal directed proofs within this framework.

```
goal Machine01.thy "halt1 refines halt0";
by (rewrite_goals_tac [refines]);
br m0 1;
```

```
fetch
 = do [
     regToMar pc,
     memRead,
     mdrToReg ir,
     op1 pc AluIncA pc
   ٦
execute
 = switch ir [
     (moveW,
               moveI),
     (addW,
              addI),
     (sub₩,
              subI),
     (jump₩,
               jumpI),
     (jumpeqW, jumpeqI),
              jsrI),
     (jsrW,
     (rts₩,
              rtsI),
     (haltW,
               haltI)
   ]
moveI
 = do [
     srcOpTo tmp1,
     dbusToReg ccr,
     destOpFrom tmp1
   ]
srcOpTo r
 = do [
     fetch,
     switch ir
     Ε
         (litW, do [fetch, regToReg ir r]),
         (absW, do [fetch, regToMar ir, memRead, mdrToReg r]),
         (regW, do [fetch, passReg ir ((flip regToReg) r)]),
         (indW, do [fetch, passReg ir regToMar, memRead, mdrToReg r])
     ]
   ]
```

Figure 4: Sample of Machine Implementation



Figure 5: ReÆnement



Figure 6: Composing Transitions

```
br tup4Ind 1;
```

by (REPEAT (SIMP_TAC machine01_ss 1));

The Ærst step expands the deÆnition of refines. The next two steps apply the appropriate rule for reasoning about the type of the leading quantiæed variable. Finally, the simpliæcation rules are sufæcient to complete the proof.

Figure 6 shows the situation when two transitions are composed using the combinator comma. The corresponding theorem is:

ALL ta0 tb0 ta1 tb1.

```
ta1 refines ta0 --> tb1 refines tb0 --> respect tb1 -->
(comma1 ta1 tb1) refines (comma0 ta0 tb0)
```

that is, if we have two transitions in the m1 world that are reÆnements of transitions in the m0 world, then the composition of the two should be a reÆnement of the composition in the m0 world. We have one further hypothesis which is required to ensure that the functions are well-behaved which is formulated as:

```
respect t1 == (ALL x y. x =m1 y \rightarrow t1 x =m1 t1 y)
This guarantees that the second machine can make no distinctions between states on the basis of information held in the Æelds not present in m0.
```

The proof of the composition theorem makes use of the Ærst-order logic theorem prover and an

elimination tactic, but follows broadly the same shape as the halt proof given earlier:

```
goal Machine01.thy
"ALL ta0 tb0 ta1 tb1.
    ta1 refines ta0 --> tb1 refines tb0 --> respect tb1 -->
    (comma1 ta1 tb1) refines (comma0 ta0 tb0)";
by (rewrite_goals_tac [refines, respect]);
by (MACH_TAC [comma0, comma1] 1);
by (step_tac FOL_cs 1);
by (REPEAT (etac allE 1));
br eq1_trans 1;
be impE 2;
by (REPEAT (assume_tac 1));
```

The work has reached a point where the proof is nearly complete. The proof is modular, and in most parts quite tedious. Fortunately, most proofs follow a simple pattern which can almost be cut and pasted to produce the next one.

The rewriting tactics of Isabelle are sufÆciently powerful, that many of the larger proofs could be conducted for the most part automatically. However, Isabelle is too slow on our systems too make this practical. Instead the proof is decomposed into smaller elements which are combined using tools such as the composition theorem. This also has the beneÆt of offering theorems which might be re-used later in the veriÆcation.

During this exercise, it has proved useful to provide some tactics to support rewriting. These are built on top of the existing rewriting mechanism. The proof of the composition theorem uses one of these called MACH_TAC deÆned as:

It is useful when a proof requires rewriting with only a small number of equations. A similar tactic is provided to use the rules in the opposite direction:

```
fun RMACH_TAC rules
```

= MACH_TAC (map (fn r => r RS sym) rules);

More work could be done in this area, for example to select induction principles according to the type of a quantiÆed variable.

5 Conclusions

Our experiments with Isabelle have proved to be successful. The proof of the machines' equivalence is almost complete. The extension of Isabelle to support reasoning about Miranda was straightforward, requiring little expert assistance. The support for an extensible parser/unparser makes it possible to express terms using the Miranda syntax directly. This makes the process of translation less prone to error, and makes the job of the veriÆer simpler since the theorems and goals are presented in a familiar style. In the case study, there were few creative steps in the proofs. Much of the [™]hard∫ work is managed by use of the FOL theorem prover and the simpliÆcation package.

The marriage, however, is not perfect. We found that the treatment of synonyms was somewhat clumsy and would advocate the inclusion of some sort of type synonym mechanism in Isabelle. In some cases, there seems to be a tension between the object and meta levels. Often, in different parts of a proof a theorem may be needed in either the FOL form, or in the meta form. Whilst there is no

technical difÆculty in moving between the two, there can be a confusing increase in the number of theorems.

Reasoning about Miranda programs often involves very simple rewriting of terms. Although the simpliæcation mechanism provides tools to support rewriting, it is sometimes not possible to obtain the desired effect, for example rewriting one instance of a pattern whilst leaving a second. There is scope here for development of our own tactics.

We were gratiæed that the experiment showed that even if one were neither an experienced veriæer nor logician one would still be able to render a signiæcant Miranda script as an Isabelle theory and to construct a reasonably large proof.

However, in our case study we have dealt with a quite small subset of the Miranda language and have chosen a regular and Øat problem. The proofs to-date are mostly goal directed, with little cause for forwards reasoning. This was exercised more in the substitution example, which raised the issue of how to `close up' a set of hypotheses under deduction.

Isabelle's generality makes our experiments possible, but can also make Miranda-speciÆc reasoning more complex that one might hope of a tailor made tool. It is to be hoped that appropriate tactics should bridge the gap.

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