

Nominal Sets

Part 2

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Outline

- ▶ The end.
- ▶ Motivation: structural recursion for data modulo α -equivalence.
- ▶ ~~Introduction to nominal sets~~ continued

- ▶ Nominal restriction sets.
- ▶ A simply-typed λ -calculus with name-abstraction types.

Recap

Nominal sets

group of finite
permutations of
names

are sets X with with a $\mathfrak{S}(\mathbb{A})$ -action satisfying

Finite support property: for each $x \in X$, there is a finite subset $\bar{a} \subseteq \mathbb{A}$ that **supports** x :

$$a, a' \notin \bar{a} \Rightarrow (a \ a') \cdot x = x$$

Fact: in a nominal set every $x \in X$ possesses a *smallest* finite support, written $\text{supp}(x)$.

- $a \# x$ means $a \notin \text{supp}(x)$
- a morphism of nominal sets is a function preserving the permutation action

The nominal set of names

$\mathbb{A}$ is a nominal set once equipped with the action

$$\pi \cdot a = \pi(a)$$

which satisfies $\text{supp}(a) = \{a\}$.

Name abstraction

Each $X \in \mathcal{N}om$ yields a nominal set $[A]X$ of

name-abstractions $\langle a \rangle x$ are $\sim$ -equivalence classes of pairs $(a, x) \in A \times X$, where

$$(a, x) \sim (a', x') \Leftrightarrow \exists b \# (a, x, a', x') \\ (b \ a) \cdot x = (b \ a') \cdot x'$$

The $\mathfrak{S}(A)$ -action on $[A]X$ is well-defined by

$$\pi \cdot \langle a \rangle x = \langle \pi(a) \rangle (\pi \cdot x)$$

and satisfies $\text{supp}(\langle a \rangle x) = \text{supp}(x) - \{a\}$, so that

$$b \# \langle a \rangle x \Leftrightarrow b = a \vee b \# x$$

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We get a functor $[A](-) : \mathcal{N}om \rightarrow \mathcal{N}om$ sending $f \in \mathcal{N}om(X, Y)$ to $[A]f \in \mathcal{N}om([A]X, [A]Y)$ where

$$[A]f(\langle a \rangle x) = \langle a \rangle (fx)$$

Name abstraction

$[\mathbb{A}](-) : \mathcal{Nom} \rightarrow \mathcal{Nom}$ is a kind of (affine) function space—it is right adjoint to the functor $\mathbb{A} \otimes (-) : \mathcal{Nom} \rightarrow \mathcal{Nom}$ sending X to $\mathbb{A} \otimes X = \{(a, x) \mid a \# x\}$.

That explains what morphisms *into* $[\mathbb{A}]X$ look like. More important is the following characterization of morphisms *out of* $[\mathbb{A}]X$.

Theorem. $f \in Y^{\mathbb{A} \times X}$ factors through the quotient $\mathbb{A} \times X \twoheadrightarrow [\mathbb{A}]X$ to give an element of $Y^{([\mathbb{A}]X)}$

iff $(\forall a \in \mathbb{A}) a \# f \Rightarrow (\forall x \in X) a \# f(a, x)$

iff $(\exists a \in \mathbb{A}) a \# f \wedge (\forall x \in X) a \# f(a, x)$.

Inductive datatypes in $\mathcal{N}om$

$[A](-)$ has excellent exactness properties. It can be combined with $\times$, $+$ and $(-)^X$ to give functors $\mathcal{N}om \rightarrow \mathcal{N}om$ that have initial algebras.

E.g. initial algebra for

$$F(-) \triangleq A + (- \times -) + [A](-)$$

is Λ with $i : F(\Lambda) \cong \Lambda$ given by

$i(a)$	$= \forall a$
$i(t, t')$	$= \Lambda t t'$
$i(\langle a \rangle t)$	$= L a. t$

This leads to...


$$\{t ::= \forall a \mid \Lambda t t' \mid L a. t\} / \equiv_\alpha$$

α -Structural recursion for Λ

$$f_1 \in X^A$$

$$f_2 \in X^{X \times X}$$

$$f_3 \in X^{A \times X}$$

"freshness condition
for binders"



$$\frac{(\forall a) \ a \# (f_1, f_2, f_3) \Rightarrow (\forall x) \ a \# f_3(a, x) \quad (\text{FCB})}{(\exists! \ f \in X^A)}$$

$$f(\forall a) = f_1 a$$

$$f(\Lambda t t') = f_2(f t, f t')$$

$$f(\mathbb{L} a. t) = f_3(a, f t) \quad \text{if } a \# (f_1, f_2, f_3)$$

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Proof (for any nominal signature): see J ACM 53(2006)459-506.

Seems to capture informal usage well.

α -Structural recursion for Λ

$$f_1 \in X^{\mathbb{A}}$$

$$f_2 \in X^{X \times X}$$

$$f_3 \in X^{\mathbb{A} \times X}$$

$$\frac{(\forall a) \ a \# (f_1, f_2, f_3) \Rightarrow (\forall x) \ a \# f_3(a, x) \quad (\text{FCB})}{(\exists! \ f \in X^{\Lambda})}$$

$$f(\forall a) = f_1 a$$

$$f(\mathbb{A} t t') = f_2(f t, f t')$$

$$f(\mathbb{L} a. t) = f_3(a, f t) \quad \text{if } a \# (f_1, f_2, f_3)$$

Implemented in Urban & Berghofer's Nominal package for Isabelle/HOL (classical higher-order logic).

What about Type Theory? (Coq, Agda, etc.)

α -Structural recursion for Λ

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$$f_2 \in X^{X \times X}$$

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Can we avoid explicit reasoning about finite support, $\#$ and (FCB)
when computing “mod α ”?

Want definition/computation to be separate from proving.

$$\begin{aligned} f(\vee a) &= f_1 a \\ f(\wedge t t') &= f_2(f t, f t') \\ f(\text{L } \underline{a}. t) &= f_3(\underline{a}, f t) \quad \text{if } a \# (f_1, f_2, f_2) \end{aligned}$$

$$\begin{aligned}
 f(\mathbf{V} \, a) &= f_1 \, a \\
 f(\mathbf{A} \, t \, t') &= f_2(f \, t, f \, t') \\
 f(\mathbf{L} \, a. \, t) &= \mathbf{v} \, a. \, f_3(a, f \, t) \quad [\, a \# (f_1, f_2, f_2) \,]
 \end{aligned}$$

$= \mathbf{L} \, a'. \, t'$

$= \mathbf{v} \, a'. \, f_3(a', f \, t') \quad \text{OK!}$

Q: how to get rid of this inconvenient proof obligation?

A: use a local scoping construct $\mathbf{v} \, a. (-)$ for names

$$\begin{aligned}
 f(\mathbf{V} \, a) &= f_1 \, a \\
 f(\mathbf{A} \, t \, t') &= f_2(f \, t, f \, t') \\
 f(\mathbf{L} \, a. \, t) &= \mathbf{v} a. f_3(a, f \, t) \quad [a \# (f_1, f_2, f_2)]
 \end{aligned}$$

$= \mathbf{L} \, a'. \, t'$

$= \mathbf{v} a'. f_3(a', f \, t') \quad \text{OK!}$

Q: how to get rid of this inconvenient proof obligation?

A: use $\mathbf{a}$ local scoping construct $\mathbf{v} a. (-)$ for names

which one?!

Dynamic allocation

- ▶ Familiar—widely used in practice.
- ▶ Stateful: $va.t$ means “add a fresh name a' to the current state and return $t[a'/a]$ ”

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- ▶ Familiar—widely used in practice.
- ▶ Stateful: $va.t$ means “add a fresh name a' to the current state and return $t[a'/a]$ ”
- ▶ Disrupts familiar mathematical properties of pure datatypes.

So we reject it in favour of...

Odersky's $va.(-)$ [POPL'94]

- Unfamiliar—apparently not used in practice (so far).
- Pure equational calculus, in which local scopes “intrude” rather than extrude (as per dynamic allocation):

$$\begin{aligned} va.(\lambda x \rightarrow t) &\approx \lambda x \rightarrow (va.t) & [a \neq x] \\ va.(t, t') &\approx (va.t, va.t') \end{aligned}$$

- Has a straightforward semantics using nominal sets equipped with a “name-restriction operation”...

Name-restriction

A **name-restriction** operation on a nominal set X is a morphism $(-)\setminus(-) \in \mathcal{N}om(\mathbb{A} \times X, X)$ satisfying

- ▶ $a \# a \setminus x$
- ▶ $a \# x \Rightarrow a \setminus x = x$
- ▶ $a \setminus (b \setminus x) = b \setminus (a \setminus x)$

equivalently, a morphism $[\mathbb{A}]X \xrightarrow{r} X$ making



$$f_1 \in X^{\mathbb{A}}$$

$$f_2 \in X^{X \times X}$$

$$f_3 \in X^{\mathbb{A} \times X}$$

$$(\forall a) \quad a \# (f_1, f_2, f_3) \Rightarrow (\forall t, x) (a \# f_3(a, x))$$

$$(\exists! \quad f \in X^{\mathbb{A}})$$

$$f(\mathbb{V} a) = f_1 a$$

$$f(\mathbb{A} t t') = f_2(f t, f t')$$

$$f(\mathbb{L} a. t) = f_3(a, f t) \quad \text{if } a \# (f_1, f_2, f_3)$$

If X has a name restriction operation $(-)\setminus(-)$,
 we can trivially satisfy (FCB) by using
 $a \setminus (f_3 a t x)$ in place of $f_3 a t x$.

$$\begin{aligned} f_1 &\in X^{\mathbb{A}} \\ f_2 &\in X^{X \times X} \\ f_3 &\in X^{\mathbb{A} \times X} \end{aligned}$$

$$(\exists! \quad f \in X^{\Lambda})$$

$$\begin{aligned} f(\mathbb{V} a) &= f_1 a \\ f(\mathbb{A} t t') &= f_2(f t, f t') \\ f(\mathbb{L} a. t) &= a \setminus f_3(a, f t) \quad \text{if } a \# (f_1, f_2, f_3) \end{aligned}$$

Is requiring X to carry a name-restriction operation
much of a hindrance for applications?

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$$(\exists! \quad f \in X^{\Lambda})$$

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No!

Examples of name-restriction

► For $\mathbb{N}$: $a \setminus n \triangleq n$

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► For $\mathbb{A}' \triangleq \mathbb{A} \uplus \{\text{anon}\}$:

$$a \setminus a \triangleq \text{anon}$$

$$a \setminus a' \triangleq a' \quad \text{if } a' \neq a$$

$$a \setminus \text{anon} \triangleq \text{anon}$$

Examples of name-restriction

► For $\mathbb{N}$: $a \setminus n \triangleq n$

► For $\mathbb{A}' \triangleq \mathbb{A} \uplus \{\text{anon}\}$:

$$a \setminus t \triangleq t[\text{anon}/a]$$

► For $\Lambda' \triangleq \{t ::= \mathbf{V} a \mid \mathbf{A} t t \mid \mathbf{L} a.t \mid \text{anon}\}$:

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$$a \setminus t \triangleq t[\text{anon}/a]$$

► Nominal sets with name-restriction are closed under products and exponentiation by a nominal set.

$\lambda\alpha\nu$ -Calculus

is standard simply-typed λ -calculus with booleans and products, extended with:

- ▶ type of **names**, **Name**

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 - ▶ names, $a : \text{Name}$ ($a \in \mathbb{A}$)

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 - ▶ name-swapping, $\frac{t : T}{(a // a')t : T}$

with type-directed computation rules, e.g.

$$(a // b)(\lambda x \rightarrow t) = \lambda x \rightarrow (a // b)(t[(a // b)x / x])$$

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 - ▶ equality test, $= : \text{Name} \rightarrow \text{Name} \rightarrow \text{Bool}$
 - ▶ name-swapping, $\frac{t : T}{(a // a')t : T}$
 - ▶ locally scoped names $\frac{t : T}{\nu a. t : T}$ (binds a)with Odersky-style computation rules, e.g.

$$\nu a. \lambda x \rightarrow t = \lambda x \rightarrow \nu a. t$$

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- ▶ type of **names**, **Name**
- ▶ **name-abstraction** types, **Name** . **T**

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is standard simply-typed λ -calculus with booleans and products, extended with:

- ▶ type of **names**, **Name**
- ▶ **name-abstraction** types, **Name . T**, with terms for
 - ▶ name-abstraction, $\frac{t : T}{\alpha a. t : \text{Name} . T}$ (binds **a**)

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- ▶ **name-abstraction** types, **Name . T**, with terms for
 - ▶ name-abstraction, $\frac{t : T}{\alpha a. t : \text{Name} . T}$ (binds a)
 - ▶ unbinding, $\frac{t : \text{Name} . T \quad t' : T'}{\text{let } a . x = t \text{ in } t' : T'}$ (binds a & x in t')with computation rule that uses **local scoping**

$$\text{let } a . x = \alpha a. t \text{ in } t' = \nu a. (t' [t/x])$$

$\lambda\alpha\nu$ -Calculus

Normalization. Terms possess normal forms with respect to the computation rules that are unique up a simple **structural congruence** relation generated by:

$$\begin{aligned} \nu a.t &\equiv t \quad \text{if } a \notin fn(t) \\ \nu a.\nu b.t &\equiv \nu b.\nu a.t \end{aligned}$$

(Proof in the paper *Structural Recursion with Locally Scoped Names* uses Coquand's technique of evaluation to weak head normal form (whnf) combined with a “readback” of whnfs to normal forms.)

$\lambda\alpha\nu$ -Calculus

Denotational semantics. $\lambda\alpha\nu$ -calculus has a straightforward interpretation in *Nom* that is sound for the computation rules—types denote nominal sets equipped with a name-restriction operation:

$$\begin{aligned}\llbracket \text{Bool} \rrbracket &= \mathbb{B} \\ \llbracket \text{Name} \rrbracket &= \mathbb{A} \uplus \{\text{anon}\} \\ \llbracket T \times T' \rrbracket &= \llbracket T \rrbracket \times \llbracket T' \rrbracket \\ \llbracket T \rightarrow T' \rrbracket &= \llbracket T' \rrbracket^{\llbracket T \rrbracket} \\ \llbracket \text{Name} . T \rrbracket &= [\mathbb{A}] \llbracket T \rrbracket\end{aligned}$$

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Handwritten annotations:

- $\llbracket \nu a . a \rrbracket$ (red) with an arrow pointing to $\{\text{anon}\}$ in the $\llbracket \text{Name} \rrbracket$ equation.
- finitely supported functions* (red) with an arrow pointing to $\llbracket T' \rrbracket^{\llbracket T \rrbracket}$ in the $\llbracket T \rightarrow T' \rrbracket$ equation.
- name-abstractions* (red) with an arrow pointing to $[\mathbb{A}] \llbracket T \rrbracket$ in the $\llbracket \text{Name} . T \rrbracket$ equation.

$\lambda\alpha\nu$ -Calculus

Denotational semantics. $\lambda\alpha\nu$ -calculus has a straightforward interpretation in $\mathcal{Nom}$ that is sound for the computation rules—types denote nominal sets equipped with a name-restriction operation:

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Is there an analogue for $\lambda\alpha\nu$ -calculus of the Friedman completeness theorem for STLC?

$\lambda\alpha\nu$ -Calculus

Nominal datatypes. E.g. add type **Lam** with

constructors $\left\{ \begin{array}{l} V : \text{Name} \rightarrow \text{Lam} \\ A : (\text{Lam} \times \text{Lam}) \rightarrow \text{Lam} \\ L : (\text{Name} . \text{Lam}) \rightarrow \text{Lam} \end{array} \right.$

iterator $\frac{t_1 : \text{Name} \rightarrow T \quad t_2 : (T \times T) \rightarrow T \quad t_3 : (\text{Name} . T) \rightarrow T}{\text{lrec } t_1 \ t_2 \ t_3 : \text{Lam} \rightarrow T}$

computation rules (writing f for $\text{lrec } t_1 \ t_2 \ t_3$)

$$\left\{ \begin{array}{l} f(V t) = t_1 t \\ f(A(t, t')) = t_2(f t, f t') \\ f(L \alpha a . t) = t_3(\alpha a . f t) \quad \text{if } a \notin \text{fn}(t_1, t_2, t_3) \end{array} \right.$$

$\lambda\alpha\nu$ -Calculus

Nominal datatypes. E.g. add type `Lam` with computation rules (writing f for `lrec  $t_1 t_2 t_3$`)

$$\left\{ \begin{array}{lcl} f(\mathbf{V} t) & = & t_1 t \\ f(\mathbf{A}(t, t')) & = & t_2(f t, f t') \\ f(\mathbf{L} \alpha a. t) & = & t_3(\alpha a. f t) \quad \text{if } a \notin \text{fn}(t_1, t_2, t_3) \end{array} \right.$$

Main theorem: computation of normal forms in this extension of $\lambda\alpha\nu$ -calculus adequately represents α -structurally recursive functions on Λ .

$\lambda\alpha\nu$ -Calculus

Nominal datatypes. E.g. add type `Lam` with computation rules (writing f for `lrec  $t_1$   $t_2$   $t_3$`)

$$\begin{cases} f(\mathbf{V} t) &= t_1 t \\ f(\mathbf{A}(t, t')) &= t_2(f t, f t') \\ f(\mathbf{L} \alpha a. t) &= t_3(\alpha a. f t) \quad \text{if } a \notin \text{fn}(t_1, t_2, t_3) \end{cases}$$

Main theorem: computation of normal forms in this extension of $\lambda\alpha\nu$ -calculus adequately represents α -structurally recursive functions on Λ .

E.g. capture-avoiding substitution of t for a is represented by `lrec  $t_1$   $t_2$   $t_3$` with

$$\begin{aligned} t_1 &\triangleq \text{if } x = a \text{ then } t \text{ else } \mathbf{V} x \\ t_2 &\triangleq \lambda x \rightarrow \text{let } (y, z) = x \text{ in } \mathbf{A} y z \\ t_3 &\triangleq \lambda x \rightarrow \text{let } a. y = x \text{ in } \mathbf{L} \alpha b. (a // b) y \end{aligned}$$

```

names Var : Set

data Term : Set where
  V : Var -> Term           --(possibly open)  $\lambda$ -terms mod  $\alpha$ 
  A : (Term  $\times$  Term) -> Term --variable
  L : (Var . Term) -> Term  --application term
                              -- $\lambda$ -abstraction

_/_ : Term -> Var -> Term -> Term           --capture-avoiding substitution
(t / x)(V x') = if x = x' then t else V x'
(t / x)(A(t' , t'')) = A((t / x)t' , (t / x)t'')
(t / x)(L(x' . t')) = L(x' . (t / x)t')

```

In my dreams: “nominal Agda”

```
names Var : Set

data Term : Set where
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(t / x)(V x') = if x = x' then t else V x'
(t / x)(A(t' , t'')) = A((t / x)t' , (t / x)t'')
(t / x)(L(x' . t')) = L(x' . (t / x)t')

data _==_ (t : Term) : Term -> Set where
  Refl : t == t           --intensional equality
                               --is term equality mod  $\alpha$ 

eg : (x x' : Var) ->
  ((V x) / x')(L(x . V x')) == L(x' . V x)   --( $\lambda x.x'$ )[ $x/x'$ ] =  $\lambda x'.x$ 
eg x x' = {! !}
```

Dependent types

- Can the $\lambda\alpha\nu$ -calculus be extended from simple to dependent types?

At the moment I do not see how to do this, because. . .

$$\frac{\Gamma, a : \text{Name} \vdash t : T \quad a \notin \text{fn}(T)}{\Gamma \vdash \nu a. t : T}$$

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$$\nu a. (t_1, t_2) \stackrel{?}{=} (\nu a. t_1, \nu a. t_2)$$

$t_1 : T_1$
 $t_2 : T_2[t_1]$

$$\frac{\Gamma, a : \text{Name} \vdash t : T \quad a \notin \text{fn}(T)}{\Gamma \vdash \nu a. t : T}$$

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$t_1 : T_1$
 $t_2 : T_2[t_1]$

$\nu a. (t_1, t_2) : (x : T_1) \times T_2[x]$
 if $a \notin \text{fn}(T_1, T_2)$

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$t_1 : T_1$ $\nu a. t_1 : T_1$
 $t_2 : T_2[t_1]$

$\nu a. (t_1, t_2) : (x : T_1) \times T_2[x]$
 if $a \notin \text{fn}(T_1, T_2)$

$$\frac{\Gamma, a : \text{Name} \vdash t : T \quad a \notin \text{fn}(T)}{\Gamma \vdash \nu a. t : T}$$

$$\nu a. (t_1, t_2) \stackrel{?}{=} (\nu a. t_1, \nu a. t_2)$$

$t_1 : T_1$ $t_2 : T_2[t_1]$ $\nu a. t_1 : T_1$ $\nu a. t_2 : T_2[\nu a. t_1] ???$

$\nu a. (t_1, t_2) : (x : T_1) \times T_2[x]$
 if $a \notin \text{fn}(T_1, T_2)$

Dependent types

- ▶ Can the $\lambda\alpha\nu$ -calculus be extended from simple to dependent types?

At the moment I do not see how to do this, because. . .

- ▶ In any case, is there a useful/expressive form of **indexed structural induction mod α** , whether or not we try to use Odersky-style locally scoped names?

(Recent work of Cheney on DNTT is interesting, but probably not sufficiently expressive.)