

Computer Networking

Slide Set 2

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Topic 3: The Data Link Layer

Our goals:

- understand principles behind data link layer services: (these are methods & mechanisms in your networking toolbox)
 - error detection, correction
 - sharing a broadcast channel: multiple access
 - link layer addressing
 - reliable data transfer, flow control
- instantiation and implementation of various link layer technologies
 - Wired Ethernet (aka 802.3)
 - Wireless Ethernet (aka 802.11 WiFi)
- Algorithms
 - Binary Exponential Backoff
 - Spanning Tree

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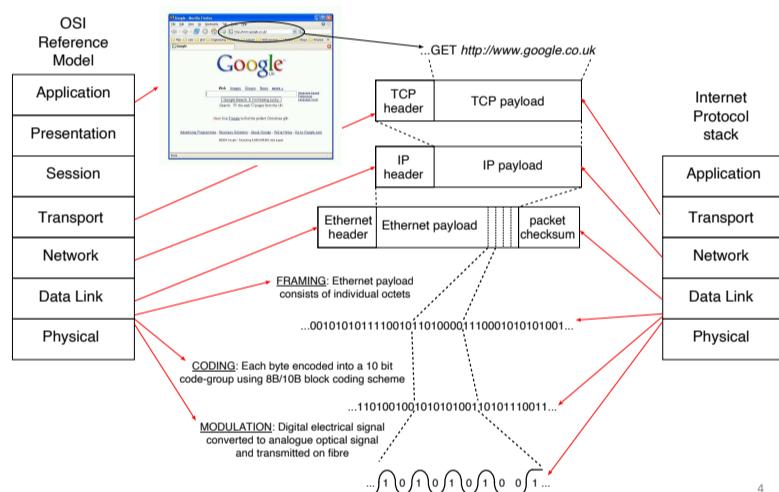
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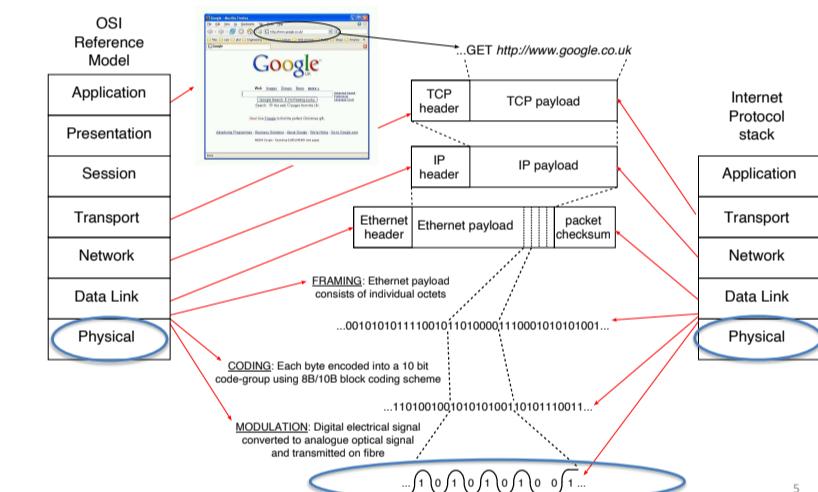
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Internet protocol stack versus OSI Reference Model



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Internet protocol stack versus OSI Reference Model



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Physical Channels / The Physical Layer

these example physical channels are also known as *Physical Media*

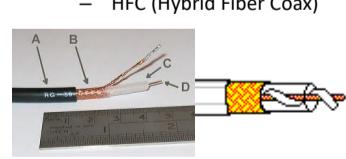
Twisted Pair (TP)

- two insulated copper wires
 - Category 3: traditional phone wires, 10 Mbps Ethernet
 - Category 8: 25Gbps Ethernet
- Shielded (STP)
- Unshielded (UTP)



Coaxial cable:

- two concentric copper conductors
 - bidirectional
 - baseband:
 - single channel on cable
 - legacy Ethernet
 - broadband:
 - multiple channels on cable
 - HFC (Hybrid Fiber Coax)



Fiber optic cable:

- high-speed operation
- point-to-point transmission
- (10' s-100' s Gbps)
- low error rate
- immune to electromagnetic noise



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More Physical media: Radio

- Bidirectional and multiple access
- propagation environment effects:
 - reflection
 - obstruction by objects
 - interference



Radio link types:

- terrestrial microwave
 - e.g. 90 Mbps channels
- LAN (e.g., WiFi)
 - 11Mbps, 54 Mbps, 600 Mbps
- wide-area (e.g., cellular)
 - 5G cellular: ~ 40 Mbps - 10Gbps
- satellite
 - 27-50MHz typical bandwidth
 - geosynchronous versus low altitude
 - 270 msec end-end delay to orbit

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Topic 3: The Data Link Layer

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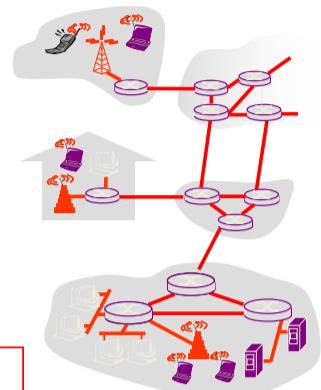
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Link Layer: Introduction

Some terminology:

- hosts and routers are **nodes**
- communication channels that connect adjacent nodes along communication path are **links**
 - wired links
 - wireless links
 - LANs
- layer-2 packet is a **frame**, encapsulates datagram



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data-link layer has responsibility of transferring datagram from one node to adjacent node over a link

Link Layer (Channel) Services

- framing, physical addressing:**
 - encapsulate datagram into frame, adding header, trailer
 - channel access if shared medium
 - “MAC” addresses used in frame headers to identify source, dest
 - different from IP address!
- reliable delivery between adjacent nodes**
 - we see some of this again in the Transport Topic
 - seldom used on low bit-error link (fiber, some twisted pair)
 - wireless links: high error rates

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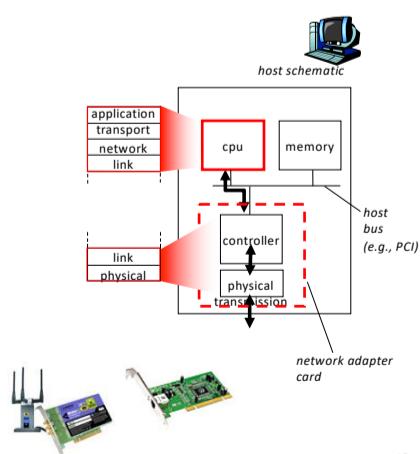
Link Layer (Channel) Services - 2

- flow control:**
 - pacing between adjacent sending and receiving nodes
- error control:**
 - error detection:**
 - errors caused by signal attenuation, noise.
 - receiver detects presence of errors:
 - signals sender for retransmission or drops frame
 - error correction:**
 - receiver identifies *and corrects* bit error(s) without resorting to retransmission
- access control: half-duplex and full-duplex**
 - with half duplex, nodes at both ends of link can transmit, but not at same time

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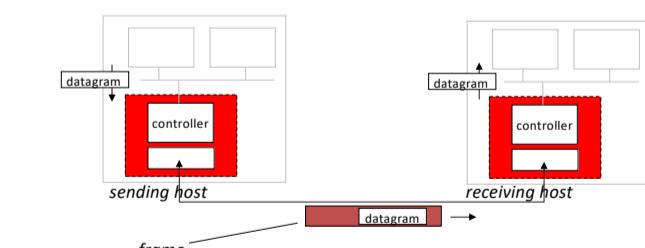
Where is the link layer implemented?

- in each and every host
- link layer implemented in “adaptor” (aka **network interface card** NIC)
 - Ethernet card, PCMCIA card, 802.11 card
 - implements link, physical layer
- attaches into host’s system buses
- combination of hardware, software, firmware



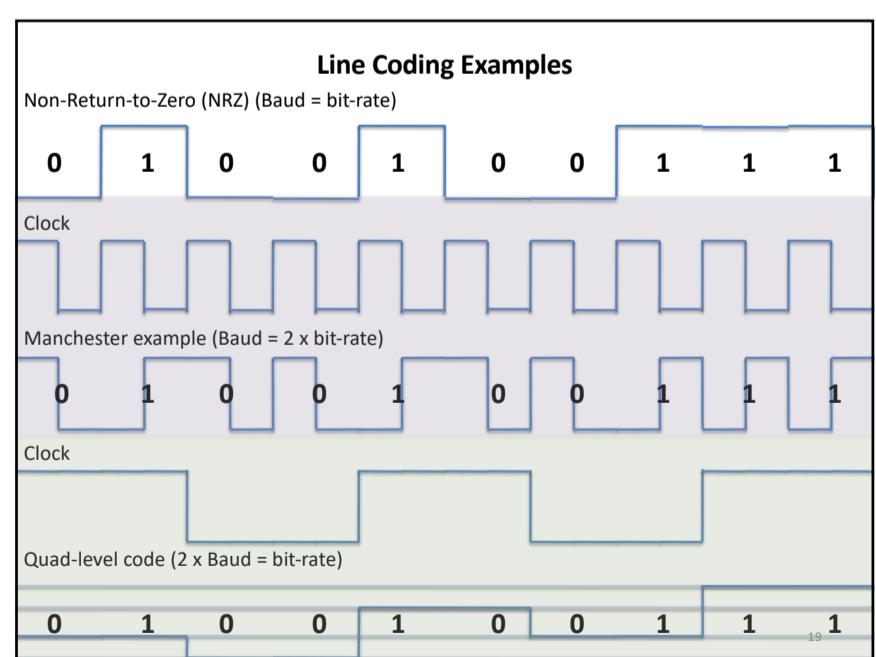
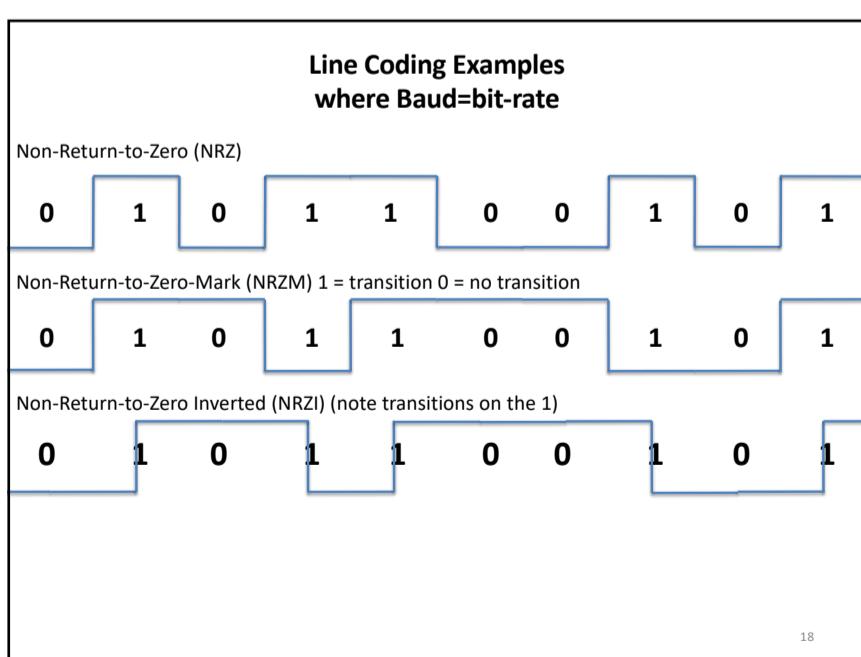
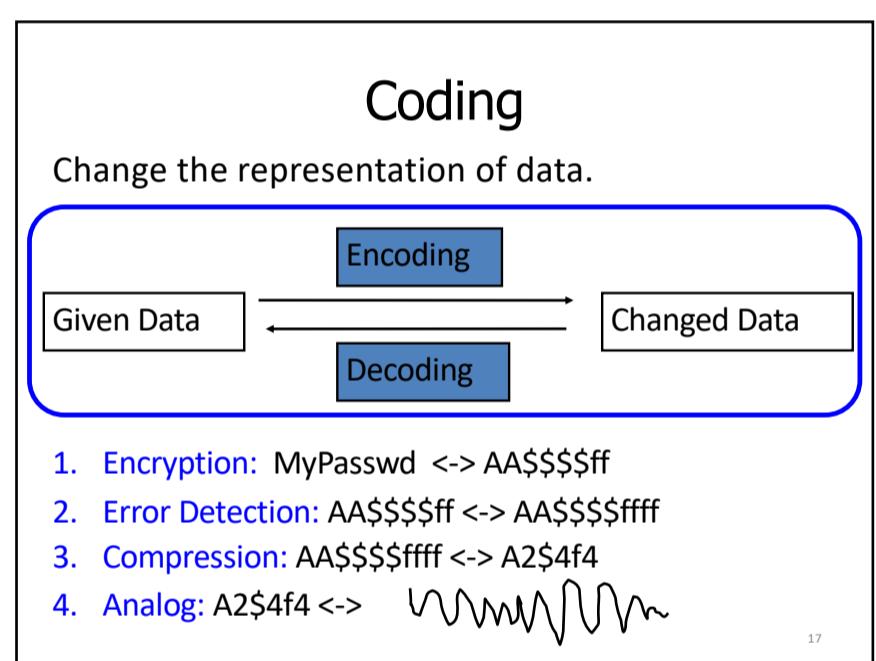
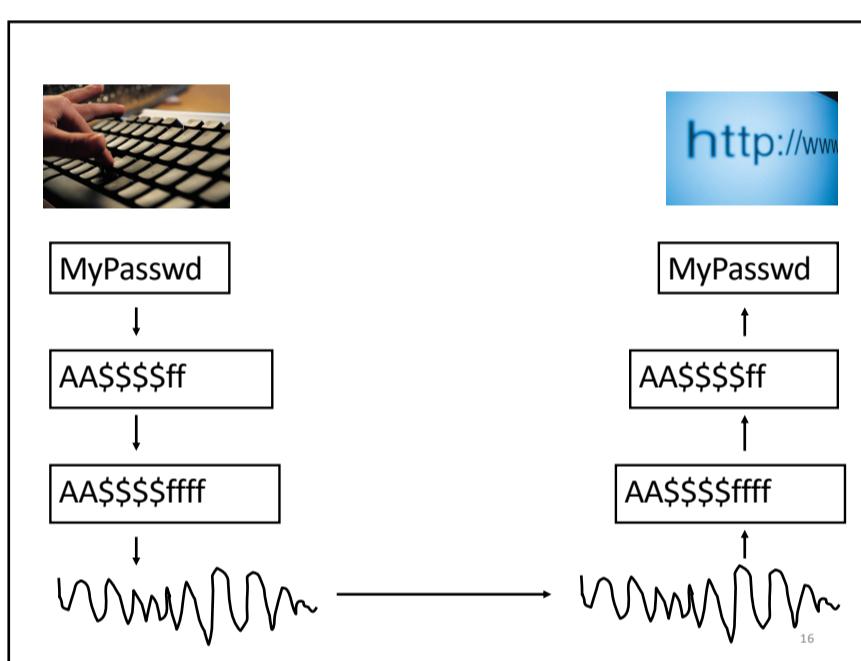
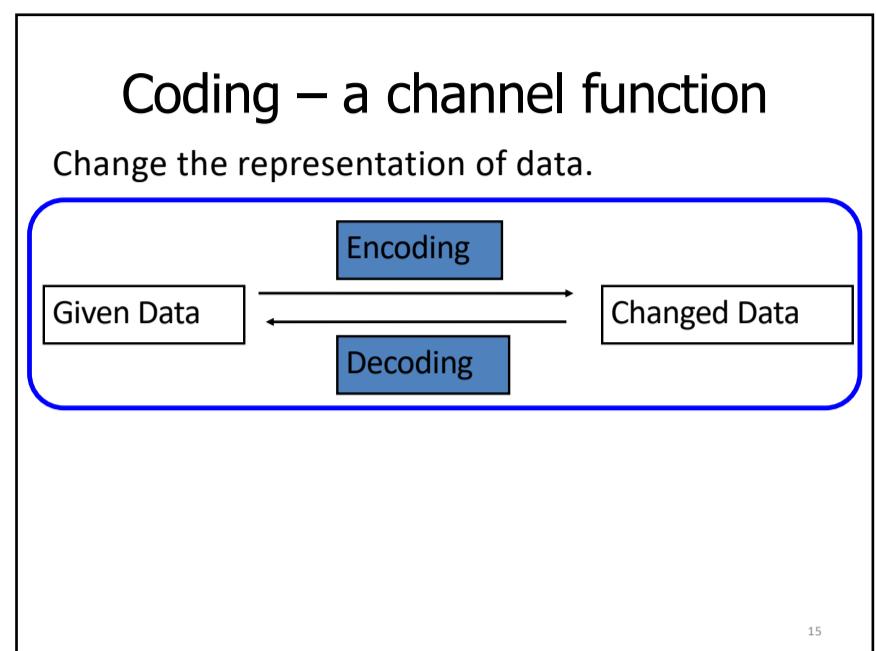
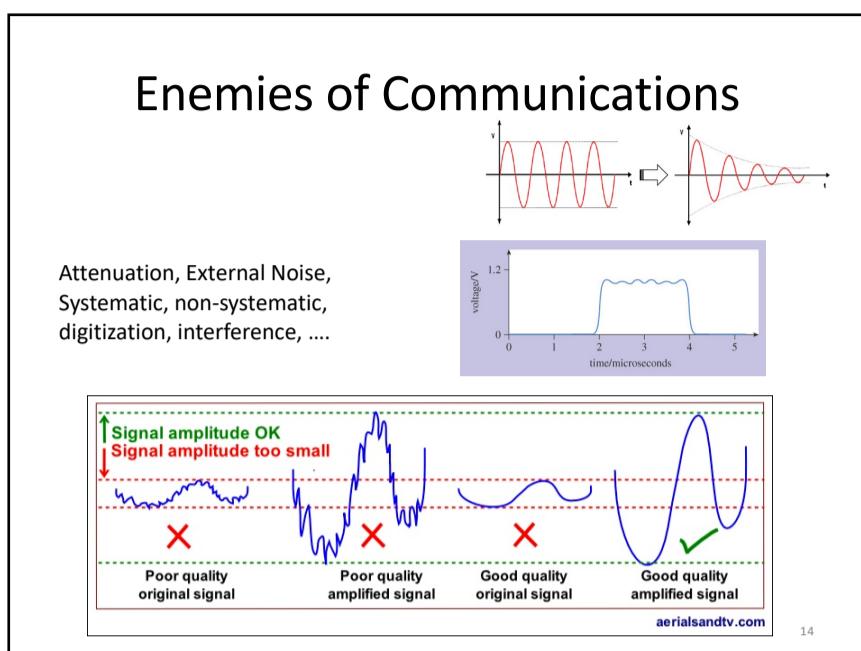
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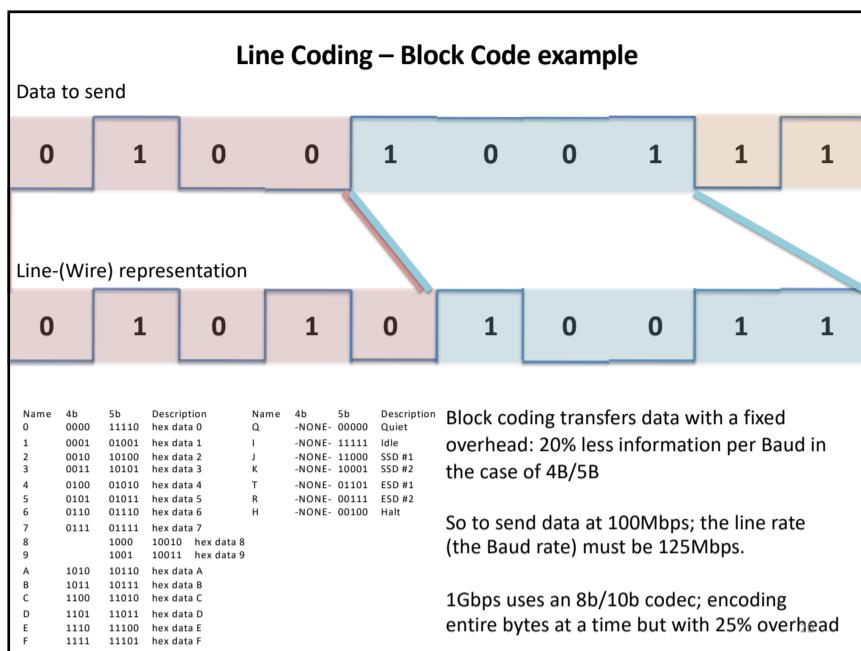
Adaptors Communicating



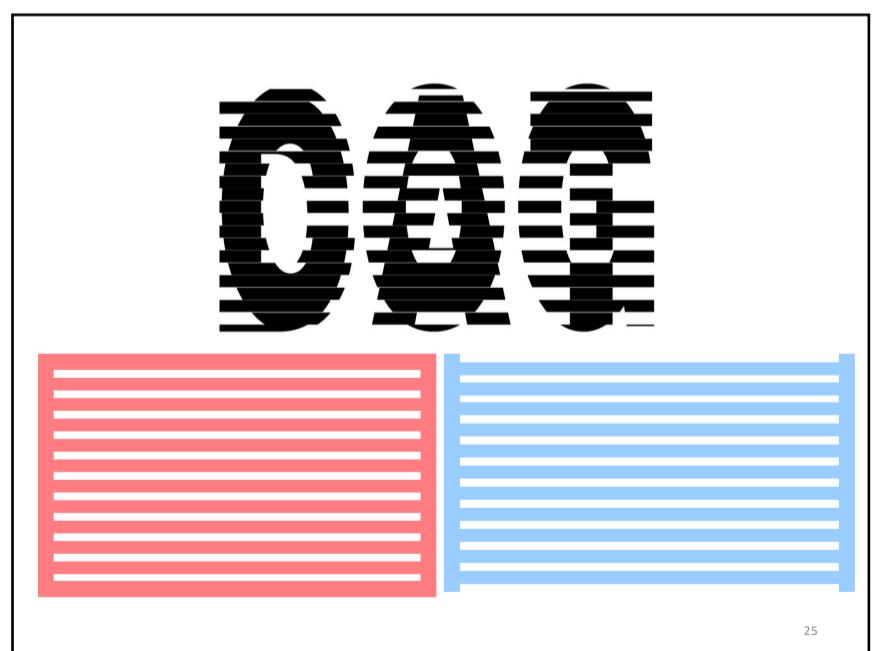
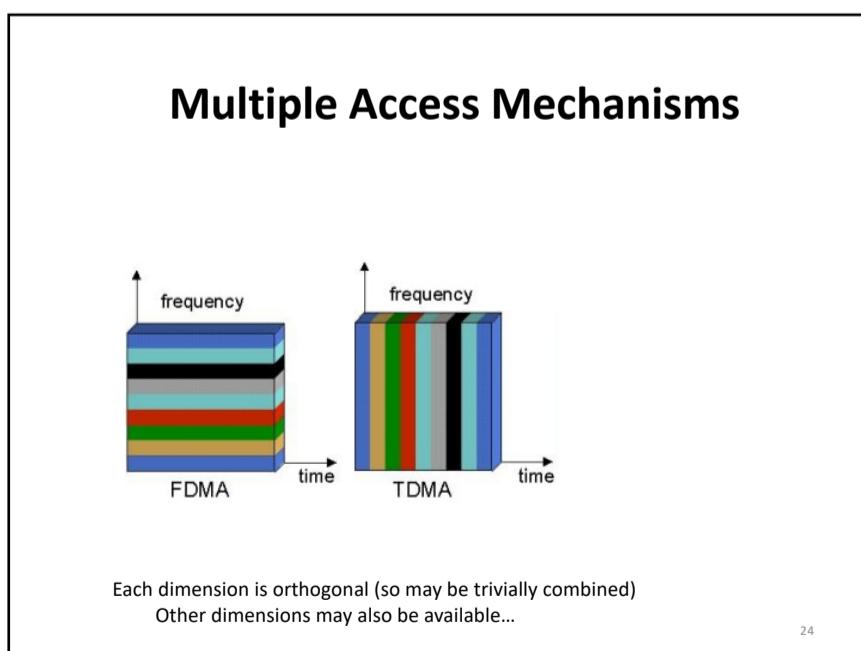
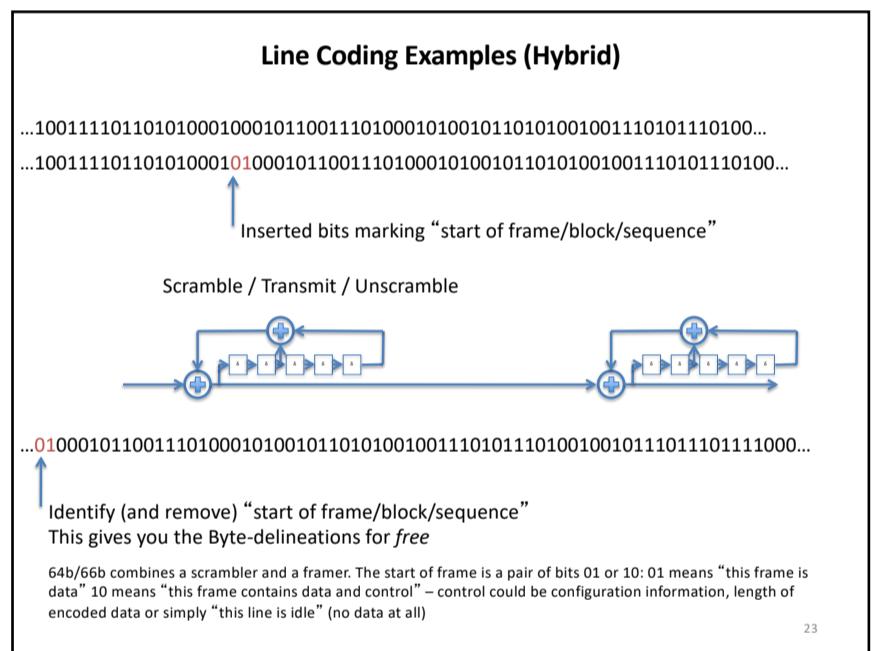
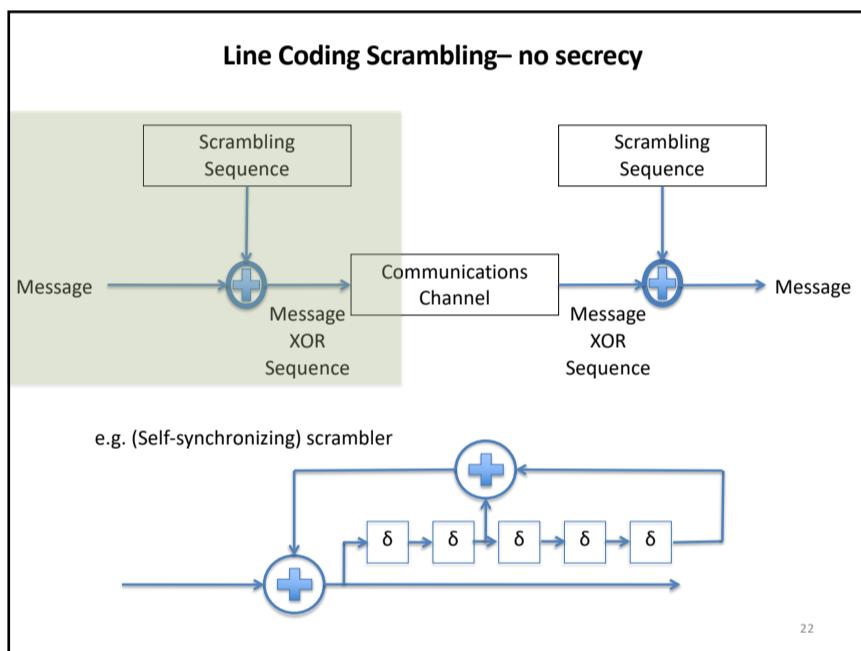
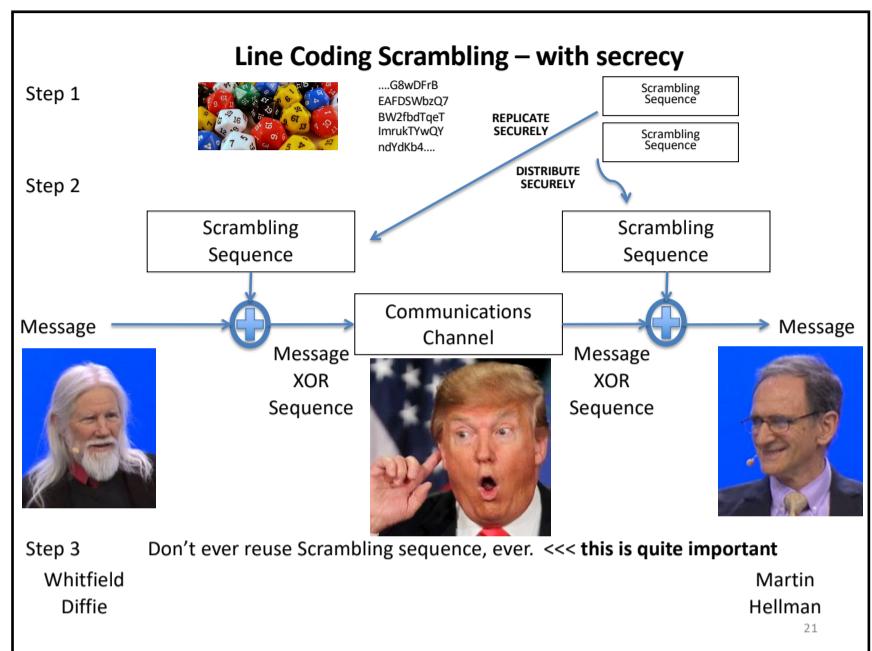
- sending side:**
 - encapsulates datagram in frame
 - encodes data for the physical layer
 - adds error checking bits, provide reliability, flow control, etc.
- receiving side**
 - decodes data from the physical layer
 - looks for errors, provide reliability, flow control, etc
 - extracts datagram, passes to upper layer at receiving side

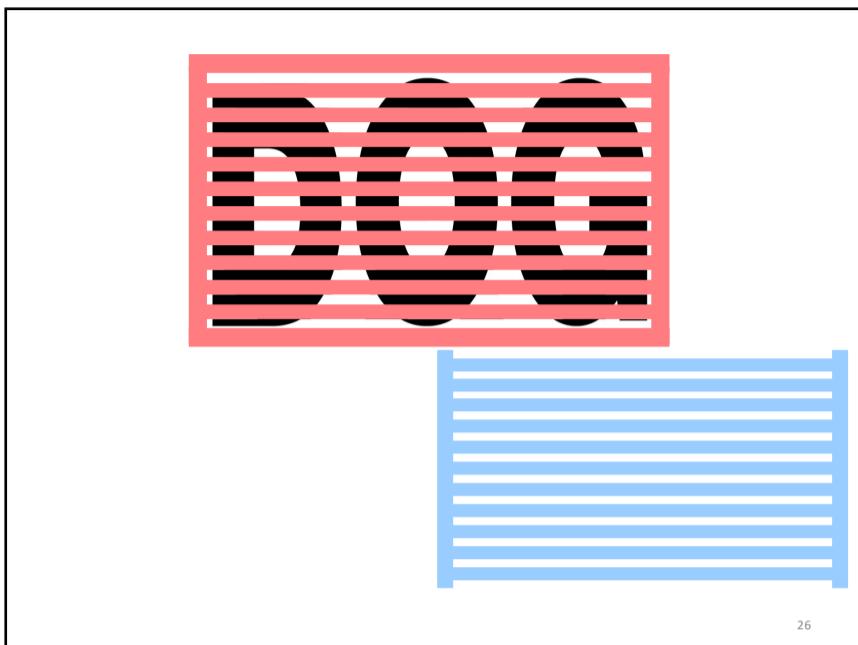
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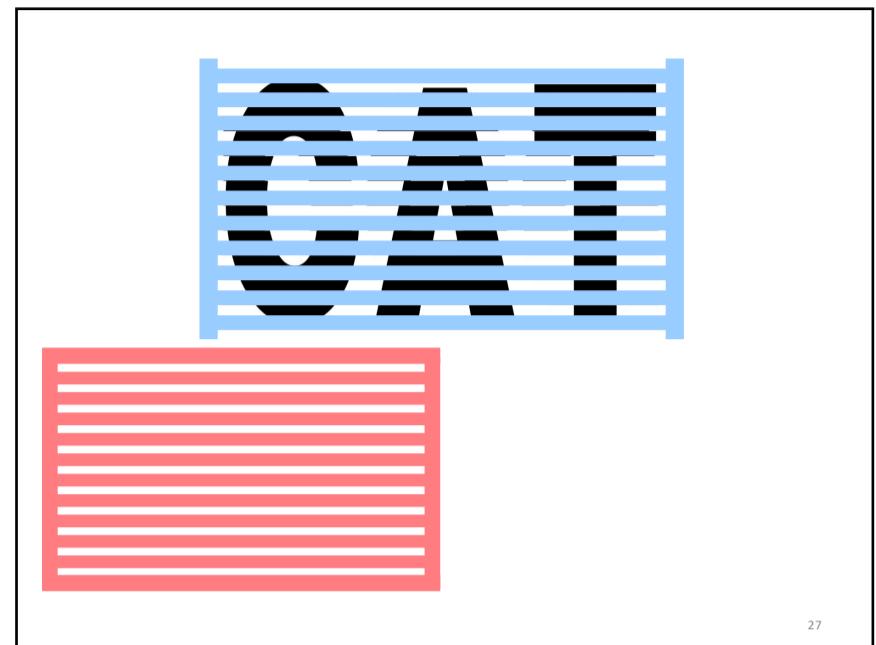


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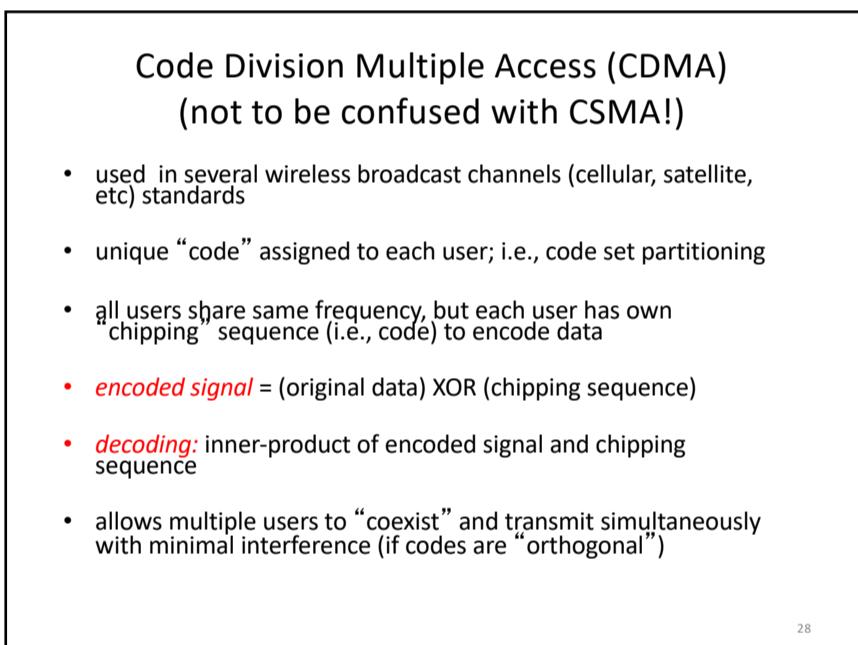




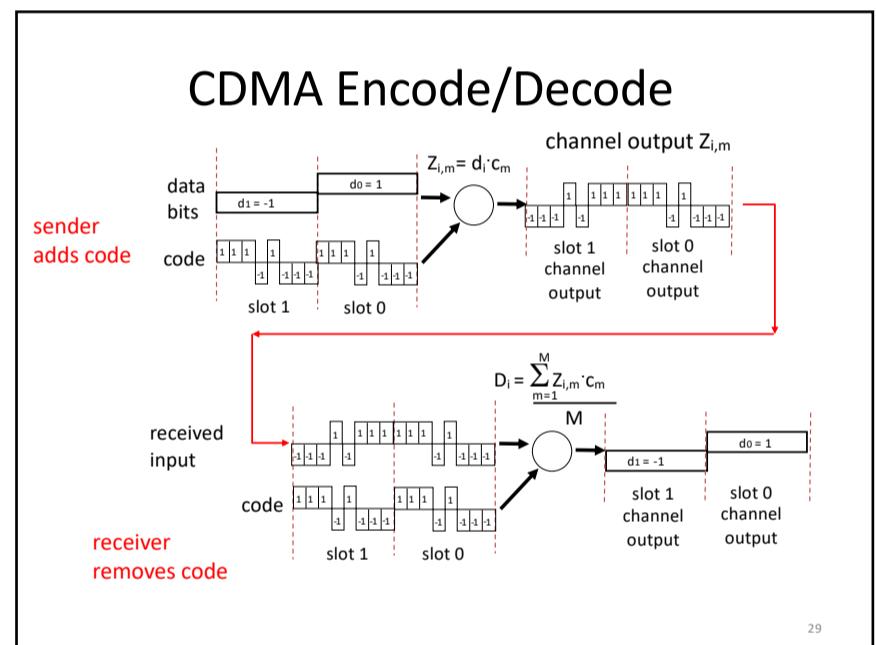
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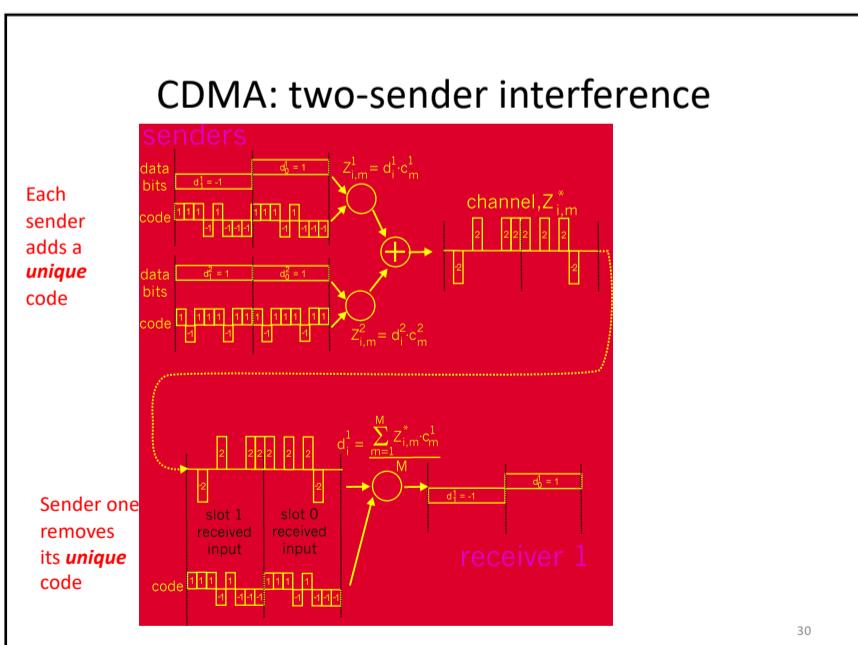
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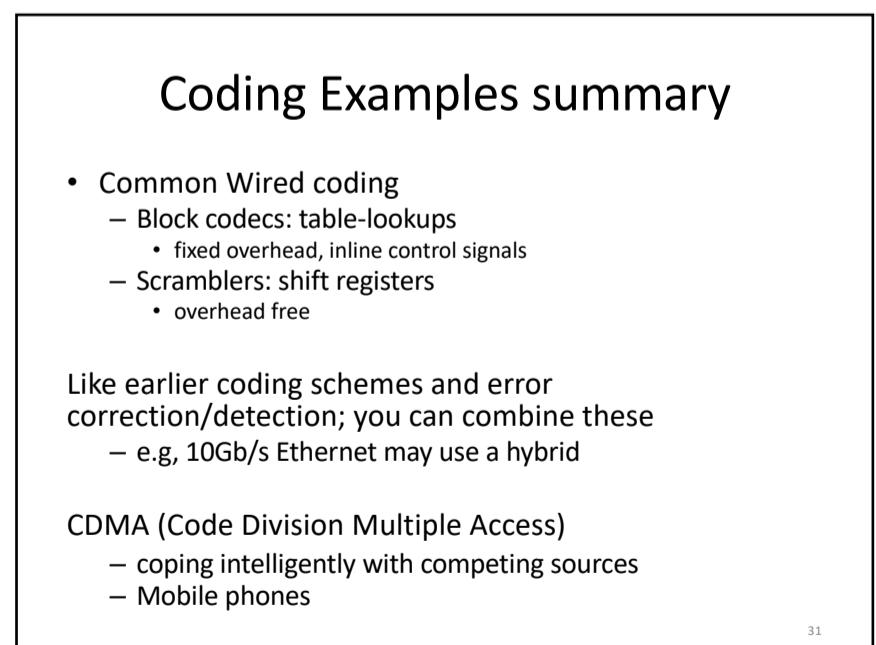
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Error Detection and Correction

Transmission media are not perfect and cause signal impairments:

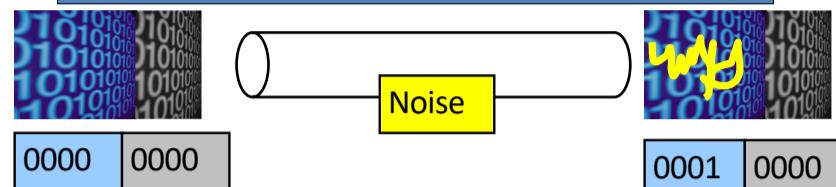
1. Attenuation
 - Loss of energy to overcome medium's resistance
2. Distortion
 - The signal changes its form or shape, caused in composite signals
3. Noise
 - Thermal noise, induced noise, crosstalk, impulse noise

Interference can change the shape or timing of a signal:
 $0 \rightarrow 1$ or $1 \rightarrow 0$

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Error Detection and Correction

How to use coding to deal with errors in data communication?



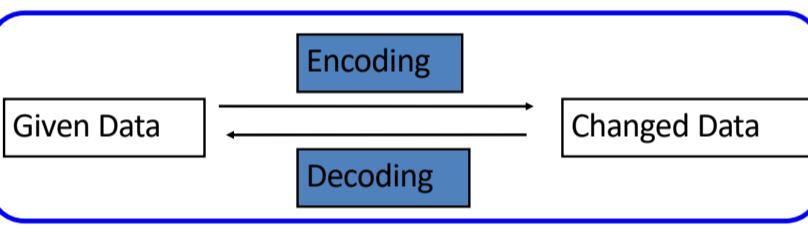
Basic Idea :

1. Add additional information (redundancy) to a message.
2. Detect an error and discard
 Or, fix an error in the received message.

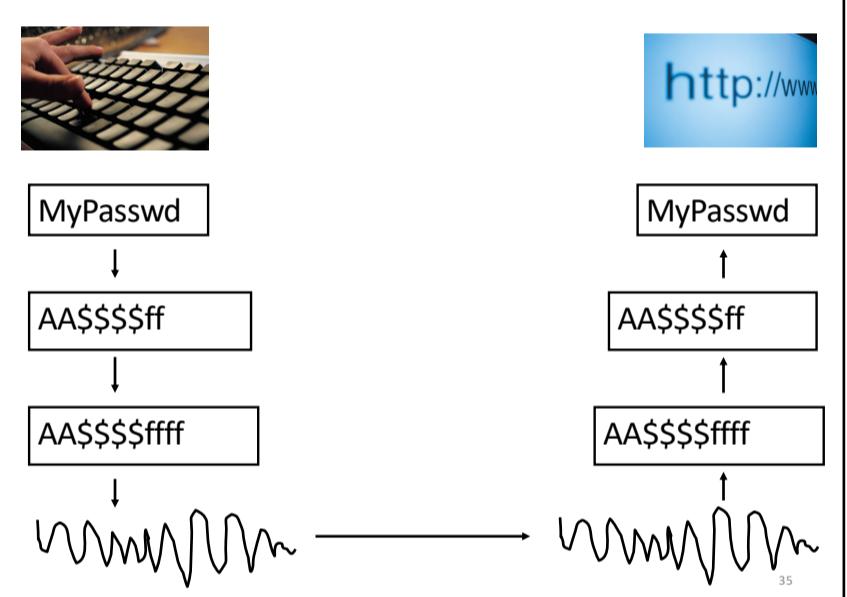
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Coding – a channel function

Change the representation of data.



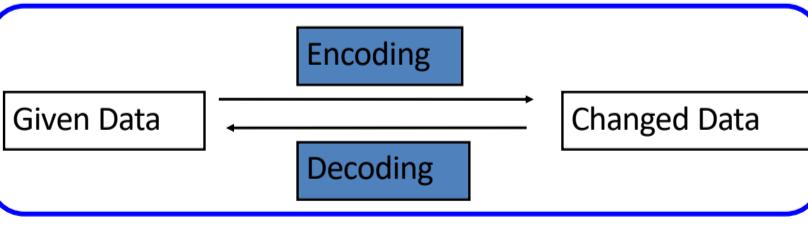
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Coding Examples

Change the representation of data.

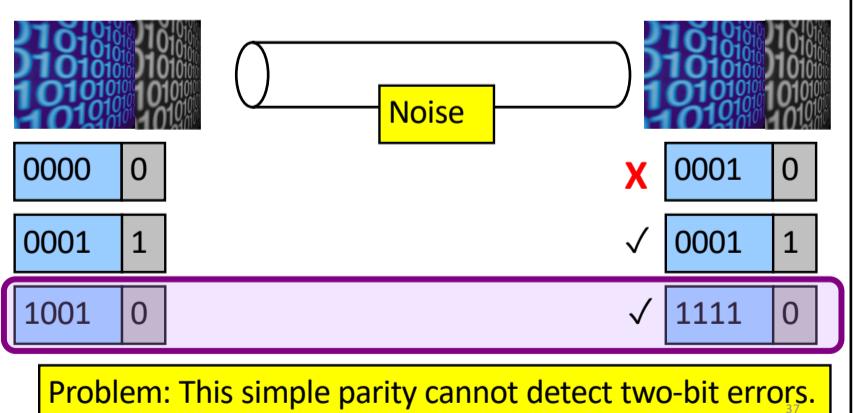


1. Encryption: MyPasswd \leftrightarrow AA\$\$\$\$ff
2. Error Detection: AA\$\$\$\$ff \leftrightarrow AA\$\$\$\$ffff
3. Compression: AA\$\$\$\$ffff \leftrightarrow A2\$4f4
4. Analog: A2\$4f4 \leftrightarrow

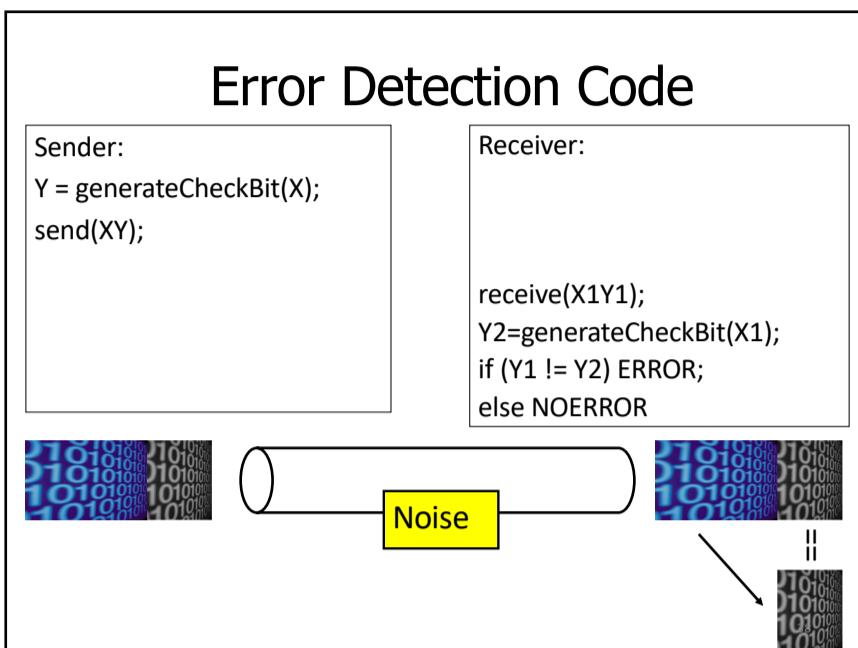
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Error Detection Code: Parity

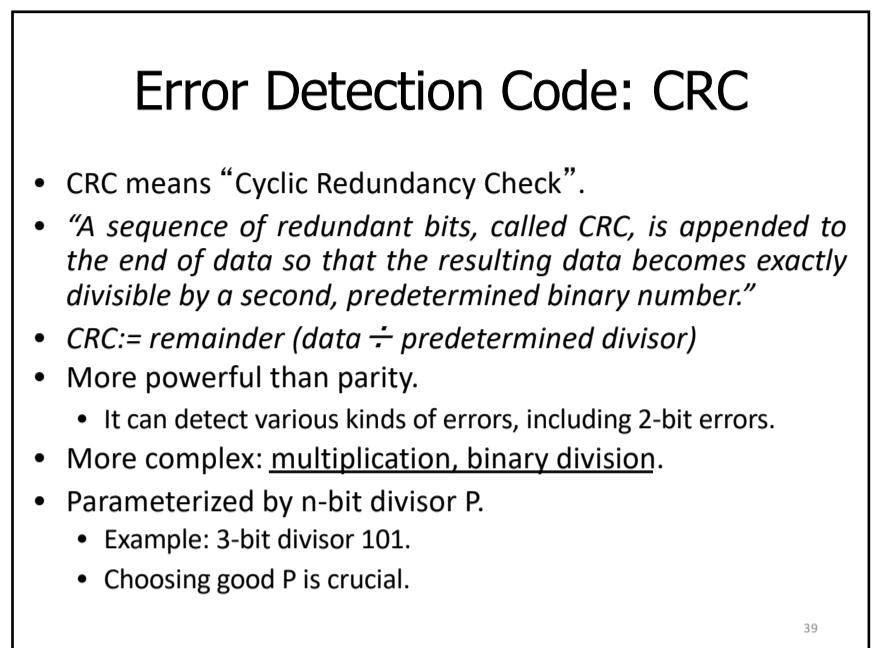
Add one bit, such that the number of all 1's is even.



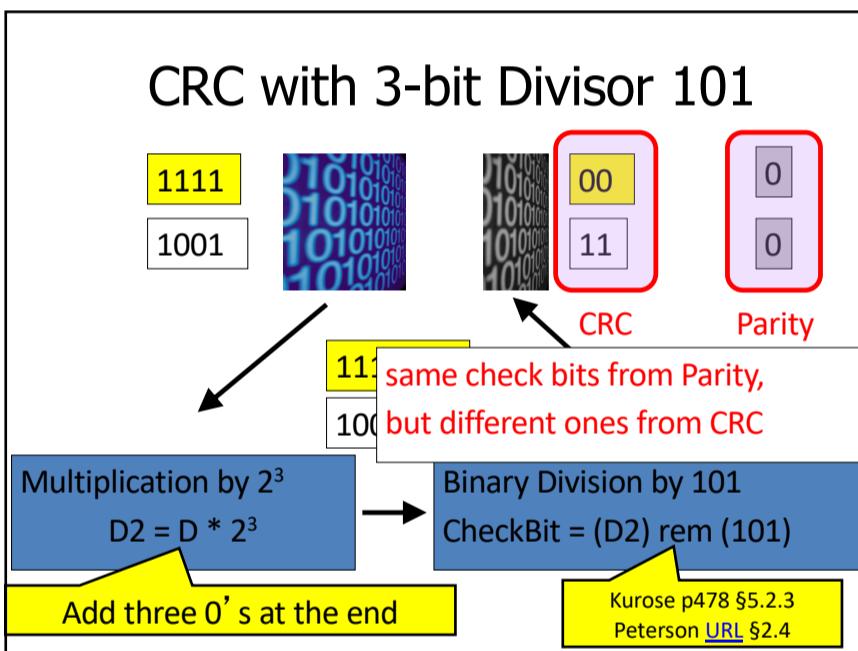
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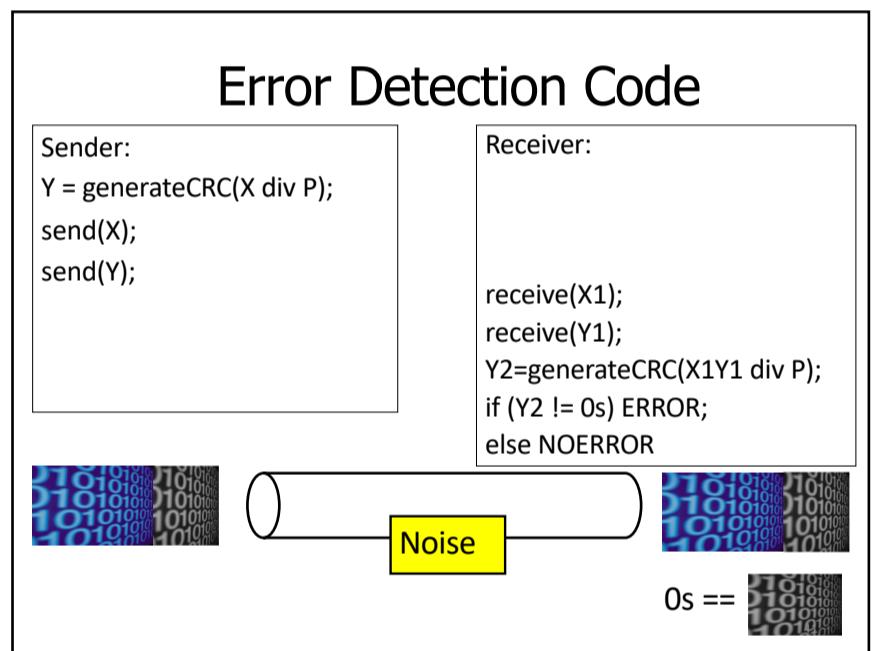
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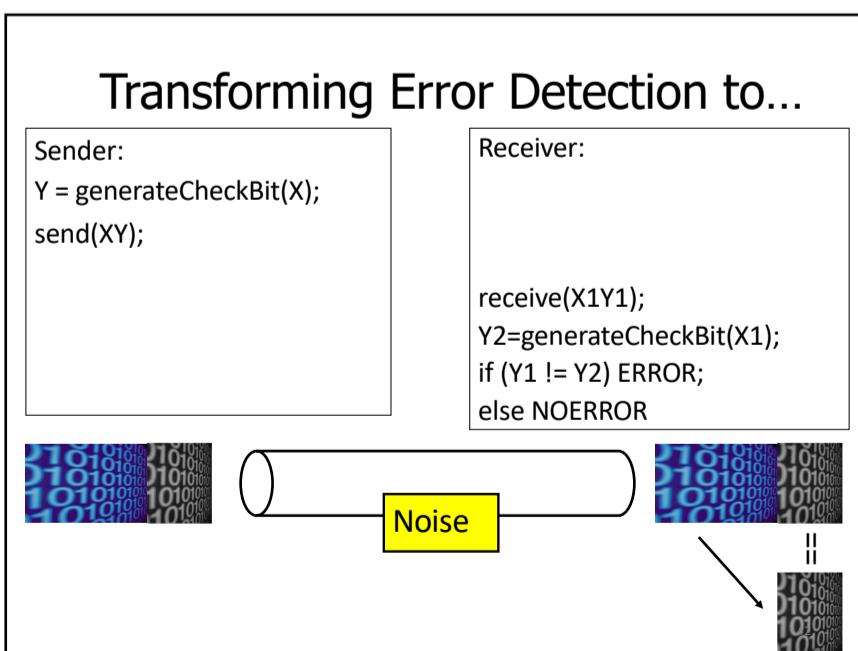
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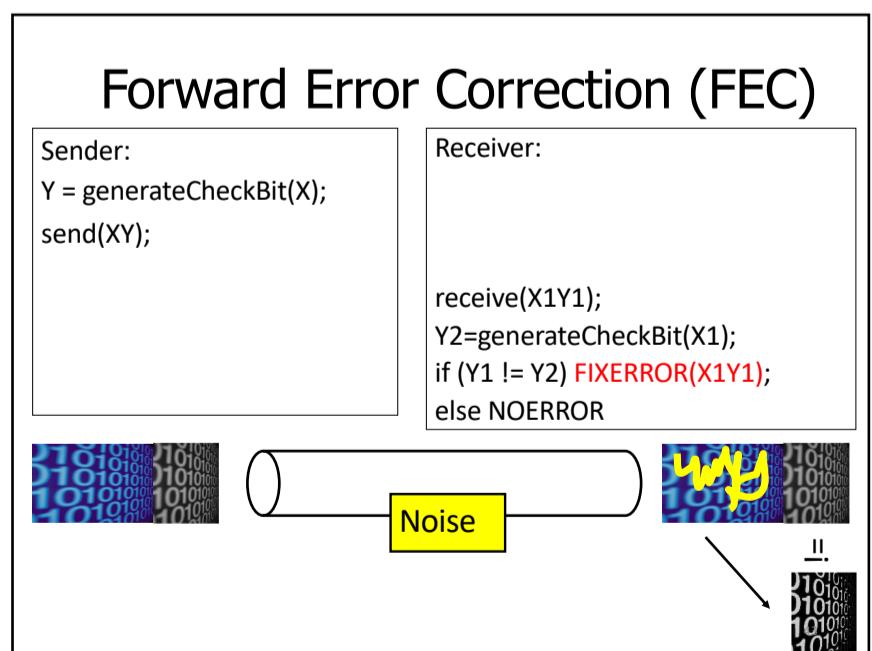
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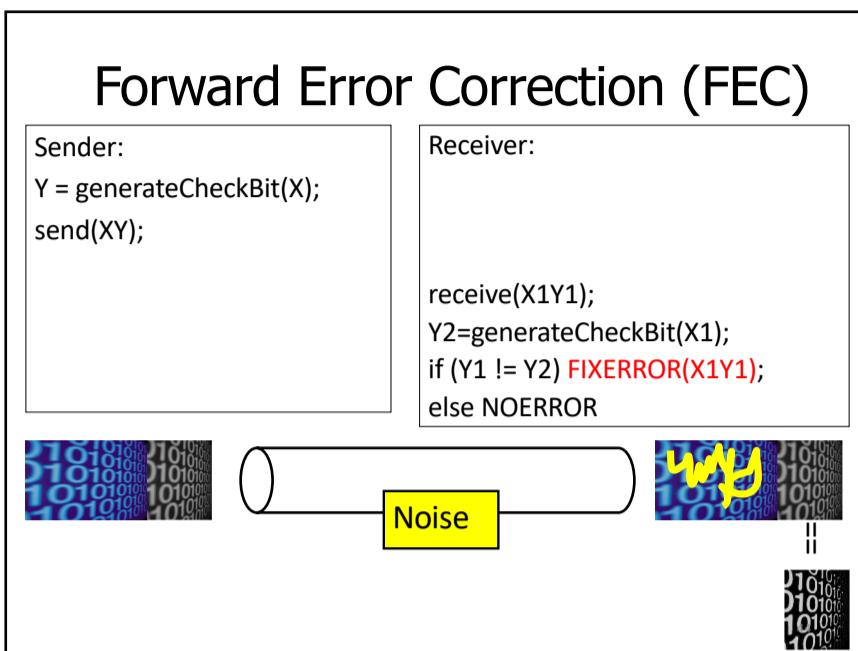
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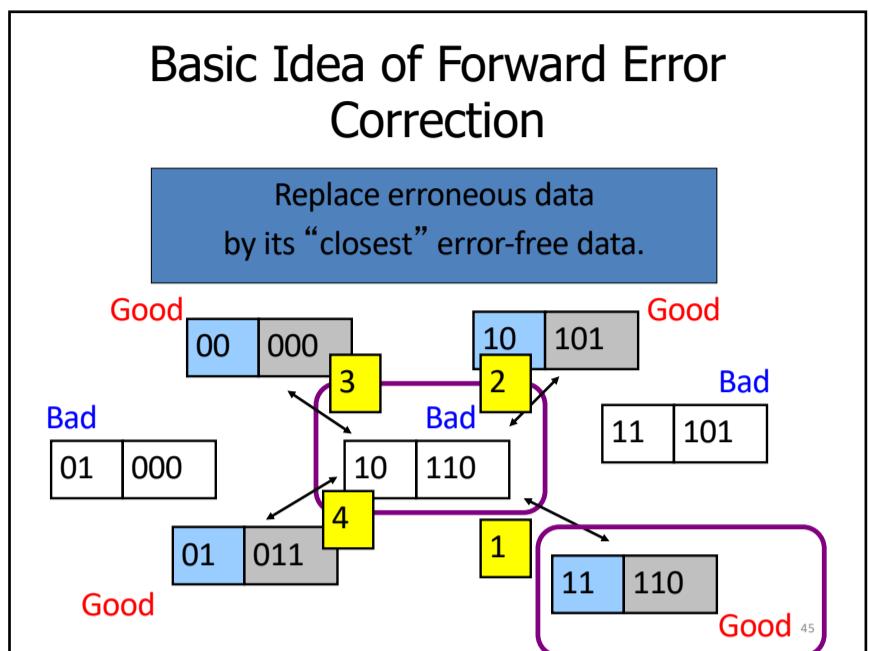
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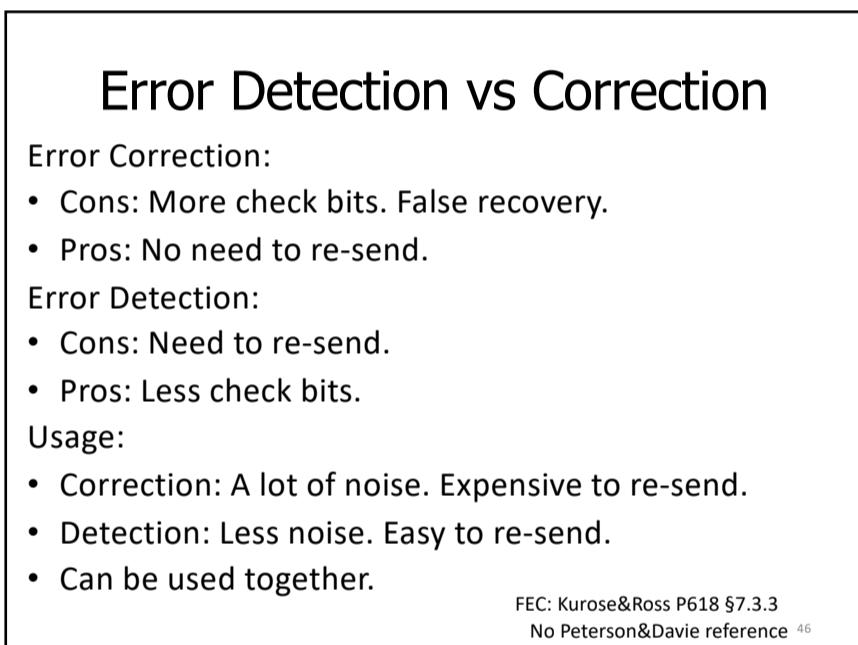
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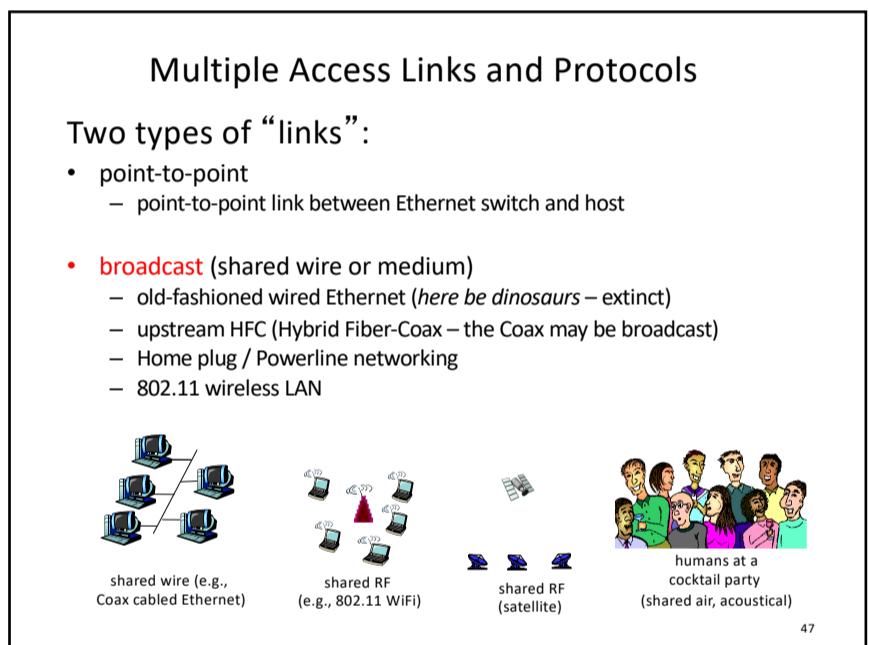
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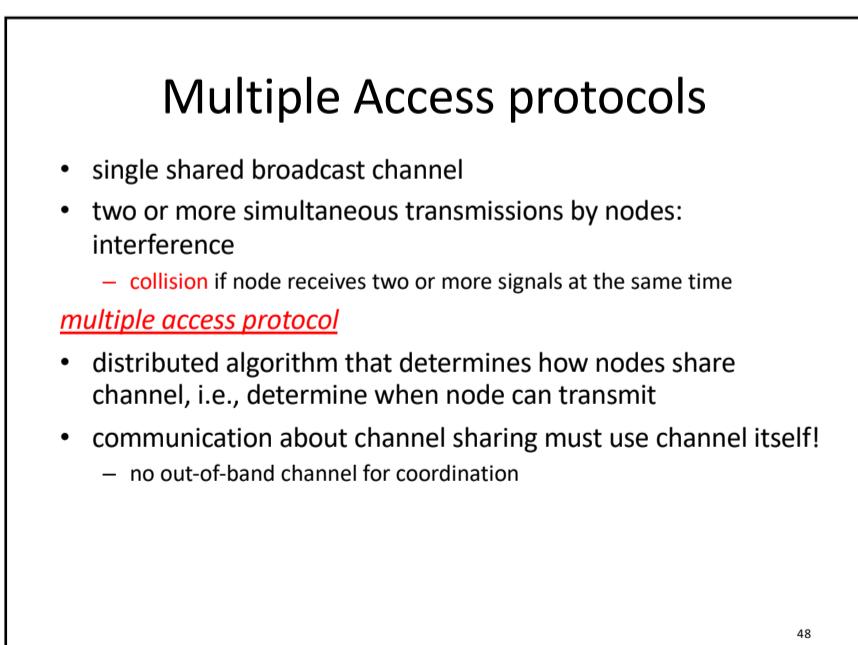
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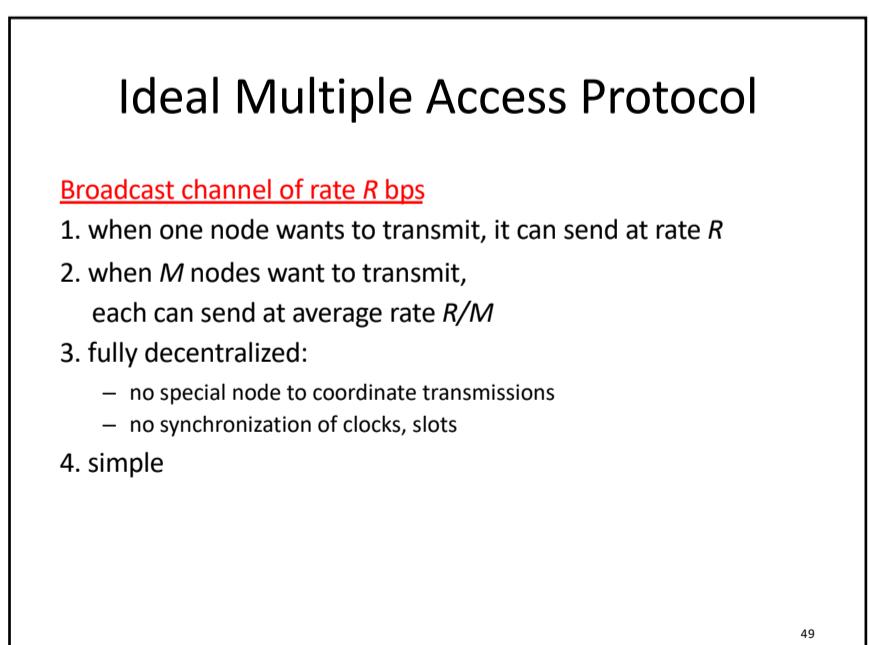
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MAC Protocols: a taxonomy

Three broad classes:

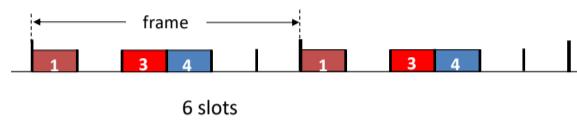
- **Channel Partitioning**
 - divide channel into smaller “pieces” (time slots, frequency, code)
 - allocate piece to node for exclusive use
- **Random Access**
 - channel not divided, allow collisions
 - “recover” from collisions
- **“Taking turns”**
 - nodes take turns, but nodes with more to send can take longer turns

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Channel Partitioning MAC protocols: TDMA (we discussed this earlier)

TDMA: time division multiple access

- access to channel in “rounds”
- each station gets fixed length slot (length = pkt trans time) in each round
- unused slots go idle
- example: station LAN, 1,3,4 have pkt, slots 2,5,6 idle

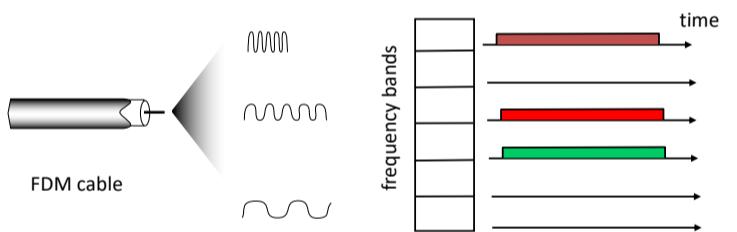


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Channel Partitioning MAC protocols: FDMA (we discussed this earlier)

FDMA: frequency division multiple access

- channel spectrum divided into frequency bands
- each station assigned fixed frequency band
- unused transmission time in frequency bands go idle
- example: station LAN, 1,3,4 have pkt, frequency bands 2,5,6 idle



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“Taking Turns” MAC protocols

channel partitioning MAC protocols:

- share channel *efficiently* and *fairly* at high load
- inefficient at low load: delay in channel access, $1/N$ bandwidth allocated even if only 1 active node!

random access MAC protocols:

- efficient at low load: single node can fully utilize channel
- high load: collision overhead

“taking turns” protocols:

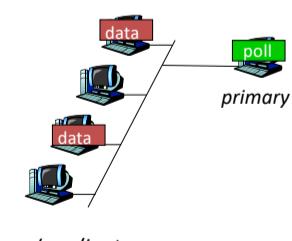
look for best of both worlds!

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“Taking Turns” MAC protocols

Polling:

- Primary node “invites” subordinates nodes to transmit in turn
- typically used with simpler subordinate devices
- concerns:
 - polling overhead
 - latency
 - single point of failure (primary)

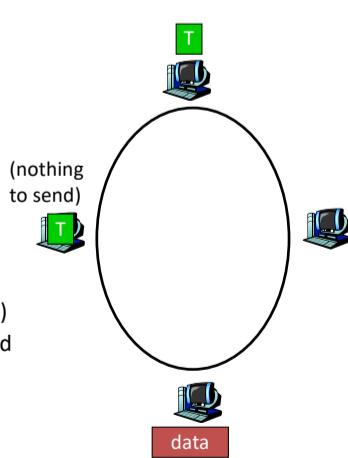


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“Taking Turns” MAC protocols

Token passing:

- control **token** passed from one node to next sequentially.
- token message
- concerns:
 - token overhead
 - latency
 - single point of failure (token)
- concerns fixed in part by a slotted ring (many simultaneous tokens)



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ATM

In TDM a sender may only use a pre-allocated slot



In ATM a sender transmits labeled cells whenever necessary



ATM = Asynchronous Transfer Mode – an ugly expression
think of it as ATDM – Asynchronous Time Division Multiplexing

That's a variant of **PACKET SWITCHING** to the rest of us – just like Ethernet
but using fixed length slots/packets/cells

Use the media when you need it, but
ATM had virtual circuits and these needed setup....

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Random Access MAC Protocols

- When node has packet to send
 - Transmit at full channel data rate
 - No *a priori* coordination among nodes
- Two or more transmitting nodes \Rightarrow **collision**
 - Data lost
- Random access MAC protocol specifies:
 - How to detect collisions
 - How to recover from collisions
- Examples
 - ALOHA and Slotted ALOHA
 - CSMA, CSMA/CD, CSMA/CA (wireless)

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Key Ideas of Random Access

- Carrier sense**
 - Listen before speaking, and don't interrupt*
 - Checking if someone else is already sending data
 - ... and waiting till the other node is done
- Collision detection**
 - If someone else starts talking at the same time, stop*
 - Realizing when two nodes are transmitting at once
 - ...by detecting that the data on the wire is garbled
- Randomness**
 - Don't start talking again right away*
 - Waiting for a random time before trying again

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CSMA (Carrier Sense Multiple Access)

- CSMA: **listen** before transmit
 - If channel sensed idle: transmit entire frame
 - If channel sensed busy, defer transmission
- Human analogy: don't interrupt others!
- Does this eliminate all collisions?
 - No, because of nonzero propagation delay

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CSMA Collisions

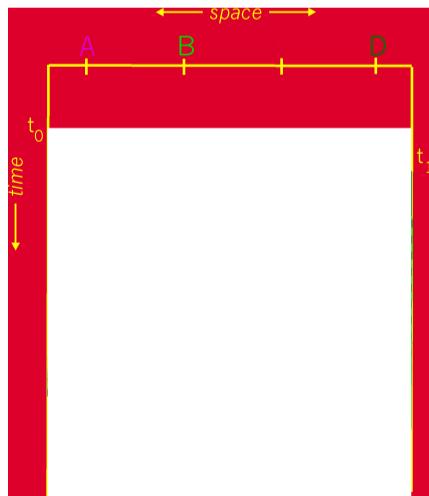
Propagation delay: two nodes may not hear each other's before sending.

Would slots hurt or help?

CSMA reduces but does not eliminate collisions

Biggest remaining problem?

Collisions still take full slot!
How do you fix that?



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CSMA/CD (Collision Detection)

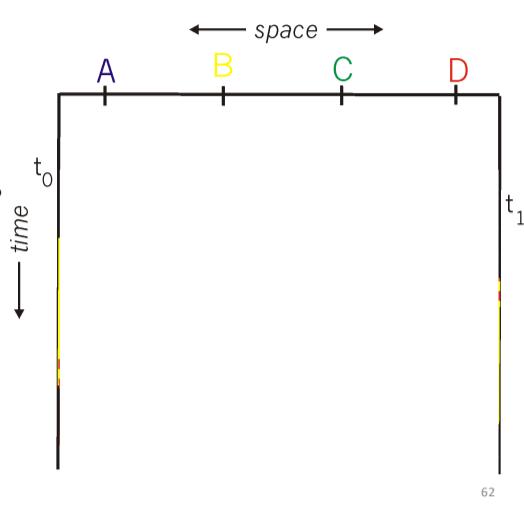
- CSMA/CD: carrier sensing, deferral as in CSMA
 - Collisions detected within short time**
 - Colliding transmissions aborted, reducing wastage
- Collision detection easy in wired LANs:
 - Compare transmitted, received signals
- Collision detection difficult in wireless LANs:
 - Reception shut off while transmitting (well, perhaps not)
 - Not perfect broadcast (limited range) so collisions local
 - Leads to use of *collision avoidance* instead (later)

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CSMA/CD Collision Detection

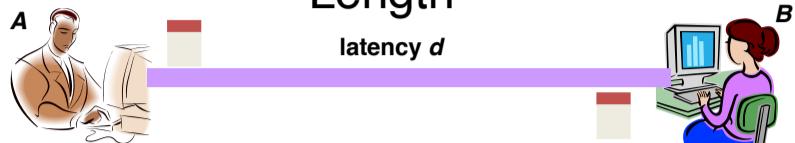
B and D can tell that collision occurred.

Note: for this to work, need restrictions on minimum frame size and maximum distance. Why?



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Limits on CSMA/CD Network Length



- Latency depends on physical length of link
 - Time to propagate a packet from one end to the other
- Suppose A sends a packet at time t
 - And B sees an idle line at a time just before $t+d$
 - ... so B happily starts transmitting a packet
- B detects a collision, and sends **jamming signal**
 - But A can't see collision until $t+2d$

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Performance of CSMA/CD

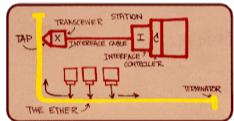
- Time wasted in collisions
 - Proportional to distance d
- Time spent transmitting a packet
 - Packet length p divided by bandwidth b
- Rough estimate for efficiency (K some constant)

$$E \sim \frac{b}{\frac{p}{b} + Kd}$$
- Note:
 - For large packets, small distances, $E \sim 1$
 - As bandwidth increases, E decreases
 - That is why high-speed LANs are all switched aka packets are sent via a switch - (any d is bad)

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Ethernet: CSMA/CD Protocol

- **Carrier sense**: wait for link to be idle
- **Collision detection**: listen while transmitting
 - No collision: transmission is complete
 - Collision: abort transmission & send **jam** signal
- **Random access**: **binary exponential back-off**
 - After collision, wait a random time before trying again
 - After m^{th} collision, choose K randomly from $\{0, \dots, 2^m-1\}$
 - ... and wait for $K*512$ bit times before trying again
 - Using min packet size as "slot"
 - **If transmission occurring when ready to send, wait until end of transmission (CSMA)**



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Benefits of Ethernet

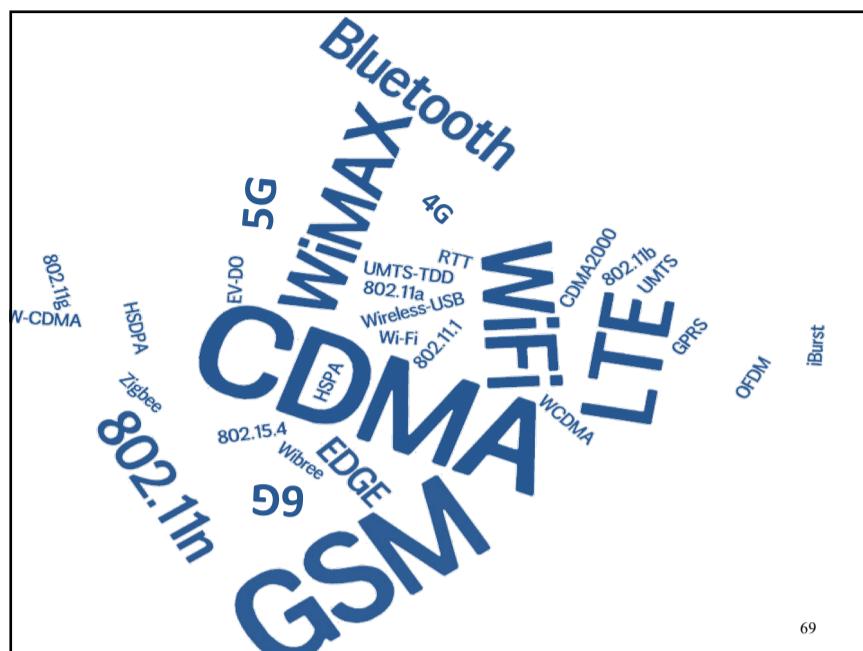
- Easy to administer and maintain
- Inexpensive
- Increasingly higher speed
- Evolvable!

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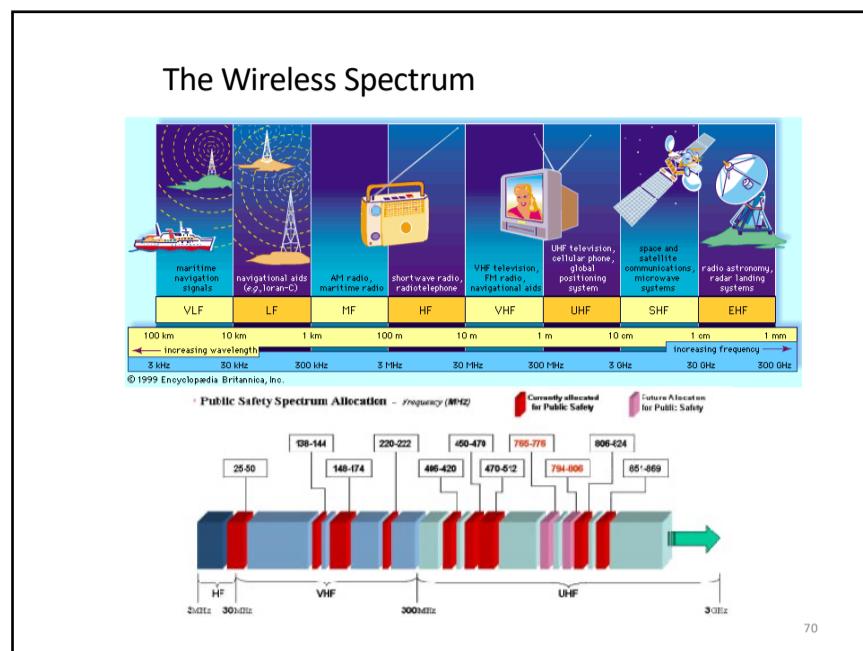
Evolution of Ethernet

- Changed **everything** except the frame **format**
 - From single coaxial cable to hub-based star
 - From shared media to **switches**
 - From electrical signaling to optical
- **Lesson #1**
 - The right **interface** can accommodate many **changes**
 - Implementation is hidden behind interface
- **Lesson #2**
 - Really hard to displace the dominant technology
 - Slight performance improvements are not enough

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Metrics for evaluation / comparison of wireless technologies

- Bitrate or Bandwidth
- Range - PAN, LAN, MAN, WAN
- Two-way / One-way
- Multi-Access / Point-to-Point
- Digital / Analog
- Applications and industries
- Frequency – Affects most physical properties:
 - Distance (free-space loss)
 - Penetration, Reflection, Absorption
 - Energy proportionality
 - Policy: Licensed / Deregulated
 - Line of Sight (Fresnel zone)
 - Size of antenna
- Determined by wavelength – $\lambda = \frac{v}{f}$,

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Wireless Communication Standards

- Cellular ([800/900/1700/1800/1900MHz](#)):
 - 2G: GSM / CDMA / GPRS /EDGE
 - 3G: CDMA2000/UMTS/HSDPA/EVDO
 - 4G: LTE, WiMax
- IEEE 802.11 (aka WiFi): (some examples)
 - b: [2.4Ghz](#) band, 11Mbps (~ 4.5 Mbps operating rate)
 - g: [2.4Ghz](#), 54-108Mbps (~ 19 Mbps operating rate)
 - a: [5.0Ghz](#) band, 54-108Mbps (~ 25 Mbps operating rate)
 - n: [2.4/5Ghz](#), 150-600Mbps (4x4 mimo)
 - ac: [2.4/5Ghz](#), 433-1300Mbps (improved coding 256-QAM)
 - ad: [60Ghz](#), 7Gbps
 - af: [54/790Mhz](#), 26-35Mbps (TV whitespace)
- IEEE 802.15 – lower power wireless:
 - 802.15.1: [2.4Ghz](#), 2.1 Mbps (Bluetooth)
 - 802.15.4: [2.4Ghz](#), 250 Kbps (Sensor Networks)

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What Makes Wireless Different?

- Broadcast and multi-access medium...
 - err, so....
- BUT, Signals sent by sender don't always end up at receiver intact
 - Complicated physics involved, which we won't discuss
 - But what can go wrong?

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Lets focus on 802.11

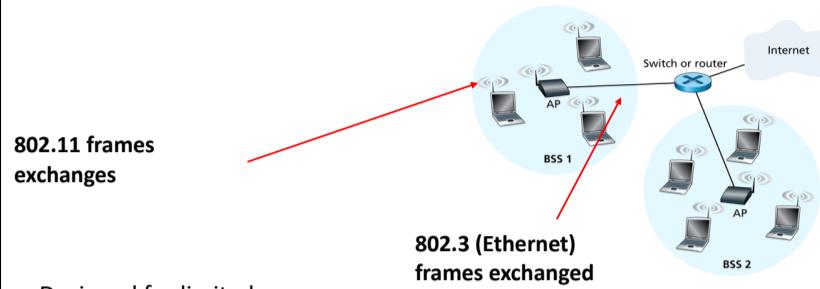
aka - WiFi ...
What makes it special?

Deregulation > Innovation > Adoption > Lower cost = Ubiquitous technology

JUST LIKE ETHERNET – not lovely but sufficient

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802.11 Architecture



- Designed for limited area
- AP's (Access Points) set to specific channel
- Broadcast beacon messages with SSID (Service Set Identifier) and MAC Address periodically
- Hosts scan all the channels to discover the AP's
 - Host associates with AP

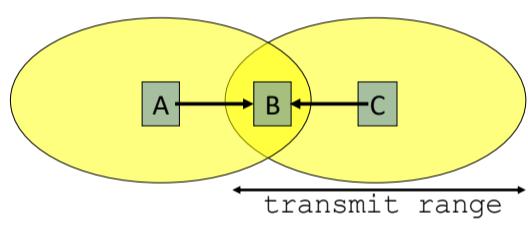
75

Wireless Multiple Access Technique?

- Carrier Sense?
 - Sender can listen before sending
 - What does that tell the sender?
- Collision Detection?
 - Where do collisions occur?
 - How can you detect them?

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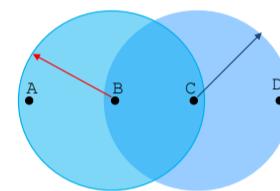
Hidden Terminals



- A and C can both send to B but **can't hear each other**
 - A is a *hidden terminal* for C and vice versa
- Carrier Sense will be **ineffective**

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Exposed Terminals



- **Exposed node:** B sends a packet to A; C hears this and decides not to send a packet to D (despite the fact that this will not cause interference)!
- Carrier sense would prevent a successful transmission.

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Key Points

- No concept of a global collision
 - Different receivers hear different signals
 - Different senders reach different receivers
- Collisions are at receiver, not sender
 - Only care if receiver can hear the sender clearly
 - It does not matter if sender can hear someone else
 - As long as that signal does not interfere with receiver
- Goal of protocol:
 - Detect if receiver can hear sender
 - Tell senders who might interfere with receiver to shut up

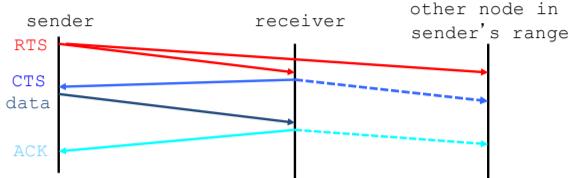
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Basic Collision Avoidance

- Since can't detect collisions, we try to **avoid** them
- Carrier sense:
 - When medium busy, choose random interval
 - Wait that many **idle** timeslots to pass before sending
- When a collision is inferred, retransmit with binary exponential backoff (like Ethernet)
 - Use **ACK** from receiver to infer "no collision"
 - Use exponential backoff to adapt contention window

80

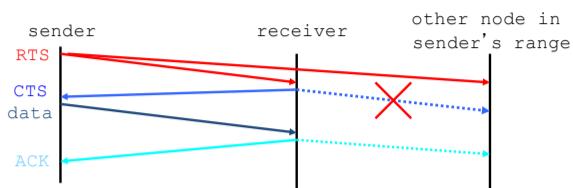
CSMA/CA -MA with Collision Avoidance



- Before every data transmission
 - Sender sends a Request to Send (RTS) frame containing the length of the transmission
 - Receiver respond with a Clear to Send (CTS) frame
 - Sender sends data
 - Receiver sends an ACK; now another sender can send data
- When sender doesn't get a CTS back, it assumes collision

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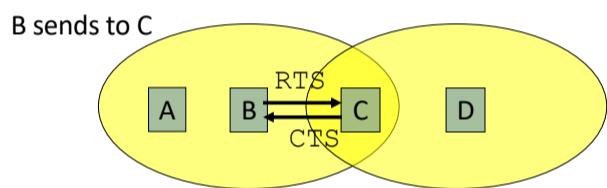
CSMA/CA, con't



- If other nodes hear RTS, but not CTS: **send**
 - Presumably, destination for first sender is out of node's range ...
 - ... Can cause problems when a CTS is **lost**
- When you hear a CTS, you keep quiet until scheduled transmission is over (hear ACK)

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RTS / CTS Protocols (CSMA/CA)



Overcome hidden terminal problems with contention-free protocol

1. B sends to C **Request To Send (RTS)**
2. A hears RTS and defers (to allow C to answer)
3. C replies to B with **Clear To Send (CTS)**
4. D hears CTS and defers to allow the data
5. B sends to C

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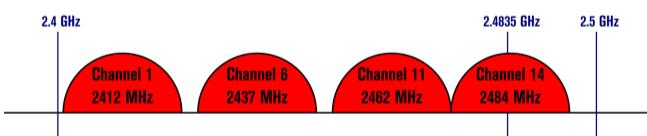
Preventing Collisions Altogether

- Frequency Spectrum partitioned into several channels
 - Nodes within interference range can use separate channels
- Now A and C can send without any interference!
- Most cards have only 1 transceiver
 - **Not Full Duplex: Cannot send and receive at the same time**
 - Aggregate Network throughput doubles

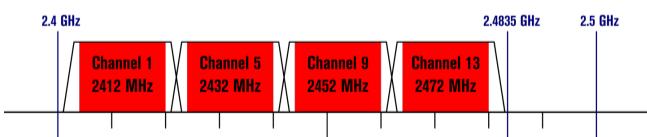
84

Non-Overlapping Channels for 2.4 GHz WLAN

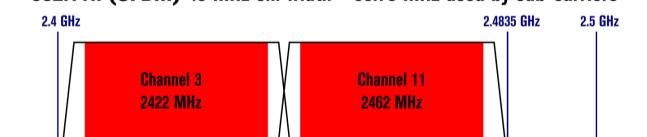
802.11b (DSSS) channel width 22 MHz



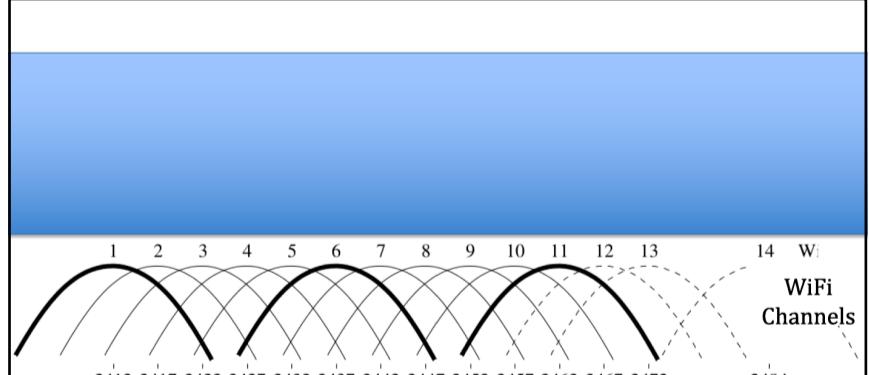
802.11g/n (OFDM) 20 MHz ch. width – 16.25 MHz used by sub-carriers



802.11n (OFDM) 40 MHz ch. width – 33.75 MHz used by sub-carriers

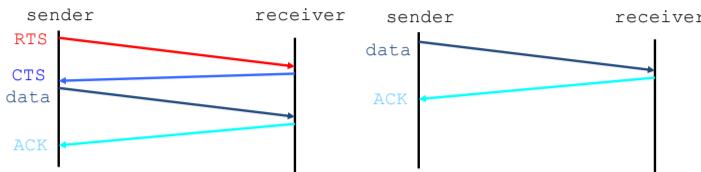


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CSMA/CA and RTS/CTS



RTS/CTS

- helps with hidden terminal
- good for high-traffic Access Points
- often turned on/off dynamically
- lower latency \rightarrow faster!
- reduces wasted b/w if the $Pr(\text{collision})$ is low
- good for when net is small and not weird
eg no hidden/exposed terminals

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Without RTS/CTS

- lower latency \rightarrow faster!
- reduces wasted b/w if the $Pr(\text{collision})$ is low
- good for when net is small and not weird
eg no hidden/exposed terminals

87

CSMA/CD vs CSMA/CA (without RTS/CTS)

CD Collision Detect

wired – listen and talk

1. Listen for others
2. Busy? goto 1.
3. Send message (and listen)
4. Collision?
 - a. JAM
 - b. increase your BEB
 - c. sleep
 - d. goto 1.

CA Collision Avoidance

wireless – talk OR listen

1. Listen for others
2. Busy? goto 1.
3. Send message
4. Wait for ACK (MAC ACK)
5. Got No ACK from MAC?
 - a. increase your BEB
 - b. sleep
 - c. goto 1.

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Summary of MAC protocols

- **channel partitioning**, by time, frequency or code
 - Time Division (TDMA), Frequency Division (FDMA), Code Division (CDMA)
- **random access (dynamic)**,
 - ALOHA, S-ALOHA, CSMA, CSMA/CD
 - carrier sensing: easy in some technologies (wire), hard in others (wireless)
 - CSMA/CD used in (old-style, coax) Ethernet, and PowerLine
 - CSMA/CA used in 802.11
- **taking turns**
 - polling from central site, token passing
 - Bluetooth, FDDI, IBM Token Ring

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MAC Addresses

- MAC (or LAN or physical or Ethernet) address:
 - function: *get frame from one interface to another physically-connected interface (same network)*
 - 48 bit MAC address (for most LANs)
 - *burned in NIC ROM*, nowadays usually software settable and set at boot time

```
gwmm22@rio:~$ ifconfig eth0
eth0      Link encap:Ethernet  HWaddr 00:30:48:fe:c0:64
          inet  addr:128.232.33.4  Bcast:128.232.47.255  Mask:255.255.240.0
          inet6 addr: fe80::230:48ff:fe:c064/64 Scope:Link
          UP BROADCAST RUNNING MULTICAST  MTU:1500  Metric:1
          RX packets:215084512 errors:252 dropped:25 overruns:0 frame:123
          TX packets:146711866 errors:0 dropped:0 overruns:0 carrier:0
          collisions:0 txqueuelen:1000
          RX bytes:170815941033 (170.8 GB)  TX bytes:86755864270 (86.7 GB)
          Memory:f0000000-f0020000
```

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LAN Address (more)

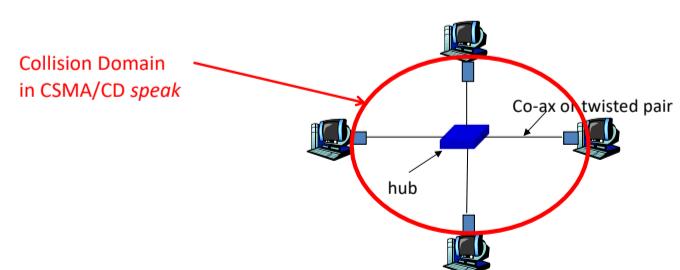
- MAC address allocation administered by IEEE
- manufacturer buys portion of MAC address space (to assure uniqueness)
- analogy:
 - (a) MAC address: like a National Insurance Number
 - (b) IP address: like a postal address
- MAC flat address \rightarrow portability
 - can move LAN card from one LAN to another
- IP hierarchical address NOT portable
 - address depends on IP subnet to which node is attached

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Hubs

... physical-layer ("dumb") repeaters:

- bits coming in one link go out *all* other links at same rate
- all nodes connected to hub can collide with one another
- no frame buffering
- no CSMA/CD at hub: host NICs detect collisions



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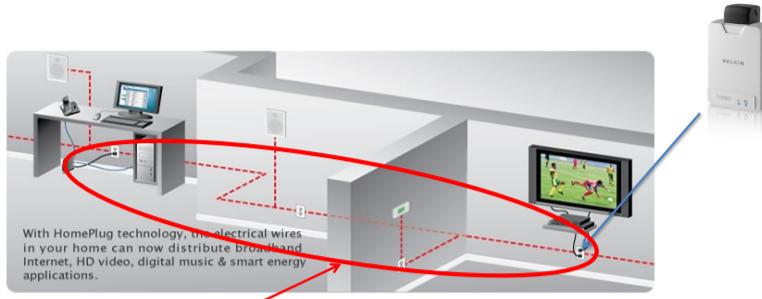
CSMA in our home

Home Plug Powerline Networking....



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Home Plug and similar Powerline Networking....

Collision Domain
in CSMA speak

To secure network traffic on a specific HomePlug network, each set of adapters use an encryption key common to a specific HomePlug network

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Switch

(like a Hub but smarter)

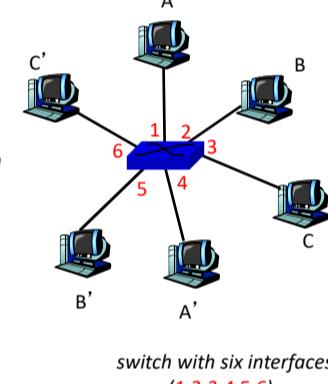
- link-layer device: smarter than hubs, take *active* role
 - store, forward Ethernet frames
 - examine incoming frame's MAC address, *selectively* forward frame to one-or-more outgoing links when frame is to be forwarded on segment, uses CSMA/CD to access segment
- transparent*
 - hosts are unaware of presence of switches
- plug-and-play, self-learning*
 - switches do not need to be configured

If you want to connect different physical media
(optical – copper – coax – wireless -)
you **NEED** a switch.
Why? (Because each link, each media access protocol is specialised)

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Switch: allows *multiple* simultaneous transmissions

- hosts have dedicated, direct connection to switch
- switches buffer packets
- Ethernet protocol used on each incoming link, but no collisions; full duplex
 - each link is its own collision domain
- switching*: A-to-A' and B-to-B' simultaneously, without collisions
 - not possible with dumb hub

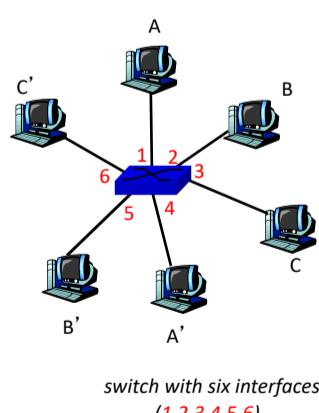


switch with six interfaces
(1,2,3,4,5,6)

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Switch Table

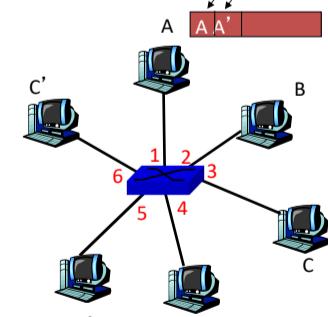
- Q:* how does switch know that A' is reachable via interface 4, B' is reachable via interface 5?
- A:* each switch has a **switch table**, each entry:
 - (MAC address of host, interface to reach host, time stamp)
- looks like a routing table!
- Q:* how are entries created, maintained in switch table?
 - something like a routing protocol?



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Switch: self-learning

- switch *learns* which hosts can be reached through which interfaces
 - when frame received, switch "learns" location of sender: incoming LAN segment
 - records sender/location pair in switch table



MAC addr	interface	TTL
A	1	60

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Switch: frame filtering/forwarding

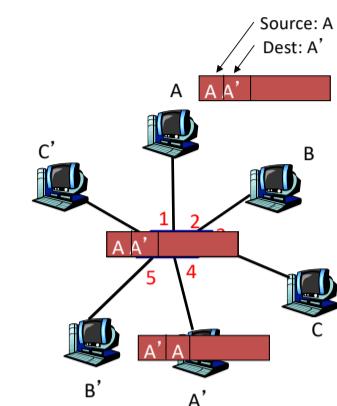
When frame received:

1. record link associated with sending host
2. index switch table using MAC dest address
3. if entry found for destination
 - then {
 - if dest on segment from which frame arrived
 - then drop the frame
 - else forward the frame on interface indicated
- else flood
 - forward on all but the interface on which the frame arrived

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Self-learning, forwarding: example

- frame destination unknown: **flood**
- destination A location known: **selective send**

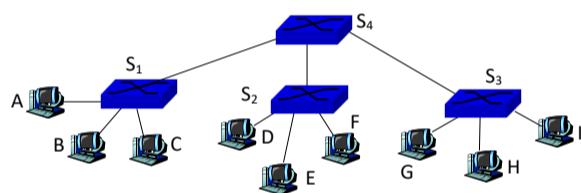


Switch table (initially empty)

100

Interconnecting switches

- switches can be connected together

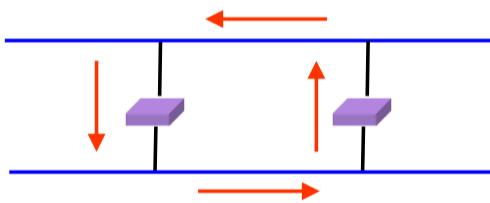


- Q: sending from A to G - how does S1 know to forward frame destined to F via S4 and S3?
- A: self learning! (works exactly the same as in single-switch case – **flood/forward/drop**)

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Flooding Can Lead to Loops

- Flooding can lead to **forwarding loops**
 - E.g., if the network contains a cycle of switches
 - “Broadcast storm”

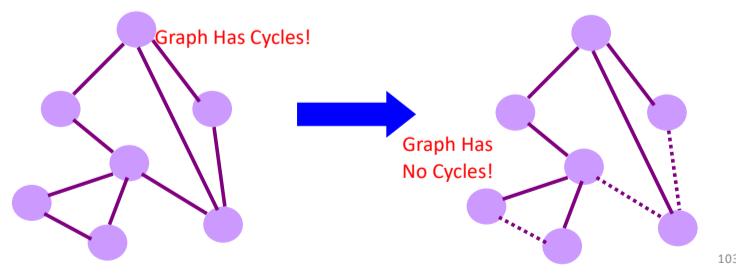


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Solution: Spanning Trees

- Ensure the forwarding **topology** has no loops
 - Avoid using some of the links when flooding
 - ... to prevent loop from forming
- **Spanning tree**
 - **Sub-graph** that covers all vertices but *contains no cycles*
 - Links not in the spanning tree do not forward frames



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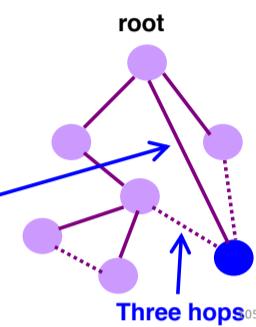
What Do We Know?

- “*Spanning tree algorithm is an algorithm to create a tree out of a graph that includes all nodes with a minimum number of edges connecting to vertices.*”
- Shortest paths to (or from) a node form a tree
- So, algorithm has two aspects :
 - Pick a root
 - Compute shortest paths to it
- Only keep the links on shortest-path

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Constructing a Spanning Tree

- Switches need to **elect a root**
 - The switch w/ smallest identifier (MAC addr)
- Each switch determines if each interface is on the **shortest path** from the root
 - Excludes it from the tree if not
- Messages (Y, d, X)
 - From node X
 - Proposing Y as the root
 - And the distance is d



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Steps in Spanning Tree Algorithm

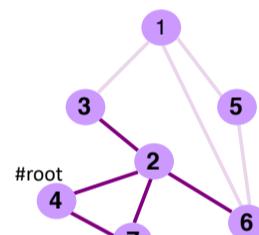
- Initially, each switch proposes itself as the root
 - Switch sends a message out every interface
 - ... proposing itself as the root with distance 0
 - Example: switch X announces $(X, 0, X)$
- Switches update their view of the root
 - Upon receiving message (Y, d, Z) from Z, check Y's id
 - If new id smaller, start viewing that switch as root
- Switches compute their distance from the root
 - Add 1 to the distance received from a neighbor
 - Identify interfaces not on shortest path to the root
 - ... and exclude them from the spanning tree
- If root or shortest distance to it **changed**, "flood" updated message $(Y, d+1, X)$

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Example From Switch #4's Viewpoint

- Switch #4 thinks it is the root
 - Sends $(4, 0, 4)$ message to 2 and 7
- Then, switch #4 hears from #2
 - Receives $(2, 0, 2)$ message from 2
 - ... and thinks that #2 is the root
 - And realizes it is just one hop away
- Then, switch #4 hears from #7
 - Receives $(2, 1, 7)$ from 7
 - And realizes this is a longer path
 - So, prefers its own one-hop path
 - And removes 4-7 link from the tree



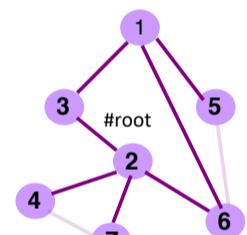
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Example From Switch #4's Viewpoint

- Switch #2 hears about switch #1
 - Switch 2 hears $(1, 1, 3)$ from 3
 - Switch 2 starts treating 1 as root
 - And sends $(1, 2, 2)$ to neighbors
- Switch #4 hears from switch #2
 - Switch 4 starts treating 1 as root
 - And sends $(1, 3, 4)$ to neighbors
- Switch #4 hears from switch #7
 - Switch 4 receives $(1, 3, 7)$ from 7
 - And realizes this is a longer path
 - So, prefers its own three-hop path
 - And removes 4-7 link from the tree



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Robust Spanning Tree Algorithm

- Algorithm must react to **failures**
 - Failure of the root node
 - Need to elect a new root, with the next lowest identifier
 - Failure of other switches and links
 - Need to recompute the spanning tree
- Root switch continues sending messages
 - Periodically reannouncing itself as the root $(1, 0, 1)$
 - Other switches continue forwarding messages
- Detecting failures through timeout (**soft state**)
 - If no word from root, times out and claims to be the root
 - Delay in reestablishing spanning tree is **major problem**
 - Work on rapid spanning tree algorithms...

Given a switch-tree of a given size, link length, speed of computation, ...

How long does a failure take to rectify?

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Summary

- principles behind data link layer services:
 - error detection, correction
 - sharing a broadcast channel: multiple access
 - link layer addressing
- instantiation and implementation of various link layer technologies
 - Ethernet
 - switched LANs
 - WiFi
- algorithms
 - Binary Exponential Backoff
 - Spanning Tree

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Topic 4: Network Layer

Our goals:

- understand principles behind network layer services:
 - network layer service models
 - forwarding versus routing (versus switching)
 - how a router works
 - routing (path selection)
 - IPv6

For the most part, the Internet is our example – again.

1

Name: a *something*

Address: Where is a *something*

Routing: How do I get to the *something*

Forwarding: What path do I take next
to get to the *something*

2

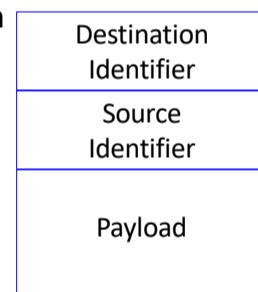
Addressing (at a conceptual level)

- Assume all hosts have unique IDs
- No particular structure to those IDs
- Later in topic I will talk about real IP addressing
- Do I route on location or identifier?
- If a host moves, should its address change?
 - If not, how can you build scalable Internet?
 - If so, then what good is an address for identification?

3

Packets (at a conceptual level)

- Assume packet headers contain:
 - Source ID, Destination ID, and perhaps other information



Why include
this?

4

Switches/Routers

- Multiple ports (attached to other switches or hosts)

The diagram shows a central grey rectangular box labeled 'Switch'. On the left, three horizontal bars labeled 'incoming links' are connected to the switch. On the right, three horizontal bars labeled 'outgoing links' are connected to the switch.
- Ports are typically duplex (incoming and outgoing)

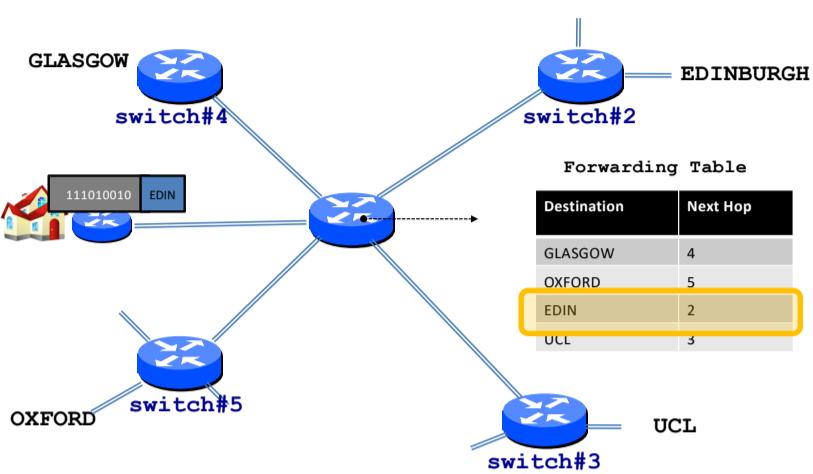
5

A Variety of Networks

- ISPs: carriers
 - Backbone
 - Edge
 - Border (to other ISPs)
- Enterprises: companies, universities
 - Core
 - Edge
 - Border (to outside)
- Datacenters: massive collections of machines
 - Top-of-Rack
 - Aggregation and Core
 - Border (to outside)

6

Switches forward packets



7

Forwarding Decisions

- When packet arrives..
 - Must decide which outgoing port to use
 - In single transmission time
 - Forwarding decisions must be *simple*
- Routing state dictates where to forward packets
 - Assume decisions are **deterministic**
- Global routing state* is the collection of routing state in each of the routers
 - Will focus on where this routing state comes from
 - But first, a few preliminaries....

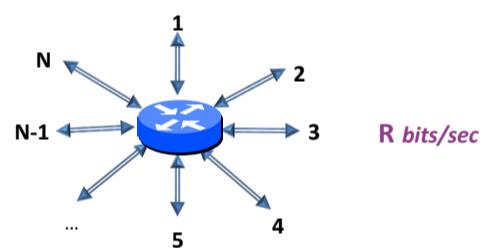
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Forwarding vs Routing

- Forwarding: "data plane"
 - Directing a data packet to an outgoing link
 - Individual router using routing state
- Routing: "control plane"
 - Computing paths the packets will follow
 - Routers talking amongst themselves
 - Jointly creating the routing state
- Two very different timescales....

9

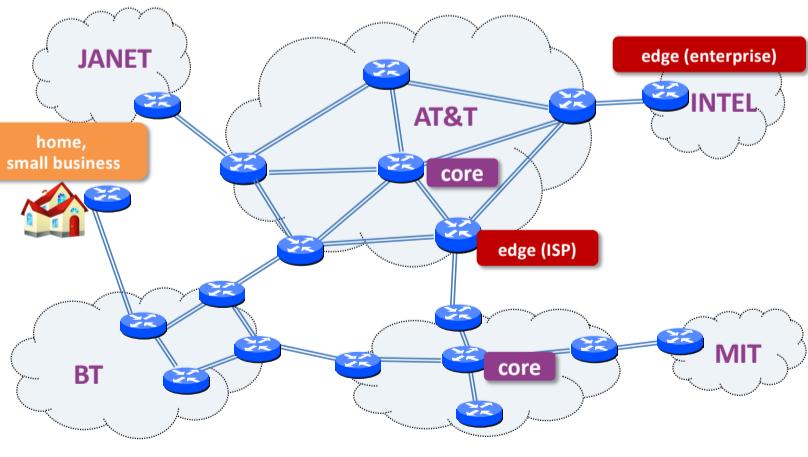
Router definitions



- N = number of external router "ports"
- R = speed ("line rate") of a port
- Router capacity = $N \times R$

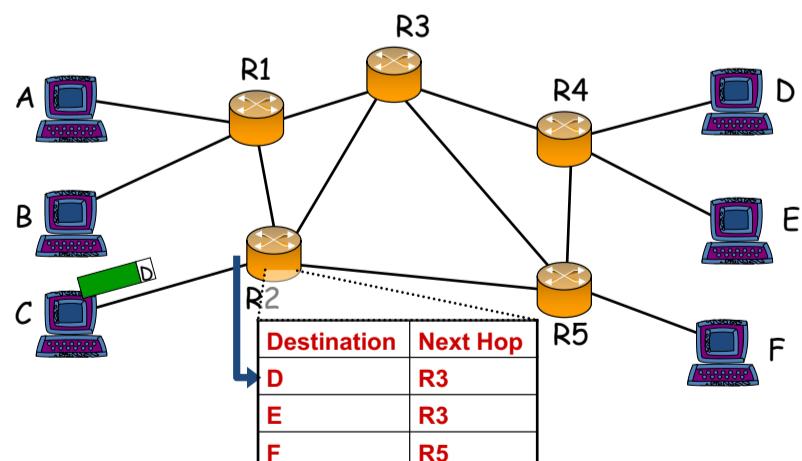
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Networks and routers



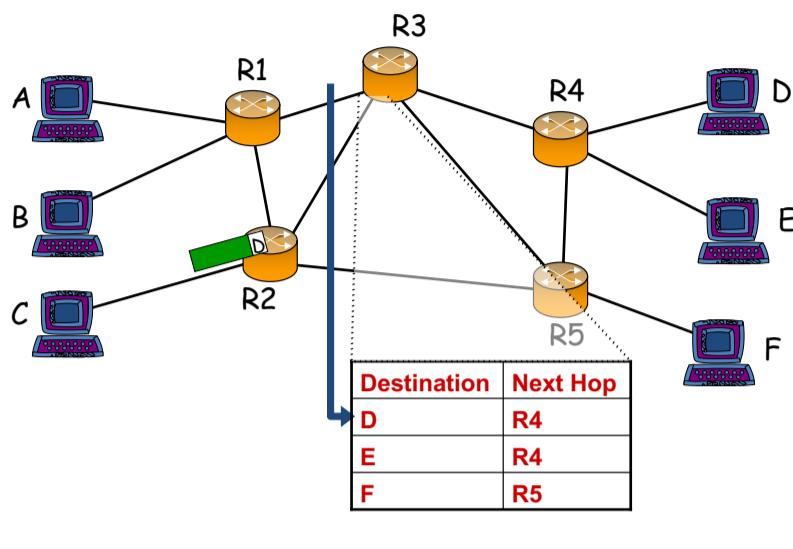
11

Basic Operation of Router



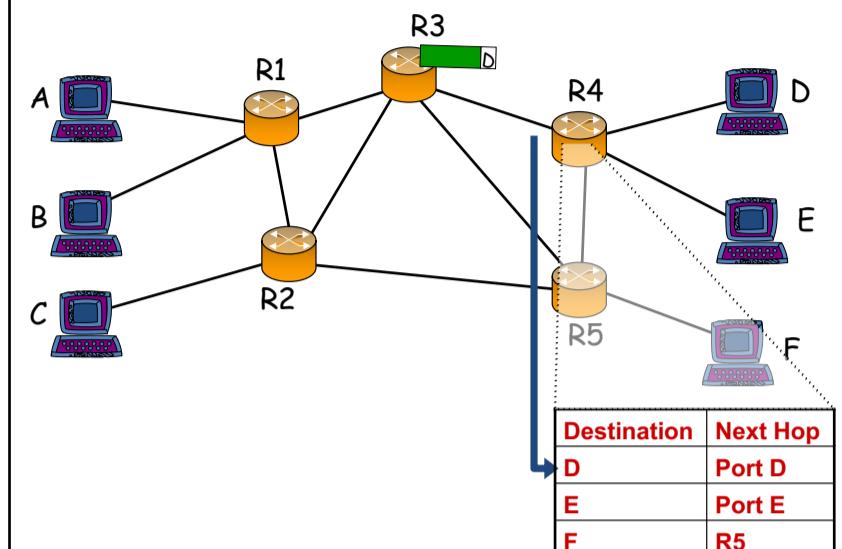
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Basic Operation of Router



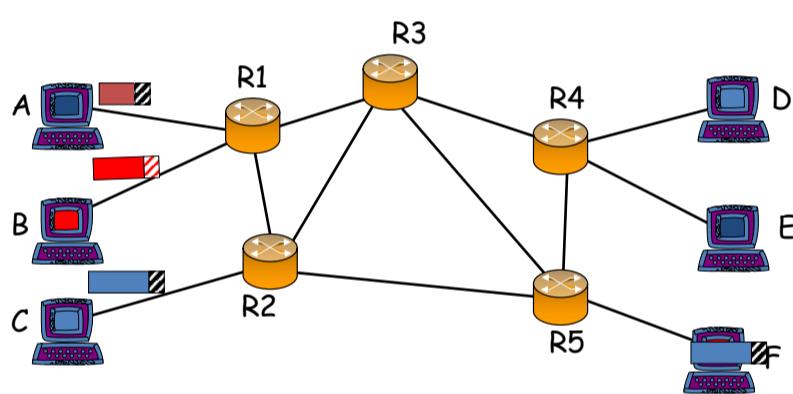
13

Basic Operation of Router



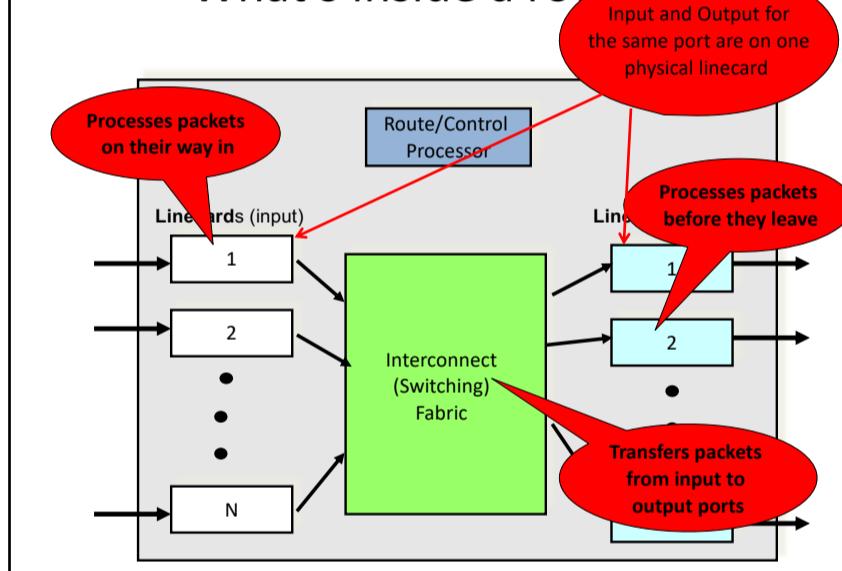
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What does a router do?



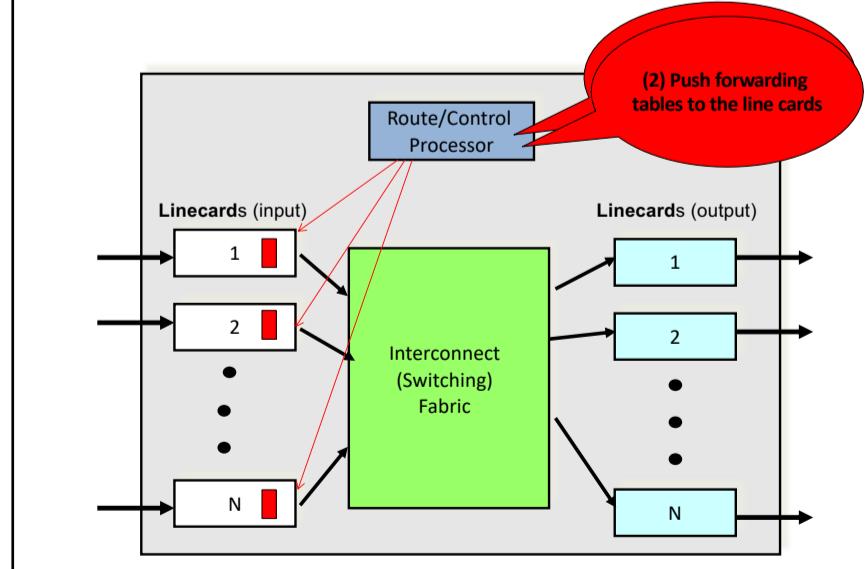
16

What's inside a router?



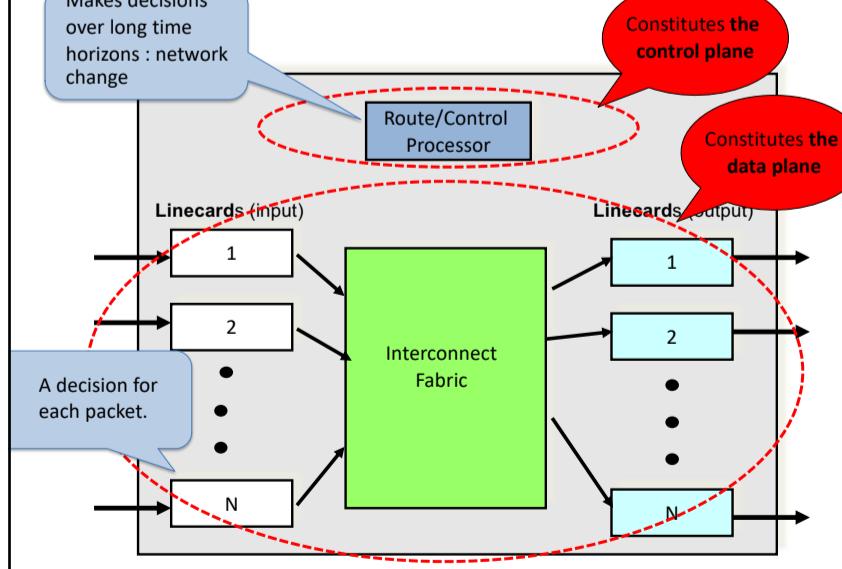
17

What's inside a router?

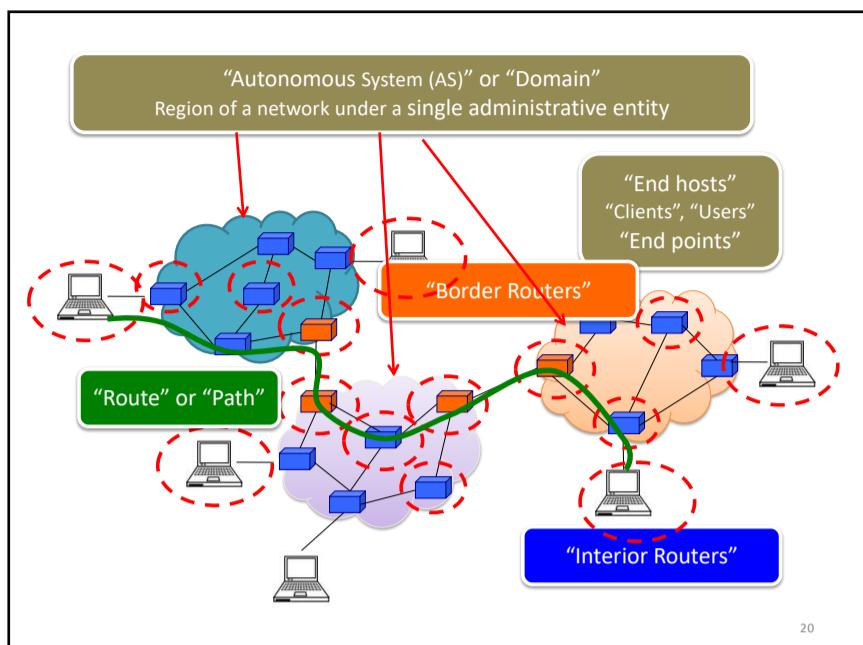


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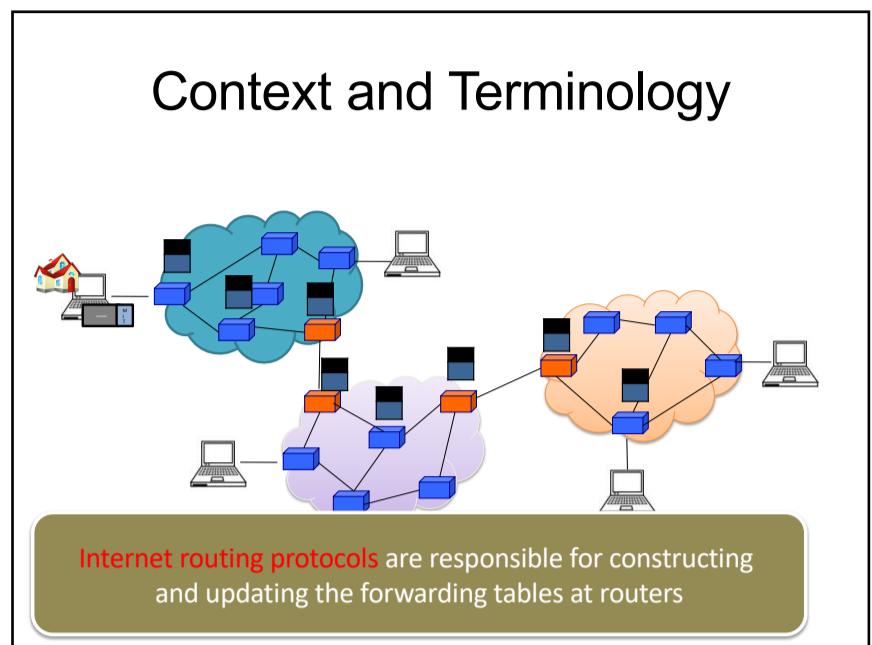
What's inside a router?



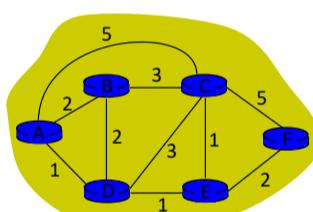
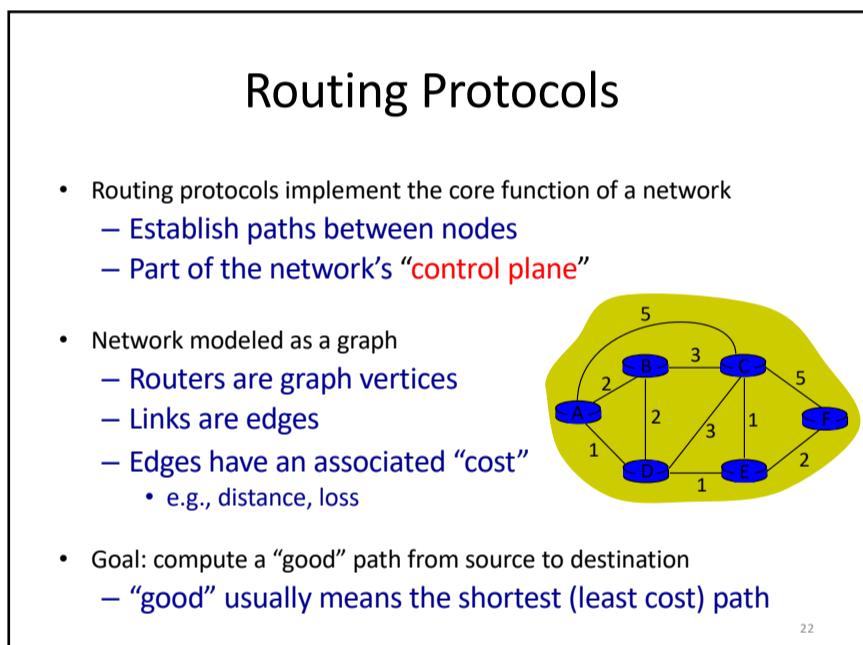
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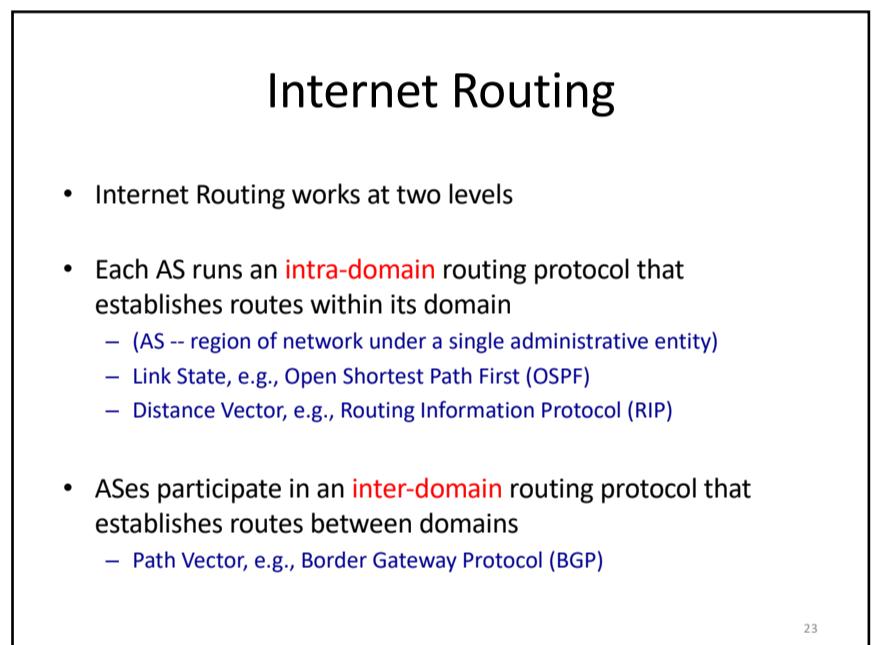
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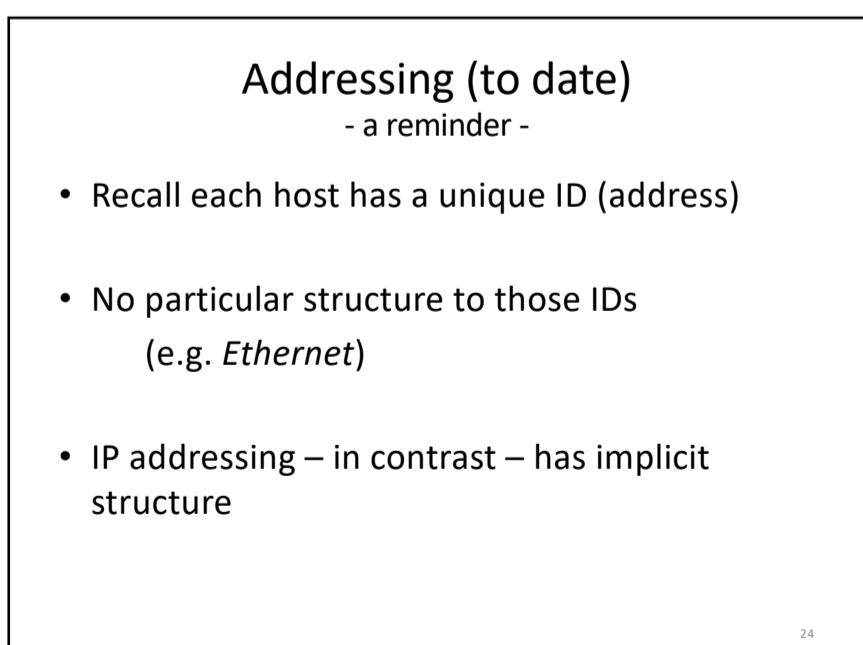
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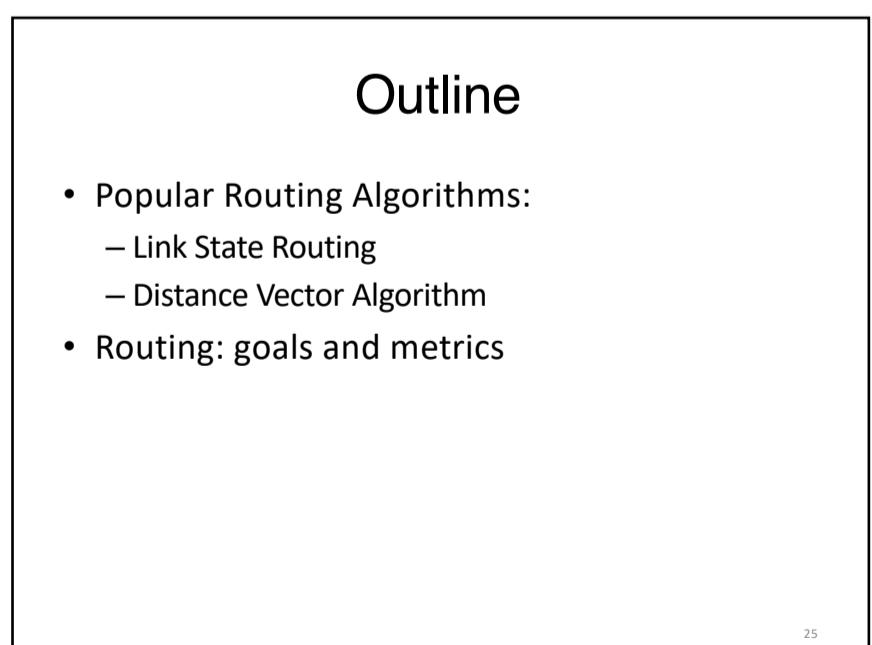
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Link-State Routing

Examples:

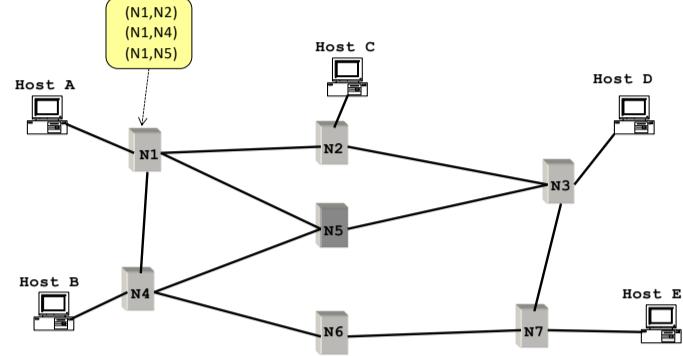
Open Shortest Path First (OSPF) or
Intermediate System to Intermediate System
(written as IS-IS/ISIS and pronounced eye-esss-eye-esss)

The two common Intradomain routing or
interior gateway protocols (IGP)

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Link State Routing

- Each node maintains its **local** "link state" (LS)
 - i.e., a list of its directly attached links and their costs



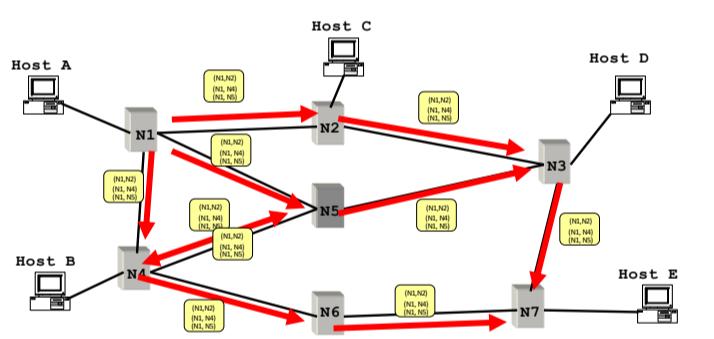
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Link State Routing

- Each node maintains its local "link state" (LS)
- Each node floods its local link state
 - on receiving a **new** LS message, a router forwards the message to all its neighbors other than the one it received the message from

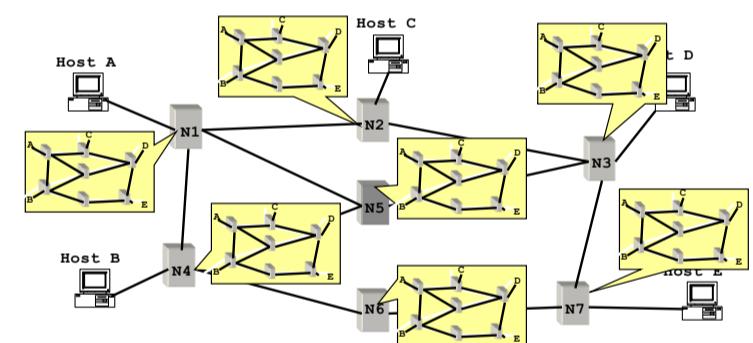


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Link State Routing

- Each node maintains its local "link state" (LS)
- Each node floods its local link state
- Hence, each node learns the entire network topology
 - Can use Dijkstra's to compute the shortest paths between nodes



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Dijkstra's Shortest Path Algorithm

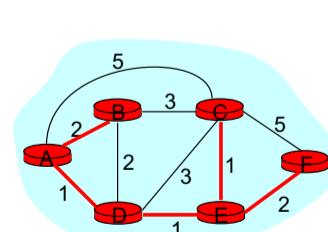
- INPUT:**
 - Network topology (graph), with link costs
- OUTPUT:**
 - Least cost paths from one node to all other nodes
- Iterative: after k iterations, a node knows the least cost path to its k closest neighbors
- This is covered in Algorithms

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The Forwarding Table

- Running Dijkstra at node A gives the shortest path from A to all destinations
- We then construct the *forwarding table*



Destination	Link
B	(A,B)
C	(A,D)
D	(A,D)
E	(A,D)
F	(A,D)

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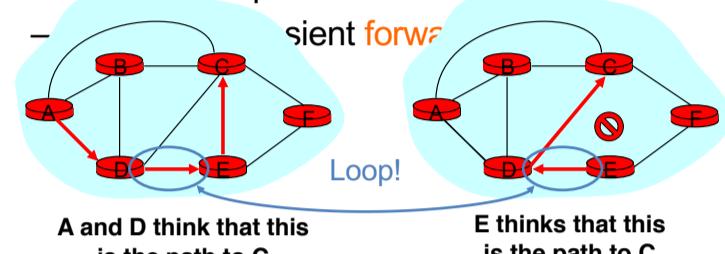
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Issue #1: Scalability

- How many messages needed to flood link state messages?
– $O(N \times E)$, where N is #nodes; E is #edges in graph
- Processing complexity for Dijkstra's algorithm?
– $O(N^2)$, because we check all nodes w not in S at each iteration and we have $O(N)$ iterations
– more efficient implementations: $O(N \log(N))$
- How many entries in the LS topology database? $O(E)$
- How many entries in the forwarding table? $O(N)$

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Issue #2: Transient Disruptions

- Inconsistent link-state database
 - Some routers know about failure before others
 - The shortest paths are no longer consistent
 - 

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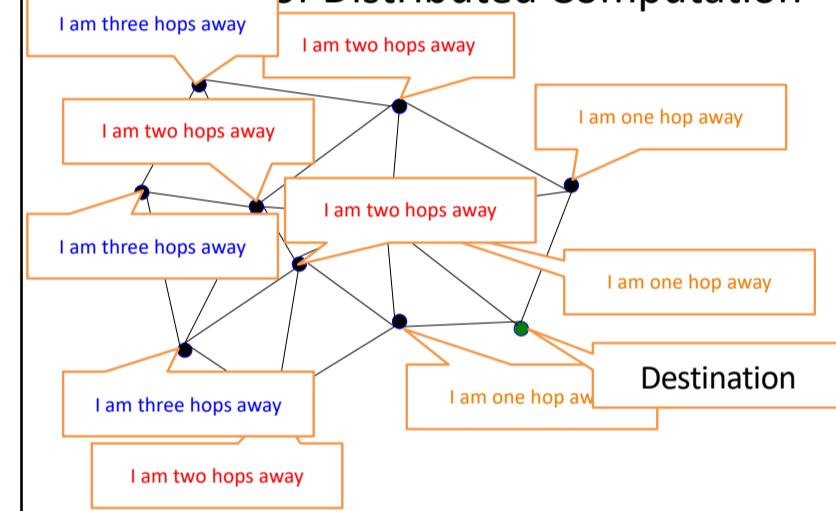
Distance-Vector Routing

Example:

Routing Information Protocol (RIP)

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Example of Distributed Computation



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Distance Vector Routing

Each router sends its knowledge about the “whole” network to its neighbors. Information sharing at regular intervals.

- Each router knows the links to its neighbors
 - Does not flood this information to the whole network
- Each router has provisional “shortest path” to **every** other router
 - E.g.: Router A: “I can get to router B with cost 11”
- Routers exchange this **distance vector** information with their neighboring routers
 - Vector because one entry per destination
- Routers look over the set of options offered by their neighbors and select the best one
- Iterative process converges to set of shortest paths

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A few other inconvenient truths

- What if we use a non-additive metric?
– E.g., maximal capacity
- What if routers don't use the same metric?
– I want low delay, you want low loss rate?
- What happens if nodes lie?

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Can You Use Any Metric?

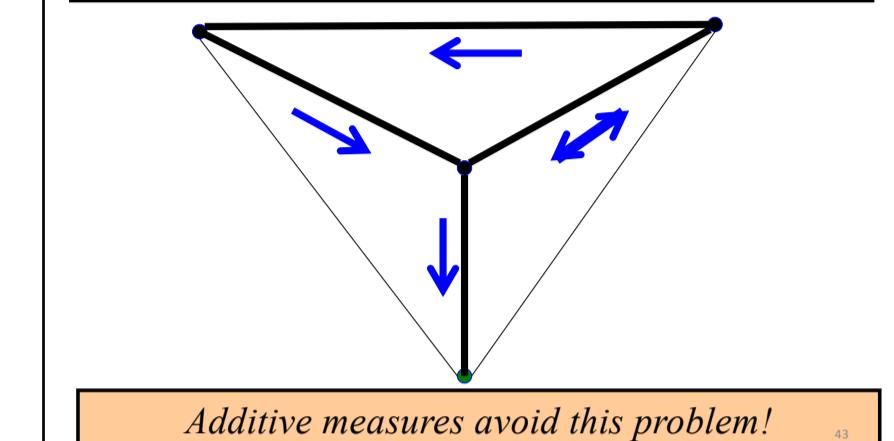
- I said that we can pick any metric. Really?
- What about maximizing capacity?

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What Happens Here?

Problem: “cost” does not change around loop



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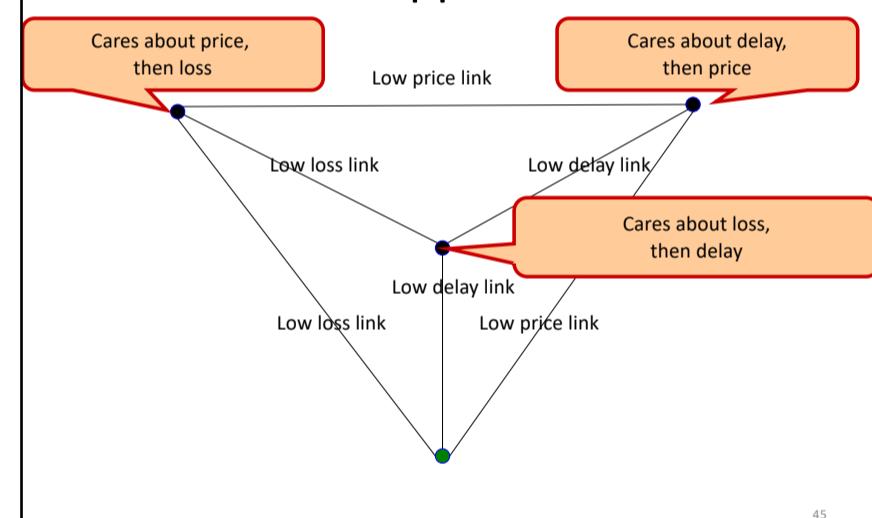
No agreement on metrics?

- If the nodes choose their paths according to different criteria, then bad things might happen
- Example
 - Node A is minimizing latency
 - Node B is minimizing loss rate
 - Node C is minimizing price
- Any of those goals are fine, if globally adopted
 - Only a problem when nodes use different criteria
- Consider a routing algorithm where paths are described by delay, cost, loss

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What Happens Here?



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Must agree on loop-avoiding metric

- When all nodes minimize same metric
- And that metric increases around loops
- Then process is guaranteed to converge

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What happens when routers lie?

- What if a router claims a 1-hop path to everywhere?
- All traffic from nearby routers gets sent there
- How can you tell if they are lying?
- Can this happen in real life?
 - It has, several times....

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Link State vs. Distance Vector

- Core idea
 - LS: tell all nodes about your immediate neighbors
 - DV: tell your immediate neighbors about (your least cost distance to) all nodes

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Link State vs. Distance Vector

- LS: each node learns the complete network map; each node computes shortest paths independently and in parallel
- DV: no node has the complete picture; nodes cooperate to compute shortest paths in a distributed manner

→ LS has higher messaging overhead
 → LS has higher processing complexity
 → LS is less vulnerable to looping

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Link State vs. Distance Vector

Message complexity

- LS: $O(N \times E)$ messages;
 - N is #nodes; E is #edges
- DV: $O(\#Iterations \times E)$
 - where #Iterations is ideally $O(\text{network diameter})$ but varies due to routing loops or the count-to-infinity problem

Processing complexity

- LS: $O(N^2)$
- DV: $O(\#Iterations \times N)$

Robustness: what happens if router malfunctions?

- LS:
 - node can advertise incorrect *link* cost
 - each node computes only its *own* table
- DV:
 - node can advertise incorrect *path* cost
 - each node's table used by others; error propagates through network

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What are desirable goals for a routing solution?

- “Good” paths (least cost)
- Fast convergence after change/failures
 - no/rare loops
- Scalable
 - #messages
 - table size
 - processing complexity
- Secure
- Policy
- Rich metrics (more later)

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Delivery models

- What if a node wants to send to more than one destination?
 - broadcast: send to all
 - multicast: send to all members of a group
 - anycast: send to any member of a group
- What if a node wants to send along more than one path?

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Metrics

- Propagation delay
- Congestion
- Load balance
- Bandwidth (available, capacity, maximal, bbw)
- Price
- Reliability
- Loss rate
- Combinations of the above

In practice, operators set abstract “weights” (much like our costs); how exactly is a bit of a black art

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From Routing back to Forwarding

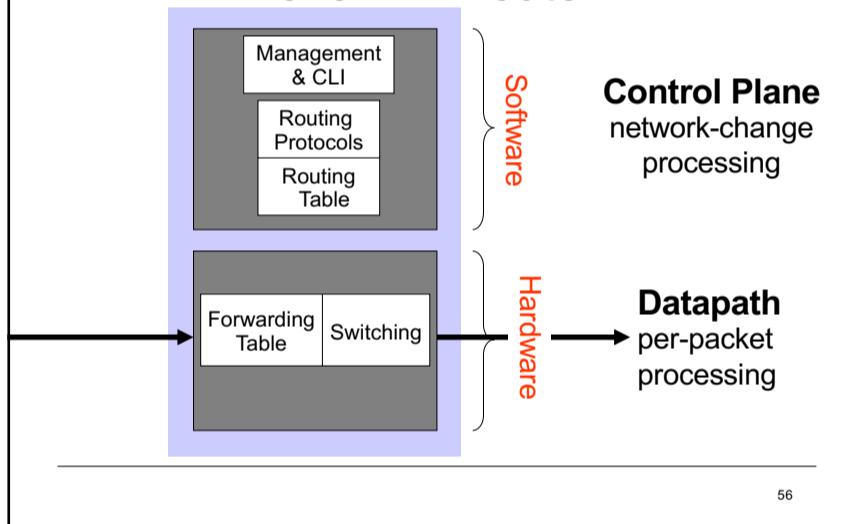
- Routing: “control plane”
 - Computing paths the packets will follow
 - Routers talking amongst themselves
 - Jointly creating the routing state
- Forwarding: “data plane”
 - Directing a data packet to an outgoing link
 - Individual router using routing state
- Two very different timescales....

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Basic Architectural Components of an IP Router

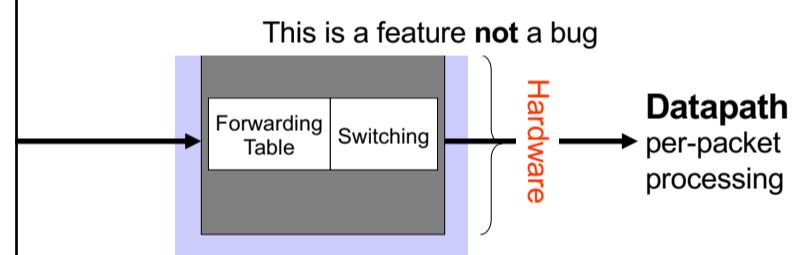


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Independent operation!

If the control-plane **fails**....
 The data-path is **not affected**...
 like a loyal pet it will keep going using the current (last) table update



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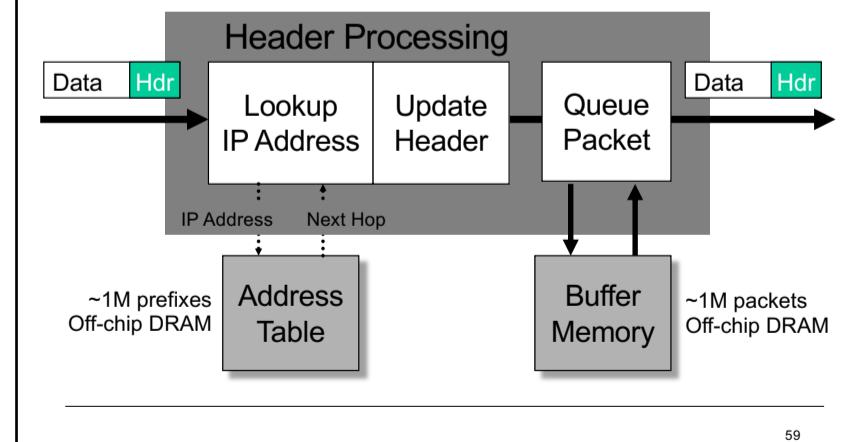
Per-packet processing in an IP Router

1. Accept packet arriving on an incoming link.
2. Lookup packet destination address in the forwarding table, to identify outgoing port(s).
3. Manipulate packet header: e.g., decrement TTL, update header checksum.
4. Send packet to the outgoing port(s).
5. Buffer packet in the queue.
6. Transmit packet onto outgoing link.

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Generic Router Architecture



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Forwarding tables

IP address 32 bits wide \rightarrow ~ 4 billion unique address

Naïve approach:

One entry per address

Entry	Destination	Port
1	0.0.0.0	1
2	0.0.0.1	2
:	:	:
2^{32}	255.255.255.255	12

~ 4 billion entries

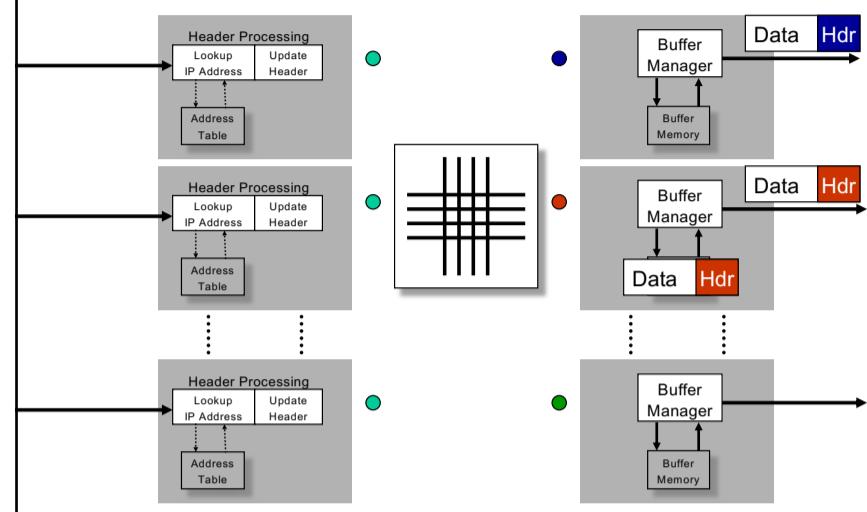
Improved approach:

Group entries to reduce table size

Entry	Destination	Port
1	0.0.0.0 – 127.255.255.255	1
2	128.0.0.1 – 128.255.255.255	2
:	:	:
50	248.0.0.0 – 255.255.255.255	12

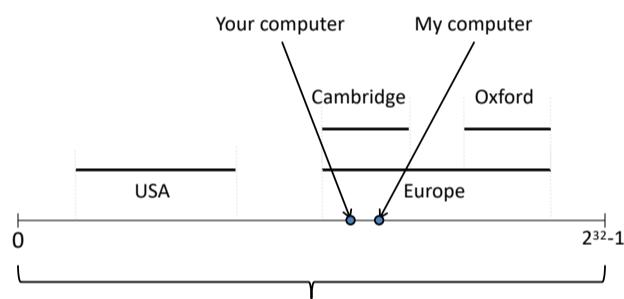
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Generic Router Architecture



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IP addresses as a line



Entry	Destination	Port
1	Cambridge	1
2	Oxford	2
3	Europe	3
4	USA	4
5	Everywhere (default)	5

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Longest Prefix Match (LPM)

Entry	Destination	Port
1	Cambridge	1
2	Oxford	2
3	Europe	3
4	USA	4
5	Everywhere (default)	5

Matching entries:

- Cambridge
- Europe
- Everywhere

To: Cambridge Data

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Longest Prefix Match (LPM)

Entry	Destination	Port
1	Cambridge	1
2	Oxford	2
3	Europe	3
4	USA	4
5	Everywhere (default)	5

Universities
Continents
Planet

Matching entries:

- Europe
- Everywhere

To: France Data

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Implementing Longest Prefix Match

Entry	Destination	Port	Status
1	Cambridge	1	Searching
2	Oxford	2	
3	Europe	3	FOUND
4	USA	4	
5	Everywhere (default)	5	

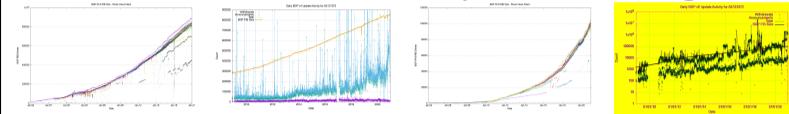
Most specific
Least specific

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Forwarding table realities

- High Speed: Must be “packet-rate” lookup
 - about 200M lookups / second for 100Gbps
- Large (messy) tables – (BGP Jan 2021 stats)
 - 866,000+ routing prefix entries for IPv4
 - 104,000+ routing prefix entries for IPv6
- Changing and Growing

the harsh side of “up and to the right”



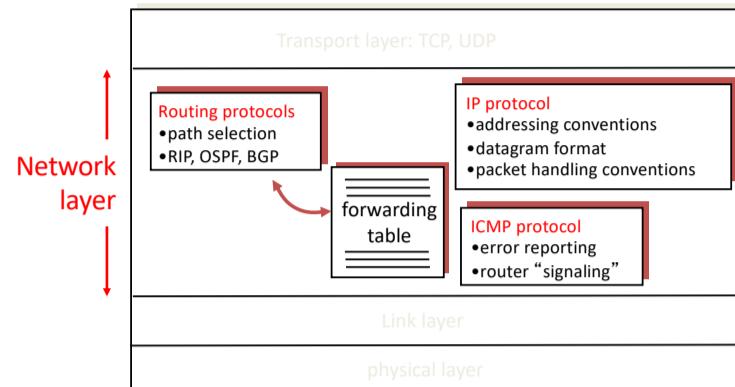
Open problems : continual growth is continual demand for innovation opportunities in control, algorithms, & network hardware

Hudson 2020 report <https://blog.apnic.net/2021/01/05/bgp-in-2020-the-bgp-table/>

66

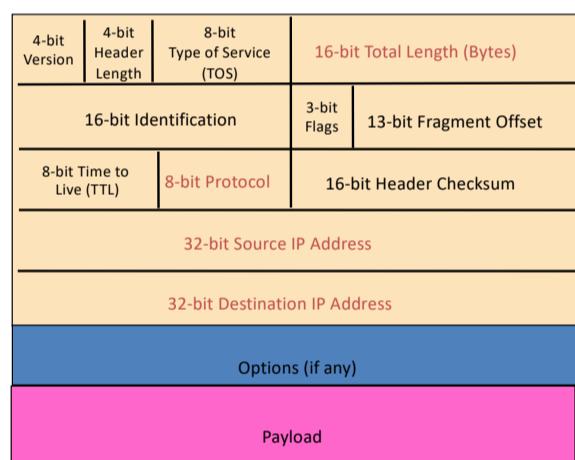
The Internet version of a Network layer

Host, router network layer functions:



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IPv4 Packet Structure 20 Bytes of Standard Header, then Options



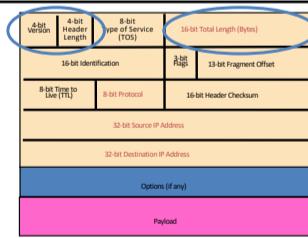
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(Packet) Network Tasks One-by-One

- Read packet correctly
- Get packet to the destination
- Get responses to the packet back to source
- Carry data
- Tell host what to do with packet once arrived
- Specify any special network handling of the packet
- Deal with problems that arise along the path

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Reading Packet Correctly

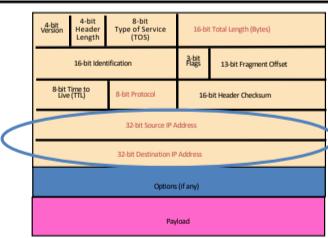


- Version number (4 bits)
 - Indicates the version of the IP protocol
 - Necessary to know what other fields to expect
 - Typically “4” (for IPv4), and sometimes “6” (for IPv6)
- Header length (4 bits)
 - Number of 32-bit words in the header
 - Typically “5” (for a 20-byte IPv4 header)
 - Can be more when IP **options** are used
- Total length (16 bits)
 - Number of bytes in the packet
 - Maximum size is 65,535 bytes ($2^{16} - 1$)
 - ... though underlying links may impose smaller limits

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Getting Packet to Destination and Back

- Two IP addresses
 - Source IP address (32 bits)
 - Destination IP address (32 bits)
- Destination address
 - Unique identifier/locator for the receiving host
 - Allows each node to make forwarding decisions
- Source address
 - Unique identifier/locator for the sending host
 - Recipient can decide whether to accept packet
 - Enables recipient to send a reply back to source



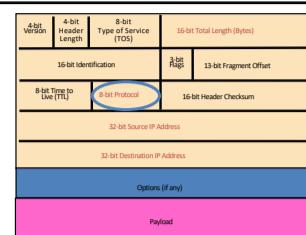
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Telling Host How to Handle Packet

- Protocol (8 bits)
 - Identifies the higher-level protocol
 - Important for demultiplexing at receiving host
- Most common examples
 - E.g., "6" for the Transmission Control Protocol (TCP)
 - E.g., "17" for the User Datagram Protocol (UDP)



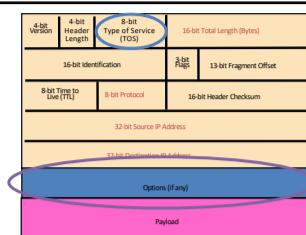
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Special Handling

- Type-of-Service (8 bits)
 - Allow packets to be treated differently based on needs
 - E.g., low delay for audio, high bandwidth for bulk transfer
 - Has been redefined several times
- Options

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Potential Problems

- Header Corrupted: **Checksum**
- Loop: **TTL**
- Packet too large: **Fragmentation**

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Preventing Loops

(aka Internet Zombie plan)

- Forwarding loops cause packets to cycle forever
 - As these accumulate, eventually consume **all** capacity
- Time-to-Live (TTL) Field (8 bits)
 - Decremented at each hop, packet discarded if reaches 0
 - ...and "time exceeded" message is sent to the source
 - Using "ICMP" control message; basis for **traceroute**

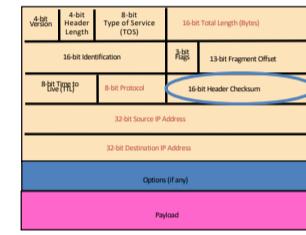
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Header Corruption

- Checksum (16 bits)
 - Particular form of checksum over packet header
- If not correct, router discards packets
 - So it doesn't act on bogus information
- Checksum recalculated at every router
 - Why?**
 - Why include TTL?**
 - Why only header?**

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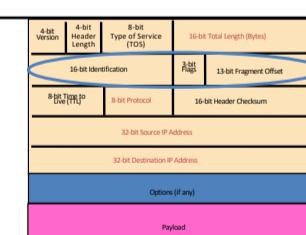
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Fragmentation

(some assembly required)

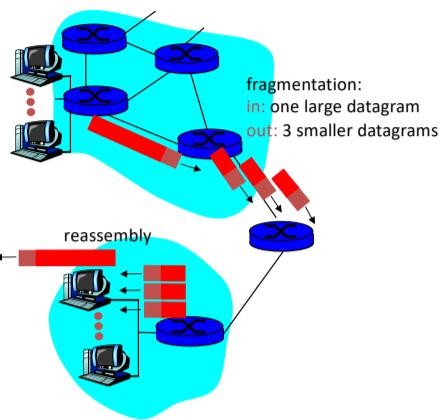
- Fragmentation: when forwarding a packet, an Internet router can **split** it into multiple pieces ("fragments") if too big for next hop link
- Must **reassemble** to recover original packet
 - Need fragmentation information (32 bits)
 - Packet **identifier**, **flags**, and fragment **offset**

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IP Fragmentation & Reassembly

- network links have MTU (max.transfer size) - largest possible link-level frame.
 - different link types, different MTUs
- large IP datagram divided ("fragmented") within net
 - one datagram becomes several datagrams
 - "reassembled" only at final destination
 - IP header bits used to identify, order related fragments
- IPv6 does things differently...



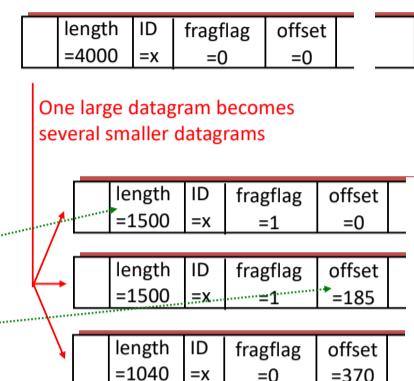
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IP Fragmentation and Reassembly

Example

r 4000 byte datagram
r MTU = 1500 bytes

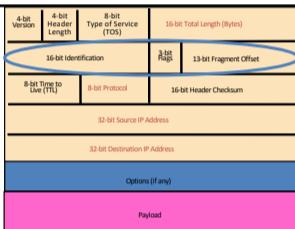
1480 bytes in data field
offset = 1480/8



Question: What happens when a fragment is lost?

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Fragmentation Details



- Identifier (16 bits): used to tell which fragments belong together
- Flags (3 bits):
 - Reserved (RF): unused bit
 - Don't Fragment (DF): instruct routers to **not** fragment the packet even if it won't fit
 - Instead, they **drop** the packet and send back a "Too Large" ICMP control message
 - Forms the basis for "Path MTU Discovery"
 - More (MF): this fragment is not the last one
- Offset (13 bits): what part of datagram this fragment covers in 8-byte units

Pop quiz question: Why do frags use offset and not a frag number?

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Options

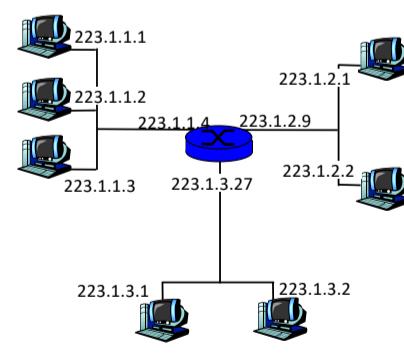


- End of Options List
- No Operation (padding between options)
- Record Route
- Strict Source Route
- Loose Source Route
- Timestamp
- Traceroute
- Router Alert
-

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IP Addressing: introduction

- IP address: 32-bit identifier for host, router *interface*
- interface*: connection between host/router and physical link
 - routers typically have multiple interfaces
 - host typically has one interface
 - IP addresses associated with each interface



223.1.1.1 = 11011111 00000001 00000001 00000001

223 1 1 1

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Subnets

IP address:

- subnet part (high order bits)
- host part (low order bits)

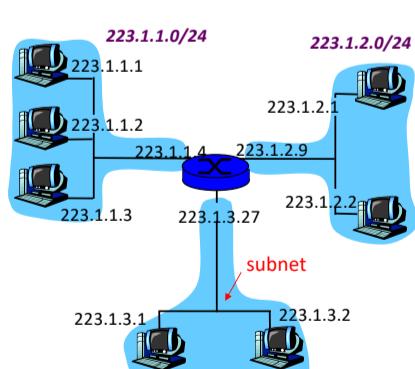
What's a subnet?

- device interfaces with same subnet part of IP address
- can physically reach each other without intervening router

11011111 00000001 00000001 00000000
223.1.3.0/24

CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: a.b.c.d/x, where x is # bits in subnet portion of address



Subnet mask: /24

network consisting of 3 subnets

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IP addresses: how to get one?

Q: How does a *host* get IP address?

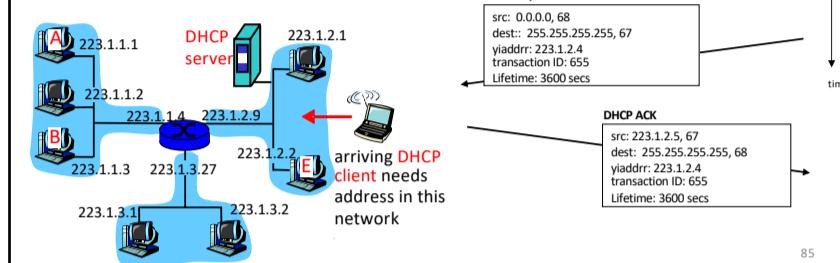
- hard-coded by system admin in a file
 - Windows: control-panel->network->configuration->tcp/ip->properties
 - UNIX: `/etc/rc.config` (circa 1980's your mileage will vary)
- DHCP: Dynamic Host Configuration Protocol:** dynamically get address from as server
 - “plug-and-play”

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DHCP client-server scenario

Goal: allow host to *dynamically* obtain its IP address from network server when it joins network

Can renew its lease on address in use
Allows reuse of addresses (only hold address while connected an “on”)
Support for mobile users who want to join network (more shortly)



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IP addresses: how to get one?

Q: How does *network* get subnet part of IP addr?

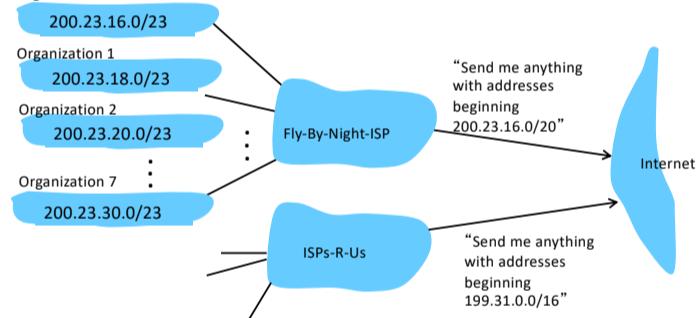
A: gets allocated portion of its provider ISP's address space

ISP's block	<u>11001000 00010111 00010000 00000000</u>	200.23.16.0/20
Organization 0	<u>11001000 00010111 00010000 00000000</u>	200.23.16.0/23
Organization 1	<u>11001000 00010111 00010010 00000000</u>	200.23.18.0/23
Organization 2	<u>11001000 00010111 00010100 00000000</u>	200.23.20.0/23
...
Organization 7	<u>11001000 00010111 00011110 00000000</u>	200.23.30.0/23

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Hierarchical addressing: route aggregation

Hierarchical addressing allows efficient advertisement of routing information:

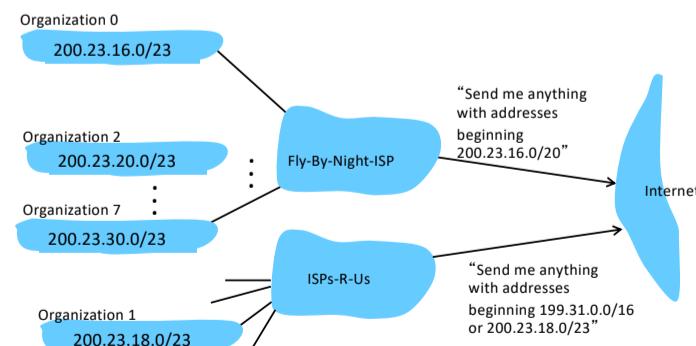


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Hierarchical addressing: more specific routes

ISPs-R-Us has a more specific route to Organization 1



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IP addressing: the last word...

Q: How does an ISP get a block of addresses?

A: **ICANN: Internet Corporation for Assigned**

Names and Numbers

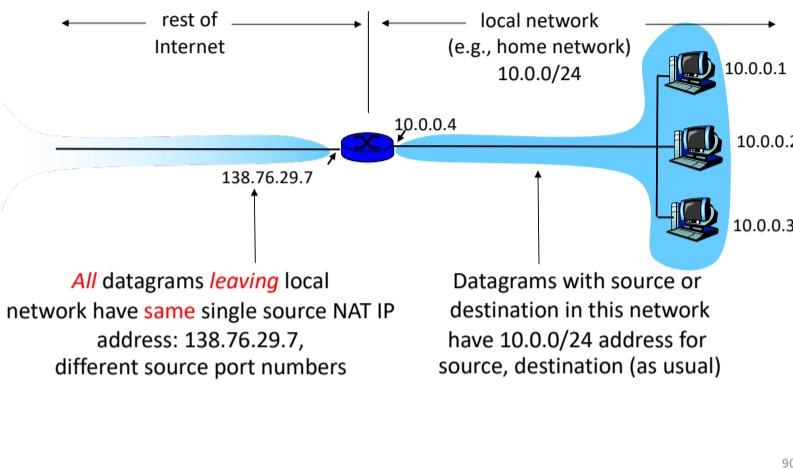
- allocates addresses
- manages DNS
- assigns domain names, resolves disputes

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Cant get more IP addresses? well there is always.....

NAT: Network Address Translation



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NAT: Network Address Translation

- Motivation:** local network uses just one IP address as far as outside world is concerned:
 - range of addresses not needed from ISP: just one IP address for all devices
 - can change addresses of devices in local network without notifying outside world
 - can change ISP without changing addresses of devices in local network
 - devices inside local net not explicitly addressable, visible by outside world (a security plus).

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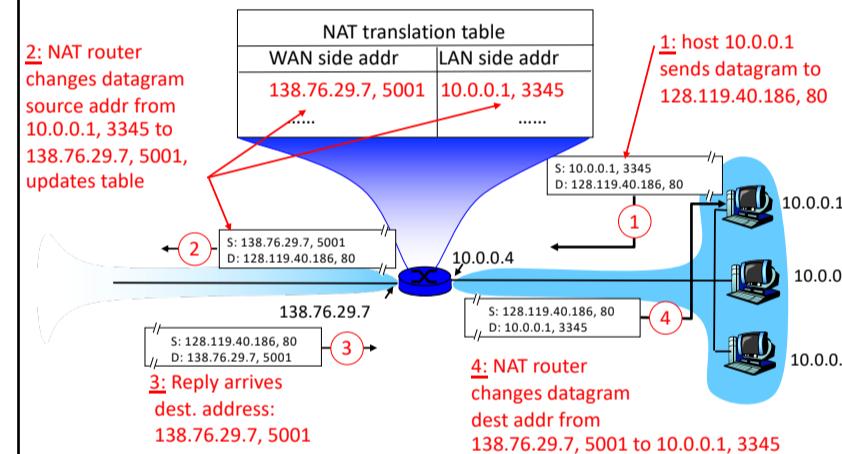
NAT: Network Address Translation

Implementation: NAT router must:

- outgoing datagrams: replace** (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)
 - remote clients/servers will respond using (NAT IP address, new port #) as destination addr.
- remember (in NAT translation table)** every (source IP address, port #) to (NAT IP address, new port #) translation pair
- incoming datagrams: replace** (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

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NAT: Network Address Translation



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NAT: Network Address Translation

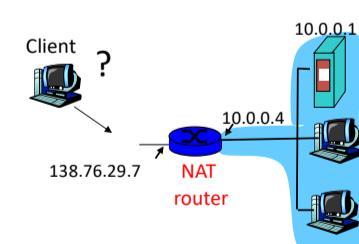
- 16-bit port-number field:
 - 60,000+ simultaneous connections with a single WAN-side address!
- NAT is controversial:
 - routers should only process up to layer 3
 - violates end-to-end argument (?)
 - NAT possibility must be taken into account by app designers, eg, P2P applications
 - address shortage should instead be solved by IPv6

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NAT traversal problem

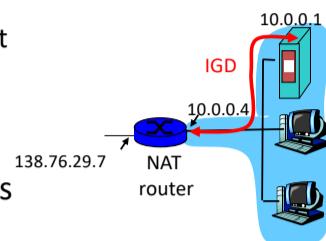
- client wants to connect to server with address 10.0.0.1
 - server address 10.0.0.1 local to LAN (client can't use it as destination addr)
 - only one externally visible NATted address: 138.76.29.7
- solution 1: statically configure NAT to forward incoming connection requests at given port to server
 - e.g., (138.76.29.7, port 2500) always forwarded to 10.0.0.1 port 25000



NAT traversal problem

- solution 2: Universal Plug and Play (UPnP) Internet Gateway Device (IGD) Protocol. Allows NATted host to:
 - learn public IP address (138.76.29.7)
 - add/remove port mappings (with lease times)

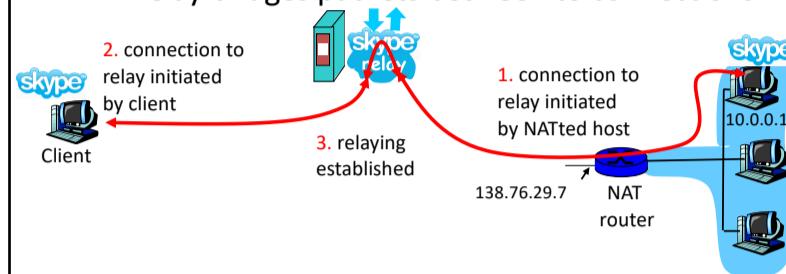
i.e., automate static NAT port map configuration



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NAT traversal problem

- solution 3: relaying (was used in (really old) Skype)
 - NATted client establishes connection to relay
 - External client connects to relay
 - relay bridges packets between two connections



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Remember this? Traceroute at work...

traceroute: rio.cl.cam.ac.uk to munnari.oz.au
(tracepath on windows is similar)

traceroute munnari.oz.au
traceroute to munnari.oz.au (202.29.151.3), 30 hops max, 60 byte packets

```

1  gatwick.net.cl.cam.ac.uk (128.232.32.2) 0.416 ms 0.384 ms 0.427 ms
2  cl-sby.route-nwest.net.cam.ac.uk (193.60.89.9) 0.393 ms 0.440 ms 0.494 ms
3  route-nwest.route-mill.net.cam.ac.uk (192.84.5.137) 0.407 ms 0.448 ms 0.501 ms
4  route-mill.route-enet.net.cam.ac.uk (192.84.5.94) 1.006 ms 1.091 ms 1.163 ms
5  xc-11-3-0.camb-rbr1.eastern.ja.net (146.97.1.30.1) 0.300 ms 0.313 ms 0.350 ms
6  ae24.lodss-sbr1.ja.net (146.97.37.185) 2.679 ms 2.664 ms 2.712 ms
7  ae28.londhx-sbr1.ja.net (146.97.33.17) 5.955 ms 5.953 ms 5.901 ms
8  janet.mx1.lon.uk.geant.net (62.40.124.197) 6.059 ms 6.066 ms 6.052 ms
9  ae0.mx1.par.fr.geant.net (62.40.98.64) 27.751 ms 27.734 ms 27.704 ms
10 ae1.mx1.mad.es.geant.net (62.40.98.64) 27.751 ms 27.734 ms 27.704 ms
11 mb-so-02-7.bb.tein3.net (202.179.249.117) 138.296 ms 138.314 ms 138.282 ms
12 sg-so-04-v4.bb.tein3.net (202.179.249.53) 196.303 ms 196.293 ms 196.264 ms
13 th-pr-v4.bb.tein3.net (202.179.249.66) 225.153 ms 225.178 ms 225.196 ms
14 pyt-thairen-to-02-bdr-pyt.uni.net.th (202.29.12.10) 225.163 ms 223.343 ms 223.363 ms
15 202.28.227.126 (202.28.227.126) 241.038 ms 240.941 ms 240.834 ms
16 202.28.221.46 (202.28.221.46) 287.252 ms 287.306 ms 287.282 ms
17 * * *
18 * * * * means no response (probe lost, router not replying)
19 * * *
20 coe-gw.psu.ac.th (202.29.149.70) 241.681 ms 241.715 ms 241.680 ms
21 munnari.OZ.AU (202.29.151.3) 241.610 ms 241.636 ms 241.537 ms

```

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Traceroute and ICMP

- Source sends series of UDP segments to dest
 - First has TTL=1
 - Second has TTL=2, etc.
 - Unlikely port number
- When nth datagram arrives to nth router:
 - Router discards datagram
 - And sends to source an ICMP message (type 11, code 0)
 - Message includes name of router & IP address
- When ICMP message arrives, source calculates RTT
- Traceroute does this 3 times
- Stopping criterion**
- UDP segment eventually arrives at destination host
- Destination returns ICMP "host unreachable" packet (type 3, code 3)
- When source gets this ICMP, stops.

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ICMP: Internet Control Message Protocol

- used by hosts & routers to communicate network-level information
 - error reporting: unreachable host, network, port, protocol
 - echo request/reply (used by ping)
- network-layer "above" IP:
 - ICMP msgs carried in IP datagrams
- ICMP message:** type, code plus first 8 bytes of IP datagram causing error

Type	Code	Description
0	0	echo reply (ping)
3	0	dest. network unreachable
3	1	dest host unreachable
3	2	dest protocol unreachable
3	3	dest port unreachable
3	6	dest network unknown
3	7	dest host unknown
4	0	source quench (congestion control - not used)
8	0	echo request (ping)
9	0	route advertisement
10	0	router discovery
11	0	TTL expired
12	0	bad IP header

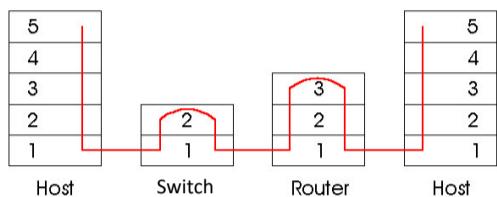
100

Gluing it together:
How does my Network (address) interact
with my Data-Link (address) ?

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Switches vs. Routers Summary

- both store-and-forward devices
 - routers: network layer devices (examine network layer headers eg IP)
 - switches are link layer devices (examine Data-Link-Layer headers eg Ethernet)
- Routers: implement routing algorithms, maintain routing tables of the network – create network forwarding tables from routing tables
- Switches: implement learning algorithms, learn switch/DLL forwarding tables



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MAC Addresses (and IPv4 ARP) or How do I glue my network to my data-link?

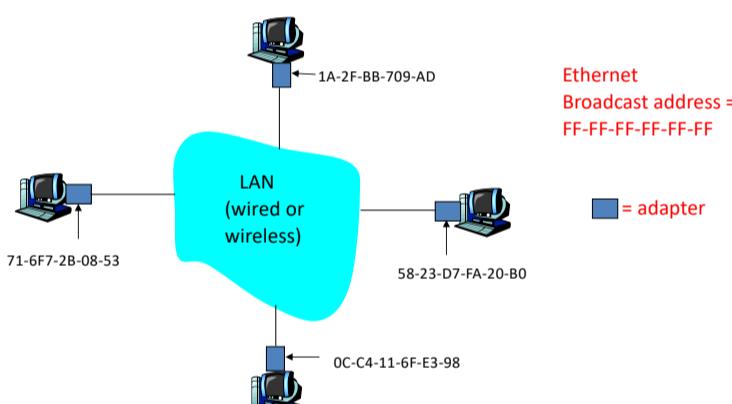
- 32-bit IP address:
 - network-layer* address
 - used to get datagram to destination IP subnet
- MAC (or LAN or physical or Ethernet) address:
 - function: *get frame from one interface to another physically-connected interface (same network)*
 - 48 bit MAC address (for most LANs)
 - burned in NIC ROM, firmware, etc.

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LAN Addresses and ARP

Each adapter on LAN has unique LAN address



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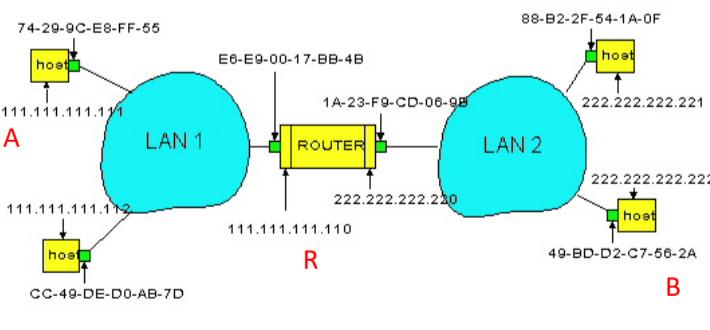
Address Resolution Protocol

- Every node maintains an ARP table
 - <IP address, MAC address> pair
- Consult the table when sending a packet
 - Map destination IP address to destination MAC address
 - Encapsulate and transmit the data packet
- But: what if IP address **not** in the table?
 - Sender **broadcasts**: “Who has IP address 1.2.3.156?”
 - Receiver responds: “MAC address 58-23-D7-FA-20-B0”
 - Sender **caches** result in its ARP table

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Example: A Sending a Packet to B

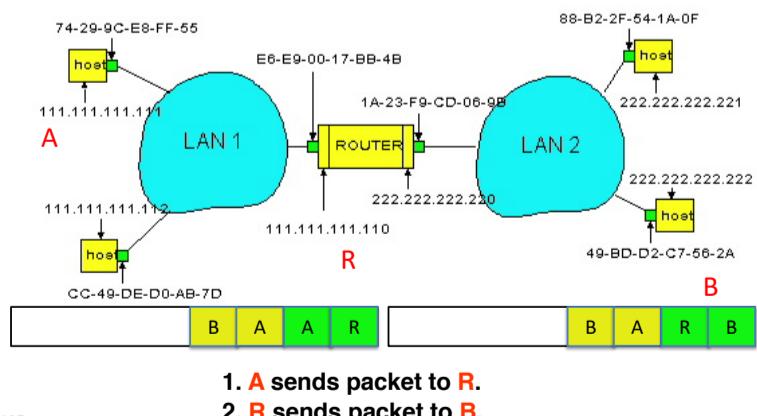
How does host A send an IP packet to host B?



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Example: A Sending a Packet to B

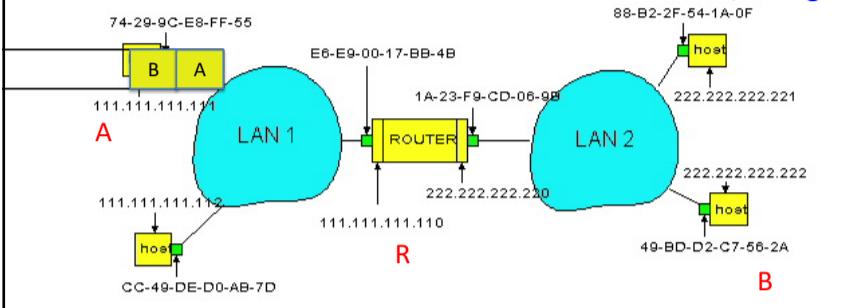
How does host A send an IP packet to host B?



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Host A Decides to Send Through R

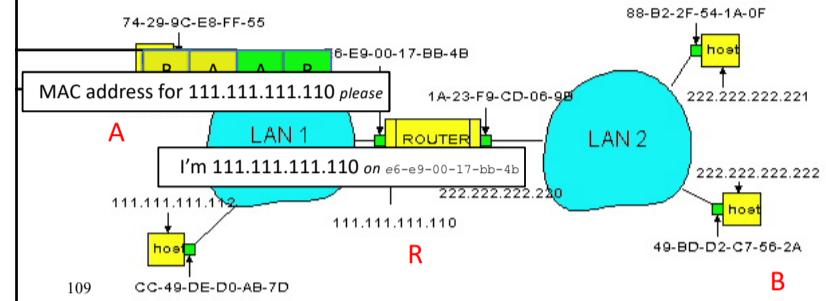
- Host A constructs an IP packet to send to B
 - Source 111.111.111.111, destination 222.222.222.222
- Host A has a gateway router R
 - Used to reach destinations outside of 111.111.111.0/24
 - Address 111.111.111.110 for R learned via [DHCP/config](#)



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Host A Sends Packet Through R

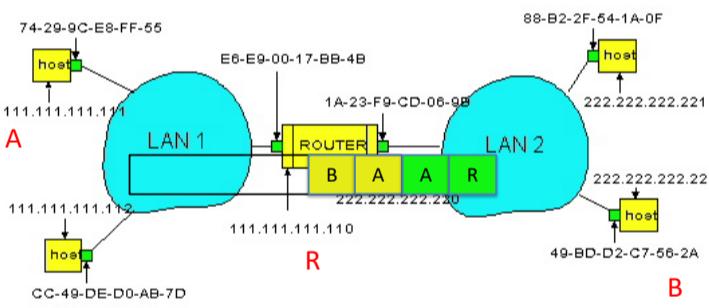
- Host A learns the MAC address of R's interface
 - ARP request: broadcast request for 111.111.111.110
 - ARP response: R responds with E6-E9-00-17-BB-4B
- Host A encapsulates the packet and sends to R



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R Decides how to Forward Packet

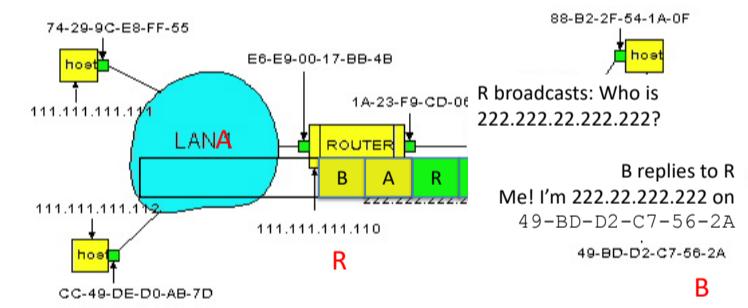
- Router R's adaptor receives the packet
 - R extracts the IP packet from the Ethernet frame
 - R sees the IP packet is destined to 222.222.222.222
- Router R consults its forwarding table
 - Packet matches 222.222.222.0/24 via other adaptor



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R Sends Packet to B

- Router R's learns the MAC address of host B
 - ARP request: broadcast request for 222.222.222.222
 - ARP response: B responds with 49-BD-D2-C7-52A
- Router R encapsulates the packet and sends to B



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Security Analysis of ARP



- Impersonation**
 - Any node that hears request can answer ...
 - ... and can say whatever they want
- Actual legit receiver never sees a problem
 - Because even though later packets carry its IP address, its NIC doesn't capture them since the (naughty) packets are not its MAC address

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Key Ideas in Both ARP and DHCP

- Broadcasting:** Can use broadcast to make contact
 - Scalable because of limited size
- Caching:** remember the past for a while
 - Store the information you learn to reduce overhead
 - Remember your own address & other host's addresses
- Soft state:** eventually forget the past
 - Associate a **time-to-live** field with the information
 - ... and either refresh or discard the information
 - Key for **robustness** in the face of unpredictable change

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Why Not Use DNS-Like Tables?

- When host arrives:
 - Assign it an IP address that will last as long it is present
 - Add an entry into a table in DNS-server that maps MAC to IP addresses
- Answer:
 - Names: explicit creation, and are plentiful
 - Hosts: come and go without informing network
 - Must do mapping on demand
 - Addresses: not plentiful, need to reuse and remap
 - Soft-state enables dynamic reuse

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IPv6

prematurely
 Motivated by address exhaustion

- addresses are larger
- packet headers are laid out differently
- address management and configuration are completely different
- some DNS behavior changes
- some sockets code changes
- everybody now has a hard time parsing IP addresses

 Steve Deering focused on simplifying IP

- Got rid of all fields that were not absolutely necessary
- “Spring Cleaning” for IP

 Result is an elegant, if unambitious, protocol



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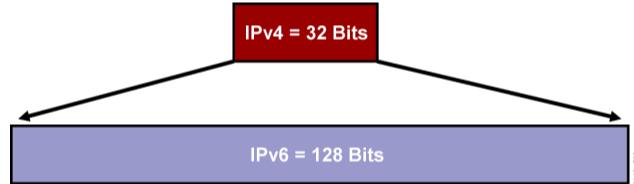
115

IPv4	IPv6
Addresses are 32 bits (4 bytes) in length.	Addresses are 128 bits (16 bytes) in length
Address (A) resource records in DNS to map host names to IPv4 addresses.	Address (AAAA) resource records in DNS to map host names to IPv6 addresses.
Pointer (PTR) resource records in the IN-ADDR.ARPA DNS domain to map IPv4 addresses to host names.	Pointer (PTR) resource records in the IP6.ARPA DNS domain to map IPv6 addresses to host names.
IPSec is optional and should be supported externally	IPSec support is not optional
Header does not identify packet flow for QoS handling by routers	Header contains Flow Label field, which identifies packet flow for QoS handling by router.
Both routers and the sending host fragment packets.	Routers do not support packet fragmentation. Sending host fragments packets
Header includes a checksum.	Header does not include a checksum.
Header includes options.	Optional data is supported as extension headers.
ARP uses broadcast ARP request to resolve IP to MAC/Hardware address.	Multicast Neighbor Solicitation messages resolve IP addresses to MAC addresses.
Internet Group Management Protocol (IGMP) manages membership in local subnet groups.	Multicast Listener Discovery (MLD) messages manage membership in local subnet groups.
Broadcast addresses are used to send traffic to all nodes on a subnet.	IPv6 uses a link-local scope all-nodes multicast address.
Configured either manually or through DHCP.	Does not require manual configuration or DHCP.
Must support a 576-byte packet size (possibly fragmented).	Must support a 1280-byte packet size (without fragmentation).

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Larger Address Space

- IPv4 = 4,294,967,295 addresses
- IPv6 = 340,282,366,920,938,463,374,607,432,768,211,456 addresses
- 4x in number of bits translates to huge increase in address space!



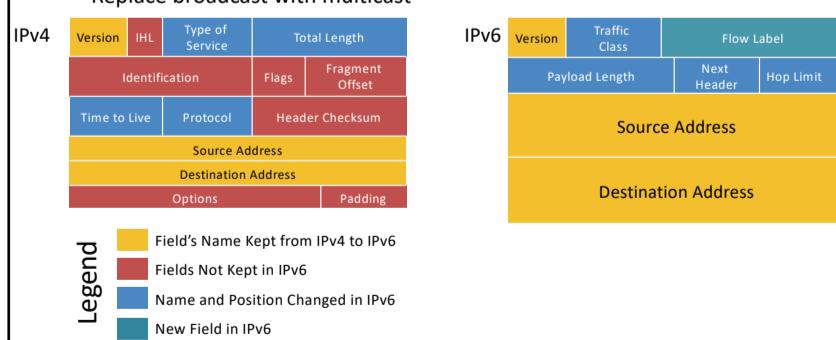
118

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Other Significant Protocol Changes - 1

- Increased minimum MTU from 576 to 1280
- No enroute fragmentation... fragmentation only at source
- Header changes (20bytes to 40bytes)
- Replace broadcast with multicast



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Other Significant Protocol Changes - 2

operation is intended to be simpler within the network:

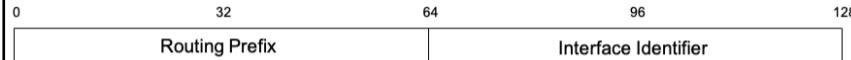
- no *in-network* fragmentation
- no checksums in IPv6 header
- UDP checksum required (wasn't in IPv4) rfc6936: **No more zero**
- optional state carried in *extension headers*
 - Extension headers notionally replace IP options
 - Each extension header indicates the type of the *following* header, so they can be chained
 - The final 'next header' either indicates there is no 'next', or escapes into an transport-layer header (e.g., TCP)

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IPv6 Basic Address Structure

IPv6 addresses are split into two primary parts:



- 64 bits is dedicated to an addressable interface (equivalent to the host, if it only has one interface)
- The network prefix allocated to a network by a registry can be up to 64-bits long
- An allocation of a /64 (i.e. a 64-bit network prefix) allows *one* subnet (it cannot be subdivided)
- A /63 allows two subnets; a /62 offers four, etc. /48s are common for older allocations (RFC 3177, obsoleted by RFC 6177).
- Longest-prefix matching operates as in IPv4.

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IPv6 Address Representation (quick)

IPv6 addresses represented as eight 16-bit blocks (4 hex chars) separated by colons:

- 2001:4998:000c:0a06:0000:0000:0002:4011

But we can condense the representation by removing leading zeros in each block:

- 2001:4998:c:a06:0:0:2:4011

And by reducing the consecutive block of zeros to a ":" (this double colon rule can only be applied once)

- 2001:4998:c:a06::2:4011

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IPv6 Address Families

The address space is carved, like v4, into certain categories ¹:

- host-local : localhost; ::1 is equivalent to 127.0.0.1
- link-local : not routed: fe80::/10 is equivalent to 169.254.0.0/16
- site-local : not routed *globally*: fc00::/7 is equivalent to 192.168.0.0/16 or 10.0.0.0/8
- global unicast : 2000::/3 is basically any v4 address not reserved in some other way
- multicast : ff00::/8 is equivalent to 224.0.0.0/4

¹http://www.ripe.net/lir-services/new-lir/ipv6_reference_card.pdf

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Problem with /64 Subnets

- Scanning a subnet becomes a DoS attack!
 - Creates IPv6 version of 2^{64} ARP entries in routers
 - Exhaust address-translation table space
- So now we have:
 - ping6 ff02::1 All nodes in broadcast domain
 - ping6 ff02::2 All routers in broadcast domain
- Solutions
 - RFC 6164 recommends use of /127 to protect router-router links
 - RFC 3756 suggest "clever cache management" to address more generally

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Neighbour Discovery

- The Neighbour Discovery Protocol² specifies a set of ICMPv6 message types that allow hosts to discover other hosts or routing hardware on the network
 - neighbour solicitation
 - neighbour advertisement
 - router solicitation
 - router advertisement
 - redirect
- In short, a host can *solicit* neighbour (host) state to determine the layer-2 address of a host *or* to check whether an address is in use
- or it can solicit router state to learn more about the network configuration
- In both cases, the solicit message is sent to a well-known multicast address

²<http://tools.ietf.org/html/rfc4861>

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IPv6 Dynamic Address Assignment

We have the two halves of the IPv6 address: the network component and the host component. Those are derived in different ways.

Network (top 64 bits):

- Router Advertisements (RAs)
- Interface

Identifier (bottom 64 bits):

- Stateless, automatic: SLAAC
- Stateful, automatic: DHCPv6

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SLAAC: overview

SLAAC is:

- ... intended to make network configuration easy without manual configuration or even a DHCP server
- ... an algorithm for hosts to automatically configure their network interfaces (set up addresses, learn routes) without intervention

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SLAAC: overview

- When a host goes live or an interface comes up, the system wants to know more about its environment
- It can configure link-local addresses for its interfaces: it uses the interface identifier, the EUI-64
- It uses this to ask (solicit) router advertisements sooner than the next periodic announcements; ask the network for information

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SLAAC: overview

The algorithm (assuming one interface):

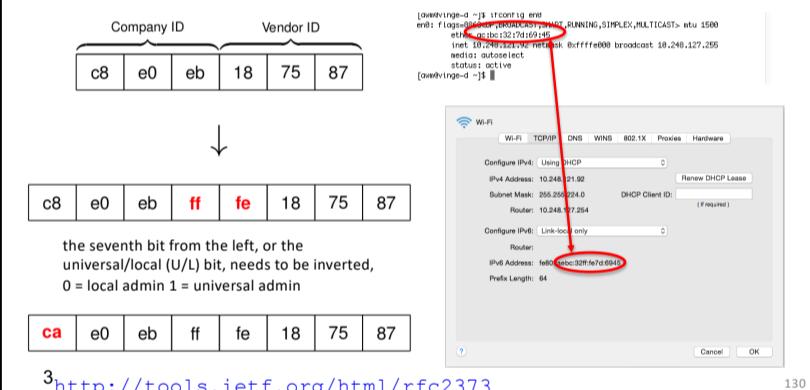
1. Generate potential link-local address
2. Ask the network (multicast⁴) if that address is in use: *neighbour solicitation*
3. Assuming no responses, assign to interface

⁴<https://tools.ietf.org/html/rfc2373>

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The EUI-64 Interface Identifier

- IEEE 64-bit Extended Unique Identifier (EUI-64)³
- There are various techniques to derive a 64-bit value, but often times we derive from the 48-bit MAC address



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SLAAC: overview; Router Solicitation

Then,

- Once the host has a unique link-local address, it can send packets to anything else sharing that link substrate
 - ... but the host doesn't yet know any routers, or public routes
 - ... bootstrap: routers listen to a well-known multicast address
- 4. host asks the network (multicast) for router information: *router solicitation*
- 5. responses from the routers are sent directly (unicast) to the host that sent the router solicitation
- 6. the responses may indicate that the host should do more (e.g., use DHCP to get DNS information)

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Router Advertisement

Without solicitation, router advertisements are generated intermittently by routing hardware.

Router Advertisements:

- nodes that forward traffic periodically advertise themselves to the network
- periodicity and expiry of the advertisement are configurable

Router Advertisement (RA), among other things, tells a host where to derive its network state with two flags: M(anageried) and O(ther info):

- M: "Managed Address Configuration", which means: use DHCPv6 to find your host address (and ignore option O)
- O: Other information is available via DHCPv6, such as DNS configuration

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Uh-oh

What problem(s) arises from totally decentralised address configuration?

Concerns that arise from using an EUI-64:

- Privacy: SLAAC interface identifiers don't change over time, so a host can be identified across networks
- Security: embedding a MAC address into an IPv6 address will carry that vendor's ID(s)⁵, a possible threat vector

⁵<http://standards.ieee.org/develop/regauth/oui/public.html>

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Address Configuration: SLAAC Privacy Addresses

Privacy extensions for SLAAC⁶

- temporary addresses for initiating outgoing sessions
- generate one temporary address per prefix
- when they expire, they are not used for new sessions, but can continue to be used for existing sessions
- the addresses should appear random, such that they are difficult to predict
- lifetime is configurable; this OSX machine sets an 86,400s timer (1 day)

⁶<https://tools.ietf.org/html/rfc4941>

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Address Configuration: SLAAC Privacy Addresses

The algorithm:

- Assume: a stored 64-bit input value from previous iterations, or a pseudo-randomly generated value
- 1. take that input value and append it to the EUI-64
- 2. compute the MD5 message digest of that value
- 3. set bit 6 to zero
- 4. compare the leftmost 64-bits against a list of reserved interface identifiers and those already assigned to an address on the local device. If the value is unacceptable, re-run using the rightmost 64 bits of the result instead of the historic input value in step 1
- 5. use the leftmost 64-bits as the randomised interface identifier
- 6. store the rightmost 64-bits as the history value to be used in the next iteration of the algorithm

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IPv6: why has the transition taken so long?

IPv4 and IPv6 are not compatible:

- different packet formats
- different addressing schemes

as the Internet has grown bigger and accumulated many IPv4-only services, transition has proven ... Tricky

Incentive issues

Virgin Media policy in 2010

...When IPV6 is rolled out across the whole of the Internet then a lot of the ISP's will roll out IPV6,

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IPv6: why has the transition taken so long?

- IPv4 has/had the momentum
 - ... which led to CIDR
 - ... and encouraged RFC1918 space and NAT
- IPv4 NAT was covered earlier in this topic (reminder)
 - your ISP hands you only one IPv4 address
 - you share that across multiple devices in your household
 - The NAT handles all the translation between internal ("private") and external ("public") space

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Transition tech: outline

- Tunnelling
- dual-stacked services, and happy eyeballs
- DNS64 and NAT64⁸
- 464XLAT
- DNS behaviour

⁸<https://tools.ietf.org/html/rfc6146>

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Transition tech: outline

- Tunnelling



Hurricane Electric Free IPv6 Tunnel Broker

IPv6 Tunnel Broker

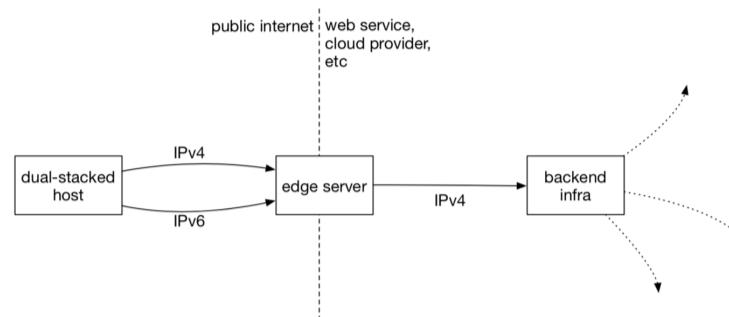
Think of it as an IPv6 VPN service; which is essentially what it is

⁸<https://tools.ietf.org/html/rfc6146>

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Dual-Stack Services: Common Deployment

It's common for web services to play conservatively: dual-stack your edge services (e.g., load balancers), leaving some legacy infrastructure for later:



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Dual-Stack Services: Common Deployment

Aim is to reduce the pain:

- You can dual-stack the edge hosts, and carry state in, say, HTTP headers indicating the user's IP address (common over v4 anyway)
- You can dual-stack the backend opportunistically, over a longer period of time
- You use DNS to enable/disable the v6 side last (if there is no AAAA record in DNS, no real users will connect to the IPv6 infrastructure)

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Happy Eyeballs and DNS

- The introduction of IPv6 carried with it an obligation that applications attempt to use IPv6 before falling back to IPv4.
- What happens though if you try to connect to a host which doesn't exist?⁹
- But the presence of IPv6 modifies the behaviour of DNS responses and response preference¹⁰

⁹<https://tools.ietf.org/html/rfc5461>

¹⁰<https://tools.ietf.org/html/rfc3484>

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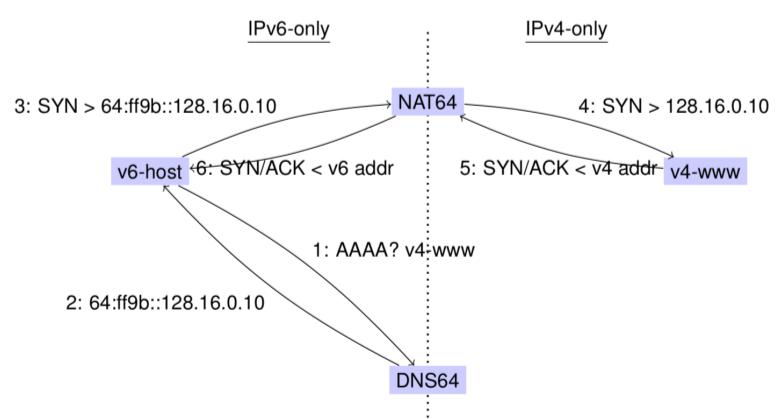
Happy Eyeballs

- Happy Eyeballs¹¹ was the proposed solution
 - the eyeballs in question are yours, or mine, or whoever is sitting in front of their browser getting mad that things are unresponsive
- Modifies application behaviour

¹¹<https://tools.ietf.org/html/rfc8305>

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DNS64 & NAT64



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464XLAT

- Problem: IPv6-only to the host, but an IPv4-only app trying to access an IPv4-only service
 - Some *applications* do not understand IPv6, so having an IPv6 address doesn't help
 - 464XLAT¹² solves this problem
 - In essence, DNS64 + NAT64 + a shim layer on the host itself to offer IPv4 addresses to apps

¹²<https://tools.ietf.org/html/rfc6877>

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Improving on IPv4 and IPv6?

- Why include unverifiable source address?
 - Would like accountability *and* anonymity (now neither)
 - Return address can be communicated at higher layer
- Why packet header used at edge same as core?
 - Edge: host tells network what service it wants
 - Core: packet tells switch how to handle it
 - One is local to host, one is global to network
- Some kind of payment/responsibility field?
 - Who is responsible for paying for packet delivery?
 - Source, destination, other?
- Other ideas?

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Summary Network Layer

- understand principles behind network layer services:
 - network layer service models
 - forwarding versus routing (versus switching)
 - how a switch & router works
 - routing (path selection)
 - IPv6
- Algorithms
 - Two routing approaches (LS vs DV)
 - One of these in detail (LS)
 - ARP
- Other Core ideas
 - Caching, soft-state, broadcast
 - Fate-sharing in practice....

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