

# V. Approx. Algorithms: Travelling Salesman Problem

Thomas Sauerwald

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UNIVERSITY OF  
CAMBRIDGE

# Outline

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Introduction

General TSP

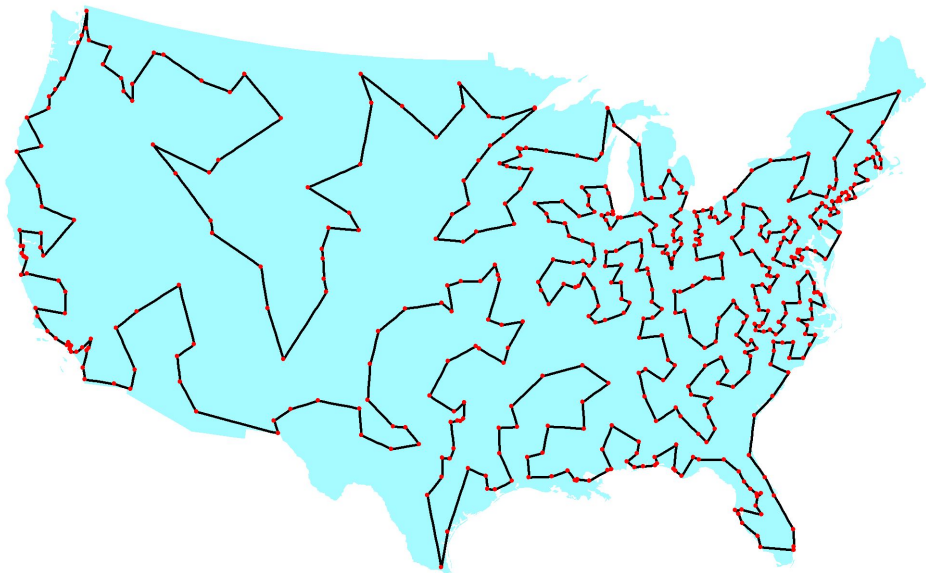
Metric TSP



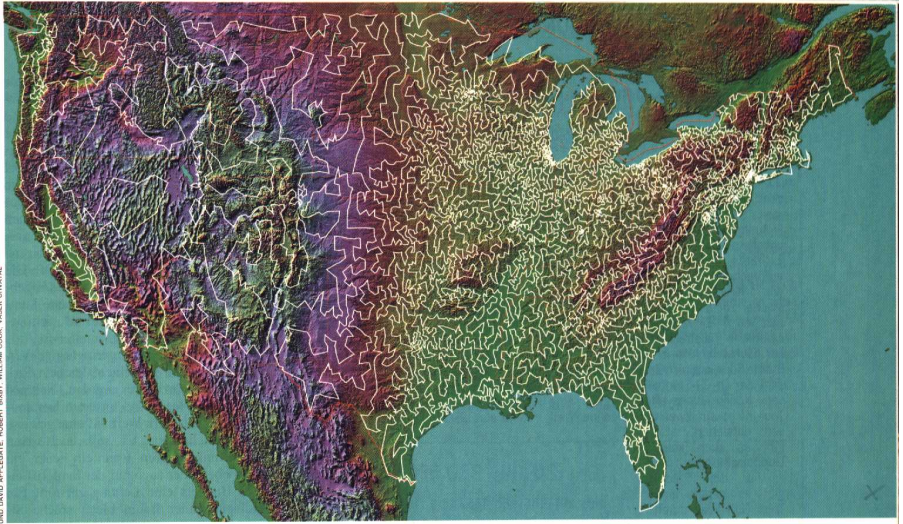


## 532 cities (1987 [Padberg, Rinaldi])

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# 13,509 cities (1999 [Applegate, Bixby, Chavatal, Cook])



## The Traveling Salesman Problem (TSP)

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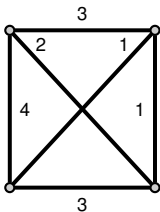


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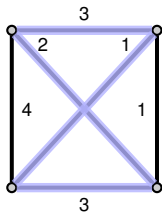


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$$3 + 2 + 1 + 3 = 9$$

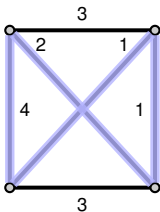


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$$2 + 4 + 1 + 1 = 8$$



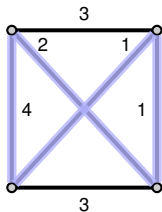
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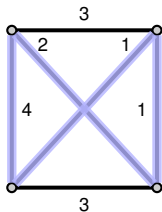
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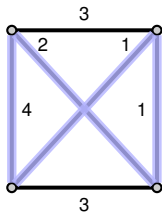
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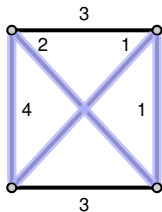
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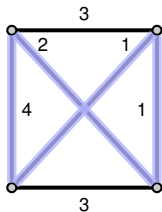
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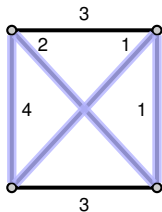
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- Euclidean TSP: cities are points in the Euclidean space, costs are equal to their (rounded) Euclidean distance

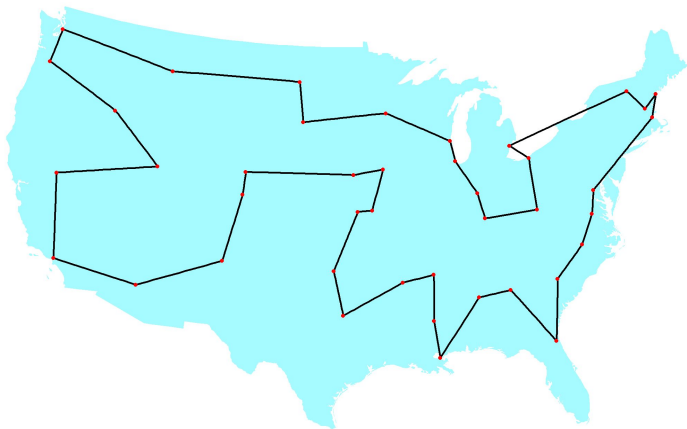
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## History of the TSP problem (1954)

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Dantzig, Fulkerson and Johnson found an optimal tour through 42 cities.



[http://www.math.uwaterloo.ca/tsp/history/img/dantzig\\_big.html](http://www.math.uwaterloo.ca/tsp/history/img/dantzig_big.html)



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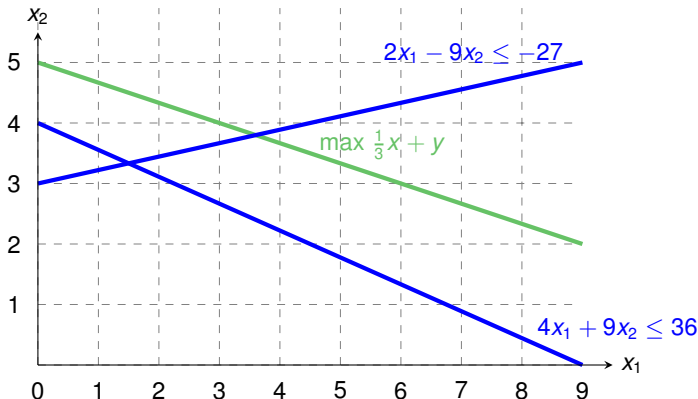
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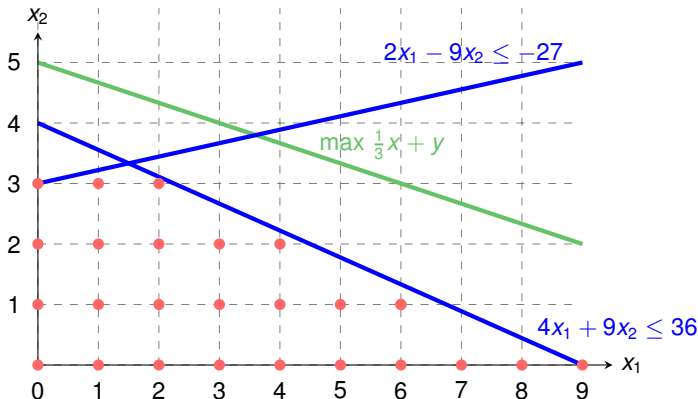
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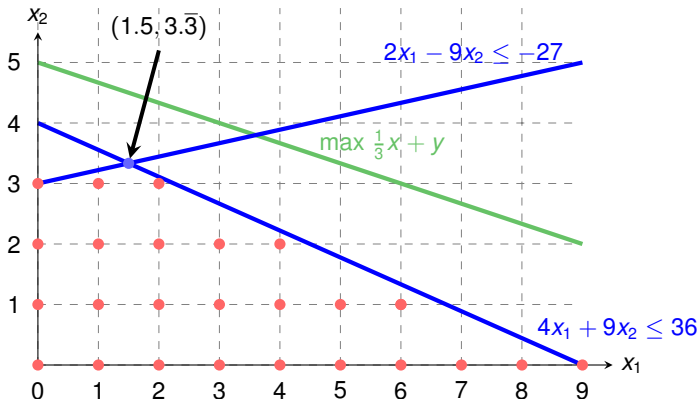
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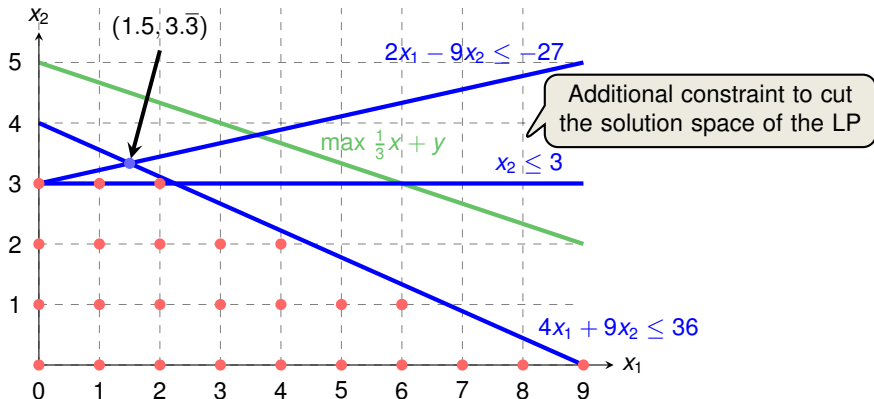
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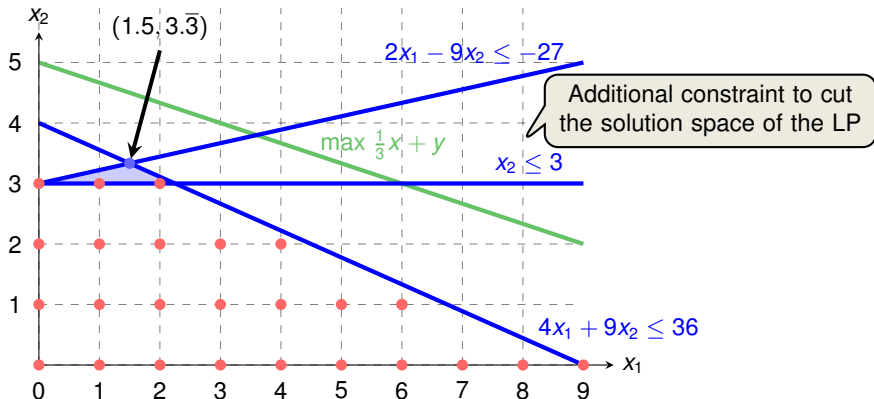
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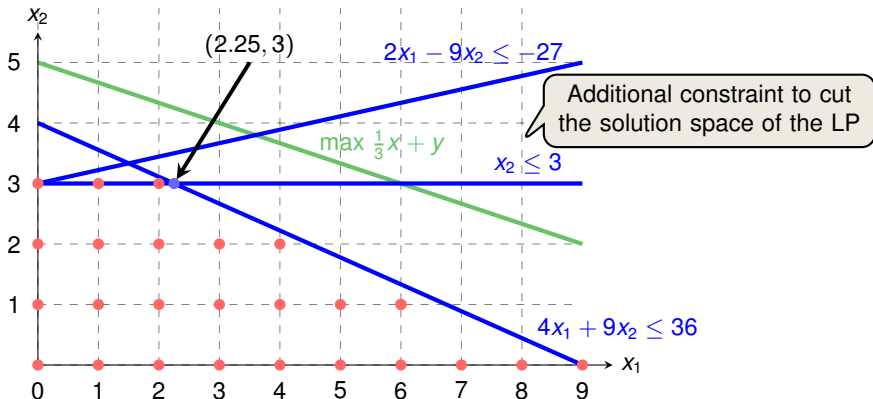
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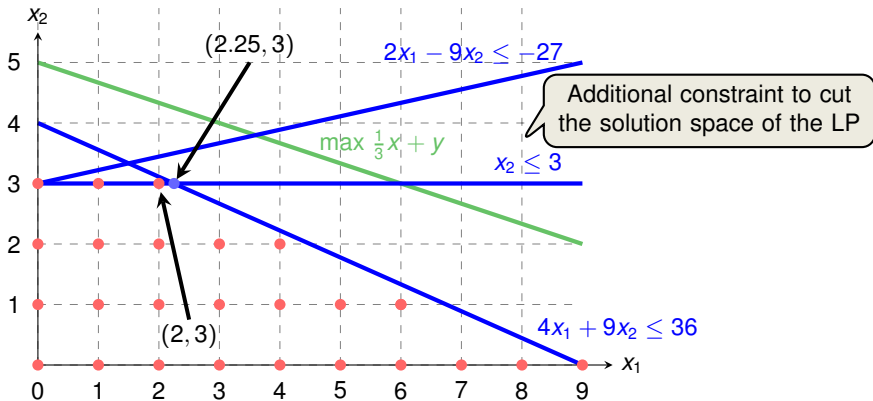
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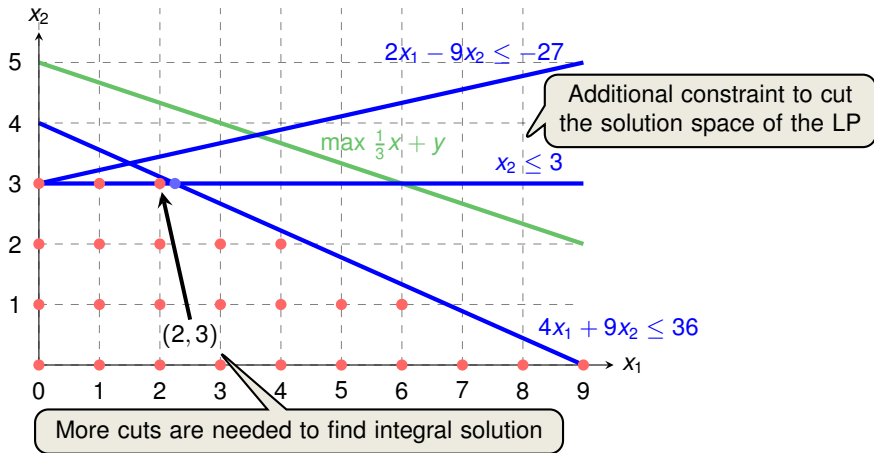
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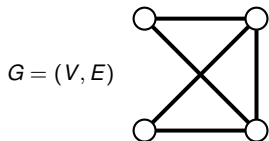
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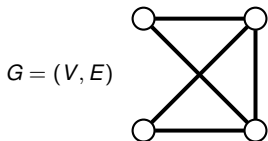
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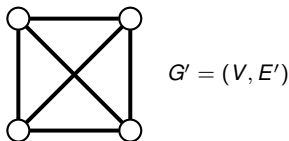
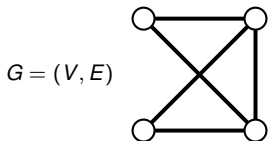
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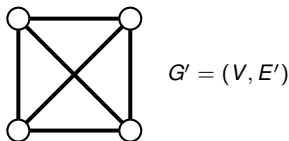
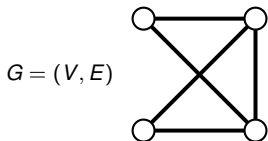
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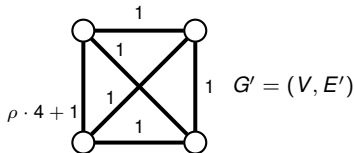
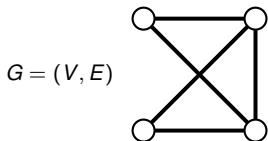
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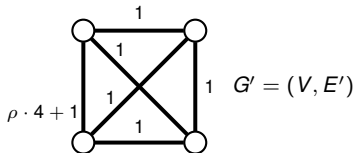
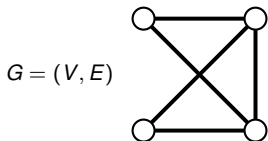
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Large weight will render this edge useless!





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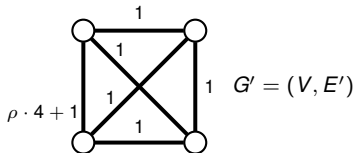
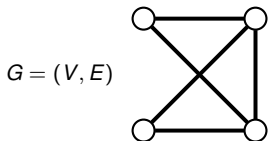
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Can create representations of  $G'$  and  $c$  in time polynomial in  $|V|$  and  $|E|!$

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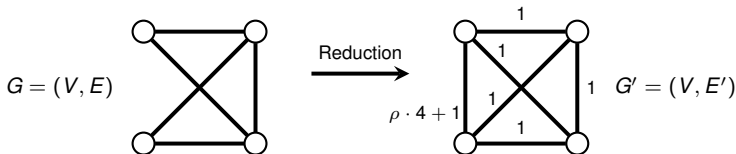
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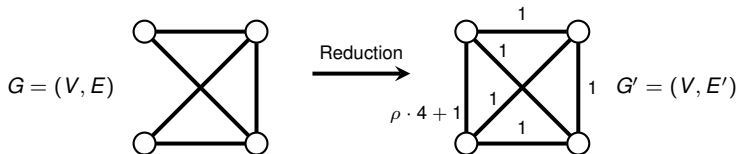
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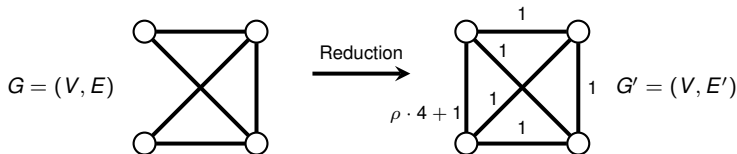
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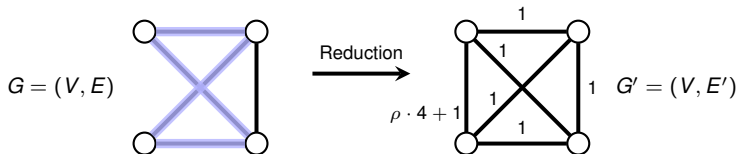
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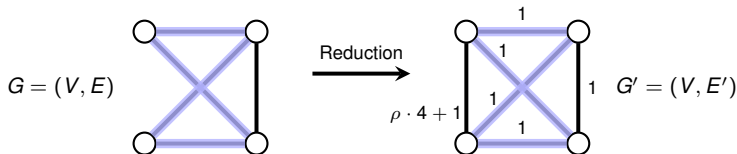
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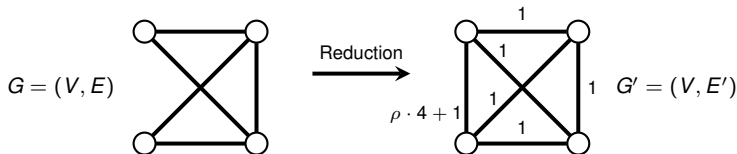
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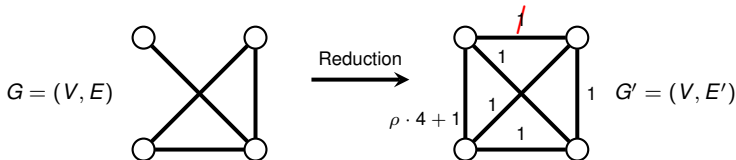
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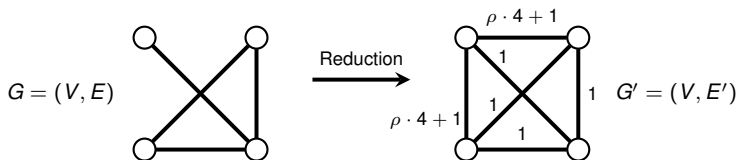
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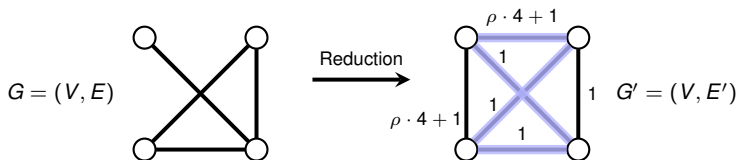
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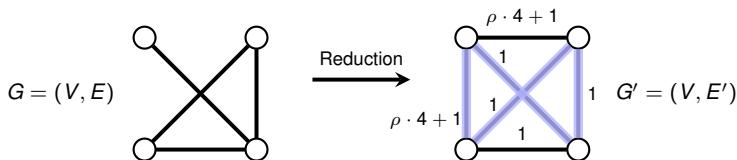
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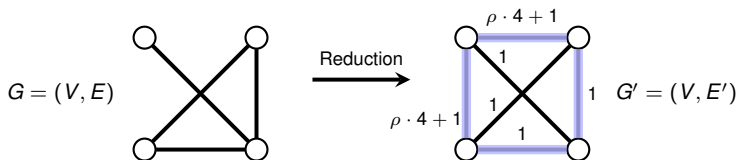
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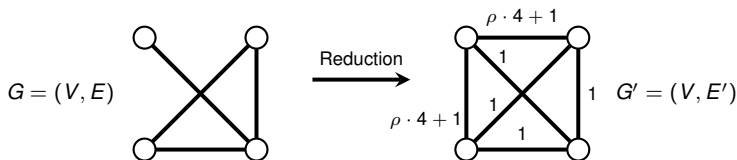
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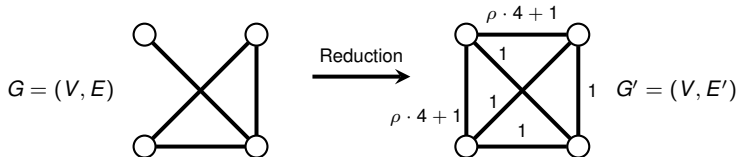
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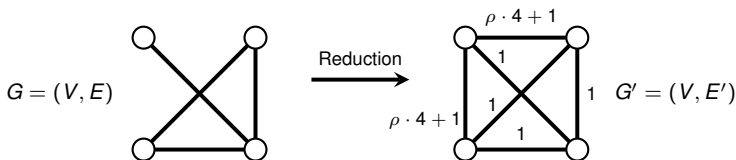
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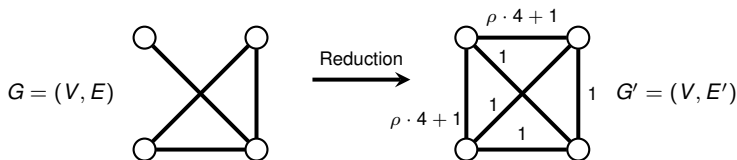
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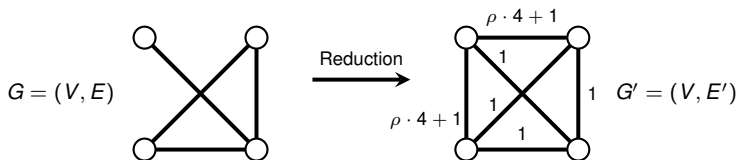
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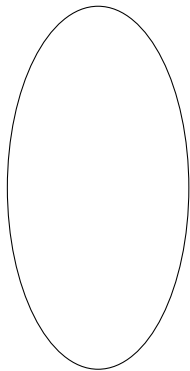
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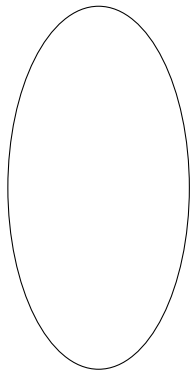


## Proof of Theorem 35.3 from a higher perspective

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instances of Hamilton



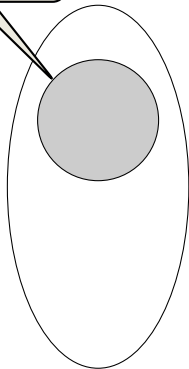
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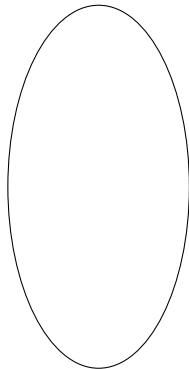
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All instances with a  
hamiltonian cycle



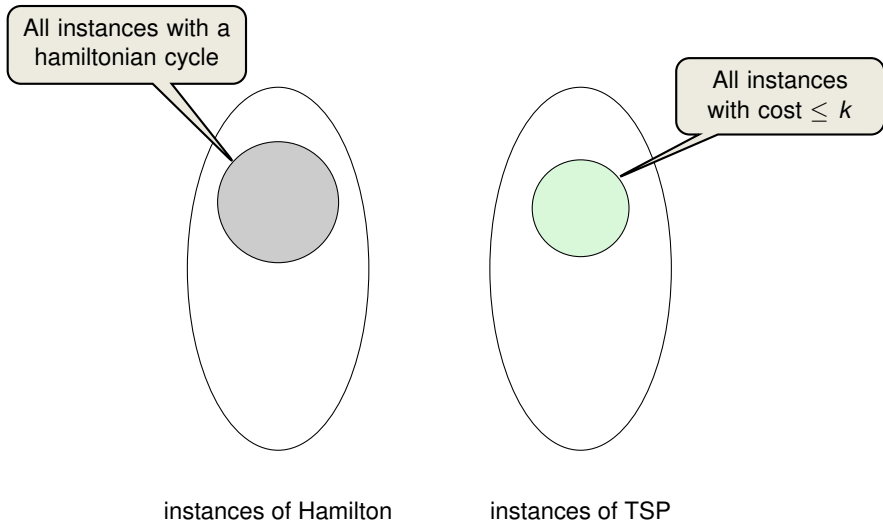
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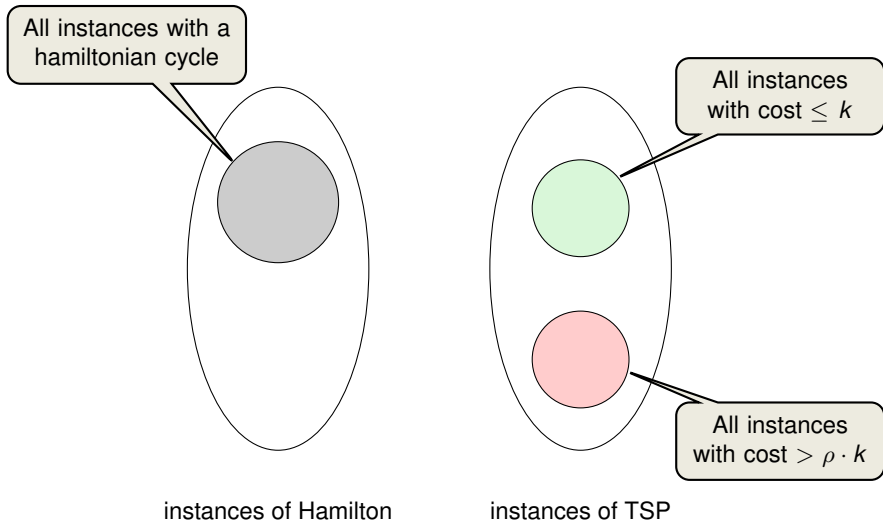
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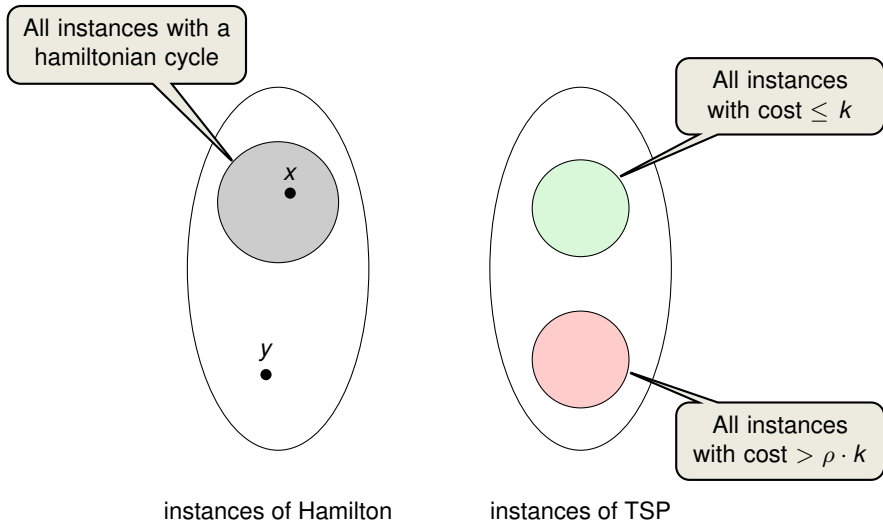
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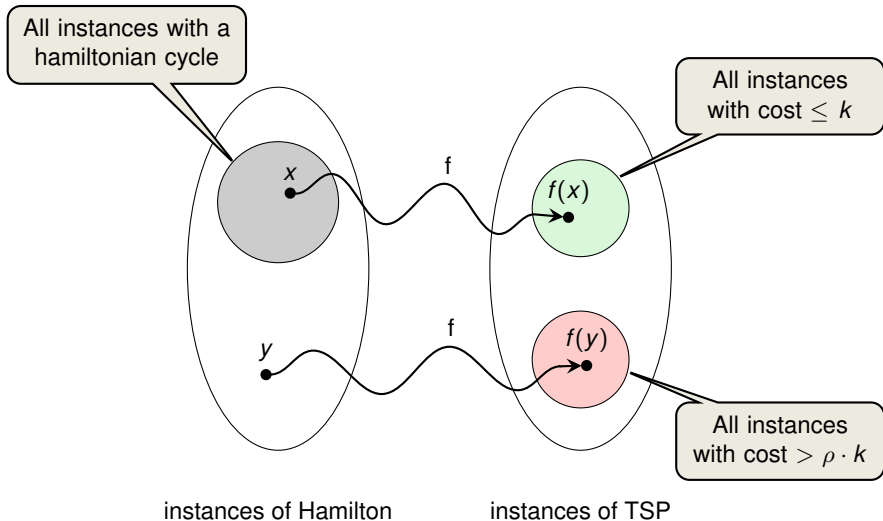
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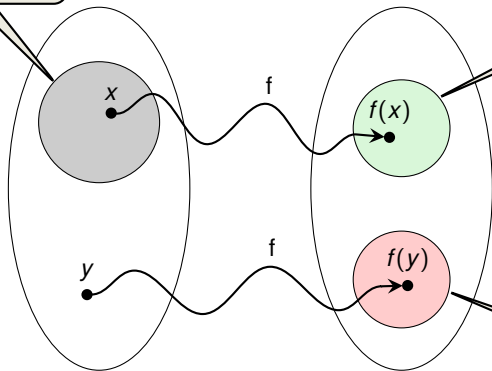
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## Proof of Theorem 35.3 from a higher perspective

General Method to prove inapproximability results!

All instances with a hamiltonian cycle



All instances with cost  $\leq k$

All instances with cost  $> \rho \cdot k$

instances of Hamilton

instances of TSP





# Outline

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Introduction

General TSP

Metric TSP



## Metric TSP (TSP Problem with the Triangle Inequality)

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APPROX-TSP-TOUR( $G, c$ )

- 1: select a vertex  $r \in G.V$  to be a “root” vertex
- 2: compute a minimum spanning tree  $T_{\min}$  for  $G$  from root  $r$
- 3:     using MST-PRIM( $G, c, r$ )
- 4: let  $H$  be a list of vertices, ordered according to when they are first visited
- 5:     in a preorder walk of  $T_{\min}$
- 6: **return** the hamiltonian cycle  $H$



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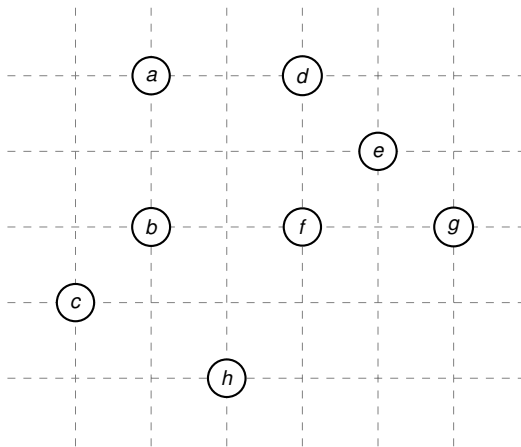
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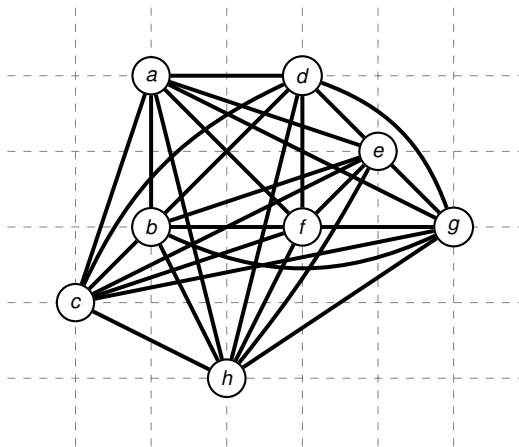
Remember: In the Metric-TSP problem,  $G$  is a complete graph.



## Run of APPROX-TSP-TOUR

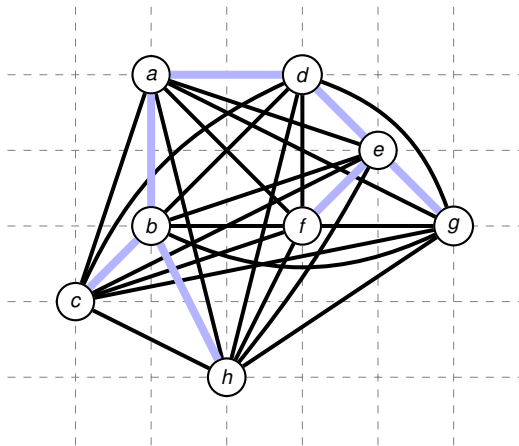
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1. Compute MST  $T_{\min}$



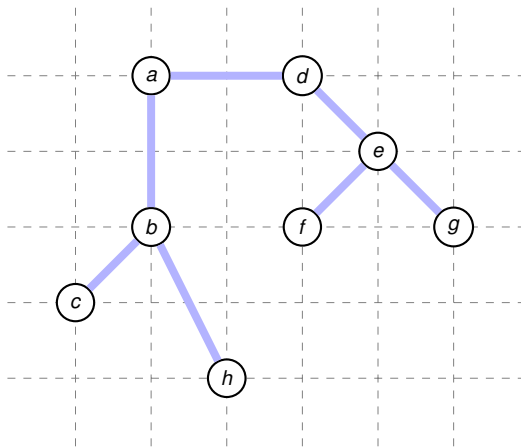


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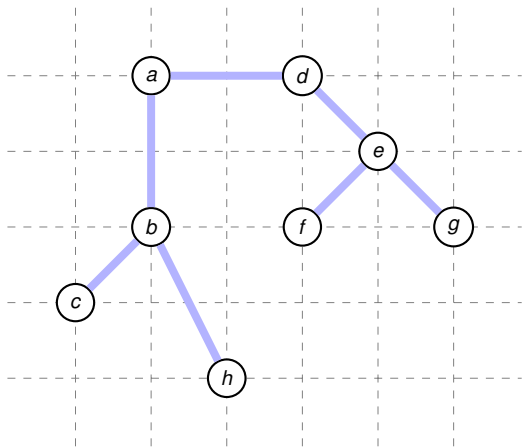
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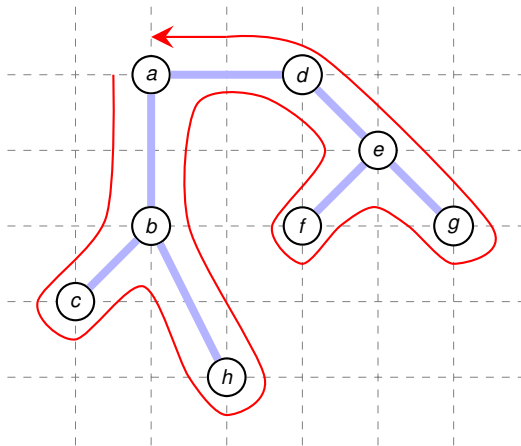
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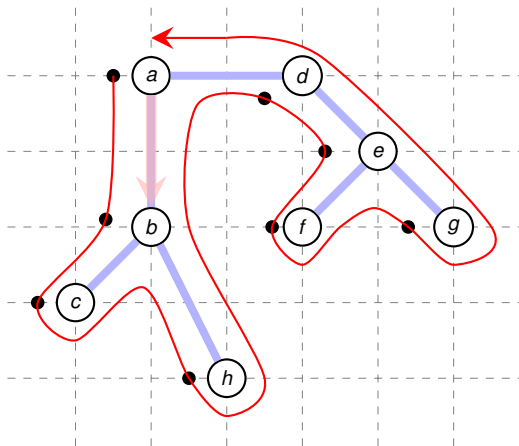
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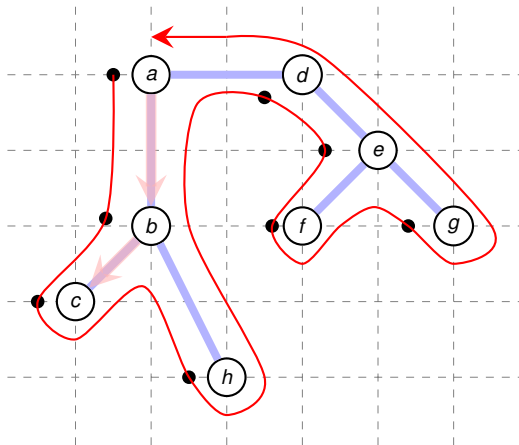
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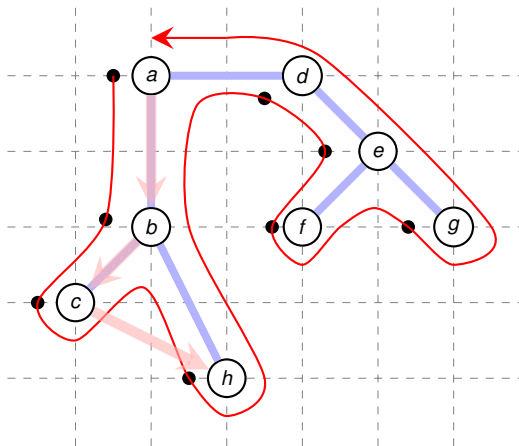
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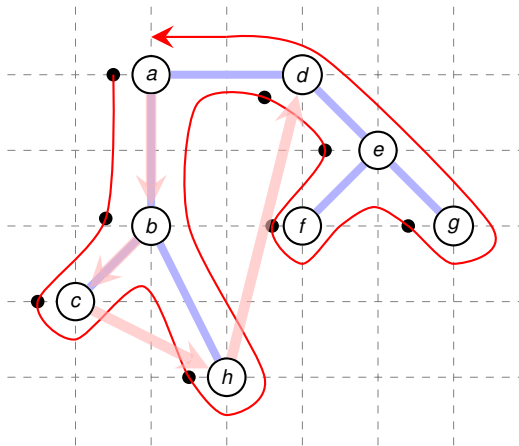
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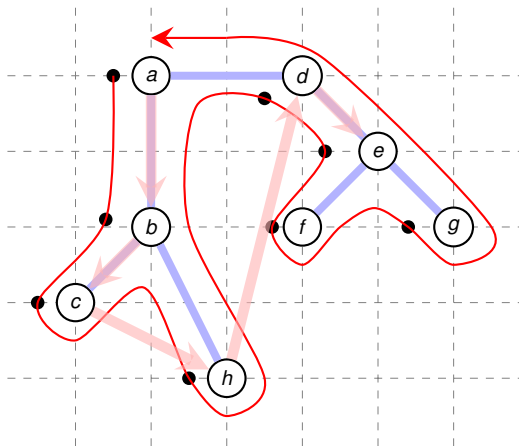
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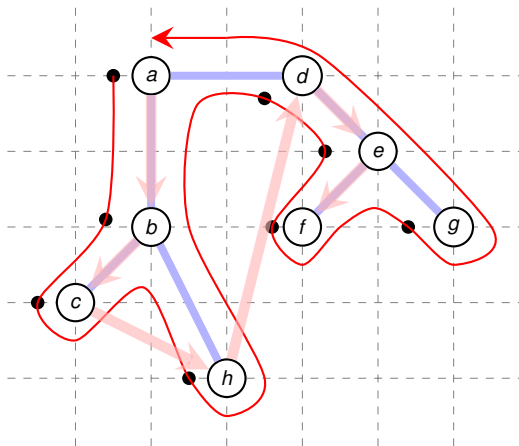


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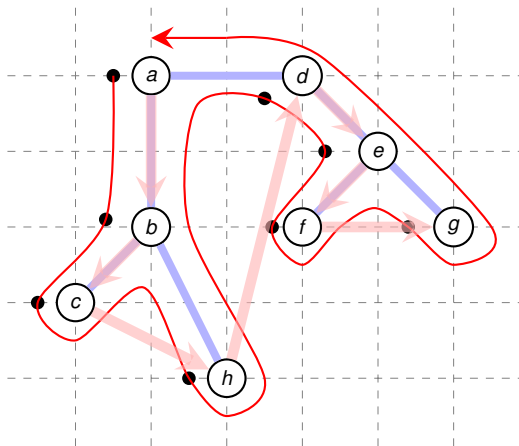
## Run of APPROX-TSP-TOUR



1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
3. Return list of vertices according to the preorder tree walk



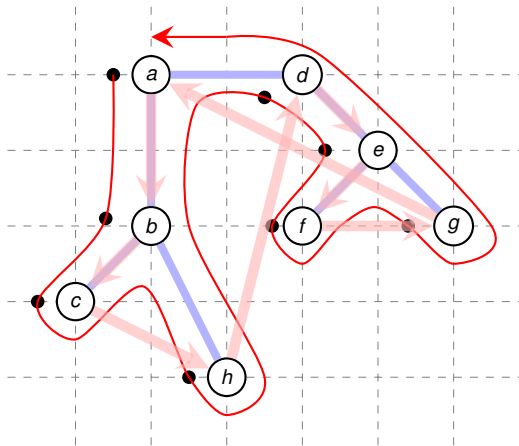
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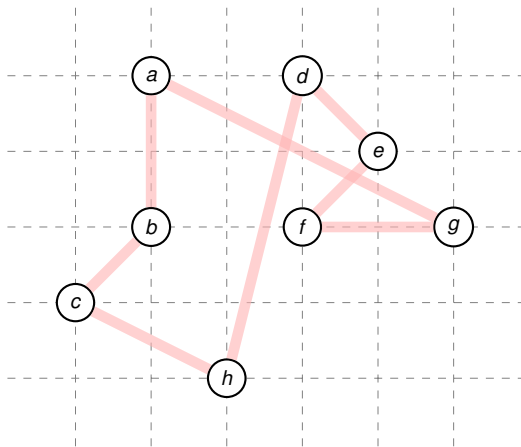
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## Run of APPROX-TSP-TOUR

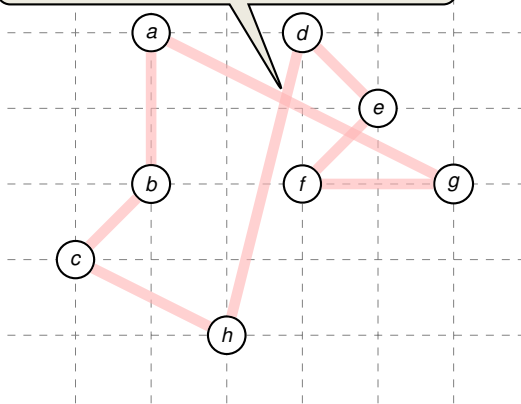


1. Compute MST  $T_{\min}$  ✓
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3. Return list of vertices according to the preorder tree walk ✓



## Run of APPROX-TSP-TOUR

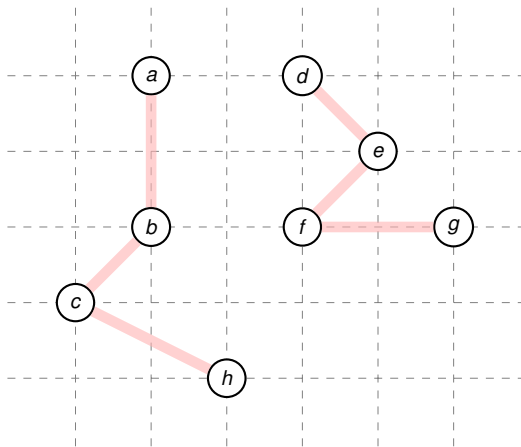
Solution has cost  $\approx 19.704$  - not optimal!



1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
3. Return list of vertices according to the preorder tree walk ✓



## Run of APPROX-TSP-TOUR

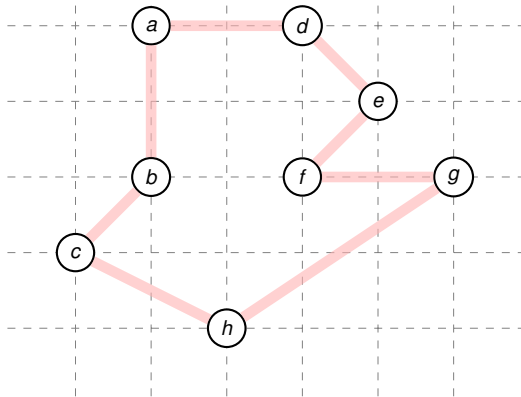


1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
3. Return list of vertices according to the preorder tree walk ✓



## Run of APPROX-TSP-TOUR

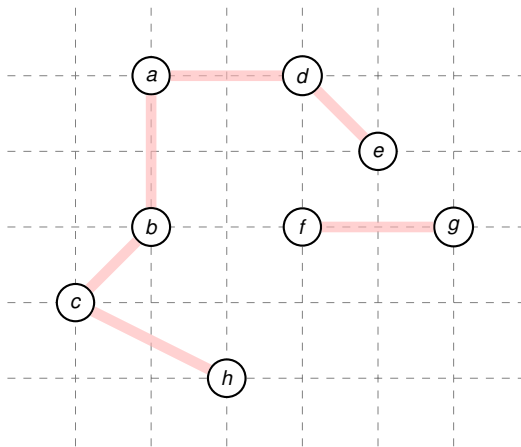
Better solution, yet still not optimal!



1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
3. Return list of vertices according to the preorder tree walk ✓



## Run of APPROX-TSP-TOUR



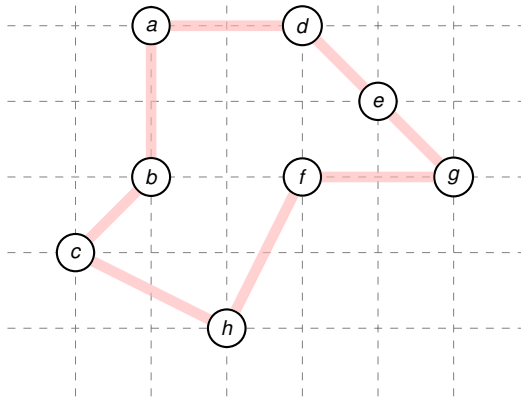
1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
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## Run of APPROX-TSP-TOUR

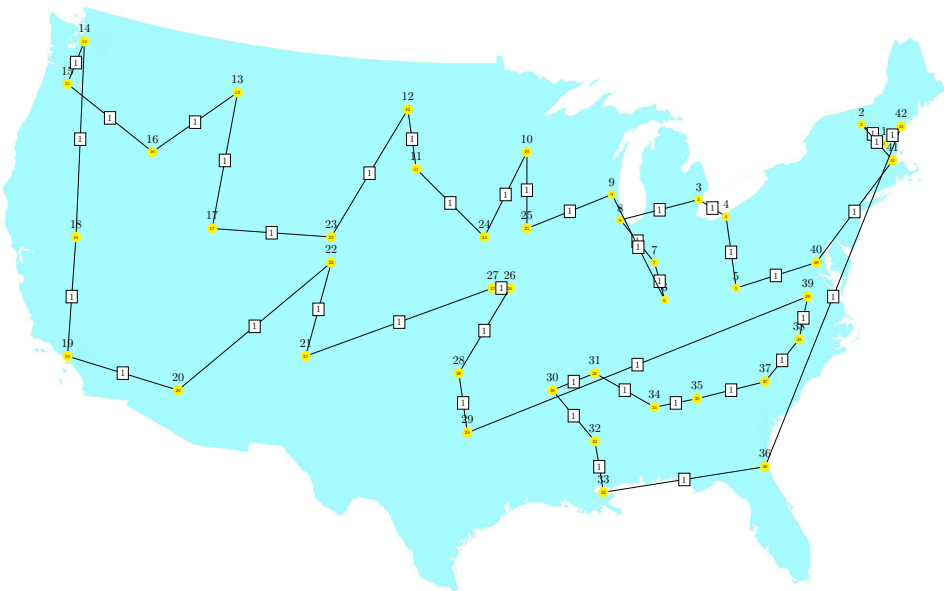
This is the optimal solution (cost  $\approx 14.715$ ).



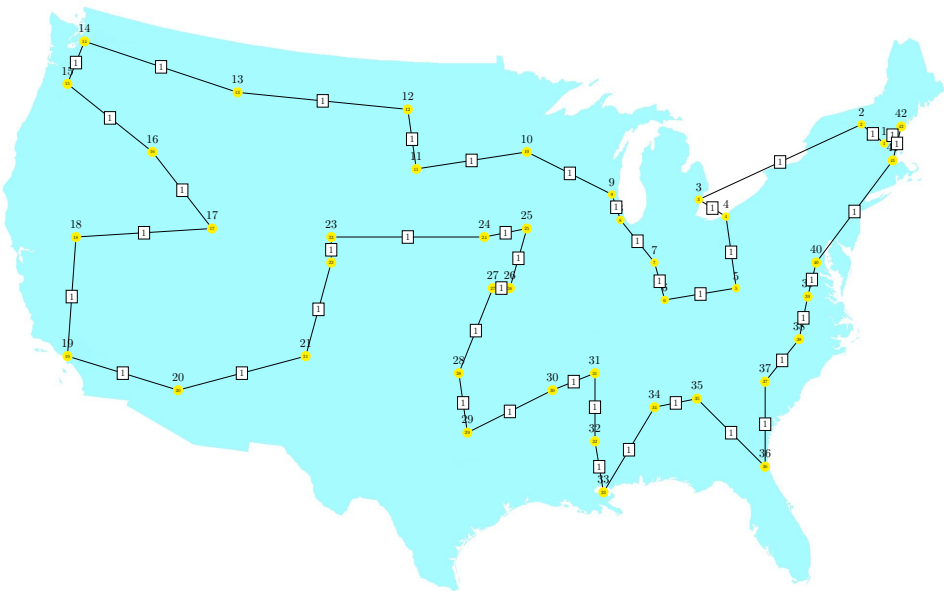
1. Compute MST  $T_{\min}$  ✓
2. Perform preorder walk on MST  $T_{\min}$  ✓
3. Return list of vertices according to the preorder tree walk ✓



# Approximate Solution: Objective 921



# Optimal Solution: Objective 699



## Proof of the Approximation Ratio

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### Theorem 35.2

APPROX-TSP-TOUR is a polynomial-time 2-approximation for the traveling-salesman problem with the triangle inequality.



## Proof of the Approximation Ratio

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Proof:

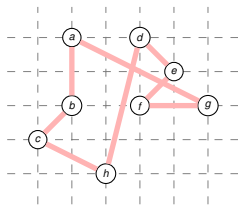


## Proof of the Approximation Ratio

### Theorem 35.2

APPROX-TSP-TOUR is a polynomial-time 2-approximation for the traveling-salesman problem with the triangle inequality.

Proof:



solution  $H$  of APPROX-TSP

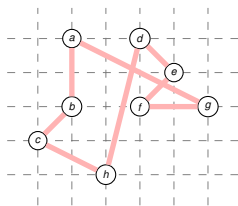


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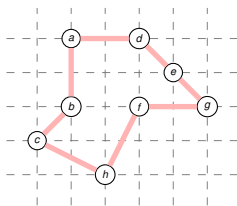
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solution  $H$  of APPROX-TSP



optimal solution  $H^*$



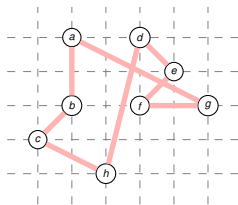
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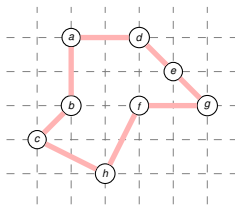
APPROX-TSP-TOUR is a polynomial-time 2-approximation for the traveling-salesman problem with the triangle inequality.

Proof:

- Consider the optimal tour  $H^*$  and remove an arbitrary edge



solution  $H$  of APPROX-TSP



optimal solution  $H^*$





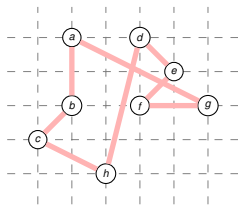
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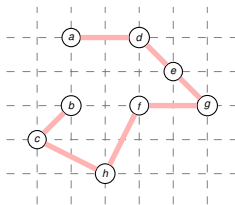
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solution  $H$  of APPROX-TSP



spanning tree  $T$  as a subset of  $H^*$



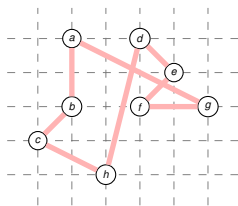
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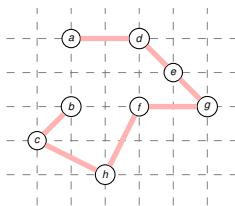
APPROX-TSP-TOUR is a polynomial-time 2-approximation for the traveling-salesman problem with the triangle inequality.

Proof:

- Consider the optimal tour  $H^*$  and remove an arbitrary edge  $\Rightarrow$  yields a spanning tree  $T$  and



solution  $H$  of APPROX-TSP



spanning tree  $T$  as a subset of  $H^*$



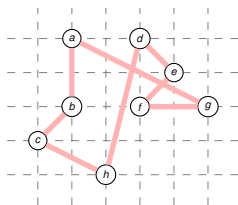
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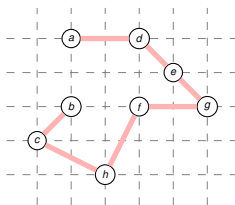
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 $\Rightarrow$  yields a spanning tree  $T$  and  $c(T_{\min}) \leq c(T) \leq c(H^*)$



solution  $H$  of APPROX-TSP



spanning tree  $T$  as a subset of  $H^*$



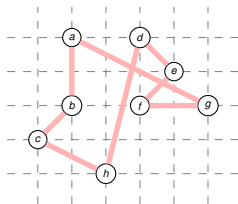
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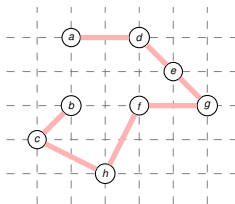
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 $\Rightarrow$  yields a spanning tree  $T$  and  $c(T_{\min}) \leq c(T) \leq c(H^*)$  exploiting that all edge costs are non-negative!



solution  $H$  of APPROX-TSP



spanning tree  $T$  as a subset of  $H^*$



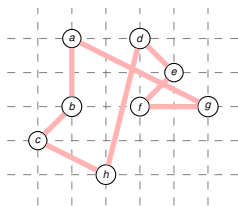
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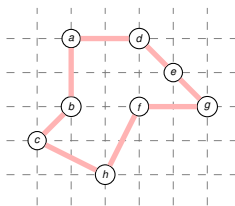
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- Let  $W$  be the full walk of the minimum spanning tree  $T_{\min}$  (including repeated visits)



solution  $H$  of APPROX-TSP



optimal solution  $H^*$



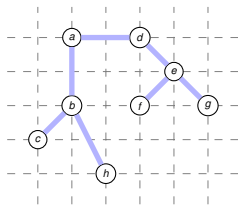
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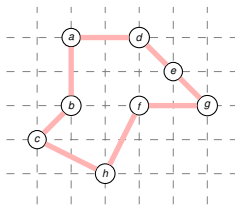
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minimum spanning tree  $T_{\min}$



optimal solution  $H^*$



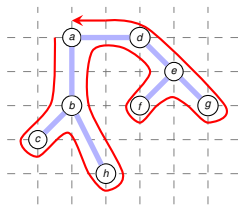
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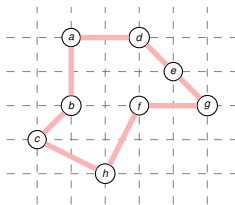
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Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, g, e, d, a)$



optimal solution  $H^*$



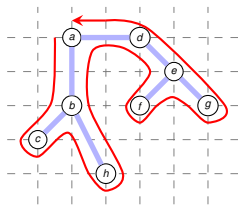
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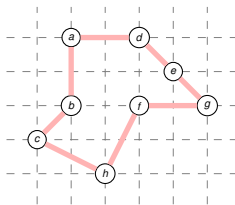
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- $\Rightarrow$  Full walk traverses every edge exactly twice, so



Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, g, e, d, a)$



optimal solution  $H^*$





## Proof of the Approximation Ratio

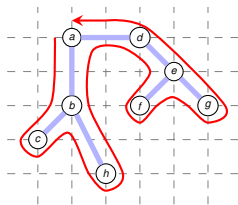
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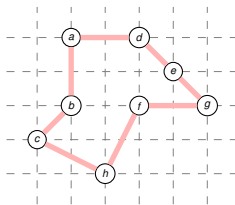
Proof:

- Consider the optimal tour  $H^*$  and remove an arbitrary edge
- $\Rightarrow$  yields a spanning tree  $T$  and  $c(T_{\min}) \leq c(T) \leq c(H^*)$
- Let  $W$  be the full walk of the minimum spanning tree  $T_{\min}$  (including repeated visits)
- $\Rightarrow$  Full walk traverses every edge exactly twice, so

$$c(W) = 2c(T_{\min})$$



Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, g, e, d, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

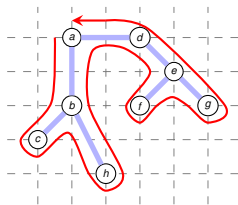
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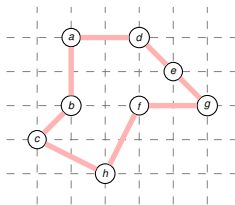
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Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, g, e, d, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

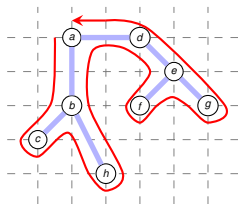
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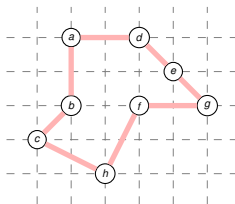
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- Let  $W$  be the **full walk** of the minimum spanning tree  $T_{\min}$  (including repeated visits)
- $\Rightarrow$  Full walk traverses every edge **exactly twice**, so
$$c(W) = 2c(T_{\min}) \leq 2c(T) \leq 2c(H^*)$$

- Deleting duplicate vertices from  $W$  yields a tour  $H$



Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, d, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

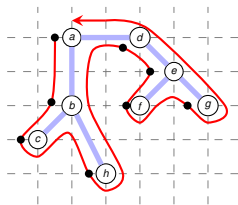
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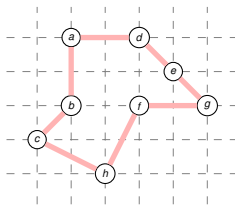
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Walk  $W = (a, b, c, b, h, b, a, d, e, f, e, d, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

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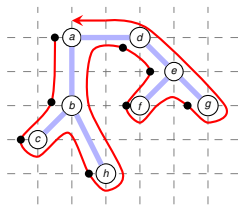
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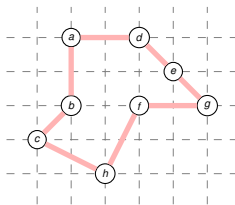
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Walk  $W = (a, b, c, \cancel{b}, h, \cancel{b}, a, d, e, f, \cancel{e}, g, \cancel{e}, d, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

### Theorem 35.2

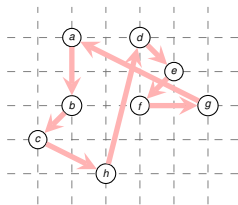
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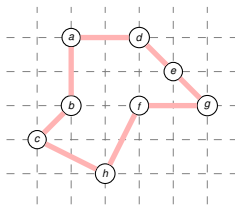
- Consider the optimal tour  $H^*$  and remove an arbitrary edge
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Tour  $H = (a, b, c, h, d, e, f, g, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

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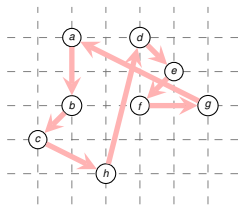
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⇒ yields a **spanning tree**  $T$  and  $c(T_{\min}) \leq c(T) \leq c(H^*)$
- Let  $W$  be the **full walk** of the minimum spanning tree  $T_{\min}$  (including repeated visits)
- ⇒ Full walk traverses every edge **exactly twice**, so

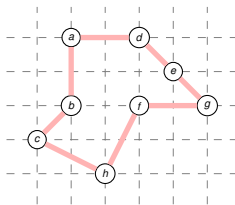
$$c(W) = 2c(T_{\min}) \leq 2c(T) \leq 2c(H^*)$$

exploiting **triangle inequality!**

- Deleting duplicate vertices from  $W$  yields a tour  $H$  with **smaller cost**:



Tour  $H = (a, b, c, h, d, e, f, g, a)$



optimal solution  $H^*$



## Proof of the Approximation Ratio

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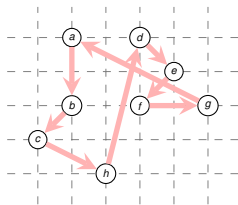
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- ⇒ Full walk traverses every edge **exactly twice**, so

$$c(W) = 2c(T_{\min}) \leq 2c(T) \leq 2c(H^*)$$

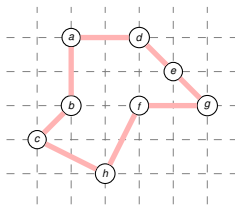
exploiting **triangle inequality!**

- Deleting duplicate vertices from  $W$  yields a tour  $H$  with smaller cost:

$$c(H) \leq c(W)$$



Tour  $H = (a, b, c, h, d, e, f, g, a)$



optimal solution  $H^*$





## Proof of the Approximation Ratio

### Theorem 35.2

APPROX-TSP-TOUR is a polynomial-time 2-approximation for the traveling-salesman problem with the triangle inequality.

Proof:

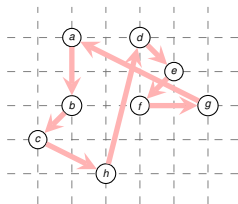
- Consider the optimal tour  $H^*$  and remove an arbitrary edge  
 $\Rightarrow$  yields a **spanning tree**  $T$  and  $c(T_{\min}) \leq c(T) \leq c(H^*)$
- Let  $W$  be the **full walk** of the minimum spanning tree  $T_{\min}$  (including repeated visits)
- $\Rightarrow$  Full walk traverses every edge **exactly twice**, so

$$c(W) = 2c(T_{\min}) \leq 2c(T) \leq 2c(H^*)$$

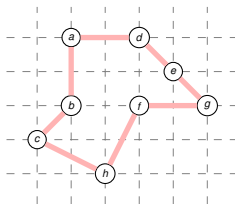
exploiting **triangle inequality!**

- Deleting duplicate vertices from  $W$  yields a tour  $H$  with smaller cost:

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Tour  $H = (a, b, c, h, d, e, f, g, a)$



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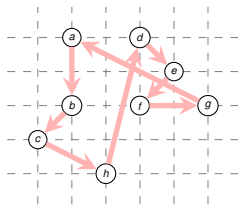
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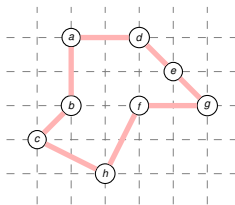
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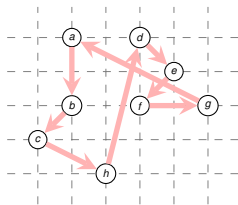
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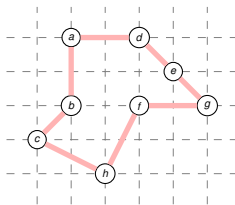
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## Christofides Algorithm

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Can we get a better approximation ratio?



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CHRISTOFIDES( $G, c$ )

- 1: select a vertex  $r \in G.V$  to be a “root” vertex
- 2: compute a minimum spanning tree  $T_{\min}$  for  $G$  from root  $r$
- 3:     using MST-PRIM( $G, c, r$ )
- 4: compute a perfect matching  $M_{\min}$  with minimum weight in the complete graph
- 5:     over the odd-degree vertices in  $T_{\min}$
- 6: let  $H$  be a list of vertices, ordered according to when they are first visited
- 7:     in a Eularian circuit of  $T_{\min} \cup M_{\min}$
- 8: **return** the hamiltonian cycle  $H$



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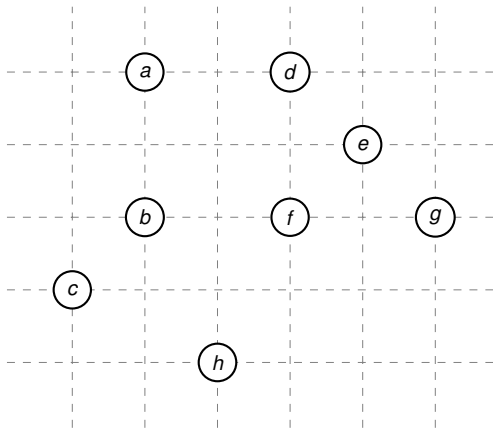
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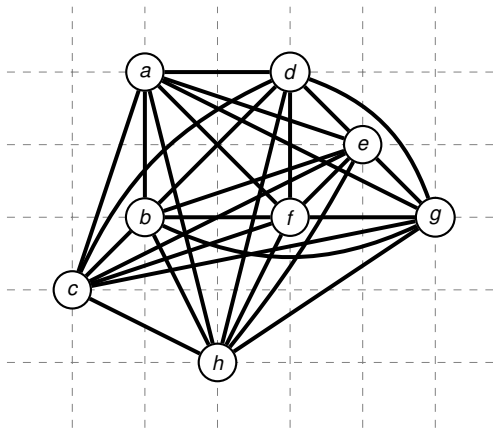


## Run of CHRISTOFIDES

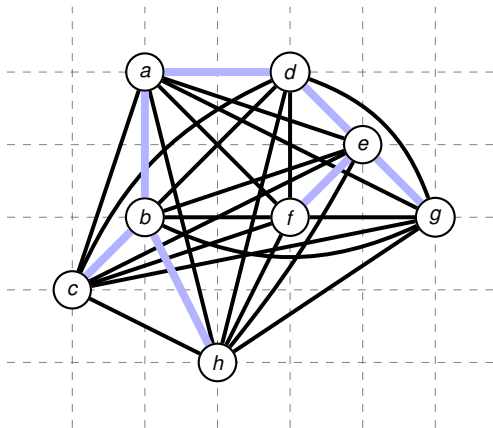
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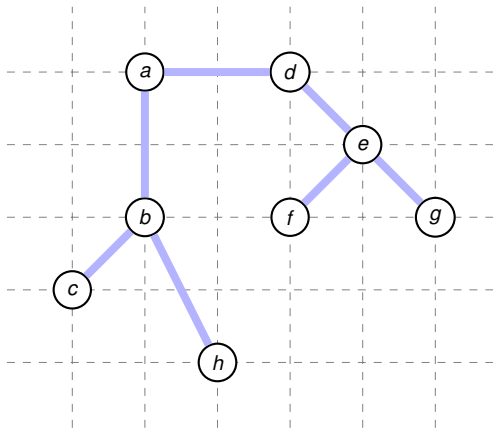




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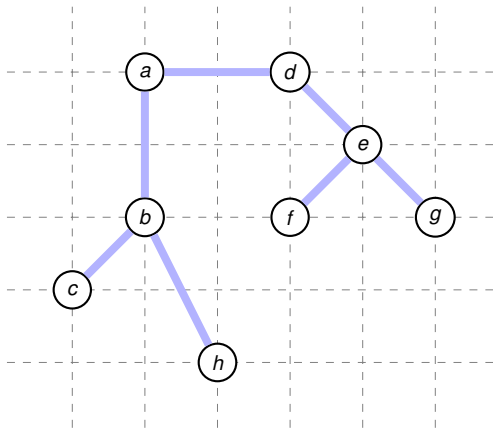


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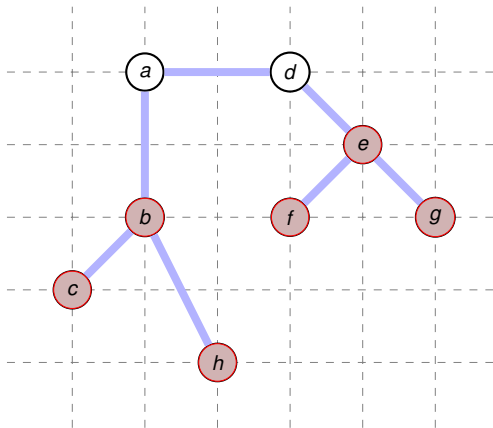


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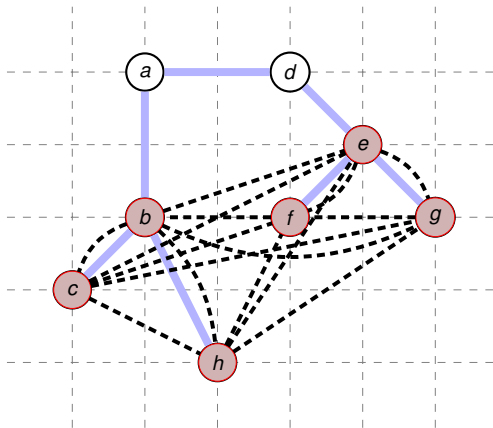


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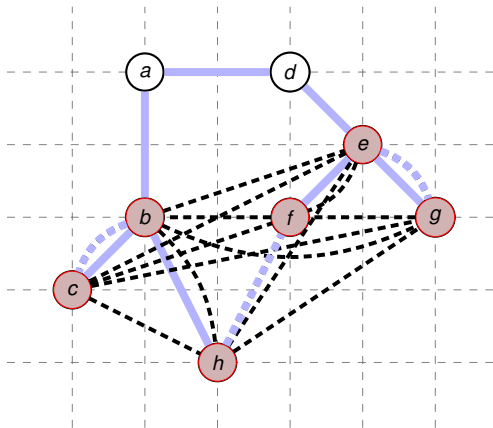
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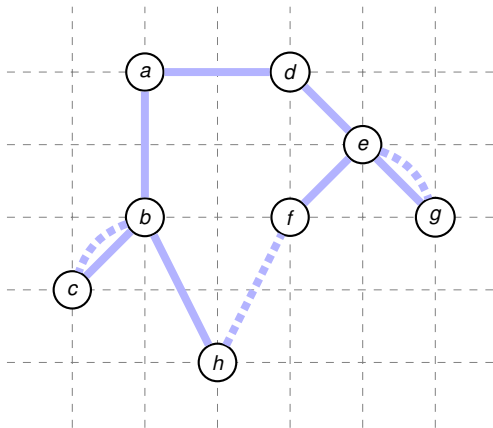


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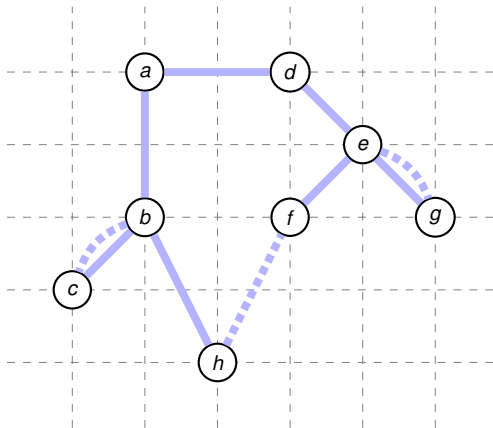
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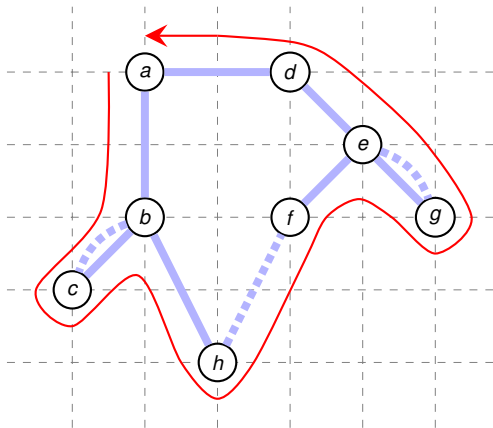




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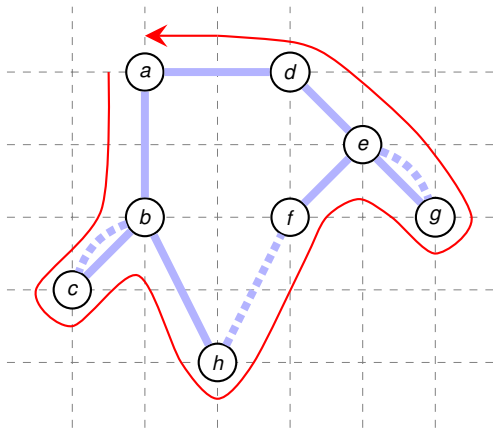




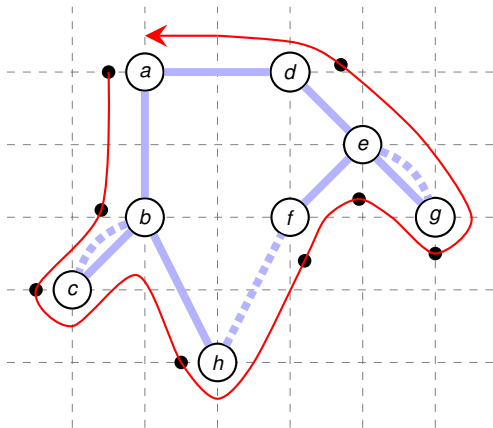
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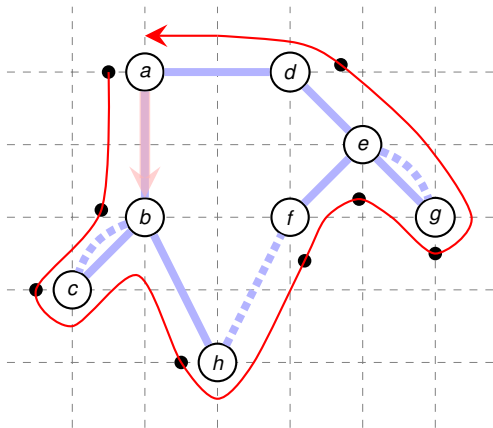




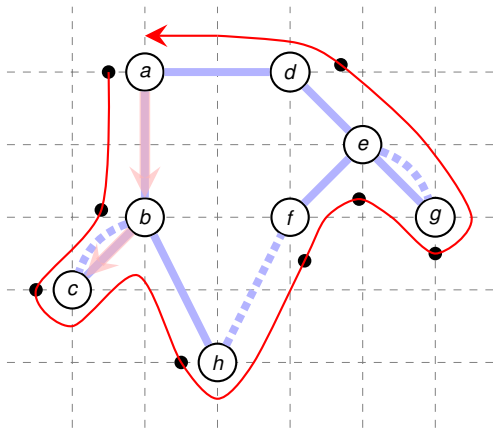
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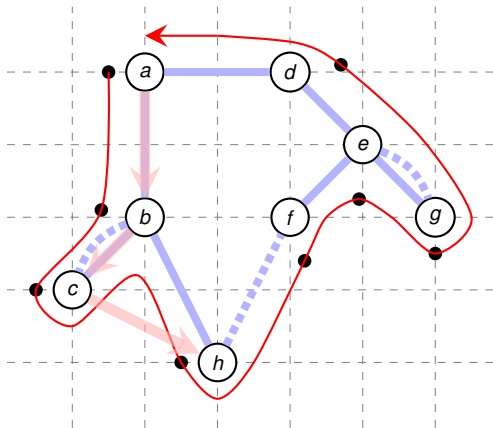
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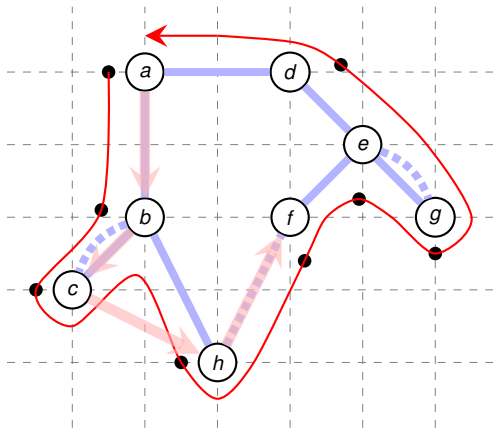
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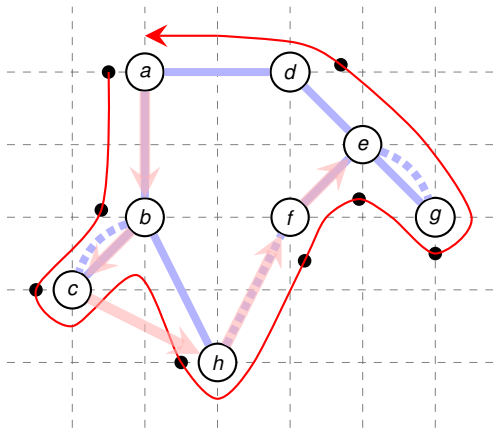
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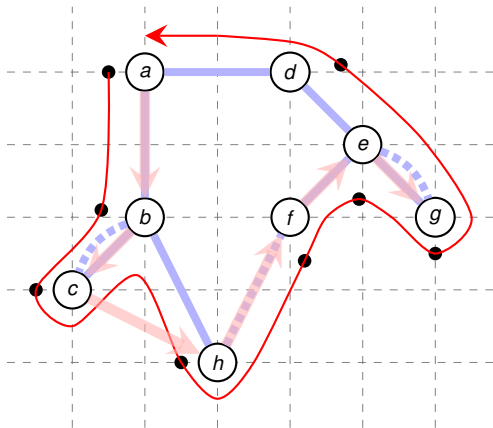
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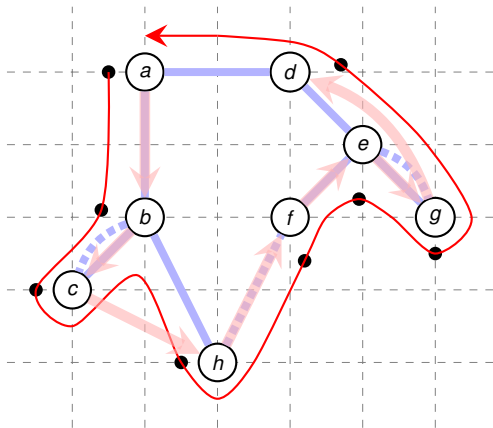


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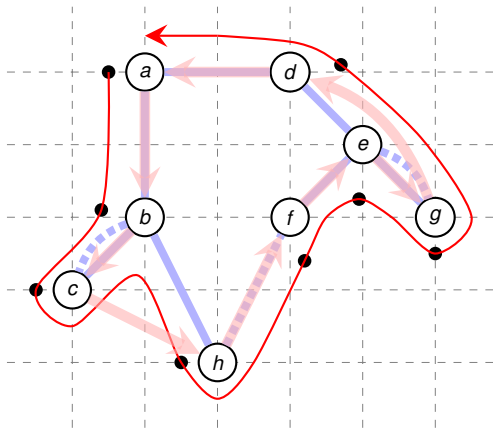
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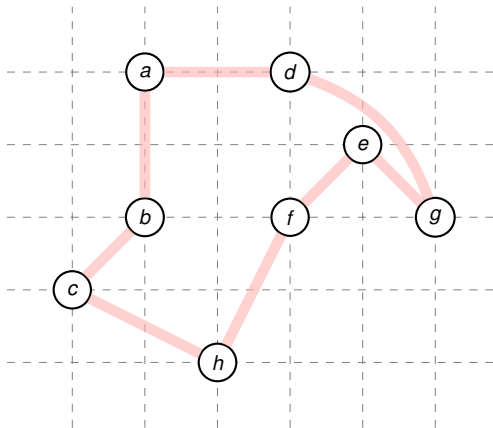


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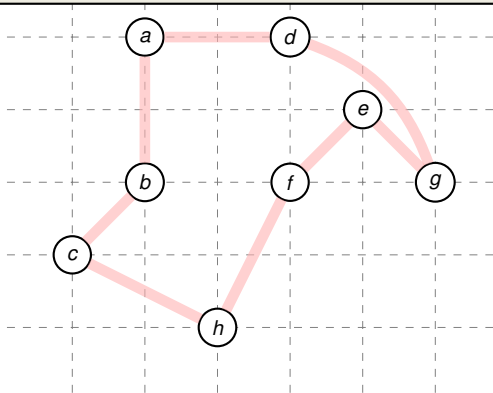
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Solution has cost  $\approx 15.54$  - within 10% of the optimum!



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There is a polynomial-time  $\frac{3}{2}$ -approximation algorithm for the travelling salesman problem with the triangle inequality.



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## Concluding Remarks

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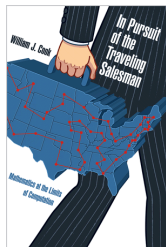
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**Exercise:** Prove that the approximation ratio of APPROX-TSP-TOUR satisfies  $\rho(n) < 2$ .

**Hint:** Consider the effect of the shortcutting, but note that edge costs might be zero!

