

# Lambda-Definable Functions

# Representing composition

If total function  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is represented by  $F$  and total functions  $g_1, \dots, g_n \in \mathbb{N}^m \rightarrow \mathbb{N}$  are represented by  $G_1, \dots, G_n$ , then their composition  $f \circ (g_1, \dots, g_n) \in \mathbb{N}^m \rightarrow \mathbb{N}$  is represented simply by

$$\lambda x_1 \dots x_m. F (G_1 x_1 \dots x_m) \dots (G_n x_1 \dots x_m)$$

because

$$\begin{aligned} & F (G_1 \underline{a_1} \dots \underline{a_m}) \dots (G_n \underline{a_1} \dots \underline{a_m}) \\ =_{\beta} & F \underline{g_1(a_1, \dots, a_m)} \dots \underline{g_n(a_1, \dots, a_m)} \\ =_{\beta} & \underline{f(g_1(a_1, \dots, a_m), \dots, g_n(a_1, \dots, a_m))} \\ = & \underline{f \circ (g_1, \dots, g_n)(a_1, \dots, a_m)} \end{aligned}$$

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$$\lambda x_1 \dots x_m. F (G_1 x_1 \dots x_m) \dots (G_n x_1 \dots x_m)$$

This does not necessarily work for partial functions. E.g. totally undefined function  $u \in \mathbb{N} \rightarrow \mathbb{N}$  is represented by  $U \triangleq \lambda x_1. \Omega$  (why?) and  $\text{zero}^1 \in \mathbb{N} \rightarrow \mathbb{N}$  is represented by  $Z \triangleq \lambda x_1. \underline{0}$ ; but  $\text{zero}^1 \circ u$  is not represented by  $\lambda x_1. Z(U x_1)$ , because  $(\text{zero}^1 \circ u)(n) \uparrow$  whereas  $(\lambda x_1. Z(U x_1)) \underline{n} =_{\beta} Z \Omega =_{\beta} \underline{0}$ . (What is  $\text{zero}^1 \circ u$  represented by?)

(see Ex. 12)

# Primitive recursion

**Theorem.** Given  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  and  $g \in \mathbb{N}^{n+2} \rightarrow \mathbb{N}$ , there is a unique  $h \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  satisfying

$$\begin{cases} h(\vec{x}, 0) & \equiv f(\vec{x}) \\ h(\vec{x}, x + 1) & \equiv g(\vec{x}, x, h(\vec{x}, x)) \end{cases}$$

for all  $\vec{x} \in \mathbb{N}^n$  and  $x \in \mathbb{N}$ .

We write  $\rho^n(f, g)$  for  $h$  and call it the partial function defined by primitive recursion from  $f$  and  $g$ .

# Representing primitive recursion

If  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $F$  and  $g \in \mathbb{N}^{n+2} \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $G$ , we want to show  $\lambda$ -definability of the unique  $h \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  satisfying

$$\begin{cases} h(\vec{a}, 0) & = f(\vec{a}) \\ h(\vec{a}, a + 1) & = g(\vec{a}, a, h(\vec{a}, a)) \end{cases}$$

or equivalently

$$h(\vec{a}, a) = \begin{cases} \text{if } a = 0 \text{ then } f(\vec{a}) \\ \text{else } g(\vec{a}, a - 1, h(\vec{a}, a - 1)) \end{cases}$$

# Representing primitive recursion

If  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $F$  and  $g \in \mathbb{N}^{n+2} \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $G$ , we want to show  $\lambda$ -definability of the unique  $h \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  satisfying  $h = \Phi_{f,g}(h)$

where  $\Phi_{f,g} \in (\mathbb{N}^{n+1} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N}^{n+1} \rightarrow \mathbb{N})$  is given by

$$\Phi_{f,g}(h)(\vec{a}, a) \triangleq \begin{cases} f(\vec{a}) & \text{if } a = 0 \\ g(\vec{a}, a - 1, h(\vec{a}, a - 1)) & \text{else} \end{cases}$$

# Representing primitive recursion

If  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $F$  and

$g \in \mathbb{N}^{n+2} \rightarrow \mathbb{N}$  is represented by a  $\lambda$ -term  $G$ ,

we want to show  $\lambda$ -definability of the unique

$h \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  satisfying  $h = \Phi_{f,g}(h)$

where  $\Phi_{f,g} \in (\mathbb{N}^{n+1} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N}^{n+1} \rightarrow \mathbb{N})$  is given by...

## Strategy:

- ▶ show that  $\Phi_{f,g}$  is  $\lambda$ -definable;
- ▶ show that we can solve **fixed point equations**  
 $X = M X$  up to  $\beta$ -conversion in the  $\lambda$ -calculus.

# Representing booleans

**True**  $\triangleq$   $\lambda x y. x$

**False**  $\triangleq$   $\lambda x y. y$

**If**  $\triangleq$   $\lambda f x y. f x y$

satisfy

- ▶ **If True**  $M N =_{\beta}$  **True**  $M N =_{\beta} M$
- ▶ **If False**  $M N =_{\beta}$  **False**  $M N =_{\beta} N$



# Representing test-for-zero

$$\mathbf{Eq}_0 \triangleq \lambda x. x(\lambda y. \mathbf{False}) \mathbf{True}$$

satisfies

- ▶  $\mathbf{Eq}_0 \underline{0} =_{\beta} \underline{0} (\lambda y. \mathbf{False}) \mathbf{True}$   
 $=_{\beta} \mathbf{True}$
- ▶  $\mathbf{Eq}_0 \underline{n + 1} =_{\beta} \underline{n + 1} (\lambda y. \mathbf{False}) \mathbf{True}$   
 $=_{\beta} (\lambda y. \mathbf{False})^{n+1} \mathbf{True}$   
 $=_{\beta} (\lambda y. \mathbf{False}) ((\lambda y. \mathbf{False})^n \mathbf{True})$   
 $=_{\beta} \mathbf{False}$

# Representing predecessor

Want  $\lambda$ -term **Pred** satisfying

$$\begin{aligned}\mathbf{Pred} \underline{n + 1} &=_{\beta} \underline{n} \\ \mathbf{Pred} \underline{0} &=_{\beta} \underline{0}\end{aligned}$$

Have to show how to reduce the “ $n + 1$ -iterator”  $\underline{n + 1}$  to the “ $n$ -iterator”  $\underline{n}$ .

**Idea:** given  $f$ , iterating the function

$$g_f : (x, y) \mapsto (f(x), x)$$

$n + 1$  times starting from  $(x, x)$  gives the pair  $(f^{n+1}(x), f^n(x))$ . So we can get  $f^n(x)$  from  $f^{n+1}(x)$  *parametrically in  $f$  and  $x$* , by building  $g_f$  from  $f$ , iterating  $n + 1$  times from  $(x, x)$  and then taking the second component.

Hence...

# Representing ordered pairs

$$\begin{aligned}\mathbf{Pair} &\triangleq \lambda x y f. f x y \\ \mathbf{Fst} &\triangleq \lambda f. f \mathbf{True} \\ \mathbf{Snd} &\triangleq \lambda f. f \mathbf{False}\end{aligned}$$

satisfy

- ▶  $\mathbf{Fst}(\mathbf{Pair} M N) =_{\beta} \mathbf{Fst}(\lambda f. f M N)$   
 $=_{\beta} (\lambda f. f M N) \mathbf{True}$   
 $=_{\beta} \mathbf{True} M N$   
 $=_{\beta} M$
- ▶  $\mathbf{Snd}(\mathbf{Pair} M N) =_{\beta} \dots =_{\beta} N$

# Representing predecessor

Want  $\lambda$ -term **Pred** satisfying

$$\begin{aligned}\mathbf{Pred} \underline{n + 1} &=_{\beta} \underline{n} \\ \mathbf{Pred} \underline{0} &=_{\beta} \underline{0}\end{aligned}$$

$$\mathbf{Pred} \triangleq \lambda y f x. \mathbf{Snd}(y (G f) (\mathbf{Pair} x x))$$

where

$$G \triangleq \lambda f p. \mathbf{Pair}(f(\mathbf{Fst} p))(\mathbf{Fst} p)$$

has the required  $\beta$ -reduction properties.

Show

$$(\forall n \in \mathbb{N}) \underline{n+1}(Gf)(\text{Pair } xx) =_{\beta} \text{Pair}(\underline{n+1}fx)(\underline{n}fx)$$

by induction on  $n \in \mathbb{N}$  :

Base case  $n=0$  :

$$\begin{aligned} \underline{1}(Gf)(\text{Pair } xx) &=_{\beta} Gf(\text{Pair } xx) \\ &=_{\beta} \text{Pair}(fx) x \\ &=_{\beta} \text{Pair}(\underline{1}fx)(\underline{0}fx) \end{aligned}$$

✓

Show

$$(\forall n \in \mathbb{N}) \ \underline{n+1}(Gf)(\text{Pair } x \ x) =_{\beta} \text{Pair}(\underline{n+1} f x)(\underline{n} f x)$$

by induction on  $n \in \mathbb{N}$  :

Induction step :

$$\underline{n+2}(Gf)(\text{Pair } x \ x) =_{\beta} (Gf) \ \underline{n+1}(Gf)(\text{Pair } x \ x)$$

*by ind. hyp.*

$$\rightarrow =_{\beta} (Gf) \ \text{Pair}(\underline{n+1} f x)(\underline{n} f x)$$

Show

$$(\forall n \in \mathbb{N}) \underline{n+1}(Gf)(\text{Pair } x x) =_{\beta} \text{Pair}(\underline{n+1} f x)(\underline{n} f x)$$

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Induction step :

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*by ind. hyp.*

$$=_{\beta} (Gf) \text{Pair}(\underline{n+1} f x)(\underline{n} f x)$$

$$=_{\beta} \text{Pair}(f(\underline{n+1} f x))(\underline{n+1} f x)$$

$$=_{\beta} \text{Pair}(\underline{n+2} f x)(\underline{n+1} f x) \quad \checkmark$$

Show

$$(\forall n \in \mathbb{N}) \underline{n+1} (Gf) (\text{Pair } x x) =_{\beta} \text{Pair } (\underline{n+1} f x) (\underline{n} f x)$$

So

$$\text{Pred } \underline{n+1} =_{\beta} \lambda f x. \text{Snd} (\underline{n+1} (Gf) (\text{Pair } x x))$$
$$\rightarrow =_{\beta} \lambda f x. \text{Snd} (\text{Pair } (\underline{n+1} f x) (\underline{n} f x))$$



$$\begin{aligned}
\text{Pred } \underline{n+1} &=_{\beta} \lambda f x. \text{Snd}(\underline{n+1} (Gf) (\text{Pair } x x)) \\
&=_{\beta} \lambda f x. \text{Snd}(\text{Pair}(\underline{n+1} f x)(\underline{n} f x)) \\
&=_{\beta} \lambda f x. \underline{n} f x \\
&=_{\beta} \lambda f x. f^n x \\
&=_{\beta} \text{Id}
\end{aligned}$$

# Curry's fixed point combinator **Y**

$$\mathbf{Y} \triangleq \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

$$\mathbf{Y} M =_{\beta} M(\mathbf{Y} M)$$

# Origins of $\lambda$

Naive set theory

Russell set :

$$R \triangleq \{x \mid \neg(x \in x)\}$$

$\lambda$  calculus

$$R \triangleq \lambda x. \text{not}(xx)$$

$$\text{not} \triangleq \lambda b. \text{If } b \text{ False True}$$

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Russell's Paradox :

$$R \in R \Leftrightarrow \neg(R \in R)$$

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$$Y_{\text{not}} =_{\beta} RR = (\lambda x. \text{not}(xx))(\lambda x. \text{not}(xx))$$

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$$Y_{\text{not}} =_{\beta} RR = (\lambda x. \text{not}(xx))(\lambda x. \text{not}(xx))$$

$$Y_f = (\lambda x. f(xx))(\lambda x. f(xx))$$

$$Y = \lambda f. (\lambda x. f(xx))(\lambda x. f(xx))$$

# Curry's fixed point combinator $Y$

$$Y \triangleq \lambda f. (\lambda x. f(x x))(\lambda x. f(x x))$$

satisfies  $Y M \rightarrow (\lambda x. M(x x))(\lambda x. M(x x))$

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$$Y \triangleq \lambda f. (\lambda x. f(x x))(\lambda x. f(x x))$$

satisfies  $Y M \rightarrow (\lambda x. M(x x))(\lambda x. M(x x))$   
 $\rightarrow M((\lambda x. M(x x))(\lambda x. M(x x)))$

hence  $Y M \rightarrow M((\lambda x. M(x x))(\lambda x. M(x x))) \leftarrow M(Y M)$ .

So for all  $\lambda$ -terms  $M$  we have

$$Y M =_{\beta} M(Y M)$$



# Turing's fixed point combinator

$$\text{where } \Theta \triangleq A A$$
$$A \triangleq \lambda x y. y (x y)$$

# Turing's fixed point combinator

$$\Theta \equiv A A$$

where  $A \equiv \lambda x y. y (x x y)$

$$\Theta M = A A M = (\lambda x y. y (x x y)) A M$$

# Turing's fixed point combinator

$$\Theta \triangleq A A$$

where  $A \triangleq \lambda x y. y (x y)$

$$\begin{aligned} \Theta M &= A A M = (\lambda x y. y (x y)) A M \\ &\rightarrow M (A A M) \\ &= M (\Theta M) \end{aligned}$$

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where  $\Phi_{f,g} \in (\mathbb{N}^{n+1} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N}^{n+1} \rightarrow \mathbb{N})$  is given by

$$\Phi_{f,g}(h)(\vec{a}, a) \triangleq \begin{cases} f(\vec{a}) & \text{if } a = 0 \\ g(\vec{a}, a - 1, h(\vec{a}, a - 1)) & \text{else} \end{cases}$$

We now know that  $h$  can be represented by

$$Y(\lambda z \vec{x} x. \text{If}(\mathbf{Eq}_0 x)(F \vec{x})(G \vec{x}(\mathbf{Pred} x)(z \vec{x}(\mathbf{Pred} x))))$$

# Representing primitive recursion

Recall that the class **PRIM** of primitive recursive functions is the smallest collection of (total) functions containing the basic functions and closed under the operations of composition and primitive recursion.

Combining the results about  $\lambda$ -definability so far, we have: **every  $f \in \text{PRIM}$  is  $\lambda$ -definable.**

So for  $\lambda$ -definability of all recursive functions, we just have to consider how to represent minimization. Recall...

# Minimization

Given a partial function  $f \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ , define

$\mu^n f \in \mathbb{N}^n \rightarrow \mathbb{N}$  by

$\mu^n f(\vec{x}) \triangleq$  least  $x$  such that  $f(\vec{x}, x) = 0$  and  
for each  $i = 0, \dots, x - 1$ ,  $f(\vec{x}, i)$   
is defined and  $> 0$   
(undefined if there is no such  $x$ )

So  $\mu^n f(\vec{x}) = g(\vec{x}, 0)$  where in  
general  $g(\vec{x}, x)$  satisfies

$g(\vec{x}, x) =$  if  $f(\vec{x}, x) = 0$  then  $x$   
else  $g(\vec{x}, x+1)$

# Minimization

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for each  $i = 0, \dots, x - 1$ ,  $f(\vec{x}, i)$   
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(undefined if there is no such  $x$ )

Can express  $\mu^n f$  in terms of a fixed point equation:

$\mu^n f(\vec{x}) \equiv g(\vec{x}, 0)$  where  $g$  satisfies  $g = \Psi_f(g)$

with  $\Psi_f \in (\mathbb{N}^{n+1} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N}^{n+1} \rightarrow \mathbb{N})$  defined by

$\Psi_f(g)(\vec{x}, x) \equiv$  if  $f(\vec{x}, x) = 0$  then  $x$  else  $g(\vec{x}, x + 1)$

# Representing minimization

Suppose  $f \in \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  (totally defined function) satisfies  $\forall \vec{a} \exists a (f(\vec{a}, a) = 0)$ , so that  $\mu^n f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is totally defined.

Thus for all  $\vec{a} \in \mathbb{N}^n$ ,  $\mu^n f(\vec{a}) = g(\vec{a}, 0)$  with  $g = \Psi_f(g)$  and  $\Psi_f(g)(\vec{a}, a)$  given by *if  $(f(\vec{a}, a) = 0)$  then  $a$  else  $g(\vec{a}, a + 1)$ .*

So if  $f$  is represented by a  $\lambda$ -term  $F$ , then  $\mu^n f$  is represented by

$$\lambda \vec{x}. \mathbf{Y}(\lambda z \vec{x} x. \mathbf{If}(\mathbf{Eq}_0(F \vec{x} x)) x (z \vec{x} (\mathbf{Succ} x))) \vec{x} \underline{0}$$



# Recursive implies $\lambda$ -definable

**Fact:** every partial recursive  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  can be expressed in a standard form as  $f = g \circ (\mu^n h)$  for some  $g, h \in \mathbf{PRIM}$ . (Follows from the proof that computable = partial-recursive.)

Hence every (total) recursive function is  $\lambda$ -definable.

More generally, every partial recursive function is  $\lambda$ -definable, but matching up  $\uparrow$  with  $\exists \beta - \mathbf{nf}$  makes the representations more complicated than for total functions: see [Hindley, J.R. & Seldin, J.P. (CUP, 2008), chapter 4.]

# Computable = $\lambda$ -definable

**Theorem.** A partial function is computable if and only if it is  $\lambda$ -definable.

We already know that computable = partial recursive  $\Rightarrow$   $\lambda$ -definable.

So it just remains to see that  **$\lambda$ -definable functions are RM computable**. To show this one can

- ▶ code  $\lambda$ -terms as numbers (ensuring that operations for constructing and deconstructing terms are given by RM computable functions on codes)
- ▶ write a RM interpreter for (normal order)  $\beta$ -reduction.

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# Numerical coding of $\lambda$ -terms

Fix an enumeration  $x_0, x_1, x_2, \dots$  of the set of variables.

For each  $\lambda$ -term  $M$ , define  $\ulcorner M \urcorner \in \mathbb{N}$  by

$$\ulcorner x_i \urcorner = \ulcorner [0, i] \urcorner$$

$$\ulcorner \lambda x_i. M \urcorner = \ulcorner [1, i, \ulcorner M \urcorner] \urcorner$$

$$\ulcorner MN \urcorner = \ulcorner [2, \ulcorner M \urcorner, \ulcorner N \urcorner] \urcorner$$

(where  $\ulcorner [n_0, n_1, \dots, n_k] \urcorner$  is the numerical coding of lists of numbers from p 43).

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The details are straightforward, if tedious.

# Summary

- Formalization of intuitive notion of ALGORITHM in several equivalent ways  
cf. "Church-Turing Thesis" ↷
- Limitative results: { undecidable problems  
uncomputable functions  
"programs as data" + diagonalization