

# Monads in ML

A monad in ML is given by type  $\tau(\alpha)$  with a free type variable  $\alpha$  together with expressions

$$\mathit{unit} : \alpha \rightarrow \tau(\alpha)$$

$$\mathit{lift} : (\alpha \rightarrow \tau(\beta)) \rightarrow \tau(\alpha) \rightarrow \tau(\beta)$$

(writing  $\tau(\beta)$  for the result of replacing  $\alpha$  by  $\beta$  in  $\tau$ ) satisfying some equational properties [omitted].

# PLC versus the Pure Type System $\lambda 2$

PTS signature:

$$2 \triangleq (\mathcal{S}_2, \mathcal{A}_2, \mathcal{R}_2) \text{ where } \begin{cases} \mathcal{S}_2 & \triangleq & \{*, \square\} \\ \mathcal{A}_2 & \triangleq & \{(*, \square)\} \\ \mathcal{R}_2 & \triangleq & \{(*, *, *), (\square, *, *)\} \end{cases}$$

Translation of PLC types and terms to  $\lambda 2$  pseudo-terms:

$$\begin{aligned} \llbracket \alpha \rrbracket &= \alpha \\ \llbracket \tau \rightarrow \tau' \rrbracket &= \Pi x : \llbracket \tau \rrbracket (\llbracket \tau' \rrbracket) \\ \llbracket \forall \alpha (\tau) \rrbracket &= \Pi \alpha : * (\llbracket \tau \rrbracket) \\ \llbracket x \rrbracket &= x \\ \llbracket \lambda x : \tau (M) \rrbracket &= \lambda x : \llbracket \tau \rrbracket (\llbracket M \rrbracket) \\ \llbracket M M' \rrbracket &= \llbracket M \rrbracket \llbracket M' \rrbracket \\ \llbracket \Lambda \alpha (M) \rrbracket &= \lambda \alpha : * (\llbracket M \rrbracket) \\ \llbracket M \tau \rrbracket &= \llbracket M \rrbracket \llbracket \tau \rrbracket \end{aligned}$$

*← if any  $x$  not free in  $\tau'$ ,*

# System $F_\omega$ as a Pure Type System: $\lambda\omega$

PTS specification  $\omega = (\mathcal{S}_\omega, \mathcal{A}_\omega, \mathcal{R}_\omega)$ :

$$\mathcal{S}_\omega \triangleq \{*, \square\}$$

$$\mathcal{A} \triangleq \{(*, \square)\}$$

$$\mathcal{R} \triangleq \{(*, *, *), (\square, *, *), (\square, \square, \square)\}$$

" $F_\omega$  is the work horse of  
modern compilers"

(Greg Morrisett)

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As in  $\lambda 2$ , sort  $*$  is a universe of types; but in  $\lambda\omega$ , the rule (**prod**) for  $(\square, \square, \square)$  means that  $\diamond \vdash t : \square$  holds for all the 'simple types' over the ground type  $*$  – the  $t$ s generated by the grammar  $t ::= * \mid t \rightarrow t$

$$\begin{array}{c}
 \Gamma \vdash A : \square \quad \Gamma, x : A \vdash B : \square \\
 \hline
 \Gamma \vdash \Pi x : A (B) : \square
 \end{array}
 \quad \text{for } (\square, \square, \square)$$

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$$(prod) \quad \frac{\Gamma \vdash A : \square \quad \Gamma, x : A \vdash B : \square}{\Gamma \vdash \Pi x : A (B) : \square} \quad \text{for } (\square, \square, \square)$$

$$(A \rightarrow B \triangleq \Pi x : A (B) \text{ with } x \notin fv(B))$$

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Hence rule (**prod**) for  $(\square, *, *)$  now gives many more legal pseudo-terms of type  $*$  in  $\lambda\omega$  compared with  $\lambda 2$  (PLC), such as

$$\diamond \vdash (\Pi T : * \rightarrow * (\Pi \alpha : * (\alpha \rightarrow T \alpha))) : *$$

$$\diamond \vdash (\Pi T : * \rightarrow * (\Pi \alpha, \beta : * ((\alpha \rightarrow T \beta) \rightarrow T \alpha \rightarrow T \beta))) : *$$

types for unit & lift operations, making  $T$  a monad

# Examples of $\lambda\omega$ type constructions

- ▶ Monad transformer for state (using a type  $\diamond \vdash S : *$  for states):

$$M \triangleq \lambda T : * \rightarrow * (\lambda \alpha : * (S \rightarrow T(P \alpha S)))$$

$$\diamond \vdash M : (* \rightarrow *) \rightarrow * \rightarrow *$$

# Examples of $\lambda\omega$ type constructions

- ▶ Product types (cf. the PLC representation of product types):

$$P \triangleq \lambda\alpha, \beta : * (\Pi\gamma : * ((\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma))$$

$$\diamond \vdash P : * \rightarrow * \rightarrow *$$

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$$\tau \times \tau' \triangleq \forall \gamma ((\tau \rightarrow \tau' \rightarrow \gamma) \rightarrow \gamma)$$

where  $\gamma \notin \text{ftv}(\tau, \tau')$

(one definition per each choice of types  $\tau$  &  $\tau'$ )

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$$\diamond \vdash P : * \rightarrow * \rightarrow *$$

- ▶ Mon  
state

$$\exists\alpha(\tau) \triangleq \forall\beta \left( (\forall\alpha (\tau \rightarrow \beta)) \rightarrow \beta \right)$$

where  $\beta \notin \text{ftv}(\tau)$



- ▶ Existential types (cf. the PLC representation of existential types):

$$\exists \triangleq \lambda T : * \rightarrow * (\Pi\beta : * ((\Pi\alpha : * (T\alpha \rightarrow \beta)) \rightarrow \beta))$$

$$\diamond \vdash \exists : (* \rightarrow *) \rightarrow *$$

# Type-checking for $F_\omega$ ( $\lambda\omega$ )

$(\lambda\omega)$

**Fact** (Girard): System  $F_\omega$  is *strongly normalizing* in the sense that for any legal pseudo-term  $t$ , there is no infinite chain of beta-reductions  $t \rightarrow t_1 \rightarrow t_2 \rightarrow \dots$ .

# Type-checking for $\mathbf{F}_\omega$ $(\lambda\omega)$

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As a corollary we have that the type-checking and typeability problems for  $\mathbf{F}_\omega$  are decidable.

$(\lambda\omega)$

Propositions as Types

(sect. 6 of notes)

# Curry-Howard correspondence

<u>Logic</u>	$\leftrightarrow$	<u>Type system</u>
propositions $\phi$	$\leftrightarrow$	types $\tau$
proofs $p$	$\leftrightarrow$	expressions $M$
' $p$ is a proof of $\phi$ '	$\leftrightarrow$	' $M$ is an expression of type $\tau$ '
simplification of proofs	$\leftrightarrow$	reduction of expressions

first arose for constructive logics

# Constructive interpretation of logic

- ▶ **Implication:** a proof of  $\varphi \rightarrow \psi$  is a construction that transforms proofs of  $\varphi$  into proofs of  $\psi$ .
- ▶ **Negation:** a proof of  $\neg\varphi$  is a construction that from any (hypothetical) proof of  $\varphi$  produces a contradiction (= proof of falsity  $\perp$ )
- ▶ **Disjunction:** a proof of  $\varphi \vee \psi$  is an object that manifestly is either a proof of  $\varphi$ , or a proof of  $\psi$ .
- ▶ **For all:** a proof of  $\forall x (\varphi(x))$  is a construction that transforms the objects  $a$  over which  $x$  ranges into proofs of  $\varphi(a)$ .
- ▶ **There exists:** a proof of  $\exists x (\varphi(x))$  is given by a pair consisting of an object  $a$  and a proof of  $\varphi(a)$ .

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The *Law of Excluded Middle* (LEM)  $\forall p (p \vee \neg p)$  is a classical tautology (has truth-value **true**), but is rejected by constructivists.



# Example of a non-constructive proof

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If it is not, we can take  $a = \sqrt{2}$  and  $b = \sqrt{2}^{\sqrt{2}}$ , since then  $b^a = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^{\sqrt{2} \cdot \sqrt{2}} = \sqrt{2}^2 = 2$ .

QED

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**Theorem.** There exist two irrational numbers  $a$  and  $b$  such that  $b^a$  is rational.

**Proof.**  $\sqrt{2}$  is irrational by a well-known constructive proof attributed to Euclid.

$2\log_2 3$  is irrational, by an easy constructive proof (exercise).

( If  $2\log_2 3 = m/n$ , then  $3^{2n} = 2^{2n\log_2 3} = 2^m$   ~~$\times$~~  )

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$2\log_2 3$  is irrational, by an easy constructive proof (exercise).

So we can take  $a = 2\log_2 3$  and  $b = \sqrt{2}$ , for which we have that  $b^a = (\sqrt{2})^{2\log_2 3} = (\sqrt{2^2})^{\log_2 3} = 2^{\log_2 3} = 3$  is rational.

QED

# Curry-Howard correspondence

?



PLC

Logic

$\leftrightarrow$

Type system

propositions  $\phi$

$\leftrightarrow$

types  $\tau$

proofs  $p$

$\leftrightarrow$

expressions  $M$

' $p$  is a proof of  $\phi$ '

$\leftrightarrow$

' $M$  is an expression of type  $\tau$ '

simplification of proofs

$\leftrightarrow$

reduction of expressions

E.g.

2IPC

$\leftrightarrow$

PLC

# Second-order intuitionistic propositional calculus (2IPC)

*2IPC propositions:*  $\phi ::= p \mid \phi \rightarrow \phi \mid \forall p (\phi)$  where  $p$  ranges over an infinite set of propositional variables.

*2IPC sequents:*  $\Phi \vdash \phi$  where  $\Phi$  is a finite multiset (= unordered list) of 2IPC propositions and  $\phi$  is a 2IPC proposition.



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*2IPC sequents:*  $\Phi \vdash \phi$  where  $\Phi$  is a finite multiset (= unordered list) of 2IPC propositions and  $\phi$  is a 2IPC proposition.

$\Phi \vdash \phi$  is *provable* if it is in the set of sequents inductively generated by:

$$\begin{array}{c}
 \text{(Id)} \quad \Phi \vdash \phi \quad \text{if } \phi \in \Phi \\
 \\
 (\rightarrow\text{I}) \quad \frac{\Phi, \phi \vdash \phi'}{\Phi \vdash \phi \rightarrow \phi'} \qquad (\rightarrow\text{E}) \quad \frac{\Phi \vdash \phi \rightarrow \phi' \quad \Phi \vdash \phi}{\Gamma \vdash \phi'} \\
 \\
 (\forall\text{I}) \quad \frac{\Phi \vdash \phi}{\Phi \vdash \forall p (\phi)} \quad \text{if } p \notin \text{fv}(\Phi) \qquad (\forall\text{E}) \quad \frac{\Phi \vdash \forall p (\phi)}{\Phi \vdash \phi[\phi'/p]}
 \end{array}$$

# Logical operations definable in 2IPC

- ▶ *Truth*  $\top \triangleq \forall p (p \rightarrow p)$
- ▶ *Falsity*  $\perp \triangleq \forall p (p)$

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- ▶ *Negation*  $\neg\phi \triangleq \phi \rightarrow \perp$
- ▶ *Bi-implication*  $\phi \leftrightarrow \psi \triangleq (\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$

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- ▶ *Bi-implication*  $\phi \leftrightarrow \psi \triangleq (\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$
- ▶ *Existential quantification*  $\exists p (\phi) \triangleq \forall q (\forall p (\phi \rightarrow q) \rightarrow q)$   
(where  $q \notin \text{fv}(\phi, p)$ )

## A 2IPC proof

Writing  $p \wedge q$  as an abbreviation for  $\forall r ((p \rightarrow q \rightarrow r) \rightarrow r)$ , the sequent

$$\{\} \vdash \forall p (\forall q ((p \wedge q) \rightarrow p))$$

is provable in 2IPC:

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is provable in 2IPC:

$$\begin{array}{c} \text{(Id)} \frac{}{\{p \wedge q, p, q\} \vdash p} \\ \text{(\rightarrow I)} \frac{\{p \wedge q, p, q\} \vdash p}{\{p \wedge q, p\} \vdash q \rightarrow p} \\ \text{(\rightarrow I)} \frac{\{p \wedge q, p\} \vdash q \rightarrow p}{\{p \wedge q\} \vdash p \rightarrow q \rightarrow p} \\ \text{(\rightarrow E)} \frac{\{p \wedge q\} \vdash p \rightarrow q \rightarrow p}{\{p \wedge q\} \vdash p} \end{array} \quad \begin{array}{c} \text{(Id)} \frac{}{\{p \wedge q\} \vdash \forall r ((p \rightarrow q \rightarrow r) \rightarrow r)} \\ \text{(\forall E)} \frac{\{p \wedge q\} \vdash \forall r ((p \rightarrow q \rightarrow r) \rightarrow r)}{\{p \wedge q\} \vdash (p \rightarrow q \rightarrow q) \rightarrow q} \end{array}$$

$\text{P}$   $\text{P}$   
TYP0!



# Curry-Howard correspondence

2IPC

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$\leftrightarrow$

PLC

Type system

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$\leftrightarrow$

$\leftrightarrow$

$\leftrightarrow$

PLC

Type system

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' $M$  is an expression of type  $\tau$ '

# Mapping 2IPC proofs to PLC expressions

(Id) $\Phi, \phi \vdash \phi$	$\mapsto$	(id) $\bar{x} : \Phi, x : \phi \vdash x : \phi$
( $\rightarrow$ I) $\frac{\Phi, \phi \vdash \phi'}{\Phi \vdash \phi \rightarrow \phi'}$	$\mapsto$	(fn) $\frac{\bar{x} : \Phi, x : \phi \vdash M : \phi'}{\bar{x} : \Phi \vdash \lambda x : \phi (M) : \phi \rightarrow \phi'}$
( $\rightarrow$ E) $\frac{\Phi \vdash \phi \rightarrow \phi' \quad \Phi \vdash \phi}{\Phi \vdash \phi'}$	$\mapsto$	(app) $\frac{\bar{x} : \Phi \vdash M_1 : \phi \rightarrow \phi' \quad \bar{x} : \Phi \vdash M_2 : \phi}{\bar{x} : \Phi \vdash M_1 M_2 : \phi'}$
( $\forall$ I) $\frac{\Phi \vdash \phi}{\Phi \vdash \forall p (\phi)}$	$\mapsto$	(gen) $\frac{\bar{x} : \Phi \vdash M : \phi}{\bar{x} : \Phi \vdash \Lambda p (M) : \forall p (\phi)}$
( $\forall$ E) $\frac{\Phi \vdash \forall p (\phi)}{\Phi \vdash \phi[\phi'/p]}$	$\mapsto$	(spec) $\frac{\bar{x} : \Phi \vdash M : \forall p (\phi)}{\bar{x} : \Phi \vdash M \phi' : \phi[\phi'/p]}$

The proof of the 2IPC sequent

$$\{\} \vdash \forall p (\forall q ((p \wedge q) \rightarrow p))$$

given before is transformed by the mapping of 2IPC proofs to PLC expressions to

$$\{\} \vdash \Lambda p, q (\lambda z : p \wedge q (z p (\lambda x : p, y : q (x)))) : \forall p (\forall q ((p \wedge q) \rightarrow p))$$

with typing derivation:

$$\begin{array}{c}
 \text{(id)} \frac{}{\{\} \vdash x : p} \\
 \text{(fn)} \frac{\{\} \vdash x : p}{\{\} \vdash \lambda y : q (x) : q \rightarrow p} \\
 \text{(fn)} \frac{\{\} \vdash \lambda y : q (x) : q \rightarrow p}{\{\} \vdash \lambda x : p, y : q (x) : p \rightarrow q \rightarrow p} \\
 \text{(app)} \frac{\{\} \vdash \lambda x : p, y : q (x) : p \rightarrow q \rightarrow p \quad \{\} \vdash z p (\lambda x : p, y : q (x)) : p}{\{\} \vdash \lambda z : p \wedge q (z p (\lambda x : p, y : q (x))) : (p \wedge q) \rightarrow p} \\
 \text{(gen)} \frac{\{\} \vdash \lambda z : p \wedge q (z p (\lambda x : p, y : q (x))) : (p \wedge q) \rightarrow p}{\{\} \vdash \Lambda q (\lambda z : p \wedge q (z p (\lambda x : p, y : q (x)))) : \forall q ((p \wedge q) \rightarrow p)} \\
 \text{(gen)} \frac{\{\} \vdash \Lambda q (\lambda z : p \wedge q (z p (\lambda x : p, y : q (x)))) : \forall q ((p \wedge q) \rightarrow p)}{\{\} \vdash \Lambda p, q (\lambda z : p \wedge q (z p (\lambda x : p, y : q (x)))) : \forall p, q ((p \wedge q) \rightarrow p)}
 \end{array}$$

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simplification of proofs

$\leftrightarrow$

reduction of expressions

# Proof simplification $\leftrightarrow$ Expression reduction

$$\begin{array}{c}
 \vdots \\
 \hline
 \Phi, \phi \vdash \psi \\
 \hline
 (\rightarrow\text{I}) \quad \Phi \vdash \phi \rightarrow \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi \vdash \phi
 \end{array}
 \quad
 \mapsto
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \bar{x} : \Phi \vdash \lambda x : \phi (M) : \phi \rightarrow \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi \vdash N : \phi \\
 \hline
 \bar{x} : \Phi \vdash (\lambda x : \phi (M)) N : \psi
 \end{array}$$

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$$\begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi, \phi \vdash \psi \\
 \hline
 \Phi \vdash \phi \rightarrow \psi \\
 \hline
 \Phi \vdash \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi \vdash \phi
 \end{array}
 \quad
 \mapsto
 \quad
 \begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \bar{x} : \Phi \vdash \lambda x : \phi (M) : \phi \rightarrow \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi \vdash N : \phi
 \end{array} \\
 \hline
 \bar{x} : \Phi \vdash (\lambda x : \phi (M)) N : \psi
 \end{array}
 \\
 \downarrow \text{beta-reduce expression} \\
 \begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi \vdash N : \phi
 \end{array} \\
 \hline
 \bar{x} : \Phi \vdash M[N/x] : \psi
 \end{array}
 \quad (\text{subst})
 \end{array}$$

The rule (**subst**) for PLC is *admissible*: if its hypotheses are valid PLC typing judgements, then so is its conclusion.



# Proof simplification $\leftrightarrow$ Expression reduction

$$\begin{array}{c}
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 \vdots \\
 \hline
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 \hline
 \Phi \vdash \phi \rightarrow \psi \\
 \text{(\(\rightarrow\text{I}\))} \\
 \hline
 \Phi \vdash \psi \\
 \text{(\(\rightarrow\text{E}\))}
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi \vdash \phi \\
 \hline
 \Phi \vdash \psi
 \end{array}
 \quad
 \mapsto
 \quad
 \begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \bar{x} : \Phi \vdash \lambda x : \phi (M) : \phi \rightarrow \psi \\
 \hline
 \bar{x} : \Phi \vdash (\lambda x : \phi (M)) N : \psi
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi \vdash N : \phi \\
 \hline
 \bar{x} : \Phi \vdash (\lambda x : \phi (M)) N : \psi
 \end{array}
 \end{array}
 \\
 \downarrow \text{beta-reduce expression} \\
 \begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi, \phi \vdash \psi \\
 \hline
 \Phi \vdash \psi \\
 \text{(\text{cut})}
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi \vdash \phi \\
 \hline
 \Phi \vdash \psi
 \end{array}
 \quad
 \leftarrow
 \quad
 \begin{array}{c}
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \bar{x} : \Phi \vdash M[N/x] : \psi \\
 \text{(\text{subst})}
 \end{array}
 \quad
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi \vdash N : \phi \\
 \hline
 \bar{x} : \Phi \vdash M[N/x] : \psi \\
 \text{(\text{subst})}
 \end{array}
 \end{array}
 \end{array}$$

The rule **(subst)** for PLC is *admissible*: if its hypotheses are valid PLC typing judgements, then so is its conclusion.

# Proof simplification $\leftrightarrow$ Expression reduction

$$\begin{array}{ccc}
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi, \phi \vdash \psi \\
 \hline
 (\rightarrow\text{I}) \quad \Phi \vdash \phi \rightarrow \psi \\
 \hline
 \vdots \\
 \Phi \vdash \phi \\
 \hline
 (\rightarrow\text{E}) \quad \Phi \vdash \psi
 \end{array}
 & \mapsto &
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \bar{x} : \Phi \vdash \lambda x : \phi (M) : \phi \rightarrow \psi \\
 \hline
 \vdots \\
 \bar{x} : \Phi \vdash N : \phi \\
 \hline
 \bar{x} : \Phi \vdash (\lambda x : \phi (M)) N : \psi
 \end{array} \\
 \downarrow \text{ \textit{simplify proof} } & & \downarrow \text{ beta-reduce expression } \\
 \begin{array}{c}
 \vdots \\
 \hline
 \Phi, \phi \vdash \psi \\
 \hline
 \vdots \\
 \Phi \vdash \phi \\
 \hline
 (\text{cut}) \quad \Phi \vdash \psi
 \end{array}
 & \leftarrow &
 \begin{array}{c}
 \vdots \\
 \hline
 \bar{x} : \Phi, x : \phi \vdash M : \psi \\
 \hline
 \vdots \\
 \bar{x} : \Phi \vdash N : \phi \\
 \hline
 \bar{x} : \Phi \vdash M[N/x] : \psi \\
 \hline
 (\text{subst})
 \end{array}
 \end{array}$$

The rule **(subst)** for PLC is *admissible*: if its hypotheses are valid PLC typing judgements, then so is its conclusion.

Hence, the rule **(cut)** is admissible for 2IPC.