

# Pure Type Systems (PTS) – syntax

In a PTS type expressions and term expressions are lumped together into a single syntactic category of *pseudo-terms*:

will also use  
 $A, B, \dots$   
 $M, N, \dots$   
to stand for  
pseudo-terms

$t ::=$	$x$	variable
	$s$	sort
	$\Pi x : t (t)$	dependent function type
	$\lambda x : t (t)$	function abstraction
	$t t$	function application

where  $x$  ranges over a countably infinite set **Var** of variables and  $s$  ranges over a disjoint set **Sort** of *sort symbols* – constants that denote various universes (= types whose elements denote types of various sorts) [*kind* is a commonly used synonym for *sort*].  $\lambda x : t (t')$  and  $\Pi x : t (t')$  both bind free occurrences of  $x$  in the pseudo-term  $t'$ .

$t[t'/x]$  denotes result of capture-avoiding substitution of  $t'$  for all free occurrences of  $x$  in  $t$ .

$t \rightarrow t \triangleq \Pi x : t (t')$  where  $x \notin fv(t')$ .

## Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

for a given  
Specification  
 $S = (S, \mathcal{A}, \mathcal{R})$

# Pure Type Systems – specifications

The typing rules for a particular PTS are parameterised by a *specification*  $\mathbf{S} = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  where:

- ▶  $\mathcal{S} \subseteq \text{Sort}$

Elements  $s \in \mathcal{S}$  denote the different universes of types in this PTS.

- ▶  $\mathcal{A} \subseteq \text{Sort} \times \text{Sort}$

Elements  $(s_1, s_2) \in \mathcal{A}$  are called *axioms*. They determine the typing relation between universes in this PTS.

- ▶  $\mathcal{R} \subseteq \text{Sort} \times \text{Sort} \times \text{Sort}$

Elements  $(s_1, s_2, s_3) \in \mathcal{R}$  are called rules. They determine which kinds of dependent function can be formed and in which universes they live.

The PTS with specification  $\mathbf{S}$  will be denoted  $\lambda \mathbf{S}$ .

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

# Properties of Pure Type Systems in general

- ▶ **Correctness of types.** If  $\Gamma \vdash M : A$ , then either  $A \in \mathcal{S}$ , or  $\Gamma \vdash A : s$  for some  $s \in \mathcal{S}$ .

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

$\beta$ - conversion

# Pure Type Systems – beta-conversion

- ▶ *beta-reduction* of pseudo-terms:  $t \rightarrow t'$  means  $t'$  can be obtained from  $t$  (up to alpha-conversion, of course) by replacing a subexpression which is a *redex* by its corresponding *reduct*. There is only one form of redex-reduct pair:

$$(\lambda x : t(t_1)) t_2 \rightarrow t_1[t_2/x]$$

- ▶ As usual,  $\rightarrow^*$  denotes the reflexive-transitive closure of  $\rightarrow$ .
- ▶ *beta-conversion* of pseudo-terms:  $=\beta$  is the reflexive-symmetric-transitive closure of  $\rightarrow$  (i.e. the smallest equivalence relation containing  $\rightarrow$ ).

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

needed to ensure  
"correctness of types"  
property

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

$$(\text{prod}) \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A (B) : s_3} \text{ if } (s_1, s_2, s_3) \in \mathcal{R}$$

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

$$(\text{prod}) \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A (B) : s_3} \text{ if } (s_1, s_2, s_3) \in \mathcal{R}$$

$$(\text{abs}) \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A (B) : s}{\Gamma \vdash \lambda x : A (M) : \Pi x : A (B)}$$

$$(\text{app}) \frac{\Gamma \vdash M : \Pi x : A (B) \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]}$$

( $A, B, M, N$  range over pseudoterms,  $s, s_1, s_2, s_3$  over sort symbols)

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

$$(\text{prod}) \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A (B) : s_3} \text{ if } (s_1, s_2, s_3) \in \mathcal{R}$$

$$(\text{abs}) \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A (B) : s}{\Gamma \vdash \lambda x : A (M) : \Pi x : A (B)}$$

needed to ensure "correctness of types" property

$$(\text{app}) \frac{\Gamma \vdash M : \Pi x : A (B) \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]}$$

$(A, B, M, N)$  range over pseudoterms,  $s, s_1, s_2, s_3$  over sort symbols)

# Example PTS typing derivations

$$\frac{\begin{array}{c} (\text{axiom}) \\ (\text{prod}) \end{array} \quad \frac{\begin{array}{c} (\text{axiom}) \\ (\text{weaken}) \end{array} \quad \frac{(\text{axiom})}{\diamond \vdash * : \square} \quad \frac{(\text{axiom})}{\diamond \vdash * : \square}}{\diamond, x : * \vdash * : \square}}{\diamond \vdash * \rightarrow * : \square}$$

$$\frac{\begin{array}{c} (\text{axiom}) \\ (\text{start}) \\ (\text{abs}) \end{array} \quad \frac{\begin{array}{c} (\text{axiom}) \\ (\text{weaken}) \end{array} \quad \frac{\vdots}{\diamond \vdash * \rightarrow * : \square}}{\diamond \vdash \lambda x : * (x) : * \rightarrow *}}$$

Here we assume that the PTS specification  $\mathbf{S} = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  has  $* \in \mathcal{S}$ ,  $\square \in \mathcal{S}$ ,  $(*, \square) \in \mathcal{A}$  and  $(\square, \square, \square) \in \mathcal{R}$ .  
 (Recall that  $* \rightarrow * \triangleq \Pi x : * (*)$ .)

## Agenda

- general properties of PTSs  
(no proofs)
- examples of PTSs

# Properties of Pure Type Systems in general

- ▶ **Correctness of types.** If  $\Gamma \vdash M : A$ , then either  $A \in \mathcal{S}$ , or  $\Gamma \vdash A : s$  for some  $s \in \mathcal{S}$ .
- ▶ **Church-Rosser Property** (aka *confluence*).  $t =_\beta t'$  iff  $\exists u (t \rightarrow^* u \wedge t' \rightarrow^* u)$
- ▶ **Subject Reduction.** If  $\Gamma \vdash M : A$  and  $M \rightarrow M'$ , then  $\Gamma \vdash M' : A$ .
- ▶ **Uniqueness of Types.** A PTS specification  $\mathbf{S} = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  is said to be *functional* if both  $\mathcal{A}$  and  $\mathcal{R}_s \triangleq \{(s_2, s_3) \mid (s, s_2, s_3) \in \mathcal{R}\}$  for each  $s \in \mathcal{S}$ , are single-valued binary relations.  
In this case  $\lambda\mathbf{S}$  satisfies: if  $\Gamma \vdash M : A$  and  $\Gamma \vdash M : B$ , then  $A =_\beta B$ .

# Pure Type Systems – typing rules

$$(\text{axiom}) \frac{}{\Diamond \vdash s_1 : s_2} \text{ if } (s_1, s_2) \in \mathcal{A}$$

$$(\text{start}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{weaken}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \text{ if } x \notin \text{dom}(\Gamma)$$

$$(\text{conv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ if } A =_{\beta} B$$

$$(\text{prod}) \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A (B) : s_3} \text{ if } (s_1, s_2, s_3) \in \mathcal{R}$$

$$(\text{abs}) \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A (B) : s}{\Gamma \vdash \lambda x : A (M) : \Pi x : A (B)}$$

$$(\text{app}) \frac{\Gamma \vdash M : \Pi x : A (B) \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]}$$

( $A, B, M, N$  range over pseudoterms,  $s, s_1, s_2, s_3$  over sort symbols)

this rule  
complicates  
type-checking  
&  
type-inference  
for PTSs

# Type-checking for a PTS, $\lambda S$

**Definition.** A pseudo-term  $t$  is *legal* for a PTS specification  $S = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  if either  $t \in \mathcal{S}$  or  $\Gamma \vdash t : t'$  is derivable in  $\lambda S$  for some  $\Gamma$  and  $t'$ .

# Type-checking for a PTS, $\lambda S$

**Definition.** A pseudo-term  $t$  is *legal* for a PTS specification  $S = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  if either  $t \in \mathcal{S}$  or  $\Gamma \vdash t : t'$  is derivable in  $\lambda S$  for some  $\Gamma$  and  $t'$ .

Recall the *type-checking* and *typeability* problems for a type system.

given  $\Gamma, t, t'$ , decide whether or not  
 $\Gamma \vdash t : t'$  holds

given  $\Gamma & t$ , decide whether or not there is some  $t'$  with  $\Gamma \vdash t : t'$

# Type-checking for a PTS, $\lambda S$

**Definition.** A pseudo-term  $t$  is *legal* for a PTS specification  $S = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  if either  $t \in \mathcal{S}$  or  $\Gamma \vdash t : t'$  is derivable in  $\lambda S$  for some  $\Gamma$  and  $t'$ .

Recall the *type-checking* and *typeability* problems for a type system.

**Fact**(van Benthem Jutting): these problems for  $\lambda S$  are decidable if  $S$  is finite and  $\lambda S$  is *normalizing*, meaning that for every legal pseudo-term there is some finite chain of beta-reductions leading to a beta-normal form.

# Type-checking for a PTS, $\lambda S$

**Definition.** A pseudo-term  $t$  is *legal* for a PTS specification  $S = (\mathcal{S}, \mathcal{A}, \mathcal{R})$  if either  $t \in \mathcal{S}$  or  $\Gamma \vdash t : t'$  is derivable in  $\lambda S$  for some  $\Gamma$  and  $t'$ .

Recall the *type-checking* and *typeability* problems for a type system.

**Fact**(van Benthem Jutting): these problems for  $\lambda S$  are decidable if  $S$  is finite and  $\lambda S$  is *normalizing*, meaning that for every legal pseudo-term there is some finite chain of beta-reductions leading to a beta-normal form.

**Fact** (Meyer): the problems are undecidable for the PTS  $\lambda *$  with specification  $\mathcal{S} = \{ *\}$ ,  $\mathcal{A} = \{ (*, *) \}$  and  $\mathcal{R} = \{ (*, *, *) \}$ .

# PLC versus the Pure Type System $\lambda 2$

PTS signature:

$$\mathbf{2} \triangleq (\mathcal{S}_2, \mathcal{A}_2, \mathcal{R}_2) \text{ where } \left\{ \begin{array}{lcl} \mathcal{S}_2 & \triangleq & \{*, \square\} \\ \mathcal{A}_2 & \triangleq & \{(*, \square)\} \\ \mathcal{R}_2 & \triangleq & \{(*, *, *), (\square, *, *)\} \end{array} \right.$$

# PLC versus the Pure Type System $\lambda 2$

PTS signature:

$$\mathbf{2} \triangleq (\mathcal{S}_2, \mathcal{A}_2, \mathcal{R}_2) \text{ where } \left\{ \begin{array}{lcl} \mathcal{S}_2 & \triangleq & \{*, \square\} \\ \mathcal{A}_2 & \triangleq & \{(*, \square)\} \\ \mathcal{R}_2 & \triangleq & \{(*, *, *), (\square, *, *)\} \end{array} \right.$$

Translation of PLC types and terms to  $\lambda 2$  pseudo-terms:

$$[\![\alpha]\!] = \alpha$$

$$[\![\tau \rightarrow \tau']\!] = \Pi x : [\![\tau]\!] ([\![\tau']\!])$$

$$[\![\forall \alpha (\tau)]\!] = \Pi \alpha : * ([\![\tau]\!])$$

$$[\![x]\!] = x$$

$$[\![\lambda x : \tau (M)]\!] = \lambda x : [\![\tau]\!] ([\![M]\!])$$

$$[\![M M']\!] = [\![M]\!] [\![M']\!]$$

$$[\![\Lambda \alpha (M)]\!] = \lambda \alpha : * ([\![M]\!])$$

$$[\![M \tau]\!] = [\![M]\!] [\![\tau]\!]$$

# Properties of the translation from PLC to $\lambda 2$

- ▶ If  $\{ \} \vdash M : \tau$  is derivable in PLC, then  $\diamond \vdash [\![\tau]\!] : *$  and  $\diamond \vdash [\![M]\!] : [\![\tau]\!]$  are derivable in  $\lambda 2$
- ▶ In  $\lambda 2$ , if  $\diamond \vdash t : \square$ , then  $t = *$ ; if  $\diamond \vdash t : *$ , then  $t = [\![\tau]\!]$  for some closed PLC type  $\tau$ ; and if  $\diamond \vdash t : t'$  then  $t = [\![M]\!]$  and  $t' = [\![\tau]\!]$  for PLC expressions satisfying  $\{ \} \vdash M : \tau$ .
- ▶ Under the translation, the reduction behaviour of PLC terms is preserved and reflected by beta-reduction in  $\lambda 2$ . (Note in particular that PLC types are translated to pseudo-terms in beta-normal form.)

# System $F_\omega$ as a Pure Type System: $\lambda\omega$

PTS specification  $\omega = (\mathcal{S}_\omega, \mathcal{A}_\omega, \mathcal{R}_\omega)$ :

$$\mathcal{S}_\omega \triangleq \{*, \square\}$$

$$\mathcal{A} \triangleq \{(*, \square)\}$$

$$\mathcal{R} \triangleq \{(*, *, *), (\square, *, *), (\square, \square, \square)\}$$

" $F_\omega$  is the work horse of  
modern compilers"

( Greg Morrisett )