

# Distributed systems

## Lecture 3: Further RPC and OOM systems; Clocks

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Dr. Robert N. M. Watson

# The Story So Far...

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- Distributed systems are hard
- Looking at simple **client/server** interaction, and use of **Remote Procedure Call (RPC)**
  - invoking methods on server over the network
  - middleware generates **stub code** which can **marshal / unmarshal** arguments and replies
  - saw case study of NFS (RPC-based file system)
- Object-Oriented Middleware (OOM)
- CORBA

# Object-Oriented Middleware

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- SunRPC / DCE RPC forward **functions**, and do not have support for more complex types, exceptions, or polymorphism
- **Object-Oriented Middleware (OOM)** arose in the early 90s to address this
  - Assume programmer is writing in OO-style
  - 'Remote objects' will behave like local objects, but they methods will be forwarded over the network a la RPC
  - References to objects can be passed as arguments of return values – e.g., passing a directory object reference
- Makes it much easier to program – especially if your program is object oriented!

# Microsoft DCOM (1996)

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- An alternative to CORBA:
  - MS had invested in COM (object-oriented local IPC scheme) so didn't fancy moving to OMA
- **Service Control Manager (SCM)** on each machine responsible for object creation, invocation, ...
  - essentially a lightweight 'ORB'
- Added remote operation using MSRPC:
  - based on DCE RPC, but extended to support objects
  - augmented IDL called MIDL: DCE IDL + objects
  - requests include interface pointer IDs (IPIDs) to identify object & interface to be invoked

# DCOM vs. CORBA

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- Both are language neutral, and object-oriented
- DCOM supports **objects with multiple interfaces**
  - but not, like CORBA, multiple inheritance of interfaces
- DCOM handles **distributed garbage collection**:
  - remote objects are reference counted (via explicit calls)
  - ping protocol handles abnormal client termination
- DCOM is widely used (e.g. SMB/CIFS, RDP, ... )
- But DCOM is MS proprietary (not standard)...
  - and no support for exceptions (return code based)..
  - and lacks many of CORBA's services (e.g. trading)
- Deprecated today in favor of .NET

# Java RMI

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- 1995: Sun extended Java to allow RMI
  - RMI = **Remote Method Invocation**
- Essentially an OOM scheme for Java with clients, servers and an **object registry**
  - object registry maps from names to objects
  - supports **bind()/rebind(), lookup(), unbind(), list()**
- RMI was designed for Java only
  - no goal of OS or language interoperability
  - hence cleaner design, tighter language integration
  - E.g., distributed garbage collection

# RMI: new classes

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- **remote class:**
  - one whose instances can be used remotely
  - within home address space, a regular object
  - within foreign address spaces, referenced indirectly via an **object handle**
- **serializable class:** [nothing to do with transactions!]
  - object that can be marshalled/unmarshalled
  - if a serializable object is passed as a parameter or return value of a remote method invocation, the value will be copied from one address space to another
  - (for remote objects, only the object handle is copied)

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*needed for remote objects*

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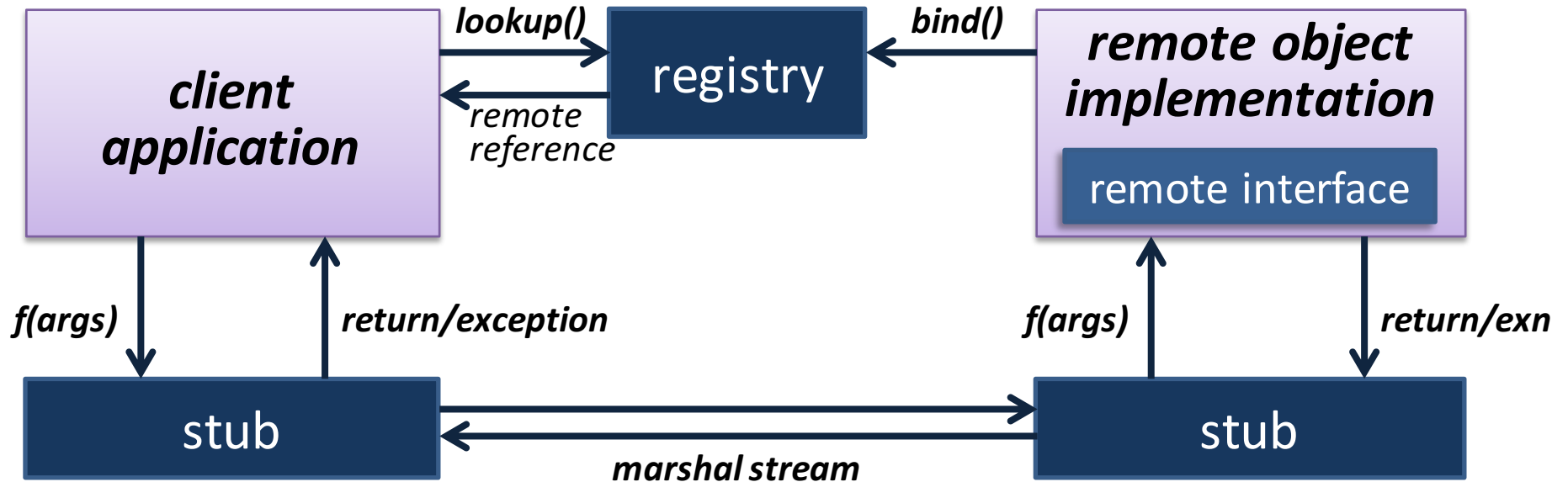
- object that can be marshalled/unmarshalled
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*needed for parameters*



# RMI: the big picture

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- Registry can be on server... or one per distributed system
  - client and server can find it via the **LocateRegistry** class
- Objects being serialized are annotated with a URL for the class
  - unless they implement **Remote** => replaced with a remote reference

# Distributed garbage collection

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- With RMI, can have local & remote object references scattered around a set of machines
- Build **distributed garbage collection** over local GC:
  - When a server exports object O, it creates a skeleton S[O]
  - When a client obtains a remote reference to O, it creates a proxy object P[O], and remotely invokes **dirty(O)**
  - Local GC will track the liveness of P[O]; when it is locally unreachable, client remotely invokes **clean(O)**
  - If server notices no remote references, can free S[O]
  - If S[O] was last reference to O, then it too can be freed
- Like DCOM, server removes a reference if it doesn't hear from that client for a while (default 10 mins)

# OOM: summary

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- OOM enhances RPC with objects
  - types, interfaces, exceptions, ...
- Seen CORBA, DCOM and Java RMI
  - All plausible, and all still used today
  - CORBA most general (language and OS agnostic), but also the most complex: design by committee
  - DCOM is MS-only; being phased out for .NET
  - Java RMI decent starting point for simple distributed systems... but lacks many features
  - (EJB is a modern CORBA/RMI/<stuff> megalith)

# XML-RPC

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- Systems seen so far all developed by large industry, and work fine in the local area...
  - But don't (or didn't) do well through firewalls ;-)
- In 1998, Dave Winer developed XML-RPC
  - Use XML to encode method invocations (method names, parameters, etc)
  - Use HTTP POST to invoke; response contains the result, also encoded in XML
  - Looks like a regular web session, and so works fine with firewalls, NAT boxes, transparent proxies, ...

# XML-RPC example

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## *XML-RPC Request*

```
<?xml version="1.0"?>
<methodCall>
<methodName>util.InttoString</methodName>
  <params>
    <param>
      <value><i4>55</i4></value>
    </param>
  </params>
</methodCall>
```

## *XML-RPC Response*

```
<?xml version="1.0"?>
<methodResponse>
  <params>
    <param>
      <value><string>Fifty Five</string></value>
    </param>
  </params>
</methodResponse>
```

- Client side names method (as a string), and lists parameters, tagged with simple types
- Server receives message (via HTTP), decodes, performs operation, and replies with similar XML
- Inefficient & weakly typed... but simple, language agnostic, extensible, and eminently practical!

# SOAP & web services

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- XML-RPC was a victim of its own success
- WWW consortium decided to embrace it, extend it, and generally simplify it up
  - SOAP (**Simple Object Access Protocol**) is basically XML-RPC, but with more XML bits
  - Support for namespaces, user-defined types, multi-hop messaging, recipient specification, ...
  - Also allows transport over SMTP (!), TCP & UDP
- SOAP is part of the **Web Services** world
  - As complex as CORBA, but with more XML ;-)

# Moving away from RPC

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- SOAP 1.2 defined in 2003
  - Less focus on RPC, and more on moving XML messages from A to B (perhaps via C & D)
- One major problem with all RPC schemes is that they were synchronous:
  - Client is blocked until server replies
  - Poor responsiveness, particularly in wide area
- 2006 saw introduction of AJAX
  - **Asynchronous Javascript with XML**
  - Chief benefit: can update web page without reloading
- Examples: Google Maps, Gmail, Google Docs, ...

# REST

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- AJAX still does RPC (just asynchronously)
- Is a procedure call / method invocation really the best way to build distributed systems?
- **Representational State Transfer** (REST) is an alternative 'paradigm' (or a throwback?)
  - Resources have a name: URL or URI
  - Manipulate them via POST (create), GET (select), PUT (create/overwrite), and DELETE (delete)
  - More recently added: PATCH (partial update in place)
  - Send state along with operations
- Very widely used today (Amazon, Flickr, Twitter)



# Client-server interaction: summary

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- Server handles requests from client
  - Simple request/response protocols (like HTTP) useful, but lack language integration
  - RPC schemes (SunRPC, DCE RPC) address this
  - OOM schemes (CORBA, DCOM, RMI) extend RPC to understand objects, types, interfaces, exns, ...
- Recent WWW developments move away from traditional RPC/RMI:
  - Avoid explicit IDLs since can slow evolution
  - Enable asynchrony, or return to request/response

# Clocks and distributed time

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- Distributed systems need to be able to:
  - order events produced by concurrent processes;
  - synchronize senders and receivers of messages;
  - serialize concurrent accesses to shared objects; and
  - generally coordinate joint activity
- This can be provided by some sort of “clock”:
  - **physical clocks** keep time of day
    - (must be kept consistent across multiple nodes – why?)
  - **logical clocks** keep track of event ordering
- Relativity can't be ignored: think satellites

# Physical clock technology

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- Quartz Crystal Clocks (1929)
  - resonator shaped like a tuning fork
  - laser-trimmed to vibrate at 32,768 Hz
  - standard resonators accurate to 6ppm at 31°C... so will gain/lose around 0.5 seconds per day
  - stability better than accuracy (about 2s/month)
  - best resonators get accuracy of ~1s in 10 years
- Atomic clocks (1948)
  - count transitions of the cesium 133 atom
  - 9,192,631,770 periods defined to be 1 second
  - accuracy is better than 1 second in 6 million years...

# Coordinated Universal Time (UTC)

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- Physical clocks provide ‘ticks’ but we want to know the actual time of day
  - determined by astronomical phenomena
- Several variants of universal time
  - **UT0**: mean solar time on Greenwich meridian
  - **UT1**: UT0 corrected for polar motion; measured via observations of quasars, laser ranging, & satellites
  - **UT2**: UT1 corrected for seasonal variations
  - **UTC**: civil time, tracked using atomic clocks, but kept within 0.9s of UT1 by occasional leap seconds

# Computer clocks

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- Typically have a **Real-Time Clock (RTC)**
  - CMOS clock driven by a quartz oscillator
  - battery-backed so continues when power is off
- Also have range of other clocks (PIT, ACPI, HPET, TSC, ...), mostly **higher frequency**
  - free running clocks driven by quartz oscillator
  - mapped to real time by OS at boot time
  - programmable to generate interrupts after some number of ticks (~= some amount of real time)

# Operating-system use of clocks

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- OSes use time for many things
  - Periodic events – e.g., time sharing, statistics, at, cron
  - Local I/O functions – e.g., peripheral timeouts; entropy
  - Network protocols – e.g., TCP DELACK, retries, keep-alive
  - Cryptographic certificate/ticket generation, expiration
  - Performance profiling and sampling features
- “Ticks” trigger interrupts
  - Historically, timers at fixed intervals (e.g., 100Hz)
  - Now, “tickless”: timer reprogrammed for next event
  - Saves energy, CPU resources – especially as cores scale up

Which of these require “physical time” vs “logical time”?

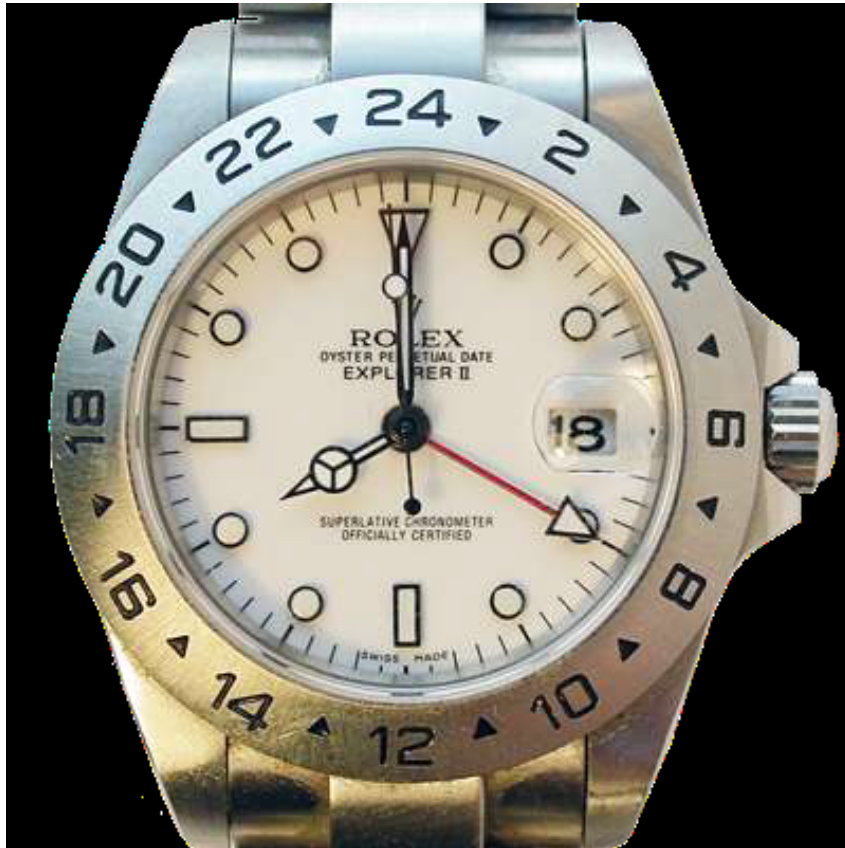
What will happen to each if the real-time clock drifts or steps due to synchronisation?

# The clock synchronization problem

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- In distributed systems, we'd like all the different nodes to have the same notion of time, but
  - quartz oscillators oscillate at slightly different frequencies (time, temperature, manufacture)
- Hence clocks tick at different rates:
  - create ever-widening gap in perceived time
  - this is called **clock drift**
- The difference between two clocks at a given point in time is called **clock skew**
- Clock synchronization aims to minimize clock skew between two (or a set of) different clocks

# Clock skew and clock drift



08:00:00



08:00:00

February 18, 2012  
08:00:00

NB: Steve Hand's watches, not mine.



# Clock skew and clock drift



**08:01:24**

**Skew** = 84 seconds  
**Drift** = 84s / 34 days  
= +2.47s per day

March 23, 2012  
08:00:00



**08:01:48**

**Skew** = 108 seconds  
**Drift** = 108s / 34 days  
= +3.18s per day

# Summary + next time (!)

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- More Object-Oriented Middleware (OOM)
  - DCOM, RMI, XML-RPC, SOAP, REST
- Clocks and distributed time
  - Physical clock technology, UTC
  - Skew and drift
- More on physical time
- Time synchronisation
- Ordering
  - The “happens-before” relation
  - Logical and vector clocks