

6.3: Minimum Spanning Tree

Frank Stajano

Thomas Sauerwald

Lent 2016

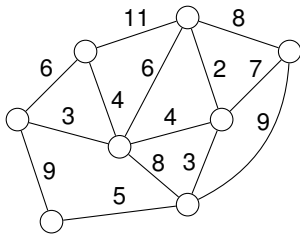


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Minimum Spanning Tree Problem

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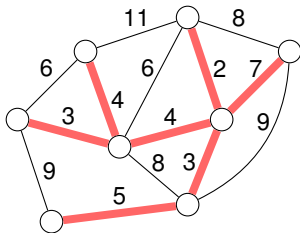
- Given: undirected, connected graph $G = (V, E, w)$ with non-negative edge weights



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- **Given:** undirected, connected graph $G = (V, E, w)$ with non-negative edge weights
- **Goal:** Find a subgraph $\subseteq E$ of minimum total weight that links all vertices

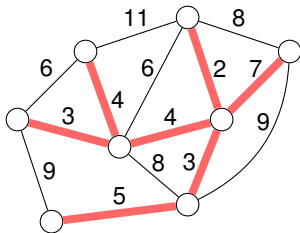


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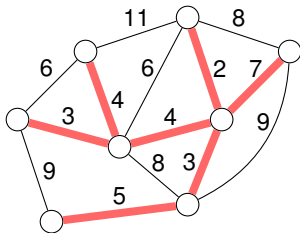
Must be necessarily a tree!



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Applications

- Street Networks, Wiring Electronic Components, Laying Pipes
- **Weights** may represent distances, costs, travel times, capacities, resistance etc.



Generic Algorithm

```
0: def minimum spanningTree(G)
1:   A = empty set of edges
2:   while A does not span all vertices yet:
3:     add a safe edge to A
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An edge of G is **safe** if by adding the edge to A , the resulting subgraph is still a subset of a minimum spanning tree.



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How to find a safe edge?



Definitions

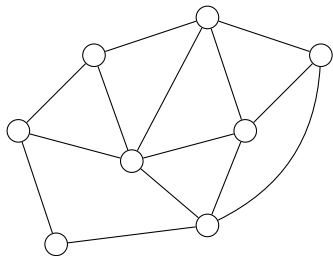
- a **cut** is a partition of V into at least two disjoint sets



Finding safe edges

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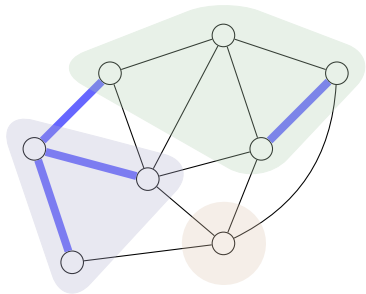
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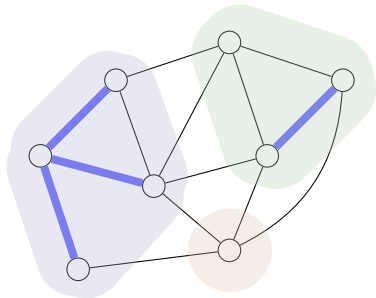
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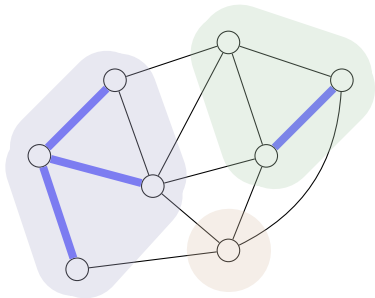
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Theorem

Let $A \subseteq E$ be a subset of a MST of G . Then for any cut that respects A , the **lightest edge** of G that goes across the cut is **safe**.

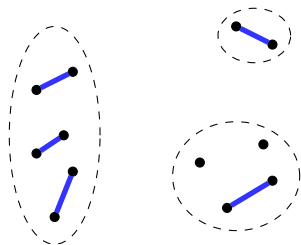


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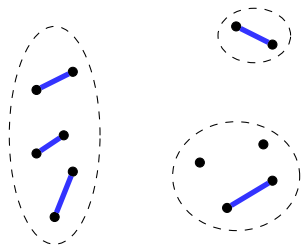
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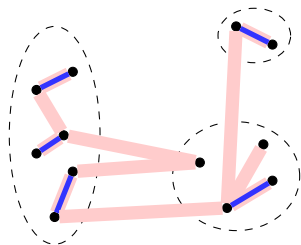
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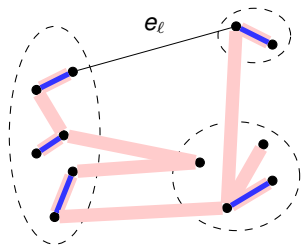
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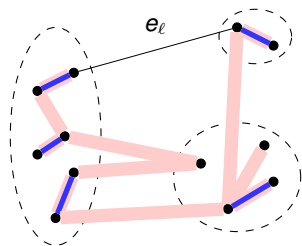
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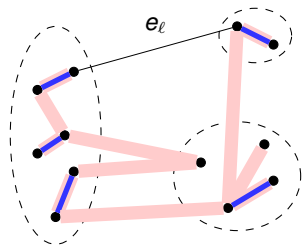
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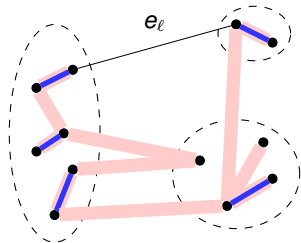
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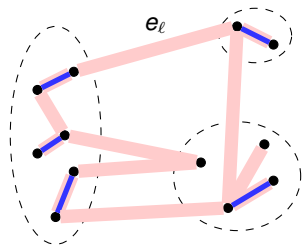
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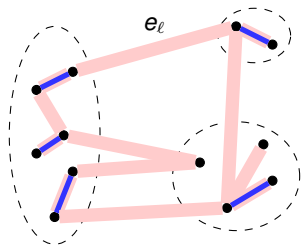
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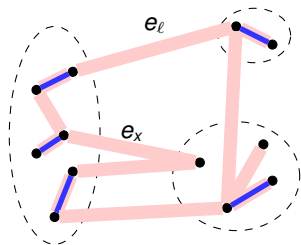
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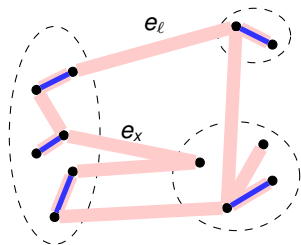
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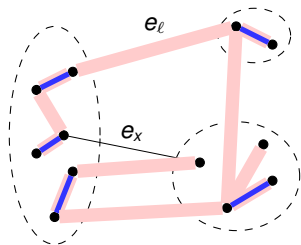
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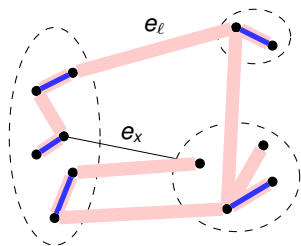
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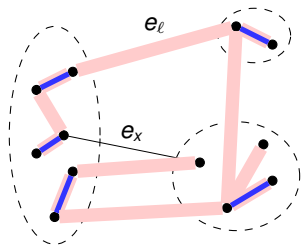
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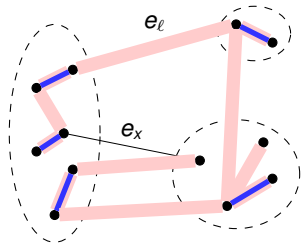
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 - If $w(e_\ell) = w(e_x)$, then $T \cup e_\ell \setminus e_x$ is a MST.

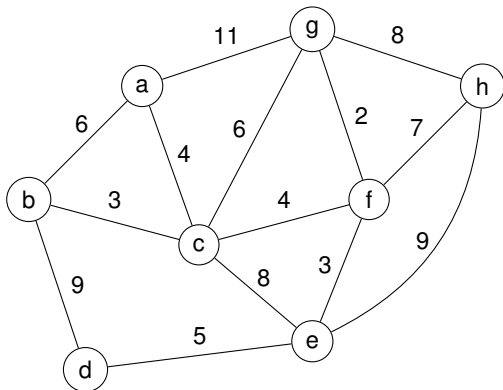


□



Glimpse at Kruskal's Algorithm

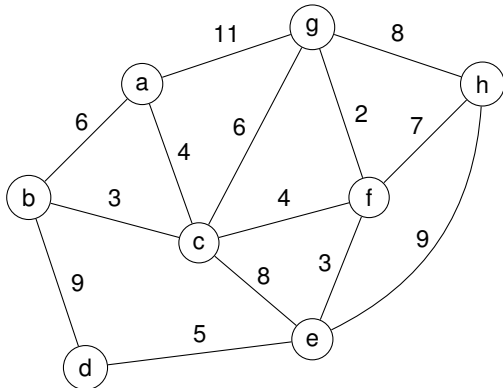
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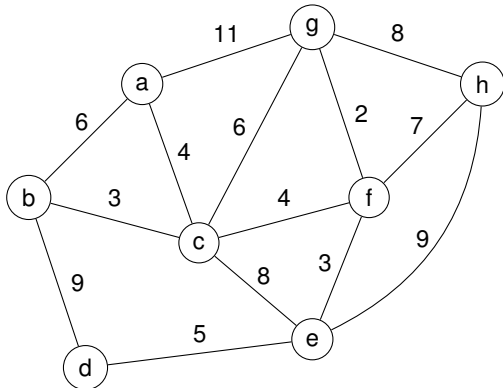
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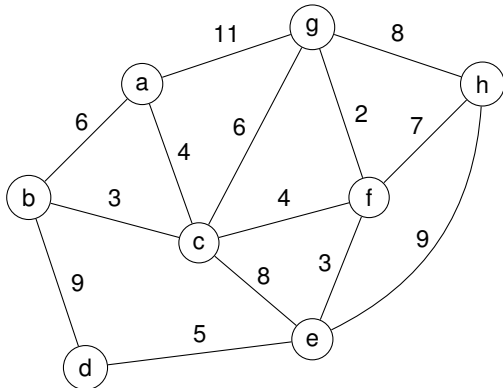
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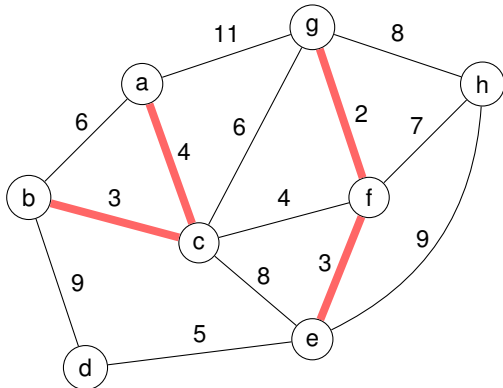
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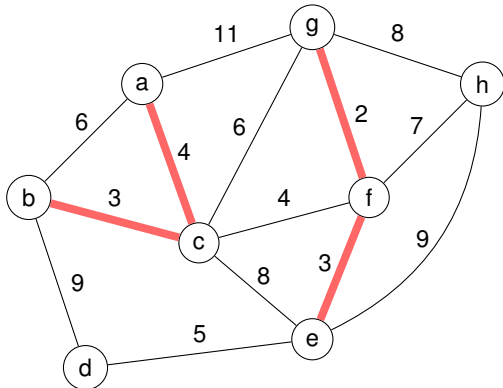
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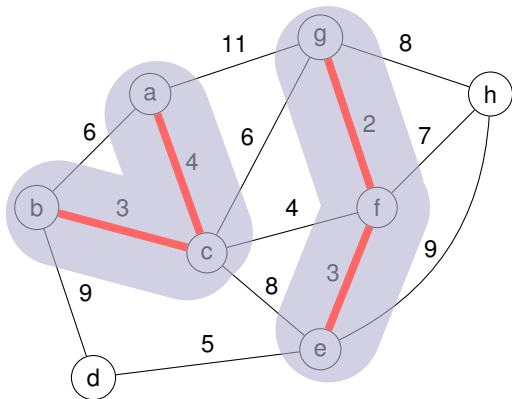
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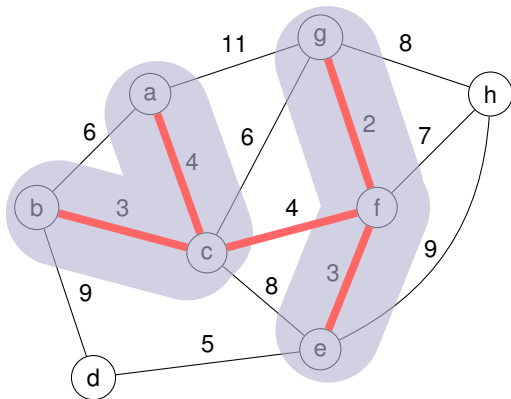
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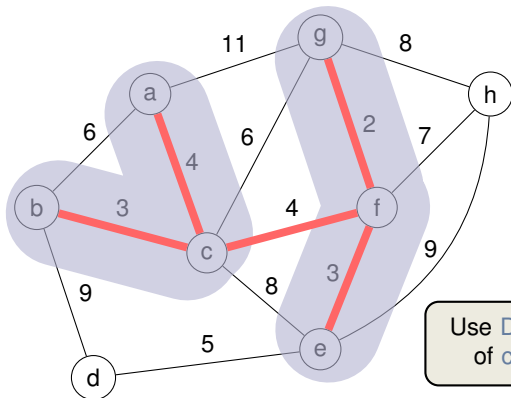
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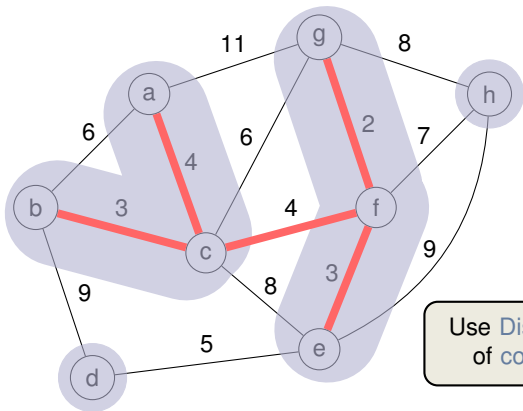
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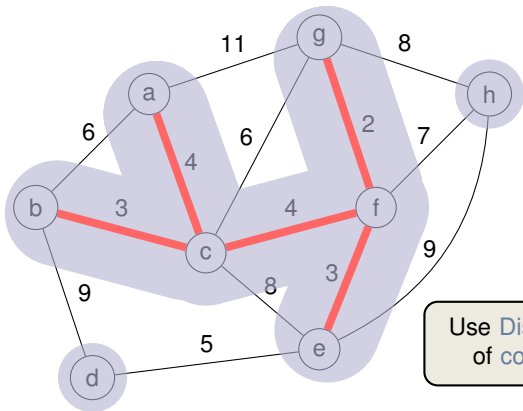
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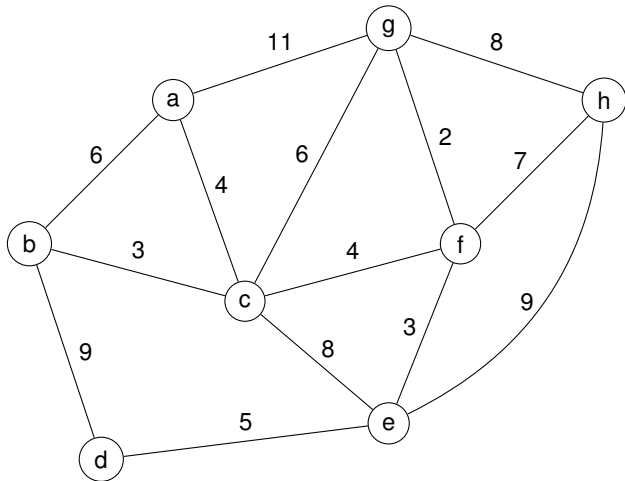
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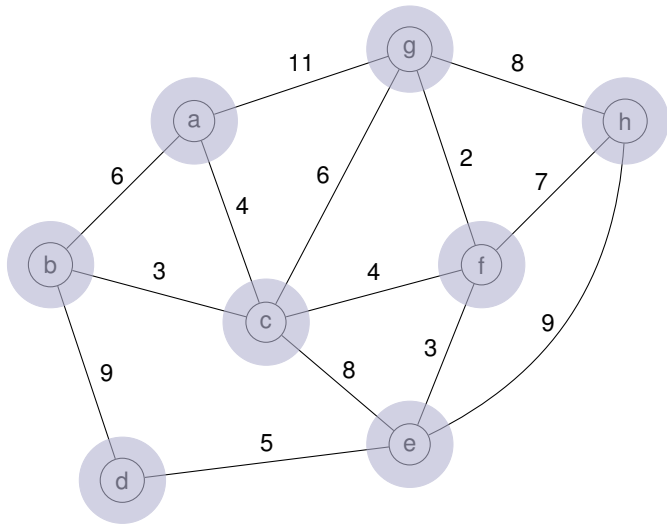
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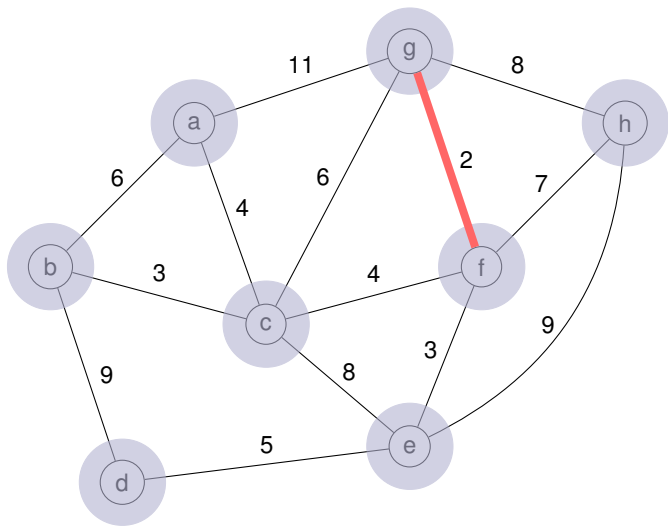
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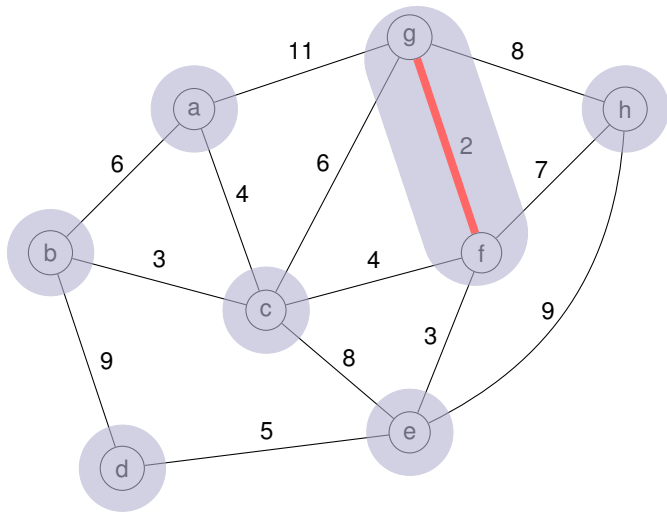
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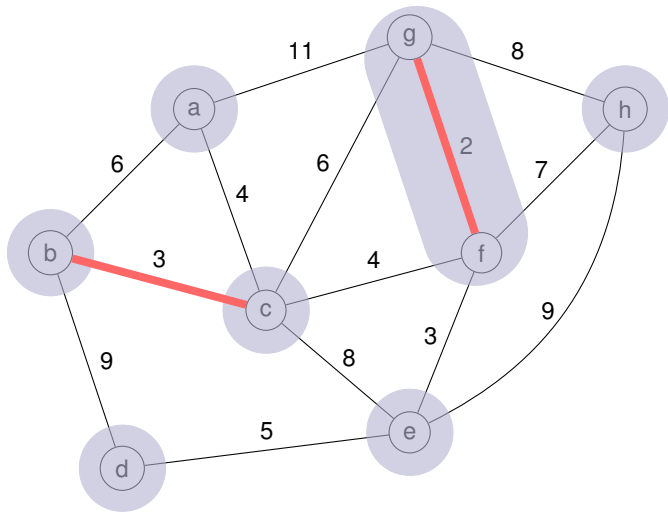
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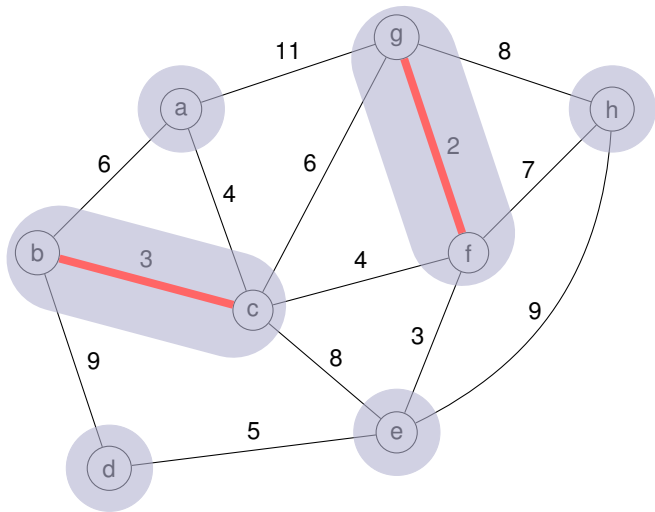
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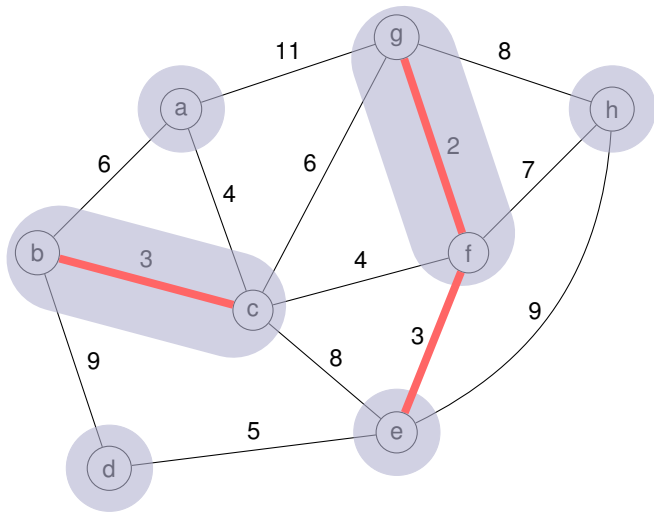
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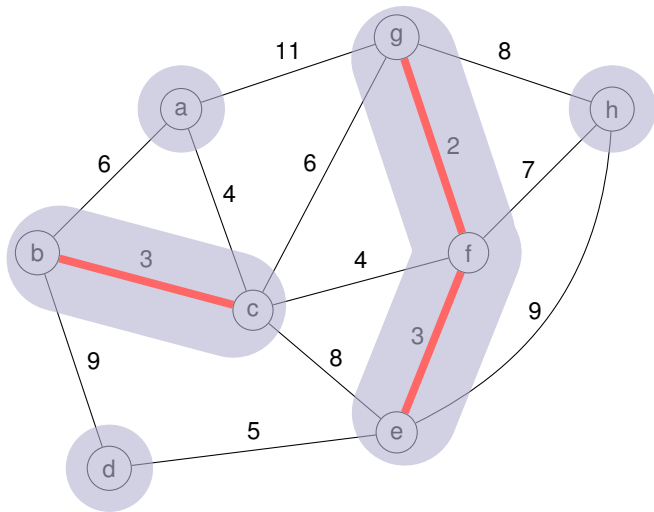
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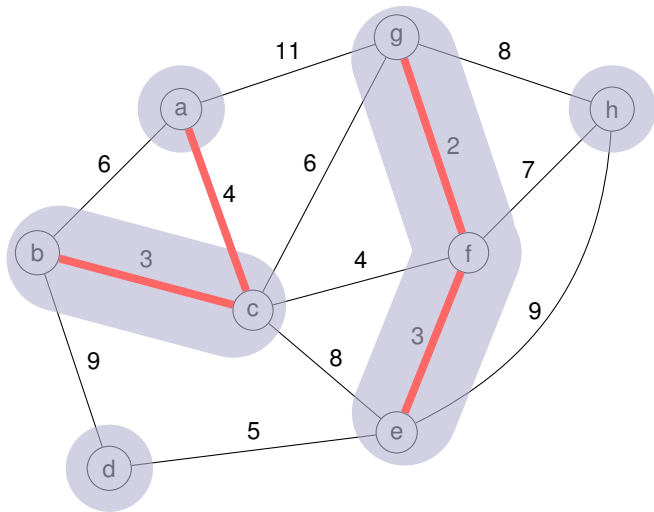
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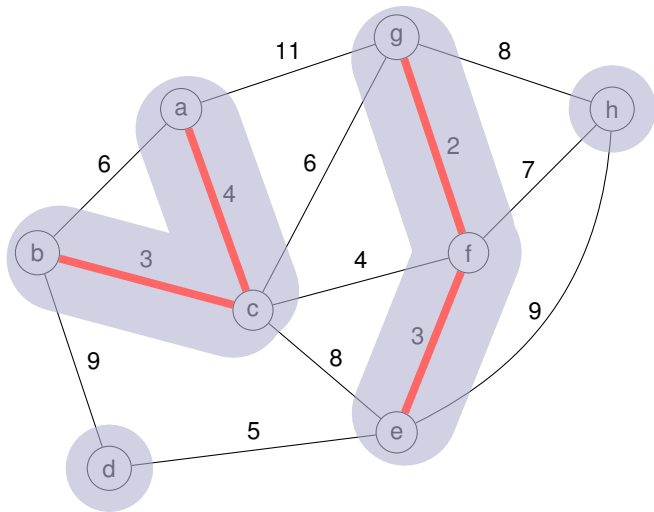
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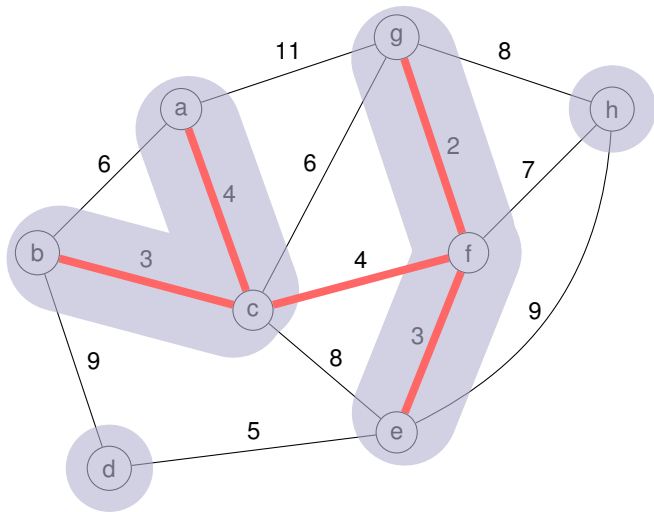
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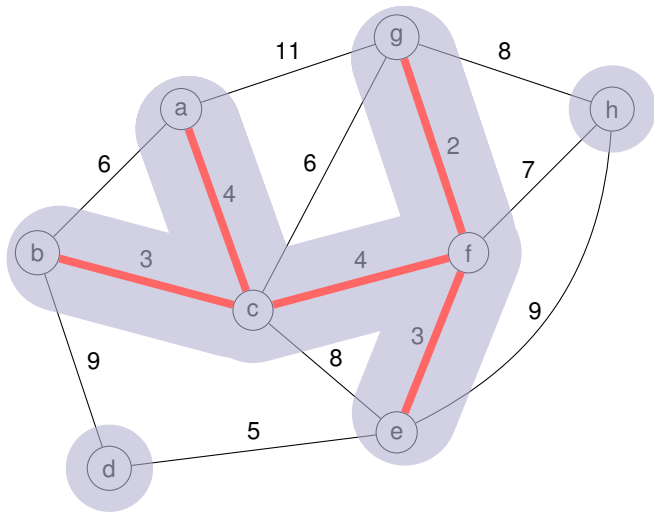
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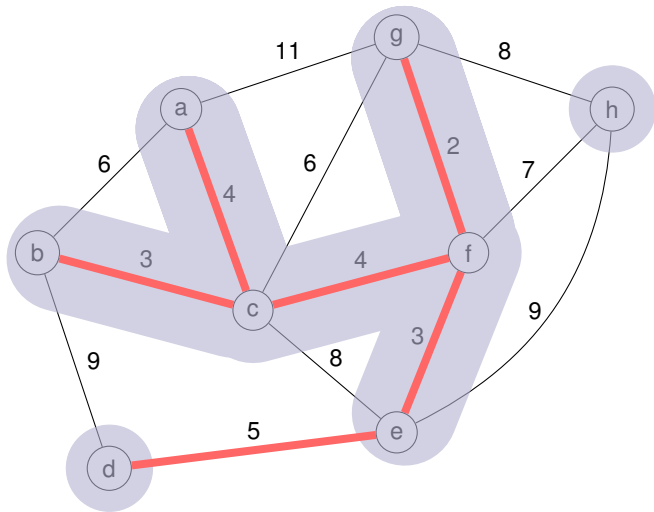
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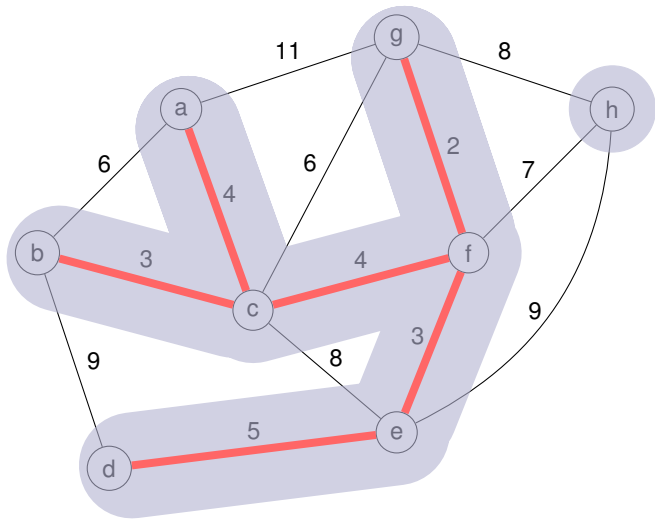
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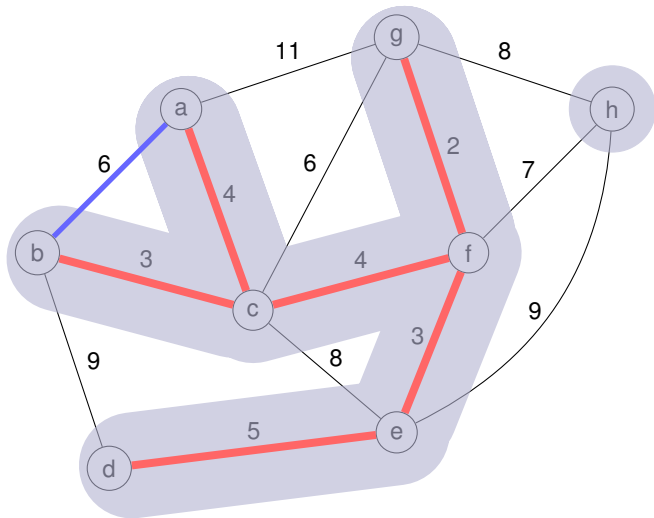
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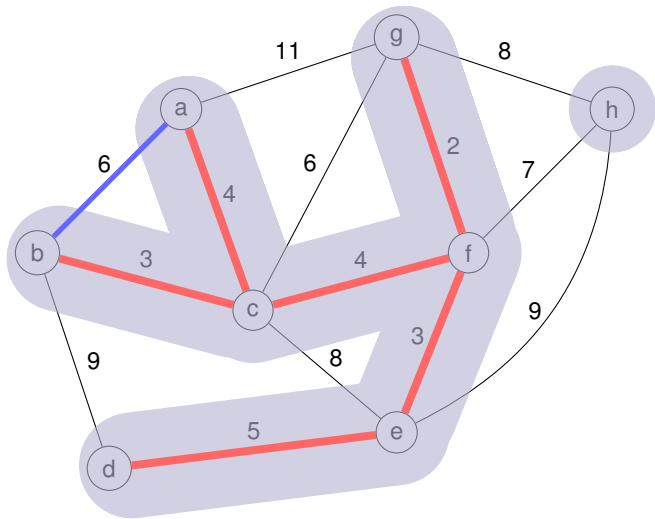
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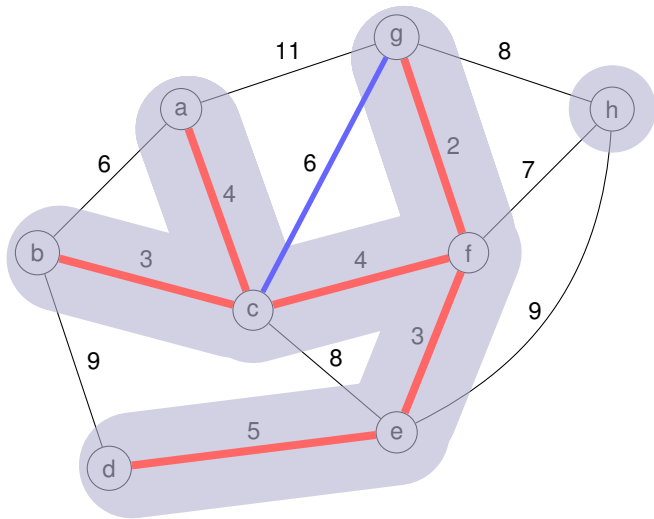
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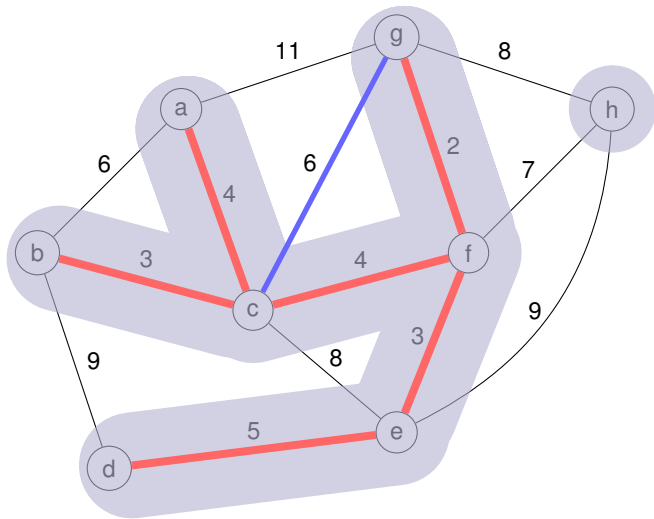
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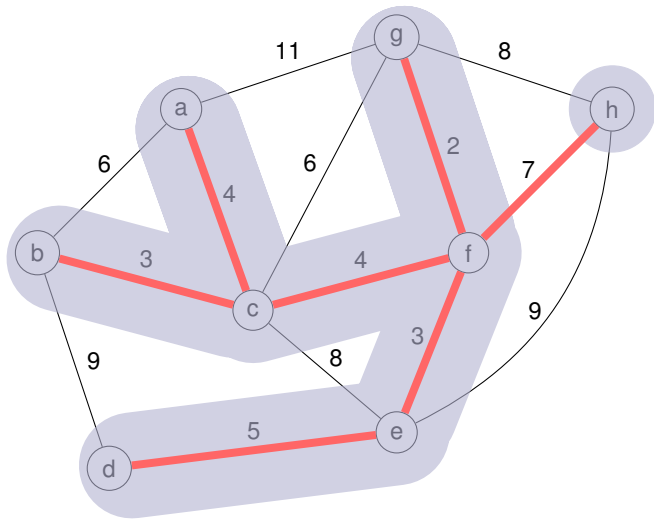
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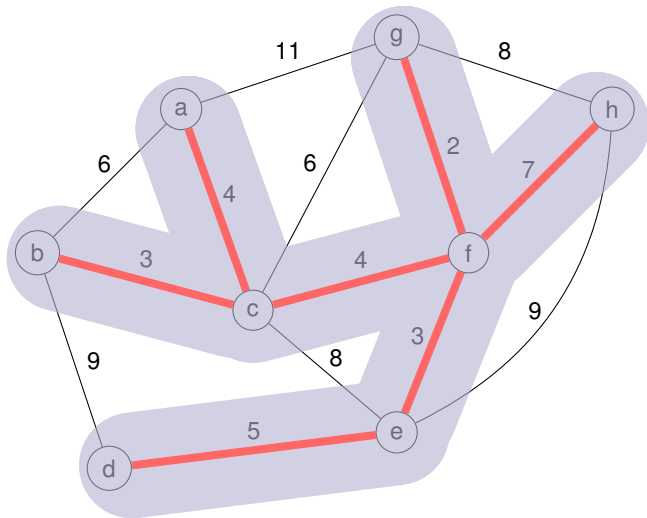
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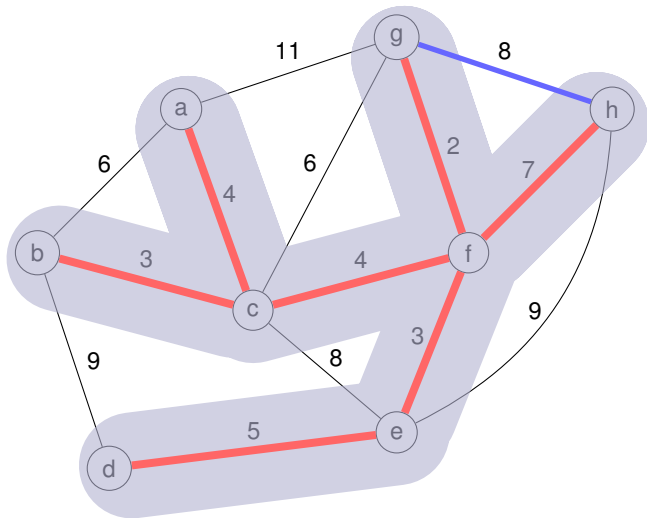
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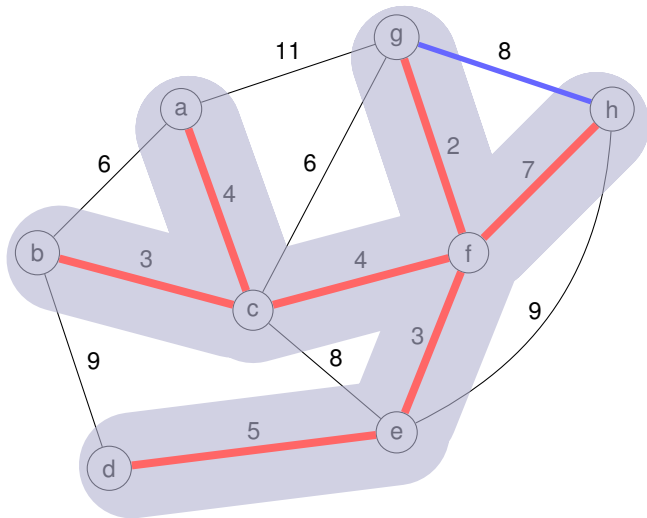
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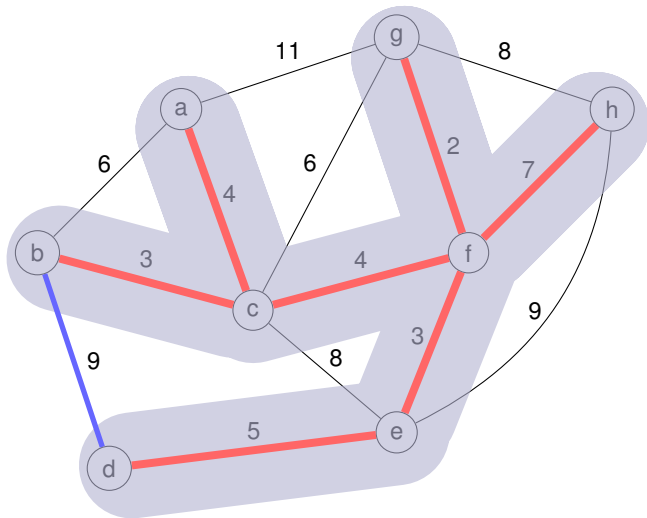
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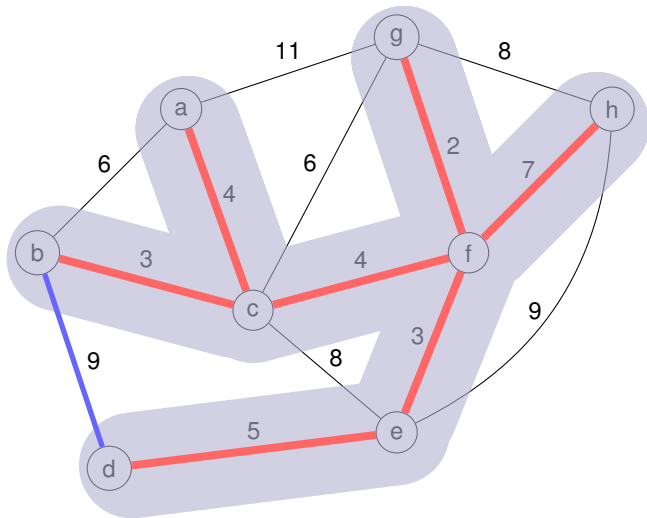
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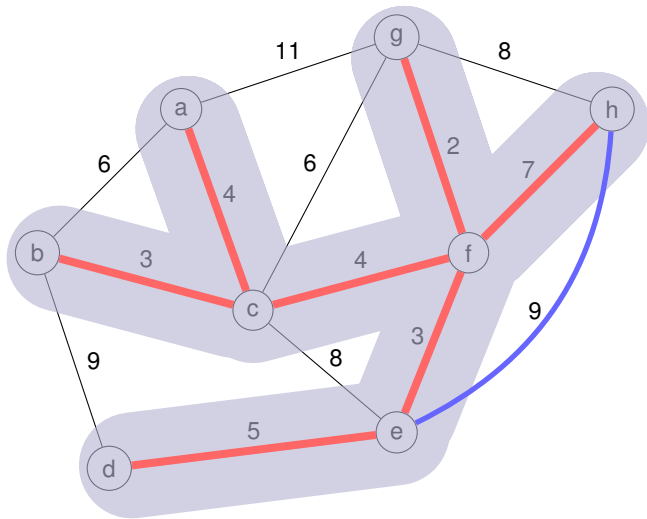
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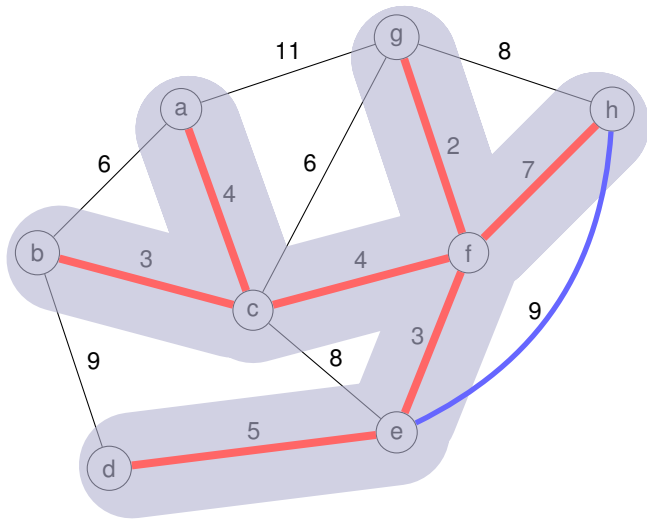
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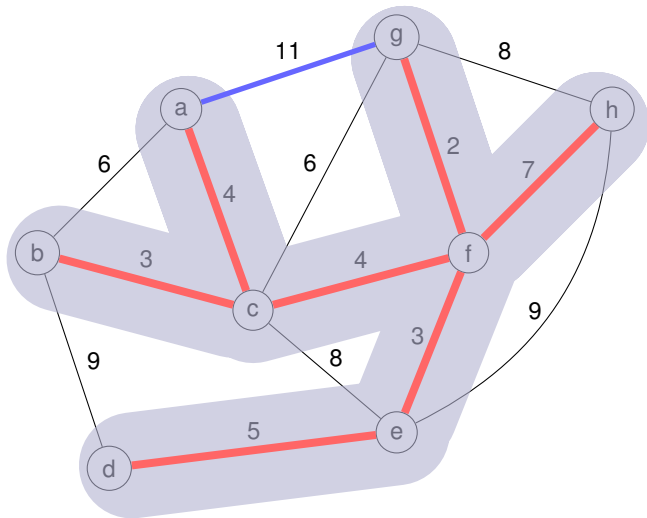
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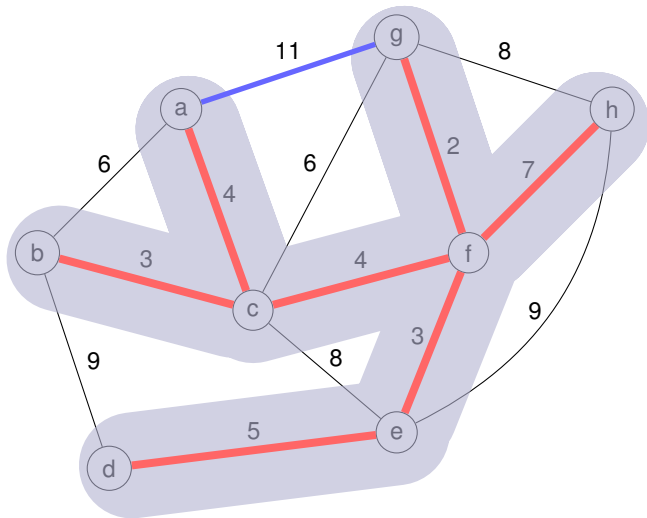
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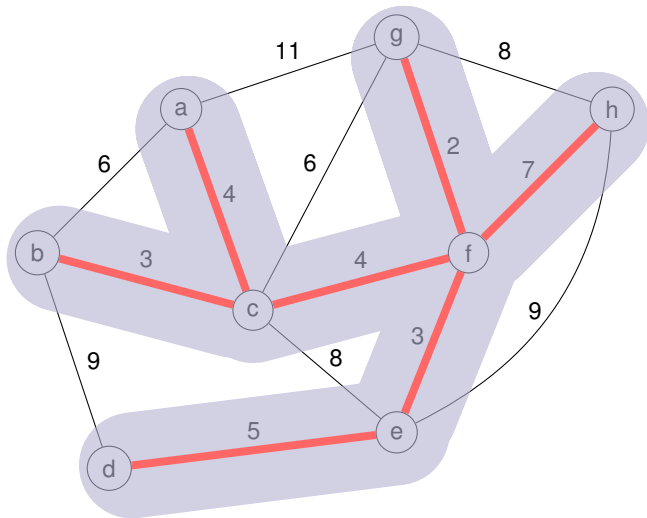
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Execution of Kruskal's Algorithm



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⇒ Overall: $\mathcal{O}(E \log E) = \mathcal{O}(E \log V)$

If edges are already sorted, runtime becomes $\mathcal{O}(E \cdot \alpha(n))!$



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- Consider the cut of all connected components (disjoint sets)



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Correctness

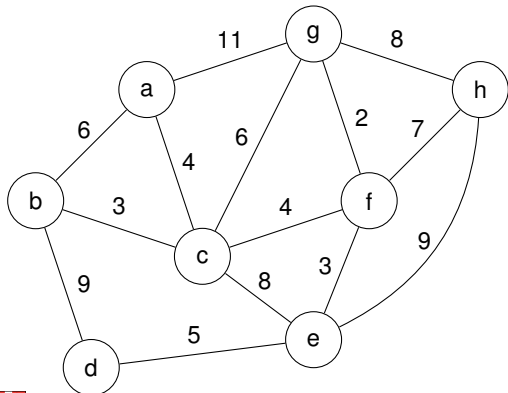
- Consider the **cut of all connected components** (disjoint sets)
- L. 14 ensures that we extend A by an edge that **goes across the cut**
- This edge is also the **lightest edge** crossing the cut (otherwise, we would have included a lighter edge before)



Prim's Algorithm

Basic Strategy

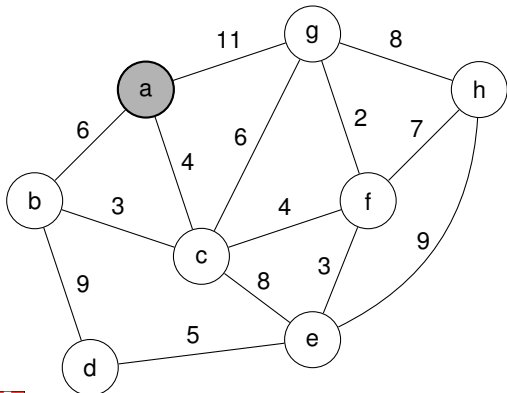
- Start **growing a tree** from a designated root vertex



Prim's Algorithm

Basic Strategy

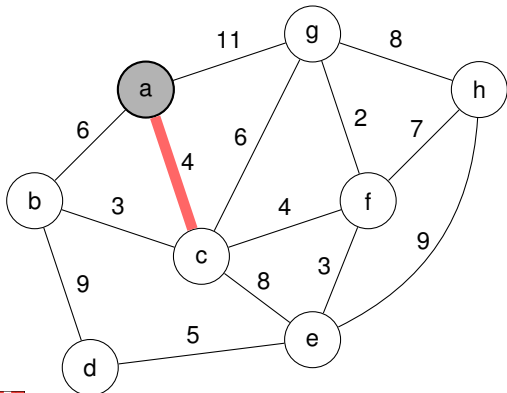
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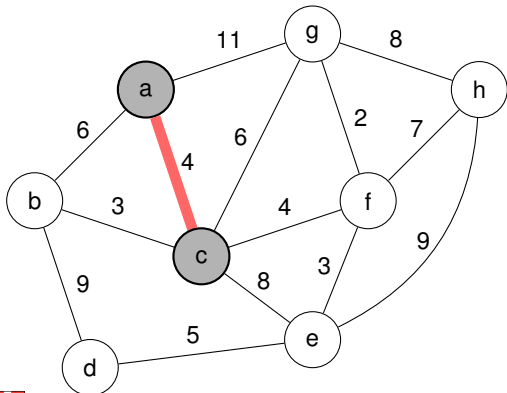
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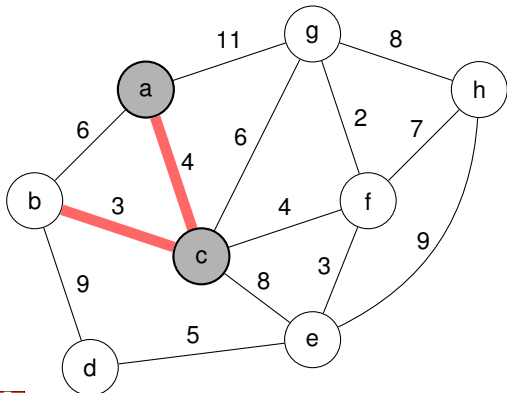
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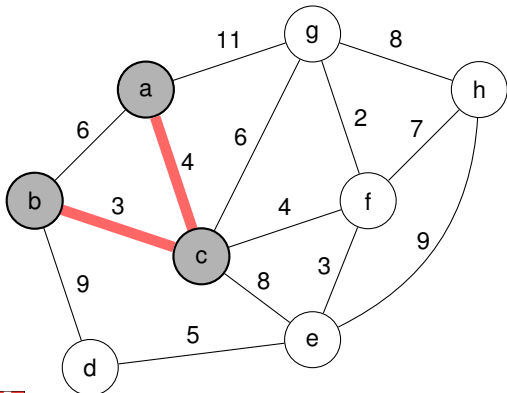
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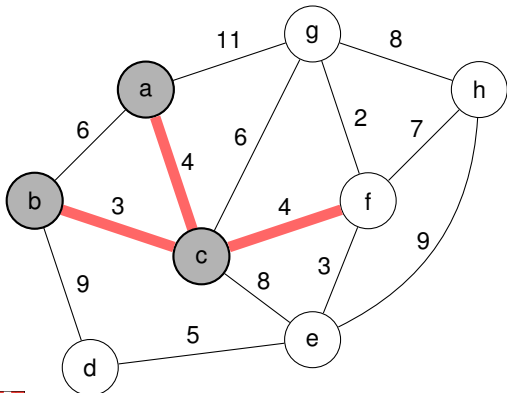
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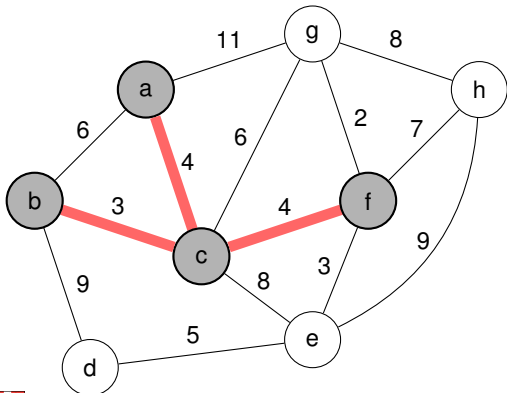
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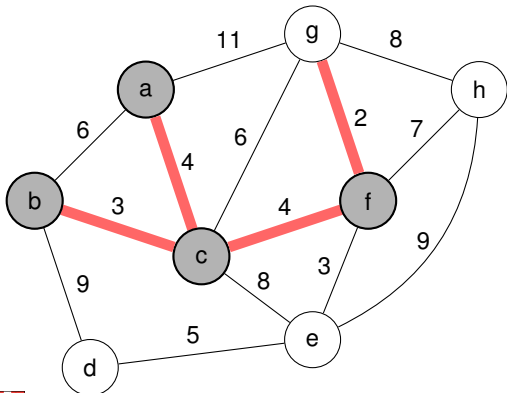
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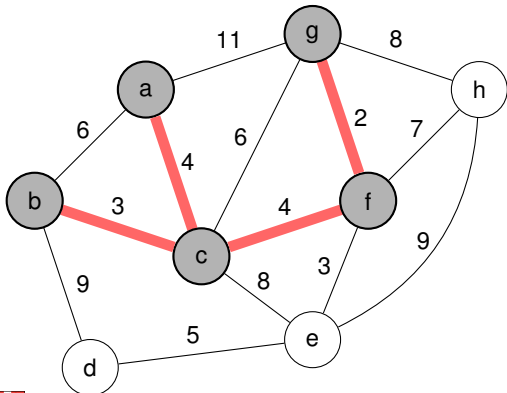
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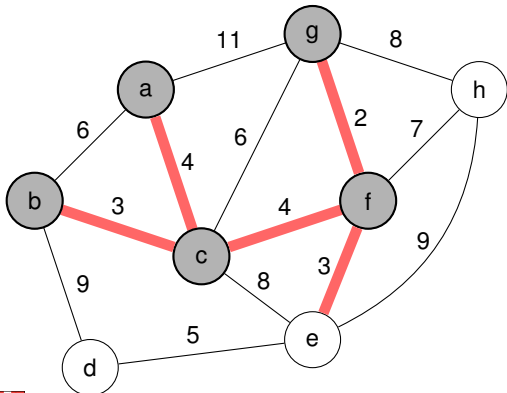
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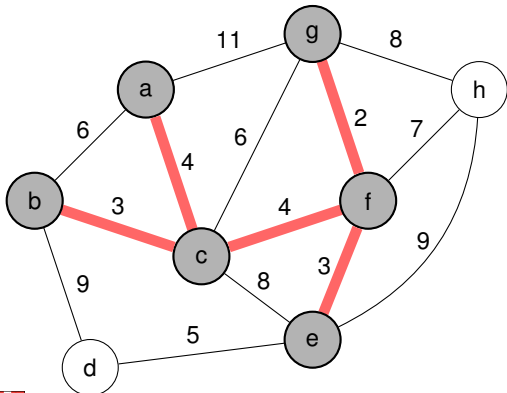
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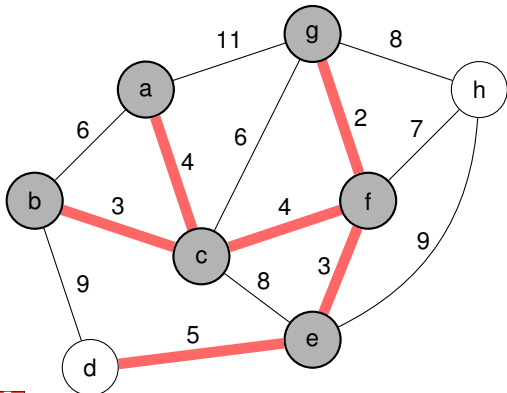
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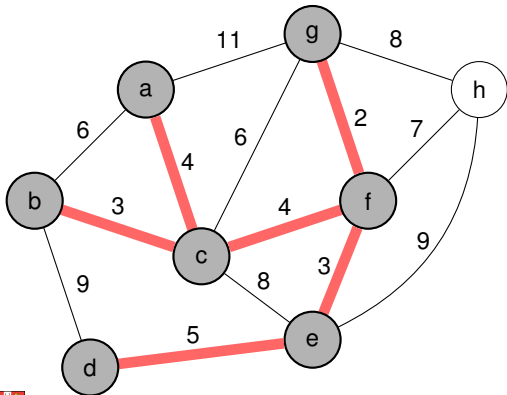
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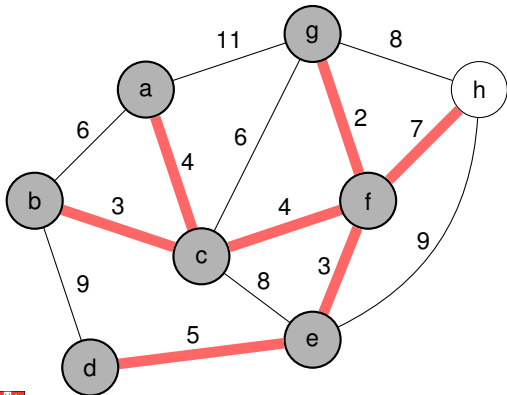
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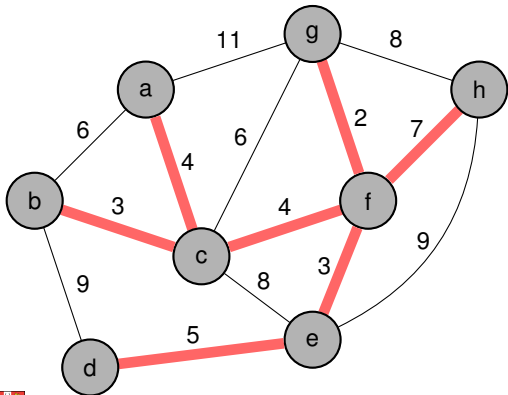
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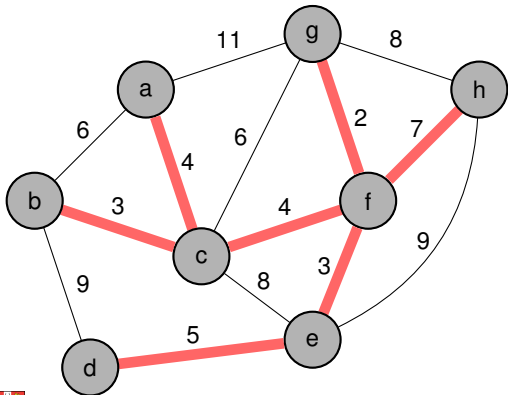


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Implementation will be based on **vertices!**

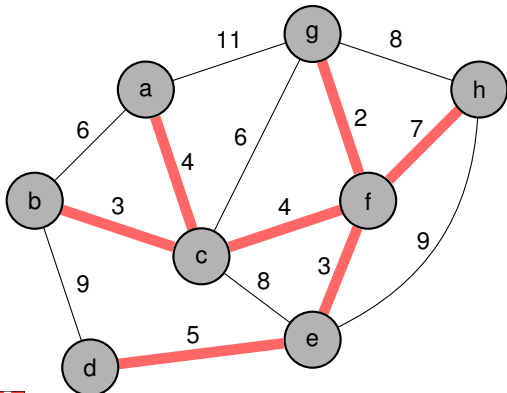


Prim's Algorithm

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Assign every vertex not in A a **key** which is **at all stages** equal to the smallest weight of an edge connecting to A



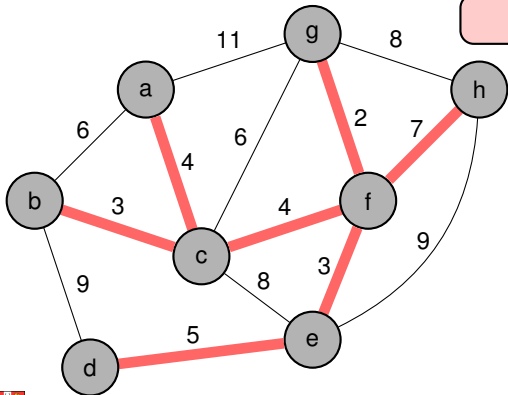
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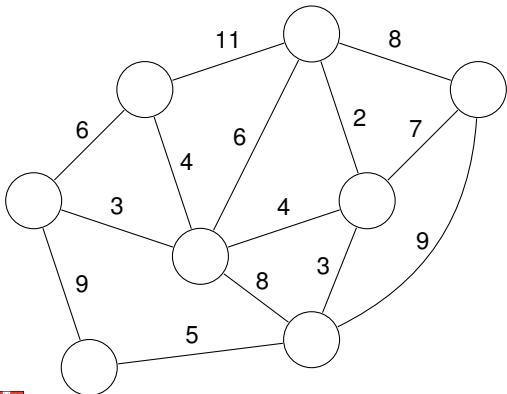
Use a Priority Queue!



Prim's Algorithm

Implementation

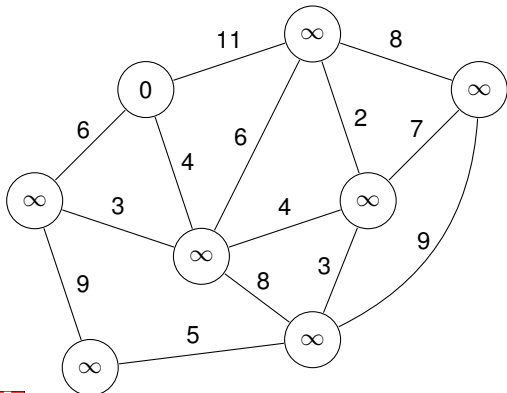
- Every vertex in Q has **key** and **pointer** of least-weight edge to $V \setminus Q$
- At each step:
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Prim's Algorithm

Implementation

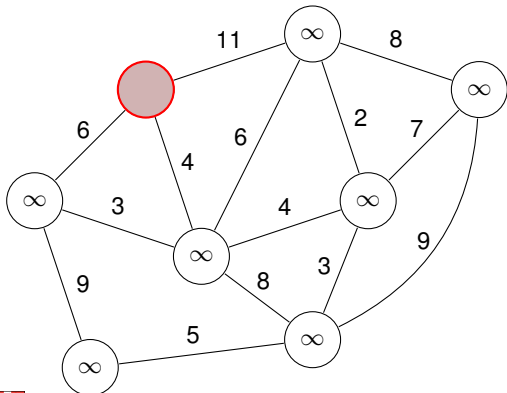
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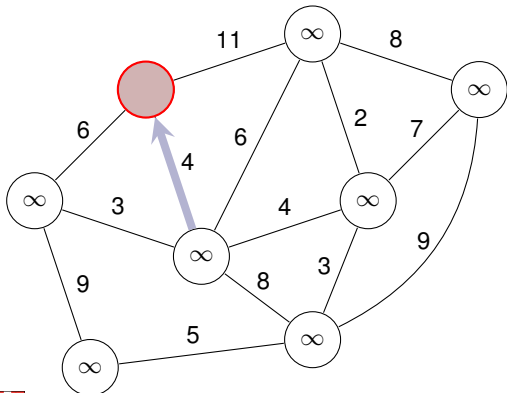
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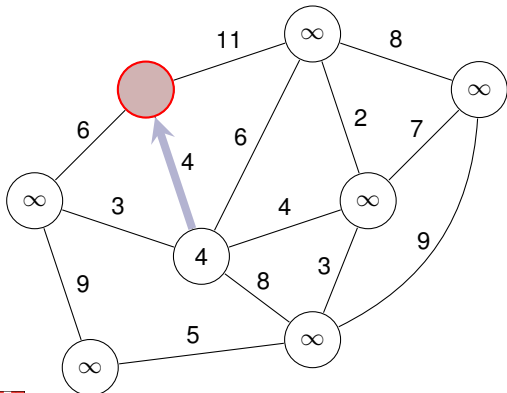
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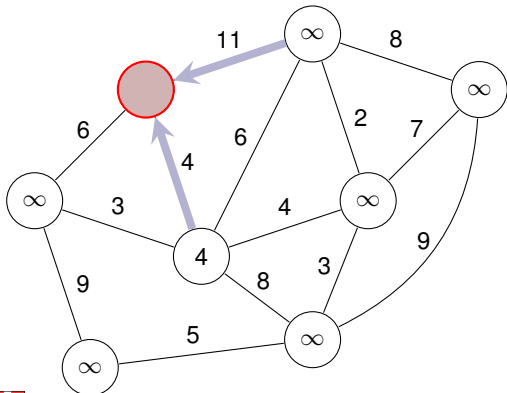
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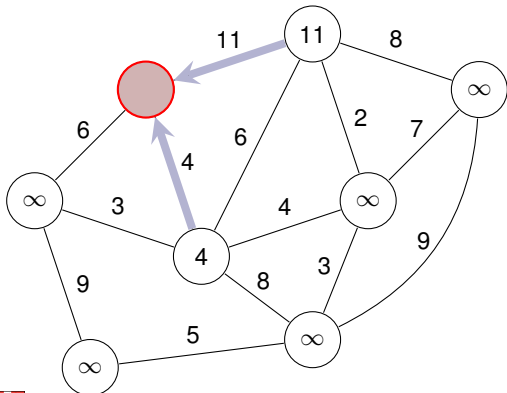
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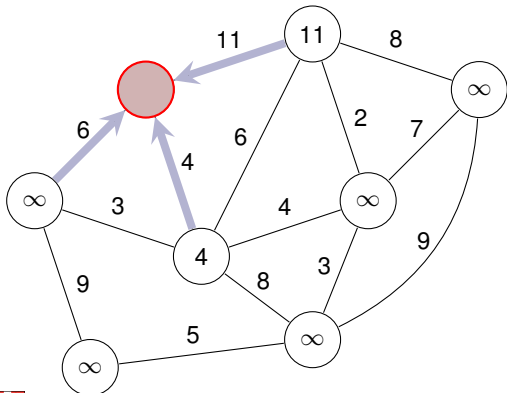
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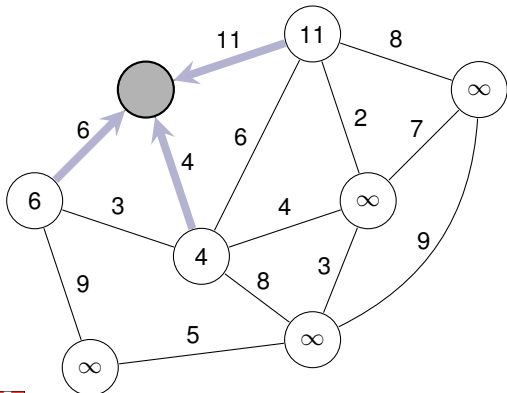
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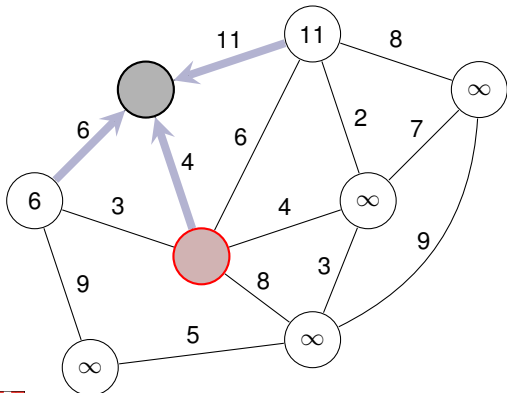
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Prim's Algorithm

Implementation

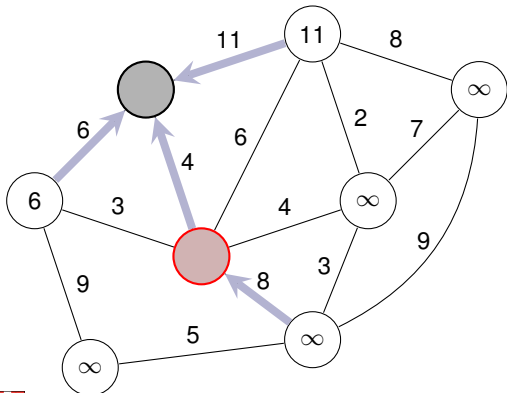
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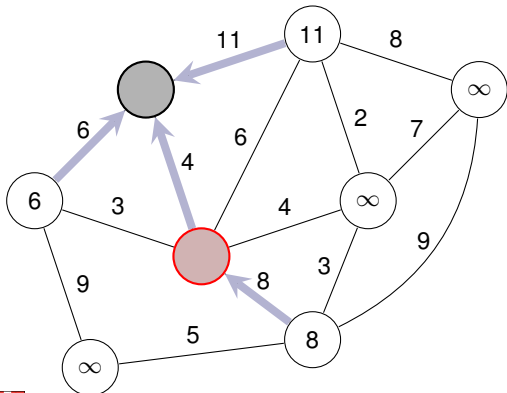
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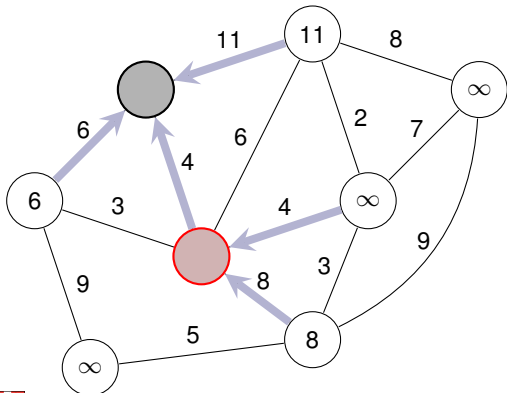
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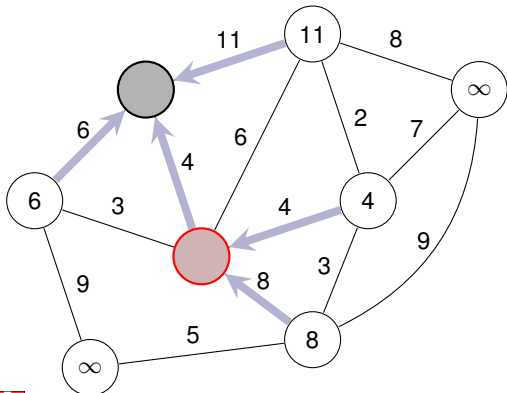
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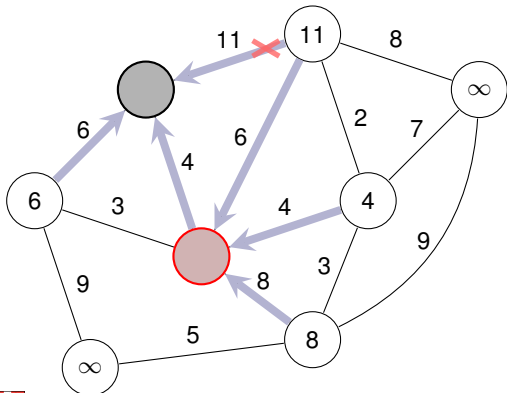
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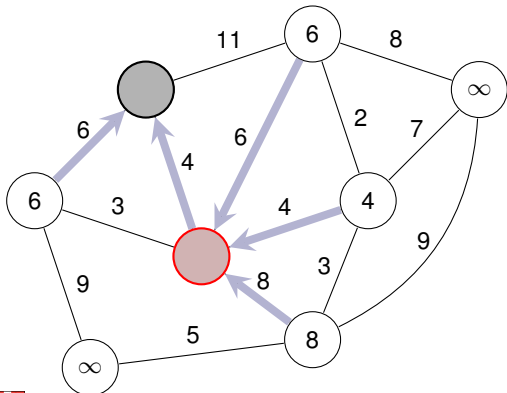
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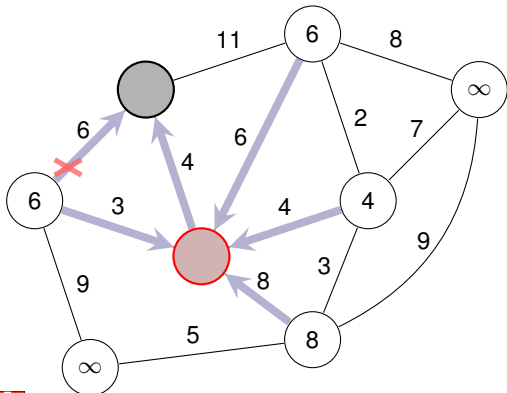
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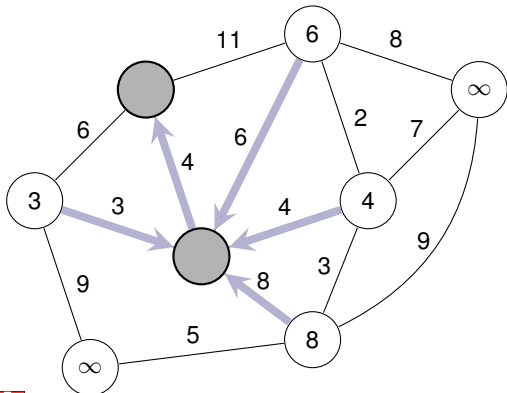
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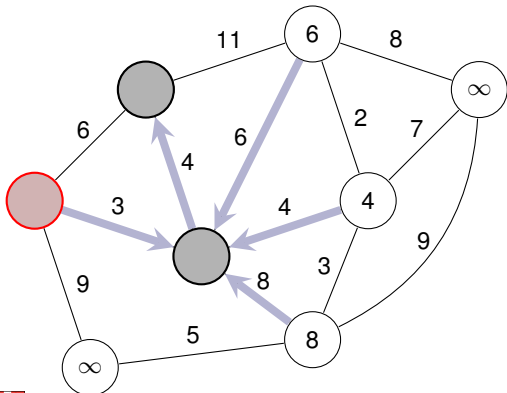
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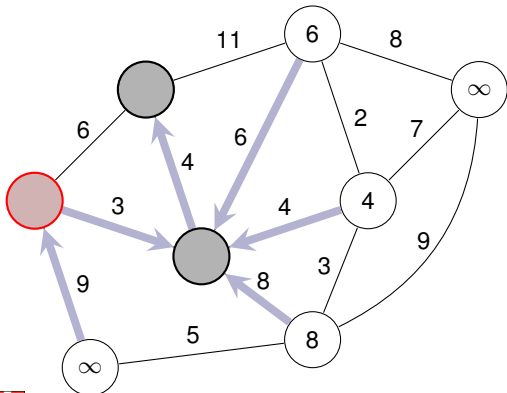
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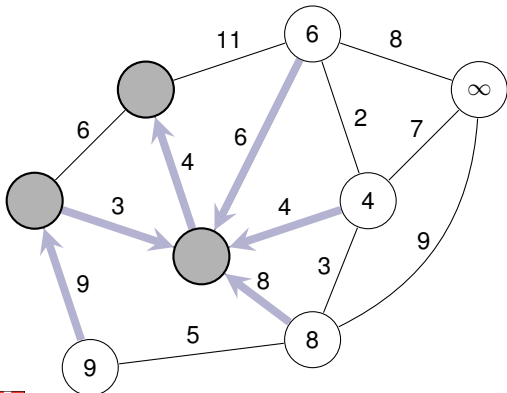
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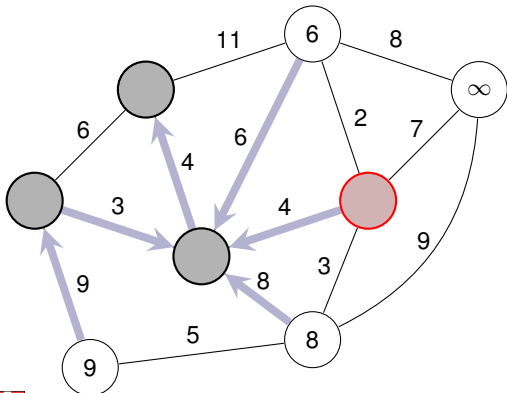
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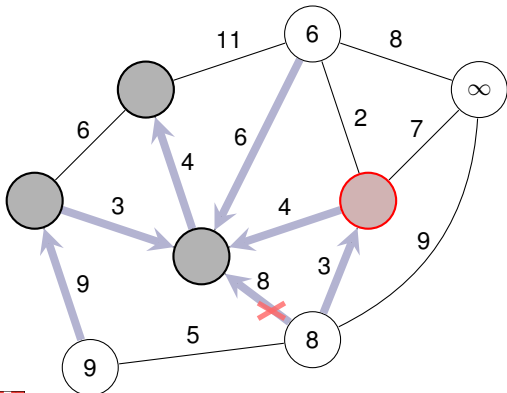
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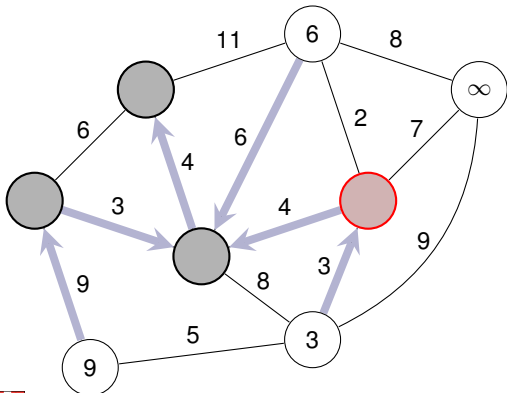
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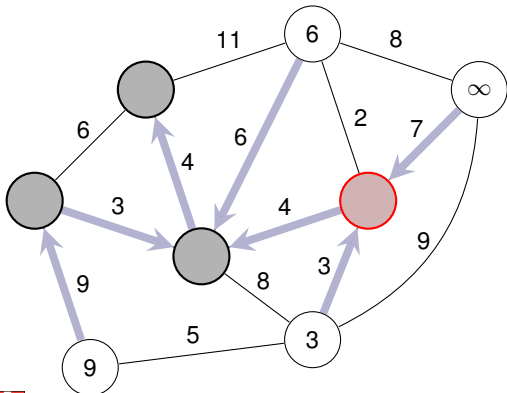
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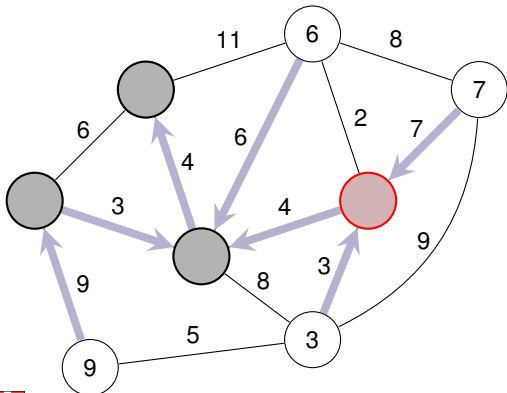
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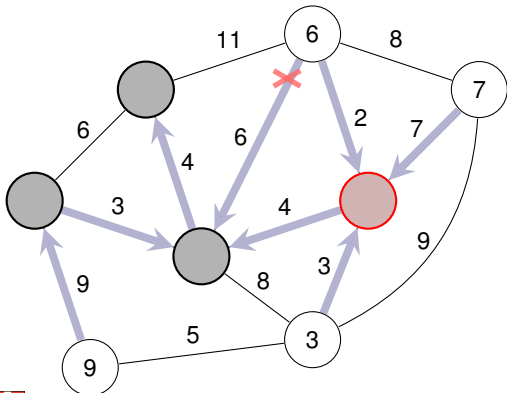
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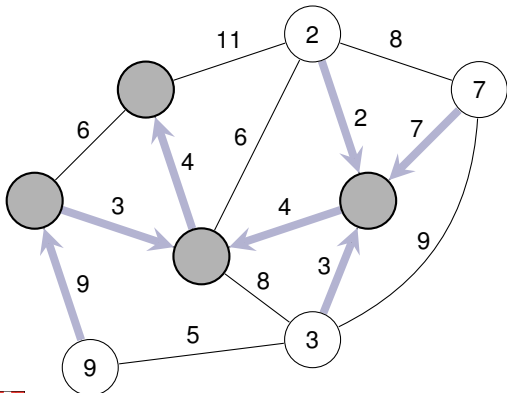
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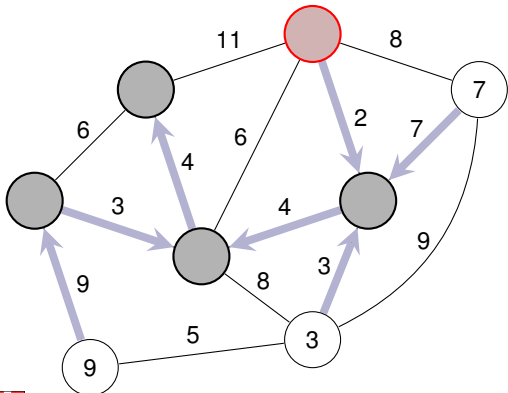
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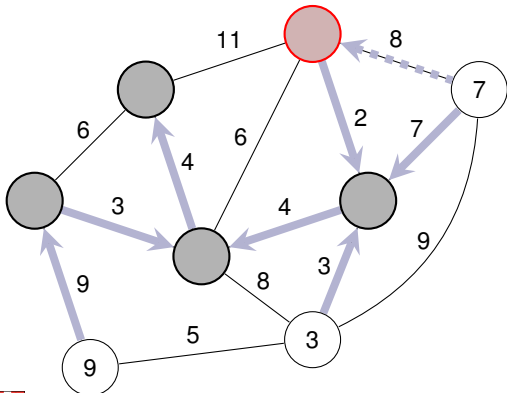
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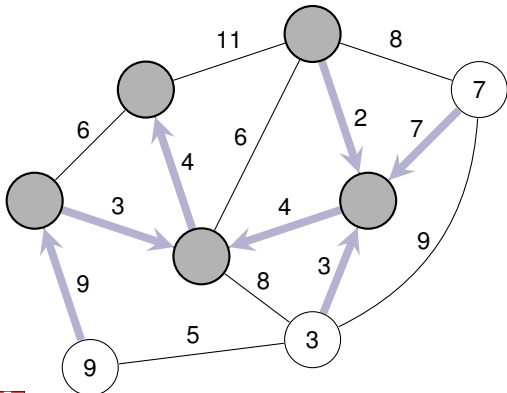
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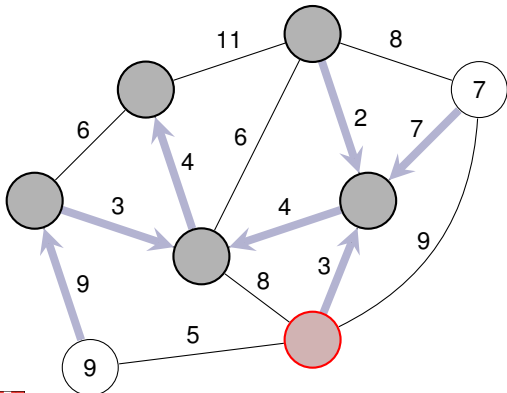
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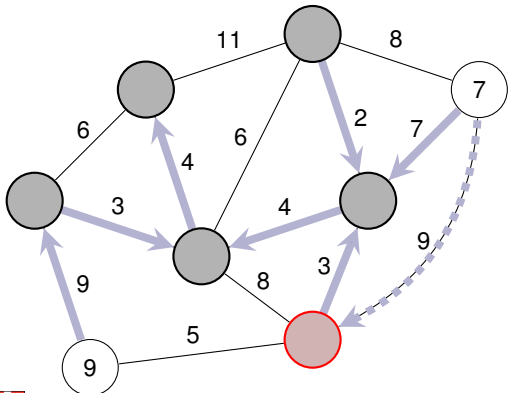
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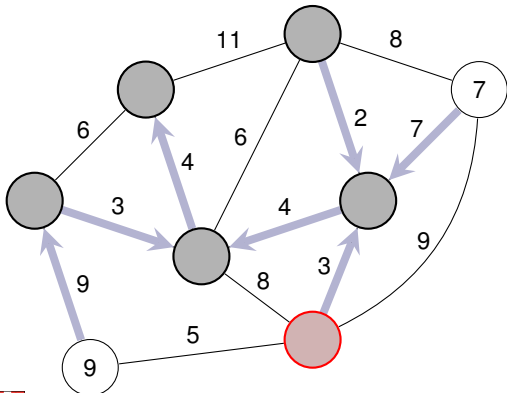
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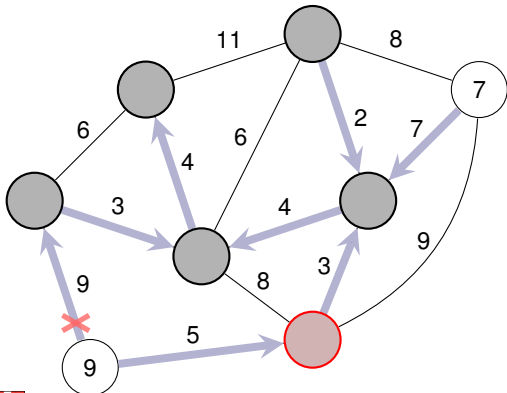
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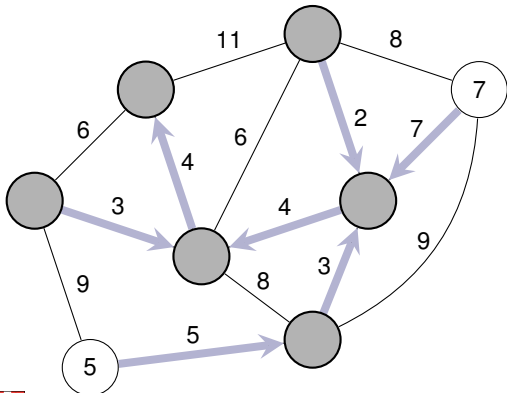
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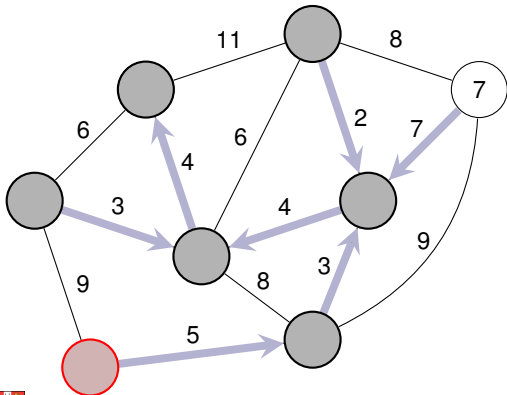
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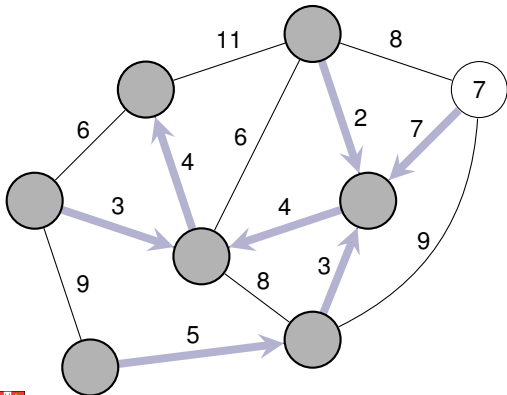
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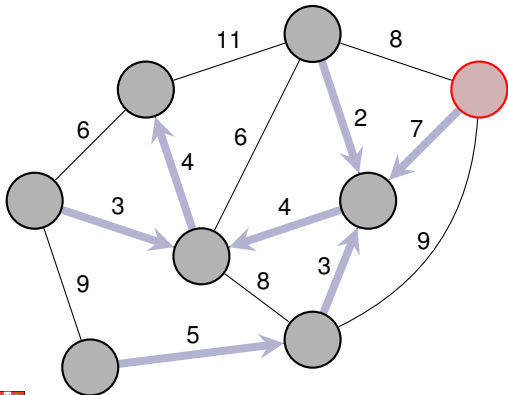
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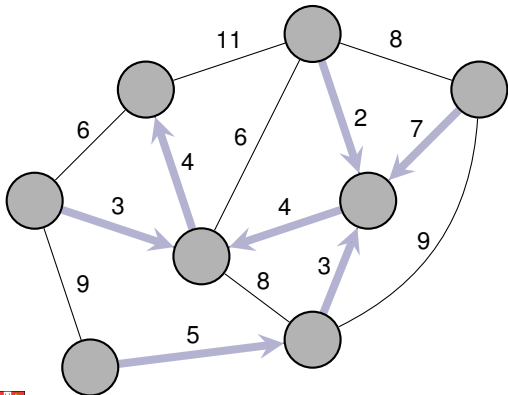
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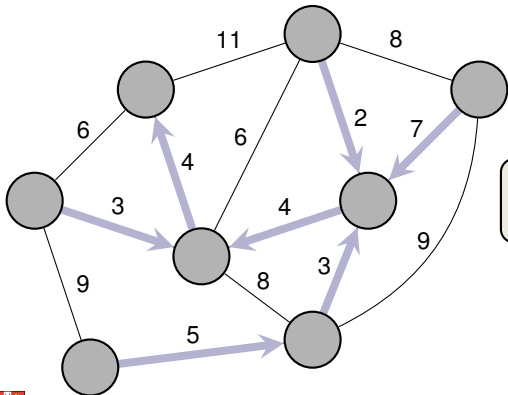
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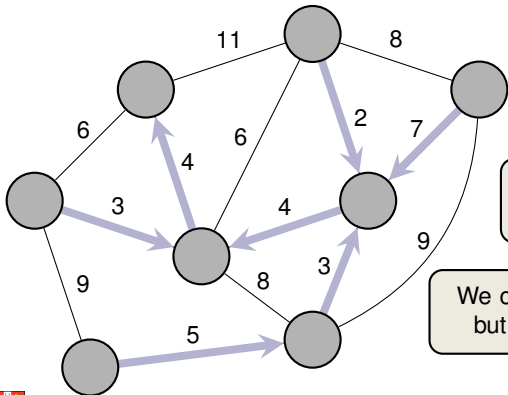
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We computed **same MST** as Kruskal,
but in a completely **different order**!



Details of Prim's Algorithm

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0: def prim(G, r)
1:     Apply Prim's Algorithm to graph G and root r
2:     Return result implicitly by modifying G:
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5:     Q = MinPriorityQueue()
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5:     Q = MinPriorityQueue()
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10:        else:
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12:        Q.insert(v)
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14:    while not Q.isEmpty():
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Time Complexity

- **Fibonacci Heaps:**

Init (l. 6-13): $\mathcal{O}(V)$, ExtractMin (15): $\mathcal{O}(V \cdot \log V)$, DecreaseKey (16-20): $\mathcal{O}(E \cdot 1)$

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Summary (Kruskal and Prim)

Generic Idea

- Add **safe edge** to the current MST as long as possible
- **Theorem:** An edge is **safe** if it is the lightest of a cut respecting A



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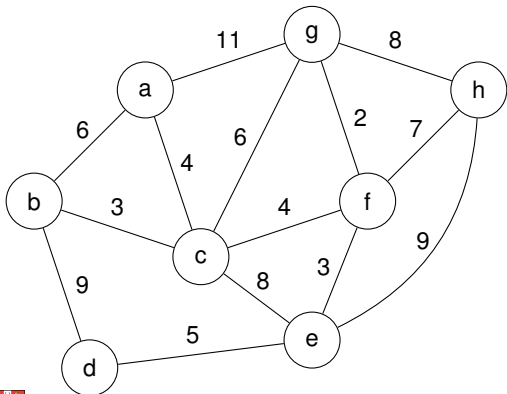
- Gradually extends a tree into a MST by adding incident edges
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Outlook: Reverse-Delete Algorithm

Basic Idea

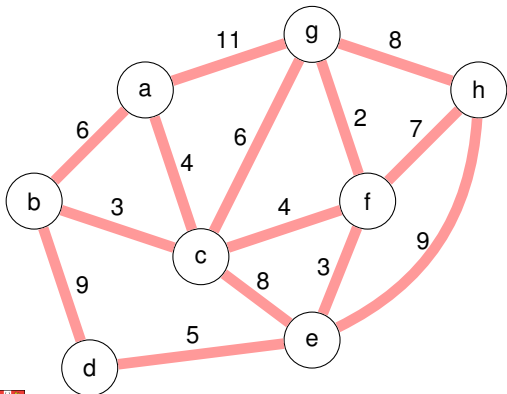
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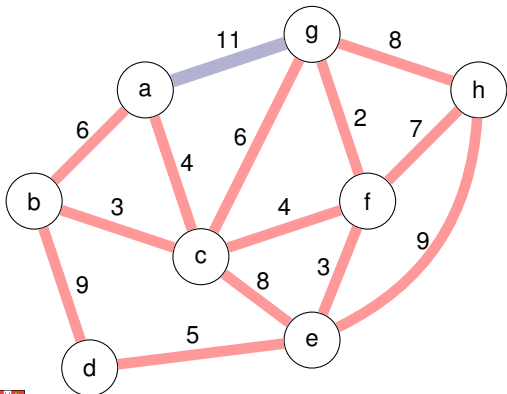
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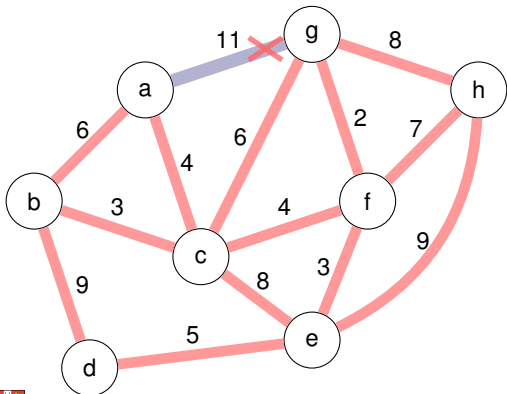
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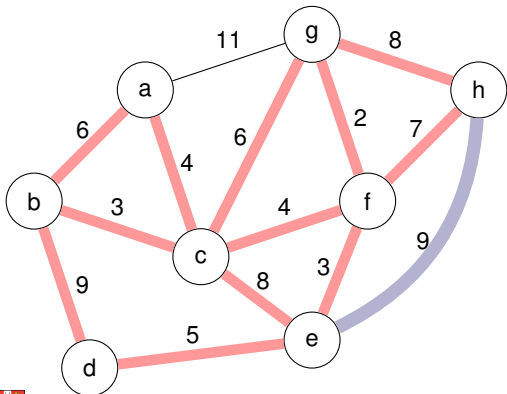
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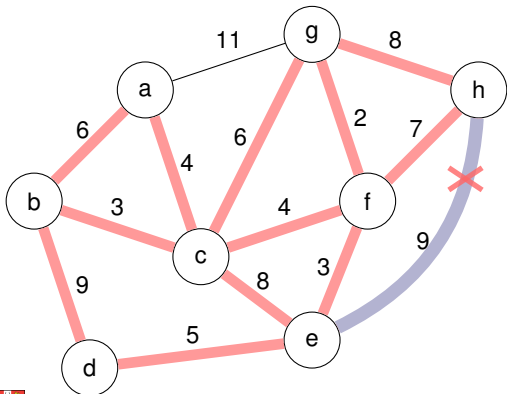
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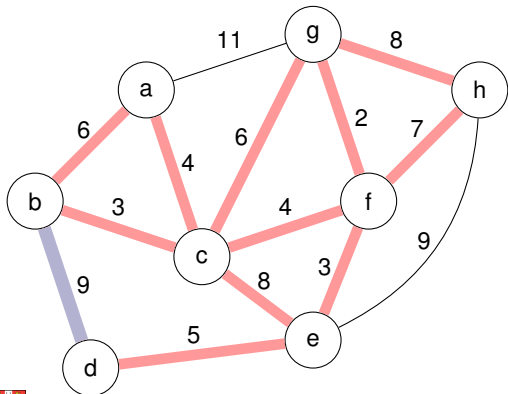
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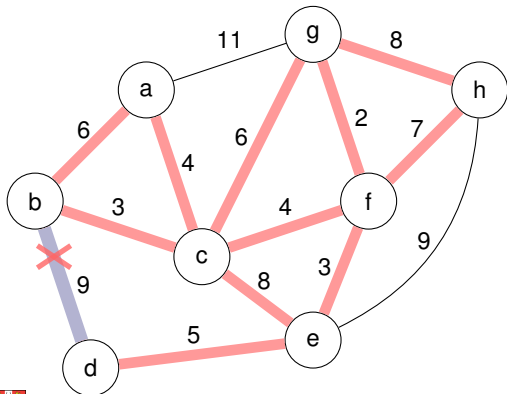
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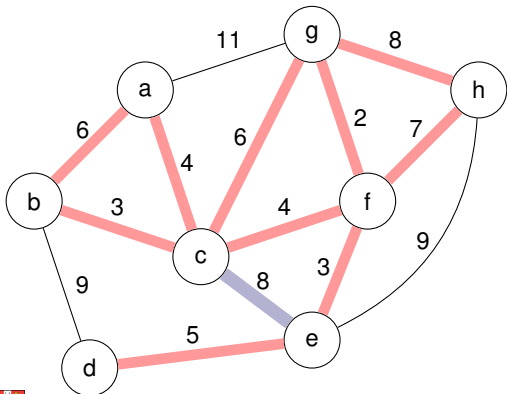
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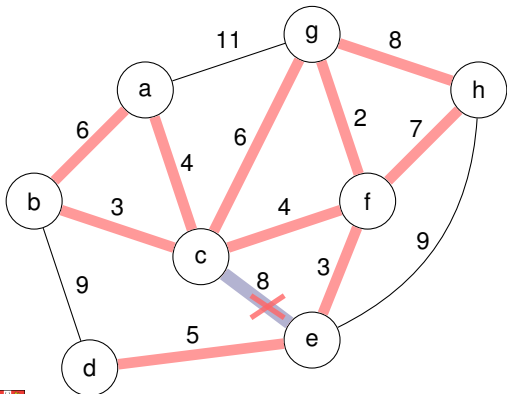
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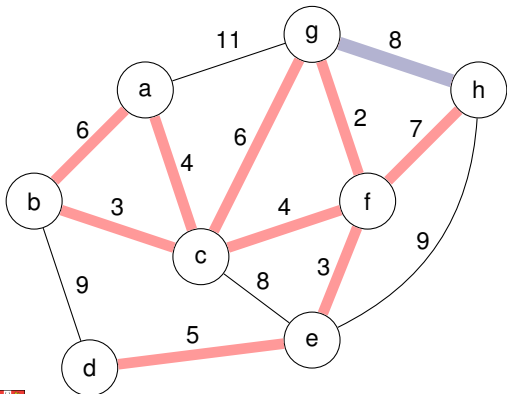
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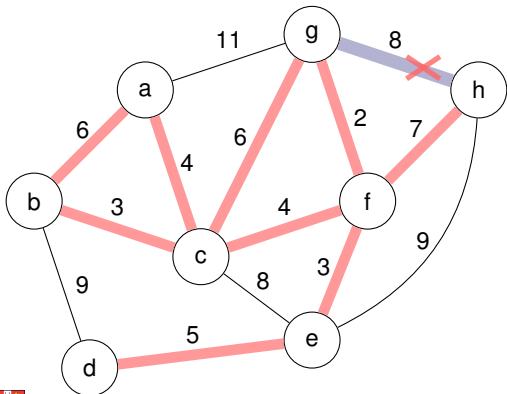
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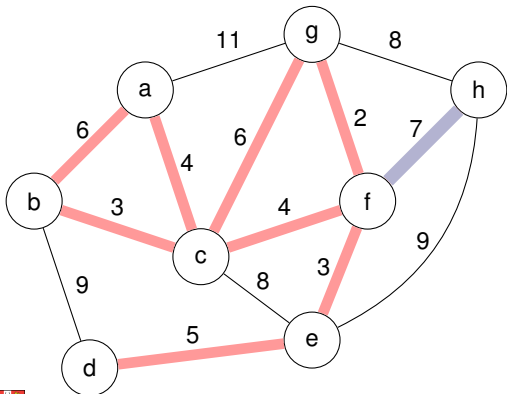
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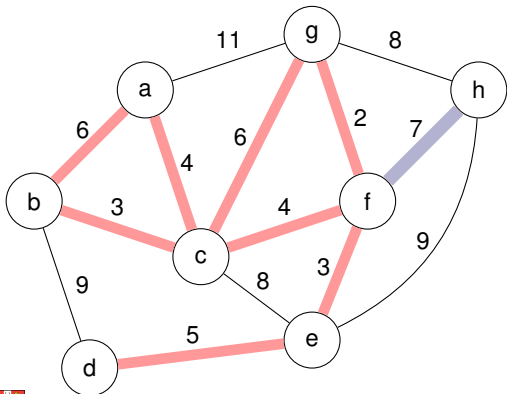
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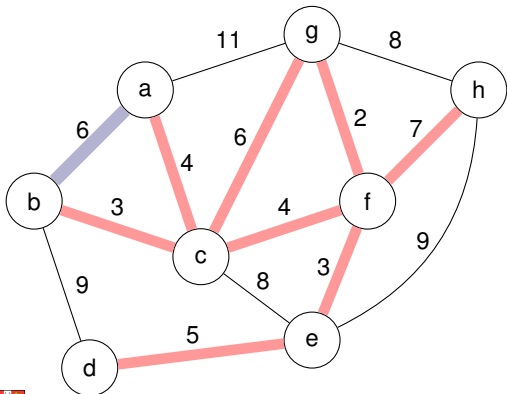
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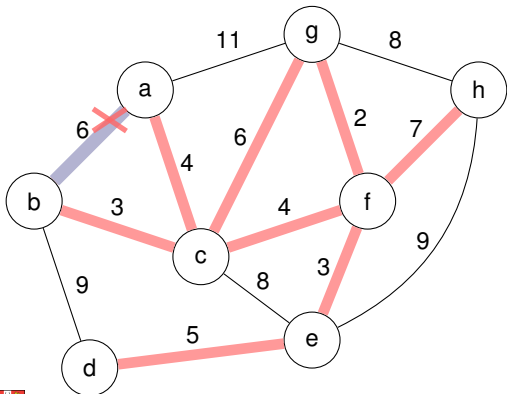
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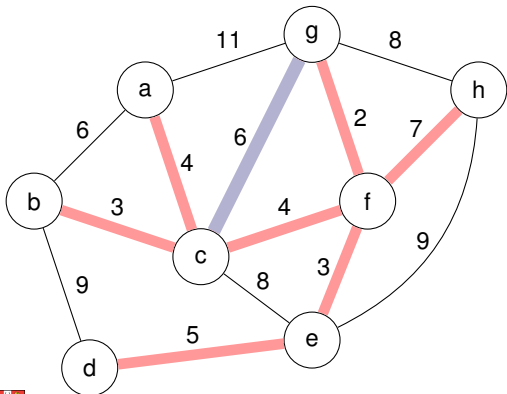
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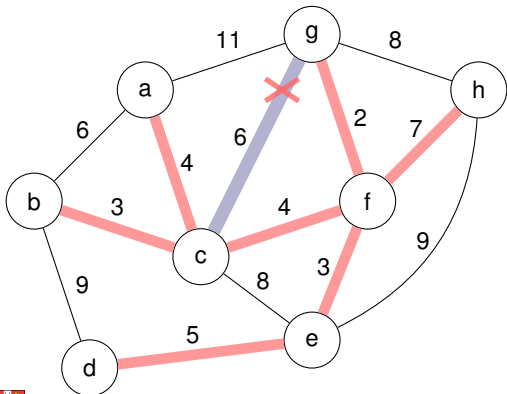
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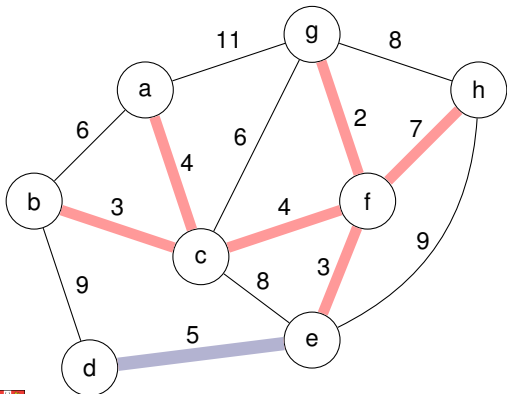
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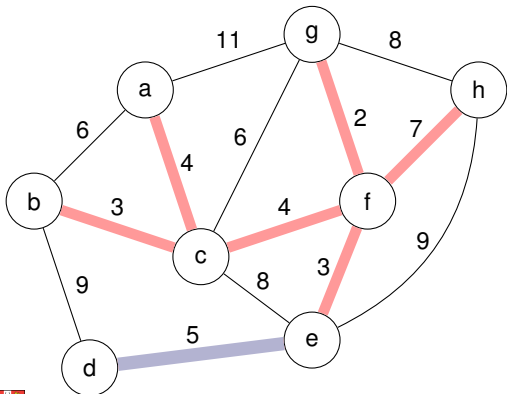
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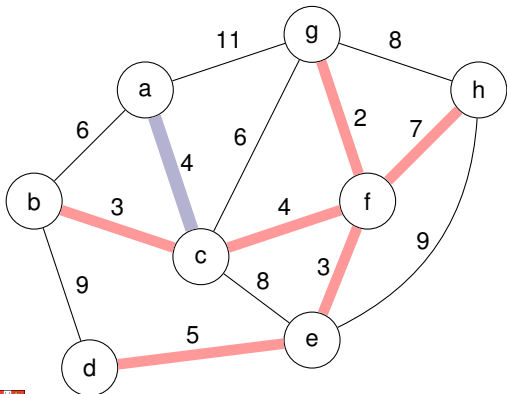
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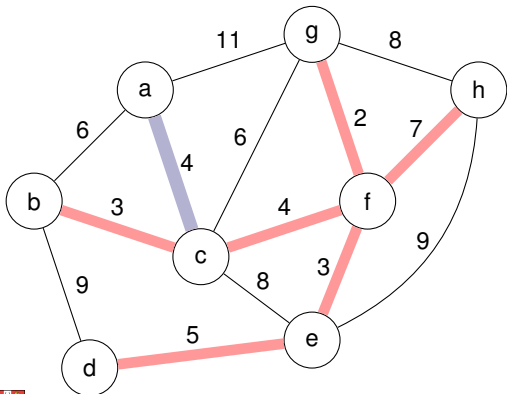
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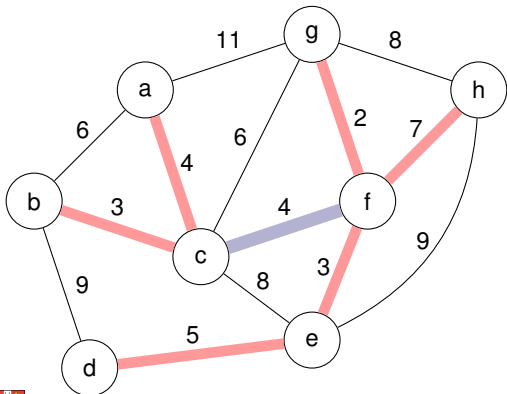
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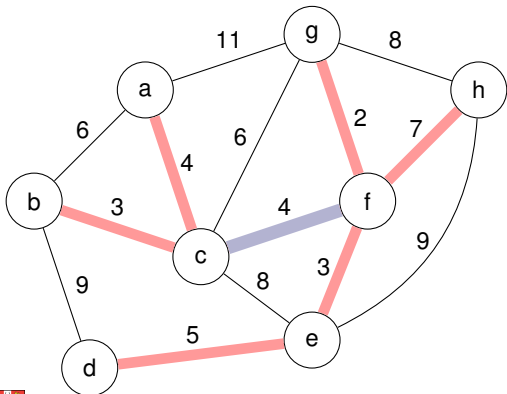
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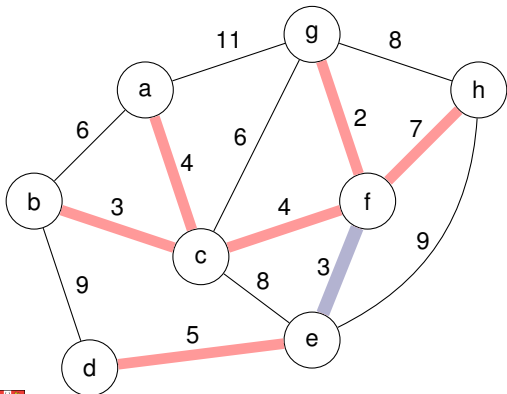
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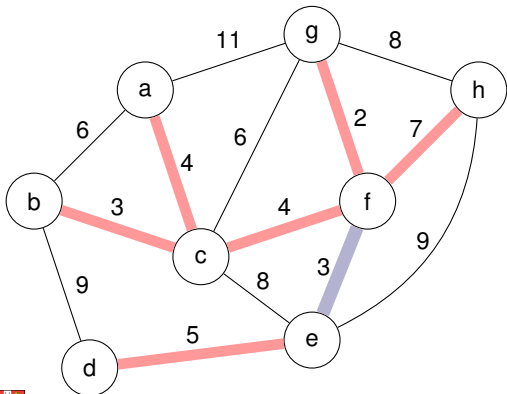
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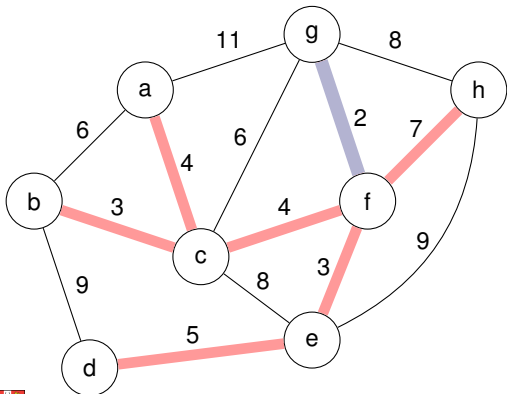
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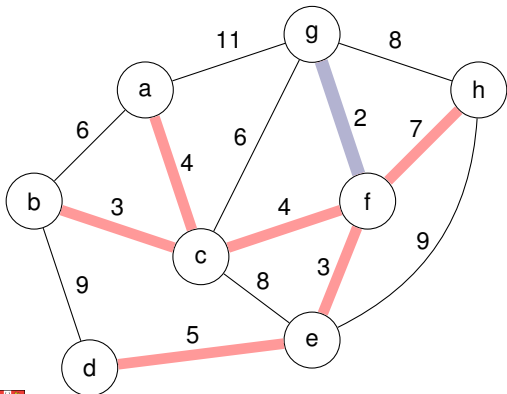
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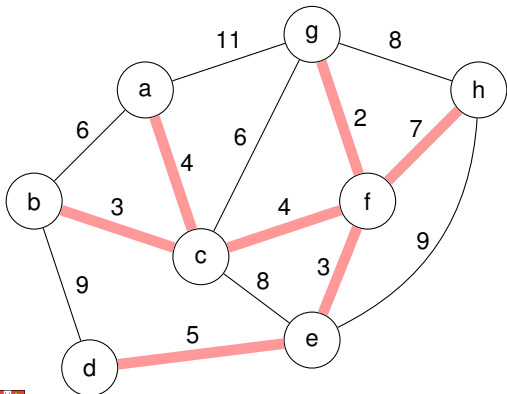
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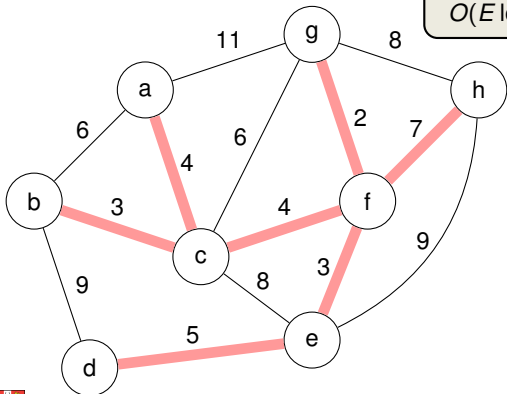


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Can be implemented in time $O(E \log V (\log \log V)^3)$. [Thorup, 2000]



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— Pettie, Ramachandran, JACM'2002 —

- **deterministic** MST algorithm with **asymptotically optimal runtime**
- however, the runtime itself is not known...

