

## Last time on **Types**...

- ▶ *Principal type schemes*

# Principal type schemes for closed expressions

slide 25 (p. 18)

A closed type scheme  $\forall A(\tau)$  is the **principal** type scheme of a closed Mini-ML expression  $M$  if

(a)  $\vdash M : \forall A(\tau)$

(b) for any other closed type scheme  $\forall A'(\tau')$ ,  
if  $\vdash M : \forall A'(\tau')$ , then  $\forall A(\tau) \succ \tau'$

### Theorem

*If the closed Mini-ML expression  $M$  is typeable (i.e.  $\vdash M : \sigma$  holds for some type scheme  $\sigma$ ), then there is a principal type scheme for  $M$ .*

## Last time on **Types**...

- ▶ *Principal type schemes*
- ▶ *MGUs (most general unifiers)*

There is an algorithm  $mgu$  which when input two Mini-ML types  $\tau_1$  and  $\tau_2$  decides whether  $\tau_1$  and  $\tau_2$  are **unifiable**, i.e. whether there exists a type-substitution  $S \in \text{Sub}$  with

(a)  $S(\tau_1) = S(\tau_2)$ .

Moreover, if they are unifiable,  $mgu(\tau_1, \tau_2)$  returns the **most general unifier**—an  $S$  satisfying both (a) and

(b) for all  $S' \in \text{Sub}$ , if  $S'(\tau_1) = S'(\tau_2)$ , then  $S' = TS$  for some  $T \in \text{Sub}$

(any other substitution  $S'$  can be factored through  $S$ , by specialising  $S$  with  $T$ )

By convention  $mgu(\tau_1, \tau_2) = \text{FAIL}$  if (and only if)  $\tau_1$  and  $\tau_2$  are not unifiable.

## Last time on **Types**...

- ▶ *Principal type schemes*
- ▶ *MGUs* (most general unifiers)
- ▶ *Type inference algorithm* ( $pt$ ) [also called “Algorithm  $\mathcal{W}$ ”]

**Function abstractions:**  $pt(\Gamma \vdash \lambda x(M) : ?) \stackrel{\text{def}}{=}$

let  $\alpha = \text{fresh}$  in

let  $(S, \tau) = pt(\Gamma, x : \alpha \vdash M : ?)$  in  $(S, S(\alpha) \rightarrow \tau)$

**Function applications:**  $pt(\Gamma \vdash M_1 M_2 : ?) \stackrel{\text{def}}{=}$

let  $(S_1, \tau_1) = pt(\Gamma \vdash M_1 : ?)$  in

let  $(S_2, \tau_2) = pt(S_1 \Gamma \vdash M_2 : ?)$  in

let  $\alpha = \text{fresh}$  in

let  $S_3 = mgu(S_2 \tau_1, \tau_2 \rightarrow \alpha)$  in  $(S_3 S_2 S_1, S_3(\alpha))$

## A rough guide to constructing Algorithm $\mathcal{W}$ ( $pt$ )

$$pt(\Gamma \vdash e :?) = (S, \tau)$$

- ▶ Recursively apply on sub terms - see type rules
  - ▶ thread substitutions through
  - ▶ collect substitutions at the end
- ▶ When types need to agree (see type rules), use *mgu*
- ▶ When types are unknown, generate a **fresh** type variable



## This time on **Types**...

- ▶ Extend Mini-ML with references
- ▶ Type soundness lost.
- ▶ Fix type system; type soundness regained.

- ▶ Constitute the precise, mathematical characterisation of informal type systems (such as occur in the manuals of most typed languages.)
- ▶ Basis for **type soundness** theorems: ‘any well-typed program cannot produce run-time errors (of some specified kind)’.
- ▶ Can decouple specification of typing aspects of a language from algorithmic concerns: the formal type system can define typing independently of particular implementations of type-checking algorithms.

# ML types and expressions for mutable references

$\tau ::= \dots$   
| *unit*      unit type  
|  $\tau \text{ ref}$     reference type.

$M ::= \dots$   
|  $()$       unit value  
|  $\text{ref } M$     reference creation  
|  $!M$       dereference  
|  $M := M$     assignment

## Midi-ML's extra typing rules

$$\Gamma \vdash () : \textit{unit} \quad (\text{unit})$$
$$\frac{\Gamma \vdash M : \tau}{\Gamma \vdash \text{ref } M : \tau \textit{ ref}} \quad (\text{ref})$$
$$\frac{\Gamma \vdash M : \tau \textit{ ref}}{\Gamma \vdash !M : \tau} \quad (\text{get})$$
$$\frac{\Gamma \vdash M_1 : \tau \textit{ ref} \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 := M_2 : \textit{unit}} \quad (\text{set})$$

## Example

The expression

```
let  $r = \text{ref } \lambda x(x)$  in  
  let  $u = (r := \lambda x'(\text{ref } !x'))$  in  
     $(!r)()$ 
```

has type *unit*.

let  $r = \text{ref } \lambda x(x)$  in

let  $u = (\underbrace{r := \lambda x'(\text{ref } !x')}_{\textcircled{3}}) \text{ in}$   
 $(\underbrace{!r}_{\textcircled{4}}) ()$   $\textcircled{2}$

Work out the types for  $\textcircled{1} - \textcircled{4}$  and the type scheme for  $r$  in the body of the (outer) let.

$$\textcircled{1} \quad (\alpha \rightarrow \alpha)_{\text{ref}}$$

$$\textcircled{2} \quad \beta_{\text{ref}} \rightarrow \beta_{\text{ref}}$$

$$\textcircled{3} \quad (\beta_{\text{ref}} \rightarrow \beta_{\text{ref}})_{\text{ref}}$$

$$\textcircled{4} \quad (\text{unit} \rightarrow \text{unit})_{\text{ref}}$$

$$r :: \forall \alpha. (\alpha \rightarrow \alpha)_{\text{ref}}$$

Later

with (letv) [value restriction] rule for Mini-ML then

$$r :: \forall \alpha \emptyset. (\alpha \rightarrow \alpha)_{\text{ref}}$$

$$\langle M, s \rangle \rightarrow \langle M', s' \rangle$$

or

$$\langle M, s \rangle \rightarrow \text{FAIL}$$

where  $fv(M) \subseteq dom(s)$ .

- ▶  $M, M'$  range over Midi-ML expressions
- ▶  $s, s'$  range over states

(finite functions mapping variables to values)

$$\{x_1 \mapsto V_1, \dots, x_n \mapsto V_n\}$$

## Midi-ML transitions involving references

$$\langle !x, s \rangle \rightarrow \langle s(x), s \rangle \quad \text{if } x \in \text{dom}(s)$$
$$\langle !V, s \rangle \rightarrow \text{FAIL} \quad \text{if } V \text{ not a variable}$$
$$\langle x := V', s \rangle \rightarrow \langle (), s[x \mapsto V'] \rangle$$
$$\langle V := V', s \rangle \rightarrow \text{FAIL} \quad \text{if } V \text{ not a variable}$$
$$\langle \text{ref } V, s \rangle \rightarrow \langle x, s[x \mapsto V] \rangle \quad \text{if } x \notin \text{dom}(s)$$

where  $V$  ranges over **values**:

$$V ::= x \mid \lambda x(M) \mid () \mid \text{true} \mid \text{false} \mid \text{nil} \mid V :: V$$



## Value-restricted typing rule for let-expressions

$$\frac{\Gamma \vdash M_1 : \tau_1 \quad \Gamma, x : \forall A(\tau_1) \vdash M_2 : \tau_2}{\Gamma \vdash \text{let } x = M_1 \text{ in } M_2 : \tau_2} \quad (\dagger) \quad (\text{letv})$$

( $\dagger$ ) provided  $x \notin \text{dom}(\Gamma)$  and

$$A = \begin{cases} \{\} & \text{if } M_1 \text{ is not a value} \\ \text{ftv}(\tau_1) - \text{ftv}(\Gamma) & \text{if } M_1 \text{ is a value} \end{cases}$$

(Recall that values are given by

$V ::= x \mid \lambda x(M) \mid () \mid \text{true} \mid \text{false} \mid \text{nil} \mid V :: V.$ )

$\left\langle \begin{array}{l} \text{let } r = \text{ref } \lambda x(x) \text{ in} \\ \text{let } u = (r := \lambda x'(\text{ref } !x')) \text{ in} \\ (!r)() \end{array}, \{ \} \right\rangle \rightarrow^*$

$\left\langle \begin{array}{l} \text{let } u = (r := \lambda x'(\text{ref } !x')) \text{ in} \\ (!r)() \end{array}, \{ r \mapsto \lambda x(x) \} \right\rangle \rightarrow^*$

$\langle (!r)(), \{ r \mapsto \lambda x'(\text{ref } !x') \} \rangle \rightarrow$

$\langle (\lambda x'(\text{ref } !x'))(), \{ r \mapsto \lambda x'(\text{ref } !x') \} \rangle \rightarrow$

$\langle \text{ref } !(), \{ r \mapsto \lambda x'(\text{ref } !x') \} \rangle \rightarrow$

FAIL

# Type soundness for Midi-ML with the value restriction

For any closed Midi-ML expression  $M$ , if there is some type scheme  $\sigma$  for which

$$\vdash M : \sigma$$

is provable in the value-restricted type system (axioms and rules on Slides 7–8, 2 and 1), then **evaluation of  $M$  does not fail**, i.e. there is no sequence of transitions of the form

$$\langle M, \{ \} \rangle \rightarrow \dots \rightarrow \text{FAIL}$$

for the transition system  $\rightarrow$  defined in Figure 4 (of the notes) (where  $\{ \}$  denotes the empty state).

**note:** with the (letv) rule, some Mini-ML expressions that were typeable become untypeable in Midi-ML, e.g.,

$$\text{let } f = (\lambda x(x))(\lambda y(y)) \text{ in } (f \text{ true}) :: (f \text{ nil})$$

(but we can often avoid this using  $\eta$ -expansion and  $\beta$ -reduction).

Next time on **Types**...

## Polymorphic $\lambda$ -calculus

(polymorphic  $\lambda$ -binding). Let's us type:

$$\lambda f((f \text{ true}) :: (f \text{ nil}))$$