

Contextual equivalence

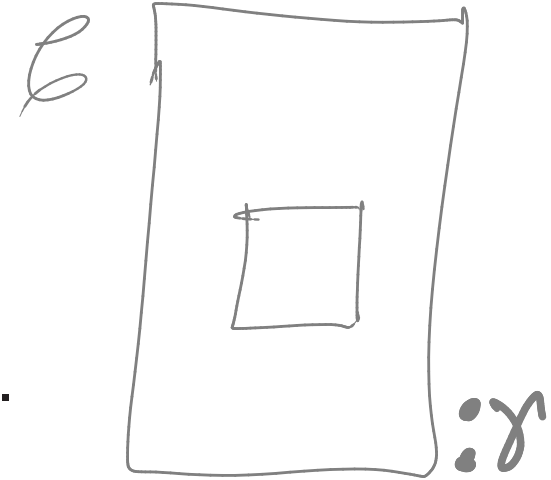
Two phrases of a programming language are **contextually equivalent** if any occurrences of the first phrase in a complete program can be replaced by the second phrase without affecting the observable results of executing the program.

Contextual equivalence of PCF terms

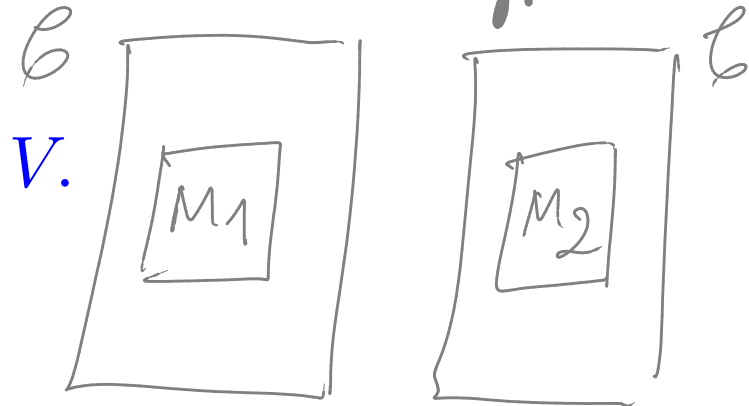
Given PCF terms M_1, M_2 , PCF type τ , and a type environment Γ , the relation $\Gamma \vdash M_1 \cong_{\text{ctx}} M_2 : \tau$ is defined to hold iff

- Both the typings $\Gamma \vdash M_1 : \tau$ and $\Gamma \vdash M_2 : \tau$ hold.
- For all PCF contexts \mathcal{C} for which $\mathcal{C}[M_1]$ and $\mathcal{C}[M_2]$ are closed terms of type γ , where $\gamma = \text{nat}$ or $\gamma = \text{bool}$, and for all values $V : \gamma$,

$$\mathcal{C}[M_1] \Downarrow_{\gamma} V \Leftrightarrow \mathcal{C}[M_2] \Downarrow_{\gamma} V.$$



ground type!



PCF denotational semantics — aims

- PCF types $\tau \mapsto$ domains $\llbracket \tau \rrbracket$.
- Closed PCF terms $M : \tau \mapsto$ elements $\llbracket M \rrbracket \in \llbracket \tau \rrbracket$.
Denotations of open terms will be continuous functions.
- **Compositionality**.
In particular: $\llbracket M \rrbracket = \llbracket M' \rrbracket \Rightarrow \llbracket C[M] \rrbracket = \llbracket C[M'] \rrbracket$.

$$\frac{\llbracket M \rrbracket = \llbracket M' \rrbracket}{\llbracket C[M] \rrbracket = \llbracket C[M'] \rrbracket}$$

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- **Soundness**.
For any type τ , $M \Downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \tau \rrbracket$

PCF denotational semantics — aims

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In particular: $\llbracket M \rrbracket = \llbracket M' \rrbracket \Rightarrow \llbracket \mathcal{C}[M] \rrbracket = \llbracket \mathcal{C}[M'] \rrbracket$.
- **Soundness**.
For any type τ , $M \Downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket$.
- **Adequacy**.
For $\tau = \mathit{bool}$ or nat , $\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \tau \rrbracket \implies M \Downarrow_{\tau} V$.

Theorem. For all types τ and closed terms $M_1, M_2 \in \text{PCF}_\tau$, if $\llbracket M_1 \rrbracket$ and $\llbracket M_2 \rrbracket$ are equal elements of the domain $\llbracket \tau \rrbracket$, then $M_1 \cong_{\text{ctx}} M_2 : \tau$.

$$\begin{array}{l}
 \mathcal{C}[M_1] \Downarrow v \Rightarrow \llbracket \mathcal{C}[M_1] \rrbracket = \llbracket v \rrbracket \qquad \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \\
 \Rightarrow \llbracket \mathcal{C}[M_2] \rrbracket = \llbracket v \rrbracket \qquad \llbracket \mathcal{C}[M_1] \rrbracket = \llbracket \mathcal{C}[M_2] \rrbracket \\
 \Rightarrow \mathcal{C}[M_2] \Downarrow v \\
 \Downarrow \\
 M_1 \cong_{\text{ctx}} M_2
 \end{array}$$

so that $M_1 \cong_{\text{ctx}} M_2$
 $\nabla M_1 \cong_{\text{ctx}} M_2 \wedge M_2 \cong_{\text{ctx}} M_1$

Proof principle

To prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$[[M_1]] = [[M_2]] \text{ in } [[\tau]]$$

$$\frac{[[M_1]] = [[M_2]]}{M_1 \cong_{\text{ctx}} M_2}$$

Proof principle

To prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket$$

- ? The proof principle is sound, but is it complete? That is, is equality in the denotational model also a necessary condition for contextual equivalence?

Topic 6

Denotational Semantics of PCF

In particular, for closed M of type τ , $\llbracket M \rrbracket \in \llbracket \tau \rrbracket$

So for $P: \sigma \rightarrow \tau$, $\llbracket P \rrbracket \in (\llbracket \sigma \rrbracket \rightarrow \llbracket \tau \rrbracket)$ Here if

Denotational semantics of PCF

To every typing judgement

$$\Gamma \vdash M : \tau$$

we associate a continuous function

$$\llbracket \Gamma \vdash M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \tau \rrbracket$$

between domains.

CONTINUOUS

a function mapping inputs $\llbracket \sigma \rrbracket$ to outputs $\llbracket \tau \rrbracket$ is not continuous then it cannot be implemented by a program.

Denotational semantics of PCF types

$\llbracket nat \rrbracket \stackrel{\text{def}}{=} \mathbb{N}_\perp$ (flat domain)

$\llbracket bool \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_\perp$ (flat domain)

$\llbracket \tau \rightarrow \tau' \rrbracket \stackrel{\text{def}}{=} \llbracket \tau \rrbracket \rightarrow \llbracket \tau' \rrbracket$ (function domain).

where $\mathbb{N} = \{0, 1, 2, \dots\}$ and $\mathbb{B} = \{true, false\}$.

$$\rho = \{ x \mapsto \tau_x \}$$

Denotational semantics of PCF type environments

$$[[\Gamma]] \stackrel{\text{def}}{=} \prod_{x \in \text{dom}(\Gamma)} [[\Gamma(x)]] \quad (\Gamma\text{-environments})$$

? finite
domain
of
variables.

Denotational semantics of PCF type environments

$$\llbracket \Gamma \rrbracket \stackrel{\text{def}}{=} \prod_{x \in \text{dom}(\Gamma)} \llbracket \Gamma(x) \rrbracket \quad (\Gamma\text{-environments})$$

= the domain of partial functions ρ from variables to domains such that $\text{dom}(\rho) = \text{dom}(\Gamma)$ and $\rho(x) \in \llbracket \Gamma(x) \rrbracket$ for all $x \in \text{dom}(\Gamma)$

$$\text{Fn } \Gamma = \{ x_i \mapsto \tau_i \}_{i=1, \dots, n}$$

$$\rho \in \llbracket \Gamma \rrbracket \text{ s.t. } \rho(x_i) \in \llbracket \tau_i \rrbracket$$

Equivalently viewing Γ as $\langle x_1 : \tau_1, \dots, x_n : \tau_n \rangle$

$$\llbracket \Gamma \rrbracket = \llbracket \tau_1 \rrbracket \times \llbracket \tau_2 \rrbracket \times \dots \times \llbracket \tau_n \rrbracket$$

Denotational semantics of PCF type environments

$$\begin{aligned} \llbracket \Gamma \rrbracket &\stackrel{\text{def}}{=} \prod_{x \in \text{dom}(\Gamma)} \llbracket \Gamma(x) \rrbracket && (\Gamma\text{-environments}) \\ &= \text{the domain of partial functions } \rho \text{ from variables} \\ &\text{to domains such that } \text{dom}(\rho) = \text{dom}(\Gamma) \text{ and} \\ &\rho(x) \in \llbracket \Gamma(x) \rrbracket \text{ for all } x \in \text{dom}(\Gamma) \end{aligned}$$

Example:

1. For the empty type environment \emptyset ,

$$\llbracket \emptyset \rrbracket = \{ \perp \}$$

where \perp denotes the unique partial function with $\text{dom}(\perp) = \emptyset$.

$$2. \llbracket \langle x \mapsto \tau \rangle \rrbracket = (\{x\} \rightarrow \llbracket \tau \rrbracket) \cong \llbracket \tau \rrbracket$$

3.

$$\begin{aligned} & \llbracket \langle x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \rangle \rrbracket \\ & \cong (\{x_1\} \rightarrow \llbracket \tau_1 \rrbracket) \times \dots \times (\{x_n\} \rightarrow \llbracket \tau_n \rrbracket) \\ & \cong \llbracket \tau_1 \rrbracket \times \dots \times \llbracket \tau_n \rrbracket \end{aligned}$$

Denotational semantics of PCF terms, I

$\text{Fn } \Gamma \vdash M : \tau$

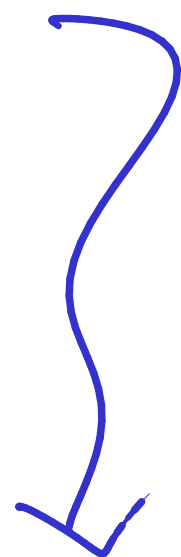
$$\llbracket \Gamma \vdash M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \tau \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{0} \rrbracket (\rho) \stackrel{\text{def}}{=} 0 \in \llbracket \text{nat} \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{true} \rrbracket (\rho) \stackrel{\text{def}}{=} \text{true} \in \llbracket \text{bool} \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{false} \rrbracket (\rho) \stackrel{\text{def}}{=} \text{false} \in \llbracket \text{bool} \rrbracket$$

$$\forall \rho \in \llbracket \Gamma \rrbracket . \llbracket \Gamma \vdash M \rrbracket (\rho) \in \llbracket \tau \rrbracket$$



Denotational semantics of PCF terms, I

$$\llbracket \Gamma \vdash \mathbf{0} \rrbracket (\rho) \stackrel{\text{def}}{=} 0 \in \llbracket \text{nat} \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{true} \rrbracket (\rho) \stackrel{\text{def}}{=} \text{true} \in \llbracket \text{bool} \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{false} \rrbracket (\rho) \stackrel{\text{def}}{=} \text{false} \in \llbracket \text{bool} \rrbracket$$

$$\llbracket \Gamma \vdash x \rrbracket (\rho) \stackrel{\text{def}}{=} \rho(x) \in \llbracket \Gamma(x) \rrbracket \quad (x \in \text{dom}(\Gamma))$$

$$\rho = \langle x_1 : \tau_1, \dots, x_n : \tau_n \rangle$$

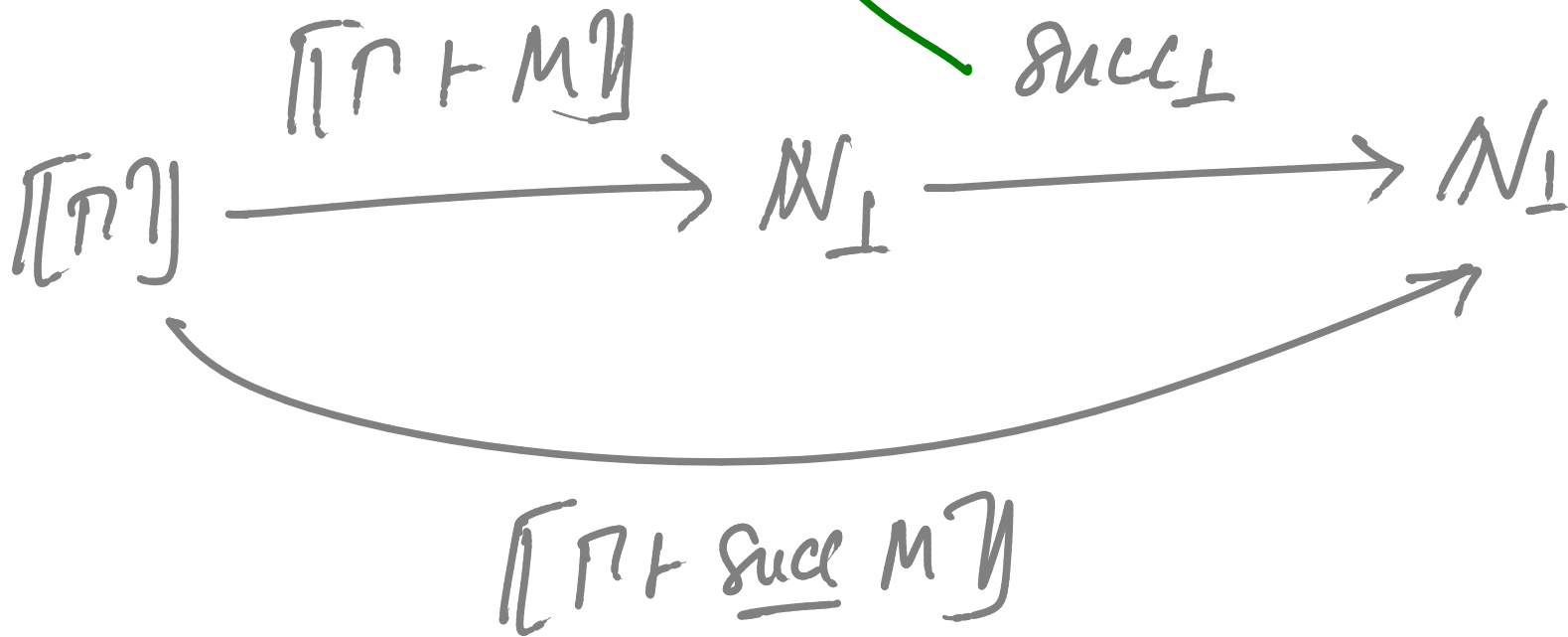
$$\llbracket \Gamma \vdash x_i \rrbracket (\nu_1, \dots, \nu_n) = \nu_i$$

Denotational semantics of PCF terms, II

$\llbracket \Gamma \vdash \text{succ}(M) \rrbracket(\rho)$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \perp \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

the strict extension of
 $\text{succ} : \mathcal{N} \rightarrow \mathcal{N}$



Denotational semantics of PCF terms, II

$\llbracket \Gamma \vdash \mathbf{succ}(M) \rrbracket(\rho)$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \perp \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

$\llbracket \Gamma \vdash \mathbf{pred}(M) \rrbracket(\rho)$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) - 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0, \perp \end{cases}$$

$$\llbracket \Gamma \vdash \mathbf{zero}(M) \rrbracket(\rho) \stackrel{\text{def}}{=} \begin{cases} \mathit{true} & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0 \\ \mathit{false} & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

$$\llbracket M_1 \rrbracket : \llbracket \Gamma \rrbracket \rightarrow (\llbracket \alpha \rrbracket \rightarrow \llbracket \beta \rrbracket)$$

$$\llbracket M_2 \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \alpha \rrbracket$$

Denotational semantics of PCF terms, III

$$\llbracket M_1 \rrbracket \rho \in (\llbracket \alpha \rrbracket \rightarrow \llbracket \beta \rrbracket)$$

$$\llbracket M_2 \rrbracket (\rho) \in \llbracket \alpha \rrbracket$$

$$\llbracket \Gamma \vdash \text{if } M_1 \text{ then } M_2 \text{ else } M_3 \rrbracket (\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M_2 \rrbracket (\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket (\rho) = \text{true} \\ \llbracket \Gamma \vdash M_3 \rrbracket (\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket (\rho) = \text{false} \\ \perp & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket (\rho) = \perp \end{cases}$$

$$\llbracket \Gamma \vdash M_1 M_2 \rrbracket (\rho) \stackrel{\text{def}}{=} (\llbracket \Gamma \vdash M_1 \rrbracket (\rho)) (\llbracket \Gamma \vdash M_2 \rrbracket (\rho))$$

$$\alpha \rightarrow \rho \quad \alpha$$

$$\frac{\Gamma, x:z \vdash M:\sigma}{\rho \vdash \llbracket \lambda x:z. M \rrbracket : z \rightarrow \sigma}$$

$$\llbracket \rho \rrbracket \times \llbracket \llbracket z \rrbracket \rrbracket \rightarrow \llbracket \llbracket \sigma \rrbracket \rrbracket$$

$$\llbracket \llbracket \rho \rrbracket \rrbracket \rightarrow (\llbracket \llbracket z \rrbracket \rrbracket \rightarrow \llbracket \llbracket \sigma \rrbracket \rrbracket)$$

Denotational semantics of PCF terms, IV

$$\llbracket \Gamma \vdash \mathbf{fn} x : \tau . M \rrbracket (\rho)$$

$$\stackrel{\text{def}}{=} \lambda d \in \llbracket \tau \rrbracket . \llbracket \Gamma[x \mapsto \tau] \vdash M \rrbracket (\rho[x \mapsto d])$$

$$(x \notin \text{dom}(\Gamma))$$

NB: $\rho[x \mapsto d] \in \llbracket \Gamma[x \mapsto \tau] \rrbracket$ is the function mapping x to $d \in \llbracket \tau \rrbracket$ and otherwise acting like ρ .

$$\frac{\Gamma \vdash M : \tau \rightarrow \tau}{\Gamma \vdash \text{fix}(M) : \tau}$$

$$\llbracket \Gamma \vdash M \rrbracket \longrightarrow (\llbracket \tau \rrbracket \rightarrow \llbracket \tau \rrbracket)$$

$$\Gamma \vdash \text{fix}(M) : \tau$$

$$\llbracket \Gamma \vdash \text{fix}(M) \rrbracket \longrightarrow \llbracket \tau \rrbracket$$

Denotational semantics of PCF terms, V

$$\llbracket \Gamma \vdash \text{fix}(M) \rrbracket(\rho) \stackrel{\text{def}}{=} \text{fix}(\llbracket \Gamma \vdash M \rrbracket(\rho))$$

Recall that *fix* is the function assigning least fixed points to continuous functions.

$$\llbracket \Gamma \vdash M \rrbracket \longrightarrow (\llbracket \tau \rrbracket \rightarrow \llbracket \tau \rrbracket) \xrightarrow{\text{fix}} \llbracket \tau \rrbracket$$

$$\llbracket \Gamma \vdash \text{fix}(M) \rrbracket$$

Denotational semantics of PCF

Proposition. *For all typing judgements $\Gamma \vdash M : \tau$, the denotation*

$$\llbracket \Gamma \vdash M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \tau \rrbracket$$

is a well-defined continuous function.

Denotations of closed terms

For a closed term $M \in \text{PCF}_\tau$, we get

$$\llbracket \emptyset \vdash M \rrbracket : \llbracket \emptyset \rrbracket \rightarrow \llbracket \tau \rrbracket$$

and, since $\llbracket \emptyset \rrbracket = \{ \perp \}$, we have

$$\llbracket M \rrbracket \stackrel{\text{def}}{=} \llbracket \emptyset \vdash M \rrbracket (\perp) \in \llbracket \tau \rrbracket \quad (M \in \text{PCF}_\tau)$$