

6.3: Minimum Spanning Tree

Frank Stajano

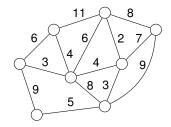
Thomas Sauerwald

Lent 2015



Minimum Spanning Tree Problem -

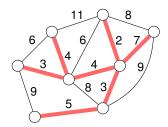
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- Goal: Find a subgraph ⊆ E of minimum total weight that links all vertices

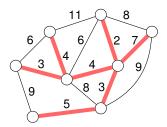




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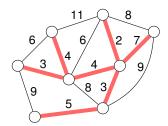
Must be necessarily a tree!





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Applications

- Street Networks, Wiring Electronic Components, Laying Pipes
- Weights may represent distances, costs, travel times, capacities, resistance etc.



0: def minimum spanningTree(G)
1: A = empty set of edges
2: while A does not span all vertices yet:
3: add a safe edge to A



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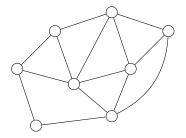
How to find a safe edge?



 a cut is a partition of V into at least two disjoint sets

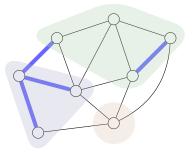


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- a cut respects A ⊆ E if no edge of A goes across the cut



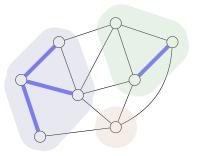


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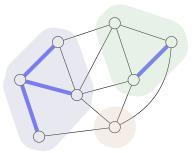


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- a cut respects *A* ⊆ *E* if no edge of *A* goes across the cut

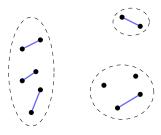


Theorem

Let $A \subseteq E$ be a subset of a MST of *G*. Then for any cut that respects *A*, the lightest edge of *G* that goes across the cut is safe.



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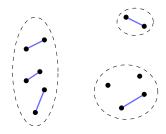




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Proof:

• Let T be a MST containing A

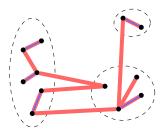




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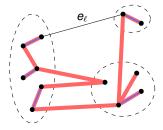
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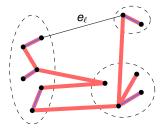
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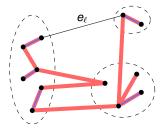
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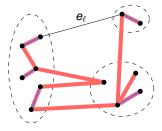
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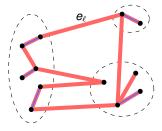
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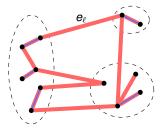
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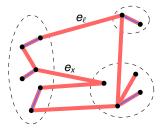
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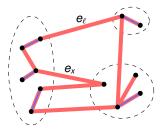
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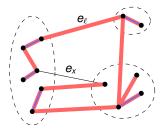
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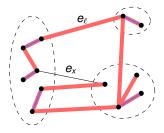
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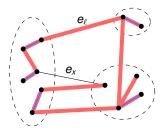
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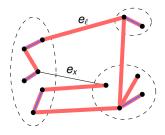
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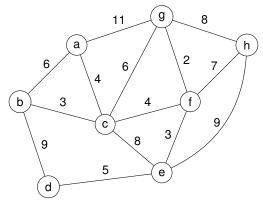
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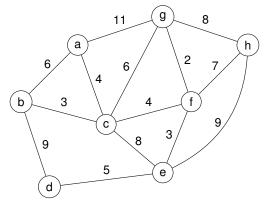








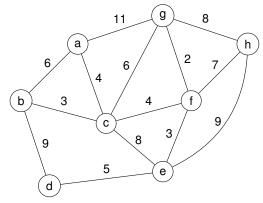






Basic Strategy —

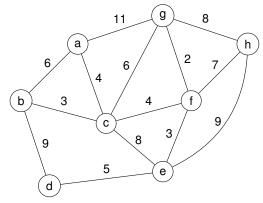
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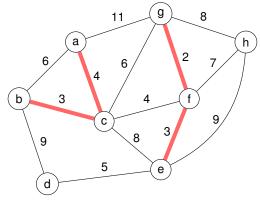
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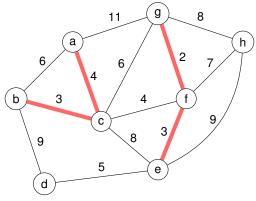




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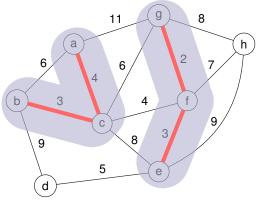




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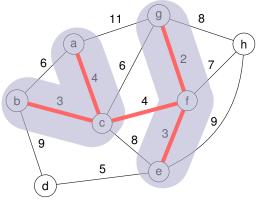




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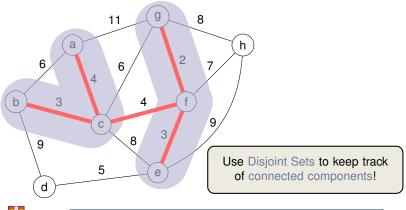


Glimpse at Kruskal's Algorithm

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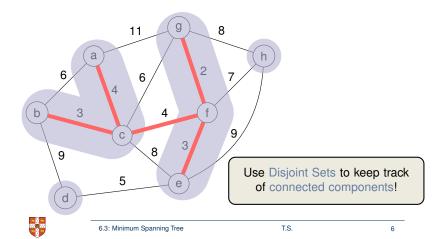


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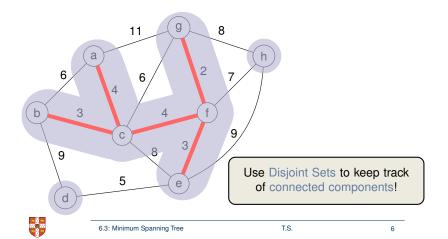


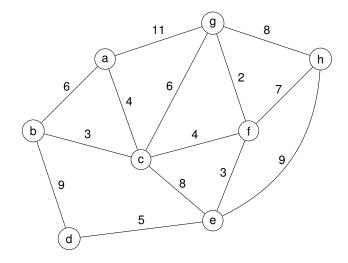
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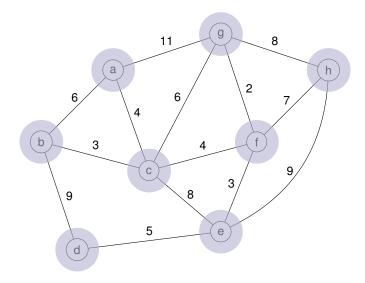
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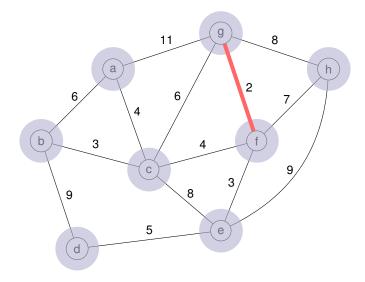




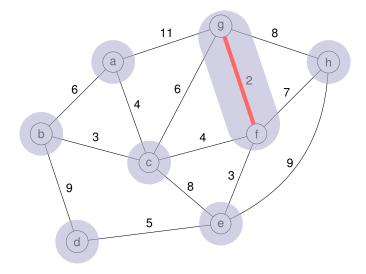




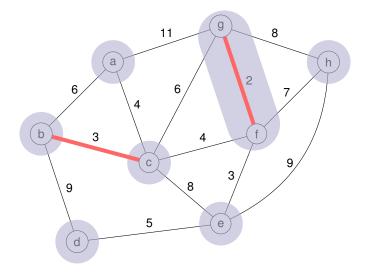




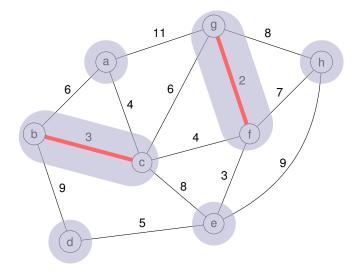




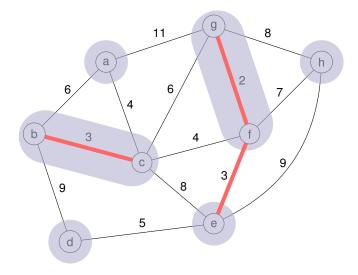




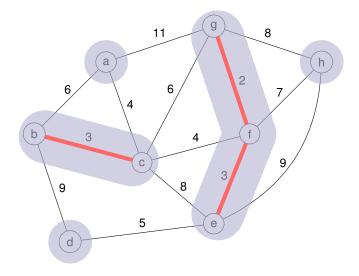




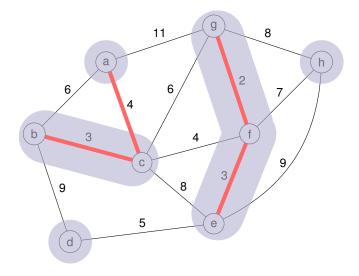




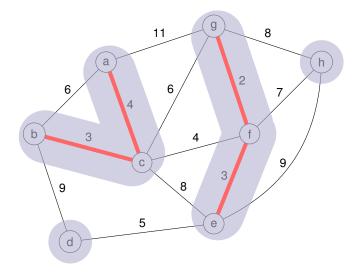




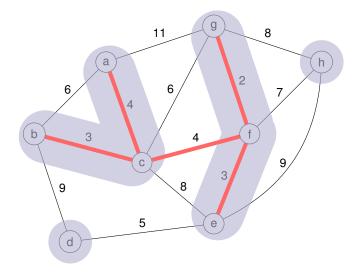




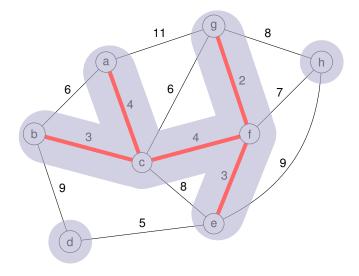




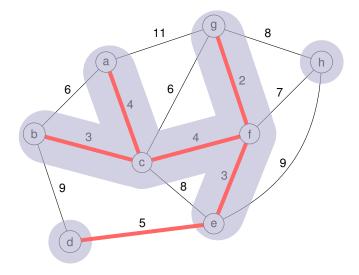




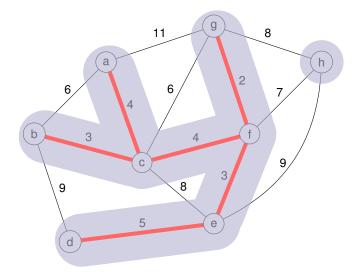




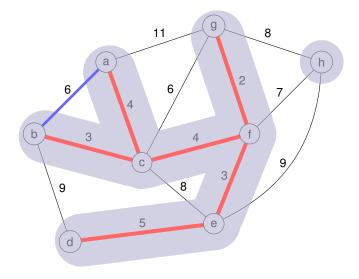




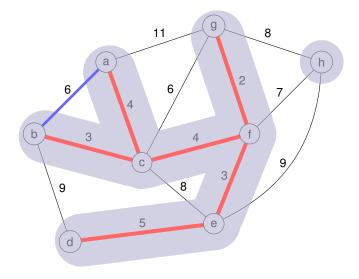




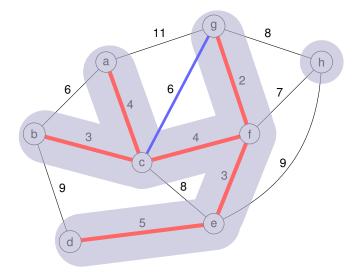




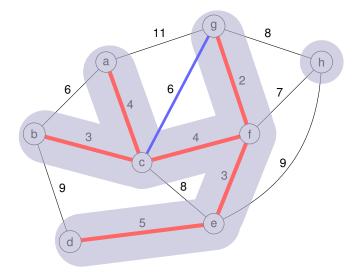




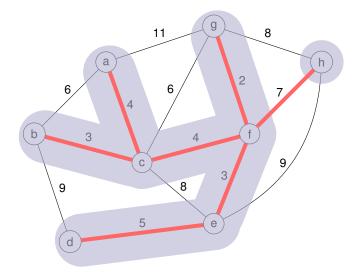




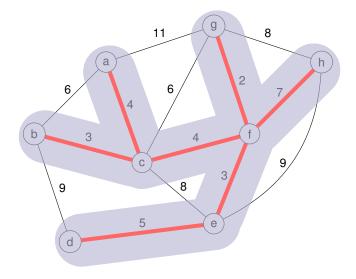




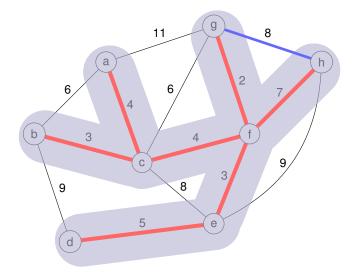




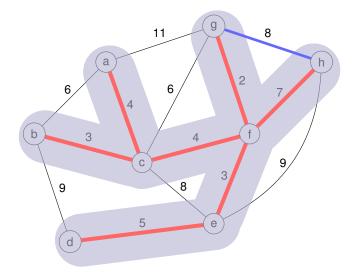




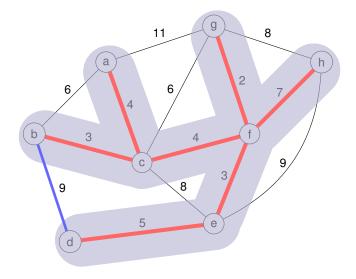




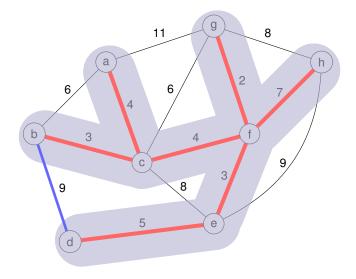




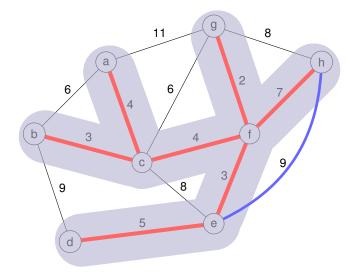




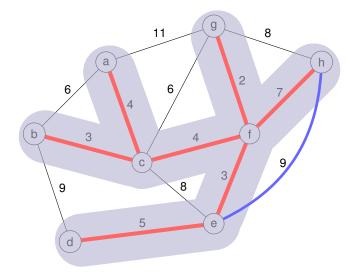




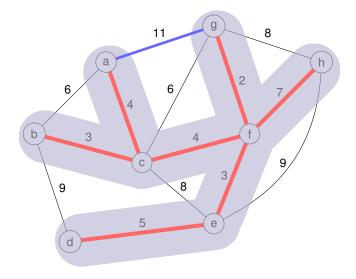




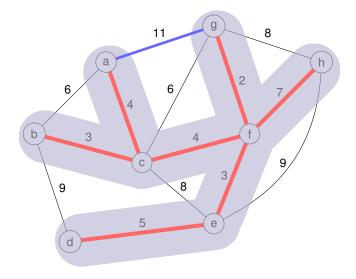




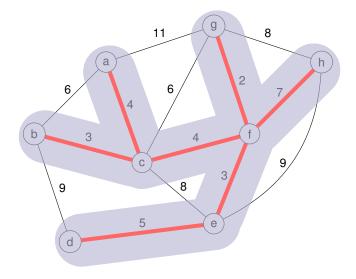














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0: def kruskal(G)
     Apply Kruskal's algorithm to graph G
1:
     Return set of edges that form a MST
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3:
4: A = Set() # Set of edges of MST
5: D = DisjointSet()
6: for v in G.vertices():
7: D.makeSet(v)
8: E = G.edges()
9: E.sort(key=weight, direction=ascending)
10:
11: for edge in E:
12:
      startSet = D.findSet(edge.start)
13: endSet = D.findSet(edge.end)
14: if startSet != endSet:
15:
         A. append (edge)
16:
         D.union(startSet, endSet)
17: return A
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Time Complexity -----

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• Initialisation (I. 4-9): \mathcal{O}(V + E \log E)
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If edges are already sorted, runtime becomes $O(E \cdot \alpha(n))!$



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Consider the cut of all connected components (disjoint sets)



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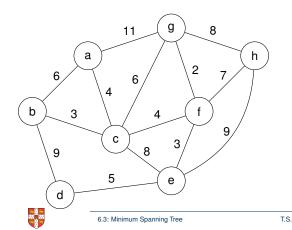
Correctness

- Consider the cut of all connected components (disjoint sets)
- L. 14 ensures that we extend A by an edge that goes across the cut
- This edge is also the lightest edge crossing the cut (otherwise, we would have included a lighter edge before)

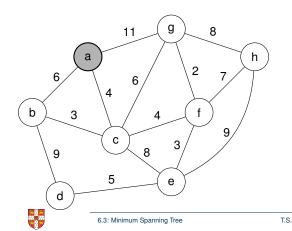


Basic Strategy –

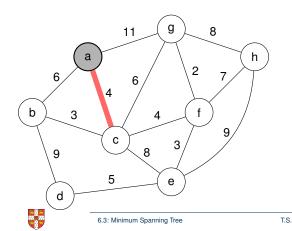
Start growing a tree from a designated root vertex



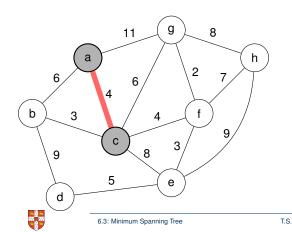
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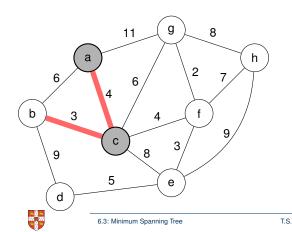
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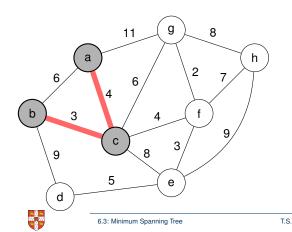
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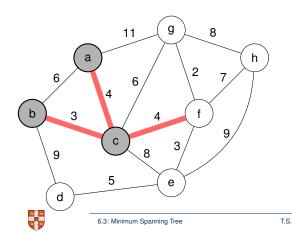
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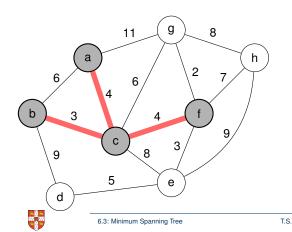
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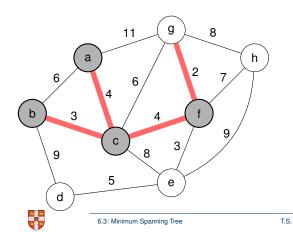
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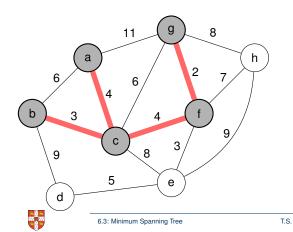
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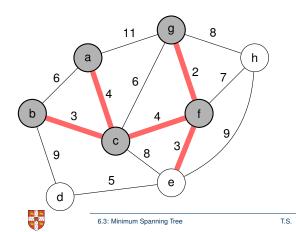
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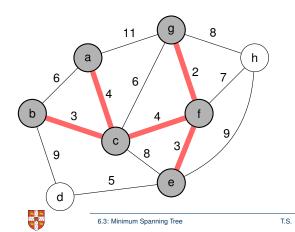
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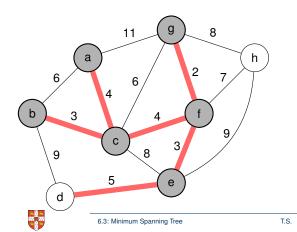
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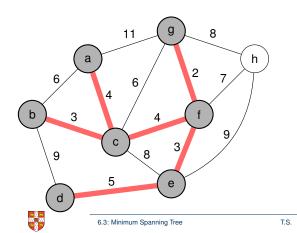
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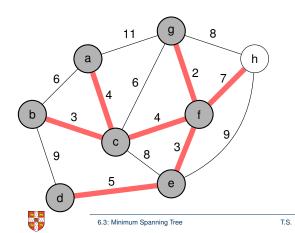
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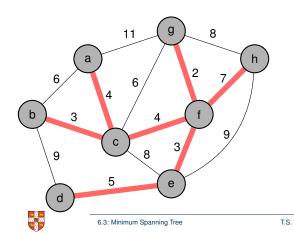
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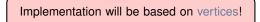
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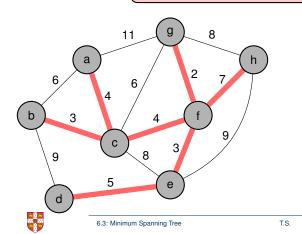


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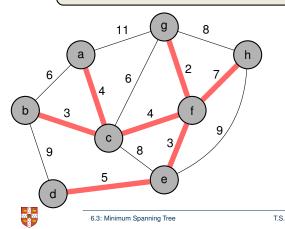




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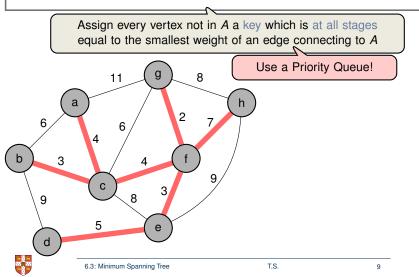
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Assign every vertex not in A a key which is at all stages equal to the smallest weight of an edge connecting to A

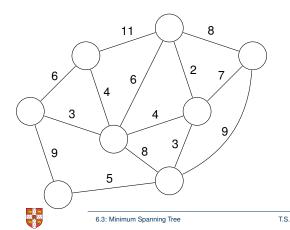


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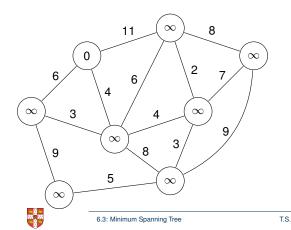
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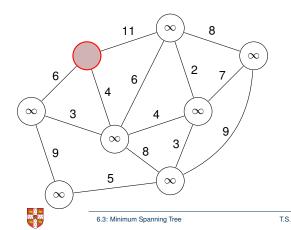
- Every vertex in Q has key and pointer of least-weight edge to $V \setminus Q$
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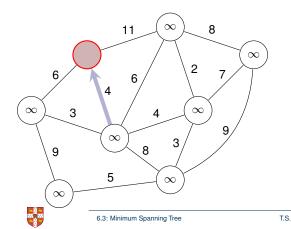
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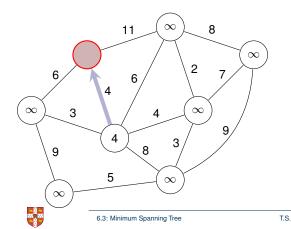
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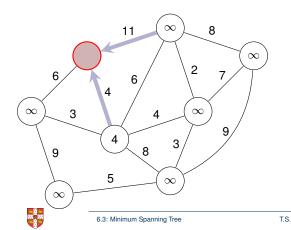
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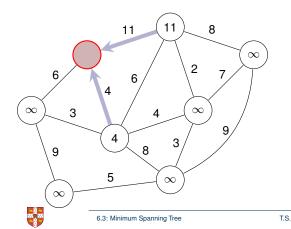
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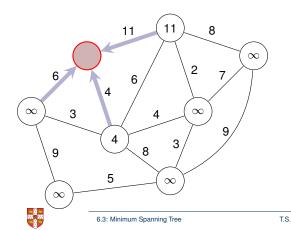
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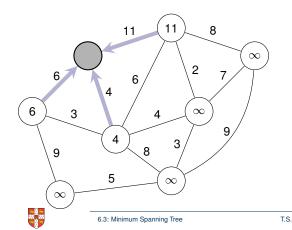
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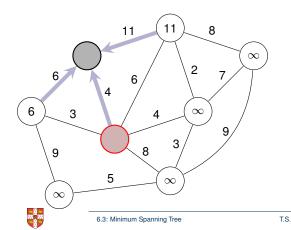
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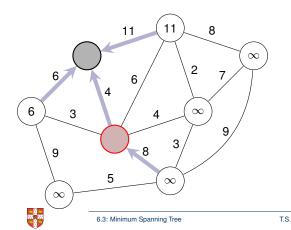
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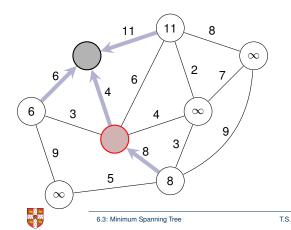
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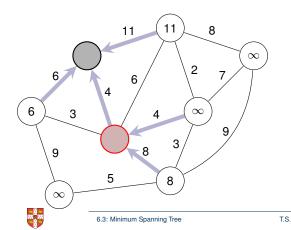
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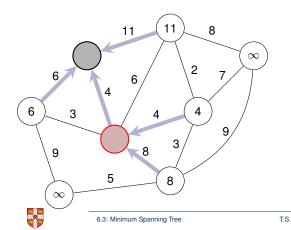
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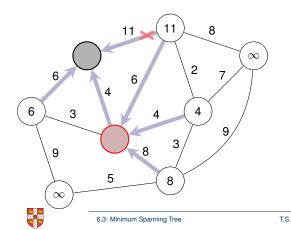
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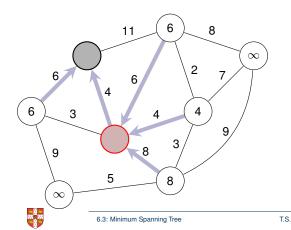
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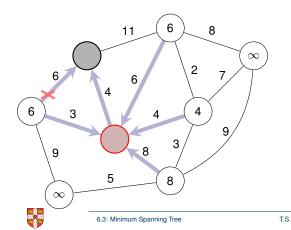
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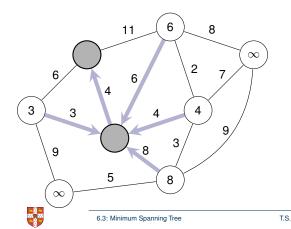
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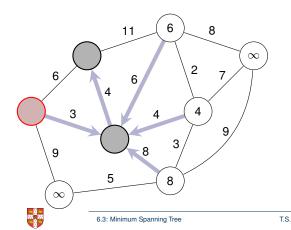
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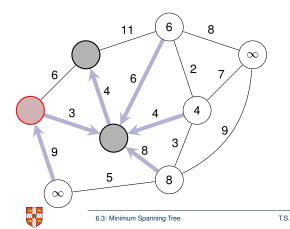
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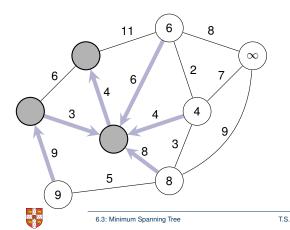
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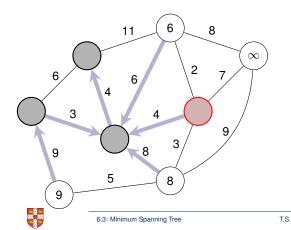
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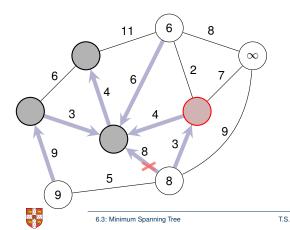


Implementation

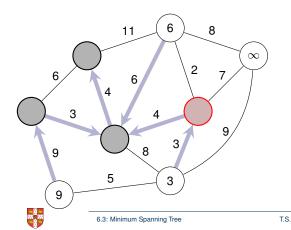
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9

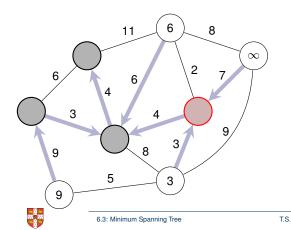
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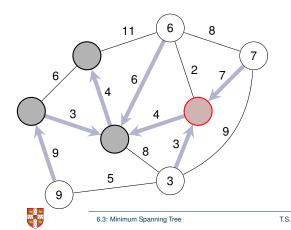


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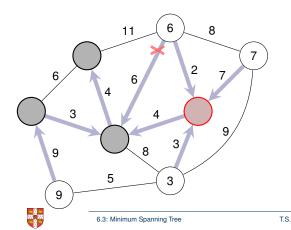
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9

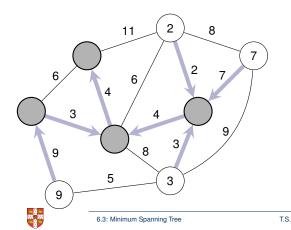
2. update keys and pointers of its neighbors in Q



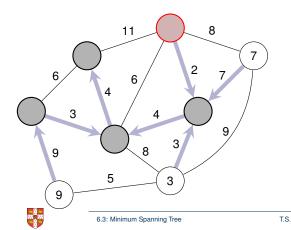
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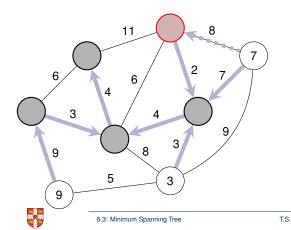
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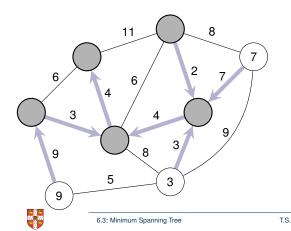
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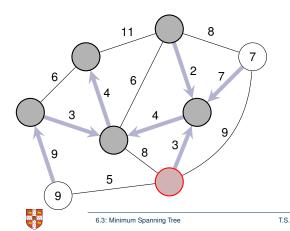
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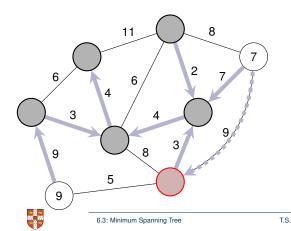
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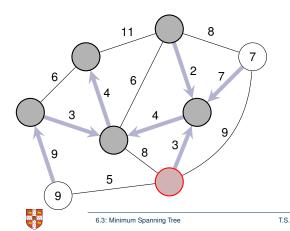
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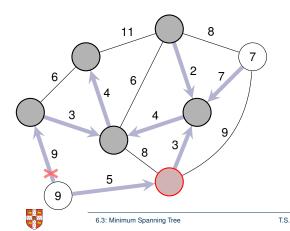
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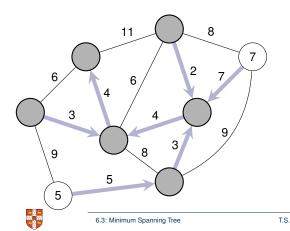
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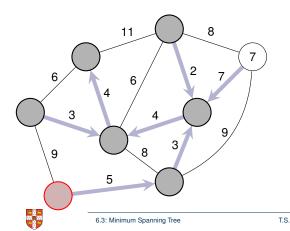
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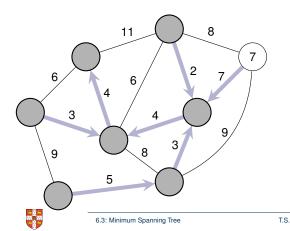
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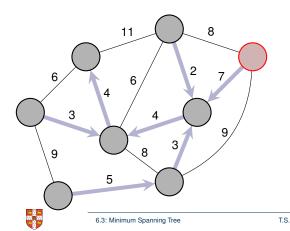
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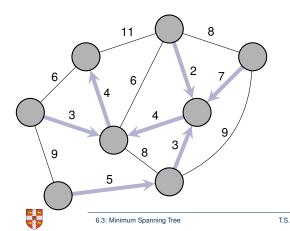
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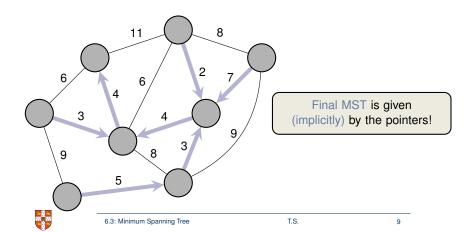
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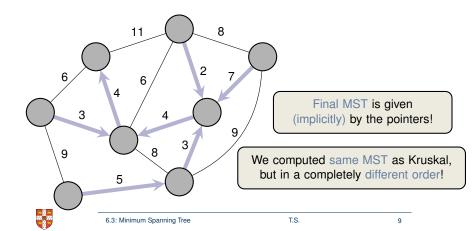
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Details of Prim's Algorithm

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0: def prim(G,r)
       Apply Prim's Algorithm to graph G and root r
1:
       Return result implicitly by modifying G:
2:
       MST induced by the .predecessor fields
3:
Δ٠
5: Q = MinPriorityQueue()
6: for v in G.vertices():
       v.predecessor = None
7:
       if v == r:
8:
9:
           v.kev = 0
      else:
10:
           v.key = Infinity
11:
12:
      O.insert(v)
13:
14: while not Q.isEmpty():
15:
       u = Q.extractMin()
       for v in u.adjacent():
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            w = G.weightOfEdge(u, v)
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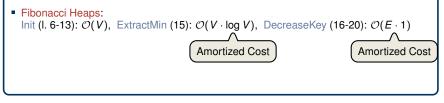
Time Complexity

Fibonacci Heaps: Init (I. 6-13): $\mathcal{O}(V)$, ExtractMin (15): $\mathcal{O}(V \cdot \log V)$, DecreaseKey (16-20): $\mathcal{O}(E \cdot 1)$



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Time Complexity

- Fibonacci Heaps: Init (I. 6-13): $\mathcal{O}(V)$, ExtractMin (15): $\mathcal{O}(V \cdot \log V)$, DecreaseKey (16-20): $\mathcal{O}(E \cdot 1)$ \Rightarrow Overall: $\mathcal{O}(V \log V + E)$
- Binary/Binomial Heaps: Init (I. 6-13): $\mathcal{O}(V)$, ExtractMin (15): $\mathcal{O}(V \cdot \log V)$, DecreaseKey (16-20): $\mathcal{O}(E \cdot \log V)$ \Rightarrow Overall: $\mathcal{O}(V \log V + E \log V)$



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- Add safe edge to the current MST as long as possible
- Theorem: An edge is safe if it is the lightest of a cut respecting A



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- Gradually transforms a forest into a MST by merging trees
- invokes disjoint set data structure
- Runtime $\mathcal{O}(V + E \log V)$



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Kruskal's Algorithm –

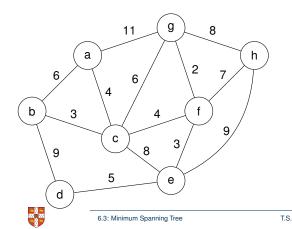
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Prim's Algorithm

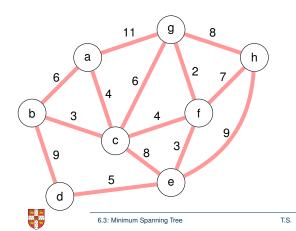
- Gradually extends a tree into a MST by adding incident edges
- invokes Fibonacci heaps (priority queue)
- Runtime $\mathcal{O}(V \log V + E)$



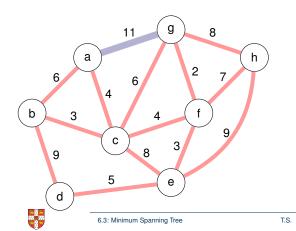
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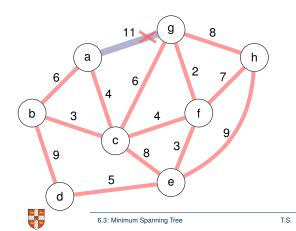
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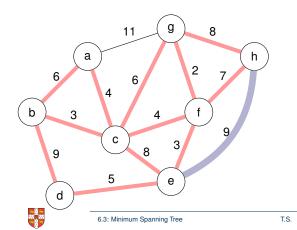
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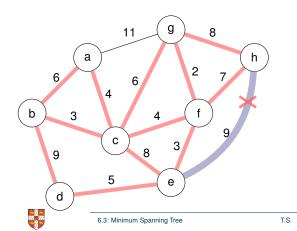
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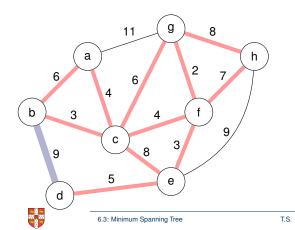
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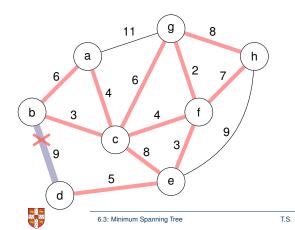
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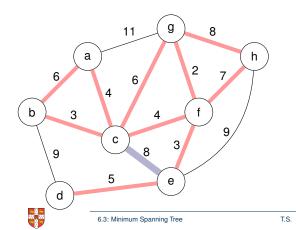
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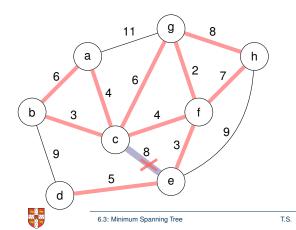
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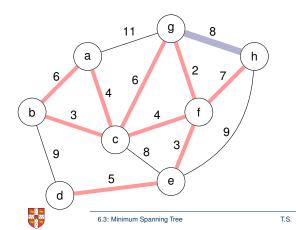
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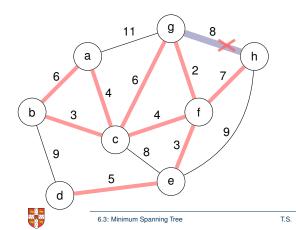
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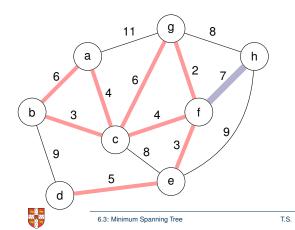
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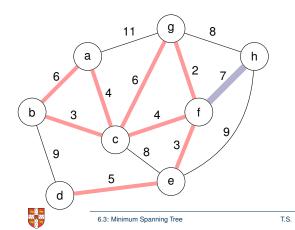
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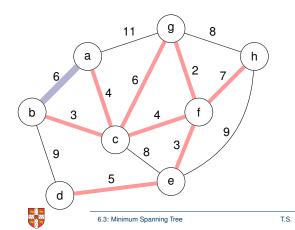
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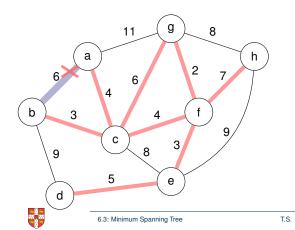
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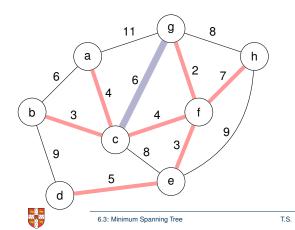
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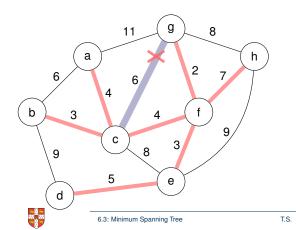
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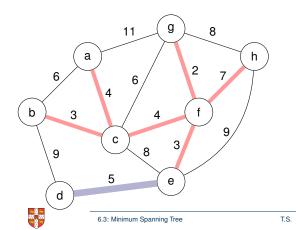
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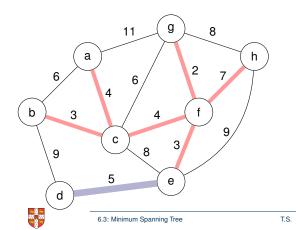
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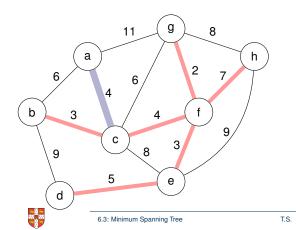
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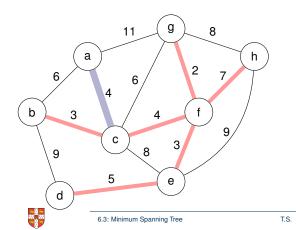
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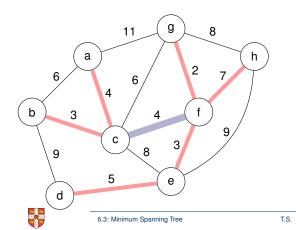
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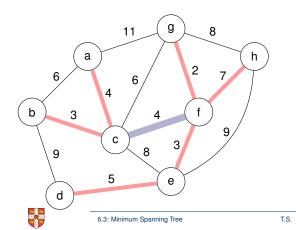
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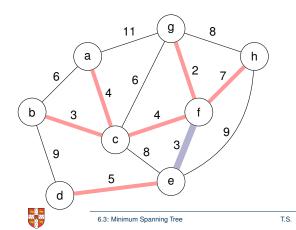
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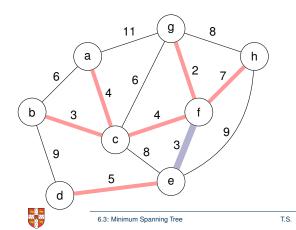
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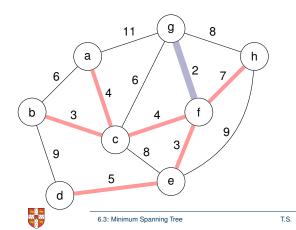
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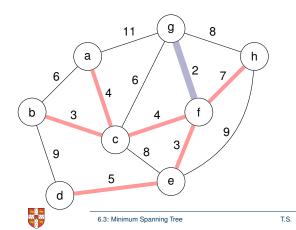
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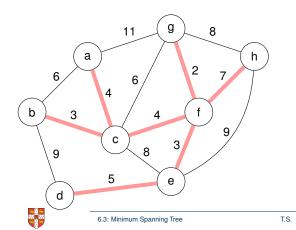
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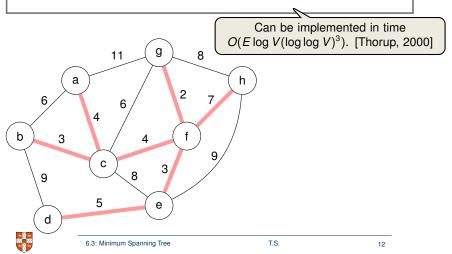
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- based on Boruvka's algorithm (from 1926)



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Chazelle, JACM'2000 —

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Pettie, Ramachandran, JACM'2002

- deterministic MST algorithm with asymptotically optimal runtime
- however, the runtime itself is not known...

