

## 5.3: Disjoint Sets

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Thomas Sauerwald

Lent 2015



UNIVERSITY OF  
CAMBRIDGE

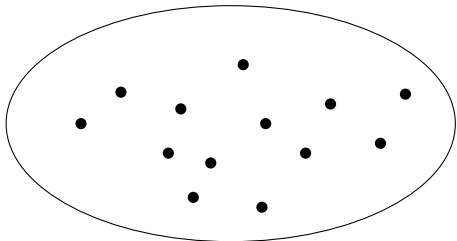
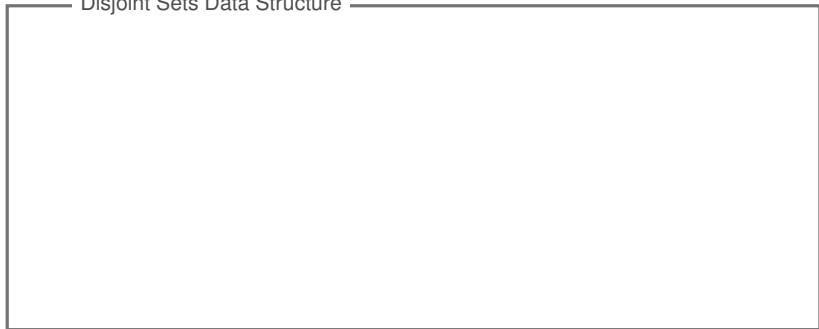
Disjoint Sets

Introduction to Graphs and Graph Searching



## Disjoint Sets (aka Union Find)

Disjoint Sets Data Structure



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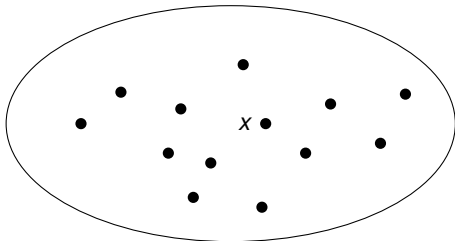
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$h_0 = \text{makeSet}(x)$



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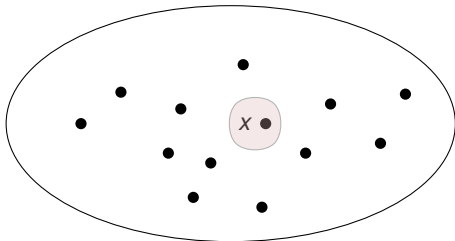
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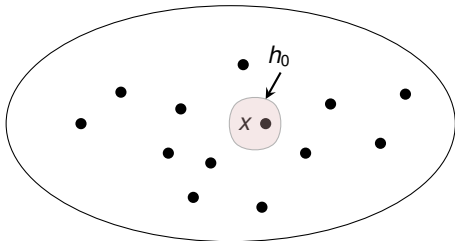
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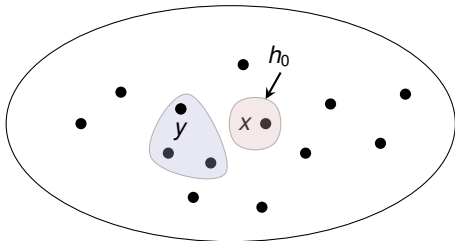
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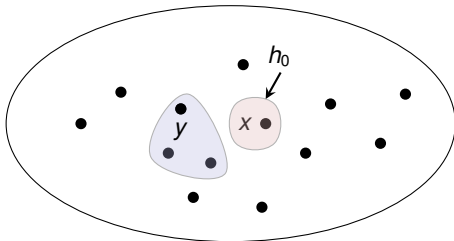


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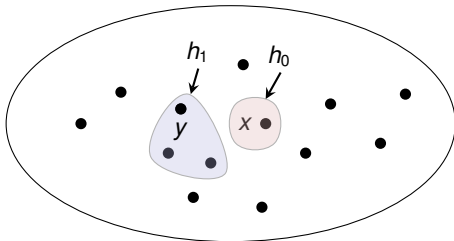


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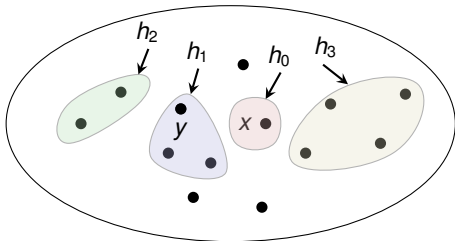
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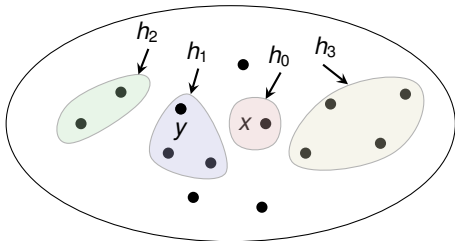


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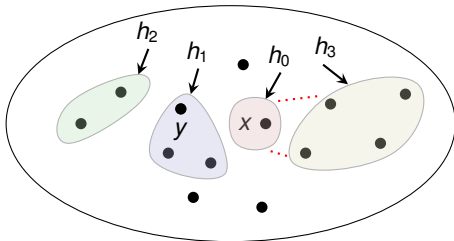


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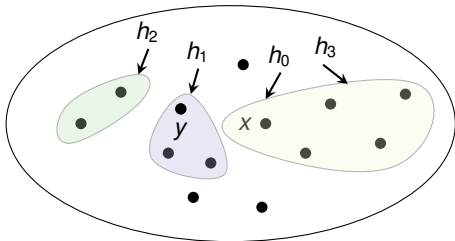


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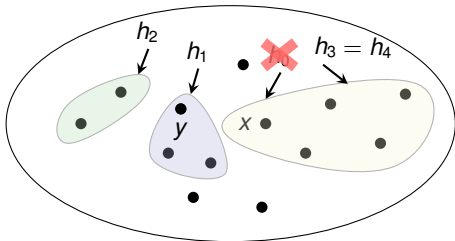


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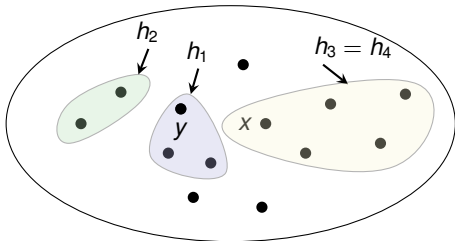
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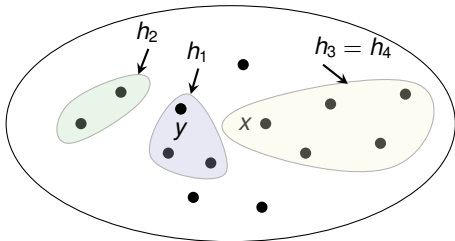


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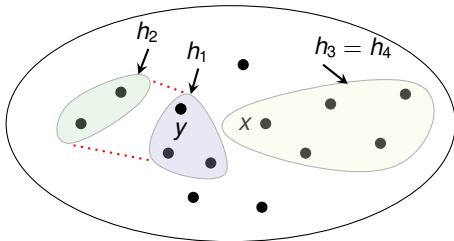


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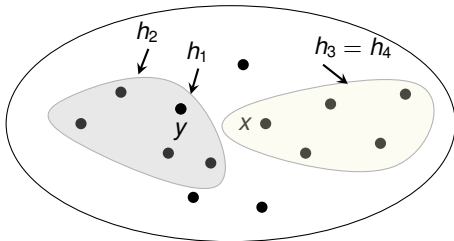


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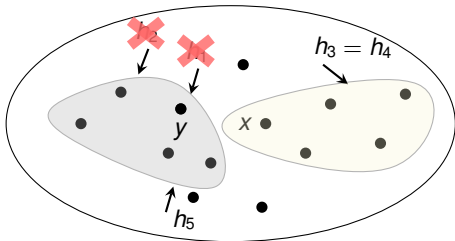


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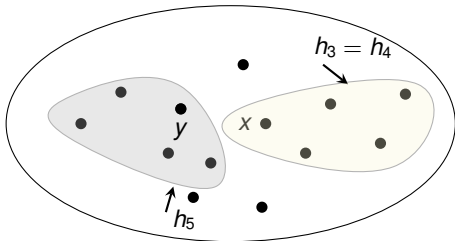


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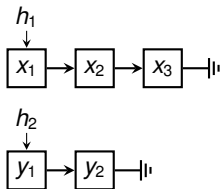
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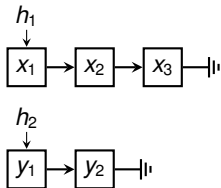
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UNION-Operation



**Union**( $h_1, h_2$ )



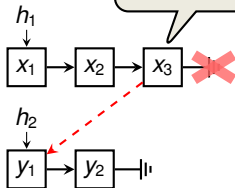
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UNION-Operation



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Need to find last element!



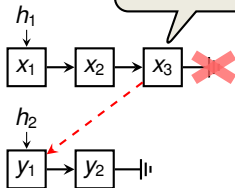
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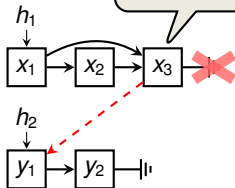
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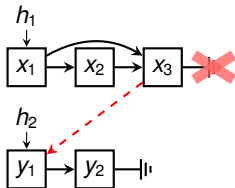


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### UNION-Operation

- Add **extra pointer** to the last element in each list
- ⇒ UNION takes constant time

### Union( $h_1, h_2$ )



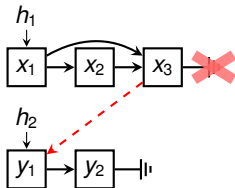
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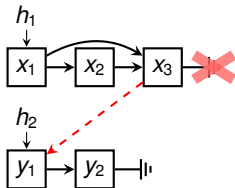
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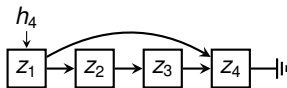
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### FindSet( $z_3$ )



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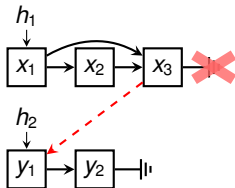
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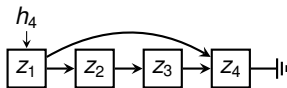
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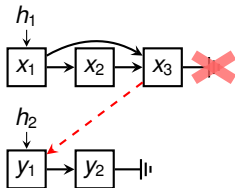
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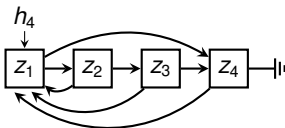
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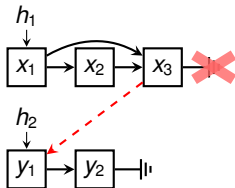
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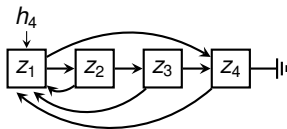
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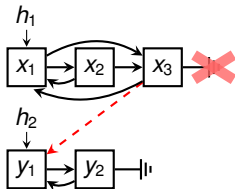


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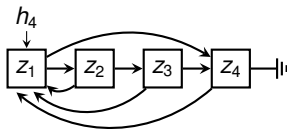
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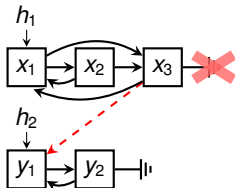
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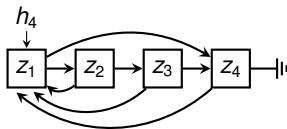
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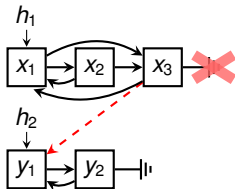
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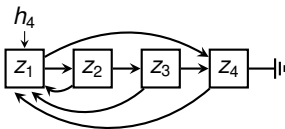
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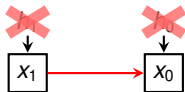
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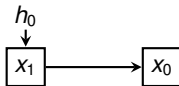
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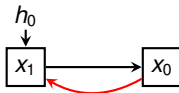
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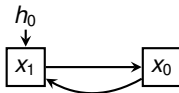
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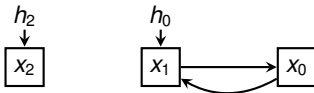
$d = \text{DisjointSet}()$

$h_0 = d.\text{MakeSet}(x_0)$

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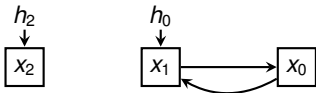
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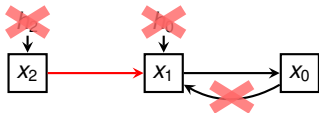
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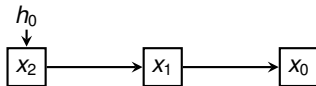
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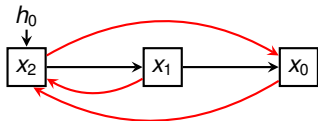
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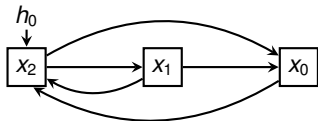
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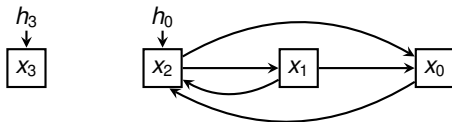
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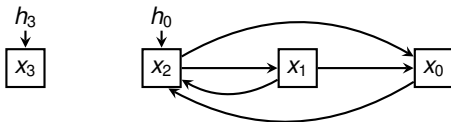
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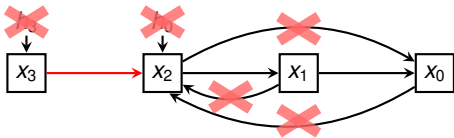
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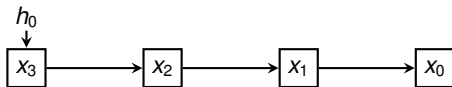
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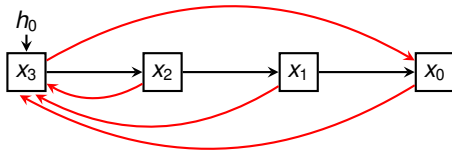
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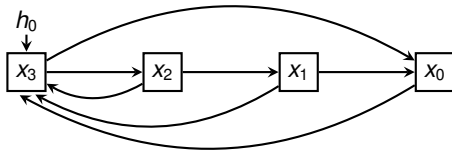
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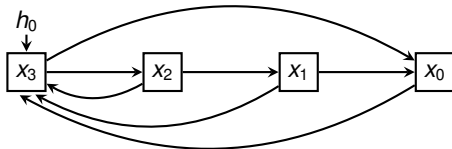
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Cost for  $n$  UNION operations:  $\sum_{i=1}^n i = \Theta(n^2)$



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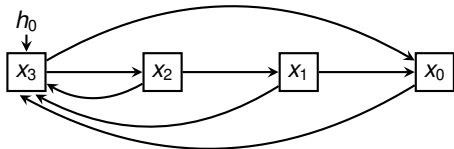
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better to append shorter list to longer  $\rightsquigarrow$  Weighted-Union Heuristic

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## Weighted-Union Heuristic

---

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**Amortized Analysis:** Every operation has amortized cost  $\mathcal{O}(\log n)$ , but there may be operations with total cost  $\Theta(n)$ .



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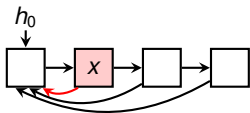
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## Analysis of Weighted-Union Heuristic



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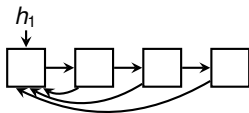
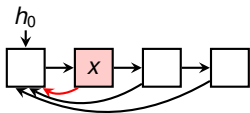
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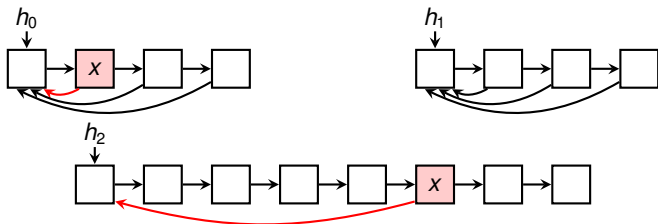
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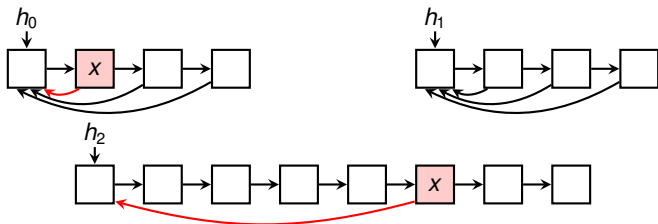
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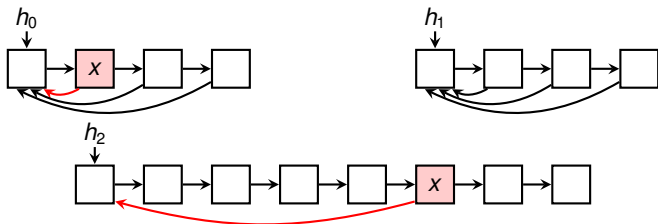
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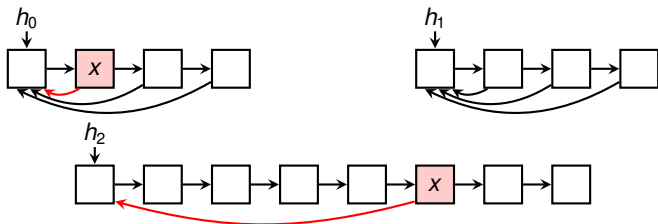
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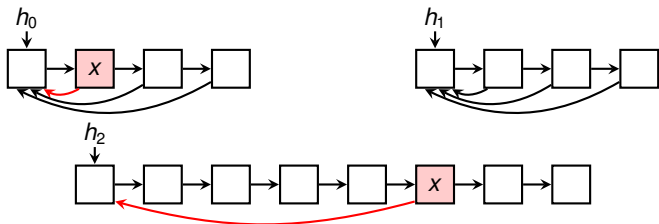
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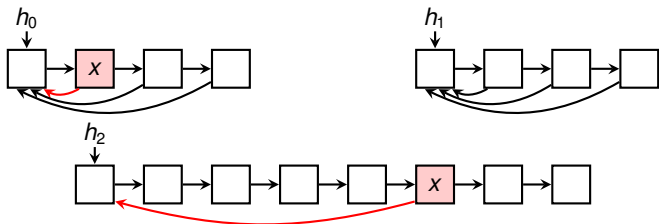
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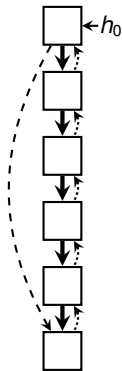
Can we improve on this further?

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## How to Improve?

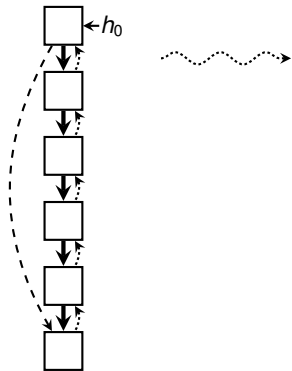


### Doubly-Linked List

- MAKE-SET:  $\mathcal{O}(1)$
- FIND-SET:  $\mathcal{O}(n)$
- UNION:  $\mathcal{O}(1)$

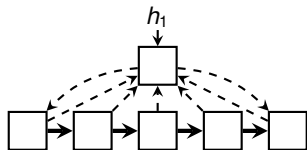


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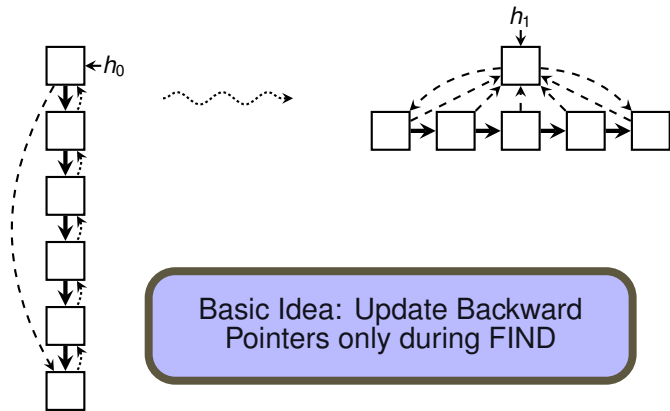


### Weighted-Union Heuristic

- MAKE-SET:  $\mathcal{O}(1)$
- FIND-SET:  $\mathcal{O}(1)$
- UNION:  $\mathcal{O}(\log n)$  (amortized)



## How to Improve?



Basic Idea: Update Backward Pointers only during FIND

### Doubly-Linked List

- MAKE-SET:  $\mathcal{O}(1)$
- FIND-SET:  $\mathcal{O}(n)$
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### Weighted-Union Heuristic

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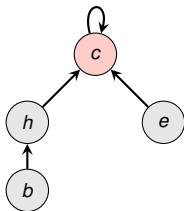
### Forest Structure

- Set is represented by a **rooted tree** with root being the representative
- Every node has **pointer**  $.p$  to its parent (for root  $x$ ,  $x.p = x$ )



## Disjoint Sets via Forests

$\{b, c, e, h\}$



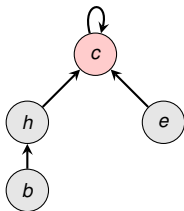
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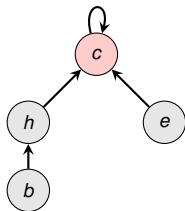
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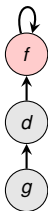


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$\{b, c, e, h\}$



$\{d, f, g\}$



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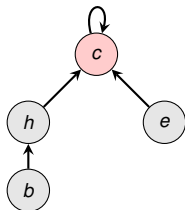
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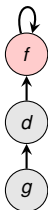


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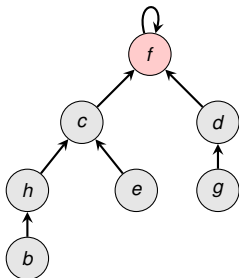
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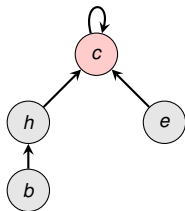
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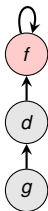


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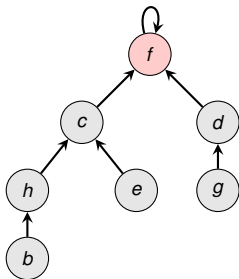
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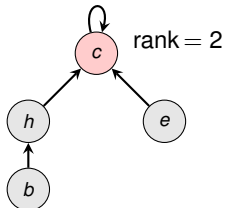
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Append tree of smaller height  $\rightsquigarrow$  Union by Rank

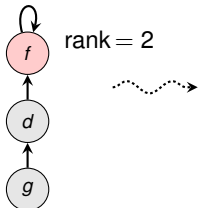


## Disjoint Sets via Forests

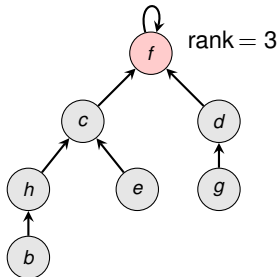
$\{b, c, e, h\}$



$\{d, f, g\}$



$\{b, c, d, e, f, g, h\}$



### Forest Structure

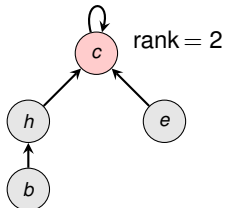
- Set is represented by a **rooted tree** with root being the representative
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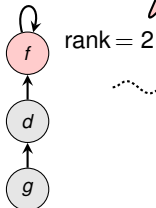


## Disjoint Sets via Forests

$\{b, c, e, h\}$

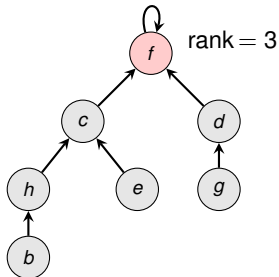


$\{d, f, g\}$



Rank may be just an upper bound on the height!

$\{b, c, d, e, f, g, h\}$



### Forest Structure

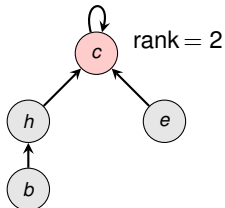
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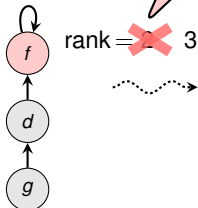


## Disjoint Sets via Forests

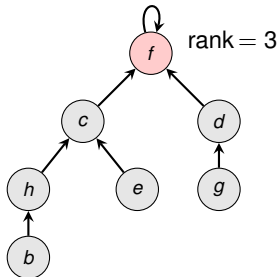
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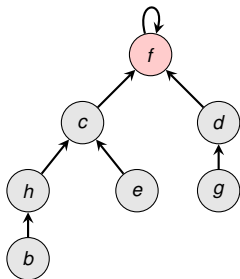
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## Path Compression during FIND-SET

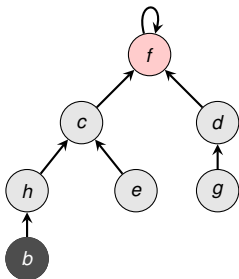


```
0: FindSet (x)
1:   if  $x \neq x.p$ 
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3:   return  $x.p$ 
```



## Path Compression during FIND-SET

**b**

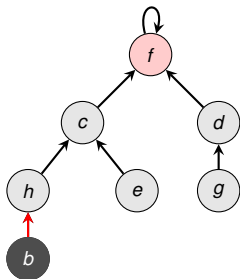


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## Path Compression during FIND-SET

**b**

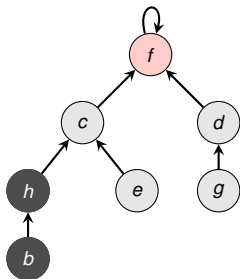


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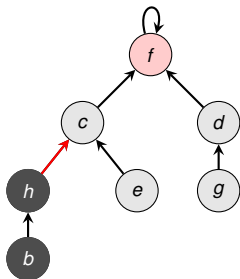
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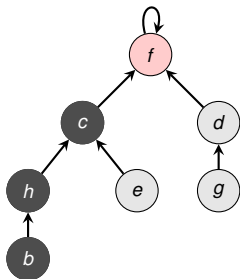
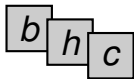
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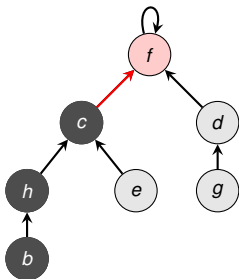
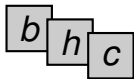
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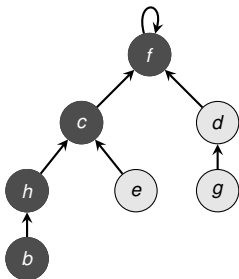
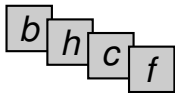
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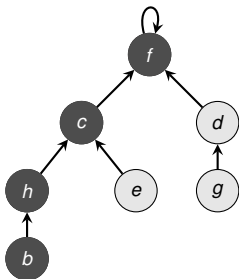
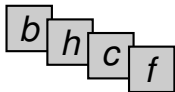
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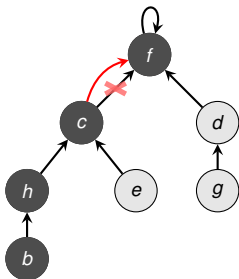
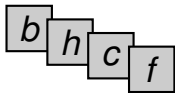
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```
0: FindSet (x)  
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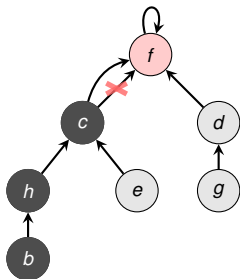
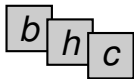
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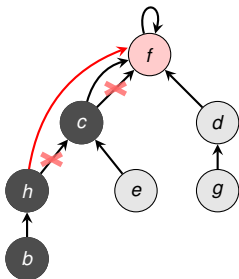
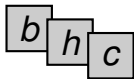


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## Path Compression during FIND-SET

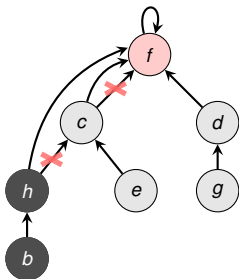


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## Path Compression during FIND-SET

b h

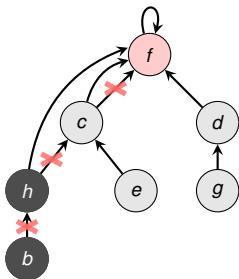


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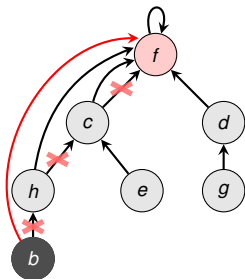


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**b**

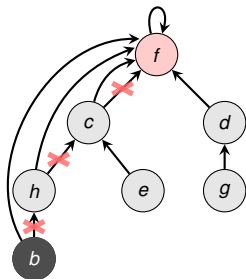


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## Path Compression during FIND-SET

**b**

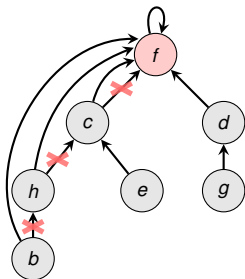


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**b**

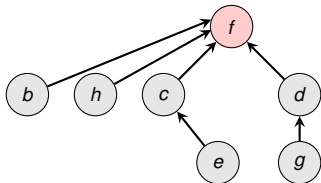


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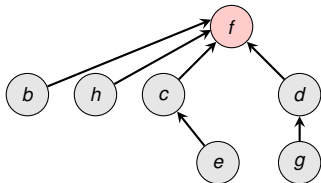
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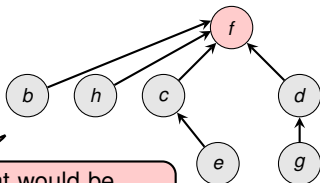


```
0: FindSet ( $x$ )  
1:   if  $x \neq x.p$   
2:      $x.p = \mathbf{FindSet}(x.p)$   
3:   return  $x.p$ 
```





## Path Compression during FIND-SET



Maintaining the exact height would be costly, hence rank is only an **upper bound**!

```
0: FindSet (x)
1:   if  $x \neq x.p$ 
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3:   return  $x.p$ 
```



### Theorem 21.14

Any sequence of  $m$  MAKE-SET, UNION, FIND-SET operations,  $n$  of which are MAKE-SET operations, can be performed in  $\mathcal{O}(m \cdot \alpha(n))$  time.



## Combining Union by Rank and Path Compression

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$$\alpha(n) = \begin{cases} 0 & \text{for } 0 \leq n \leq 2, \\ 1 & \text{for } n = 3, \\ 2 & \text{for } 4 \leq n \leq 7, \\ 3 & \text{for } 8 \leq n \leq 2047, \\ 4 & \text{for } 2048 \leq n \leq 10^{80} \end{cases}$$



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More than the number of atoms in the universe!



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$\log^*(n)$ , the **iterated logarithm**, satisfies  $\alpha(n) \leq \log^*(n)$ , but still  $\log^*(10^{80}) = 5$ .



## Combining Union by Rank and Path Compression

### Theorem 21.14

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In practice,  $\alpha(n)$  is a small constant

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## Combining Union by Rank and Path Compression

Data Structure is essentially optimal! (for more details see CLRS)

**Theorem 21.14**

Any sequence of  $m$  MAKE-SET, UNION, FIND-SET operations,  $n$  of which are MAKE-SET operations, can be performed in  $\mathcal{O}(m \cdot \alpha(n))$  time.

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# Simulating the Effects of Union by Rank and Path Compression

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## Simulating the Effects of Union by Rank and Path Compression

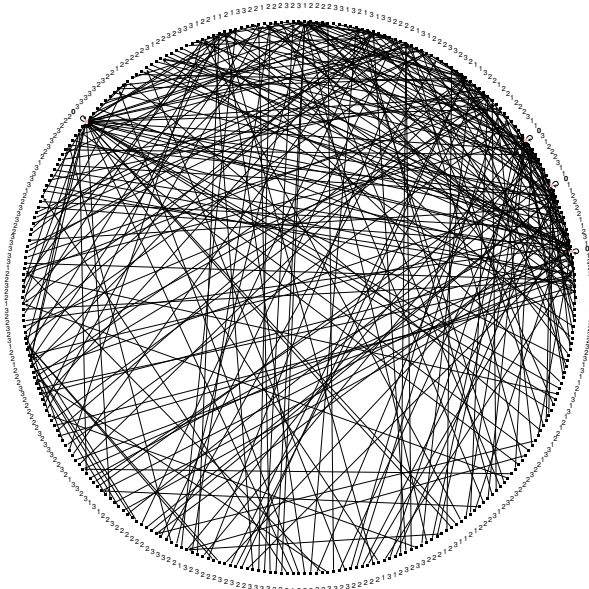
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### Experimental Setup

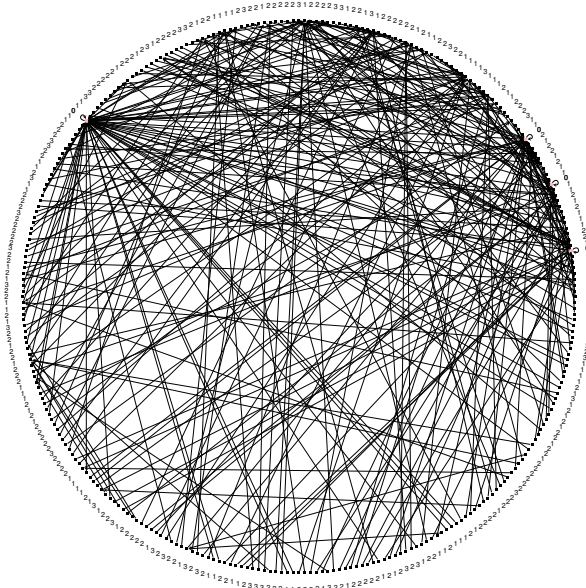
1. Initialise singletons  $1, 2, \dots, 300$
2. For every  $1 \leq i \leq 300$ , pick a random  $1 \leq r \leq 300, r \neq i$  and perform  $\text{UNION}(\text{FIND}(i), \text{FIND}(r))$
3. Perform  $j \in \{0, 100, 200, 300, 600, 900\}$  many  $\text{FIND}(r)$ , where  $1 \leq r \leq 300$  is random



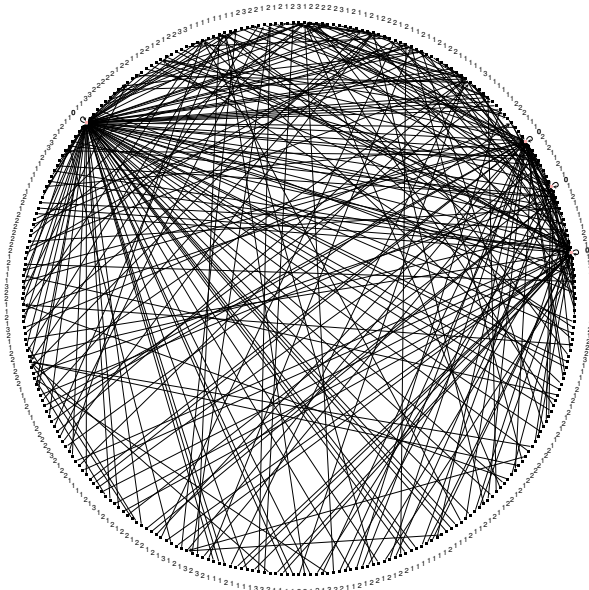
# Union by Rank without Path Compression



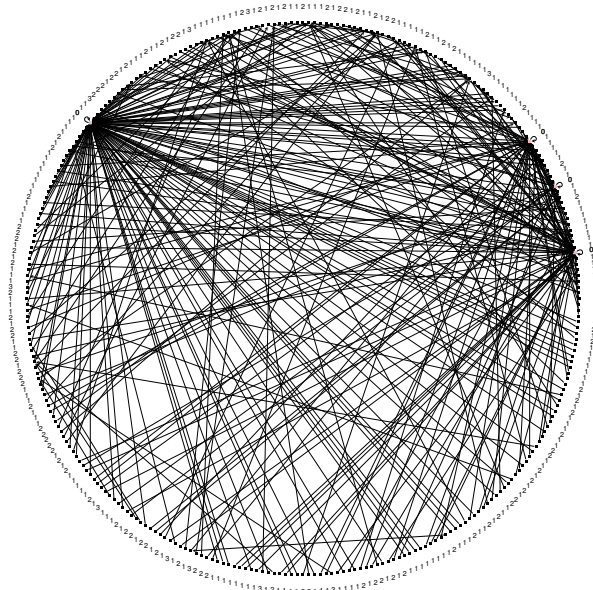
# Union by Rank with Path Compression



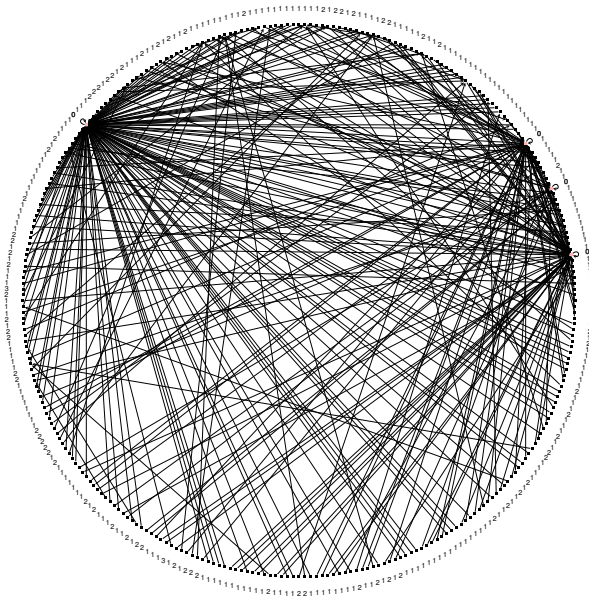
## After 100 additional FINDs



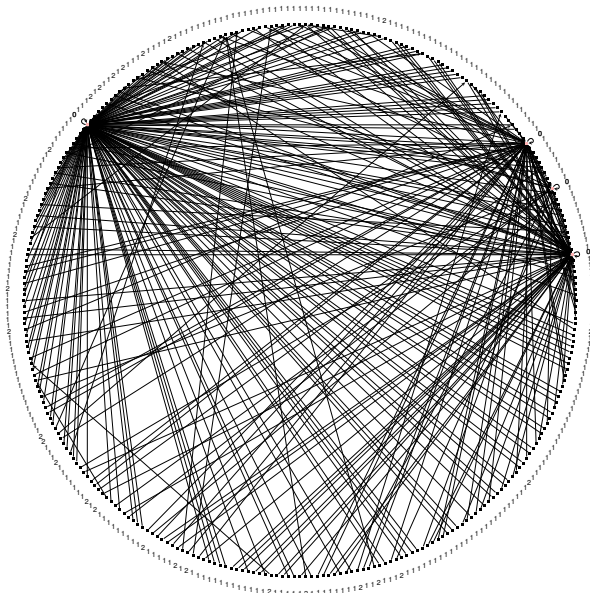
## After 200 additional FINDs



## After 300 additional FINDs

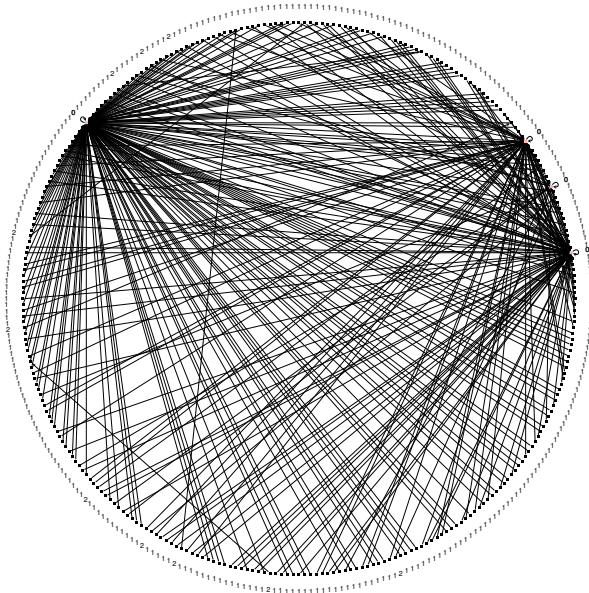


## After 600 additional FINDs



## After 900 additional FINDs

---





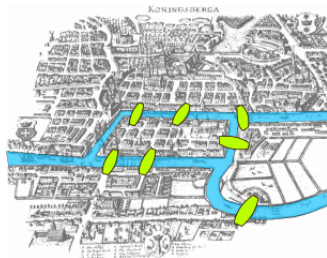
Disjoint Sets

Introduction to Graphs and Graph Searching



# Origin of Graph Theory

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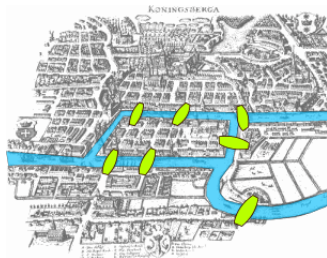


Source: Wikipedia

## Seven Bridges at Königsberg 1737



# Origin of Graph Theory



Source: Wikipedia

Seven Bridges at Königsberg 1737



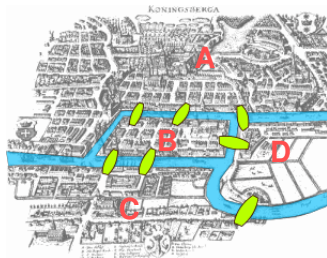
Source: Wikipedia

Leonhard Euler (1707-1783)

Is there a tour which crosses each bridge **exactly once**?



# Origin of Graph Theory



Source: Wikipedia

Seven Bridges at Königsberg 1737



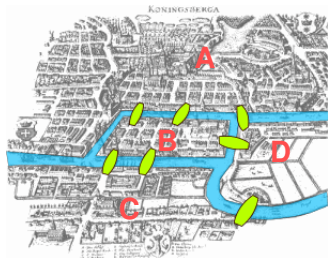
Source: Wikipedia

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# Origin of Graph Theory



Source: Wikipedia



Source: Wikipedia

Seven Bridges at Königsberg 1737

Leonhard Euler (1707-1783)

A

B

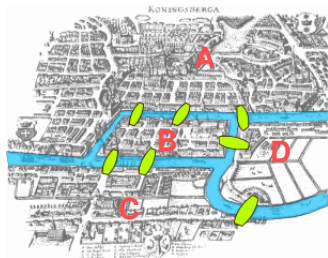
D

C

Is there a tour which crosses each bridge **exactly once**?



# Origin of Graph Theory



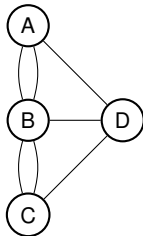
Source: Wikipedia



Source: Wikipedia

Seven Bridges at Königsberg 1737

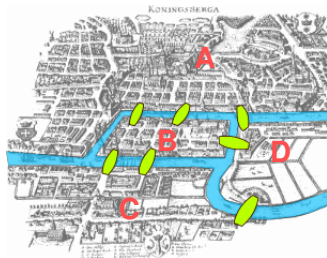
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# Origin of Graph Theory



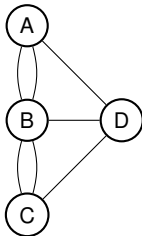
Source: Wikipedia



Source: Wikipedia

Seven Bridges at Königsberg 1737

Leonhard Euler (1707-1783)

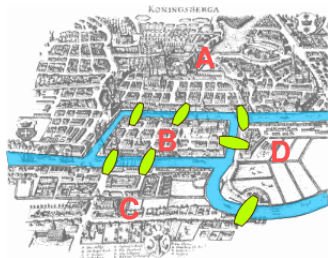


Is there a tour which crosses each bridge **exactly once**?

Is there a tour which visits every island **exactly once**?



# Origin of Graph Theory



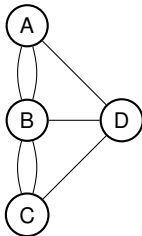
Source: Wikipedia



Source: Wikipedia

Seven Bridges at Königsberg 1737

Leonhard Euler (1707-1783)



Is there a tour which crosses each bridge **exactly once**?

Is there a tour which visits every island **exactly once**?  
~> 1B course: Complexity Theory





## What is a Graph?

---

### Directed Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of vertices
- $E$ : the set of edges (arcs)

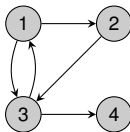


# What is a Graph?

## Directed Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of vertices
- $E$ : the set of edges (arcs)

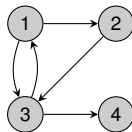


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### Directed Graph

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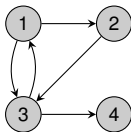


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### Directed Graph

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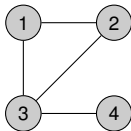


### Undirected Graph

A graph  $G = (V, E)$  consists of:

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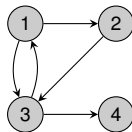


## What is a Graph?

### Directed Graph

A graph  $G = (V, E)$  consists of:

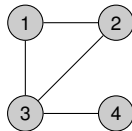
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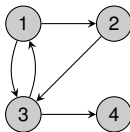


# What is a Graph?

## Directed Graph

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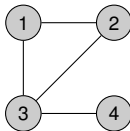
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## Paths and Connectivity

- A sequence of edges between two vertices forms a **path**



# What is a Graph?

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## Undirected Graph

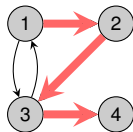
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## Paths and Connectivity

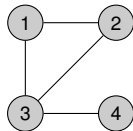
- A sequence of edges between two vertices forms a **path**

Path  $p = (1, 2, 3, 4)$



$V = \{1, 2, 3, 4\}$

$E = \{(1, 2), (1, 3), (2, 3), (3, 1), (3, 4)\}$



$V = \{1, 2, 3, 4\}$

$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{3, 4\}\}$



# What is a Graph?

## Directed Graph

A graph  $G = (V, E)$  consists of:

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## Undirected Graph

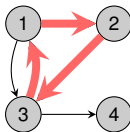
A graph  $G = (V, E)$  consists of:

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## Paths and Connectivity

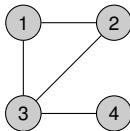
- A sequence of edges between two vertices forms a **path**

Path  $p = (1, 2, 3, 1)$ , which is a cycle



$V = \{1, 2, 3, 4\}$

$E = \{(1, 2), (1, 3), (2, 3), (3, 1), (3, 4)\}$



$V = \{1, 2, 3, 4\}$

$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{3, 4\}\}$



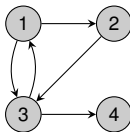


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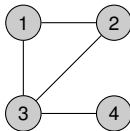
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## Paths and Connectivity

- A sequence of edges between two vertices forms a **path**



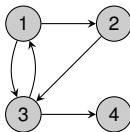
# What is a Graph?

## Directed Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of **vertices**
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G is not a DAG



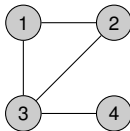
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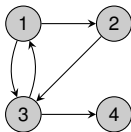
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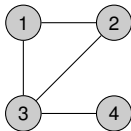
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## Paths and Connectivity

- A sequence of edges between two vertices forms a **path**
- If each pair of vertices has a path linking them, then  $G$  is **connected**

$$V = \{1, 2, 3, 4\}$$

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# What is a Graph?

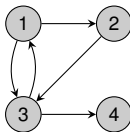
## Directed Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of **vertices**
- $E$ : the set of **edges** (arcs)

$G$  is not a DAG

$G$  is not (strongly) connected



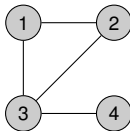
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$G$  is connected

$V = \{1, 2, 3, 4\}$

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# What is a Graph?

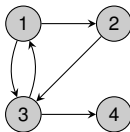
## Directed Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of vertices
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G is not a DAG

G is not (strongly) connected



## Undirected Graph

A graph  $G = (V, E)$  consists of:

- $V$ : the set of vertices
- $E$ : the set of (undirected) edges

Later: edge-weighted graphs  $G = (V, E, w)$

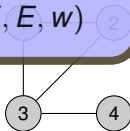
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## Paths and Connectivity

- A sequence of edges between two vertices forms a **path**
- If each pair of vertices has a path linking them, then  $G$  is **connected**

G is connected

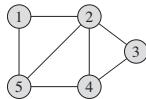


$$V = \{1, 2, 3, 4\}$$

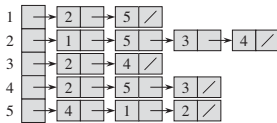
$$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{3, 4\}\}$$



## Representations of Directed and Undirected Graphs



(a)



(b)

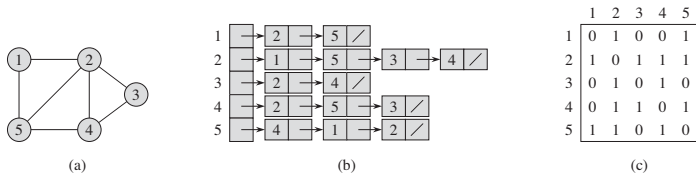
	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

(c)

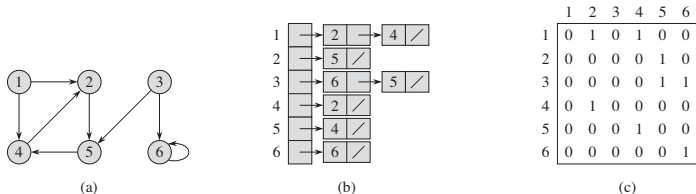
**Figure 22.1** Two representations of an undirected graph. (a) An undirected graph  $G$  with 5 vertices and 7 edges. (b) An adjacency-list representation of  $G$ . (c) The adjacency-matrix representation of  $G$ .



# Representations of Directed and Undirected Graphs



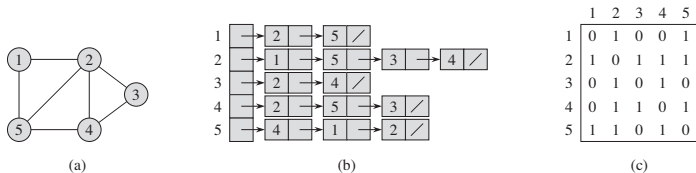
**Figure 22.1** Two representations of an undirected graph. (a) An undirected graph  $G$  with 5 vertices and 7 edges. (b) An adjacency-list representation of  $G$ . (c) The adjacency-matrix representation of  $G$ .



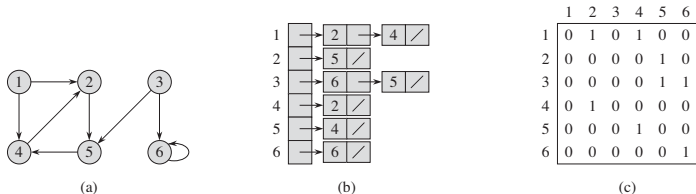
**Figure 22.2** Two representations of a directed graph. (a) A directed graph  $G$  with 6 vertices and 8 edges. (b) An adjacency-list representation of  $G$ . (c) The adjacency-matrix representation of  $G$ .



# Representations of Directed and Undirected Graphs



**Figure 22.1** Two representations of an undirected graph. (a) An undirected graph  $G$  with 5 vertices and 7 edges. (b) An adjacency-list representation of  $G$ . (c) The adjacency-matrix representation of  $G$ .



**Figure 22.2** Two representations of a directed graph. (a) A directed graph  $G$  with 6 vertices and 8 edges. (b) An adjacency-list representation of  $G$ . (c) The adjacency-matrix representation of  $G$ .

