### Topic 4: Network Layer

### Our goals:

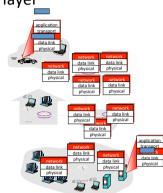
- understand principles behind network layer services:
  - network layer service models
  - forwarding versus routing (versus switching)
  - how a router works
  - routing (path selection)
  - IPv6
- For the most part, the Internet is our example

Name: a something
Address: Where a something is
Routing: How do I get to the
something

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### Network layer

- transport segment from sending to receiving host
- on sender side encapsulates segments into datagrams
- on receiver side, delivers segments to transport layer
- network layer protocols in every host, router
- router examines header fields in all IP datagrams passing through it

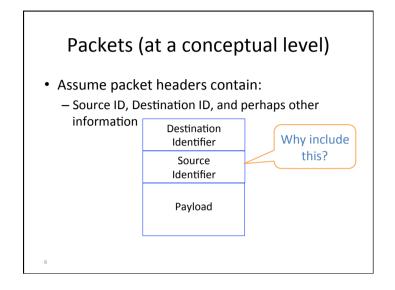


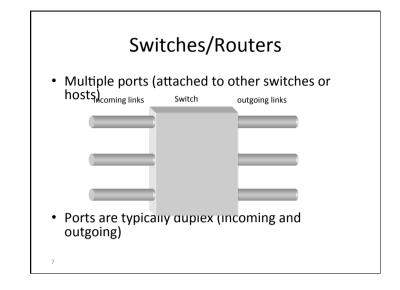
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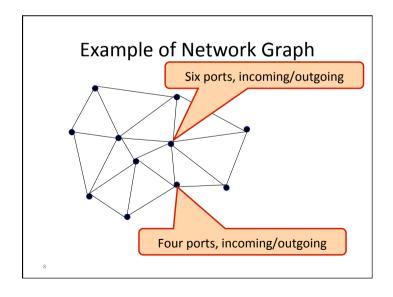
### Addressing (at a conceptual level)

- Assume all hosts have unique IDs
- No particular structure to those IDs
- Later in topic I will talk about real IP addressing
- Do I route on location or identifier?
- If a host moves, should its address change?
  - If not, how can you build scalable Internet?
  - If so, then what good is an address for identification?

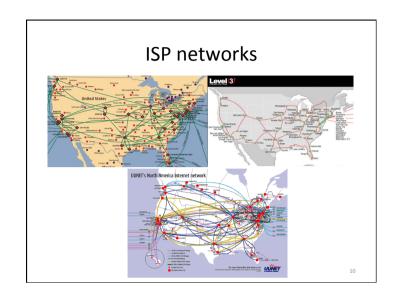
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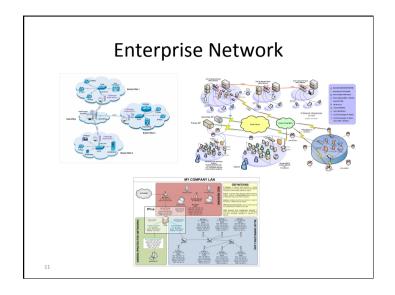


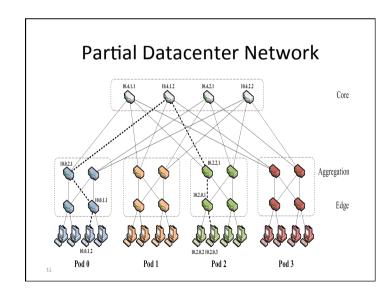




# A Variety of Networks • ISPs: carriers - Backbone - Edge - Border (to other ISPs) • Enterprises: companies, universities - Core - Edge - Border (to outside) • Datacenters: massive collections of machines - Top-of-Rack - Aggregation and Core - Border (to outside)







### **Switches**

• Enterprise/Edge: typically 24 to 48 ports

• Aggregation switches: 192 ports or more

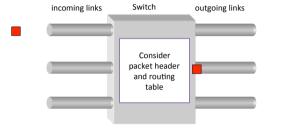
• Backbone: typically fewer ports

• Border: typically very few ports

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### **Forwarding Decisions**

When packet arrives, must choose outgoing port



Decision is based on routing state (table) in switch

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### Forwarding vs Routing

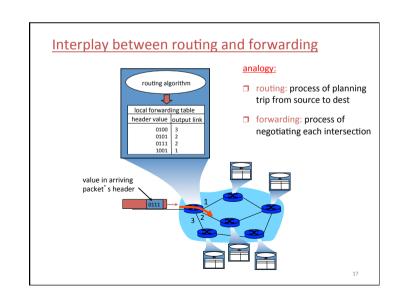
- Forwarding: "data plane"
  - Directing a data packet to an outgoing link
  - Individual router using routing state
- Routing: "control plane"
  - Computing paths the packets will follow
  - Routers talking amongst themselves
  - Jointly creating the routing state
- Two very different timescales....

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### **Forwarding Decisions**

- When packet arrives...
  - Must decide which outgoing port to use
  - In single transmission time
  - Forwarding decisions must be <u>simple</u>
- Routing state dictates where to forward packets
  - Assume decisions are deterministic
- Global routing state means collection of routing state in each of the routers
  - Will focus on where this routing state comes from
  - But first, a few preliminaries....

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### Connection setup

- 3<sup>rd</sup> important function in *some* network architectures:
  - ATM, frame relay, X.25, Software Defined Networks
- before datagrams flow, two end hosts and intervening routers establish virtual connection
  - routers get involved
- network vs transport layer connection service:
  - network: between two hosts (may also involve intervening routers in case of VCs)
  - transport: between two processes
    Remember: Ask youself "what is doing the multiplexing?"

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### Network service model

Q: What *service model* for the "channel" transporting datagrams from sender to receiver?

### <u>Example services for</u> individual datagrams:

- guaranteed delivery
- guaranteed delivery with less than 40 msec delay

### Example services for a flow of datagrams:

- in-order datagram delivery
- guaranteed minimum bandwidth to flow
- restrictions on changes in inter-packet spacing

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### Network layer service models:

	Network Architecture	Service Model	Guarantees ?				Congestion
Α			Bandwidth	Loss	Order	Timing	feedback
	Internet	best effort	none	no	no	no	no (inferred via loss)
	ATM	CBR	constant rate	yes	yes	yes	no congestion
	ATM	VBR	guaranteed rate	yes	yes	yes	no congestion
	ATM	ABR	guaranteed minimum	no	yes	no	yes
	ATM	UBR	none	no	yes	no	no

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## Network layer connection and connection-less service

- datagram network provides network-layer connectionless service
- Virtual Circuit (VC) a connection-orientated network – provides network-layer connection service
- analogous to the transport-layer services, but:
  - service: host-to-host
  - no choice: network provides one or the other
  - implementation: in network core

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### Virtual circuits

"source-to-dest path behaves much like telephone circuit"

- performance-wise
- network actions along source-to-dest path
- · call setup, teardown for each call before data can flow
- each packet carries VC identifier (not destination host address)
- every router on source-dest path maintains "state" for each passing connection
- link, router resources (bandwidth, buffers) may be allocated to VC (dedicated resources = predictable service)

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# Forwarding table Forwarding table in northwest router: Incoming interface Incoming VC # Outgoing interface Outgoing VC # 1 12 3 22 2 63 1 18 3 7 2 17 1 97 3 87 ... ... ... ... ... Routers maintain connection state information!

### **VC** implementation

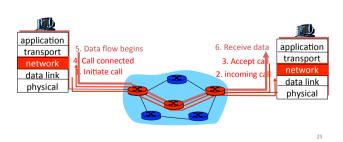
### a VC consists of:

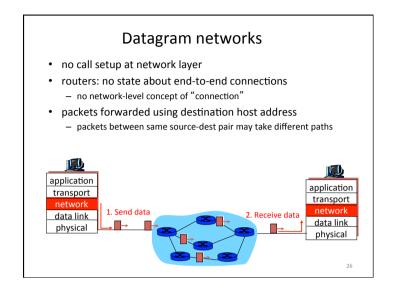
- 1. path from source to destination
- 2. VC numbers, one number for each link along path
- 3. entries in forwarding tables in routers along path
- packet belonging to VC carries VC number (rather than dest address)
- VC number can be changed on each link.
  - New VC number comes from forwarding table

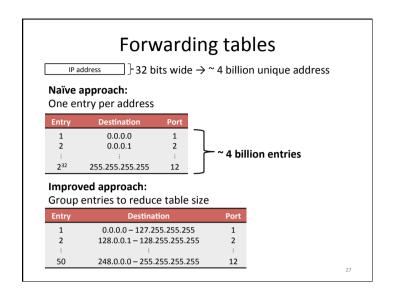
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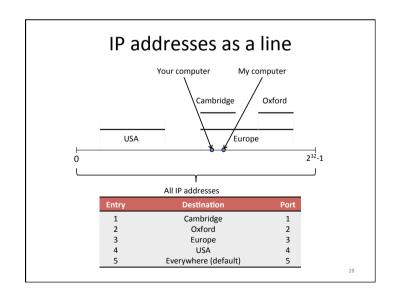
### Virtual circuits: signaling protocols

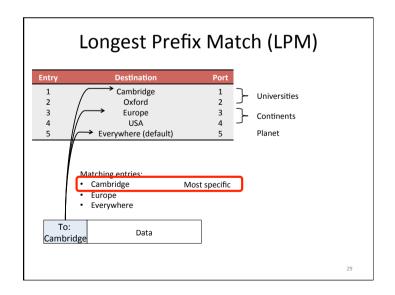
- · used to setup, maintain teardown VC
- used in ATM, frame-relay, X.25
- not used in today's Internet

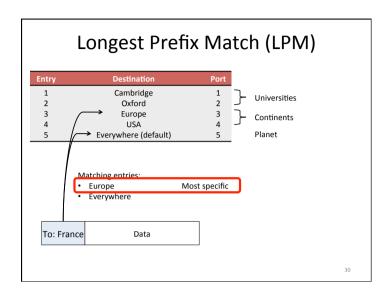


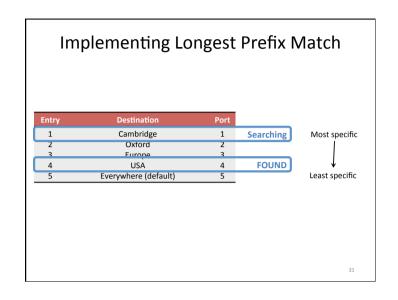


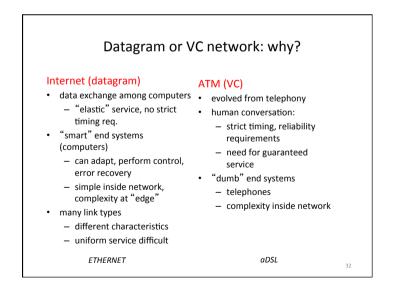


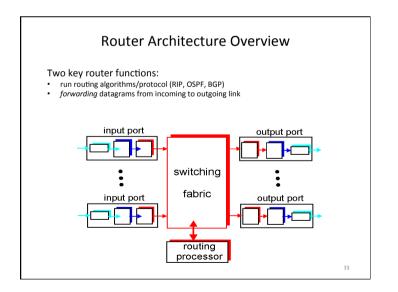


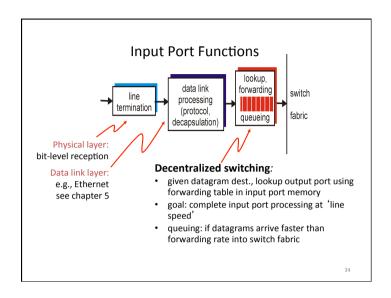


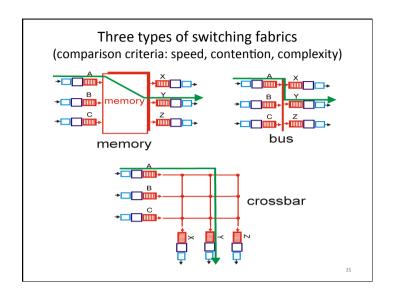


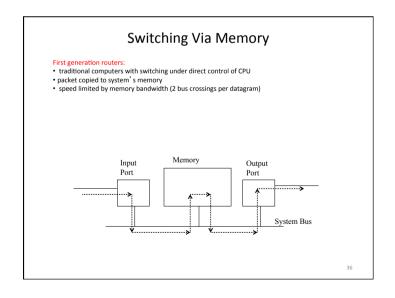


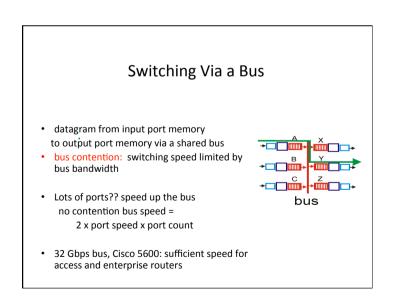












### Switching Via An Interconnection Network

- · overcome bus bandwidth limitations
- Banyan networks, other interconnection nets initially developed to connect processors in multiprocessor
- advanced design: fragmenting datagram into fixed length cells, switch cells through the fabric.
- Cisco 12000: switches 60 Gbps through the interconnection network

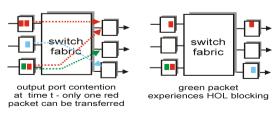
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# Output port queueing Output Port Contention of Time 1 • buffering when arrival rate via switch exceeds output line speed • queueing (delay) and loss due to output port buffer overflow!

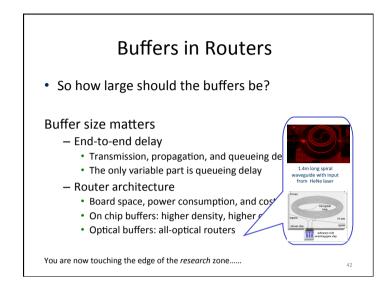
# Output Ports switch queuing: buffer management data link processing (protocol, decapsulation) • Buffering required when datagrams arrive from fabric faster than the transmission rate • Scheduling discipline chooses among queued datagrams for transmission → Who goes next?

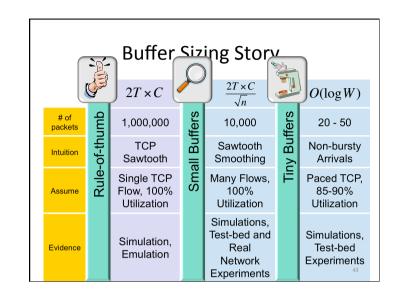
### Input Port Queuing

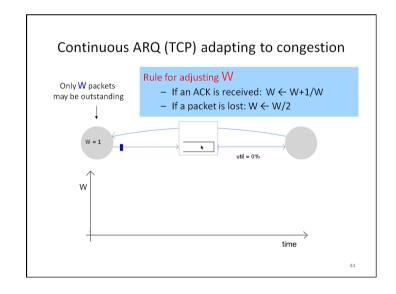
- Fabric slower than input ports combined -> queueing may occur at input queues
- Head-of-the-Line (HOL) blocking: queued datagram at front of queue prevents others in queue from moving forward
- queueing delay and loss due to input buffer overflow!

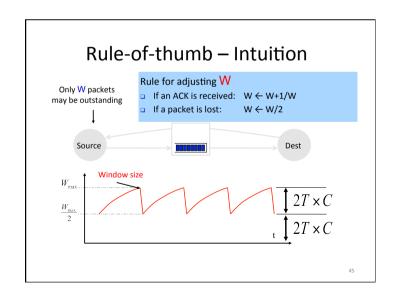


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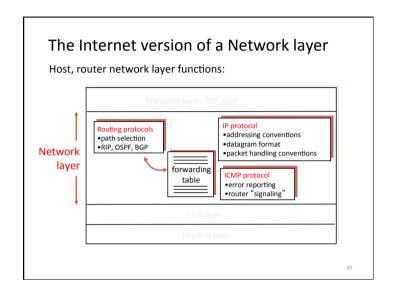








# Synchronized Flows Aggregate window has same dynamics Therefore buffer occupancy has same dynamics Rule-of-thumb still holds. Many TCP Flows Independent, desynchronized Central limit theorem says the aggregate becomes Gaussian Variance (buffer size) decreases as N increases



### 

### (Packet) Network Tasks One-by-One

- Read packet correctly
- Get packet to the destination
- Get responses to the packet back to source
- Carry data
- Tell host what to do with packet once arrived
- Specify any special network handling of the packet
- Deal with problems that arise along the path

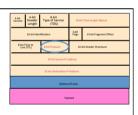
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## Reading Packet Correctly

- Add Version Address Ad
- Version number (4 bits)
  - Indicates the version of the IP protocol
  - Necessary to know what other fields to expect
  - Typically "4" (for IPv4), and sometimes "6" (for IPv6)
- Header length (4 bits)
  - Number of 32-bit words in the header
  - Typically "5" (for a 20-byte IPv4 header)
  - Can be more when IP options are used
- Total length (16 bits)
  - Number of bytes in the packet
  - Maximum size is 65,535 bytes (2<sup>16</sup> -1)
  - ... though underlying links may impose smaller limits

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### Telling Host How to Handle Packet



- Protocol (8 bits)
  - Identifies the higher-level protocol
  - Important for demultiplexing at receiving host
- Most common examples
  - E.g., "6" for the Transmission Control Protocol (TCP)
  - E.g., "17" for the User Datagram Protocol (UDP)

protocol=6 protocol=17
IP header IP header
TCP header UDP header

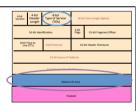
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## Getting Packet to Destination and Back

- Two IP addresses
  - Source IP address (32 bits)
  - Destination IP address (32 bits)
- Destination address
  - Unique identifier/locator for the receiving host
  - Allows each node to make forwarding decisions
- Source address
  - Unique identifier/locator for the sending host
  - Recipient can decide whether to accept packet
  - Enables recipient to send a reply back to source

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### Special Handling



- Type-of-Service (8 bits)
  - Allow packets to be treated differently based on needs
  - E.g., low delay for audio, high bandwidth for bulk transfer
  - Has been redefined several times
- Options

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### **Potential Problems**

• Header Corrupted: Checksum

• Loop: TTL

• Packet too large: Fragmentation

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### **Preventing Loops**

(aka Internet Zombie plan)

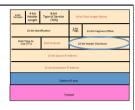


Forwarding loops cause packets to cycle forever
 As these accumulate, eventually consume all capacity



- Time-to-Live (TTL) Field (8 bits)
  - Decremented at each hop, packet discarded if reaches 0
  - ...and "time exceeded" message is sent to the source
    - Using "ICMP" control message; basis for traceroute

### **Header Corruption**



- Checksum (16 bits)
  - Particular form of checksum over packet header
- If not correct, router discards packets
  - So it doesn't act on bogus information
- Checksum recalculated at every router
  - Why?
  - Why include TTL?
- <sub>ss</sub> Why only header?

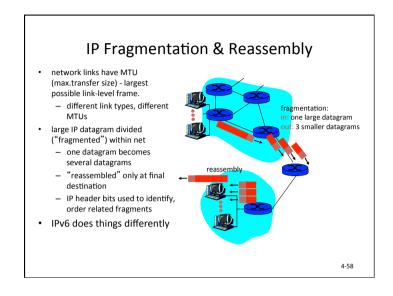
### Fragmentation

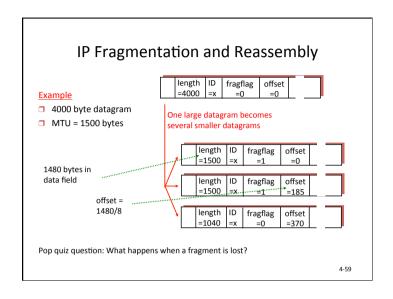
(some assembly required)



- Fragmentation: when forwarding a packet, an Internet router can split it into multiple pieces ("fragments") if too big for next hop link
- Must reassemble to recover original packet
  - Need fragmentation information (32 bits)
  - Packet identifier, flags, and fragment offset

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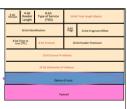


## Fragmentation Details

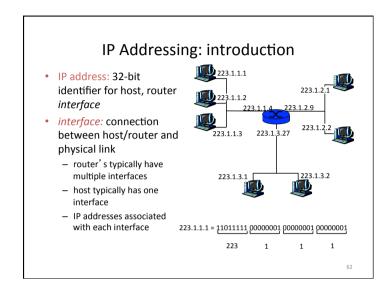


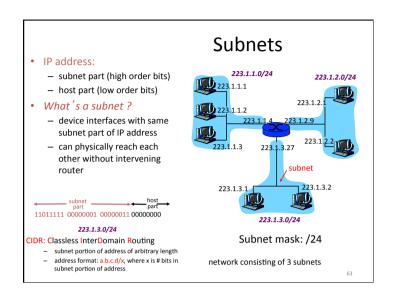
- Identifier (16 bits): used to tell which fragments belong together
- Flags (3 bits):
  - Reserved (RF): unused bit
  - Don't Fragment (DF): instruct routers to not fragment the packet even if it won't fit
    - Instead, they drop the packet and send back a "Too Large" ICMP control message
    - Forms the basis for "Path MTU Discovery"
  - More (MF): this fragment is not the last one
- Offset (13 bits): what part of datagram this fragment covers in 8-byte units
- 60 Pop guiz guestion: Why do frags use offset and not a frag number?

### **Options**

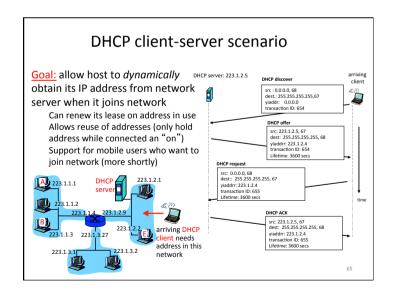


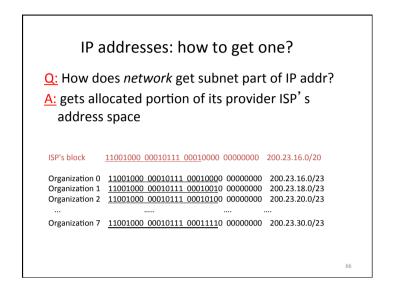
- End of Options List
- No Operation (padding between options)
- Record Route
- Strict Source Route
- Loose Source Route
- Timestamp
- Traceroute
- Router Alert
- 61 ....

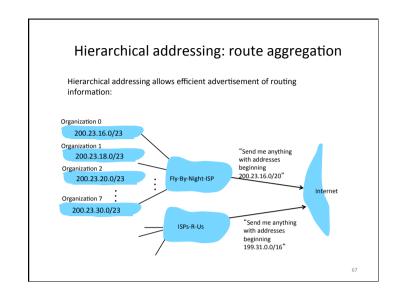


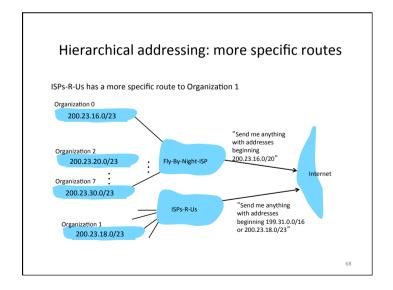


## IP addresses: how to get one? Q: How does a host get IP address? • hard-coded by system admin in a file - Windows: control-panel->network->configuration->tcp/ip->properties - UNIX: /etc/rc.config • DHCP: Dynamic Host Configuration Protocol: dynamically get address from as server - "plug-and-play"









IP addressing: the last word...

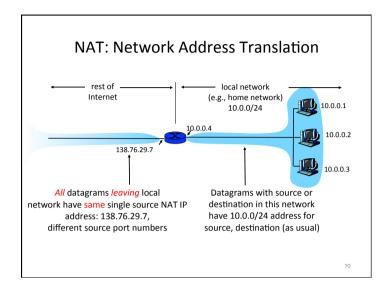
Q: How does an ISP get block of addresses?

A: ICANN: Internet Corporation for Assigned
Names and Numbers

- allocates addresses

- manages DNS

- assigns domain names, resolves disputes



### NAT: Network Address Translation

- Motivation: local network uses just one IP address as far as outside world is concerned:
- range of addresses not needed from ISP: just one IP address for all devices
- can change addresses of devices in local network without notifying outside world
- can change ISP without changing addresses of devices in local network
- devices inside local net not explicitly addressable, visible by outside world (a security plus).

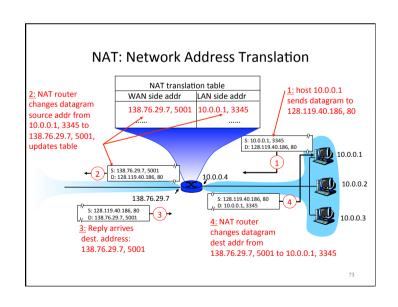
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### NAT: Network Address Translation

Implementation: NAT router must:

- outgoing datagrams: replace (source IP address, port #)
   of every outgoing datagram to (NAT IP address, new port
   #)
  - . . . remote clients/servers will respond using (NAT IP address, new port #) as destination addr.
- remember (in NAT translation table) every (source IP address, port #) to (NAT IP address, new port #) translation pair
- incoming datagrams: replace (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

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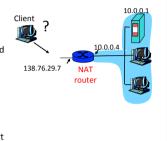


### **NAT: Network Address Translation**

- 16-bit port-number field:
  - 60,000 simultaneous connections with a single LAN-side address!
- NAT is controversial:
  - routers should only process up to layer 3
  - violates end-to-end argument
    - NAT possibility must be taken into account by app designers, eg, P2P applications
  - address shortage should instead be solved by IPv6

### NAT traversal problem

- · client wants to connect to server with address 10.0.0.1
  - server address 10.0.0.1 local to LAN (client can't use it as destination addr)
  - only one externally visible NATted address: 138.76.29.7
- solution 1: statically configure NAT to forward incoming connection requests at given port to server
  - e.g., (123.76.29.7, port 2500) always forwarded to 10.0.0.1 port



### NAT traversal problem

- solution 2: Universal Plug and Play (UPnP) Internet Gateway Device (IGD) Protocol. Allows NATted host
  - ❖learn public IP address (138.76.29.7)
  - ❖add/remove port mappings (with lease times)

i.e., automate static NAT port map configuration

138.76.29.7 NAT router

### NAT traversal problem

- solution 3: relaying (used in Skype)
  - NATed client establishes connection to relay
  - External client connects to relay
  - relay bridges packets between to connections

2. connection to relay initiated 1. connection to kype by client relay initiated by NATted host established 138.76.29.7

### ICMP: Internet Control Message Protocol

- used by hosts & routers to communicate network-level information
  - error reporting: unreachable host, network, port, protocol
- echo request/reply (used by ping)
- network-layer "above" IP:
  - ICMP msgs carried in IP datagrams
- ICMP message: type, code plus first 8 bytes of IP datagram causing error

Type Code description

- 0 0 echo reply (ping) 3 0 dest. network unreachable
- 3 1 dest host unreachable
- 3 2 dest protocol unreachable3 dest port unreachable
- 3 dest port unreachable
  3 dest network unknown
- 3 7 dest host unknown
- 0 source quench (congestion control not used)
- 8 0 echo request (ping) 9 0 route advertisement
- 9 0 route advertisemen 10 0 router discovery
- 11 0 TTL expired
- 12 0 bad IP header

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### Traceroute and ICMP

- Source sends series of UDP segments to dest
  - First has TTL =1
  - Second has TTL=2, etc.
  - Unlikely port number
- When nth datagram arrives to nth router:
  - Router discards datagram
  - And sends to source an ICMP message (type 11, code 0)
- Message includes name of router& IP address

- When ICMP message arrives, source calculates RTT
- Traceroute does this 3 times

### Stopping criterion

- UDP segment eventually arrives at destination host
- Destination returns ICMP "host unreachable" packet (type 3, code 3)
- When source gets this ICMP, stops.

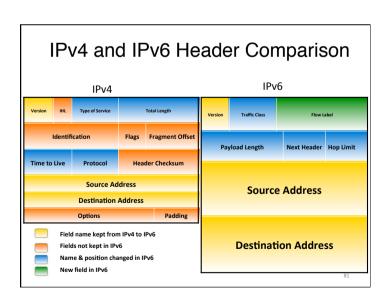
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### IPv6



- Motivated (prematurely) by address exhaustion
  - Addresses four times as big
- Steve Deering focused on simplifying IP
  - Got rid of all fields that were not absolutely necessary
  - "Spring Cleaning" for IP
- Result is an elegant, if unambitious, protocol

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### Summary of Changes

- Eliminated fragmentation (why?)
- Eliminated header length (why?)
- Eliminated checksum (why?)
- New options mechanism (next header) (why?)
- Expanded addresses (why?)
- Added Flow Label (why?)

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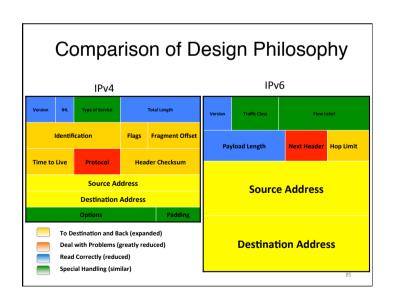
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IPv4 and IPv6 Header Comparison

### Philosophy of Changes

- Don't deal with problems: leave to ends
  - Eliminated fragmentation
  - Eliminated checksum
  - Why retain TTL?
- · Simplify handling:
  - New options mechanism (uses next header approach)
  - Eliminated header length
    - Why couldn't IPv4 do this?
- Provide general flow label for packet
  - Not tied to semantics
  - Provides great flexibility

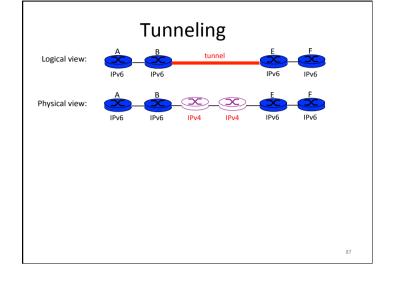
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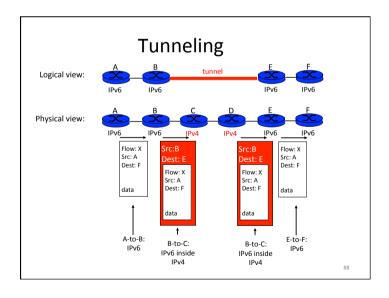


### Transition From IPv4 To IPv6

- Not all routers can be upgraded simultaneous
  - no "flag days"
  - How will the network operate with mixed IPv4 and IPv6 routers?
- *Tunneling:* IPv6 carried as payload in IPv4 datagram among IPv4 routers

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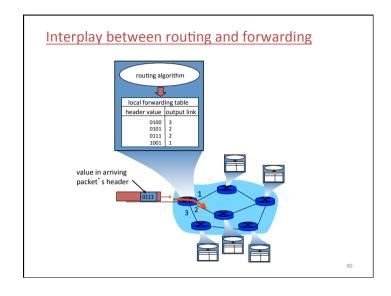




### Improving on IPv4 and IPv6?

- Why include unverifiable source address?
  - Would like accountability and anonymity (now neither)
  - Return address can be communicated at higher layer
- Why packet header used at edge same as core?
  - Edge: host tells network what service it wants
  - Core: packet tells switch how to handle it
    - One is local to host, one is global to network
- Some kind of payment/responsibility field?
  - Who is responsible for paying for packet delivery?
  - Source, destination, other?
- Other ideas?

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### "Valid" Routing State

- Global routing state is "valid" if it produces forwarding decisions that always deliver packets to their destinations
  - Valid is not standard terminology
- Goal of routing protocols: compute valid state
  - But how can you tell if routing state if valid?

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### **Necessary and Sufficient Condition**

- Global routing state is valid if and only if:
  - There are no dead ends (other than destination)
  - There are no loops
- A dead end is when there is no outgoing port
  - A packet arrives, but the forwarding decision does not yield any outgoing port
- A loop is when a packet cycles around the same set of nodes forever

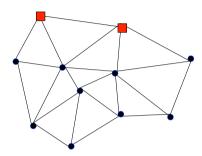
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### **Necessary: Obvious**

- If you run into a deadend before hitting destination, you'll never reach the destination
- If you run into a loop, you'll never reach destination
  - With deterministic forwarding, once you loop, you'll loop forever (assuming routing state is static)

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### **Wandering Packets**



Packet reaches deadend and stops

Packet falls into loop and never reaches destination

### The "Secret" of Routing

- · Avoiding deadends is easy
- · Avoiding loops is hard
- The key difference between routing protocols is how they avoid loops!
  - Don't focus on details of mechanisms
  - Just ask "how are loops avoided?"
- Will return to this later.... a little this term a lot more in Part II *Principles of Communications*

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### Sufficient: Easy

- Assume no deadends, no loops
- Packet must keep wandering, without repeating
  - If ever enter same switch from same port, will loop
  - Because forwarding decisions are deterministic
- Only a finite number of possible ports for it to visit
  - It cannot keep wandering forever without looping
  - Must eventually hit destination

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### **Making Forwarding Decisions**

- Map PacketState+RoutingState into OutgoingPort
  - At line rates.....
- · Packet State:
  - Destination ID
  - Source ID
  - Incoming Port (from switch, not packet)
  - Other packet header information?
- Routing State:
  - Stored in router

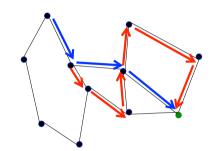
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### **Forwarding Decision Dependencies**

- Must depend on destination
- Could also depend on:
  - Source: requires n<sup>2</sup> state
  - Input port: not clear what this buys you
  - Other header information: let's ignore for now
- · We will focus only on destination-based routing
  - But first consider the alternative

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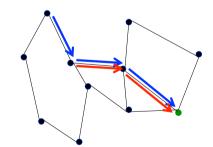
### Source/Destination-Based Routing



Paths from two different sources (to same destination) can be very different

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### **Destination-Based Routing**



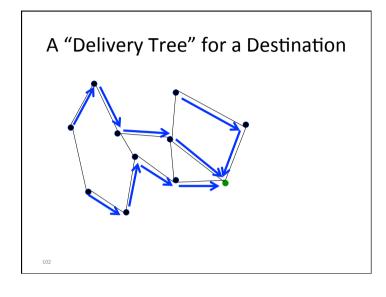
Paths from two different sources (to same destination) must coincide once they overlap

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### **Destination-Based Routing**

- Paths to same destination never cross
- Once paths to destination meet, they never split
- Set of paths to destination create a "delivery tree"
  - Must cover every node exactly once
  - Spanning Tree rooted at destination

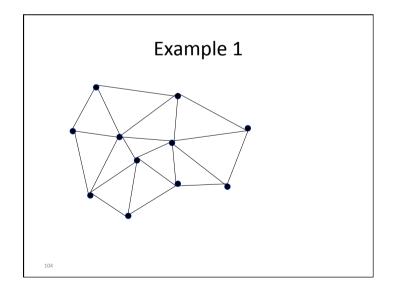
101

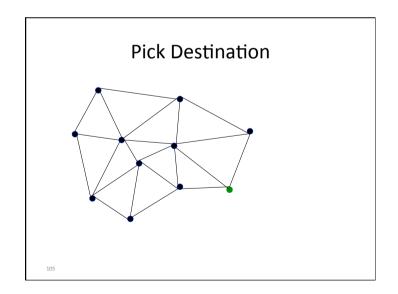


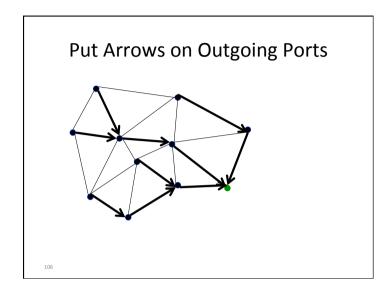
### **Checking Validity of Routing State**

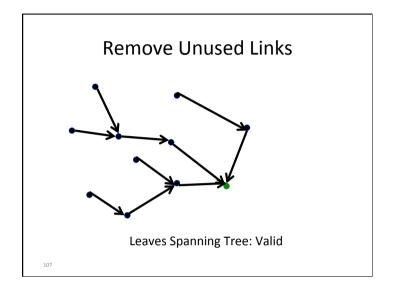
- Focus only on a single destination
   Ignore all other routing state
- Mark outgoing port with arrow
   There can only be one at each node
- Eliminate all links with no arrows
- Look at what's left....

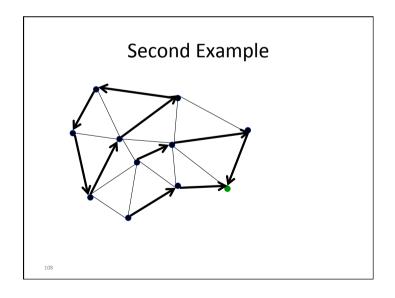
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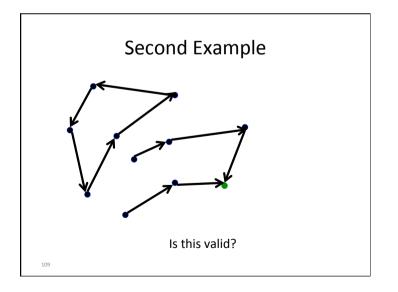












### Lesson....

- Very easy to check validity of routing state for a particular destination
- Deadends are obvious
  - Node without outgoing arrow
- · Loops are obvious
  - Disconnected from rest of graph

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### Forms of Route Computation

- Learn from observing....
  - Not covered in your reading
- Centralized computation
  - One node has the entire network map
- Pseudo-centralized computation
  - All nodes have the entire network map
- Distributed computation
  - No one has the entire network map

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### **Computing Routing State**

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### How Can You Avoid Loops?

- Restrict topology to spanning tree
  - If the topology has no loops, packets can't loop!
- Central computation
  - Can make sure no loops
- Minimizing metric in distributed computation
  - Loops are never the solution to a minimization problem

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## Self-Learning on Spanning Tree

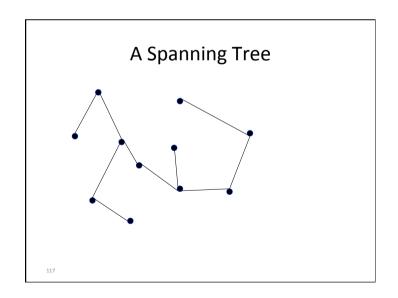
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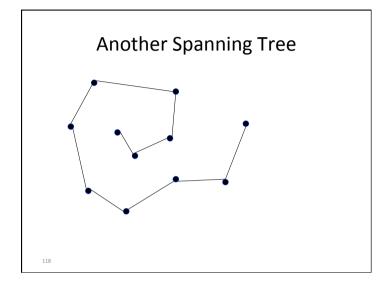
## Easiest Way to Avoid Loops

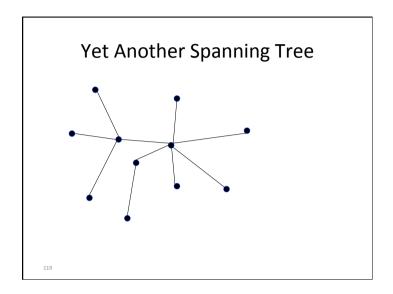
- Use a topology where loops are impossible!
- Take arbitrary topology
- Build spanning tree (algorithm covered later)
   Ignore all other links (as before)
- Only one path to destinations on spanning trees
- Use "learning switches" to discover these paths
   No need to compute routes, just observe them

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# 



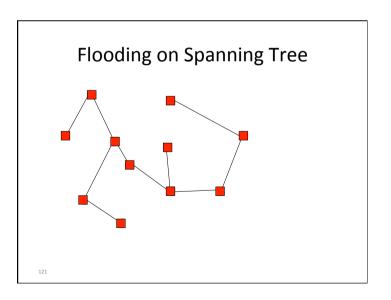




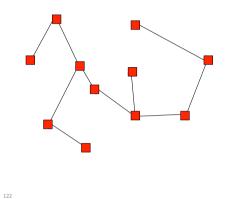
### Flooding on a Spanning Tree

- If you want to send a packet that will reach all nodes, then switches can use the following rule:
  - Ignoring all ports not on spanning tree!
- Originating switch sends "flood" packet out all ports
- When a "flood" packet arrives on one incoming port, send it out all other ports

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### Flooding on Spanning Tree (Again)



### Flooding on a Spanning Tree

- This works because the lack of loops prevents the flooding from cycling back on itself
- Eventually all nodes will be covered, exactly once

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### This Enables Learning!

- There is only one path from source to destination
- Each switch can learn how to reach a another node by remembering where its flooding packets came from!
- If flood packet from Node A entered switch from port 4, then to reach Node A, switch sends packets out port 4

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# Learning from Flood Packets Node A can be reached through this port Node A can be reached through this port Node A can be reached through this port Node A can be reached through this port

### **General Approach**

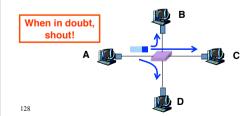
- Flood first packet
- All switches learn where you are
- When destination responds, all switches learn where it is...
- · Done.

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## Self Learning: Handling Misses

When packet arrives with unfamiliar destination

- Forward packet out all other ports
- Response will teach switch about that destination



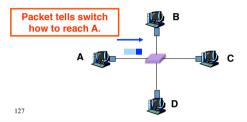
### Self-Learning Switch

When a packet arrives

else flood

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- Inspect source ID, associate with incoming port
- Store mapping in the switch table
- Use time-to-live field to eventually forget mapping



## When switch receives a packet: index the switch table using destination ID if entry found for destination { Why do this? if dest on port from which packet arrived then drop packet else forward packet on port indicated }

forward on all but the interface on which the frame arrived

### **Summary of Learning Approach**

- Avoids loop by restricting to spanning tree
- This makes flooding possible
- Flooding allows packet to reach destination
- And in the process switches learn how to reach source of flood
- No route "computation"

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### Weaknesses of This Approach?

- Requires loop-free topology (Spanning Tree)
- Slow to react to failures (entries time out)
- Very little control over paths
- Spanning Trees suck.
- Other route protocols will be covered in Principles of Communications (Part II)

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