

# Computer Fundamentals

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CST Part IA

NST Part IA (CS option)

PPS (CS option)

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# What is Computer Science?

- Surprisingly hard to answer definitively
  - Gets confused with IT, which is merely the *use* of present day technology
- We're trying to teach theory and practice that will defined *future* technology
  - CS has strong theoretical underpinnings that stem from maths
- This short course is introductory material that touches on the absolute basics
  - Examined **indirectly** – no specific exam question but the topics surface in later courses throughout the year

- **Computer Components**
  - Brief history. Main components: CPU, memory, peripherals (displays, graphics cards, hard drives, flash drives, simple input devices), motherboard, buses.
- **Data Representation and Operations**
  - Simple model of memory. Bits and bytes. Binary, hex, octal, decimal numbers. Character and numeric arrays. Data as instructions: von-Neumann architecture, fetch-execute cycle, program counter (PC)
- **Low- and High- level Computing**
  - Pointers. The stack and heap? Box and Pointer Diagrams. Levels of abstraction: machine code, assembly, high-level languages. Compilers and interpreters. Read-eval-print loop.
- **Platforms and Multitasking**
  - The need for operating systems. Multicore systems, time-slicing. Virtual machines. The Java bytecode/VM approach to portability. ML as a high-level language emphasizing mathematical expressivity over input-output.

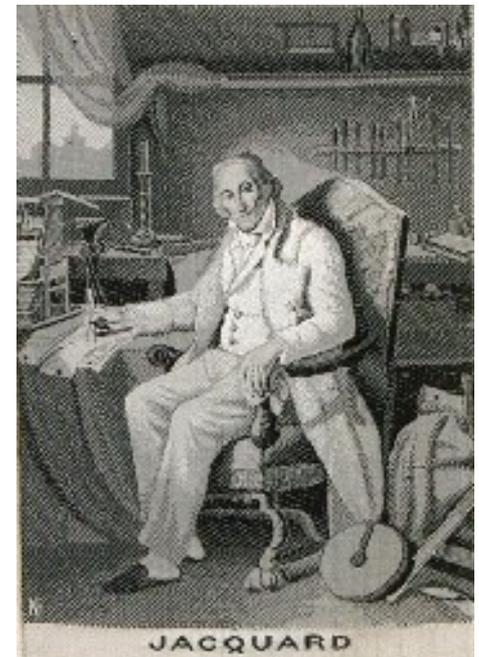
# A Brief History of Computers

# Analogue Computers

- You've probably been taught various electrical phenomena by analogy with mechanical systems
  - Voltage  $\leftrightarrow$  water flow
  - Electrical resistance  $\leftrightarrow$  mechanical resistance
  - Capacitance  $\leftrightarrow$  compressed spring
- Works the other way: simulate mechanical systems using electrical components
  - This is then an analogue computer
  - Cheaper, easier to build and easier to measure than mechanical system
  - Can be run faster than 'real time'
  - BUT each computer has a specialised function
- Very good for solving differential equations. Used extensively for physics, esp. artillery calculations!

# Input: Jacquard's Loom

- Not a computer per-se, but very important in the history of them. Jacquard wanted to create a textile loom that could remember how to create specific textiles
- Used many needles and realised he could create a series of template cards with holes to let through only some needles. Running a series of templates through in a specific order produced the garment.
- Basic idea for **punch cards**



# Turing Machines

- Inspired by the typewriter (!), **Alan Turing** (King's) created a theoretical model of a computing machine in the 1930s. He broke the machine into:
  - **A tape** – infinitely long, broken up into cells, each with a symbol on them
  - **A head** – that could somehow read and write the current cell
  - **An action table** – a table of actions to perform for each machine state and symbol. E.g. move tape left
  - **A state register** – a piece of memory that stored the current state



# Universal Turing Machines

- Alan argued that a Turing machine could be made for any computable task (e.g. sqrt etc)
- But he also realised that the action table for a given turing machine could be written out as a string, which could then be written to a tape.
- So he came up with a **Universal Turing Machine**. This is a special Turing Machine that reads in the action table from the tape
  - A UTM can hence simulate any TM if the tape provides the same action table
- This was all theoretical – he used the models to prove various theories. But he had inadvertently set the scene for what we now think of as a computer!

# Turing and the War



# Note...

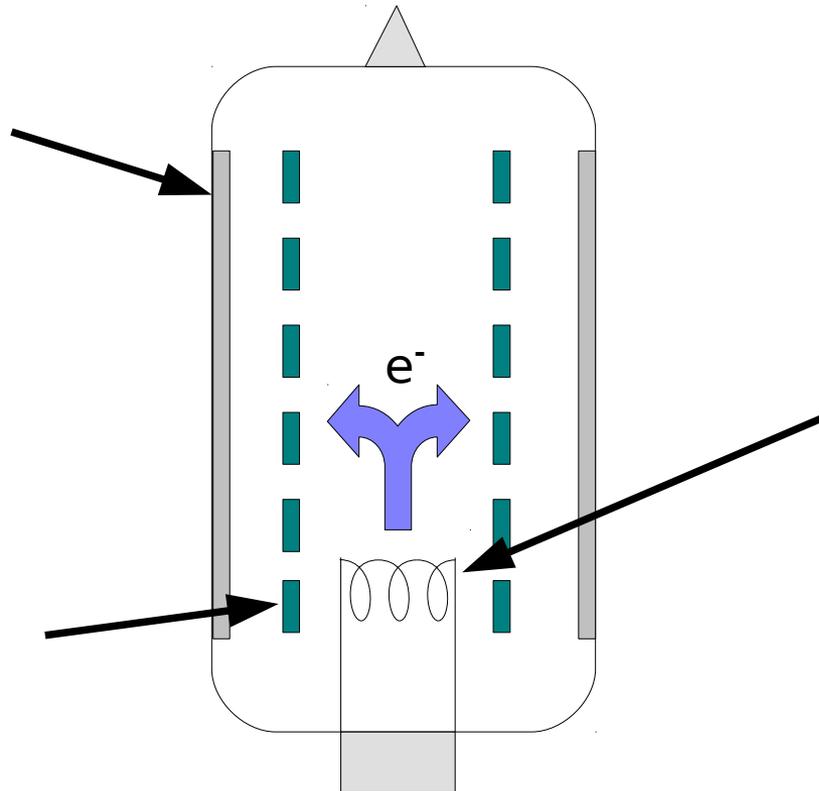
- ...A Turing machine made a shift from the analogue to the discrete domain (we are reading explicit symbols and not analogue voltages)
  - In part this is because Turing needed it to be able to represent things exactly, even infinite numbers (hence the infinite tape)
- This is useful practically too. Analogue devices:
  - have temperature-dependent behaviour
  - produce inexact answers due to component tolerances
  - are unreliable, big and power hungry

# The Digital World

- When we have discrete states, the simplest hardware representation is a switch → digital world
- Going **digital** gives us:
  - Higher precision (same answer if you repeat)
  - Calculable accuracy (the answer is of known quality)
  - The possibility of using cheaper, lower-quality components since we just need to distinguish between two states (on/off)
- One problem: no switches?

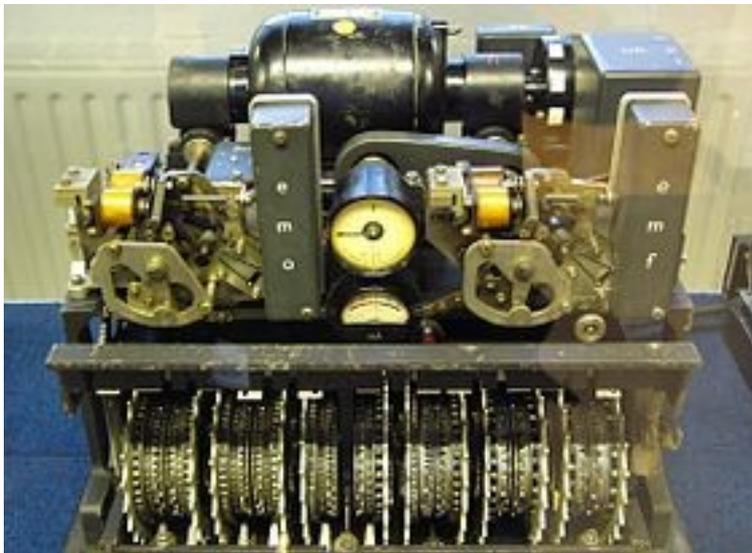
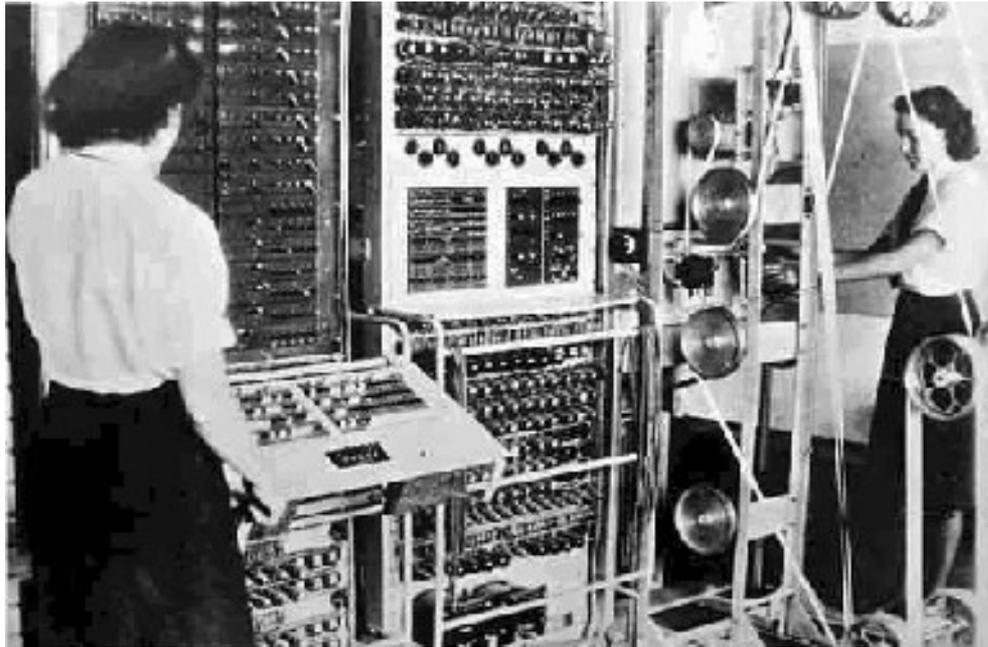
# 1940-58 Vacuum Tubes

- Vacuum tubes are really just modified lightbulbs that can act as amplifiers or, crucially, switches.



- By the 1940s we had all we needed to develop a useful computer: vacuum tubes for switches; punch cards for input; theories of computation; and (sadly) war for innovation

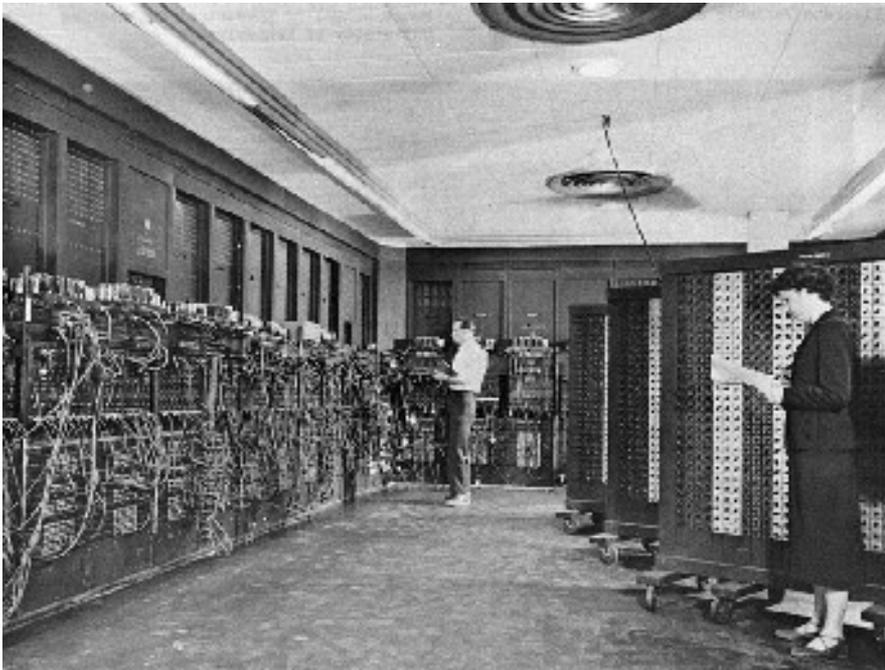
# Colossus



- 1944, Bletchley park
- Designed to break the German Lorenz SZ40/42 encryption machine
- Fed in encrypted messages via paper tape. Colossus then simulated the positions of the Lorenz wheels until it found a match with a high probability
- No internal program – programmed by setting switches and patching leads
- Highly specific use, not a general purpose computer
- Turing machine, but not universal

# ENIAC

- Electronic Numerical Integrator and Computer
  - 1946, “Giant brain” to compute artillery tables for US military
  - First machine designed to be turing complete in the sense that it could be adapted to simulate other turing machines
  - But still programmed by setting switches manually...

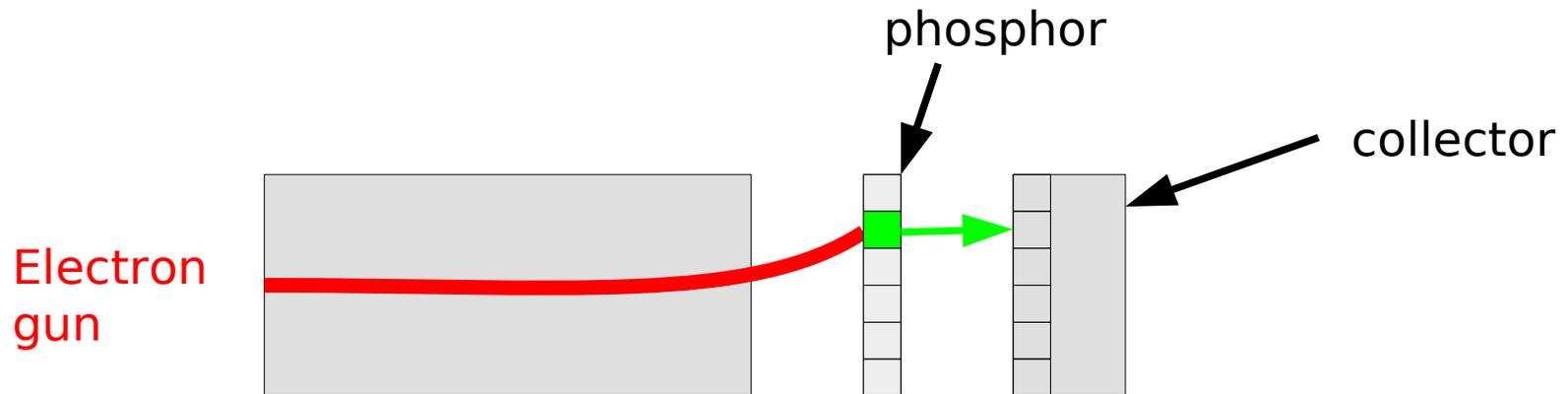


- Next step was to read in the “action table” (aka program) from tape as well as the data
- For this we needed more general purpose memory to store the program, input data and output

# Manchester Baby

First  
Stored-Program  
Computer?

- 1948 a.k.a. mark I computer
- Cunning memory based on cathode ray tube. Used the electron gun to charge the phosphor on a screen, writing dots and dashes to the tiny screen



- A light-sensitive **collector plate** read the screen
- But the charge would leak away within 1s so they had to develop a cycle of **read-refresh**
- Gave a huge 2048 bits of memory!



- Electronic Delay Storage Automatic Calculator
- First practical stored-program computer, built here by Maurice Wilkes et al.

First  
Stored-Program  
Computer?



- Memory came in the form of a mercury delay line



- Used immediately for research here.
- Although they did have to invent programming....



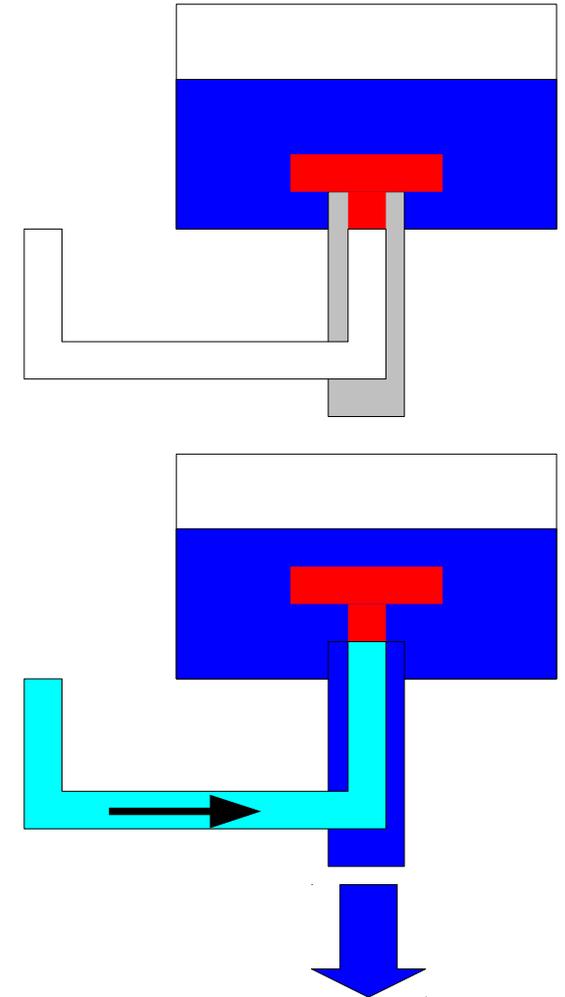
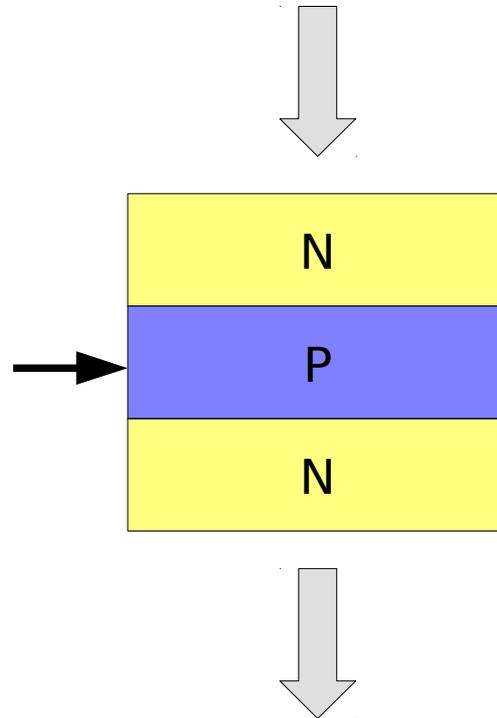
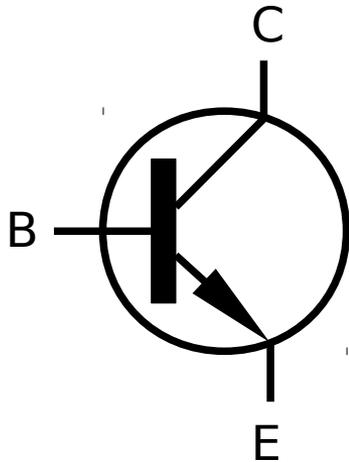
# Storage: Stored-Program Machines

- So where do you store your programs and data?

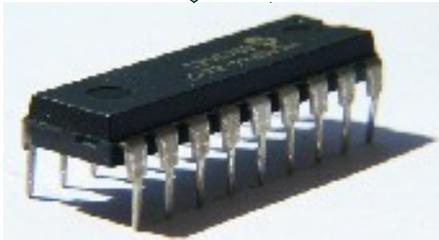
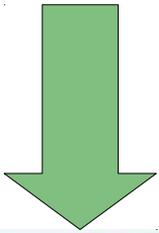
	Von-Neumann		Harvard
	<b>Same</b> memory for programs and data		<b>Separate</b> memories for programs and data
	+ Don't have to specify a partition so more efficient memory use		- Have to decide in advance how much to allocate to each
	+ Programs can modify themselves, giving great flexibility		+ Instruction memory can be declared read only to prevent viruses etc writing new instructions
	- Programs can modify themselves, leaving us open to malicious modification		
	- Can't get instructions and data simultaneously (therefore slower)		+ Can fetch instructions and data simultaneously

# 1959-64 Transistors

- Vacuum tubes bulky, hot and prone to failure
- Solution came from Bell labs (telecoms research)



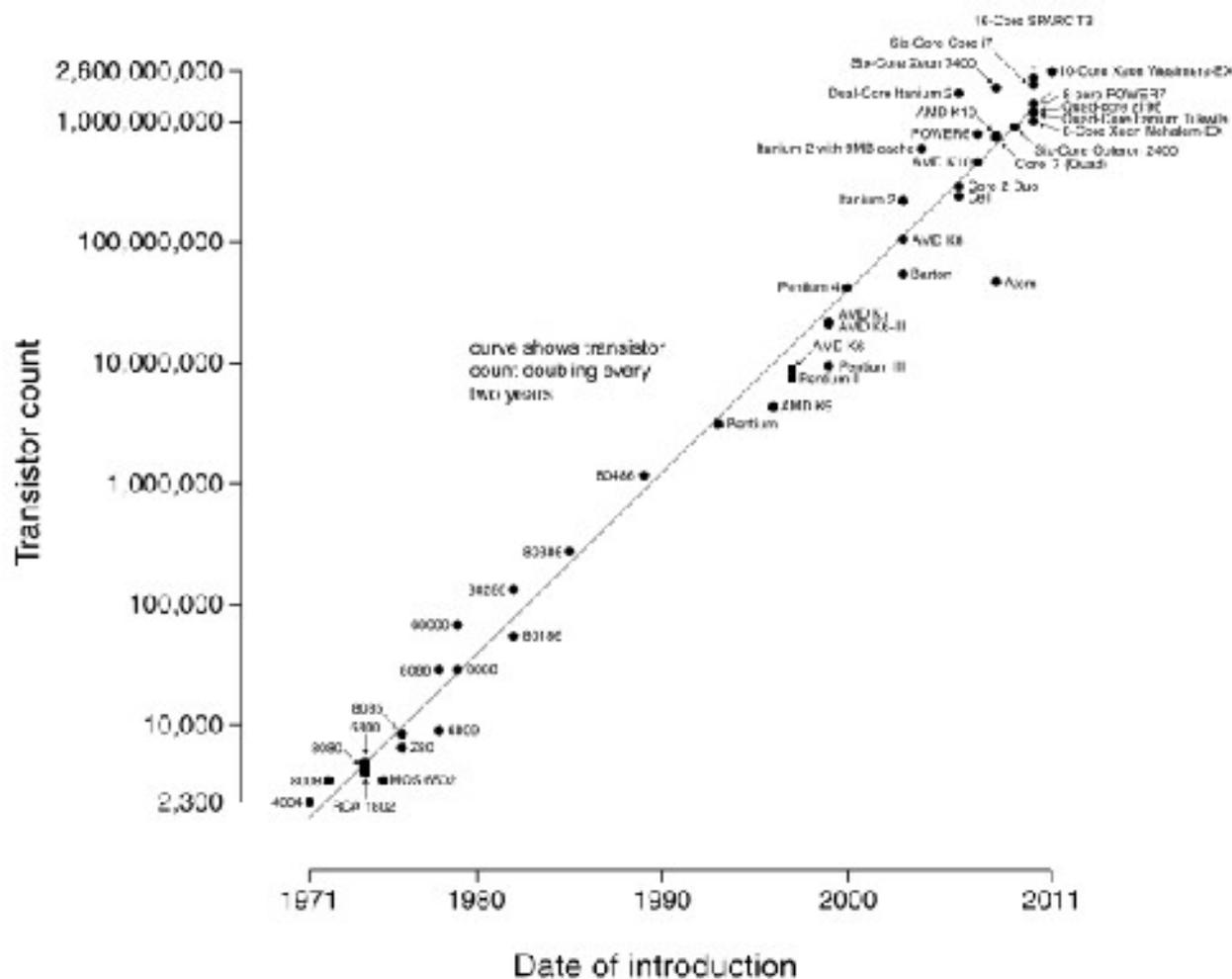
# 1965-70 Integrated Circuits



- Semiconductors could replace traditional electronics components → use a slice of semiconductor and 'etch' on a circuit
- End up with an Integrated Circuit (IC) a.k.a a microchip
- Much easier to pack components on an IC, and didn't suffer from the reliability issues of the soldering iron

*Moore's Law: the number of transistors on an IC will double every two years*

## Microprocessor Transistor Counts 1971-2011 & Moore's Law



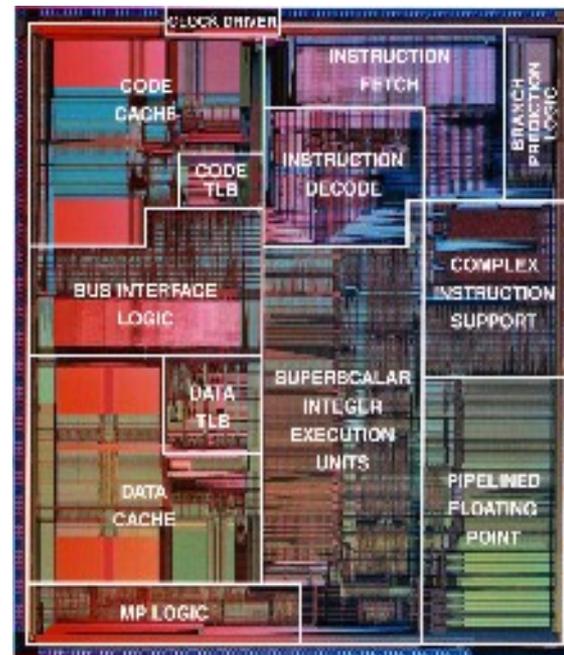
# The Rise of Intel

- Intel started in 1968 manufacturing ICs, producing ICs with a particular target of memory (RAM, see later)
- 1969 – commissioned to make 12 custom chips for a calculator (one for keyboard scanning, one for display control, etc)
- Not enough resource so instead proposed a single general-purpose logic chip that could do all the tasks
- 1971 - Managed to buy the rights and sold the chip commercially as the first **microprocessor**, the Intel 4004



# 1971 - Microprocessor Age

- The 4004 kick-started an industry and lots of competitors emerged
- Intel very savvy and began an “intel inside” branding assault with products like the 386
- Marketing to consumers, not system builders any more



# The Rise of ARM

- After the BBC micro, Acorn wanted a new processor and set about designing a cheap, simple-to-implement CPU (using a RISC approach – see later)
- Apple was interested and started to work with them. Eventually the project unit was spun out to form Advanced RISC Machines (ARM) Ltd.
- Chips were very low power and cheap, but struggled against the might of intel's more complex chips
- BUT then the PDA/smartphone/mobile revolution came along and suddenly ARM had the perfect product – cheap, low power, simple and reasonable performance
- Now accounts for the majority of all 32-bit processor produced – **and Cambridge-based too...**

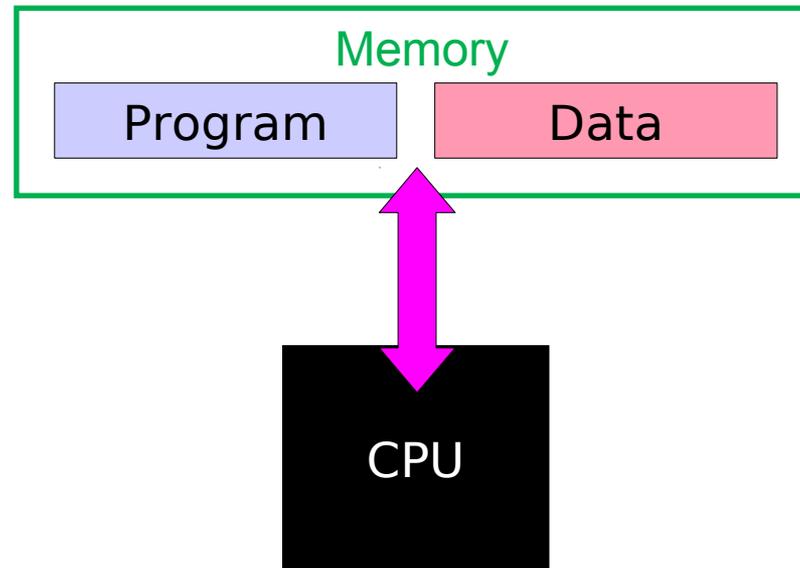




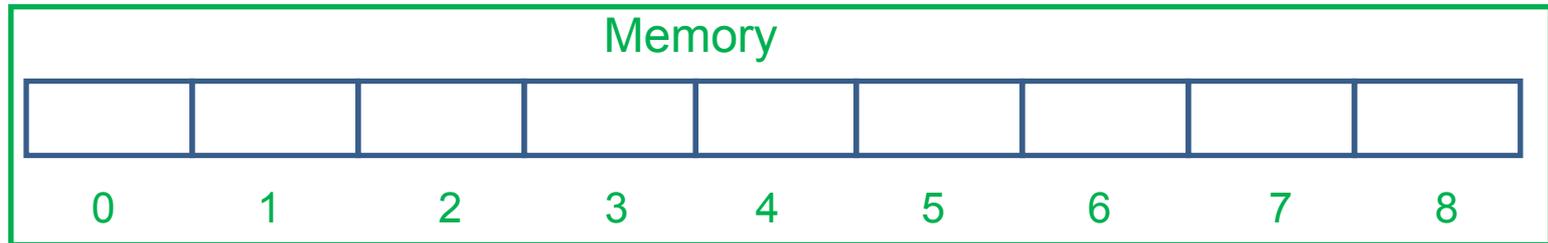
# The CPU in more Detail

# Programs, Instructions and Data

- Recall: Turing's universal machine reads in an action table (=program) of instructions, which it then applies to a tape (=data) We will assume a Von-Neumann architecture since this is most common in CPUs today.

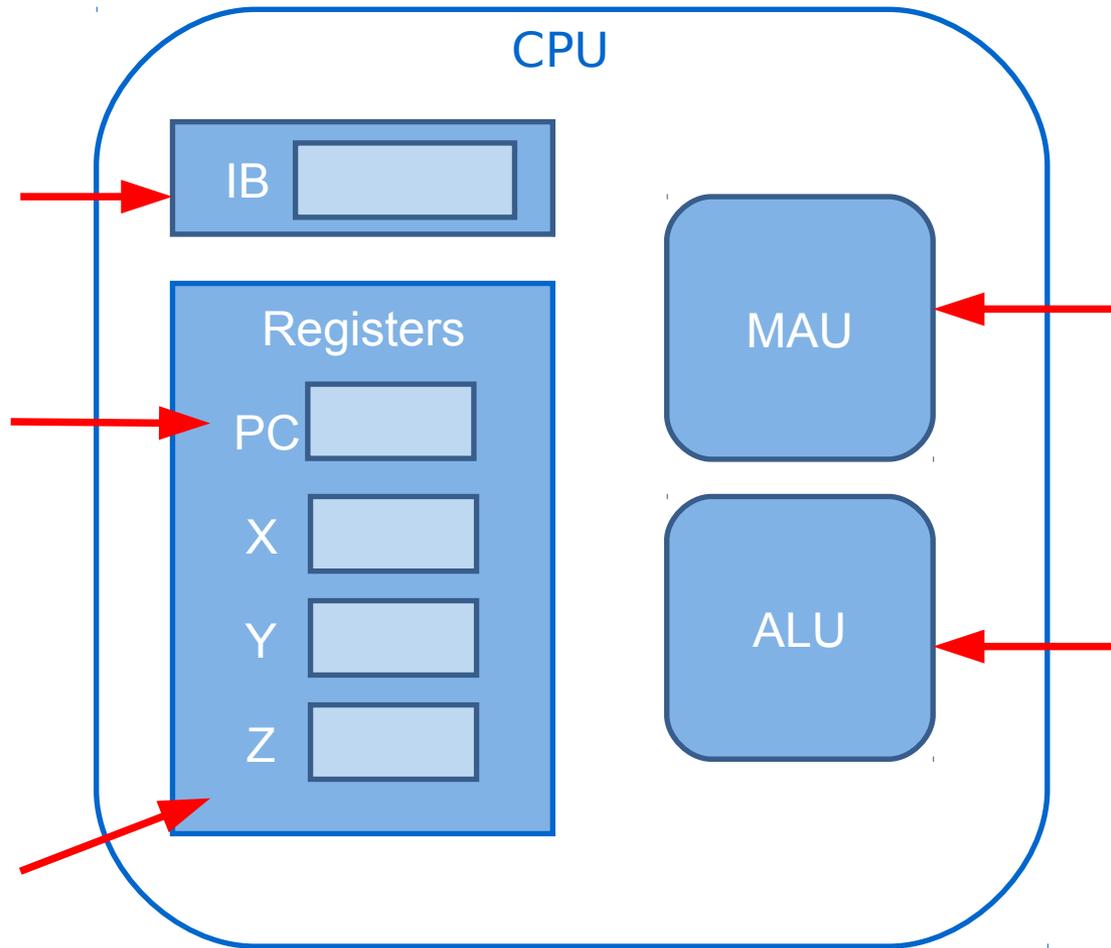


# Simple Model of Memory

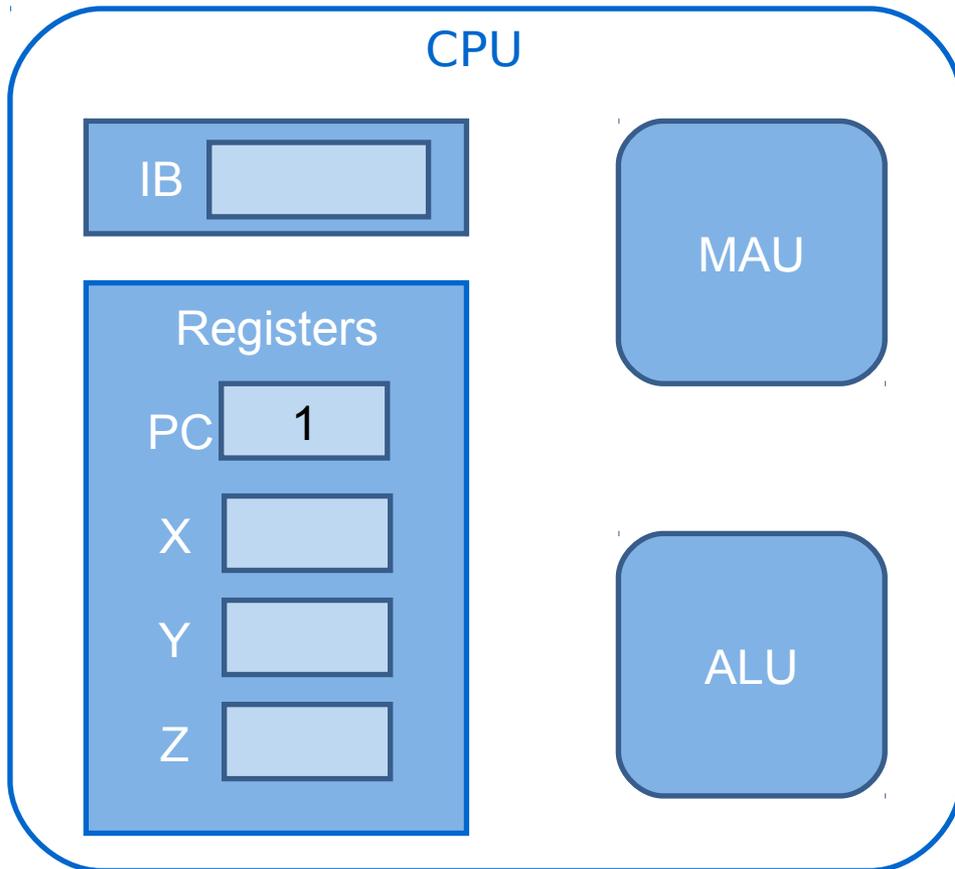
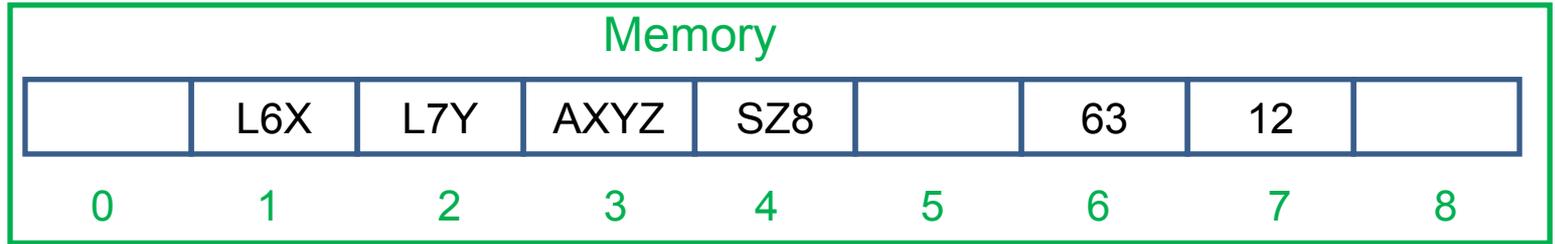


- We think of memory abstractly, as being split into discrete chunks, each given a unique *address*
- We can read or write in whole chunks
- Modern memory is big

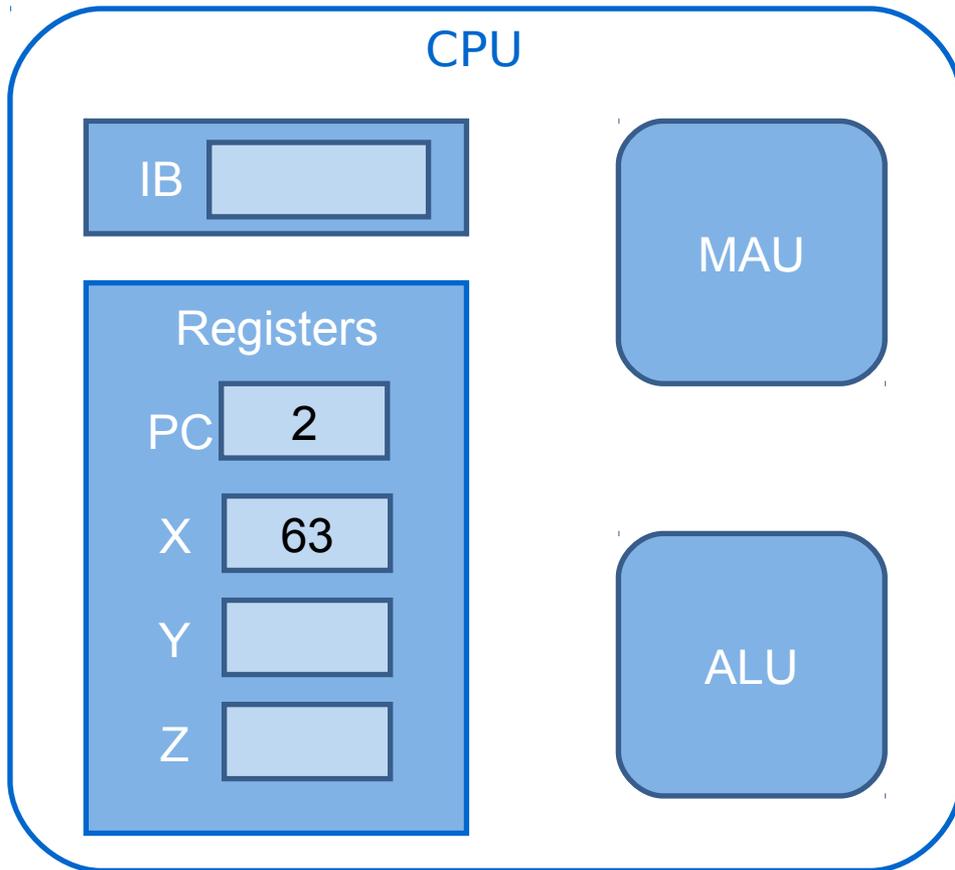
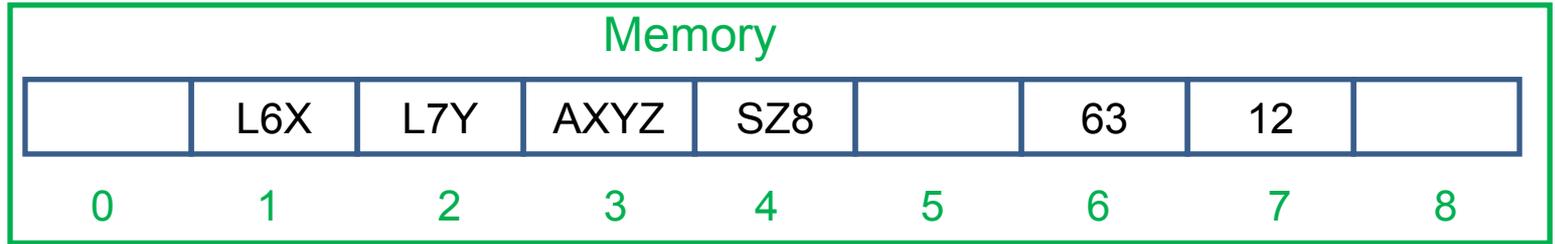
# Simple Model of a CPU



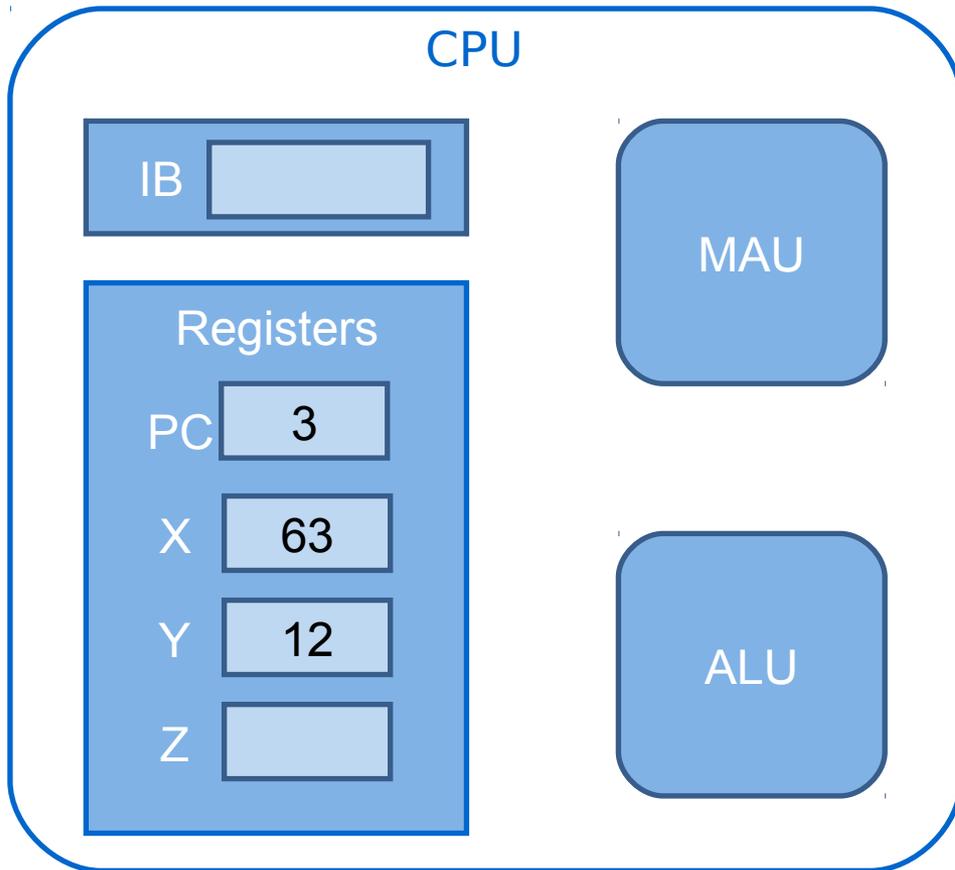
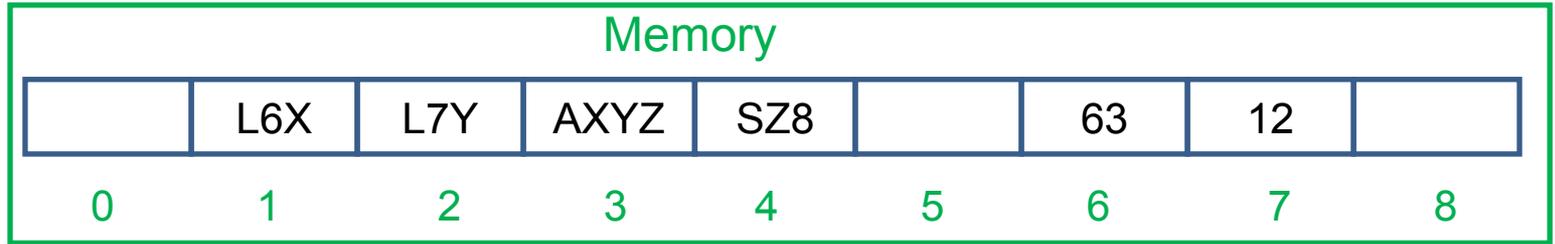
# Fetch-Execute Cycle I



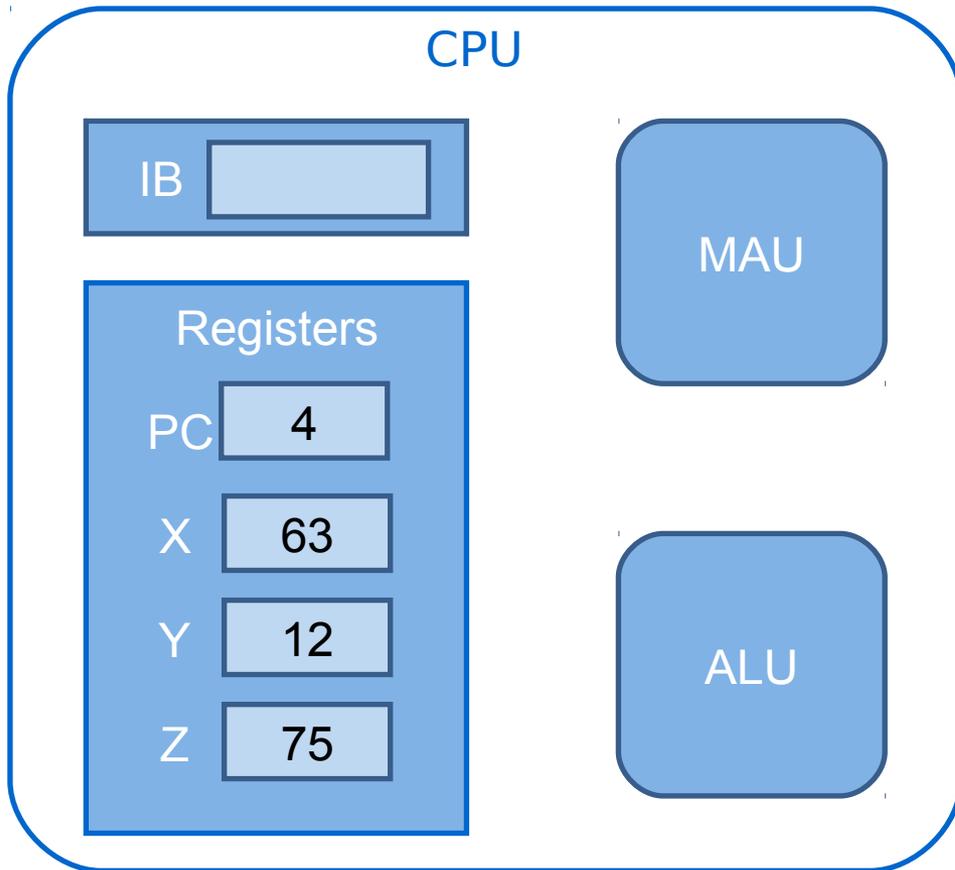
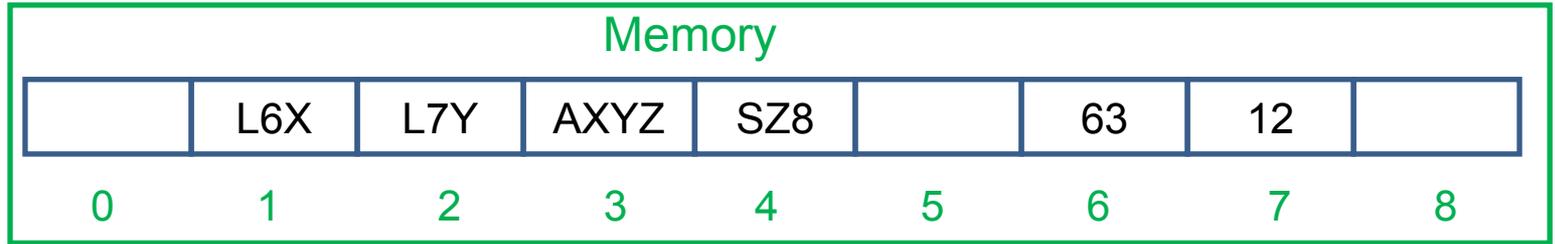
# Fetch-Execute Cycle II



# Fetch-Execute Cycle III



# Fetch-Execute Cycle IV



# CPU Processing Units

- Other common units
  - MAU – Memory Access Unit
  - ALU – Arithmetic Logic Unit
  - FPU – Floating Point Unit
  - BU – Branching Unit

# Handling Numbers in the CPU

# ALU Circuitry

- The ALU in the CPU is responsible for arithmetic operations. Exactly *what* is supported directly is CPU manufacturer-dependent
- Integer arithmetic is always supported, but there are issues:
  - Overflow
  - Representing fractional numbers
  - Underflow (see floating point course)
  - Negative numbers

# Unsigned Integer Addition

- You should be happy that binary addition can use the same algorithm as decimal addition as taught in junior school.
- CPUs (or rather ALUs in them) implement this algorithm, but there is a practical issue: **there is a set number of bits in the register that we can unintentionally exceed (overflow)**
- The ALU has a **carry** flag (a single bit in a special register) that is switched on if the addition has a carry left after processing the most significant bit

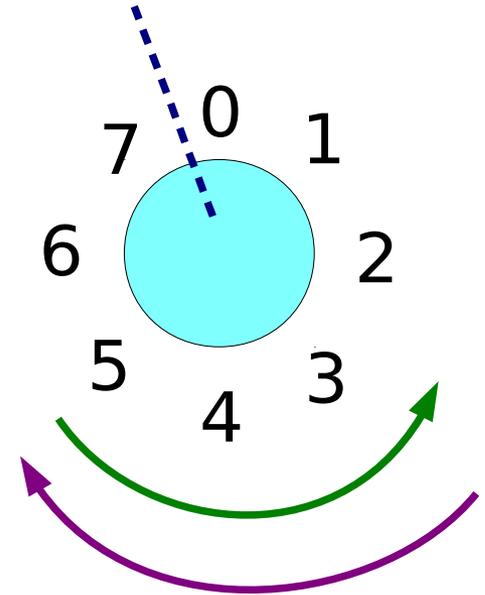
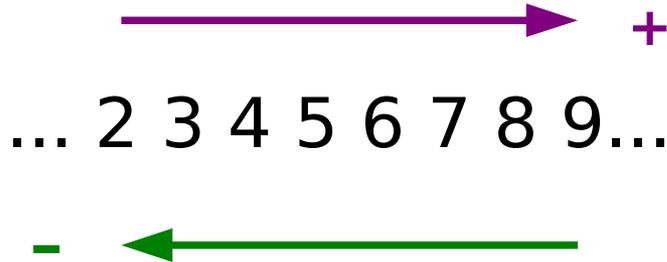
001  
+ 001

Carry flag:

111  
+ 001

Carry flag:

# Modulo Arithmetic



- Overflow takes us across the dotted boundary
  - So  $7+1=0$  (overflow)
  - We say this is  $(7+1) \bmod 8$

# Unsigned Integer Subtraction

- Integer subtraction can proceed as decimal subtraction, 'borrowing' from the left if necessary
- If we still need to borrow after the left-most bit, this signifies an error and the carry flag is set.

011  
- 001

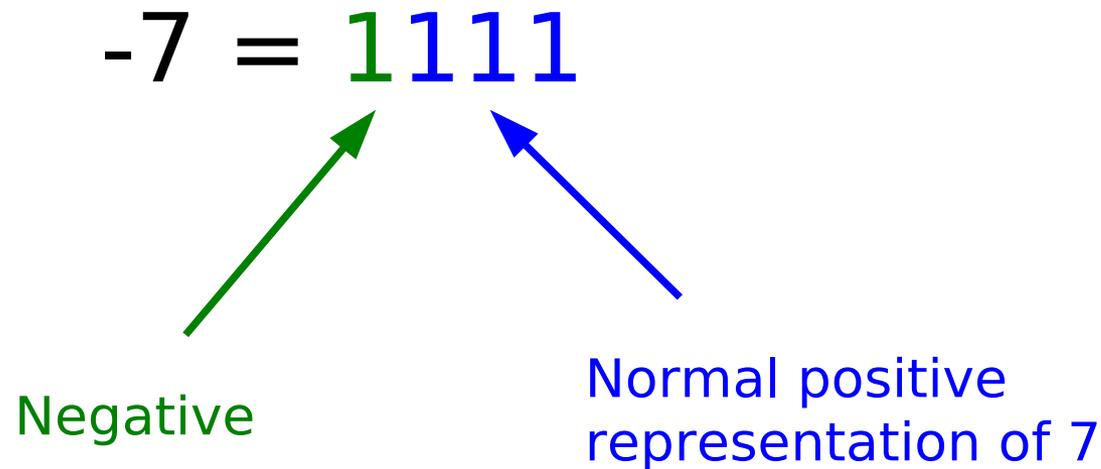
Carry flag:

001  
- 010

Carry flag:

# Negative Numbers

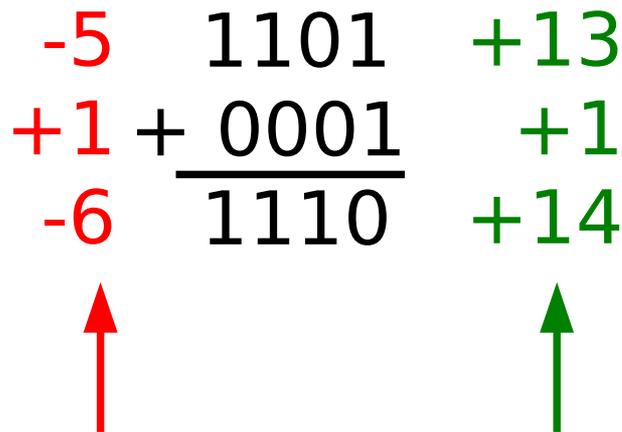
- All of this skipped over the need to represent negatives.
- The naïve choice is to use the MSB to indicate +/-
  - 1 in the MSB → negative
  - 0 in the MSB → positive



- This is the **sign-magnitude** technique

# Difficulties with Sign-Magnitude

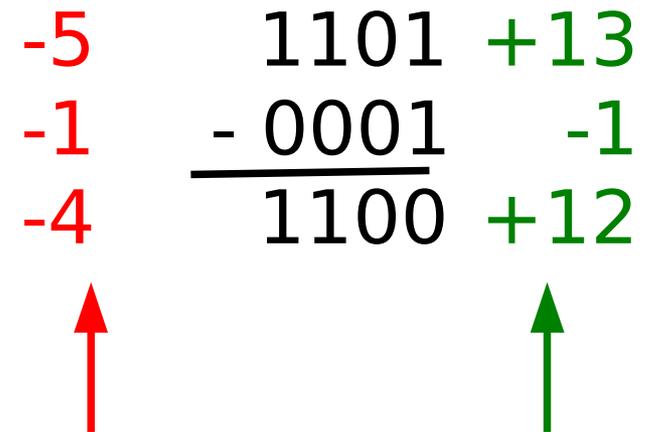
- Has a representation of minus zero ( $1000_2 = -0$ ) so wastes one of our  $2^n$  labels
- Addition/subtraction circuitry is not pretty

$$\begin{array}{r} -5 \quad 1101 \quad +13 \\ +1 \quad + \quad 0001 \quad +1 \\ \hline -6 \quad 1110 \quad +14 \end{array}$$


Sign-mag  
interpretation

Unsigned  
interpretation

Our unsigned addition alg.

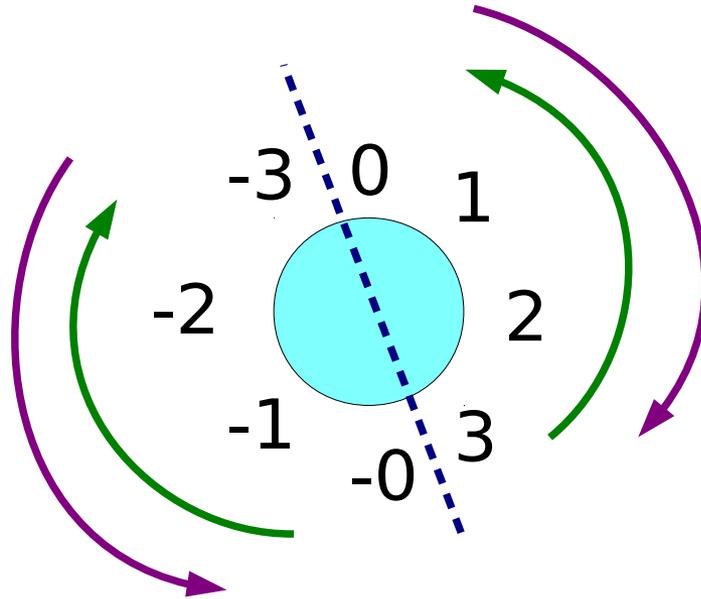
$$\begin{array}{r} -5 \quad 1101 \quad +13 \\ -1 \quad - \quad 0001 \quad -1 \\ \hline -4 \quad 1100 \quad +12 \end{array}$$


Sign-mag  
interpretation

Unsigned  
interpretation

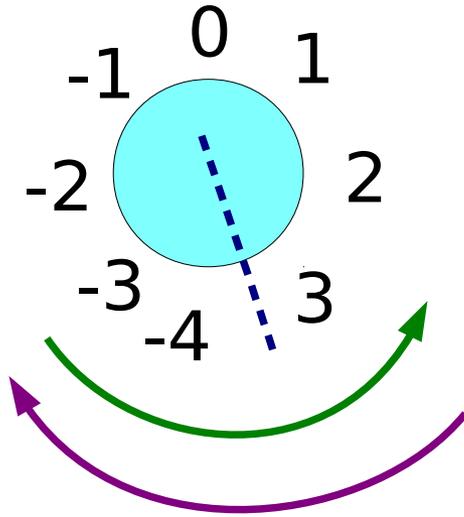
Our unsigned subtraction alg.

# Alternatively...



- Gives us two discontinuities and a reversal of direction using normal addition circuitry!!

# Two's complement



- How about this?
- One discontinuity again
- Efficient (no minus zero!)
- Crucially we can use normal addition/subtraction circuits!!
- “Two's complement”

- Positive to negative: Invert all the bits and add 1  
 $0101 (+5) \rightarrow 1010 \rightarrow 1011 (-5)$
- Negative to positive: Same procedure!!  
 $1011 (-5) \rightarrow 0100 \rightarrow 0101 (+5)$

# Signed Addition

$$\begin{array}{r} -3 \quad 1101 +13 \\ +1 \quad +0001 \quad +1 \\ \hline -2 \quad 1110 \quad +14 \end{array}$$

2's-comp

Unsigned

Our unsigned addition alg.

$$\begin{array}{r} -3 \quad 1101 +13 \\ - +1 \quad - 0001 \quad - +1 \\ \hline -4 \quad 1100 \quad +12 \end{array}$$

2's-comp

Unsigned

Our unsigned subtraction alg.



# Flags Summary

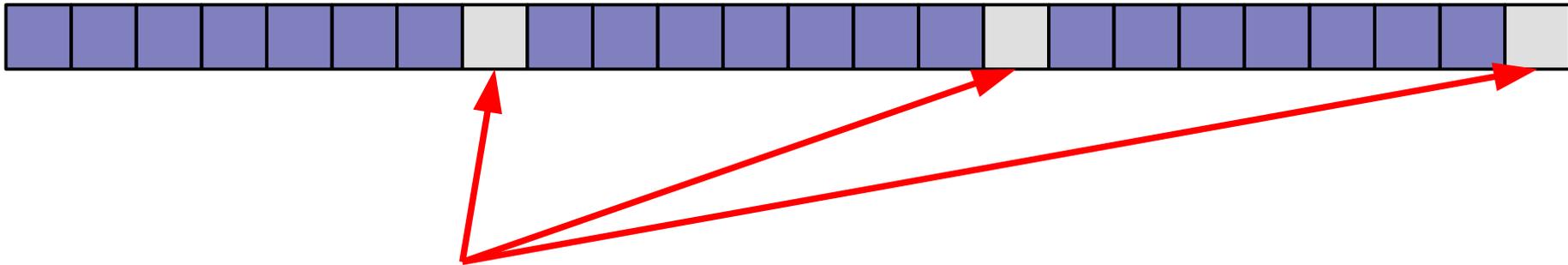
- When adding/subtracting
  - The **carry** flag indicates an overflow for **unsigned** numbers
  - The **overflow** flag indicates an overflow for **signed** integers

# Fractional Numbers

- Scientific apps rarely survive on integers alone, but representing fractional parts efficiently is complicated.
- Option one: **fixed point**
  - Set the point at a known location. Anything to the left represents the integer part; anything to the right the fractional part
  - But where do we set it??
- Option two: **floating point**
  - Let the point 'float' to give more capacity on its left or right as needed
  - Much more efficient, but harder to work with
  - Very important: dedicated course on it later this year.

# Character Arrays

- To represent text, we simply have an encoding from an integer to a letter or character
- The classic choice is **ASCII**
  - Takes one byte per character but actually only uses 7 bits of it so can represent  $2^7=128$  characters



# ASCII Codes

Dec	Hx	Oct	Char	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr
0	0	000	<b>NUL</b> (null)	32	20	040	&#032;	Space	64	40	100	&#064;	@	96	60	140	&#96;	`
1	1	001	<b>SOH</b> (start of heading)	33	21	041	&#033;	!	65	41	101	&#065;	A	97	61	141	&#97;	a
2	2	002	<b>STX</b> (start of text)	34	22	042	&#034;	"	66	42	102	&#066;	B	98	62	142	&#98;	b
3	3	003	<b>ETX</b> (end of text)	35	23	043	&#035;	#	67	43	103	&#067;	C	99	63	143	&#99;	c
4	4	004	<b>EOF</b> (end of transmission)	36	24	044	&#036;	\$	68	44	104	&#068;	D	100	64	144	&#100;	d
5	5	005	<b>ENQ</b> (enquiry)	37	25	045	&#037;	%	69	45	105	&#069;	E	101	65	145	&#101;	e
6	6	006	<b>ACK</b> (acknowledge)	38	26	046	&#038;	&	70	46	106	&#070;	F	102	66	146	&#102;	f
7	7	007	<b>BEL</b> (bell)	39	27	047	&#039;	'	71	47	107	&#071;	G	103	67	147	&#103;	g
8	8	010	<b>BS</b> (backspace)	40	28	050	&#040;	(	72	48	110	&#072;	H	104	68	150	&#104;	h
9	9	011	<b>TAB</b> (horizontal tab)	41	29	051	&#041;	)	73	49	111	&#073;	I	105	69	151	&#105;	i
10	A	012	<b>LF</b> (NL line feed, new line)	42	2A	052	&#042;	*	74	4A	112	&#074;	J	106	6A	152	&#106;	j
11	B	013	<b>VT</b> (vertical tab)	43	2B	053	&#043;	+	75	4B	113	&#075;	K	107	6B	153	&#107;	k
12	C	014	<b>FF</b> (NP form feed, new page)	44	2C	054	&#044;	,	76	4C	114	&#076;	L	108	6C	154	&#108;	l
13	D	015	<b>CR</b> (carriage return)	45	2D	055	&#045;	-	77	4D	115	&#077;	M	109	6D	155	&#109;	m
14	E	016	<b>SO</b> (shift out)	46	2E	056	&#046;	.	78	4E	116	&#078;	N	110	6E	156	&#110;	n
15	F	017	<b>SI</b> (shift in)	47	2F	057	&#047;	/	79	4F	117	&#079;	O	111	6F	157	&#111;	o
16	10	020	<b>DLE</b> (data link escape)	48	30	060	&#048;	0	80	50	120	&#080;	P	112	70	160	&#112;	p
17	11	021	<b>DC1</b> (device control 1)	49	31	061	&#049;	1	81	51	121	&#081;	Q	113	71	161	&#113;	q
18	12	022	<b>DC2</b> (device control 2)	50	32	062	&#050;	2	82	52	122	&#082;	R	114	72	162	&#114;	r
19	13	023	<b>DC3</b> (device control 3)	51	33	063	&#051;	3	83	53	123	&#083;	S	115	73	163	&#115;	s
20	14	024	<b>DC4</b> (device control 4)	52	34	064	&#052;	4	84	54	124	&#084;	T	116	74	164	&#116;	t
21	15	025	<b>NAK</b> (negative acknowledge)	53	35	065	&#053;	5	85	55	125	&#085;	U	117	75	165	&#117;	u
22	16	026	<b>SYN</b> (synchronous idle)	54	36	066	&#054;	6	86	56	126	&#086;	V	118	76	166	&#118;	v
23	17	027	<b>ETB</b> (end of trans. block)	55	37	067	&#055;	7	87	57	127	&#087;	W	119	77	167	&#119;	w
24	18	030	<b>CAN</b> (cancel)	56	38	070	&#056;	8	88	58	130	&#088;	X	120	78	170	&#120;	x
25	19	031	<b>EM</b> (end of medium)	57	39	071	&#057;	9	89	59	131	&#089;	Y	121	79	171	&#121;	y
26	1A	032	<b>SUB</b> (substitute)	58	3A	072	&#058;	:	90	5A	132	&#090;	Z	122	7A	172	&#122;	z
27	1B	033	<b>ESC</b> (escape)	59	3B	073	&#059;	;	91	5B	133	&#091;	[	123	7B	173	&#123;	{
28	1C	034	<b>FS</b> (file separator)	60	3C	074	&#060;	<	92	5C	134	&#092;	\	124	7C	174	&#124;	
29	1D	035	<b>GS</b> (group separator)	61	3D	075	&#061;	=	93	5D	135	&#093;	]	125	7D	175	&#125;	}
30	1E	036	<b>RS</b> (record separator)	62	3E	076	&#062;	>	94	5E	136	&#094;	^	126	7E	176	&#126;	~
31	1F	037	<b>US</b> (unit separator)	63	3F	077	&#063;	?	95	5F	137	&#095;	_	127	7F	177	&#127;	DEL

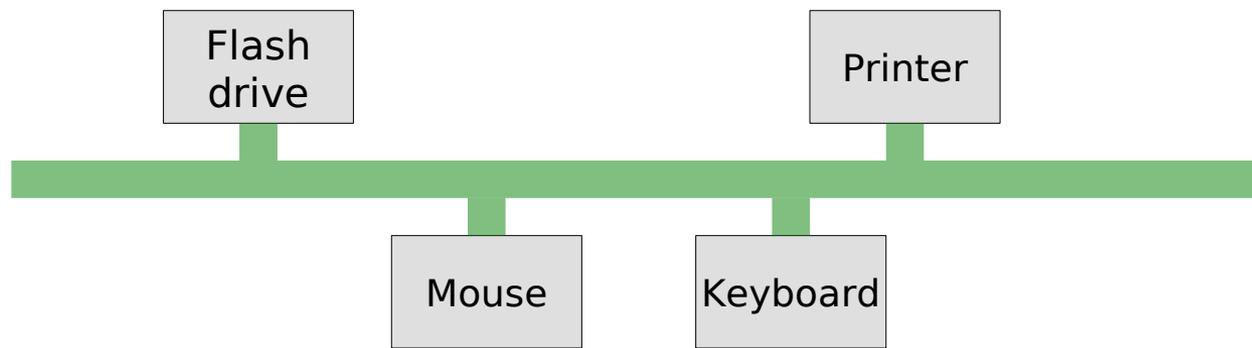
# Other encodings

- 128 letters is fine for English alphabet
  - Turns out there are other alphabets (who knew?!)
    - So we have unicode and other representations that typically take two bytes to represent each character
  - *Remember this when we come to look at Java next term, which uses 2-byte unicode as standard...*

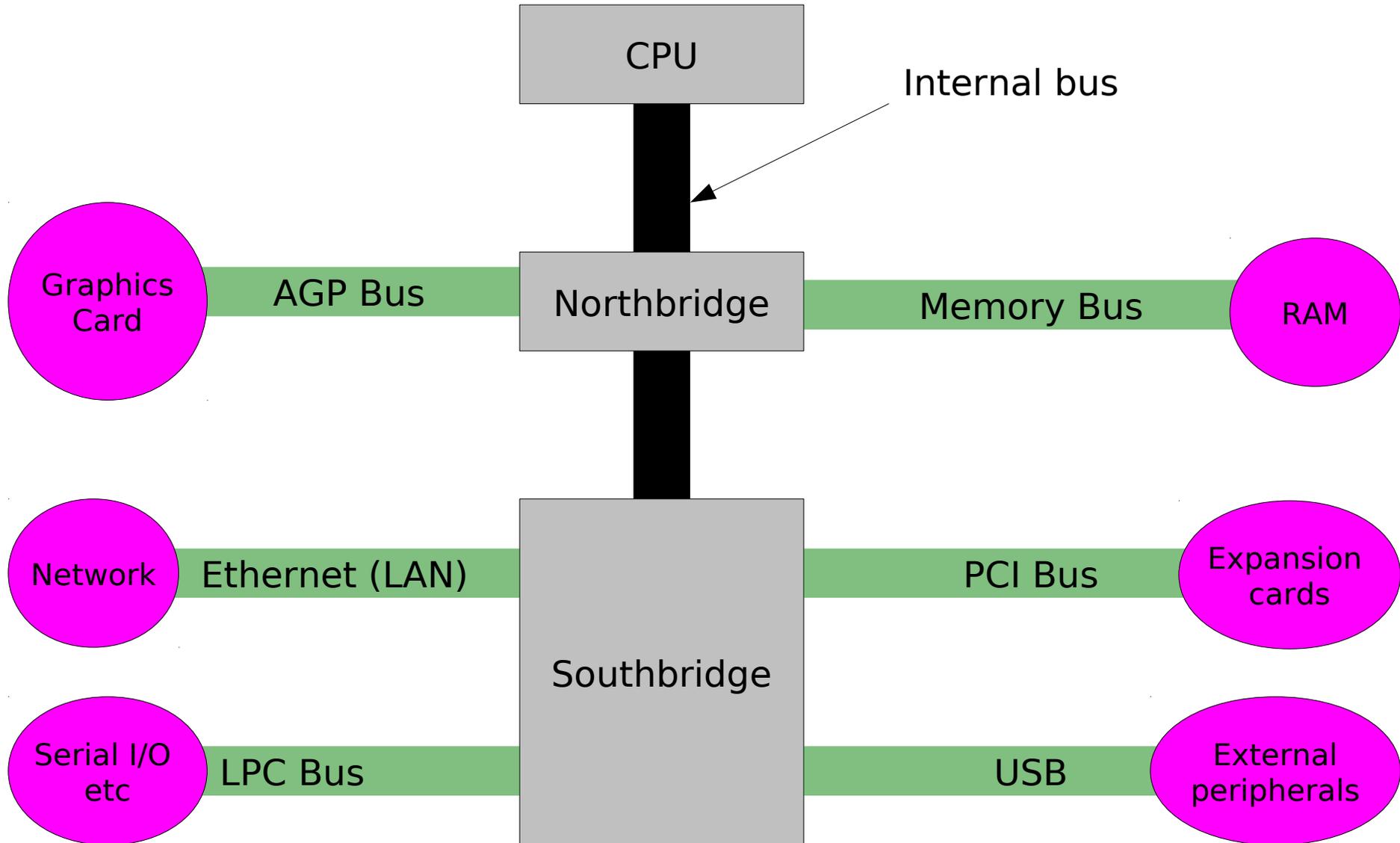
# The Guts of Modern Systems

# Desktop Systems Today

- Based on a core system plus peripherals:
  - Input (mouse, keyboard, etc)
  - Output (printer, display)
  - Network adapter, etc
- Peripherals connect to **buses** in order to communicate with the core system
  - A bus is just a set of wires that can be used by multiple peripherals. Special control wires are used to ensure the data from two or more connected peripherals do not clash.

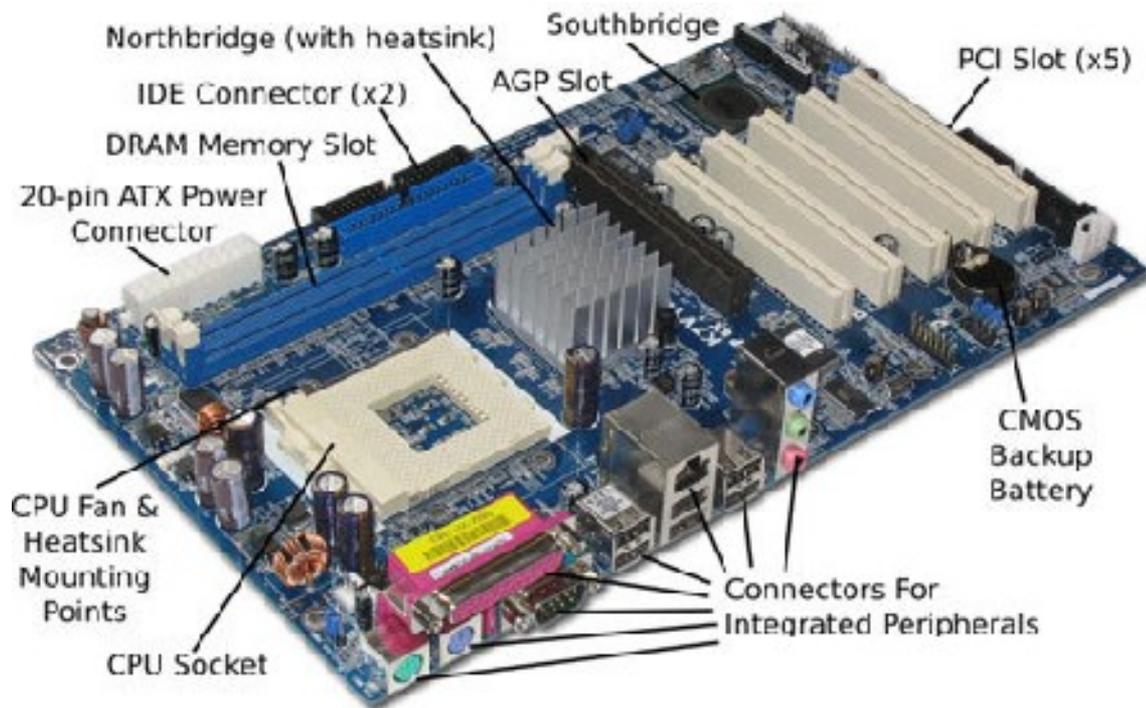


# Typical Desktop Architecture

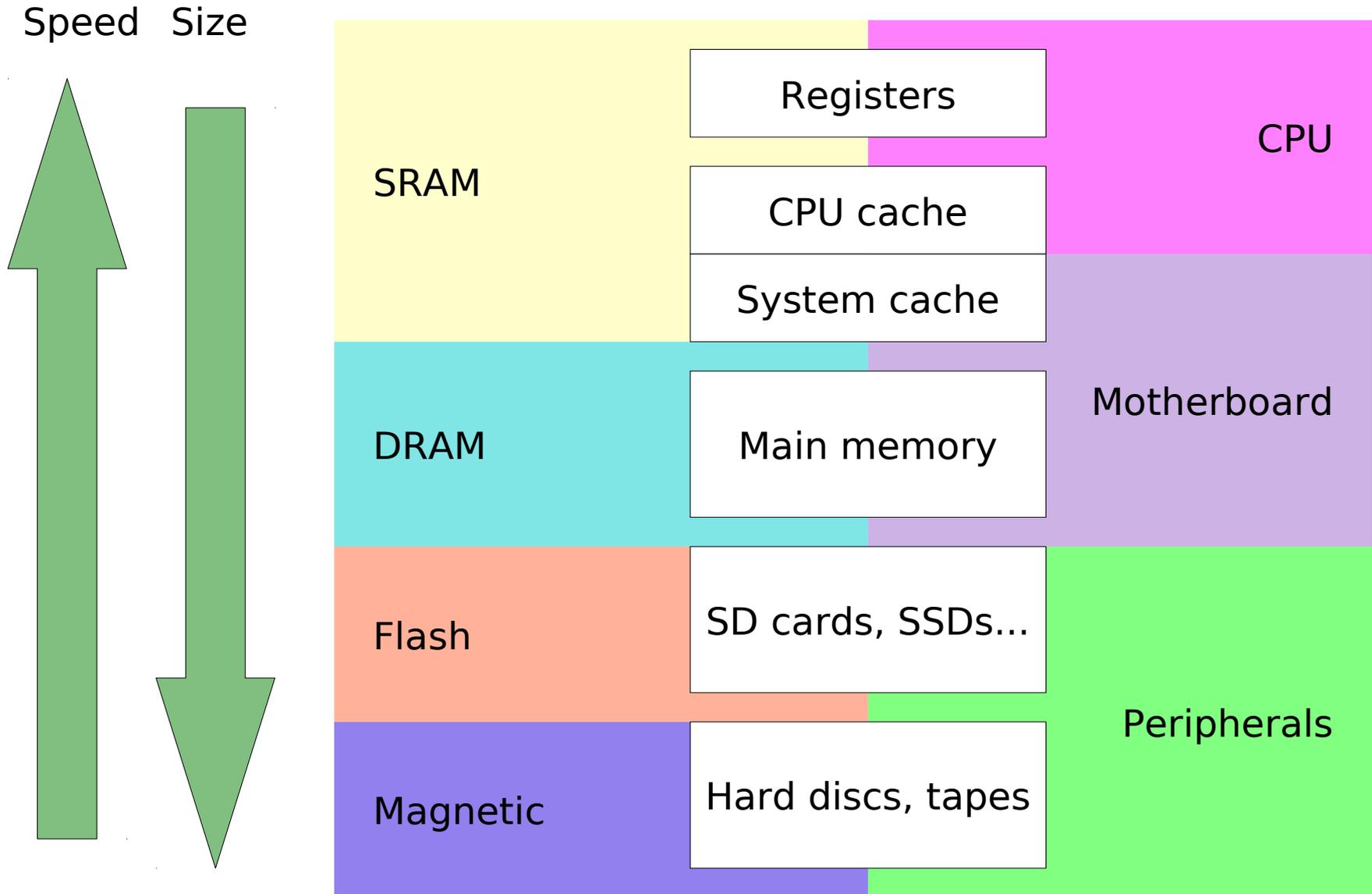


# The Motherboard

- An evolution of the circuitry between the CPU and memory to include general purpose buses (and later to integrate some peripherals directly!)
- Internal Buses
  - ISA, PCI, PCIe, SATA, AGP
- External buses
  - USB, Firewire, eSATA, PC card

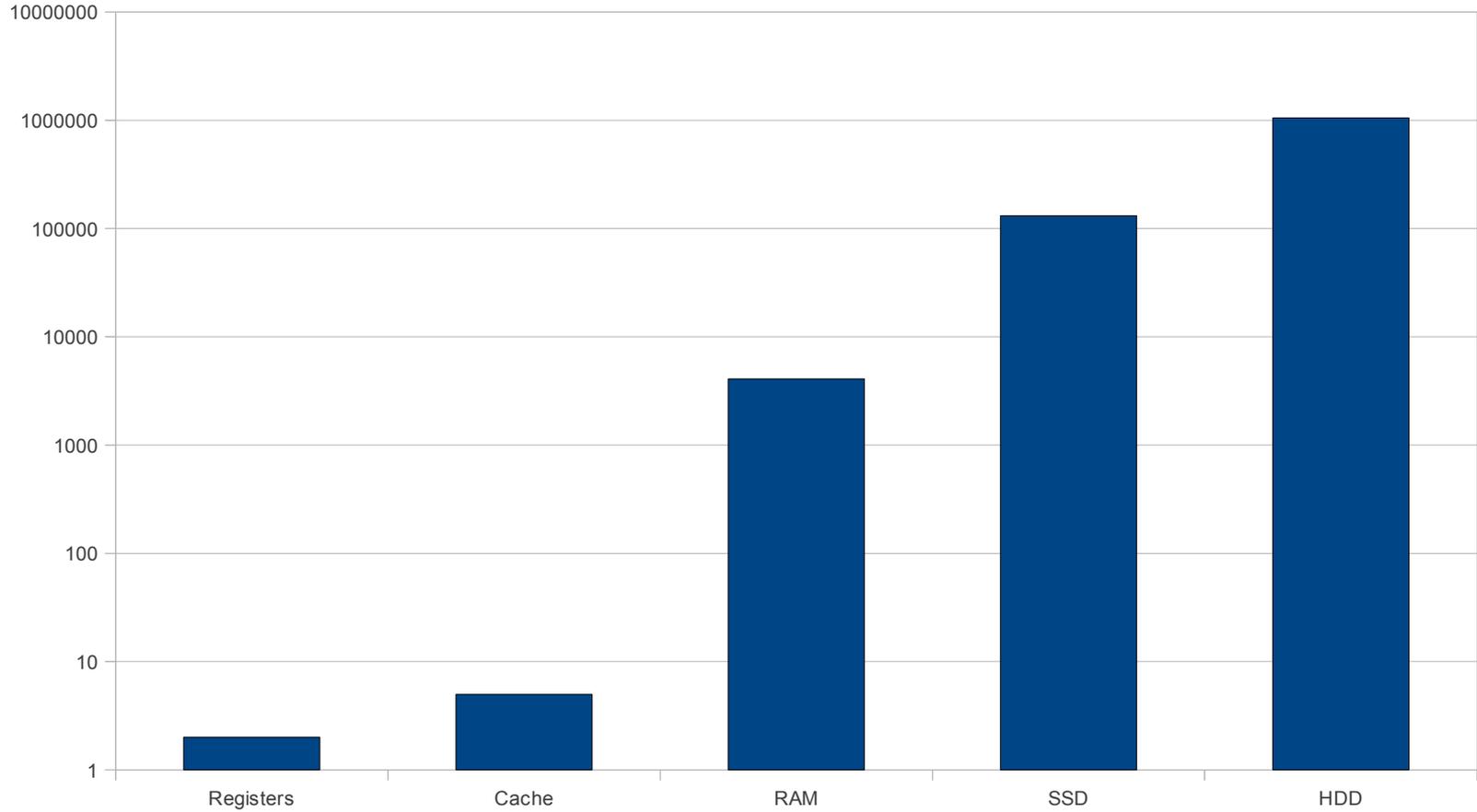


# Memory Hierarchy (Typical)



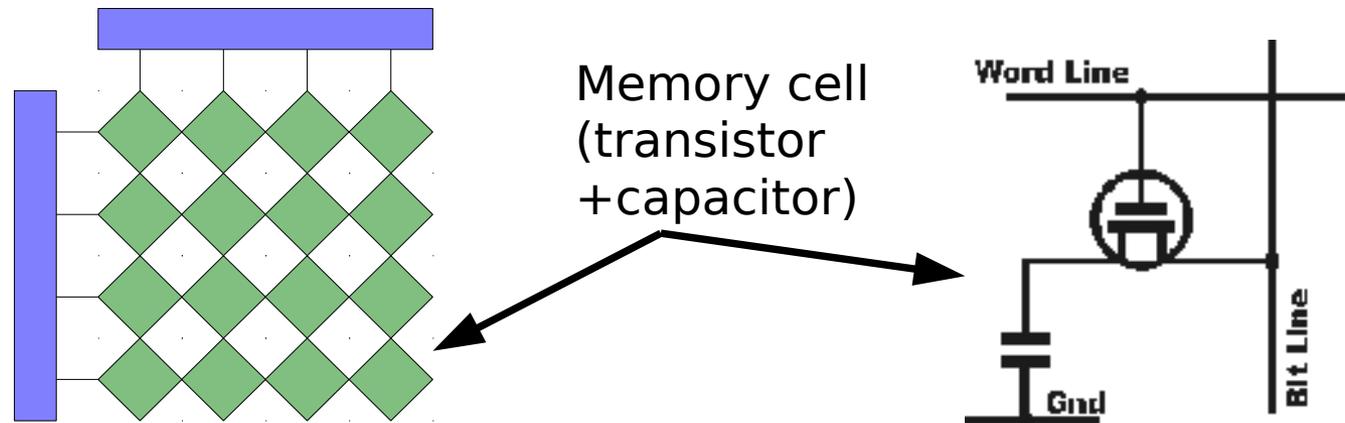
# Typical Memory Capacities

Typical Sizes (MB - **LOG SCALE!**)



# Random Access Memory (RAM)

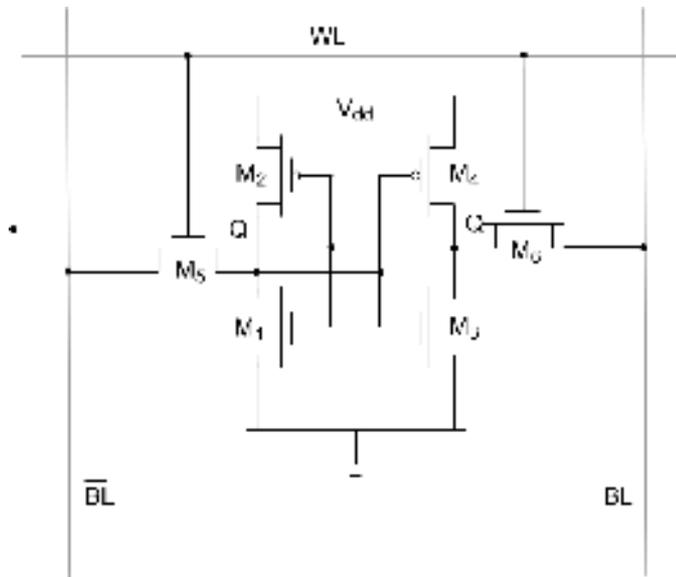
- The alternative to mercury delay lines is essentially a **capacitor**. A charged capacitor is a "1" and a discharged capacitor is a "0"
- If we stick a capacitor together with a transistor we create a memory cell. Put lots of cells in a matrix and we can use the transistor to 'activate' a specific cell and ignore all others. In doing so, we can randomly jump around in the data (random access)



- This is Dynamic RAM (DRAM) and it is cheap because each cell is simple
- **BUT:** capacitors leak charge over time, so a "1" becomes a "0". Therefore we must refresh the capacitor regularly and this slows everything down plus it drains power...

# Static RAM (SRAM)

- We can avoid the need to refresh by using Static RAM (SRAM) cells. These use more electronics (typically 6 transistors per cell) to effectively self-refresh.



SRAM Memory Cell

- This is 8-16x faster than DRAM
- But each cell costs more and takes more space so it's also about 8-16x more costly!
- And both DRAM and SRAM are volatile (lose power = lose data)

# Register Sizes

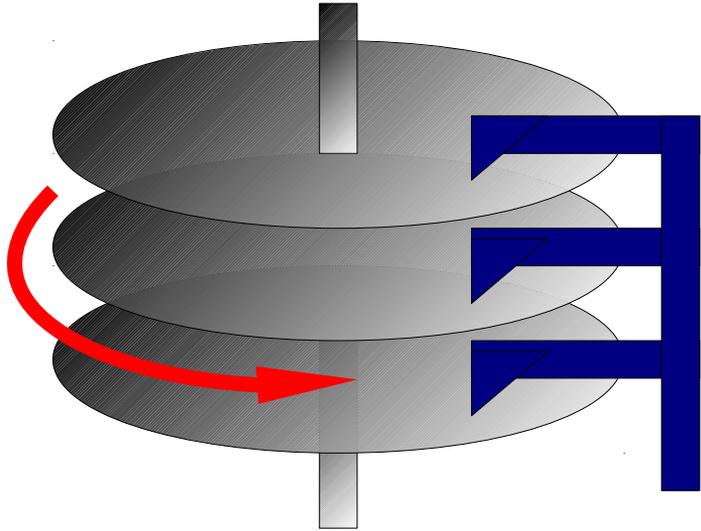
- Registers are fixed size, super-fast on-chip memory usually made from SRAM.
- When we build the CPU we have to decide how big to make them
  - Bigger registers
    - Allow the CPU to do more per cycle
    - Mean we can have more main RAM (longer addresses can be supported)
    - Too big and we might never use the whole length (waste of electronics)
  - Smaller registers
    - Less electronics (smaller, cooler CPUs)
    - Too small and it takes more cycles to complete simple operations

# Flash and SSDs

- Toshiba came up with **Flash** memory in the 1980s as a non-volatile storage without moving parts
  - Works essentially by trapping charge in a non-conducting layer between two transistors (much more complex than this, but out of scope here)
  - **Slower than RAM** and a **limited number of writes**, but still extremely useful
    - **No moving parts, small**
    - Used in USB flash drives, camera memory and now Solid State Discs.



# Magnetic Media (Hard Discs)



- Lots of tiny magnetic patches on a series of spinning discs
  - Can easily **magnetise** or **demagnetise** a patch, allowing us to represent bits
  - Similar to an old cassette tape only more advanced
  - Read and write heads move above each disc, reading or writing data as it goes by
- 
- Remarkable pieces of engineering that can store terabytes (TB, 1,000,000MB) or more.
  - **Cheap mass storage**
  - **Non-volatile** (the data's still there when you next turn it on)
  - **But much slower than RAM** (because it takes time to seek to the bit of the disc we want – sequential access, not random access)

# Graphics Cards

- Started life as simple Digital to Analogue Convertors (DACs) that took in a digital signal and spat out a voltage that could be used for a cathode ray screen
- Have become powerful computing devices of their own, transforming the input signal to provide fast, rich graphics.
- Today's GCs are based around GPUs with lots of tiny processors (cores) sharing some memory. The notion is one of SIMD – Single Instruction Multiple Data
  - Every instruction is copied to each core, which applies it to a different (set of) pixel(s)
  - Thus we get parallel computation → fast
  - Very useful for scientific computing
  - CPUs better for serial tasks



CPU  
MULTIPLE CORES



GPU  
THOUSANDS OF CORES

# Memory Manipulation

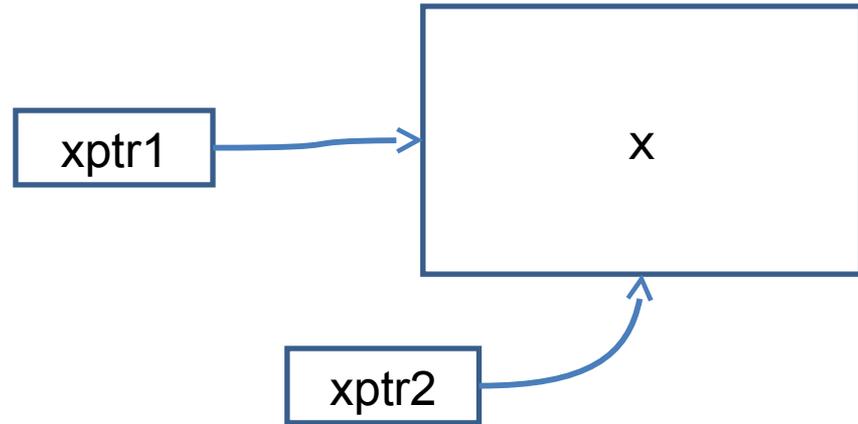
# Memory and Pointers

- In reality the compiler stores a mapping from variable name to a specific memory address, along with the type so it knows how to interpret the memory (e.g. “*x is an int so it spans 4 bytes starting at memory address 43526*”).
- Lower level languages often let us work with memory addresses directly. Variables that store memory addresses are called **pointers** or sometimes **references**
- Manipulating memory directly allows us to write fast, efficient code, but also exposes us to bigger risks
  - Get it wrong and the program 'crashes' .

# Pointers: Box and Arrow Model

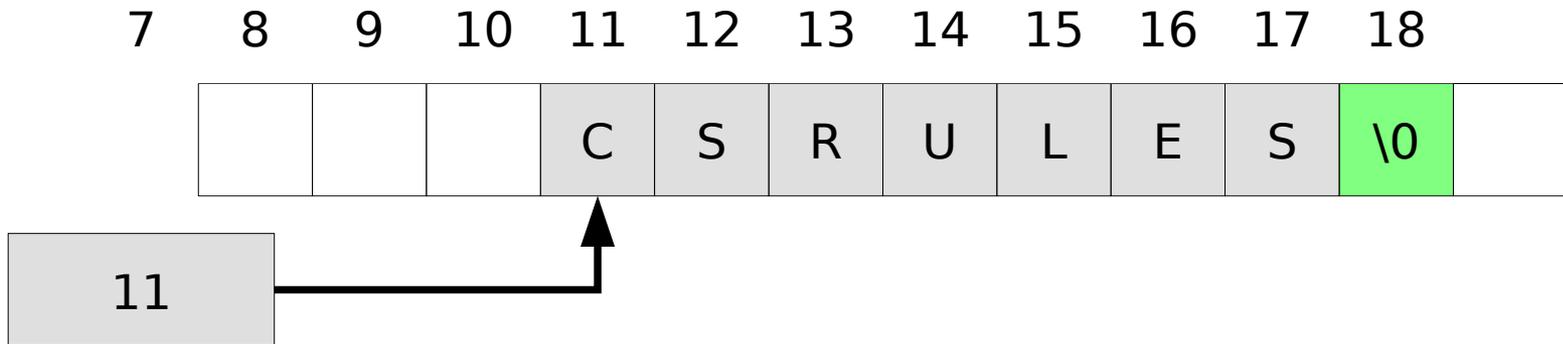
- A pointer is just the memory address of the first memory slot used by the variable
- The pointer **type** tells the compiler how many slots the whole object uses

```
int x = 72;  
int *xptr1 = &x;  
int *xptr2 = xptr1;
```



# Example: Representing Strings I

- A single character is fine, but a text string is of variable length – how can we cope with that?
- We simply store the start of the string in memory and require it to finish with a special character (the NULL or terminating character, aka '\0')
- So now we need to be able to store memory addresses → use **pointers**



- We think of there being an **array** of characters (single letters) in memory, with the string pointer pointing to the first element of that array

# Example: Representing Strings II

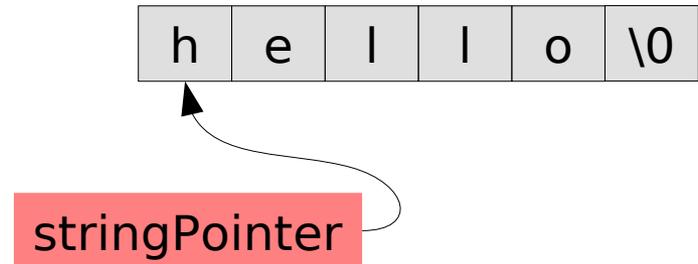
```
char letterArray[] = {'h','e','l','l','o','\0'};
```

```
char *stringPointer = &(letterArray[0]);
```

```
printf("%s\n",stringPointer);
```

```
letterArray[3]='\0';
```

```
printf("%s\n",stringPointer);
```



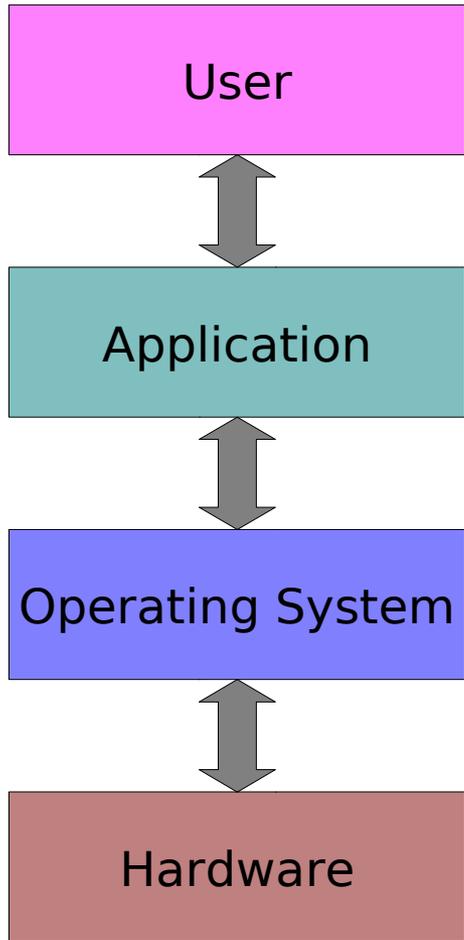
# Platforms and Operating Systems

(Software to control your hardware)

# The Origins of the OS

- A lot of the initial computer programs covered the same ground – they all needed routines to handle, say, floating point numbers, differential equations, etc.
  - Therefore systems soon shipped with libraries: built-in chunks of programs that could be used by other programs rather than re-invented.
- Then we started to add new peripherals (screens, keyboards, etc).
  - To avoid having to write the control code (“drivers”) for each peripheral in each program the libraries expanded to include this functionality
- Then we needed multiple simultaneous apps and users
  - Need something to control access to resources...

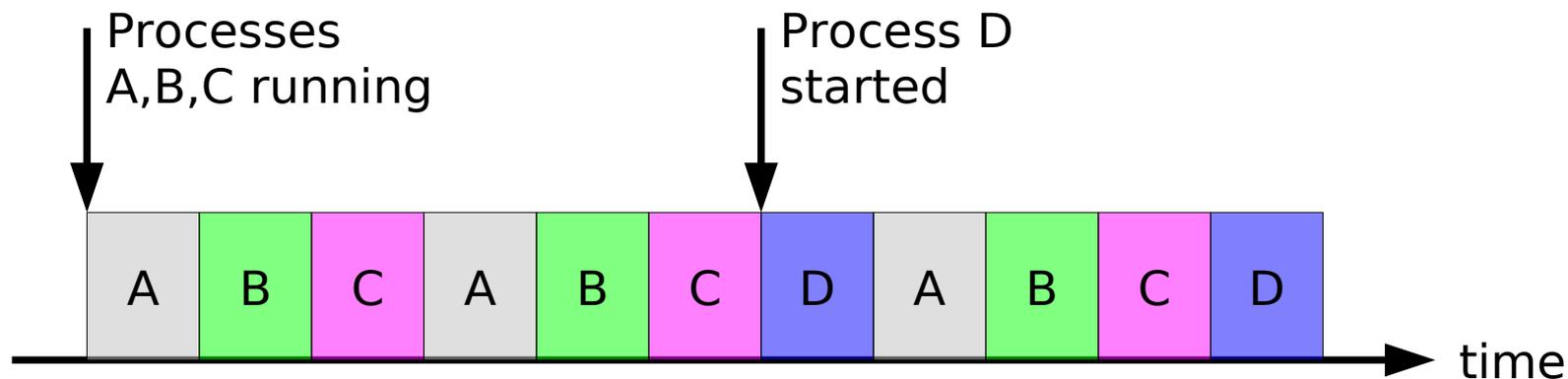
# Operating System



- Now sits between the application and the hardware
- Today's examples include MS Windows, GNU Linux, Apple OSX and iOS, Google Android, etc.
- Today's applications depend on **huge** pieces of code that are in the OS and not the actual program code
- The OS provides a common **interface** to applications
  - Provides common things such as memory access, USB access, networking, etc, etc.

# Timeslicing

- Modern OSes allow us to run many programs at once. Or so it seems. In reality a CPU **time-slices**:
  - Each running program (or “**process**”) gets a certain slot of time on the CPU
  - We rotate between the running processes with each timeslot
  - This is all handled by the OS, which schedules the processes. It is invisible to the running program.



# Context Switching

- Every time the OS decides to switch the running task, it has to perform a **context switch**
- It saves all the program's context (the program counter, register values, etc) to main memory
- It loads in the context for the next program
- Obviously there is a time cost associated with doing this...

# What Time Slice is Best?

- Longer
  - The computer is more efficient: it spends more time doing useful stuff and less time context switching
  - The illusion of running multiple programs simultaneously is broken
- Shorter
  - Appears more responsive
  - More time context switching means the overall efficiency drops
- Sensible to adapt to the machine's intended usage. Desktops have shorter slices (responsiveness important); servers have longer slices (efficiency important)

# The Kernel

- The **kernel** is the part of the OS that runs the system
  - Just software
  - Handles process scheduling (what gets what timeslice and when)
  - Access to hardware
  - Memory management
- **Very complex software – when it breaks... game over.**

# The Importance of APIs

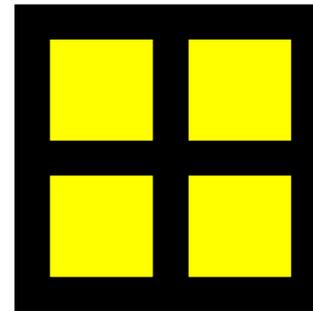
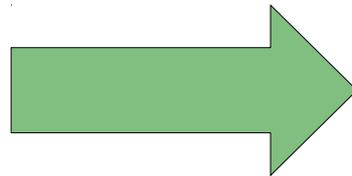
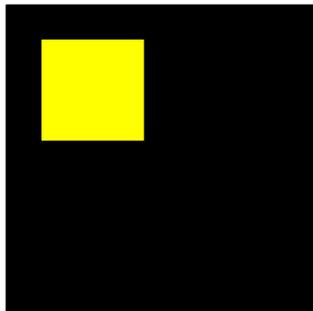
- API = Application Programming Interface
- Software vendors ship their libraries with APIs, which describes only what is need for a programmer to use the library in their own program.
  - The library itself is a black box – shipped in binary form.
- Operating systems are packed with APIs for e.g. window drawing, memory access, USB, sound, video, etc.
  - By ensuring new versions of the software support the same API (even if the result is different), legacy software can run on it.

# Platforms

- A typical program today will be compiled for a specific architecture, a specific operating system and possibly some extra third party libraries.
  - So PC software compiled for linux does not work under Windows for example.
- We call the {architecture, OS} combination a *platform*
- The platforms you are likely to encounter here:
  - Intel/Linux
  - Intel/Windows
  - Intel/OSX
  - Apple/iOS
  - ARM/Android

# Multicore Systems

- Ten years ago, each generation of CPUs packed more in and ran faster. But:
  - The more you pack stuff in, the hotter it gets
  - The faster you run it, the hotter it gets
  - And we got down to physical limits anyway!!
- We have seen a shift to multi-core CPUs
  - Multiple CPU cores on a single CPU package (each runs a separate fetch-execute cycle)
  - All share the same memory and resources!

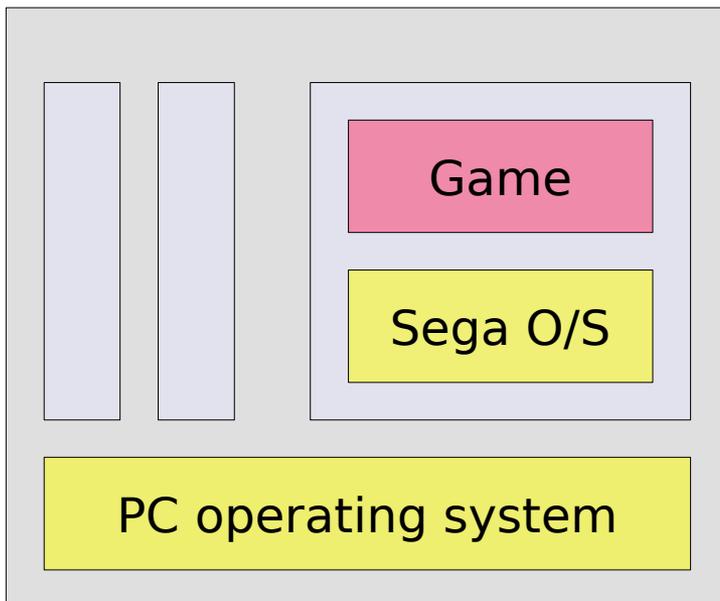


# The New Challenge

- Two cores run completely independently, so a single machine really *can* run two or more applications simultaneously
- BUT the real interest is how we write programs that use **more** than one core
  - This is hard because they use the same resources, and they can then interfere with each other
  - Those sticking around for IB CST will start to look at such '**concurrency**' issues in far more detail

# Virtual Machines

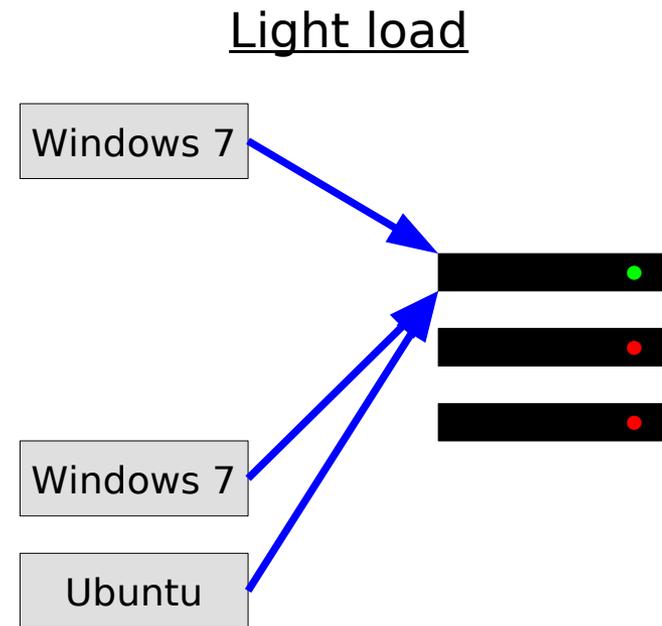
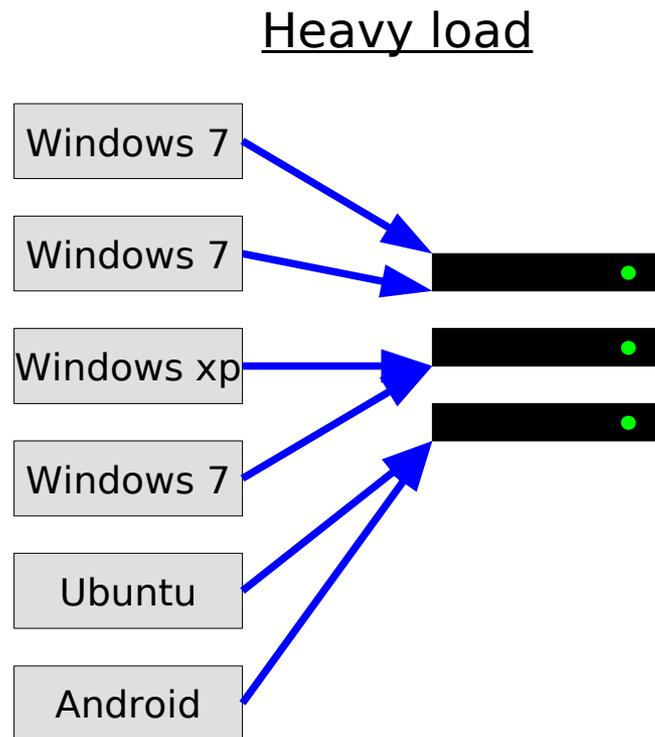
- Go back 20 years and emulators were all the rage: programs on architecture X that simulated architecture Y so that programs for Y could run on X
- Essentially interpreters, except they had to recreate the entire system. So, for example, they had to run the operating system on which to run the program.



- Now computers are so fast we can run multiple *virtual machines* on them
- **Allows us to run multiple operating systems simultaneously!**

# Virtualisation

- Virtualisation is the new big thing in business. Essentially the same idea: emulate entire systems on some host server
- But because they are virtual, you can swap them between servers by copying state
- And can dynamically load your server room!



# Levels of Abstraction

(How humans can program computers)

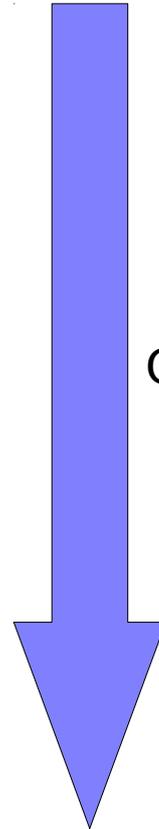
# Levels of Abstraction for Programming

High Level Languages

Procedural Languages

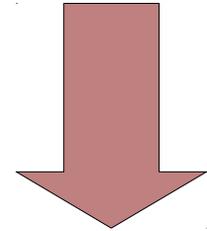
Assembly

Machine Code

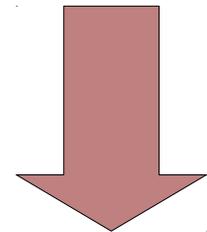


Compile

Human friendly



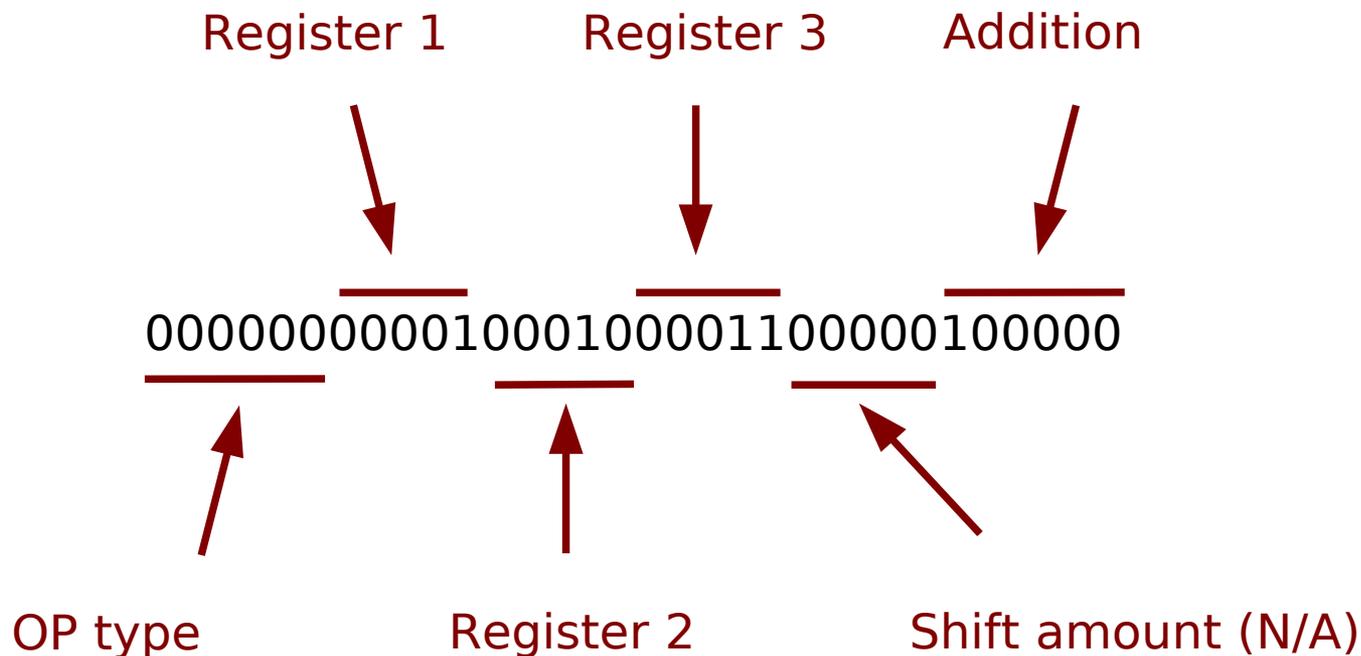
Geek friendly



Computer friendly

# Machine Code

- What the CPU 'understands': a series of instructions that it processes using the the fetch-execute technique
- E.g. to add registers 1 and 2, putting the result in register 3 using the MIPS architecture:



- The simplest way to create a CPU is to have a small number of simple instructions that allow you to do very small unit tasks
  - E.g. load a value to a register, add two registers
  - If you want more complicated things to happen (e.g. multiplication) you use just use multiple instructions
  - This is a **RISC** approach (**Reduced Instruction Set arChitecture**) and we see it in the ARM CPUs

- Actually, two problems emerged
  - People were coding at a low level and got sick of having to repeatedly write multiple lines for common tasks
  - Programs were large with all the tiny instructions. But memory was limited...
- Obvious soln: add “composite” instructions to the CPU that carry out multiple RISC instructions for us
  - This is a **CISC** (Complex Instruction Set arChitecture) and we see it in the Intel chips
  - Instructions can even be variable length

# RISC vs CISC

## RISC

- Every instruction takes one cycle
- Smaller, simpler CPUs
- Lower power consumption
- Fixed length instructions

## CISC

- Multiple cycles per instruction
- Smaller programs
- Hotter, complex CPUs
- Variable length instructions

# RISC vs CISC

- CISC has traditionally dominated (for backwards compatibility and political reasons) e.g. Intel PCs
- RISC was the route taken by Acorn, and resulted in the ARM processors e.g. smartphones

# Practicalities: Microcode

- An easy way to create a CISC processor is to use a RISC processor at the core, and then have an interface layer that converts each composite instruction to a set of RISC instructions
- It was quickly realised that this interface could be in software if the hardware could execute it very fast
  - Very high speed ROM on the CPU to store this
- This has led to the notion of **microcode**, which specifies the translations.
  - Microcode is set by the CPU manufacturer and not something the end-user or developer is expected to fiddle with!

# Instruction Sets

- At first, every CPU that came out had its own, special instruction set. This meant that any program for machine X would be useless on machine Y
- We needed a standardised set of instructions
  - Intel drew up the 8086 specification in 1978
  - Manufacturers produced CPUs that understood 8086 instructions and the so-called PC was born
- Modern PCs **still** support this instruction set, albeit manufacturers add their own special commands to take advantage of special features (MMX, etc).
- Each set of instructions is referred to as an **architecture**

# Assembly

- Essentially machine code, except we replace binary sequences with text that is easier for humans
- E.g. add registers 1 and 2, storing in 3:

```
add $s3, $s1, $s2
```

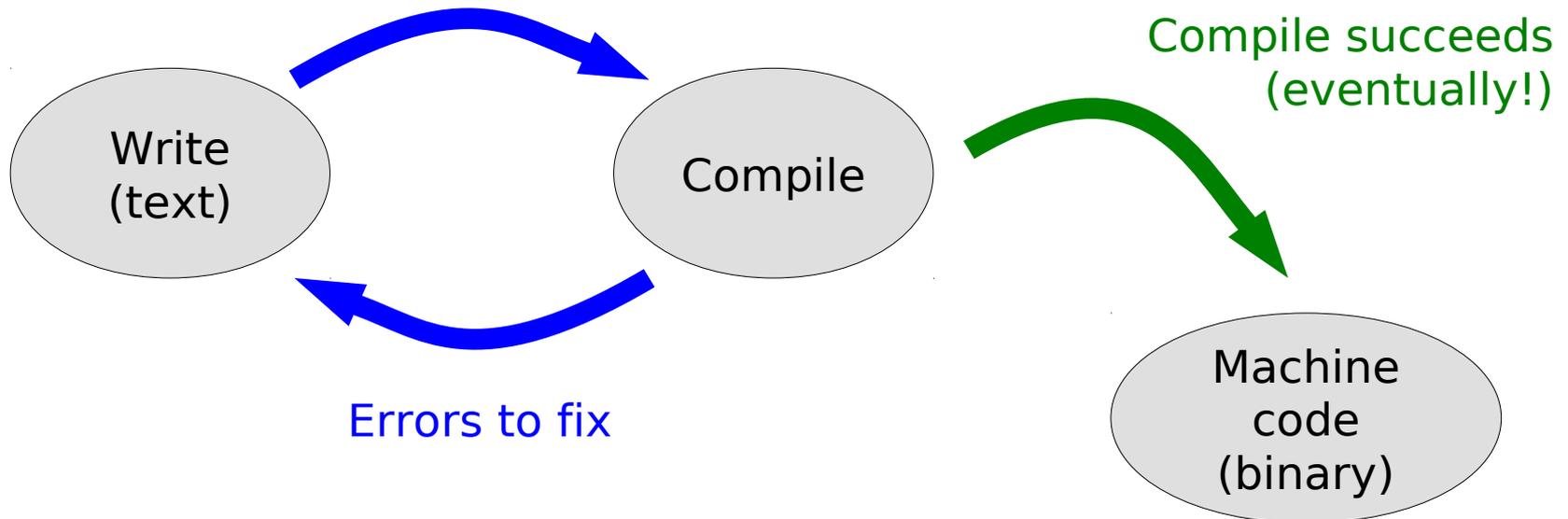
- Produces small, efficient machine code when **assembled**
- Almost as tedious to write as machine code
- Becoming a specialised skill...
- Ends up being architecture-specific if you want the most efficient results :-)

# Instruction Set Architectures (ISAs)

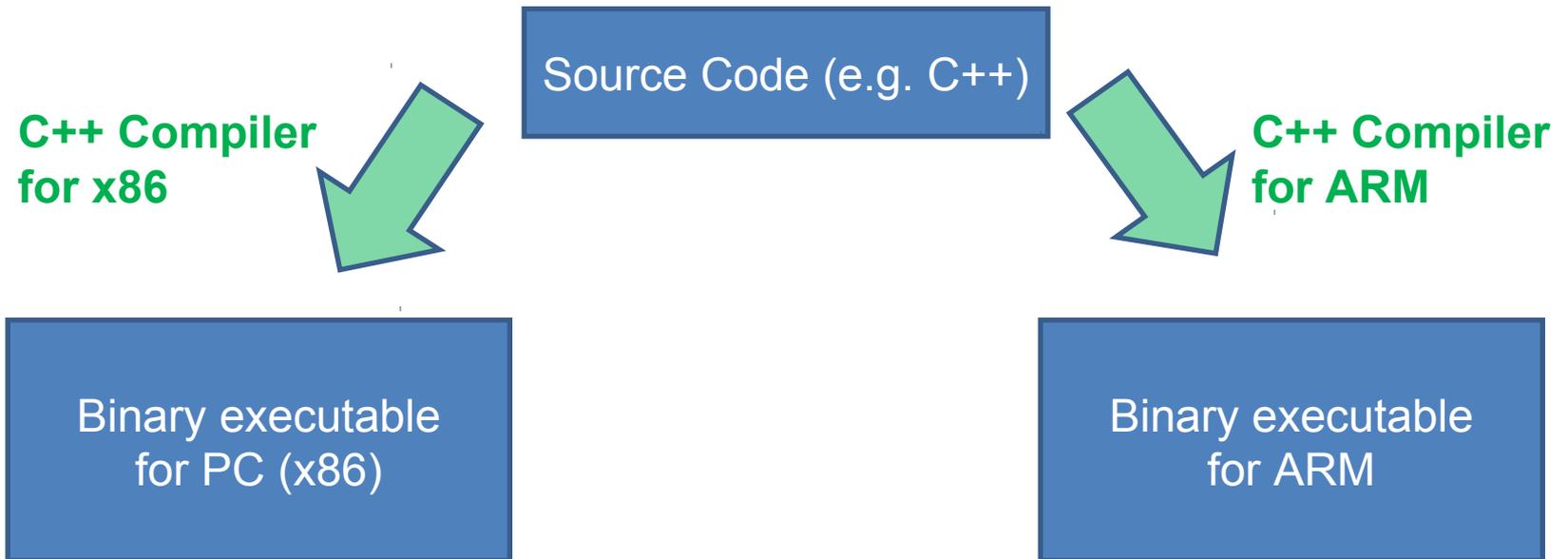
- When you create a CPU, you decide the instructions it will work with. A given the set is an (instruction set) **architecture (ISA)**
- Initially all architectures different → no software compatibility
- A few have emerged as de-facto standards
  - **x86** – the intel line of CPUs right back to the 1980s (a.k.a PC arch)
  - **PowerPC** – Apple/IBM/Motorola's RISC competitor to x86
  - **ARM** – RISC-based ISA based on Acorn's processors
  - **MIPS** – RISC-based ISA used in embedded designs

# Compilers

- A compiler is just a software program that converts the high-level code to machine code for a particular ISA (or some intermediary)
- Writing one is tricky and we require strict rules on the input (i.e. on the programming language). Unlike English, ambiguities cannot be tolerated!



# Handling Architectures



# Interpreters

- The final binary is a compiled program that can be run on **one** CPU architecture.
- As computers got faster, it became apparent that we could potentially compile 'on-the-fly'. i.e. translate high-level code to machine code as we go
- Call programs that do this ***interpreters***

Architecture agnostic - distribute the code and have a dedicated interpreter on each machine	Have to distribute the code
Easier development loop	Errors only appear at runtime
	Performance hit - always compiling

# Types of Languages

- **Declarative** - specify what to do, not how to do it. i.e.
  - E.g. HTML describes what should appear on a web page, and not how it should be drawn to the screen
  - E.g. SQL statements such as “select \* from table” tell a program to get information from a database, but not how to do so
- **Imperative** – specify both what and how
  - E.g. “double x” might be a declarative instruction that you want the variable x doubled somehow. Imperatively we could have “ $x=x*2$ ” or “ $x=x+x$ ”

# Functional vs Imperative

- **Functional** languages are a subset of declarative languages
  - You will be learning a functional language this term: ML
  - This may look like the imperative languages you have seen before, but it is a little different
  - Specifically, functions can't have **side-effects**. i.e. the output can only depend on the inputs
  - Example of side-effect:

```
t=3
f(y) = y*t
f(2) ←6
t=1
f(2) ←3
```

# Functional vs Imperative

- We'll look more closely at this when you do the **Object-Oriented Programming (OOP)** course next term
- For now, just appreciate that the new language you're about to meet has some advantages
  - Fewer opportunities for error
  - Closer to maths
  - All of you start at the same level

# Where Do You Go From Here?

- Paper 1
  - **FoCS**: look at the fundamentals of CS whilst learning ML
  - **Discrete Maths**: build up your knowledge of the maths needed for good CS
  - **OOP/Java**: look at imperative programming as it is used in the 'real world'
  - **Floating Point**: learn how to use computers for floating point computations (and when not to trust them..!)
  - **Algorithms**: The core of CS: learn how to do things efficiently/optimally
- Paper 2
  - **Digital Electronics**: hardware in detail
  - **Operating Systems**: an in-depth look at their workings
  - **Probability**: learn how to model systems
  - **Software Design**: good practice for large projects
  - **RLFA**: an intro to describing computer systems mathematically