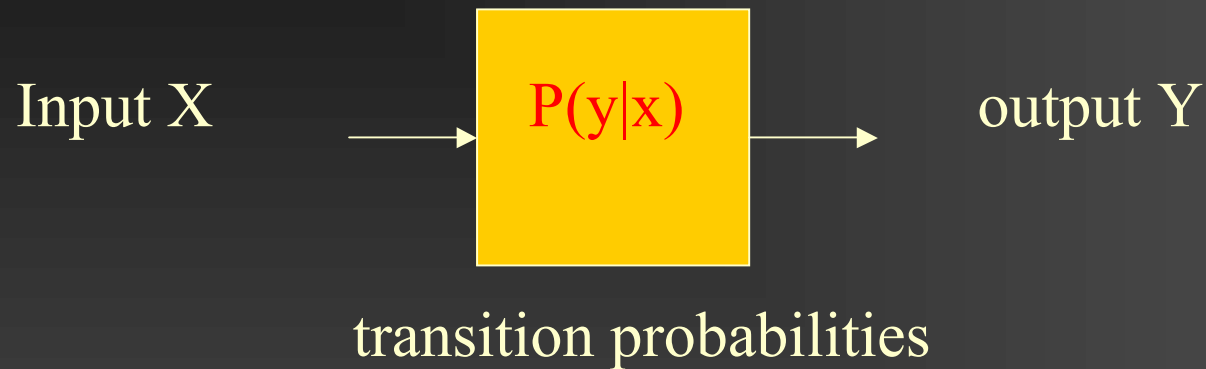


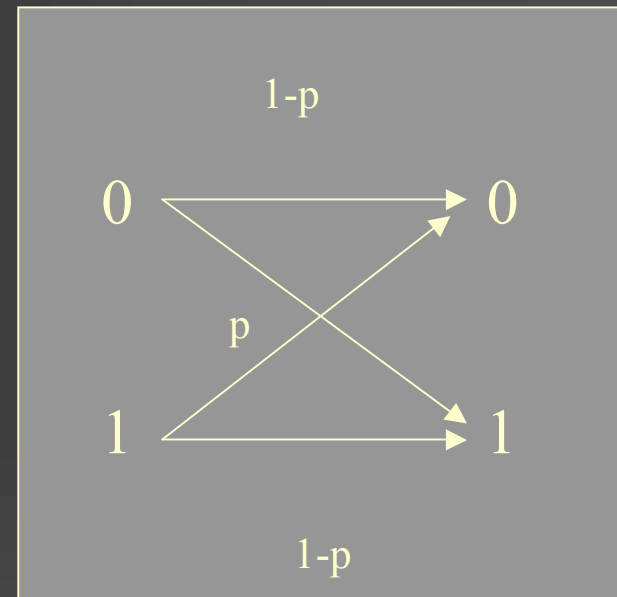
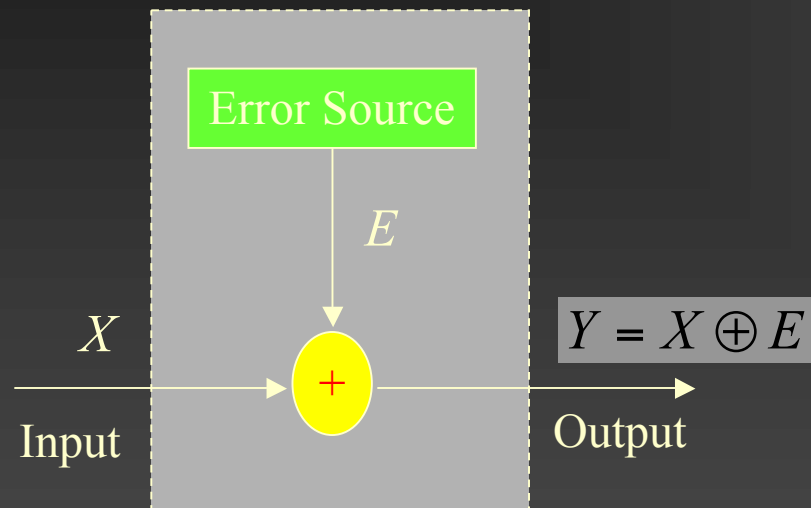
some channel models



memoryless:

- output at time i depends only on input at time i
 - input and output alphabet finite
-

Example: binary symmetric channel (BSC)

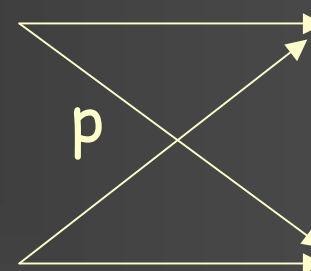
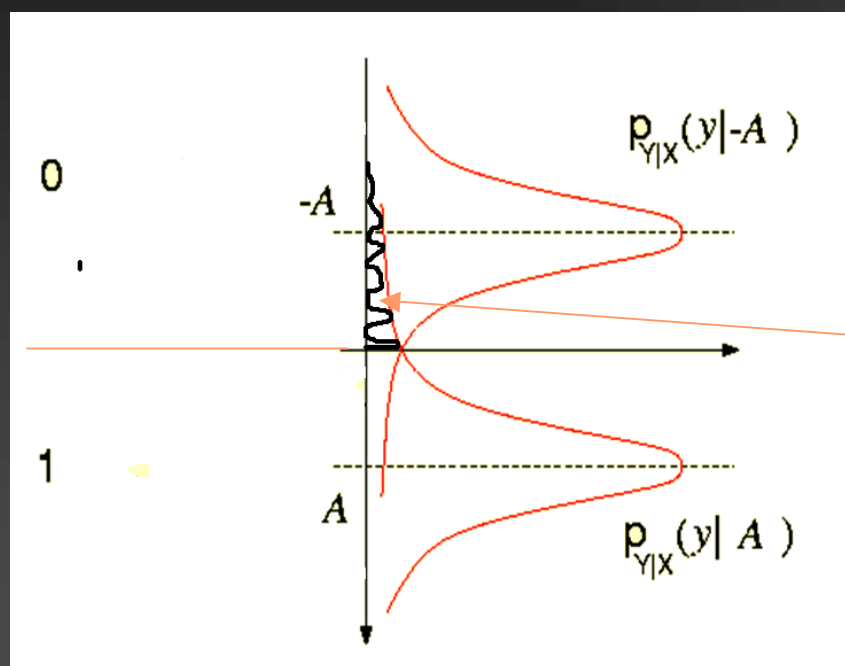
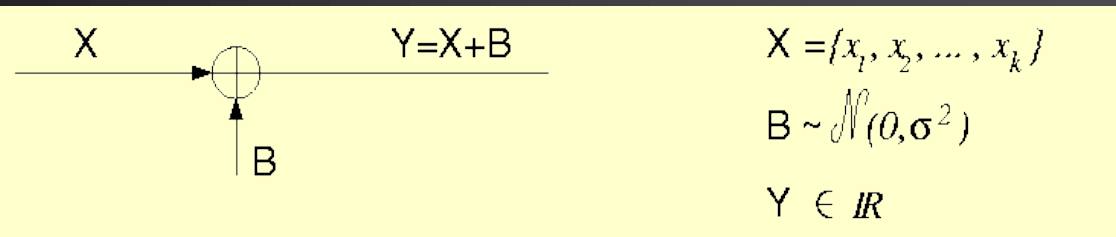


E is the **binary error sequence** s.t. $P(1) = 1-P(0) = p$

X is the **binary information sequence**

Y is the **binary output sequence**

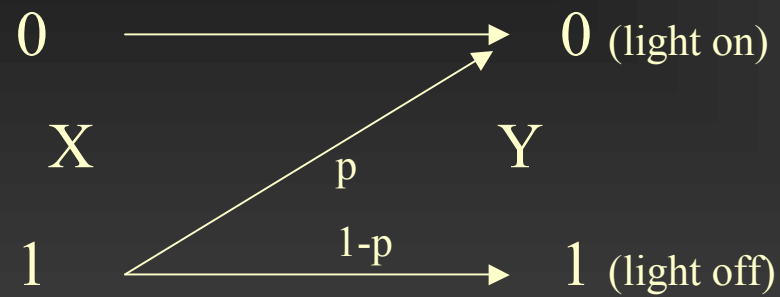
from AWGN to BSC



$$p_{Y|X}(y|X=x_k) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left[-\frac{(y-x_k)^2}{2\sigma^2}\right]$$

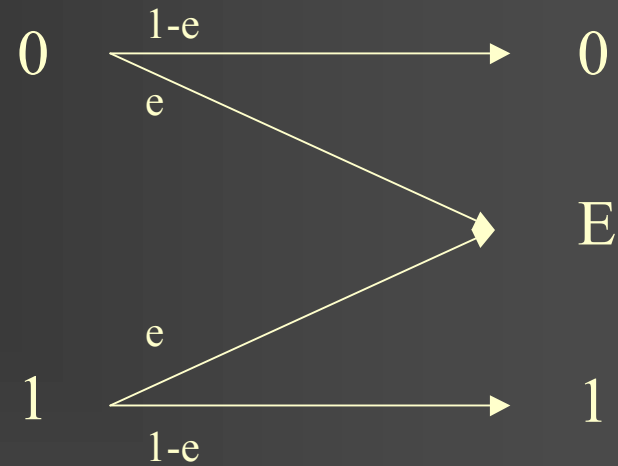
Homework: calculate the capacity as a function of A and σ^2

Other models



$$P(X=0) = P_0$$

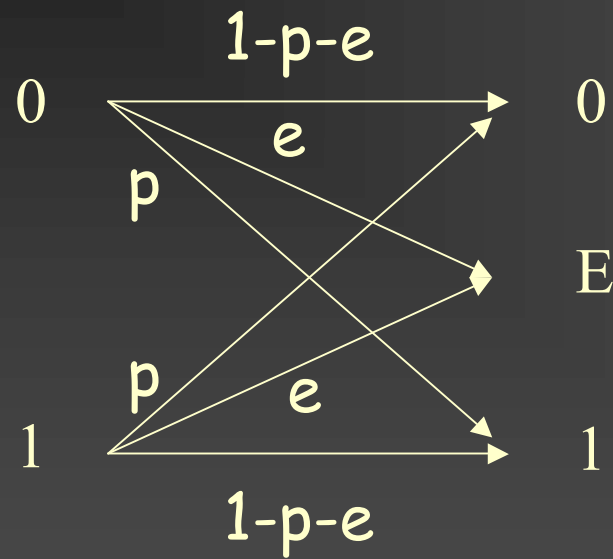
Z-channel (optical)
(MAC)



$$P(X=0) = P_0$$

Erasure channel

Erasure with errors



burst error model (Gilbert-Elliot)

Random error channel; outputs independent

Error Source \longrightarrow $P(0) = 1 - P(1)$;

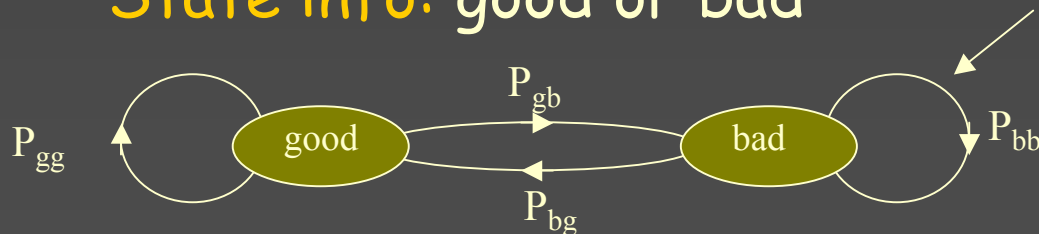
Burst error channel; outputs dependent

Error Source \longrightarrow

$$P(0 \mid \text{state} = \text{bad}) = P(1 \mid \text{state} = \text{bad}) = 1/2;$$

$$P(0 \mid \text{state} = \text{good}) = 1 - P(1 \mid \text{state} = \text{good}) = 0.999$$

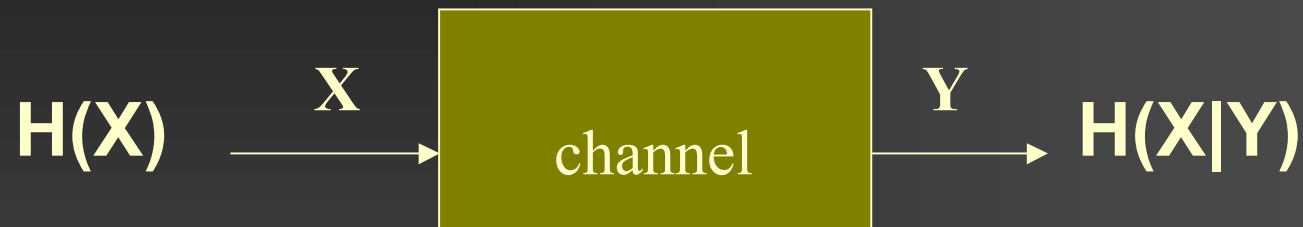
State info: good or bad



transition probability

channel capacity:

$$I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X) \text{ (Shannon 1948)}$$



$$\max I(X;Y) = \textit{capacity}$$

$$H = \textit{Entropy}$$

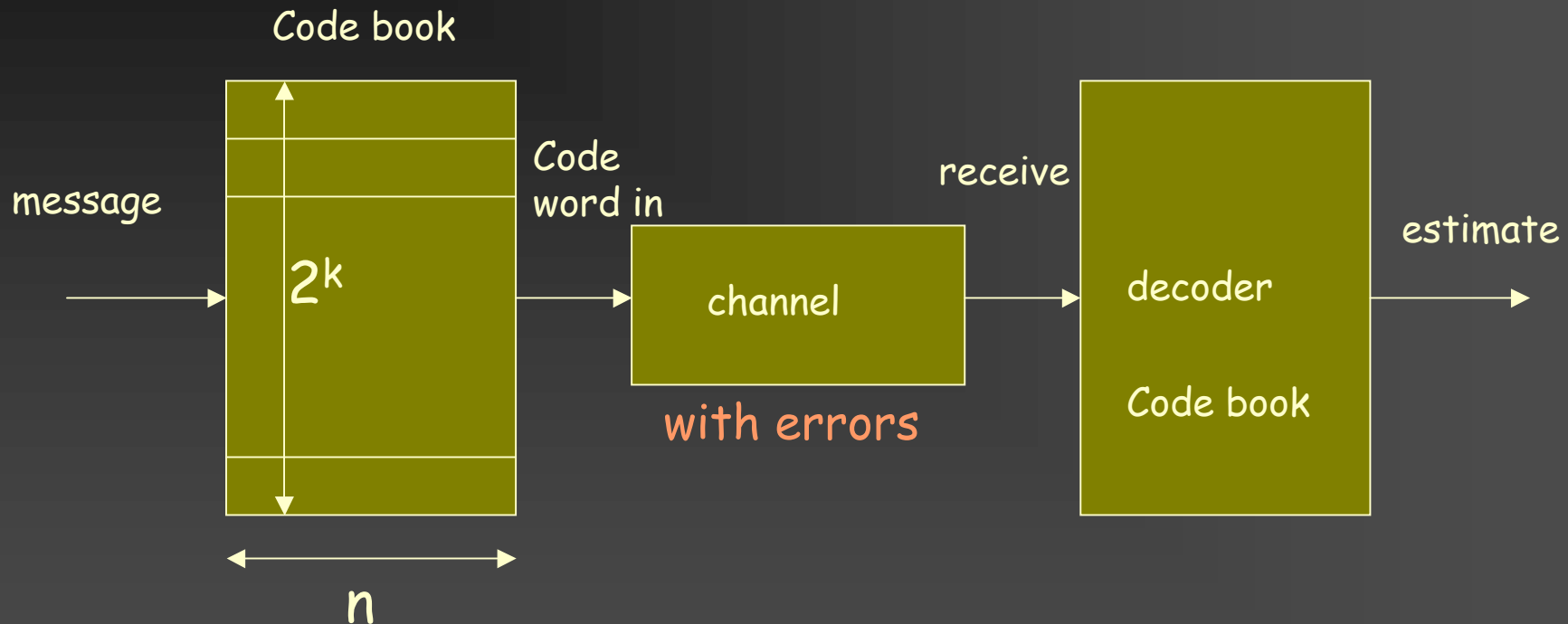
$$E(p) = \sum p(i) * I(i)$$

notes:

capacity depends on input probabilities

because the transition probabilities are fixed

Practical communication system design



There are 2^k code words of length n

k is the number of information bits transmitted in n channel uses

Channel capacity

Definition:

The rate R of a code is the ratio k/n , where

k is the number of information bits transmitted in n channel uses

Shannon showed that: :

for $R \leq C$

encoding methods exist

with decoding error probability $\rightarrow 0$

Encoding and decoding according to Shannon

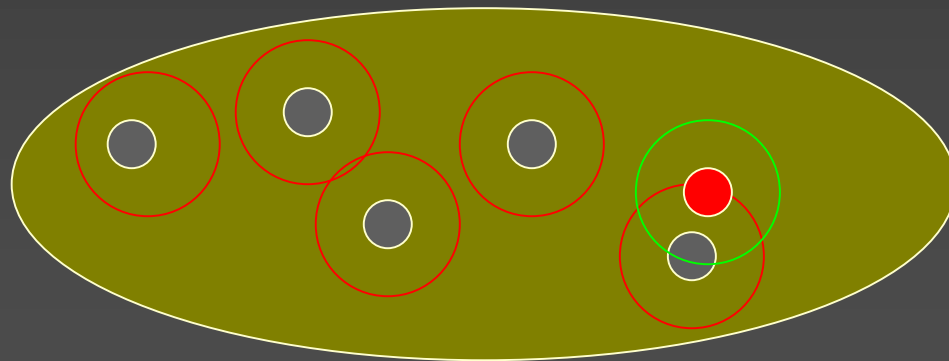
Code: 2^k binary codewords where $p(0) = p(1) = \frac{1}{2}$ ○

Channel errors: $P(0 \rightarrow 1) = P(1 \rightarrow 0) = p$

i.e. # error sequences $\approx 2^{nh(p)}$

Decoder: search around received sequence for codeword

with $\approx np$ differences ●



space of 2^n binary sequences

decoding error probability

1. For t errors: $|t/n-p| > \epsilon$

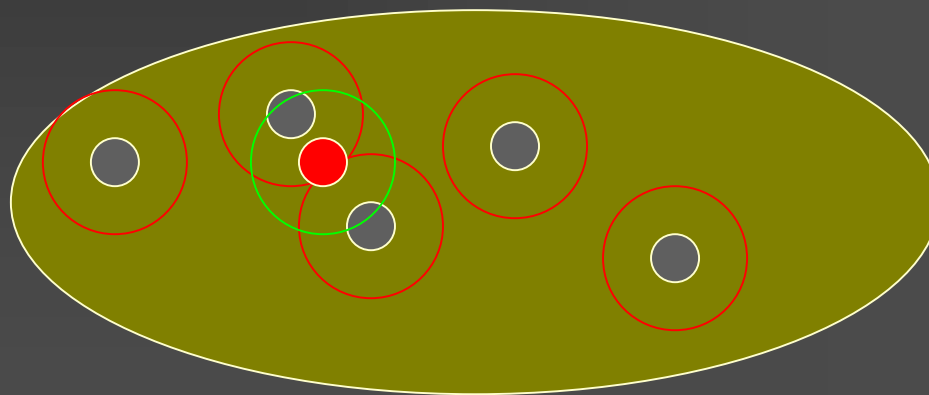
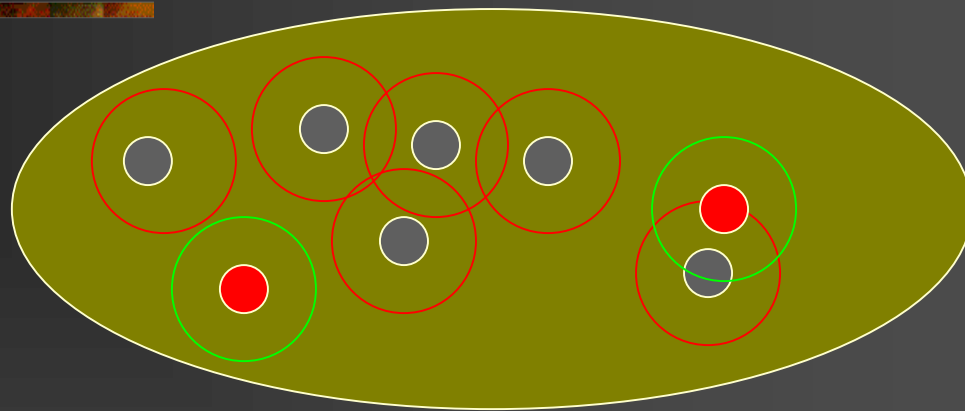
$\rightarrow 0$ for $n \rightarrow \infty$
(law of large numbers)

2. > 1 code word in region
(codewords random)

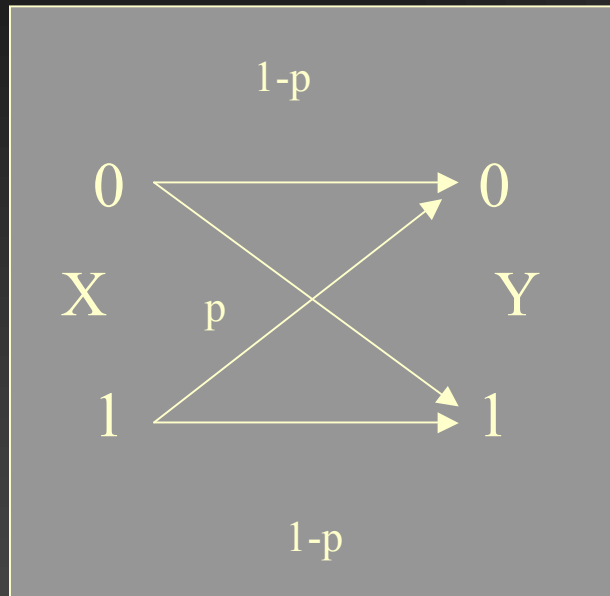
$$P(> 1) \approx (2^k - 1) \frac{2^{nh(p)}}{2^n}$$
$$\rightarrow 2^{-n(1-h(p)-R)} = 2^{-n(C_{BSC}-R)} \rightarrow 0$$

for $R = \frac{k}{n} < 1 - h(p)$

and $n \rightarrow \infty$



channel capacity: the BSC



$$I(X;Y) = H(Y) - H(Y|X)$$

the maximum of $H(Y) = 1$

since Y is binary

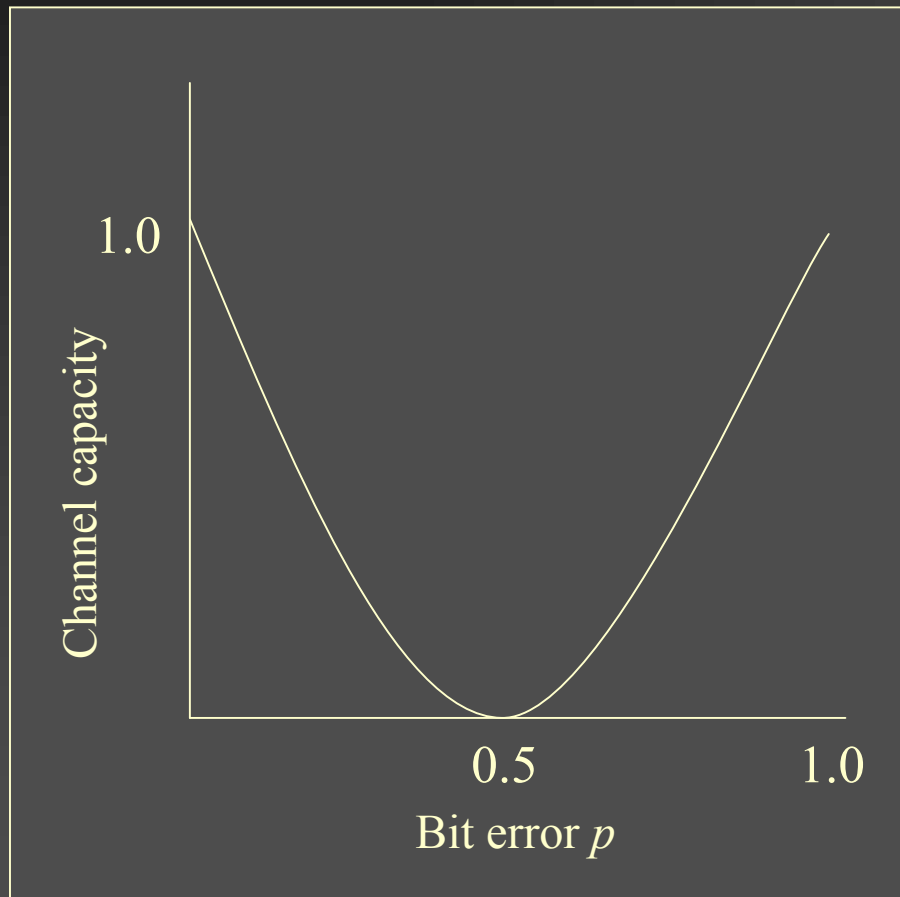
$$H(Y|X) = h(p)$$

$$= P(X=0)h(p) + P(X=1)h(p)$$

Conclusion: the capacity for the BSC $C_{BSC} = 1 - h(p)$

Homework: draw C_{BSC} , what happens for $p > \frac{1}{2}$

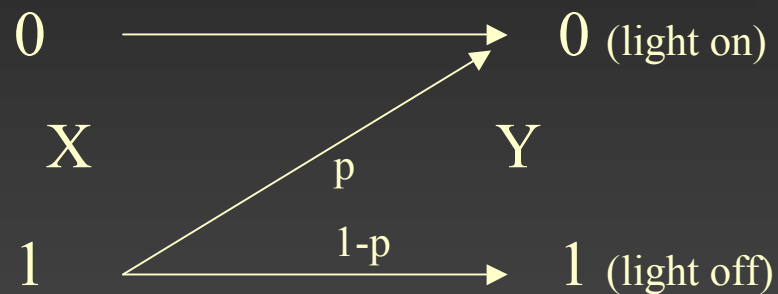
channel capacity: the BSC



Explain the behaviour!

channel capacity: the Z-channel

Application in optical communications



$$P(X=0) = P_0$$

$$H(Y) = h(P_0 + p(1 - P_0))$$

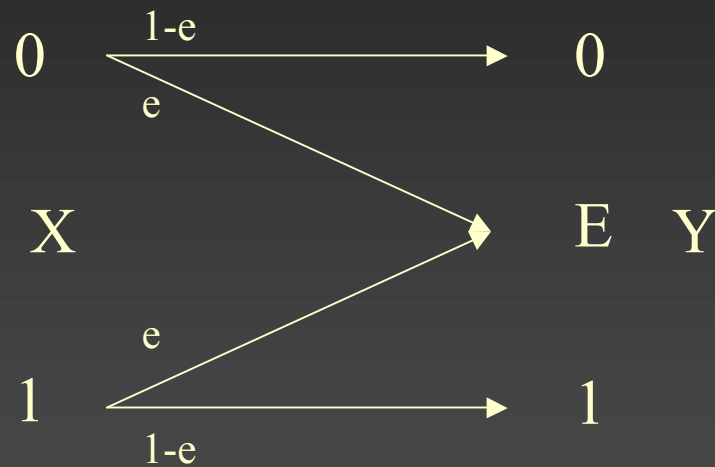
$$H(Y|X) = (1 - P_0) h(p)$$

For capacity,

maximize $I(X;Y)$ over P_0

channel capacity: the erasure channel

Application: cdma detection



$$P(X=0) = P_0$$

$$I(X;Y) = H(Y) - H(Y|X)$$

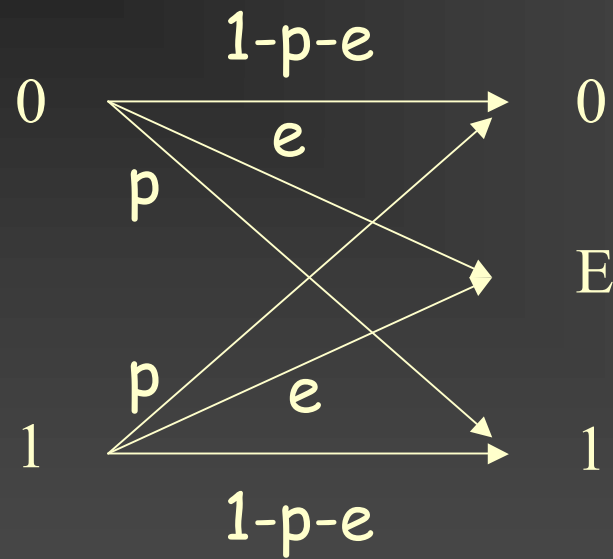
$$H(Y) = h(P_0)$$

$$H(Y|X) = e h(P_0)$$

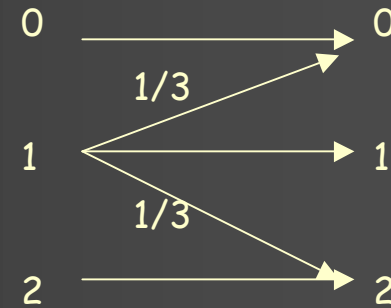
$$\text{Thus } C_{\text{erasure}} = 1 - e$$

(check!, draw and compare with BSC and Z)

Erasure with errors: calculate the capacity!



example



- Consider the following example

- For $P(0) = P(2) = p$, $P(1) = 1-2p$

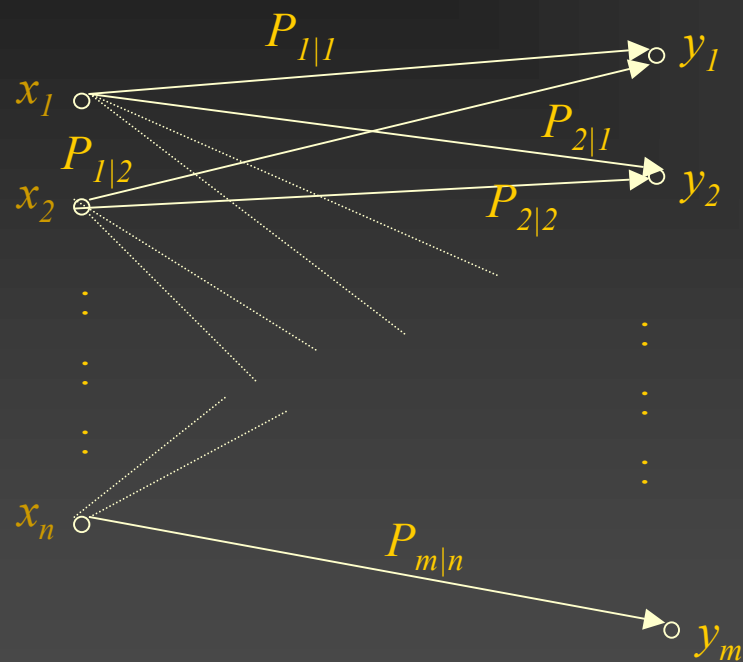
$$H(Y) = h(1/3 - 2p/3) + (2/3 + 2p/3); \quad H(Y|X) = (1-2p)\log_2 3$$

Q: maximize $H(Y) - H(Y|X)$ as a function of p

Q: is this the capacity?

hint use the following: $\log_2 x = \ln x / \ln 2$; $d \ln x / dx = 1/x$

channel models: general diagram



Input alphabet $X = \{x_1, x_2, \dots, x_n\}$

Output alphabet $Y = \{y_1, y_2, \dots, y_m\}$

$$P_{j|i} = P_{Y|X}(y_j|x_i)$$

In general:

calculating capacity needs more theory

The statistical behavior of the channel is completely defined by the channel transition probabilities $P_{j|i} = P_{Y|X}(y_j|x_i)$

* clue:

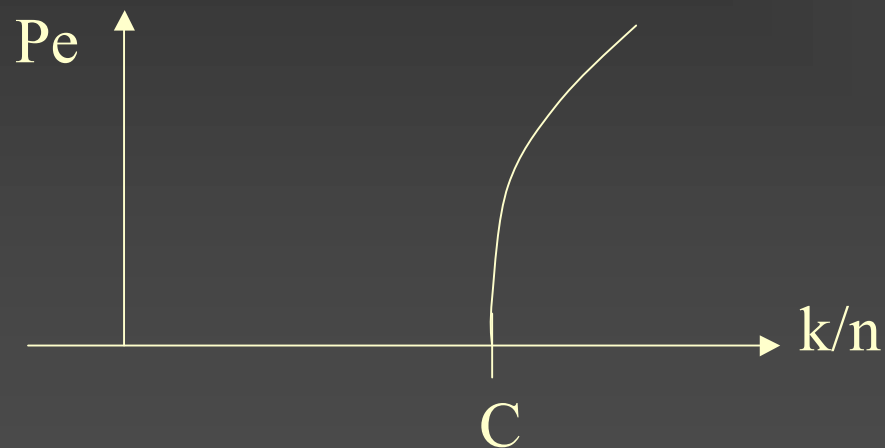
$I(X;Y)$

is convex \cap in the input probabilities

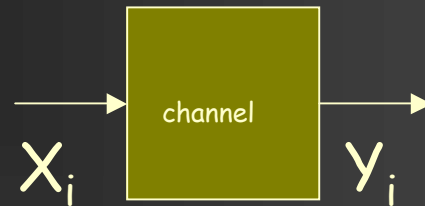
i.e. finding a maximum is simple

Channel capacity: converse

For $R > C$ the decoding error probability > 0

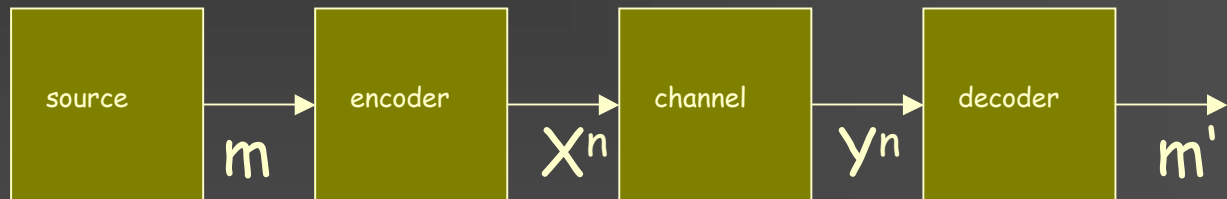


Converse: For a discrete memory less channel



$$I(X^n; Y^n) = H(Y^n) - \sum_{i=1}^n H(Y_i | X_i) \leq \sum_{i=1}^n H(Y_i) - \sum_{i=1}^n H(Y_i | X_i) = \sum_{i=1}^n I(X_i; Y_i) \leq nC$$

Source generates one out of 2^k equiprobable messages



Let P_e = probability that $m' \neq m$

converse $R := k/n$

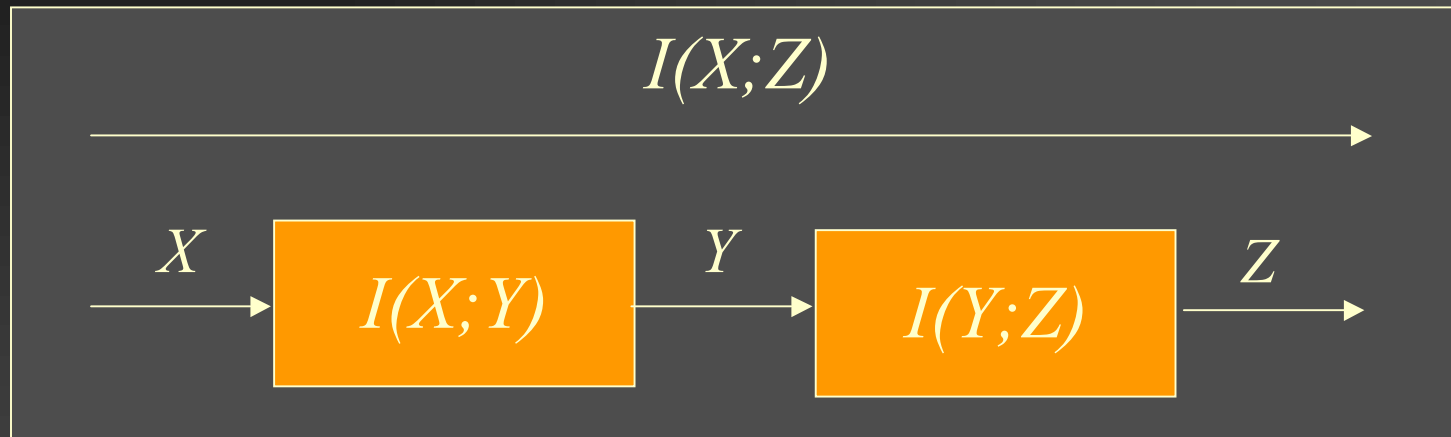
$$\left. \begin{aligned} k = H(M) &= I(M; Y^n) + H(M|Y^n) \\ &\leq \underbrace{I(X^n; Y^n)}_{X^n \text{ is a function of } M} + \underbrace{1 + k P_e}_{\text{Fano}} \\ &\leq nC + 1 + k P_e \end{aligned} \right\} 1 - Cn/k - 1/k \leq P_e$$

$$P_e \geq 1 - C/R - 1/nR$$

Hence: for large n , and $R > C$,
the probability of error $P_e > 0$

We used the data processing theorem

Cascading of Channels



The overall transmission rate $I(X;Z)$ for the cascade can not be larger than $I(Y;Z)$, that is:

$$I(X;Z) \leq I(Y;Z)$$