

# *Estimation for Lexicalised PCFGs*

ACS Introduction to NLP  
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# Estimation for PCFGs

- Easy!

$$\hat{P}(RHS|LHS) = \frac{f(LHS \rightarrow RHS)}{f(LHS)}$$

where  $f(LHS \rightarrow RHS)$  is the number of times  $LHS$  rewrites as the  $RHS$  in a treebank, and  $f(LHS)$  is the total number of times  $LHS$  is rewritten as anything

- These relative frequency estimates can be justified as maximum likelihood estimates:

$$\hat{P} = \arg \max_P \prod_{i=1}^n \prod_{j=1}^m P(RHS_j^i | LHS_j^i)$$

where  $LHS_j^i \rightarrow RHS_j^i$  is the  $j$ th rule application in the  $i$ th training example (Collins has a proof of this)

## *Smoothing for Lexicalised PCFGs*

- The grammar Collins uses is (roughly speaking) a lexicalised PCFG (I say roughly speaking because of the Markov process generating the subcat frames)
- Lexicalised PCFGs can be thought of as PCFGs with much larger sets of non-terminal symbols (the standard non-terminals embellished with lexical items)
- So relative frequency estimation isn't going to work (many combinations of LHS's and RHS's won't appear in the data)

## *Backoff and Interpolation*

- Backoff levels for  $p_h(H|P, w, t)$  where  $H$  is the head category,  $P$  is the parent,  $w$  is the head word associated with the head category, and  $t$  is the pos tag of the head word
  - $p_h(H|P, w, t)$
  - $p_h(H|P, t)$
  - $p_h(H|P)$
- Use a linear combination of these (linear interpolation):

$$\tilde{p}_h(H|P, w, t) = \lambda_1 \hat{p}_h(H|P, w, t) + \lambda_2 \hat{p}_h(H|P, t) + \lambda_3 \hat{p}_h(H|P)$$

$$\lambda_i \geq 0, \sum_i \lambda_i = 1$$

## Setting the Lambdas

- A neat way to set the values of the  $\lambda$ s based on the *diversity*:

$$\lambda_i = \frac{f_i}{f_i + 5u_i}$$

where  $f_i$  is the number of times we've seen the denominator from the relative frequency estimate and  $u_i$  is the number of unique outcomes in the distribution (see p.185 of Collins' thesis); and 5 is set empirically

## More Backoff and Interpolation

- $p_L(L_i(lw_i, lt_i)|P, H, w, t, LC)$  where  $L_i(lw_i, lt_i)$  is a left complement consisting of non-terminal  $L_i$ , word  $lw_i$ , and pos tag  $lt_i$ ;  $P$  is the parent category;  $H$  is the category of the head;  $w$  is the head word;  $t$  is the pos tag of the head word, and  $LC$  is the left subcat frame

$$p_L(L_i(lw_i, lt_i)|P, H, w, t, LC) = p_L(L_i(lt_i)|P, H, w, t, LC) \times p_L(lw_i|L_i, lt_i, P, H, w, t, LC)$$

## More Backoff and Interpolation

- $p_L(L_i(l_i)|P, H, w, t, LC)$  where  $L_i(l_i)$  is a left complement,  $P$  is the parent category,  $H$  is the category of the head,  $w$  is the head word,  $t$  is the pos tag of the head word, and  $LC$  is the left subcat frame
  - $p_L(L_i(l_i)|P, H, w, t, LC)$
  - $p_L(L_i(l_i)|P, H, t, LC)$
  - $p_L(L_i(l_i)|P, H, LC)$

$$p_L(L_i(l_i)|P, H, t, LC) = \lambda_1 p_L(L_i(l_i)|P, H, w, t, LC) + \lambda_2 p_L(L_i(l_i)|P, H, t, LC) + \lambda_3 p_L(L_i(l_i)|P, H, LC)$$

## *Dealing with Unknown Words*

- All words occurring less than 5 times in the training data, and all words in test data never seen in training, are replaced with an “UNKNOWN” token
- Question: why does this work?
  - we’re replacing a rare word with “UNKNOWN” (which is now quite common!)
  - so the joint model isn’t very accurate at generating rare words? (overestimates their probabilities)
  - why isn’t this a problem?



## *Distance*

- All Collins' models have “distance” parameters which improve the results
- I've ignored them only because they clutter the equations further and adding them as extra parameters is not complicated

## *Results*

- Model 1 achieves 87.5/87.7 LP/LR on WSJ section 23 according to the Parseval measures
- Model 2 achieves 88.1/88.3 LP/LR
- Current best scores on this task are around 91 (eg Charniak and Johnson (2005), Coarse-to-fine n-best parsing and MaxEnt discriminative reranking)