

## Complexity Theory

### Lecture 9

Anuj Dawar

University of Cambridge Computer Laboratory  
Lent Term 2012

<http://www.cl.cam.ac.uk/teaching/1112/Complexity/>

## Prime Numbers

Consider the decision problem **PRIME**:

Given a number  $x$ , is it prime?

This problem is in **co-NP**.

$$\forall y(y < x \rightarrow (y = 1 \vee \neg(\text{div}(y, x))))$$

Note again, the algorithm that checks for all numbers up to  $\sqrt{n}$  whether any of them divides  $n$ , is not polynomial, as  $\sqrt{n}$  is not polynomial in the size of the input string, which is  $\log n$ .

## Primality

In 2002, Agrawal, Kayal and Saxena showed that **PRIME** is in **P**.

If  $a$  is co-prime to  $p$ ,

$$(x - a)^p \equiv (x^p - a) \pmod{p}$$

if, and only if,  $p$  is a prime.

Checking this equivalence would take too long. Instead, the equivalence is checked *modulo* a polynomial  $x^r - 1$ , for “suitable”  $r$ .

The existence of suitable small  $r$  relies on deep results in number theory.

## Factors

Consider the language **Factor**

$$\{(x, k) \mid x \text{ has a factor } y \text{ with } 1 < y < k\}$$

**Factor**  $\in$  **NP**  $\cap$  **co-NP**

*Certificate of membership*—a factor of  $x$  less than  $k$ .

*Certificate of disqualification*—the prime factorisation of  $x$ .

## Optimisation

The **Travelling Salesman Problem** was originally conceived of as an optimisation problem

to find a minimum cost tour.

We forced it into the mould of a decision problem – **TSP** – in order to fit it into our theory of **NP**-completeness.

Similar arguments can be made about the problems **CLIQUE** and **IND**.

This is still reasonable, as we are establishing the *difficulty* of the problems.

A polynomial time solution to the optimisation version would give a polynomial time solution to the decision problem.

Also, a polynomial time solution to the decision problem would allow a polynomial time algorithm for *finding the optimal value*, using binary search, if necessary.

## Function Problems

Still, there is something interesting to be said for *function problems* arising from **NP** problems.

Suppose

$$L = \{x \mid \exists y R(x, y)\}$$

where  $R$  is a polynomially-balanced, polynomial time decidable relation.

A *witness function* for  $L$  is any function  $f$  such that:

- if  $x \in L$ , then  $f(x) = y$  for some  $y$  such that  $R(x, y)$ ;
- $f(x) = \text{"no"}$  otherwise.

The class **FNP** is the collection of all witness functions for languages in **NP**.

## FNP and FP

A function which, for any given Boolean expression  $\phi$ , gives a satisfying truth assignment if  $\phi$  is satisfiable, and returns “no” otherwise, is a witness function for **SAT**.

If any witness function for **SAT** is computable in polynomial time, then  $P = NP$ .

If  $P = NP$ , then for every language in **NP**, some witness function is computable in polynomial time, by a binary search algorithm.

$$P = NP \text{ if, and only if, } FNP = FP$$

Under a suitable definition of reduction, the witness functions for **SAT** are **FNP**-complete.

## Factorisation

The *factorisation* function maps a number  $n$  to its prime factorisation:

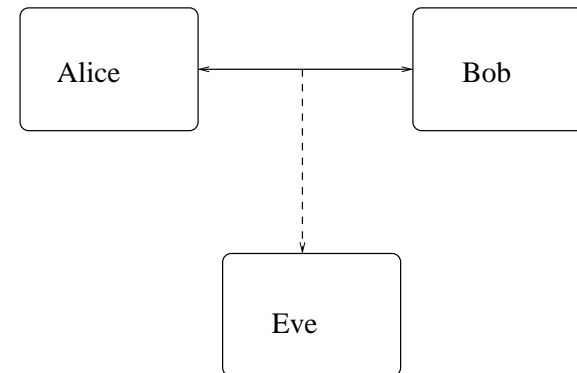
$$2^{k_1} 3^{k_2} \dots p_m^{k_m}.$$

This function is in **FNP**.

The corresponding decision problem (for which it is a witness function) is trivial - it is the set of all numbers.

Still, it is not known whether this function can be computed in polynomial time.

## Cryptography



Alice wishes to communicate with Bob without Eve eavesdropping.

## Private Key

In a private key system, there are two secret keys

$e$  – the encryption key

$d$  – the decryption key

and two functions  $D$  and  $E$  such that:

for any  $x$ ,

$$D(E(x, e), d) = x$$

For instance, taking  $d = e$  and both  $D$  and  $E$  as *exclusive or*, we have the *one time pad*:

$$(x \oplus e) \oplus e = x$$

## One Time Pad

The one time pad is provably secure, in that the only way Eve can decode a message is by knowing the key.

If the original message  $x$  and the encrypted message  $y$  are known, then so is the key:

$$e = x \oplus y$$

## Public Key

In public key cryptography, the encryption key  $e$  is public, and the decryption key  $d$  is private.

We still have,

for any  $x$ ,

$$D(E(x, e), d) = x$$

If  $E$  is polynomial time computable (and it must be if communication is not to be painfully slow), then the function that takes  $y = E(x, e)$  to  $x$  (without knowing  $d$ ), must be in **FNP**.

Thus, public key cryptography is not *provably secure* in the way that the one time pad is. It relies on the existence of functions in **FNP – FP**.

## One Way Functions

A function  $f$  is called a *one way function* if it satisfies the following conditions:

1.  $f$  is one-to-one.
2. for each  $x$ ,  $|x|^{1/k} \leq |f(x)| \leq |x|^k$  for some  $k$ .
3.  $f \in \text{FP}$ .
4.  $f^{-1} \notin \text{FP}$ .

We cannot hope to prove the existence of one-way functions without at the same time proving **P  $\neq$  NP**.

It is strongly believed that the RSA function:

$$f(x, e, p, q) = (x^e \bmod pq, pq, e)$$

is a one-way function.