

Introduction to
Category Theory
for Computer Scientists

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Literature

- S. Mac Lane:
Categories for the Working Mathematician. Springer, 1998.
- F. Borceux:
Handbook of Categorical Algebra. Springer, 1998.
- S. Awodey:
Category Theory. Oxford University Press, 2006.
- J. Adamek, H. Herrlich, G. E. Strecker:
Abstract and Concrete Categories: the Joy of Cats.
<http://katmat.math.uni-bremen.de/acc/acc.pdf>
- M. Barr, C. Wells:
Category Theory Lecture Notes.
<http://folli.loria.fr/cds/1999/library/pdf/barrwells.pdf>

A glimpse of categorical thinking

The **Cartesian product** of sets A and B :

$$A \times B = \{\langle a, b \rangle \mid a \in A, b \in B\}$$

projections:

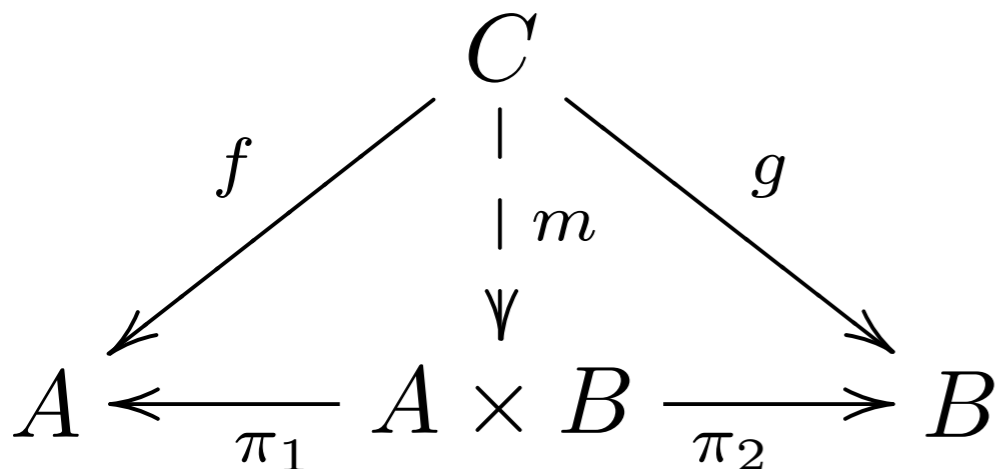
$$\pi_1 : A \times B \rightarrow A \quad \pi_1(\langle a, b \rangle) = a$$

$$\pi_2 : A \times B \rightarrow B \quad \pi_2(\langle a, b \rangle) = b$$

Set described
in terms of
its elements

Fact: for any set C with functions $f : C \rightarrow A$ and $g : C \rightarrow B$,
there exists a **unique** function $m : C \rightarrow A \times B$ such that:

$$\pi_1 \circ m = f \quad \text{and} \quad \pi_2 \circ m = g .$$



Set described in terms
of functions to and
from other sets

Reversing arrows

Coproduct of A and B :

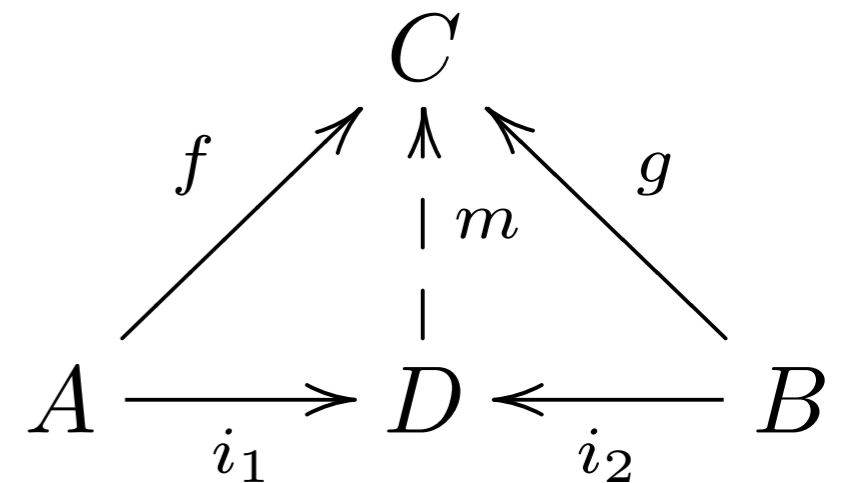
a set D with functions $i_1 : A \rightarrow D$
 $i_2 : B \rightarrow D$

such that for any set C with functions

$$f : A \rightarrow C \quad g : B \rightarrow C$$

there exists a **unique** function $m : D \rightarrow C$ such that:

$$m \circ i_1 = f \quad \text{and} \quad m \circ i_2 = g.$$



Fact: The condition is satisfied by the **disjoint sum** of A and B :

$$A + B = \{\langle 1, a \rangle \mid a \in A\} \cup \{\langle 2, b \rangle \mid b \in B\}$$

Category Theory

An abstract theory of functions

- Mathematical objects studied in terms of their relations to other objects
- A unified view on different mathematical structures
- Developed in 1940s for use in algebraic topology
- In Computer Science:
 - semantics of computation
 - functional programming
 - logic
 - type theory

Plan

1. Category

(today)

2. Limit

(week 2-3)

3. Functor

(week 3-4)

4. Natural transformation

(week 5)

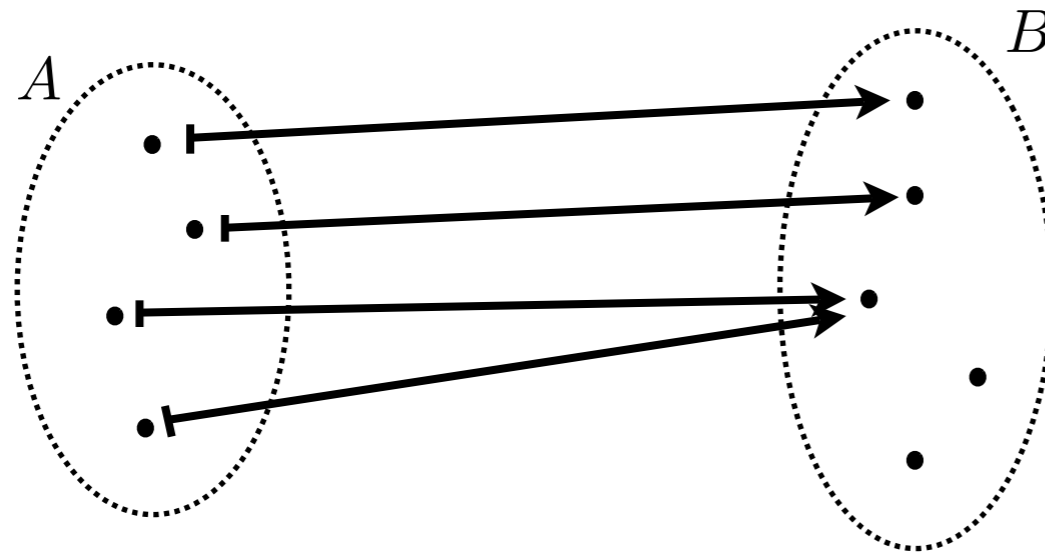
5. Adjunction

(week 7)

Universality

Naturality

Functions between sets



$$f : A \rightarrow B$$

$$A \xrightarrow{f} B$$

Composition:

$$A \xrightarrow{f} B \xrightarrow{g} C$$

$g \circ f$

$$(g \circ f)(a) = g(f(a))$$

Identity:

$$1_A : A \rightarrow A$$

$$1_A(a) = a$$

Facts: for any $A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D$,

$$(h \circ g) \circ f = h \circ (g \circ f)$$

(composition is associative)

$$1_B \circ f = f = f \circ 1_A$$

(identity is unit for composition)

Categories

Definition. A **category** consists of:

- **objects** A, B, C, \dots
- **arrows** f, g, h, \dots (also called **morphisms**)
- for each arrow f , there are objects $\text{dom}(f)$ and $\text{cod}(f)$
(we write $f : A \rightarrow B$ to say that $\text{dom}(f) = A, \text{cod}(f) = B$)
- for arrows $f : A \rightarrow B, g : B \rightarrow C$, there is an arrow
 $g \circ f : A \rightarrow C$ **composition**
- for each A , there is an arrow $1_A : A \rightarrow A$, **identity**

subject to the following laws:

- $h \circ (g \circ f) = (h \circ g) \circ f$ for $f : A \rightarrow B, g : B \rightarrow C, h : C \rightarrow D$
- $1_B \circ f = f = f \circ 1_A$ for $f : A \rightarrow B$.

Bits of notation

Given a category \mathbf{C} ,

- the collection of its objects is denoted $|\mathbf{C}|$
- the collection of its arrows is denoted $\text{Ar}(\mathbf{C})$
- for any objects A, B the collection of arrows $f : A \rightarrow B$ is denoted $\text{hom}(A, B)$ or $\mathbf{C}(A, B)$.

An equivalent definition of category:

- a collection A, B, C, \dots of objects,
- for any objects A, B , a collection $\text{hom}(A, B)$ of arrows,
- for any A, B, C , a function
$$\circ : \text{hom}(B, C) \times \text{hom}(A, B) \rightarrow \text{hom}(A, C)$$
- for any A , a distinguished arrow $1_A \in \text{hom}(A, A)$, such that etc.

Examples

Some finite categories:

- **0:** (the empty category)

- **1:** *

- **2:** * \longrightarrow *

- **3:** * $\xrightarrow{\quad}$ * $\xrightarrow{\quad}$ ●

...

- * $\begin{matrix} \xrightarrow{f} \\ \xleftarrow{g} \end{matrix}$ *

- * $\begin{matrix} \xrightarrow{f} \\ \xleftarrow{g} \end{matrix}$ * where $g \circ f = 1_*$
 $f \circ g = 1_*$

(identities omitted)

- a **discrete category**: category with no arrows except identities.

Categories vs. graphs

Definition. A (directed multi-)graph consists of:

- a set V of vertices
- a set E of edges
- source and target functions $s, t : E \rightarrow V$

Definition. Path in $G = (V, E, s, t)$ is a finite sequence of edges:

$$e_1 e_2 e_3 \dots e_n \text{ s.t. } t(e_i) = s(e_{i+1}) \text{ for } i = 1..n - 1$$

The category of paths on G :

- objects = vertices, arrows = paths
- $\text{dom}(e_1 \dots e_n) = s(e_1)$ and $\text{cod}(e_1 \dots e_n) = t(e_n)$
- composition = path concatenation
- identity = empty path

(a separate empty path for every vertex is needed)

Partial orders as categories

Defn. A binary relation \leq on a set A is a **preorder** if:

- $a \leq a$ for $a \in A$ (reflexivity),
- if $a \leq b, b \leq c$ then $a \leq c$, for $a, b, c \in A$ (transitivity).

If, additionally,

- $a \leq b$ and $b \leq a$ implies $a = b$ (antisymmetry),

then \leq is a **partial order** (and (A, \leq) is a **poset**).

Fact: a preorder (hence every poset) can be seen as a category.

Fact: a category s.t. for any objects A, B there is *at most one* arrow $f : A \rightarrow B$, is a preorder. (up to size issues)

Idea: $A \rightarrow B \iff A \leq B$

Monoids as categories

Defn. A **monoid** consists of:

- a set M (the **carrier**)
- an operation $\cdot : M \times M \rightarrow M$ (the **multiplication**)
- an element $1 \in M$ (the **unit**)

such that for $x, y, z \in M$

$$x \cdot (y \cdot z) = (x \cdot y) \cdot z \quad 1 \cdot x = x = x \cdot 1$$

Fact. Categories with one object are exactly monoids.

(up to size issues)

Idea:

- exactly one object •
- arrows = elements of the carrier
- composition = multiplication
- identity = unit

More examples

- **Sets**: sets and functions

functions tagged with codomains

- **Sets_{fin}**: finite sets and functions

- **Sets₁₋₁**: sets and *injective* functions

($f : A \rightarrow B$ **injective** if $a \neq a'$ implies $f(a) \neq f(a')$)

- sets and *surjective* functions

($f : A \rightarrow B$ **surjective** if $\forall b \in B. \exists a \in A. f(a) = b$)

How about:

- sets and functions $f : A \rightarrow B$ such that
 $|f^{-1}(b)| \leq 2$ for every $b \in B$?

These functions
do not compose

- sets and *non-surjective* functions?

Identity is not such