

# Complexity Theory

## Lecture 9

Anuj Dawar

University of Cambridge Computer Laboratory  
Easter Term 2010

<http://www.cl.cam.ac.uk/teaching/0910/Complexity/>

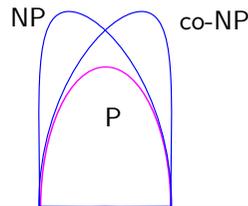
## co-NP

As **co-NP** is the collection of complements of languages in **NP**, and **P** is closed under complementation, **co-NP** can also be characterised as the collection of languages of the form:

$$L = \{x \mid \forall y |y| < p(|x|) \rightarrow R'(x, y)\}$$

**NP** – the collection of languages with succinct certificates of membership.

**co-NP** – the collection of languages with succinct certificates of disqualification.



Any of the situations is consistent with our present state of knowledge:

- $P = NP = \text{co-NP}$
- $P = NP \cap \text{co-NP} \neq NP \neq \text{co-NP}$
- $P \neq NP \cap \text{co-NP} = NP = \text{co-NP}$
- $P \neq NP \cap \text{co-NP} \neq NP \neq \text{co-NP}$

## co-NP-complete

**VAL** – the collection of Boolean expressions that are *valid* is *co-NP-complete*.

Any language  $L$  that is the complement of an **NP**-complete language is *co-NP-complete*.

Any reduction of a language  $L_1$  to  $L_2$  is also a reduction of  $\bar{L}_1$ –the complement of  $L_1$ –to  $\bar{L}_2$ –the complement of  $L_2$ .

There is an easy reduction from the complement of **SAT** to **VAL**, namely the map that takes an expression to its negation.

$$\text{VAL} \in P \Rightarrow P = NP = \text{co-NP}$$

$$\text{VAL} \in NP \Rightarrow NP = \text{co-NP}$$

## Prime Numbers

Consider the decision problem **PRIME**:

Given a number  $x$ , is it prime?

This problem is in **co-NP**.

$$\forall y(y < x \rightarrow (y = 1 \vee \neg(\text{div}(y, x))))$$

Note again, the algorithm that checks for all numbers up to  $\sqrt{n}$  whether any of them divides  $n$ , is not polynomial, as  $\sqrt{n}$  is not polynomial in the size of the input string, which is  $\log n$ .

## Primality

Another way of putting this is that **Composite** is in **NP**.

Pratt (1976) showed that **PRIME** is in **NP**, by exhibiting succinct certificates of primality based on:

A number  $p > 2$  is *prime* if, and only if, there is a number  $r$ ,  $1 < r < p$ , such that  $r^{p-1} = 1 \pmod p$  and  $r^{\frac{p-1}{q}} \neq 1 \pmod p$  for all *prime divisors*  $q$  of  $p-1$ .

## Primality

In 2002, Agrawal, Kayal and Saxena showed that **PRIME** is in **P**.

If  $a$  is co-prime to  $p$ ,

$$(x - a)^p \equiv (x^p - a) \pmod p$$

if, and only if,  $p$  is a prime.

Checking this equivalence would take to long. Instead, the equivalence is checked *modulo* a polynomial  $x^r - 1$ , for “suitable”  $r$ .

The existence of suitable small  $r$  relies on deep results in number theory.

## Factors

Consider the language **Factor**

$$\{(x, k) \mid x \text{ has a factor } y \text{ with } 1 < y < k\}$$

**Factor**  $\in$  **NP**  $\cap$  **co-NP**

*Certificate of membership*—a factor of  $x$  less than  $k$ .

*Certificate of disqualification*—the prime factorisation of  $x$ .

## Optimisation

The **Travelling Salesman Problem** was originally conceived of as an optimisation problem

to find a minimum cost tour.

We forced it into the mould of a decision problem – **TSP** – in order to fit it into our theory of **NP**-completeness.

Similar arguments can be made about the problems **CLIQUE** and **IND**.

This is still reasonable, as we are establishing the *difficulty* of the problems.

A polynomial time solution to the optimisation version would give a polynomial time solution to the decision problem.

Also, a polynomial time solution to the decision problem would allow a polynomial time algorithm for *finding the optimal value*, using binary search, if necessary.

## Function Problems

Still, there is something interesting to be said for *function problems* arising from **NP** problems.

Suppose

$$L = \{x \mid \exists y R(x, y)\}$$

where  $R$  is a polynomially-balanced, polynomial time decidable relation.

A *witness function* for  $L$  is any function  $f$  such that:

- if  $x \in L$ , then  $f(x) = y$  for some  $y$  such that  $R(x, y)$ ;
- $f(x) = \text{"no"}$  otherwise.

The class **FNP** is the collection of all witness functions for languages in **NP**.

## FNP and FP

A function which, for any given Boolean expression  $\phi$ , gives a satisfying truth assignment if  $\phi$  is satisfiable, and returns “no” otherwise, is a witness function for **SAT**.

If any witness function for **SAT** is computable in polynomial time, then  $P = NP$ .

If  $P = NP$ , then for every language in **NP**, some witness function is computable in polynomial time, by a binary search algorithm.

$$P = NP \text{ if, and only if, } FNP = FP$$

Under a suitable definition of reduction, the witness functions for **SAT** are **FNP**-complete.

## Factorisation

The *factorisation* function maps a number  $n$  to its prime factorisation:

$$2^{k_1} 3^{k_2} \dots p_m^{k_m}.$$

This function is in **FNP**.

The corresponding decision problem (for which it is a witness function) is trivial - it is the set of all numbers.

Still, it is not known whether this function can be computed in polynomial time.