

# The Halting Problem

**Definition.** A register machine  $H$  decides the Halting Problem if for all  $e, a_1, \dots, a_n \in \mathbb{N}$ , starting  $H$  with

$$R_0 = 0 \quad R_1 = e \quad R_2 = \lceil [a_1, \dots, a_n] \rceil$$

and all other registers zeroed, the computation of  $H$  always halts with  $R_0$  containing  $0$  or  $1$ ; moreover when the computation halts,  $R_0 = 1$  if and only if

the register machine program with index  $e$  eventually halts when started with  $R_0 = 0, R_1 = a_1, \dots, R_n = a_n$  and all other registers zeroed.

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**Theorem.** No such register machine  $H$  can exist.

# Proof of the theorem

Assume we have a RM  $H$  that decides the Halting Problem and derive a contradiction, as follows:

- ▶ Let  $H'$  be obtained from  $H$  by replacing  $\text{START} \rightarrow$  by  $\text{START} \rightarrow \boxed{Z ::= R_1} \rightarrow \boxed{\text{push } Z \text{ to } R_2} \rightarrow$   
(where  $Z$  is a register not mentioned in  $H$ 's program).
- ▶ Let  $C$  be obtained from  $H'$  by replacing each  $\text{HALT}$  (& each erroneous halt) by  $\longrightarrow R_0^- \rightleftarrows R_0^+ .$   
 $\downarrow$   
 $\text{HALT}$
- ▶ Let  $c \in \mathbb{N}$  be the index of  $C$ 's program.

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—contradiction!

# Computable functions

Recall:

**Definition.**  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is (**register machine**) **computable** if there is a register machine  $M$  with at least  $n + 1$  registers  $R_0, R_1, \dots, R_n$  (and maybe more) such that for all  $(x_1, \dots, x_n) \in \mathbb{N}^n$  and all  $y \in \mathbb{N}$ , the computation of  $M$  starting with  $R_0 = 0$ ,  $R_1 = x_1, \dots, R_n = x_n$  and all other registers set to  $0$ , halts with  $R_0 = y$  if and only if  $f(x_1, \dots, x_n) = y$ .

Note that the same RM  $M$  could be used to compute a unary function ( $n = 1$ ), or a binary function ( $n = 2$ ), etc. From now on we will concentrate on the unary case...

# Enumerating computable functions

For each  $e \in \mathbb{N}$ , let  $\varphi_e \in \mathbb{N} \rightarrow \mathbb{N}$  be the unary partial function computed by the RM with program  $\mathit{prog}(e)$ .

So for all  $x, y \in \mathbb{N}$ :

$\varphi_e(x) = y$  holds iff the computation of  $\mathit{prog}(e)$  started with  $R_0 = 0, R_1 = x$  and all other registers zeroed eventually halts with  $R_0 = y$ .

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$$e \mapsto \varphi_e$$

defines an onto function from  $\mathbb{N}$  to the collection of all computable partial functions from  $\mathbb{N}$  to  $\mathbb{N}$ .

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So  $\mathbb{N} \rightarrow \mathbb{N}$  (uncountable, by Cantor) contains uncomputable functions

# An uncomputable function

Let  $f \in \mathbb{N} \rightarrow \mathbb{N}$  be the partial function with graph  $\{(x, 0) \mid \varphi_x(x) \uparrow\}$ .

$$\text{Thus } f(x) = \begin{cases} 0 & \varphi_x(x) \uparrow \\ \textit{undefined} & \varphi_x(x) \downarrow \end{cases}$$

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$$\text{Thus } f(x) = \begin{cases} 0 & \varphi_x(x) \uparrow \\ \text{undefined} & \varphi_x(x) \downarrow \end{cases}$$

$f$  is not computable, because if it were, then  $f = \varphi_e$  for some  $e \in \mathbb{N}$  and hence

- ▶ if  $\varphi_e(e) \uparrow$ , then  $f(e) = 0$  (by def. of  $f$ ); so  $\varphi_e(e) = 0$  (by def. of  $e$ ), i.e.  $\varphi_e(e) \downarrow$
- ▶ if  $\varphi_e(e) \downarrow$ , then  $f(e) \uparrow$  (by def. of  $e$ ); so  $\varphi_e(e) \uparrow$  (by def. of  $f$ )

—contradiction! So  $f$  cannot be computable.

# (Un)decidable sets of numbers

Given a subset  $S \subseteq \mathbb{N}$ , its **characteristic function**

$\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$  is given by:  $\chi_S(x) \triangleq \begin{cases} 1 & \text{if } x \in S \\ 0 & \text{if } x \notin S. \end{cases}$

# (Un)decidable sets of numbers

**Definition.**  $S \subseteq \mathbb{N}$  is called (register machine) **decidable** if its characteristic function  $\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$  is a register machine computable function. Otherwise it is called **undecidable**.

So  $S$  is decidable iff there is a RM  $M$  with the property: for all  $x \in \mathbb{N}$ ,  $M$  started with  $R_0 = 0, R_1 = x$  and all other registers zeroed eventually halts with  $R_0$  containing **1** or **0**; and  $R_0 = 1$  on halting iff  $x \in S$ .

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Basic strategy: to prove  $S \subseteq \mathbb{N}$  undecidable, try to show that decidability of  $S$  would imply decidability of the Halting Problem.

For example...

**Claim:**  $S_0 \triangleq \{e \mid \varphi_e(0) \downarrow\}$  is undecidable.

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**Proof (sketch):** Suppose  $M_0$  is a RM computing  $\chi_{S_0}$ . From  $M_0$ 's program (using the same techniques as for constructing a universal RM) we can construct a RM  $H$  to carry out:

*let*  $e = R_1$  *and*  $\ulcorner [a_1, \dots, a_n] \urcorner = R_2$  *in*  
     $R_1 ::= \ulcorner (R_1 ::= a_1) ; \dots ; (R_n ::= a_n) ; \text{prog}(e) \urcorner ;$   
 $R_2 ::= \mathbf{0} ;$   
*run*  $M_0$

Then by assumption on  $M_0$ ,  $H$  decides the Halting Problem—contradiction. So no such  $M_0$  exists, i.e.  $\chi_{S_0}$  is uncomputable, i.e.  $S_0$  is undecidable.

**Claim:**  $S_1 \triangleq \{e \mid \varphi_e \text{ a total function}\}$  is undecidable.

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**Proof (sketch):** Suppose  $M_1$  is a RM computing  $\chi_{S_1}$ . From  $M_1$ 's program we can construct a RM  $M_0$  to carry out:

*let  $e = R_1$  in  $R_1 ::= \lceil R_1 ::= 0; \text{prog}(e) \rceil$ ;  
run  $M_1$*

Then by assumption on  $M_1$ ,  $M_0$  decides membership of  $S_0$  from previous example (i.e. computes  $\chi_{S_0}$ )—contradiction. So no such  $M_1$  exists, i.e.  $\chi_{S_1}$  is uncomputable, i.e.  $S_1$  is undecidable.