

# Register Machines

# Algorithms, informally

No precise definition of “algorithm” at the time Hilbert posed the *Entscheidungsproblem*, just examples.

Common features of the examples:

- ▶ **finite** description of the procedure in terms of elementary operations
- ▶ **deterministic** (next step uniquely determined if there is one)
- ▶ procedure may not terminate on some input data, but we can recognize when it does terminate and what the **result** is.

# Register Machines, informally

They operate on natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$  stored in (idealized) registers using the following “elementary operations”:

- ▶ add **1** to the contents of a register
- ▶ test whether the contents of a register is **0**
- ▶ subtract **1** from the contents of a register if it is non-zero
- ▶ jumps (“goto”)
- ▶ conditionals (“if\_then\_else\_”)

**Definition.** A register machine is specified by:

- ▶ finitely many registers  $R_0, R_1, \dots, R_n$   
(each capable of storing a natural number);
- ▶ a program consisting of a finite list of instructions of the form  $\textit{label} : \textit{body}$ , where for  $i = 0, 1, 2, \dots$ , the  $(i + 1)^{\text{th}}$  instruction has label  $L_i$ .

**Definition.** A register machine is specified by:

- ▶ finitely many registers  $R_0, R_1, \dots, R_n$   
(each capable of storing a natural number);
- ▶ a program consisting of a finite list of instructions of the form *label* : *body*, where for  $i = 0, 1, 2, \dots$ , the  $(i + 1)^{\text{th}}$  instruction has label  $L_i$ .

Instruction *body* takes one of three forms:

$R^+ \rightarrow L'$	add 1 to contents of register $R$ and jump to instruction labelled $L'$
$R^- \rightarrow L', L''$	if contents of $R$ is $> 0$ , then subtract 1 from it and jump to $L'$ , else jump to $L''$
HALT	stop executing instructions

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2
3	1	0	1

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2
3	1	0	1
2	2	0	1

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2
3	1	0	1
2	2	0	1
3	2	0	0

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2
3	1	0	1
2	2	0	1
3	2	0	0
2	3	0	0

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

example computation:

$L_i$	$R_0$	$R_1$	$R_2$
0	0	1	2
1	0	0	2
0	1	0	2
2	1	0	2
3	1	0	1
2	2	0	1
3	2	0	0
2	3	0	0
4	3	0	0

# Register machine computation

Register machine **configuration**:

$$c = (\ell, r_0, \dots, r_n)$$

where  $\ell$  = current label and  $r_i$  = current contents of  $R_i$ .

**Notation:** " $R_i = x$  [in configuration  $c$ ]" means

$$c = (\ell, r_0, \dots, r_n) \text{ with } r_i = x.$$

# Register machine computation

Register machine **configuration**:

$$c = (\ell, r_0, \dots, r_n)$$

where  $\ell$  = current label and  $r_i$  = current contents of  $R_i$ .

**Notation:** " $R_i = x$  [in configuration  $c$ ]" means

$$c = (\ell, r_0, \dots, r_n) \text{ with } r_i = x.$$

Initial configurations:

$$c_0 = (0, r_0, \dots, r_n)$$

where  $r_i$  = initial contents of register  $R_i$ .

# Register machine computation

A **computation** of a RM is a (finite or infinite) sequence of configurations

$$c_0, c_1, c_2, \dots$$

where

- ▶  $c_0 = (0, r_0, \dots, r_n)$  is an initial configuration
- ▶ each  $c = (\ell, r_0, \dots, r_n)$  in the sequence determines the next configuration in the sequence (if any) by carrying out the program instruction labelled  $L_\ell$  with registers containing  $r_0, \dots, r_n$ .

# Halting

For a finite computation  $c_0, c_1, \dots, c_m$ , the last configuration  $c_m = (\ell, r, \dots)$  is a **halting** configuration, i.e. instruction labelled  $L_\ell$  is

either HALT (a “proper halt”)

or  $R^+ \rightarrow L$ , or  $R^- \rightarrow L, L'$  with  $R > 0$ , or  
 $R^- \rightarrow L', L$  with  $R = 0$

and there is no instruction labelled  $L$  in the program (an “erroneous halt”)

# Halting

For a finite computation  $c_0, c_1, \dots, c_m$ , the last configuration  $c_m = (\ell, r, \dots)$  is a halting configuration, i.e. instruction labelled  $L_\ell$  is

either HALT (a “proper halt”)

or  $R^+ \rightarrow L$ , or  $R^- \rightarrow L, L'$  with  $R > 0$ , or  
 $R^- \rightarrow L', L$  with  $R = 0$

and there is no instruction labelled  $L$  in the program (an “erroneous halt”)

E.g.

$L_0 : R_0^+ \rightarrow L_2$   
 $L_1 : \text{HALT}$

halts erroneously.

# Halting

For a finite computation  $c_0, c_1, \dots, c_m$ , the last configuration  $c_m = (\ell, r, \dots)$  is a halting configuration, i.e. instruction labelled  $L_\ell$  is

either HALT (a “proper halt”)

or  $R^+ \rightarrow L$ , or  $R^- \rightarrow L, L'$  with  $R > 0$ , or  
 $R^- \rightarrow L', L$  with  $R = 0$

and there is no instruction labelled  $L$  in the program (an “erroneous halt”)

N.B. can always modify programs (without affecting their computations) to turn all erroneous halts into proper halts by adding extra HALT instructions to the list with appropriate labels.

# Halting

For a finite computation  $c_0, c_1, \dots, c_m$ , the last configuration  $c_m = (\ell, r, \dots)$  is a halting configuration.

Note that computations may never halt. For example,

$$\begin{array}{l} L_0 : R_0^+ \rightarrow L_0 \\ L_1 : \text{HALT} \end{array}$$

only has infinite computation sequences

$$(0, r), (0, r + 1), (0, r + 2), \dots$$

# Graphical representation

- ▶ one node in the graph for each instruction
- ▶ arcs represent jumps between instructions
- ▶ lose sequential ordering of instructions—so need to indicate initial instruction with **START**.

instruction	representation
$R^+ \rightarrow L$	$R^+ \longrightarrow [L]$
$R^- \rightarrow L, L'$	$R^- \begin{cases} \nearrow \\ \searrow \end{cases} [L] [L']$
HALT	HALT
$L_0$	START $\longrightarrow [L_0]$

# Example

registers:

$R_0$   $R_1$   $R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

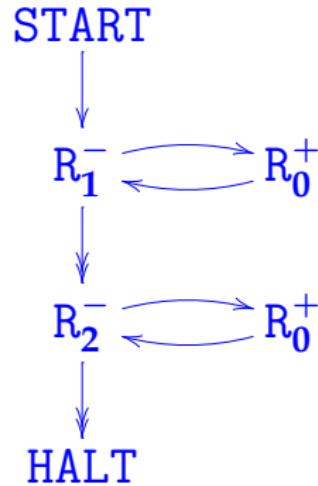
$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

graphical representation:



# Example

registers:

$R_0$   $R_1$   $R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

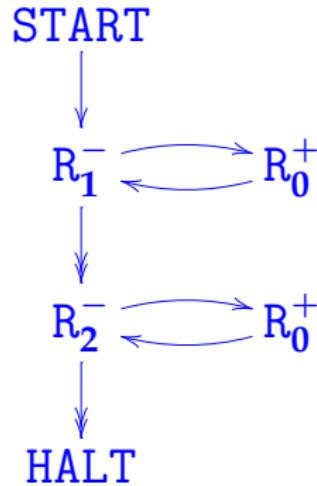
$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

graphical representation:



**Claim:** starting from initial configuration  $(0, 0, x, y)$ , this machine's computation halts with configuration  $(4, x + y, 0, 0)$ .

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

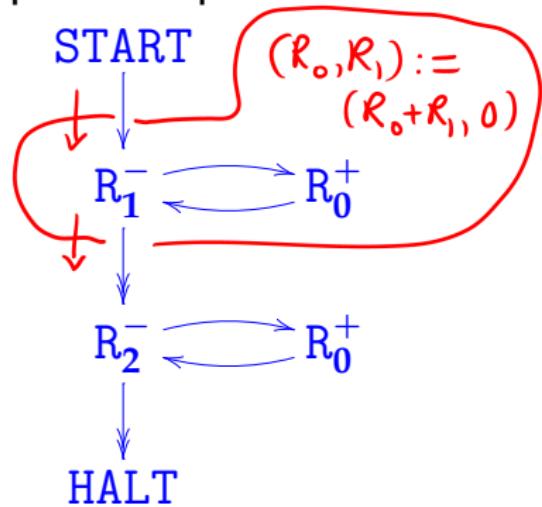
$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

graphical representation:



**Claim:** starting from initial configuration  $(0, 0, x, y)$ , this machine's computation halts with configuration  $(4, x + y, 0, 0)$ .

# Example

registers:

$R_0 \ R_1 \ R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

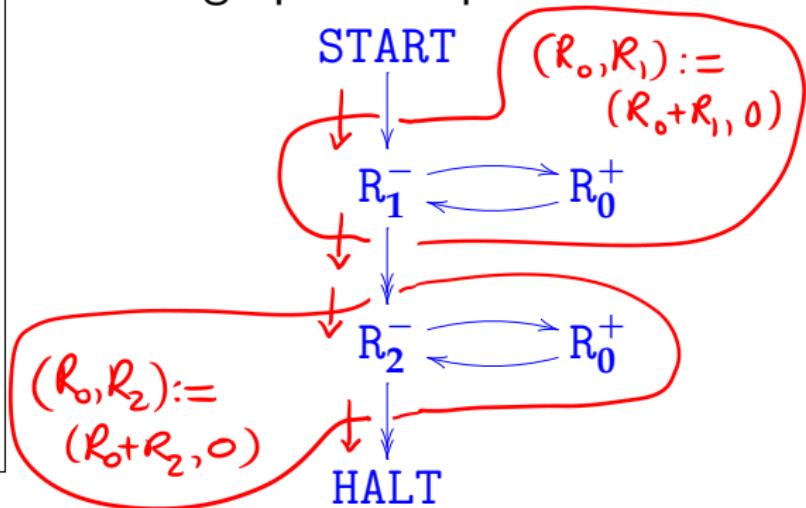
$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

graphical representation:



**Claim:** starting from initial configuration  $(0, 0, x, y)$ , this machine's computation halts with configuration  $(4, x + y, 0, 0)$ .

# Partial functions

Register machine computation is **deterministic**: in any non-halting configuration, the next configuration is uniquely determined by the program.

So the relation between initial and final register contents defined by a register machine program is a **partial function**...

# Partial functions

Register machine computation is **deterministic**: in any non-halting configuration, the next configuration is uniquely determined by the program.

So the relation between initial and final register contents defined by a register machine program is a **partial function**...

**Definition.** A **partial function** from a set  $X$  to a set  $Y$  is specified by any subset  $f \subseteq X \times Y$  satisfying

$$(x, y) \in f \wedge (x, y') \in f \rightarrow y = y'$$

for all  $x \in X$  and  $y, y' \in Y$ .

# Partial functions

ordered pairs  $\{(x, y) \mid x \in X \wedge y \in Y\}$

i.e. for all  $x \in X$  there is  
at most one  $y \in Y$  with  
 $(x, y) \in f$

**Definition.** A partial function from a set  $X$  to a set  $Y$  is specified by any subset  $f \subseteq X \times Y$  satisfying

$$(x, y) \in f \wedge (x, y') \in f \rightarrow y = y'$$

for all  $x \in X$  and  $y, y' \in Y$ .

# Partial functions

**Notation:**

- ▶ “ $f(x) = y$ ” means  $(x, y) \in f$
- ▶ “ $f(x) \downarrow$ ” means  $\exists y \in Y (f(x) = y)$
- ▶ “ $f(x) \uparrow$ ” means  $\neg \exists y \in Y (f(x) = y)$
- ▶  $X \rightarrow Y$  = set of all partial functions from  $X$  to  $Y$   
 $X \rightarrow Y$  = set of all (total) functions from  $X$  to  $Y$

**Definition.** A partial function from a set  $X$  to a set  $Y$  is specified by any subset  $f \subseteq X \times Y$  satisfying

$$(x, y) \in f \wedge (x, y') \in f \rightarrow y = y'$$

for all  $x \in X$  and  $y, y' \in Y$ .

# Partial functions

**Notation:**

- ▶ “ $f(x) = y$ ” means  $(x, y) \in f$
- ▶ “ $f(x) \downarrow$ ” means  $\exists y \in Y (f(x) = y)$
- ▶ “ $f(x) \uparrow$ ” means  $\neg \exists y \in Y (f(x) = y)$
- ▶  $X \rightarrow Y$  = set of all partial functions from  $X$  to  $Y$   
 $X \rightarrow Y$  = set of all (**total**) functions from  $X$  to  $Y$

**Definition.** A partial function from a set  $X$  to a set  $Y$  is total if it satisfies

$$f(x) \downarrow$$

for all  $x \in X$ .

# Computable functions

**Definition.**  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is (register machine) computable if there is a register machine  $M$  with at least  $n + 1$  registers  $R_0, R_1, \dots, R_n$  (and maybe more) such that for all  $(x_1, \dots, x_n) \in \mathbb{N}^n$  and all  $y \in \mathbb{N}$ ,

the computation of  $M$  starting with  $R_0 = 0$ ,  $R_1 = x_1, \dots, R_n = x_n$  and all other registers set to 0, halts with  $R_0 = y$

if and only if  $f(x_1, \dots, x_n) = y$ .

Note the [somewhat arbitrary] I/O convention: in the initial configuration registers  $R_1, \dots, R_n$  store the function's arguments (with all others zeroed); and in the halting configuration register  $R_0$  stores its value (if any).

# Computable functions

**Definition.**  $f \in \mathbb{N}^n \rightarrow \mathbb{N}$  is (register machine) computable if there is a register machine  $M$  with at least  $n + 1$  registers  $R_0, R_1, \dots, R_n$  (and maybe more) such that for all  $(x_1, \dots, x_n) \in \mathbb{N}^n$  and all  $y \in \mathbb{N}$ ,

the computation of  $M$  starting with  $R_0 = 0$ ,  $R_1 = x_1, \dots, R_n = x_n$  and all other registers set to  $0$ , halts with  $R_0 = y$

if and only if  $f(x_1, \dots, x_n) = y$ .

**N.B.** there may be many different  $M$  that compute the same partial function  $f$ .

# Example

registers:

$R_0$   $R_1$   $R_2$

program:

$L_0 : R_1^- \rightarrow L_1, L_2$

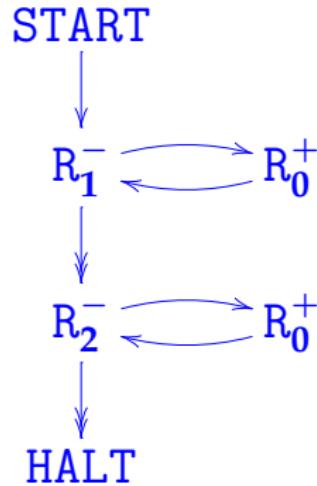
$L_1 : R_0^+ \rightarrow L_0$

$L_2 : R_2^- \rightarrow L_3, L_4$

$L_3 : R_0^+ \rightarrow L_2$

$L_4 : \text{HALT}$

graphical representation:



**Claim:** starting from initial configuration  $(0, 0, x, y)$ , this machine's computation halts with configuration  $(4, x + y, 0, 0)$ . So  $f(x, y) \triangleq x + y$  is computable.