#### Reductions

Given two languages  $L_1 \subseteq \Sigma_1^{\star}$ , and  $L_2 \subseteq \Sigma_2^{\star}$ ,

A reduction of  $L_1$  to  $L_2$  is a computable function

$$f: \Sigma_1^{\star} \to \Sigma_2^{\star}$$

such that for every string  $x \in \Sigma_1^{\star}$ ,

$$f(x) \in L_2$$
 if, and only if,  $x \in L_1$ 

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### **Reductions 2**

If  $L_1 \leq_P L_2$  we understand that  $L_1$  is no more difficult to solve than  $L_2$ , at least as far as polynomial time computation is concerned.

That is to say,

If 
$$L_1 \leq_P L_2$$
 and  $L_2 \in P$ , then  $L_1 \in P$ 

We can get an algorithm to decide  $L_1$  by first computing f, and then using the polynomial time algorithm for  $L_2$ .

#### Resource Bounded Reductions

If f is computable by a polynomial time algorithm, we say that  $L_1$  is polynomial time reducible to  $L_2$ .

$$L_1 \leq_P L_2$$

If f is also computable in  $SPACE(\log n)$ , we write

$$L_1 <_L L_2$$

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# **Completeness**

The usefulness of reductions is that they allow us to establish the *relative* complexity of problems, even when we cannot prove absolute lower bounds.

Cook (1972) first showed that there are problems in NP that are maximally difficult.

A language L is said to be  $\ensuremath{\mathsf{NP}}\mbox{-}hard$  if for every language  $A \in \ensuremath{\mathsf{NP}}\mbox{,}$   $A \leq_P L$ .

A language L is NP-complete if it is in NP and it is NP-hard.

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# **SAT** is NP-complete

Cook showed that the language SAT of satisfiable Boolean expressions is NP-complete.

To establish this, we need to show that for every language L in NP, there is a polynomial time reduction from L to SAT.

Since L is in NP, there is a nondeterministic Turing machine

$$M = (K, \Sigma, s, \delta)$$

and a bound  $n^k$  such that a string x is in L if, and only if, it is accepted by M within  $n^k$  steps.

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Intuitively, these variables are intended to mean:

- $S_{i,q}$  the state of the machine at time i is q.
- $T_{i,j,\sigma}$  at time i, the symbol at position j of the tape is  $\sigma$ .
- $H_{i,j}$  at time i, the tape head is pointing at tape cell j.

We now have to see how to write the formula f(x), so that it enforces these meanings.

#### **Boolean Formula**

We need to give, for each  $x \in \Sigma^*$ , a Boolean expression f(x) which is satisfiable if, and only if, there is an accepting computation of M on input x.

f(x) has the following variables:

$$S_{i,q}$$
 for each  $i \leq n^k$  and  $q \in K$ 

$$T_{i,j,\sigma}$$
 for each  $i,j \leq n^k$  and  $\sigma \in \Sigma$ 

$$H_{i,j}$$
 for each  $i, j \leq n^k$ 

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Initial state is s and the head is initially at the beginning of the tape.

$$S_{1,s} \wedge H_{1,1}$$

The head is never in two places at once

$$\bigwedge_i \bigwedge_j (H_{i,j} \to \bigwedge_{j' \neq j} (\neg H_{i,j'}))$$

The machine is never in two states at once

$$\bigwedge_{q} \bigwedge_{i} (S_{i,q} \to \bigwedge_{q' \neq q} (\neg S_{i,q'}))$$

Each tape cell contains only one symbol

$$\bigwedge_{i} \bigwedge_{j} \bigwedge_{\sigma} (T_{i,j,\sigma} \to \bigwedge_{\sigma' \neq \sigma} (\neg T_{i,j,\sigma'}))$$

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The initial tape contents are x

$$\bigwedge_{j \le n} T_{1,j,x_j} \wedge \bigwedge_{n < j} T_{1,j,\sqcup}$$

The tape does not change except under the head

$$igwedge_i igwedge_j igwedge_j igwedge_\sigma (H_{i,j} \wedge T_{i,j',\sigma}) 
ightarrow T_{i+1,j',\sigma}$$

Each step is according to  $\delta$ .

$$\bigwedge_{i} \bigwedge_{j} \bigwedge_{\sigma} \bigwedge_{q} (H_{i,j} \wedge S_{i,q} \wedge T_{i,j,\sigma})$$

$$\rightarrow \bigvee_{\Delta} (H_{i+1,j'} \wedge S_{i+1,q'} \wedge T_{i+1,j,\sigma'})$$

where  $\Delta$  is the set of all triples  $(q', \sigma', D)$  such that  $((q, \sigma), (q', \sigma', D)) \in \delta$  and

$$j' = \begin{cases} j & \text{if } D = S \\ j - 1 & \text{if } D = L \\ j + 1 & \text{if } D = R \end{cases}$$

Finally, some accepting state is reached

$$\bigvee_{i} S_{i,\text{acc}}$$

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